

**Tests for Boer-Mulders, Sivers and transversity  
distributions in SIDIS**

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## **Plan of the talk:**

- 1. Test of the BM and Sivers relation**
- 2. Test of factorization via BM and Sivers asymmetries**
- 3. always difference asymmetries**
- 4. the tests involve only measurable quantities, no TMDs**

## The difference asymmetries

$$A^{h^+} = \frac{\Delta\sigma^{h^+}}{\sigma^{h^+}}, A^{h^-} = \frac{\Delta\sigma^{h^-}}{\sigma^{h^-}}, \Rightarrow A^{h^+-h^-} = \frac{\Delta\sigma^{h^+-h^-}}{\sigma^{h^+-h^-}}$$

$$A^{h^+-h^-} = \frac{1}{1-r} (A^{h^+} - r A^{h^-}), \quad r = \frac{\sigma^{h^-}}{\sigma^{h^+}}$$

**needed:** the same  $A^{h^+}$  and  $A^{h^-}$  + multiplicities  $\sigma^{h^-}$  and  $\sigma^{h^+}$

$\Rightarrow$  it's **not** a new measurement!

## Why difference asymmetries?

The goal:  $f_q = ?$

The usual asymmetries:

$$A^{h^+} \simeq \sum_q f_q \cdot D_q^{h^+}$$

$$A^{h^-} \simeq \sum_q f_q \cdot D_q^{h^-}$$

$$q = u_V, d_V, \bar{u}, \bar{d}, s, \bar{s}$$

too many unknowns:

1. we cannot avoid assumptions on  $\bar{q}$ !
2. or assume relations b/n PDFs

## The difference asymmetries:

*E.Ch. & E. Leader*

- $A^{h-\bar{h}}$  determine **only** valence quark densities:

$$\Delta u_V = \Delta u - \Delta \bar{u}, \quad \Delta d_V = \Delta d - \Delta \bar{d}$$

but **without any assumptions on  $\bar{q}$** , used general symms. of str. ints. only:

$$C - inv : \quad D_{\bar{q}}^h = D_q^{\bar{h}}, \quad D_G^h = D_G^{\bar{h}}$$

$$SU(2) - inv. \quad D_u^{\pi^+} = D_d^{\pi^-}, \quad D_s^{\pi^+} = D_s^{\pi^-}$$

true in LO and NLO – based only on symms.

Measured in the collinear picture:  $\Delta q = ?$

- **polarized SIDIS:**  $\vec{l} + \vec{N} \rightarrow l' + h^\pm + X$  - only  $z_h \simeq E_h$  &  $x_B$  measured

- $A_{1N}^h$  determine  $\Delta q$  &  $\Delta \bar{q}$ :

$$A_{1N}^h = \frac{\Delta\sigma^h}{\sigma^h} \simeq e_q^2 (\Delta q D_q^h + \Delta \bar{q} D_{\bar{q}}^h)$$

**but assumptions on  $\Delta \bar{q}$ :**  $\Delta \bar{u} = \Delta \bar{d} = \Delta \bar{s}$ ,  $\frac{\Delta \bar{u}}{\bar{u}} = \frac{\Delta \bar{d}}{\bar{d}} = \frac{\Delta \bar{s}}{\bar{s}}$

- **2008 COMPASS:** measured the diff. asymms:

$$A_{1d}^{h^+ - h^-} = \frac{\Delta\sigma^{h^+ - h^-}}{\sigma^{h^+ - h^-}} \simeq \frac{\Delta u_V + \Delta d_V}{u_V + d_V}, \quad q_V = q - \bar{q}$$

showed:

1)  $\Delta \bar{u} = \Delta \bar{d} = \Delta s = \Delta \bar{s}$  disfavoured at  $2 \sigma$

2)  $\Delta s \neq 0$ ,  $\Delta \bar{u} = -\Delta \bar{d} ??$

Now we ask:

**What happens in the non-collinear picture?**  
the **direction of  $h$**  is also measured:  $z_h$ ,  $P_T$  and  $\phi_h$

## The parton model

- the parton model formulated the **collinear** picture:
  - $q$  in a relativ. nucleon are almost free
  - the  $q$ -momenta are parallel to proton momenta:

$$\vec{p}_q = x_B \vec{P}, \quad x_B = \frac{Q^2}{2(Pq)}$$



**collinear picture:** 3 distribution functions describe the nucleon:

$$\begin{aligned} q \text{ in } p : \quad & q(x) = f_q(x) = \overrightarrow{q} + \overleftarrow{q} \\ \overrightarrow{q} \text{ in } \overrightarrow{p} : \quad & \Delta q(x) = g(x) = \overrightarrow{q} - \overleftarrow{q} \Rightarrow \textit{helicity} \\ q^\uparrow \text{ in } p^\uparrow : \quad & \Delta_T q(x) = h(x) = q^\uparrow - q^\downarrow \Rightarrow \textit{transversity} \end{aligned}$$

**But** what about transverse momenta of  $q$ :

$$\overrightarrow{p}_q = x_B \overrightarrow{P} + \overrightarrow{k}_\perp$$

the collinear picture is only an approximation!

## The non-collinear picture - the TMD distributions

What changes at  $\vec{k}_\perp \neq 0$ ?

1. **PDF**  $\Rightarrow$  **TMD-PDF** = transverse momentum dependent:

$$q(x) \rightarrow q(x, k_\perp)$$

$$\Delta q(x) \rightarrow \Delta q(x, k_\perp)$$

$$\Delta_T q(x) \rightarrow \Delta_T q(x, k_\perp)$$

$(x, \vec{k}_\perp)$  = the long. & transv. moment of  $q$  with respect to the proton momentum

2. + 5 more TMD distributions  $\Delta^N f_q^J(x, k_\perp) \neq 0$  only at  $\vec{k}_\perp \neq 0$ :

**these TMD's are completely new objects**

## The TMD distributions with $\vec{k}_\perp \neq 0$ :

- The **Sivers** function = unpolarized  $q$  in transv. pol. protons  $p^\uparrow$ :

$$\text{only if } \vec{k}_\perp \neq 0 : p^\uparrow = (q^\uparrow + \underbrace{q}_{\text{Sivers}}) \Rightarrow \underbrace{\Delta^N f_{q/p^\uparrow}(x_B, k_\perp)}_{\text{Sivers}} (\vec{S}_T \cdot \vec{P} \times \vec{k}_\perp)$$

- The **Boer-Mulders** (BM) distributions =  $q^\uparrow$  in **unpol.** proton:

$$\text{only if } \vec{k}_\perp \neq 0 : p = (q + \underbrace{q^\uparrow}_{\text{BM}}) \Rightarrow \underbrace{\Delta f_{q^\uparrow, s_q/p}(x_B, k_\perp)}_{\text{BM}} (\vec{s}_q \cdot \vec{P} \times \vec{k}_\perp)$$

- **BM** and **Siv.**  $\simeq (\vec{s} \cdot \vec{P} \times \vec{k}_\perp) = \text{T-odd}$ :

$$\text{T-odd} : \quad t \rightarrow -t : \quad (\vec{s}_q \cdot \vec{P} \times \vec{k}_\perp) \rightarrow -(\vec{s}_q \cdot \vec{P} \times \vec{k}_\perp)$$

$$\text{T-inv} : \quad (t \rightarrow -t) + (|i\rangle \leftrightarrow \langle f|)$$

**Are they  $\neq 0$ ? How big are they?**

## Fragmentation Functions (FF)

$D_q^h$  = the probability for  $q$  to fragment into  $h$ :

$$D_q^h(z) : \quad q(p_q) \rightarrow h(P_h)$$

**collinear picture:** hadron mom. parallel to quark momenta:

$$\vec{P}_h = z_h \vec{p}_q, \quad z_h = \frac{(P P^h)}{(P q)} \simeq E^h$$

**but** in general  $\vec{P}_h$  and  $\vec{p}_q$  are not collinear:

$$\vec{P}_h = z_h \vec{p}_q + \vec{p}_\perp \Rightarrow D_q^h(z) \rightarrow D_q^h(z, \mathbf{p}_\perp)$$

$(z, \vec{p}_\perp)$  = the energy & transv. moment of  $h$  with respect to the momentum  $\vec{p}_q$  of the fragm.  $q$

• TMD **Collins** function =  $q^\uparrow \rightarrow h$ :

$$\text{only if } \vec{p}_\perp \neq 0 : \quad (q + \underbrace{q^\uparrow}_{\text{Collins}}) \rightarrow h \Rightarrow \underbrace{\Delta D_{q^\uparrow}^h(z, \mathbf{p}_\perp)}_{\text{Collins}} (\vec{s}_q \cdot \vec{p}_q \times \vec{p}_\perp)$$

## How to measure TMDs

We consider semi-inclusive DIS (SIDIS):

$$\begin{aligned}l + N &\rightarrow l' + h + X \\l + N^\uparrow &\rightarrow l' + h + X, \quad h = \pi^\pm, K^\pm, h^\pm\end{aligned}$$

In the **collinear picture**:  $d\sigma^h = d\sigma^h(x_B, z_h)$

In the **non-collinear picture**:  $d\sigma^h = d\sigma^h(x_B, z_h, \phi_h)$

**TMDs** induce azimuthal depends. on  $\phi_h$ :

$$\begin{aligned}d\sigma &= d\sigma_0 \left\{ 1 + A_{BM}^h \cos 2\phi_h + \dots \right. \\ &\quad \left. + S_T \left[ A_{Siv}^h \sin(\phi_s - \phi_h) + A_{Coll}^h \sin(\phi_s + \phi_h) + \dots \right] \right\}\end{aligned}$$

- no azimuthal dependence in the **collinear picture**

## Question:

- How to determine the new TMD's:  $\Delta^N f_q^J = ?$

$$A_J(x_B, z_h, Q^2, P_T) \simeq \sum_q \Delta^N f_q^J \otimes \Delta^N D_q^h, \quad q = u_V, d_V, s, \bar{s}, \dots$$

we need to simplify: different assumptions:

- assumptions about the sea-quark  $\Delta f_{\bar{q}}$ :

$$\Delta f_{\bar{u}} = \Delta f_{\bar{d}} = \Delta f_{\bar{s}}, \text{ or } \Delta f_{\bar{q}} = 0, \text{ etc.}$$

- relations:

$$\Delta f_{BM} = \lambda_q \Delta f_{Siv}, \dots$$

and simple - the **standard**, parametrizations:

- $\Delta^N f_q(x_B, k_\perp, Q^2) \simeq \Delta f_q(x_B, Q^2) \cdot h(k_\perp) \Rightarrow x_B$  and  $k_\perp$  factorize
- $h(k_\perp)$  is flavour independent
- $h(k_\perp)$  is Gaussian  $\simeq e^{-k_\perp^2 / \langle k_\perp^2 \rangle}$
- $\Delta f_q(x_B, Q^2) \propto \mathcal{N}_q(x_B) q(x_B, Q^2) \Rightarrow Q^2$  is in the collin. PDFs

$$\Delta^N f_j^q(x_B, k_\perp, Q^2) \simeq \mathcal{N}_q(x_B) \underbrace{q(x_B, Q^2)}_{\text{DGLAP evol.}} \underbrace{e^{-k_\perp^2 / \langle k_\perp^2 \rangle}}_{\text{no } Q^2, x_B, q}$$

## The problem:

different assumptions lead to different TMD's

## We ask:

- Can we avoid the assumptions on  $\bar{q}$  etc?
- Can we check  $\Delta f_{BM} = \lambda_q \Delta f_{Siv}$
- ...
- Can we check the standard parametrization?
  - factorization?
  - the Gaussian-form?
  - $h(k_{\perp})$  is flv. independent?
  - ...



We ask: **How can can difference asymmetries help?**

We show (as expected):

- $d\sigma^{h-\bar{h}}$  keep **the same**  $\phi_h$ -dependence – **the same** azim.  $A_J$ :
- **but** – only  $u_V$  &  $d_V$  enter  $A_J$  – no assumps. on  $\bar{q}$
- on  $d = p + n \rightarrow$  only  $Q_V = u_V + d_V$

also we obtain (!! new !!)

tests for the params. of  $\Delta f_q$  through **relations b/n the asymms:**

- relations b/n BM and Collins asymmetries
- relations b/n BM and Sivers asymmetries

Here we present: **these relations** in **SIDIS** on  $d = p + n$

## Test of assumption on BM function

**assumption:** BM is proportional to Siv. function:

$$\bullet \Delta f_{q/p}^{BM}(x_B, k_\perp) = \lambda_q \Delta f_{q/p}^{Siv}(x_B, k_\perp), \quad \lambda_q = \text{const}$$

**we show:**

1. on  $d = p + n$ ,  $A_{BM}(x_B)$  is proportional to  $A_{Siv}(x_B)$ :

$$A_{BM}^{h-\bar{h}}(x_B) = \mathcal{C}^h(x_B) A_{Siv}^{h-\bar{h}}(x_B), \quad h = \pi^+, K^+, h^+$$

$\mathcal{C}^h = \text{indep. of } \Delta f^{BM} \text{ and } \Delta f^{Siv}, \text{ but deps. on FFs.}$

$$\text{recall : } d\sigma = d\sigma_0 \left\{ 1 + A_{BM}^h \cos 2\phi_h + \dots + S_T \left[ A_{Siv}^h \sin(\phi_s - \phi_h) + \dots \right] \right\}$$

2. if  $Q^2$ -evol. can be neglected - very simple:

$$\begin{aligned}A_{BM}^{h^+-h^-}(\mathbf{x}_B) &= C^h \phi(\mathbf{x}_B) A_{Siv}^{h^+-h^-}(\mathbf{x}_B) \\A_{BM}^{\pi^+-\pi^-}(\mathbf{x}_B) &= C^\pi \phi(\mathbf{x}_B) A_{Siv}^{\pi^+-\pi^-}(\mathbf{x}_B) \\A_{BM}^{K^+-K^-}(\mathbf{x}_B) &= C^K \phi(\mathbf{x}_B) A_{Siv}^{K^+-K^-}(\mathbf{x}_B)\end{aligned}$$

$C$  = constant

$\phi(\mathbf{x}_B)$  = the same  $\forall h$ , **completely known** function of kinem. vars:

$$\phi(\mathbf{x}_B) = \frac{\int dQ^2 (1-y)/Q^4}{\int dQ^2 (1+(1-y)^2)/Q^4}, \quad y = \frac{Q^2}{sx}$$

- **test of the assumption on theo. TMDs only thru meas. asymms.**

Why is this possible?

- on  $d = p + n$  only  $Q_V = (u_V + d_V)$  enters:

$$BM : \sum \Delta f_{q^\uparrow/p} \otimes \Delta_r D_{q^\uparrow}^h \rightarrow \Delta f_{Q_V^\uparrow/p} \otimes \Delta_r D_{u_V^\uparrow}^h$$

$$Siv. : \sum \Delta f_{q/p^\uparrow} \otimes D_q^h \rightarrow \Delta f_{Q_V/p^\uparrow} \otimes D_{u_V}^h$$

$$\Delta f_{Q_V/p}(x_B, k_\perp) \equiv \Delta f_{(u_V+d_V)/p}(x_B, k_\perp)$$

- PDF & FFs factorize - cancelations possible

## Test with COMPASS data

*E.Ch., E.Leader, M.Stoilov*

**COMPASS** data, 2007, 2014,  $E_\mu = 160$  GeV:

$$\mu + d \rightarrow \mu + h^\pm + X, \quad \mu + d^\uparrow \rightarrow \mu + h^\pm + X$$

$$A_{Siv}^{h^\pm}(x, Q^2) \simeq \frac{\int d\phi_h d\phi_s dz (\sigma_\uparrow^h - \sigma_\downarrow^h) \sin(\phi_h - \phi_s)}{\int d\phi_h d\phi_s dz (\sigma_\uparrow^h + \sigma_\downarrow^h)}, \quad A_{BM}^{h^\pm}(x, Q^2) \simeq \frac{\int d\phi_h dz \sigma^h \cos(2\phi_h)}{\int d\phi_h dz \sigma^h}$$

$$0,003 < x < 0,13, \quad 1 < Q^2 < 16.7 \text{ GeV}^2$$

In 3 steps:

1. form the diff. asymmetry:

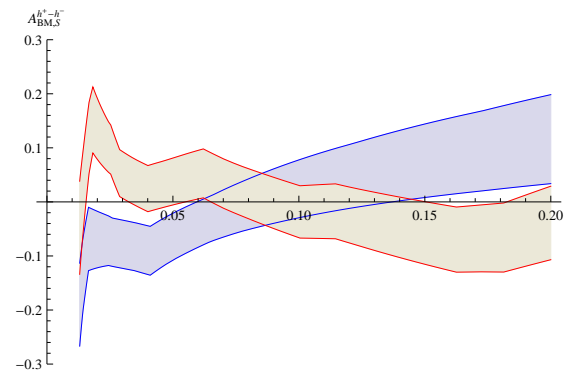
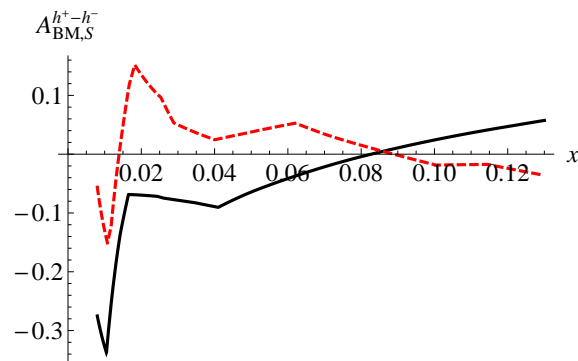
$$A^{h^+ - h^-} = \frac{1}{1-r} (A^{h^+} - r A^{h^-}), \quad r = \frac{\sigma^{h^+}}{\sigma^{h^-}}$$

2. choose the interval  $Q^2$ :  $Q_V(x, Q^2) \simeq Q_V(x)$ ,  $D_{uv}(z, Q^2) \simeq D_{uv}(z)$

3.  $C=?$

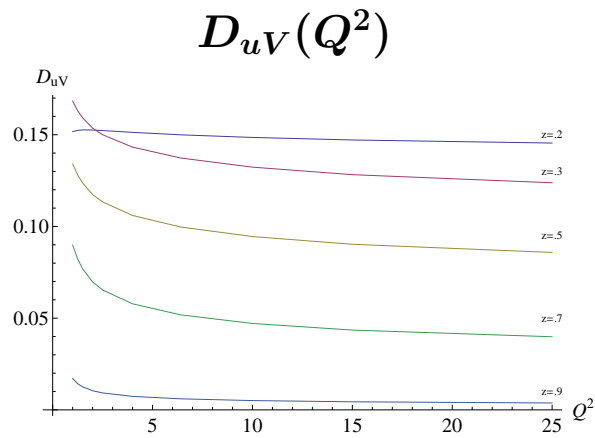
1. form the difference asymmetries with COMPASS data

$A_{BM}^{h^+-h^-}$  = full line, and  $A_{Siv}^{h^+-h^-}$  = dashed



at  $x > 0.02$ :  $A_{BM}^{h^+-h^-}$  and  $A_{Siv}^{h^+-h^-}$  with opposite signs

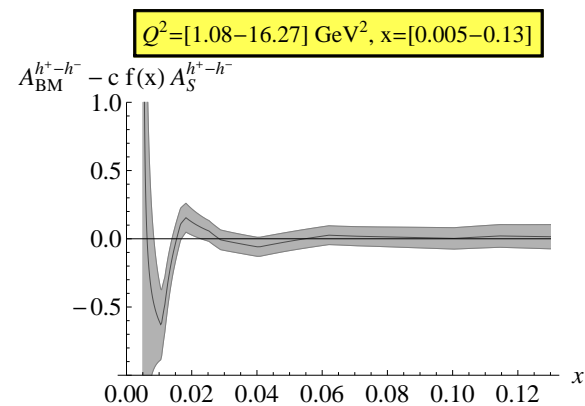
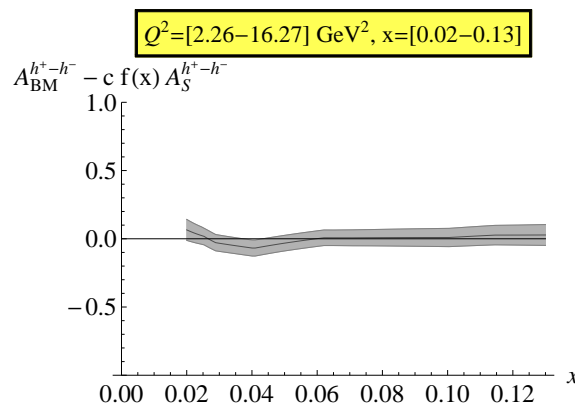
## 2. choose the interval in $Q^2$



choose 2 intervals:  $Q^2 \in [2.26 - 16.27] GeV^2$  and  $Q^2 \in [1.08 - 16.27] GeV^2$

$$A_{BM}(x) - C^h \phi(x) A_{Siv}(x)$$

### 3. $C$ =fitting parameter



$Q^2 \in [2.26 - 16.27] \text{ GeV}^2 \rightarrow C = -1.60$  and  $Q^2 \in [1.08 - 16.27] \text{ GeV}^2 \rightarrow C = -2.26$

**we obtain:**

the relation b/n BM and Siv is OK for  $x > 0.02 \rightarrow C = -1.60$



## Relation b/n Collins and BM asymms.

Common for Collins and BM asymms. – the same  $\Delta^N D_{h^+/u_v\uparrow}$ :

$$\text{Coll: } A_{Coll}^{h-\bar{h}}(x_B, z_h) \propto h_{1Q_V}(x_B, Q^2) \Delta^N D_{h^+/u_v\uparrow}$$

$$\text{BM: } A_{BM}^{h-\bar{h}}(x_B, z_h) \propto \Delta^N f_{Q_V\uparrow/p}(x_B, Q^2) \Delta^N D_{h^+/u_v\uparrow}$$

- we can eliminate  $\Delta^N D_{h^+/u_v\uparrow}$ :  $A_{BM}^{h-\bar{h}}(z_h) \Leftrightarrow A_{Coll}^{h-\bar{h}}(z_h)$

in  $Q^2$ -interval, where  $Q^2$ -evol. can be neglected: – very simple:



## Relation b/n Collins and BM asymms. for $d$ & $p$ :

If standard params. holds  $A_{BM}^{h-\bar{h}}(z_h)$  &  $A_{Coll}^{h-\bar{h}}(z_h)$  are related:

$$A_{BM,d}^{h-\bar{h}}(z_h) = \mathcal{B}_1^h \Phi(z_h) A_{Coll,d}^{h-\bar{h}}(z_h), \quad h = \pi^\pm, K^\pm$$

$$A_{BM,p}^{h-\bar{h}}(z_h) = \mathcal{B}_2^h \Phi(z_h) A_{Coll,p}^{h-\bar{h}}(z_h)$$

$$A_{BM,p}^{h-\bar{h}}(z_h) = \mathcal{B}_3^h \Phi(z_h) A_{Coll,d}^{h-\bar{h}}(z_h)$$

- $\Phi(z_h)$  = the same, fixed by Gaussian  $\Rightarrow \langle k_\perp^2 \rangle, \langle k_\perp^2 \rangle_s, \langle p_\perp^2 \rangle_c = \text{known}$ :

$$\Phi(z_h) = \frac{z_h \sqrt{\langle p_\perp^2 \rangle_c + z_h^2 \langle k_\perp^2 \rangle}}{\langle p_\perp^2 \rangle_c + z_h^2 \langle k_\perp^2 \rangle_s}, \quad \mathcal{B}^h = \text{const}$$

- Tests for the QCD picture and paramtrs. of TMDs without requiring knowledge about the TMDs!

## Relation for Sivers asymms. on $d = p + n$ :

when  $Q^2$ -evol. can be neglected:

$$1. A_{Siv}^{h-\bar{h}}(z_h, \bar{Q}^2) = B(\bar{Q}^2) \frac{z_h}{\langle p_{\perp}^2 \rangle + z_h \langle k_{\perp}^2 \rangle_S}, \quad h = \pi, K, h$$

- a fixed  $z_h$ -dependence, the same for all  $h$
- $B = \text{const}$ , the same for all  $h \Rightarrow k_{\perp}, p_{\perp} = h$  &  $q$  -indep.

$$2. A_{Siv}^{h-\bar{h}}(x_B, \bar{Q}^2) = C^h(\bar{Q}^2) \mathcal{N}_{Siv}^{Q_V}(x_B), \quad h = \pi, K, h$$

- the same  $\mathcal{N}_{Siv}^{Q_V}(x_B)$
- $A_{Siv}^{\pi^+-\pi^-}(x_B) = C_1 A_{Siv}^{K^+-K^-}(x_B) = C_2 A_{Siv}^{h^+-h^-}(x_B)$

All started with "The spin crisis of the proton"

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L$$

$\Delta\Sigma$  = spin carried by the quarks:

$$\Delta\Sigma = (\Delta u + \Delta\bar{u}) + (\Delta d + \Delta\bar{d}) + (\Delta s + \Delta\bar{s})$$

$\Delta G$  = spin carried by the gluons

$L$  = quark and gluon orbital momenta

1988, EMC at CERN – measured  $\Delta\Sigma$ :

$$\Delta\Sigma = 0.12 \pm 0.17$$

"spin crisis":  $\Delta\Sigma_{TH} \simeq 1$

2009 :  $\Delta\Sigma = 0.33 \pm 0.03 \pm 0.05, \quad |\Delta G| < 0.3$

**The problem still remains:**

**Who carries the spin of the proton?:**  $\Delta q_V = ?$ ,  $\Delta\bar{q} = ?$ , the role of  $\Delta s$ -quarks

## Summary

**TMDs** are new and unexpected objects that may help!

exp. measurements of TMDs in nucleon have just started:  
SIDIS: COMPASS at CERN, HERMESS at DESY, JLab; etc.

**the goal:** to determine the TMDs correctly

$A^{h-\bar{h}}$  are important  $\Rightarrow$  we obtain:

- general and simple relations that test the stand. param.
- with no assumptions
- if they don't hold  $\Rightarrow$  s.thing is wrong with the stand. param.

**Thanks!**