

Hadronization Studies in e^+e^- annihilation at Belle



ANSELM VOSSEN



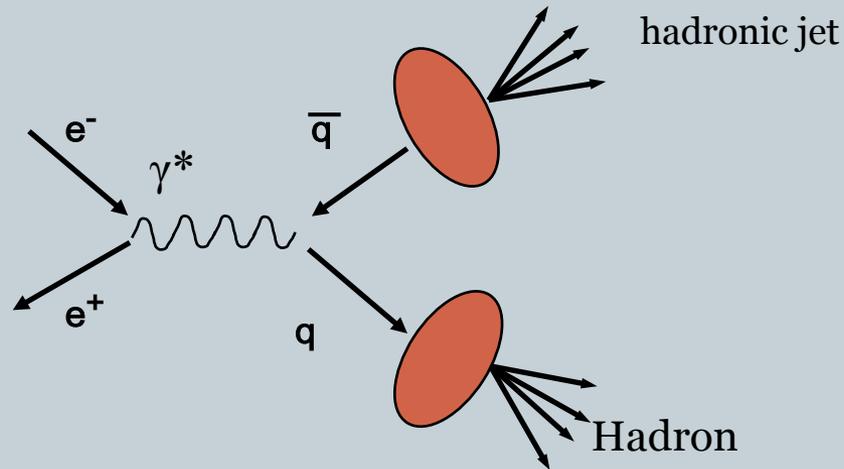
INDIANA UNIVERSITY

See A. Metz & A. Vossen,
“Parton Fragmentation Functions”,
[arXiv:1607.02521](https://arxiv.org/abs/1607.02521)

Easiest Process to study QCD

2

electron-positron collisions

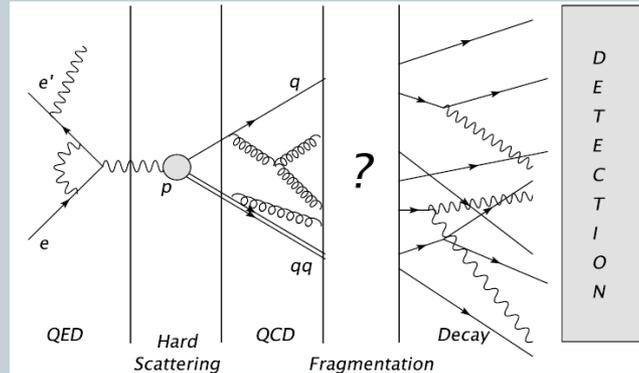


time-like
virtual photon

Factorized QCD: Hadronization described by Fragmentation Functions



Field, Feynman (1977): Fragmentation functions encode the information on how partons produced in hard-scattering processes are turned into an observed colorless hadronic bound final-state [PRD 15 (1977) 2590]



- Complementary to the study of nucleon structure (PDFs)
- Cannot be computed on the lattice
- Consequence of confinement
- Questions to be asked
 - Macroscopic effect (distribution, polarization) of microscopic properties (quantum numbers)?
 - Effect of QCD vacuum the quark is traversing

Amsterdam notation for FFs with quark/hadron polarization

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z : fractional energy of the quark carried by the hadron
 $P_{h,T}$: transverse momentum of the hadron: **TMD FFs**

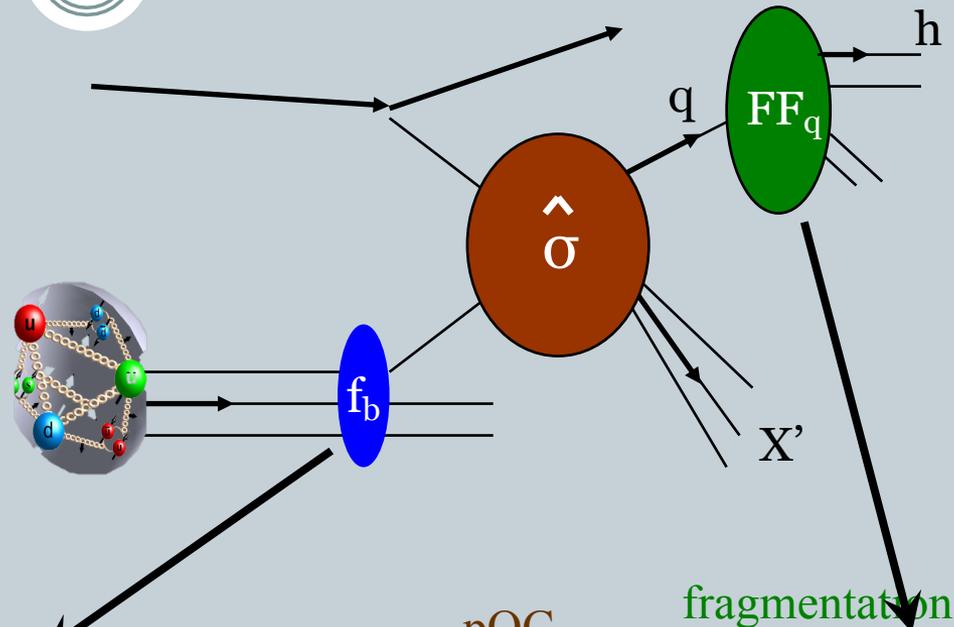
Parton polarization → Hadron Polarization ↓	Spin averaged	longitudinal	transverse
spin averaged	$D_1^{h/q}(z, p_T)$	$G_1^{h/q}(z, p_T)$	$H_1^{\perp h/q}(z, p_T)$
longitudinal			
Transverse (here Λ)	$D_{1T}^{\perp \Lambda/q}(z, p_T)$		$H_1^{q/\Lambda}(z, p_T)$

- Theoretically many more, in particular with polarized hadrons in the final state and transverse momentum dependence

Fragmentation Functions: Why should we bother?

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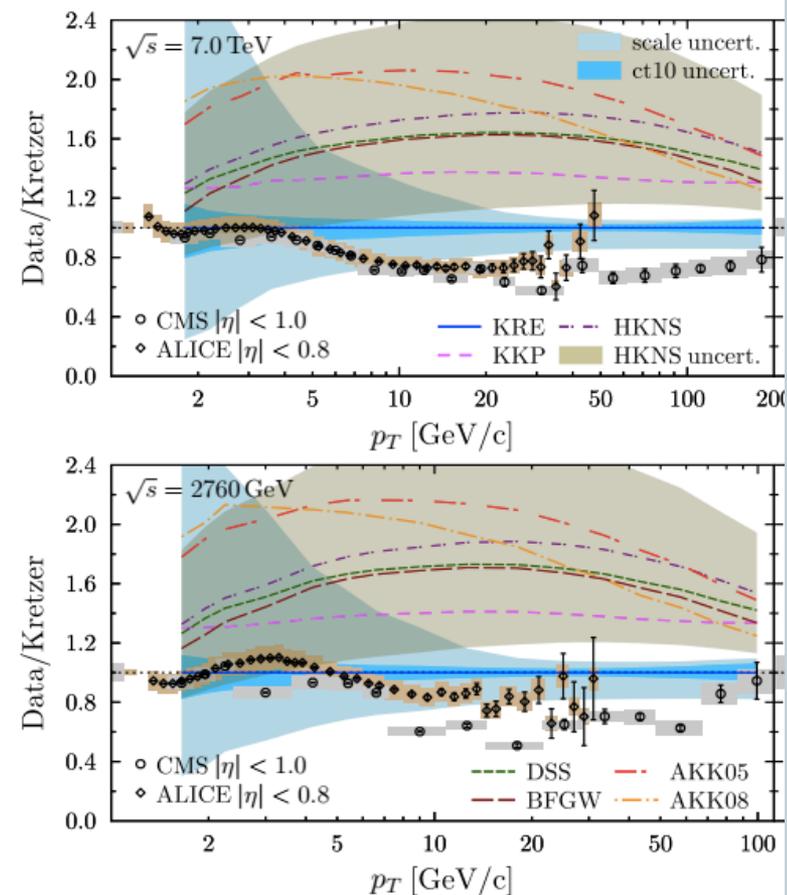
- Proton Structure extracted using QCD factorization theorem
- FFs contribute to virtually all processes
- Particular important for transverse spin structure



$$\frac{d^3 \sigma^\uparrow(pp^\uparrow \rightarrow \pi^+ X)}{dx_1 dx_2 dz} \propto \underbrace{q_i^\uparrow(x_1, k_{q,T}) \cdot G(x_2)}_{\text{Proton Structure}} \times \underbrace{\frac{d^3 \hat{\sigma}^\uparrow(q_i q_j \rightarrow q_k q_l)}{dx_1 dx_2}}_{\text{pQC D}} \times \underbrace{FF_{q_{k,l}}(z, p_{h,T})}_{\text{fragmentation function}}$$

Fragmentation Functions: Why should we bother?

- **Example:**
 - Hadron Spectra at the LHC confronted with current FF sets
 - **Large disagreement!**

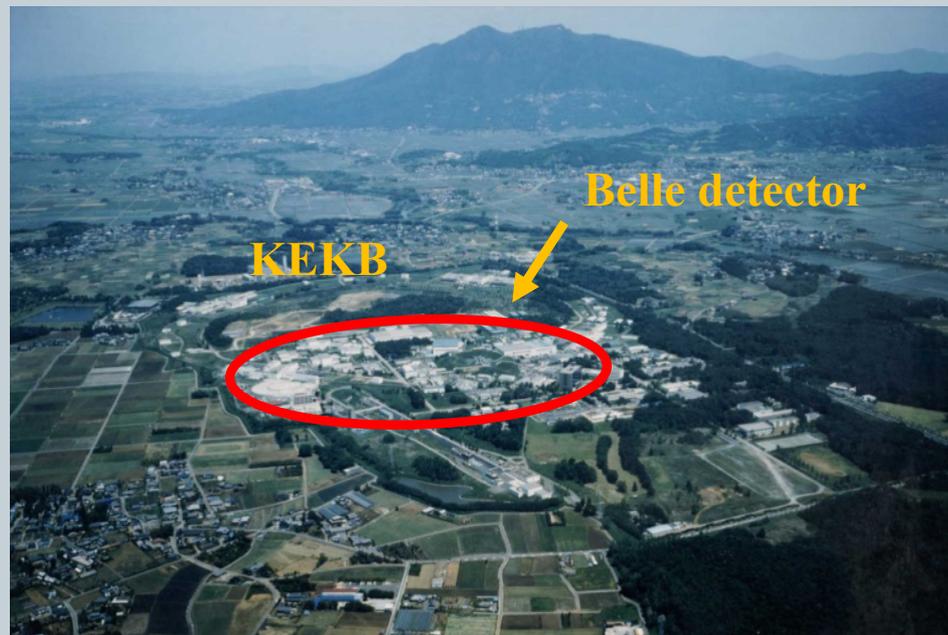
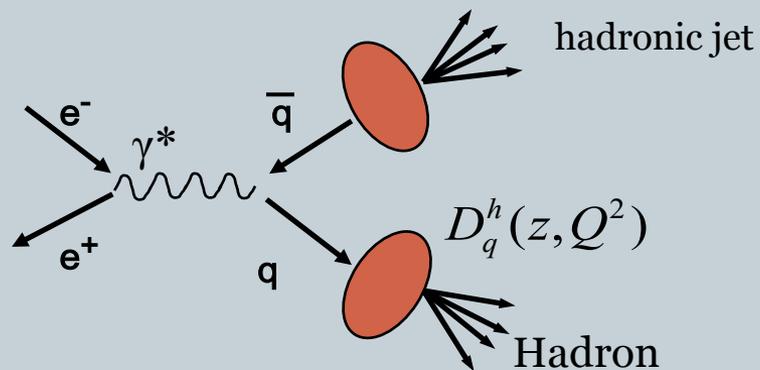


D. d'Enterria, K. J. Eskola, I. Helenius, H. Paukkunen,
Nucl. Phys. B883 (2014) 615.

Where to Study?

7

- e^+e^- cleanest way to access FFs



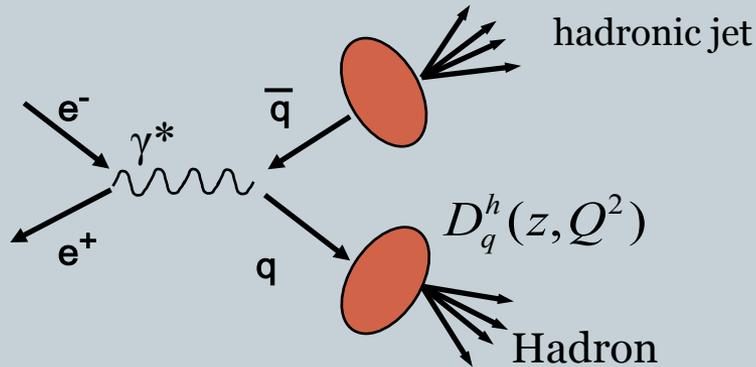
- B factories

- close in energy to SIDIS (100 GeV^2 vs $2\text{-}3 \text{ GeV}^2$)
- Large integrated lumi!, high z reach

Where to Study?

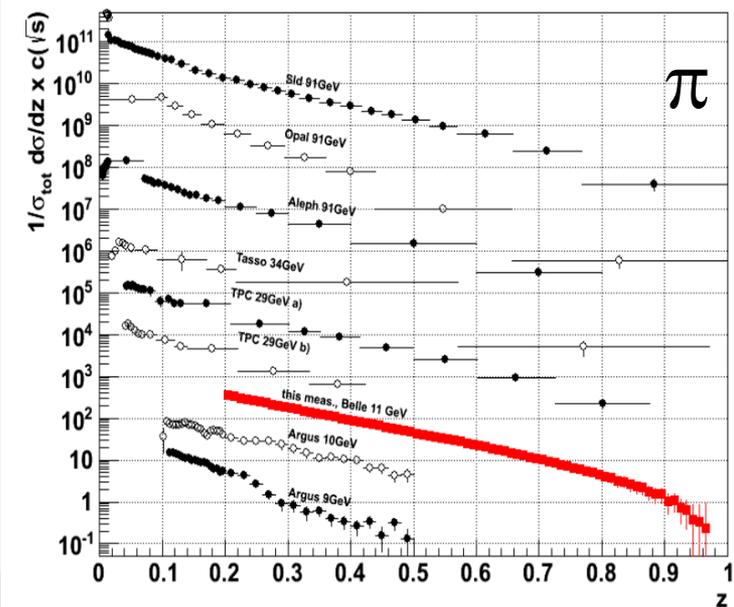
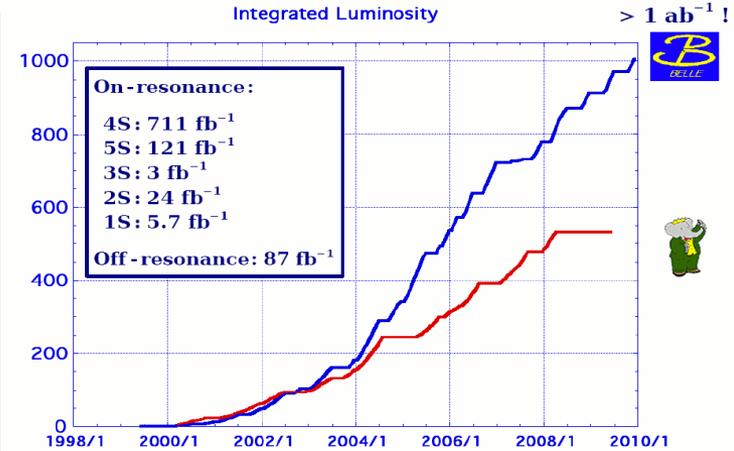
8

- e^+e^- cleanest way to access FFs



- B factories

- close in energy to SIDIS (100 GeV^2 vs $2\text{-}3 \text{ GeV}^2$)
- Large integrated lumi!, high z reach



Belle, a typical e+e- Experiment of generation 2000

• Asym. e⁺ (3.5 GeV) e⁻ (8 GeV) collider:

- $\sqrt{s} = 10.58 \text{ GeV}$, e⁺e⁻

→ Y(4S) → B anti-B

- $\sqrt{s} = 10.52 \text{ GeV}$, e⁺e⁻ →

qqbar (u,d,s,c) 'continuum'

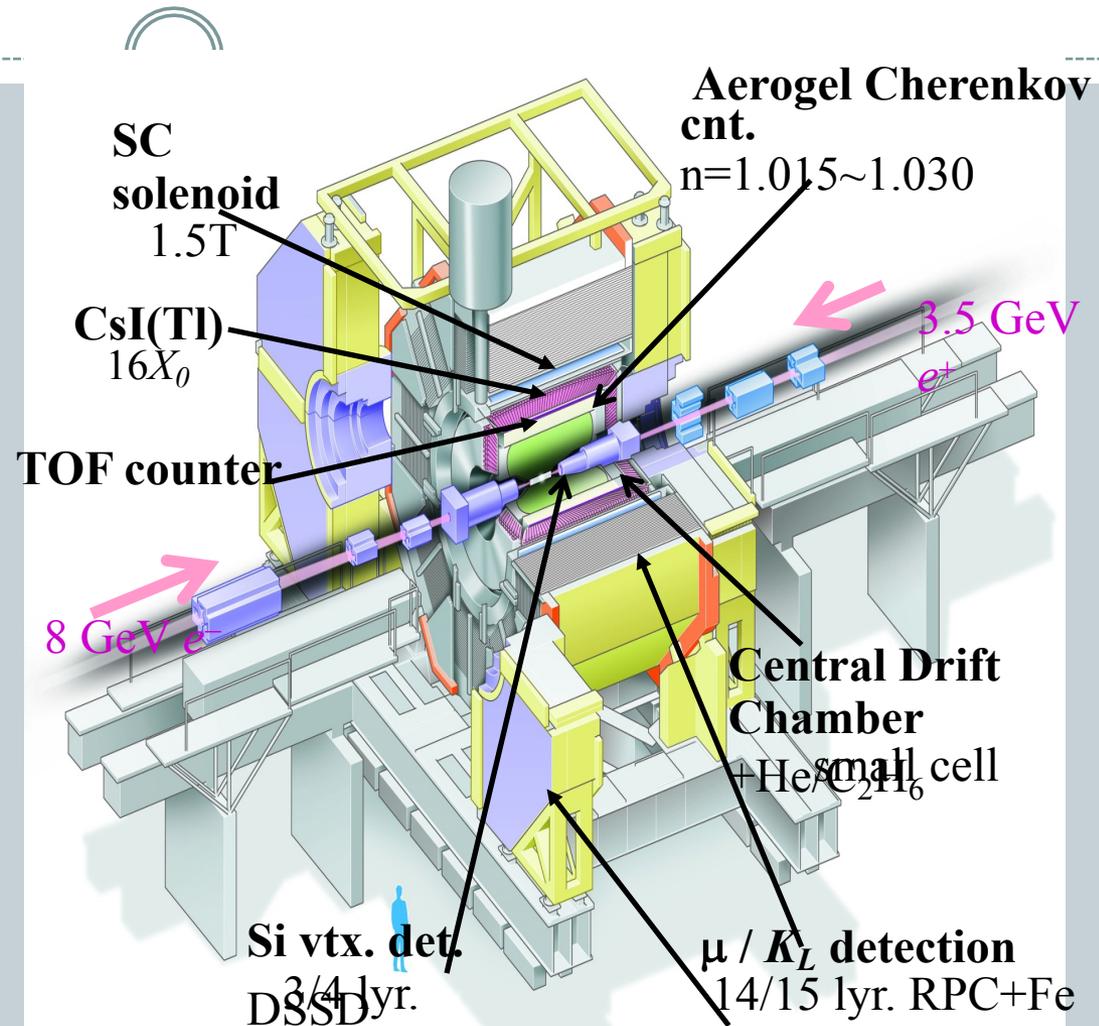
• ideal detector for high precision measurements:

- Azimuthally symmetric acceptance, high res. Tracking, PID: Kaon efficiency ~85%

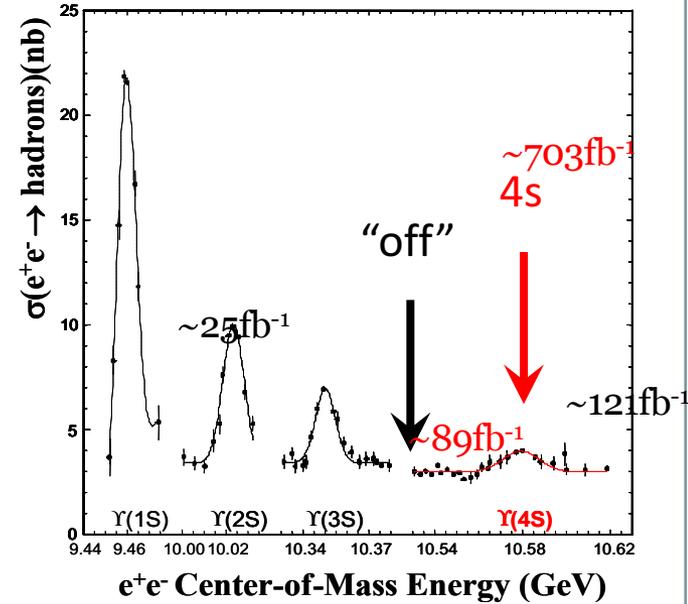
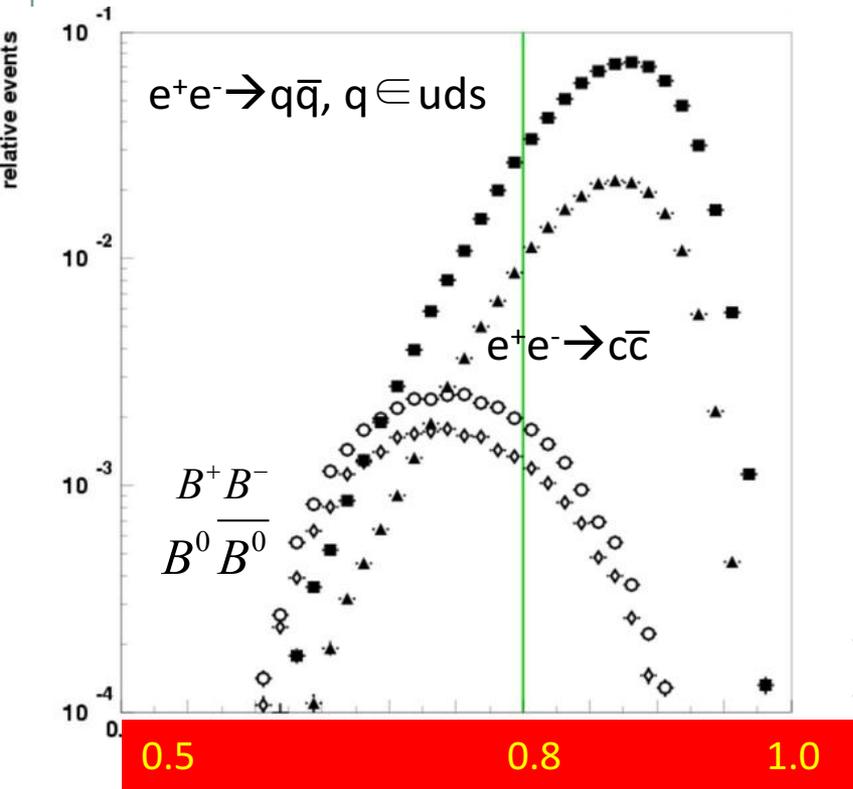
Available data:

~1.8 * 10⁹ events at 10.58 GeV,

~220 * 10⁶ events at 10.52 GeV



Measuring Light Quark Fragmentation Functions on the $\Upsilon(4S)$ Resonance

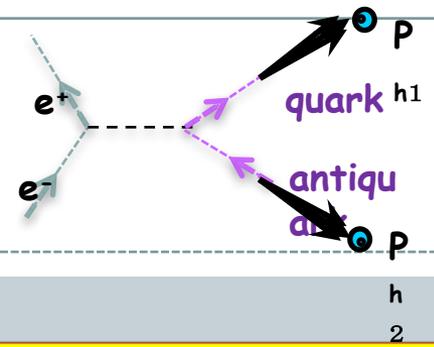


- small B contribution (<1%) in high thrust sample
- >75% of X-section continuum under $\Upsilon(4S)$ resonance
- $89 \text{fb}^{-1} \rightarrow 792 \text{fb}^{-1}$

$$\text{Thrust: } T = \frac{\sum_i |p_i \cdot \hat{n}|}{\sum_i |p_i|}$$

Baseline measurement $D(z)$ from Cross-Section for identified Pions and Kaons

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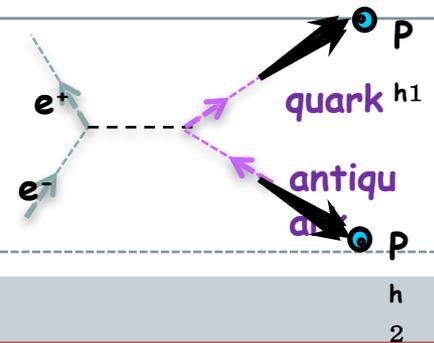
$$\sigma^h(z, Q^2, p_T) \propto \sum_q e_q^2 (D_{1,q}^h(z, Q^2, p_T) + D_{1,\bar{q}}^h(z, Q^2, p_T))$$

$$\frac{d\sigma_i}{dz} = \frac{1}{L_{tot}}$$

$N^{j,raw}(z_m)$

Baseline measurement $D(z)$ from Cross-Section for identified Pions and Kaons

12



$$\sigma^h(z, Q^2, p_T) \propto \sum_q e_q^2 (D_{1,q}^h(z, Q^2, p_T) + D_{1,\bar{q}}^h(z, Q^2, p_T))$$

$$\frac{d\sigma_i}{dz} = \frac{1}{L_{tot}}$$

$$\epsilon_{ISR/FSR}^i(z) S_{zz_m}^{-1} \epsilon_{impu}^i(z_m) P_{ij}^{-1} N^{j,raw}(z_m)$$

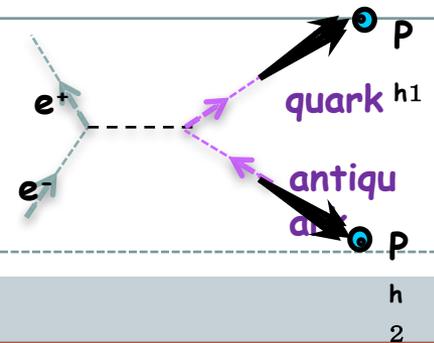
PID $i = \pi, K$

- Initial State Radiation
- Exclude events where CME/2 changes by more than 0.5%
- Large at low z, correct based on MC

Smearing Corrections

Baseline measurement $D(z)$ from Cross-Section for identified Pions and Kaons

13



$$\sigma^h(z, Q^2, p_T) \propto \sum_q e_q^2 (D_{1,q}^h(z, Q^2, p_T) + D_{1,\bar{q}}^h(z, Q^2, p_T))$$

$$\frac{d\sigma_i}{dz} = \frac{1}{L_{tot}} \epsilon_{joint}^i(z) \epsilon_{ISR/FSR}^i(z) S_{zz_m}^{-1} \epsilon_{impu}^i(z_m) P_{ij}^{-1} N^{j,raw}(z_m)$$

PID $i = \pi, K$

- Correct for acceptance, $\tau\tau, 2\gamma,$ decay in flight,

- Initial State Radiation
- Exclude events where $CME/2$ changes by more than 0.5%
- Large at low z , correct based on MC

Smearing Corrections

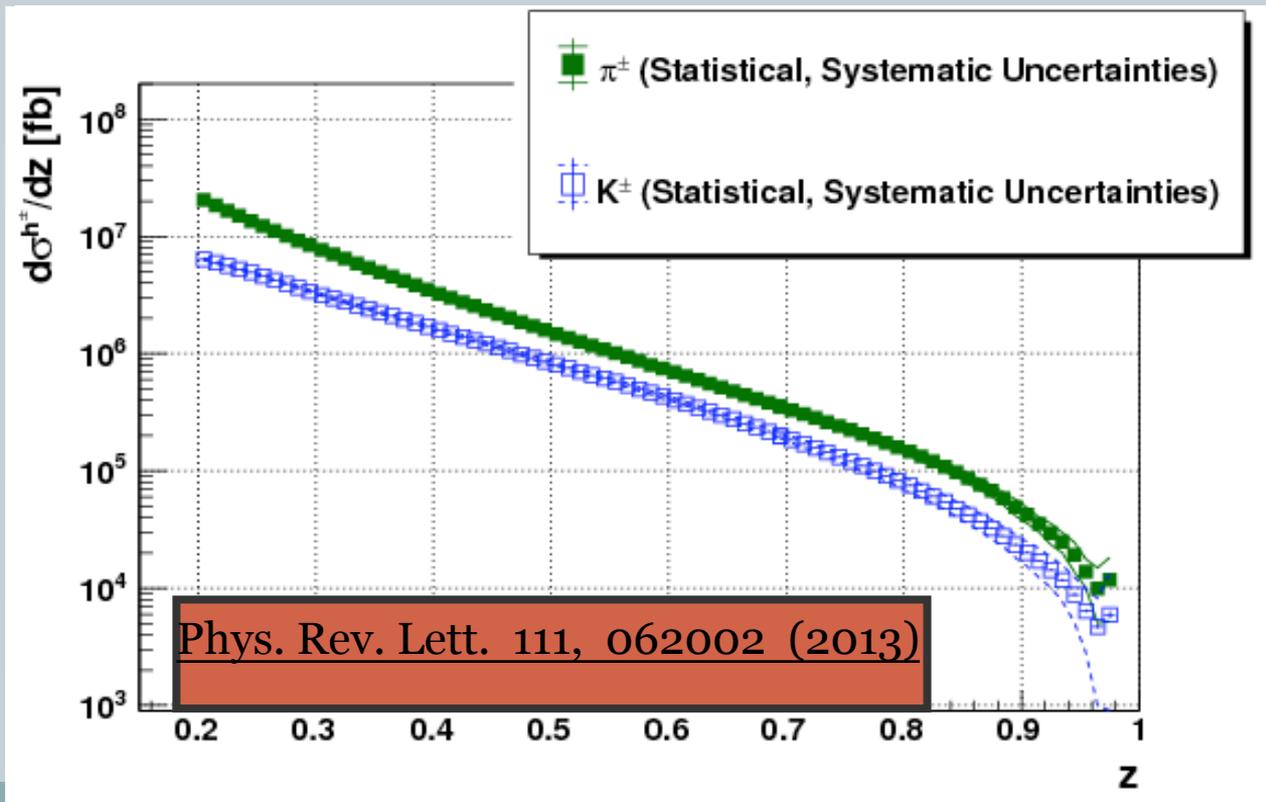
< 10%

Cross sections

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$i = \pi, K$

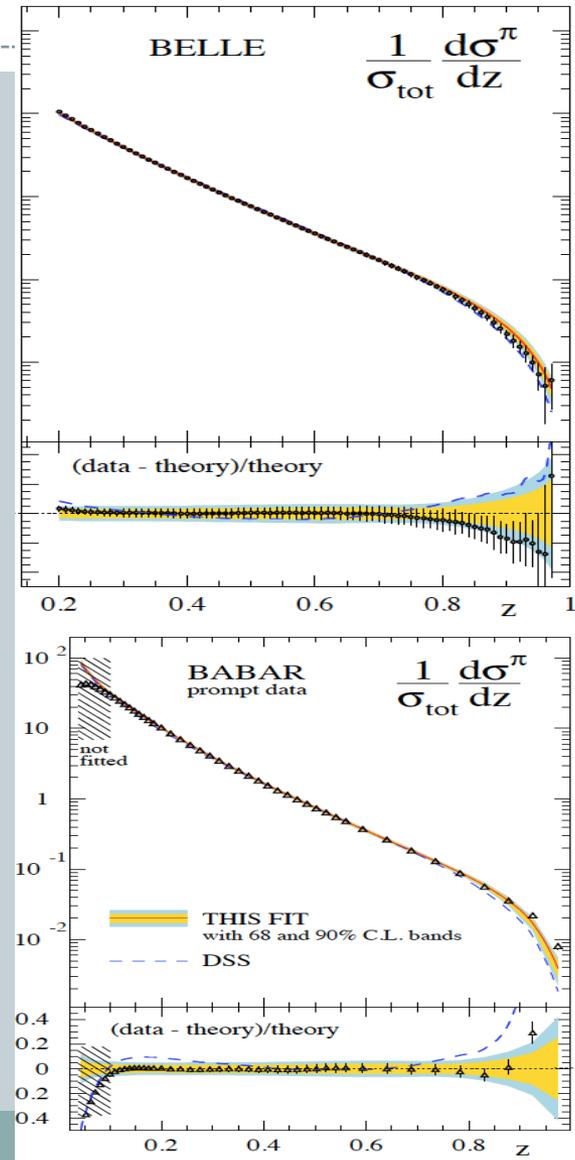
$$\frac{d\sigma_i}{dz} = \frac{1}{L_{tot}} \epsilon_{joint}^i(z) \epsilon_{ISR/FSR}^i(z) S_{zz_m}^{-1} \epsilon_{impu}^i(z_m) P_{ij}^{-1} N^{j,raw}(z_m)$$



New DSS(E,H-P) Fit

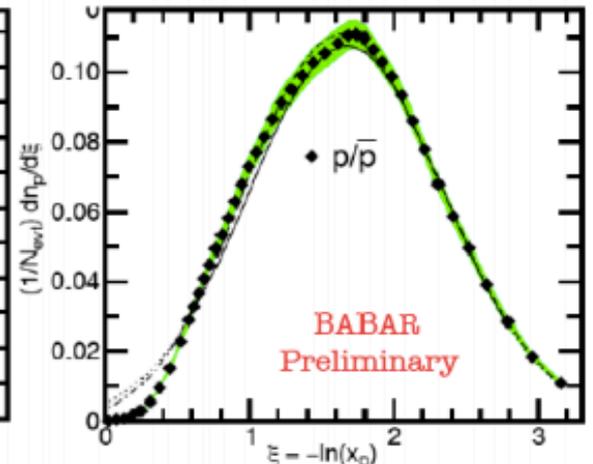
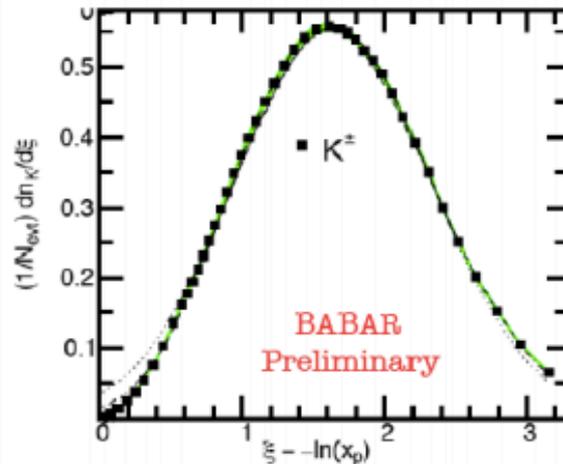
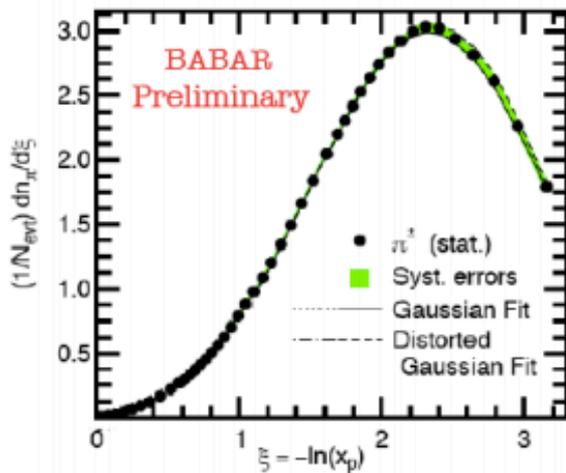
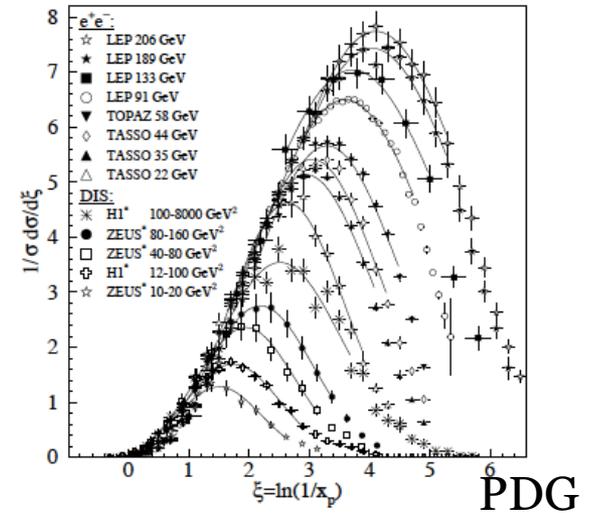
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- Fit includes pp, SIDIS data and BaBar e+e-
- From DSS:
 - Precise data at high z
 - Some info from scaling violations (Belle vs experiments at M_Z)
 - Some info on flavor due to charge weighting
- New NNLO calculations (only e+e-)
 - ✦ → Reduce theoretical uncertainty but χ^2 only marginally smaller
 - ✦ K factors at 10% (down from 40% for NLO/LO (at LEP energies only ~1%))
- Still need high z resummation and target mass corrections



Perturbative QCD tests

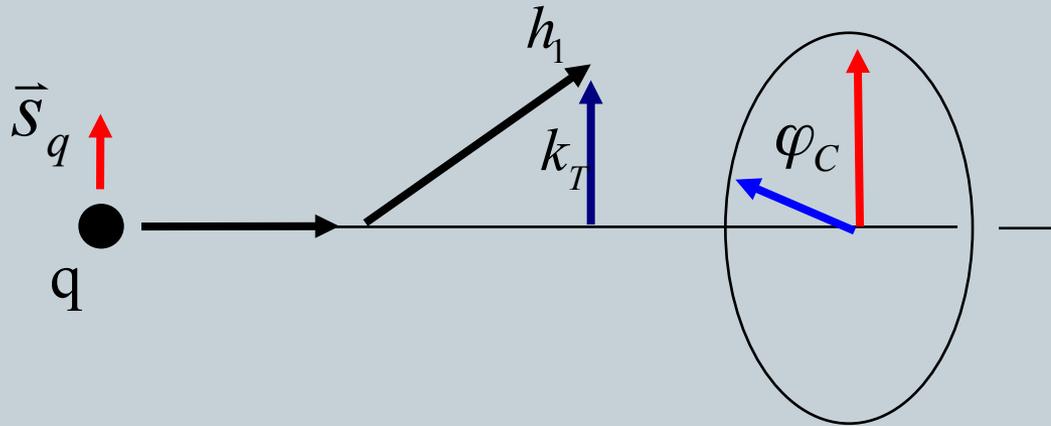
- Time like splitting functions have singularities < 0.1 (unlike space like important for DIS)
- MLLA \rightarrow test for resummation
- Observed shape consistent with QCD calculations
- Can be used to extract α_s



Can we measure quark polarization in FFs?



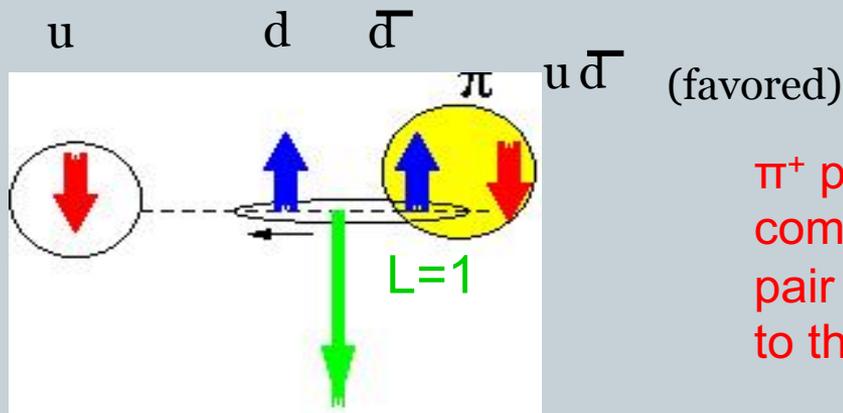
- Yes! → Collins fragmentation function
- Microscopic property (spin) leave footprint in macroscopic property (hadron azimuthal distributions)



The Collins Effect in the Artru Fragmentation Model

Moriond
March 19th

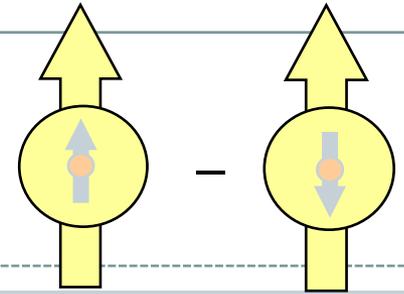
A simple model to illustrate that spin-orbital angular momentum coupling can lead to left right asymmetries in spin-dependent fragmentation:



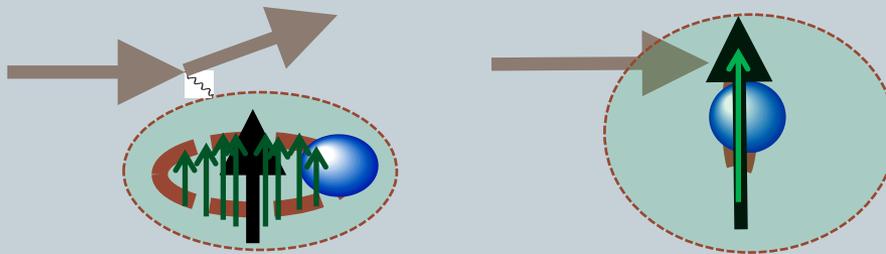
π^+ picks up $L=1$ to compensate for the pair $S=1$ and is emitted to the right.

String breaks and a $d\bar{d}$ -pair with spin -1 is inserted.

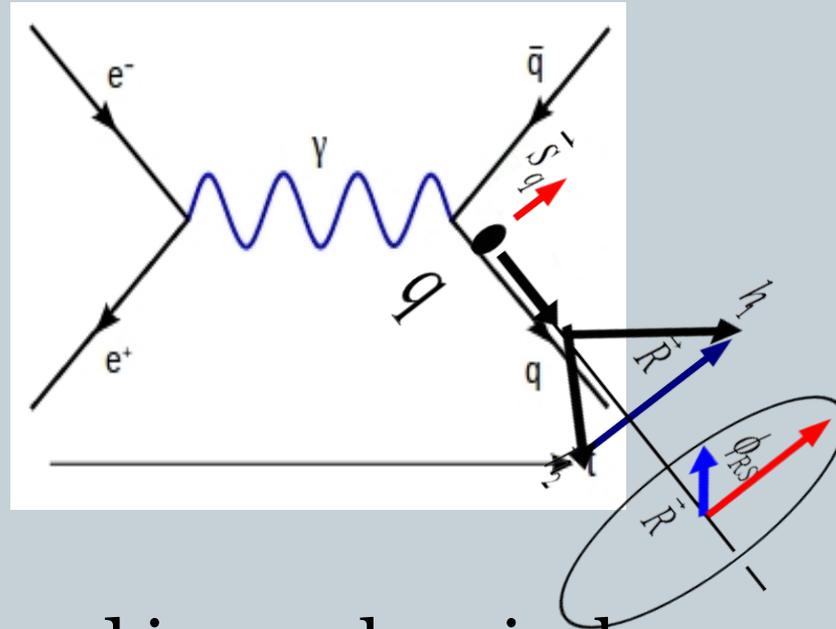
Application Transversity h:



- Tensor charge $g_T = \int_{-1}^1 dx h(x)$ can be computed precisely on the lattice \rightarrow Compare with first order QCD
- Important for low energy BSM physics e.g. in neutron β decay (tensor coupling)
- Cannot Measure in Inclusive Reactions
 - Naïve picture: leptonic probe too 'fast' to be sensitive to transverse polarization, need quark polarimeter:

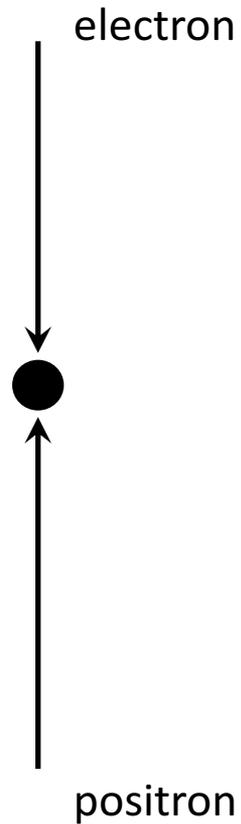


How to measure spin dependent FFs in (unpolarized) e^+e^- ?

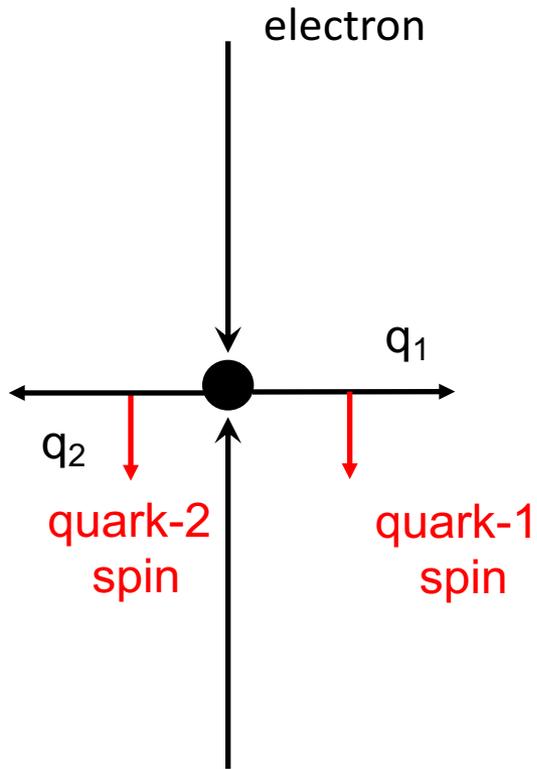


- Effect measured in one hemisphere averages out

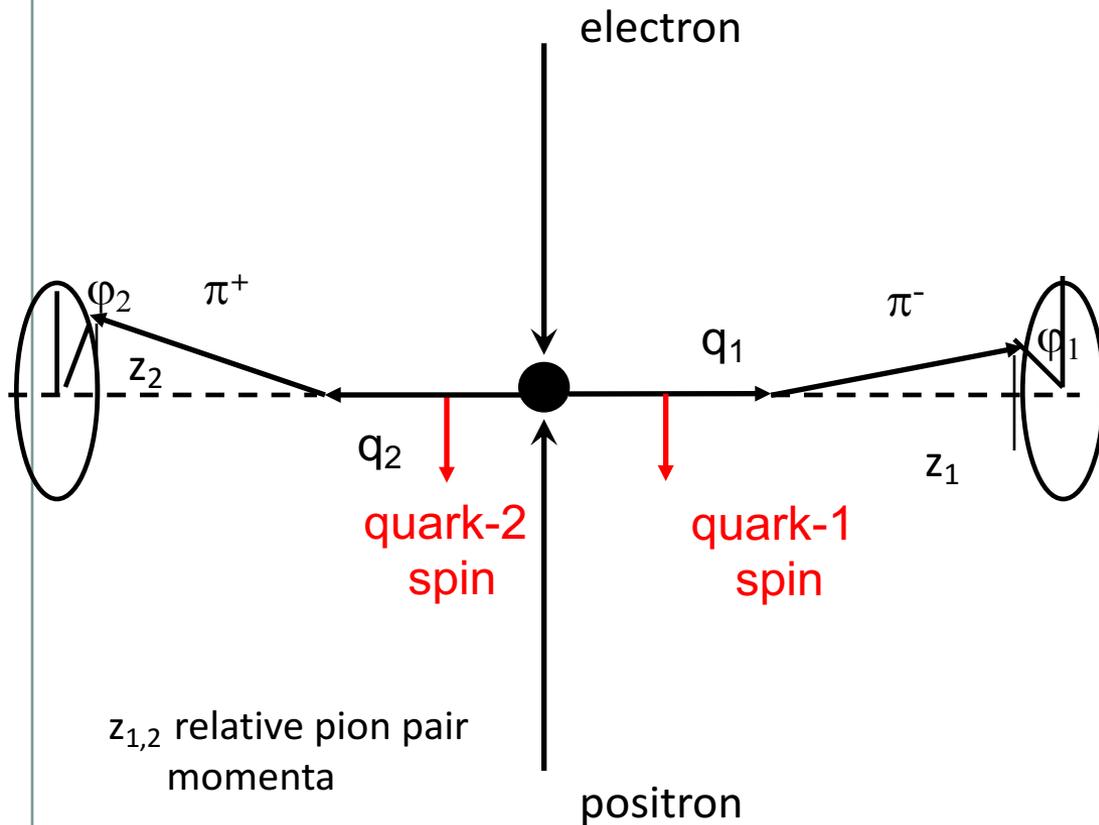
Measuring transverse spin dependent di-Hadron Correlations In unpolarized e^+e^- Annihilation into Quarks



Measuring transverse spin dependent di-Hadron Correlations In unpolarized e^+e^- Annihilation into Quarks



Measuring transverse spin dependent di-Hadron Correlations In unpolarized e^+e^- Annihilation into Quarks



Spin correlation
will lead to azimuthal
asymmetries in hadron pair
correlation measurements!

Experimental requirements:

- Small asymmetries → very large data sample!
- Good particle ID to high momenta.
- Hermetic detector

$$\sigma \propto H_1^\perp(z_1) \bar{H}_1^\perp(z_2) \cos(\phi_1 + \phi_2) + C$$



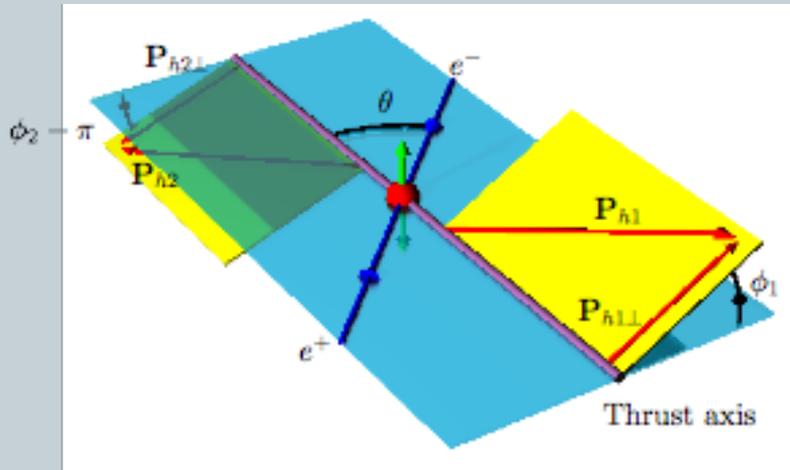
There are two methods with two or one soft scale

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D. Boer
Nucl.Phys.B806:23,2009

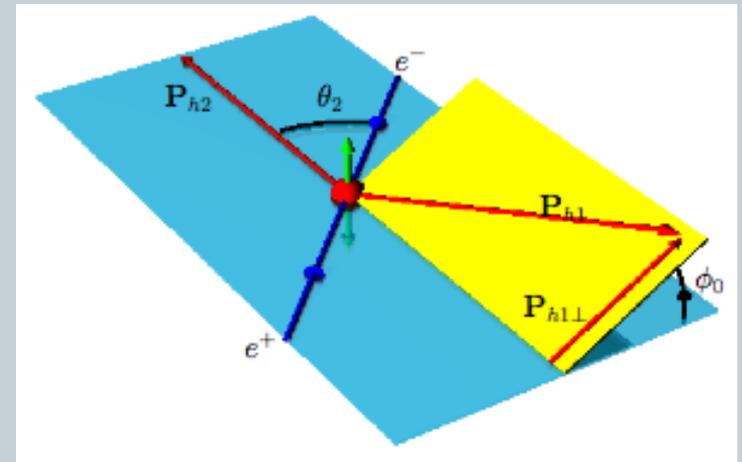
$\phi_1 + \phi_2$ method:

hadron azimuthal angles with respect to the $q\bar{q}$ axis proxy



ϕ_0 method:

hadron 1 azimuthal angle with respect to hadron 2



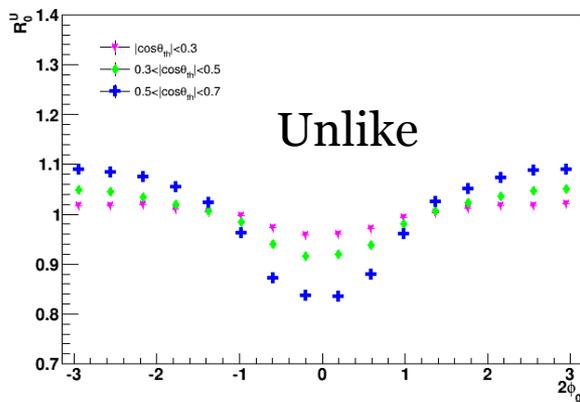
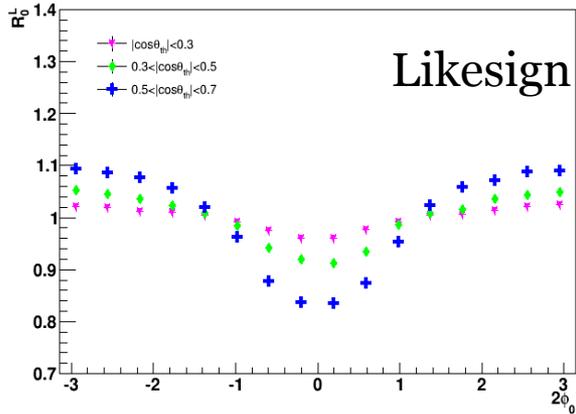
$$\sigma \sim \mathcal{M}_{12} \left(1 + \frac{\sin^2 \theta_T}{1 + \cos^2 \theta_T} \cos(\phi_1 + \phi_2) \frac{H_1^{\perp[1]}(z_1) \bar{H}_1^{\perp[1]}(z_2)}{D_1^{[0]}(z_1) \bar{D}_1^{[0]}(z_2)} \right) \quad \sigma \sim \mathcal{M}_0 \left(1 + \frac{\sin^2 \theta_2}{1 + \cos^2 \theta_2} \cos(2\phi_0) \mathcal{F} \frac{H_1^{\perp}(z_1) \bar{H}_1^{\perp}(z_2)}{D_1^{\perp}(z_1) \bar{D}_1^{\perp}(z_2)} \right)$$

$$R_{12}^{U/L} = \frac{N(\varphi_1 + \varphi_2)}{\langle N_{12} \rangle}$$

$$R_0^{U/L} = \frac{N(2\varphi_0)}{\langle N_0 \rangle}$$

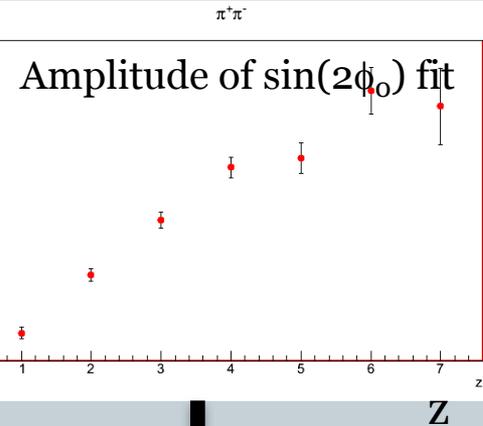
Use of Double Ratios

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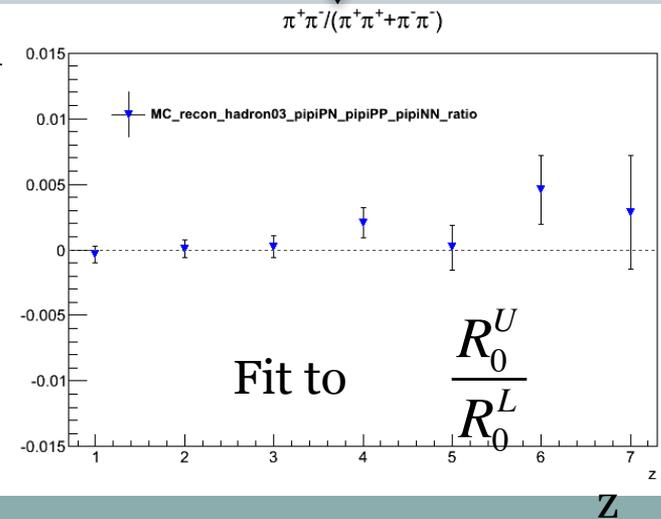
- False asymmetries due to Acceptance and QCD radiation
- Charge independent

A_0

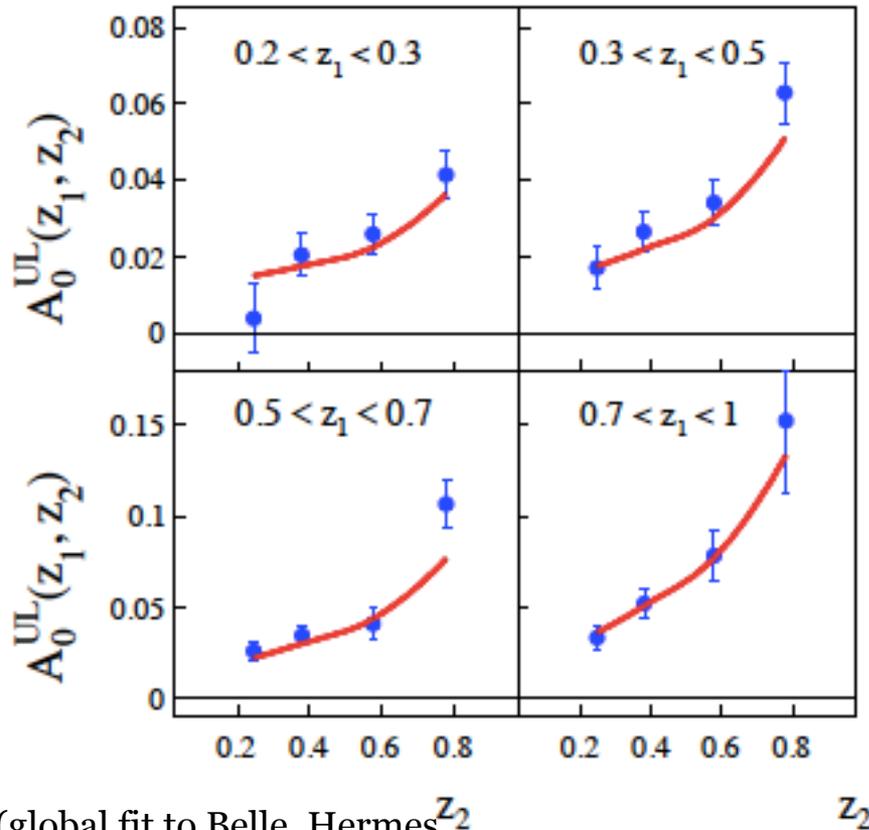


Use of “Double Ratios”

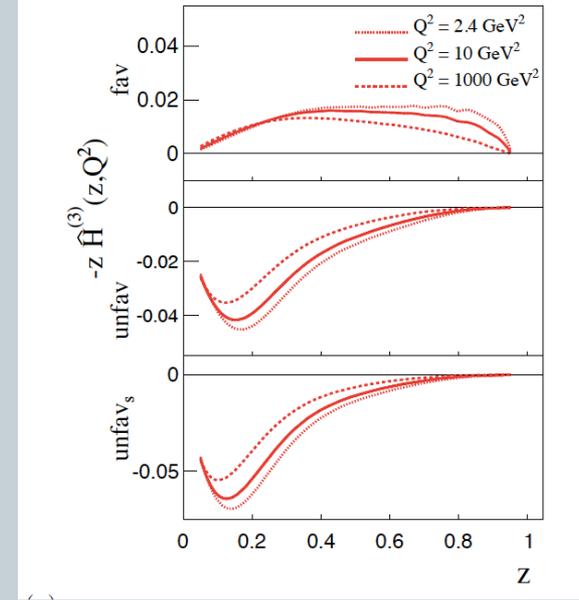
A_0



Belle π Collins Results



(global fit to Belle, Hermes,
Jlab & COMPASS data)



Extraction of Collins FF

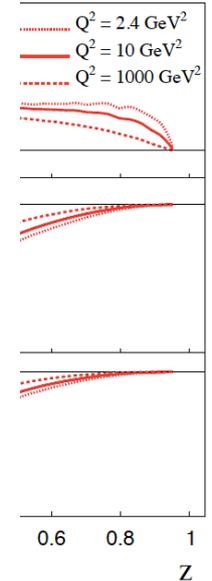
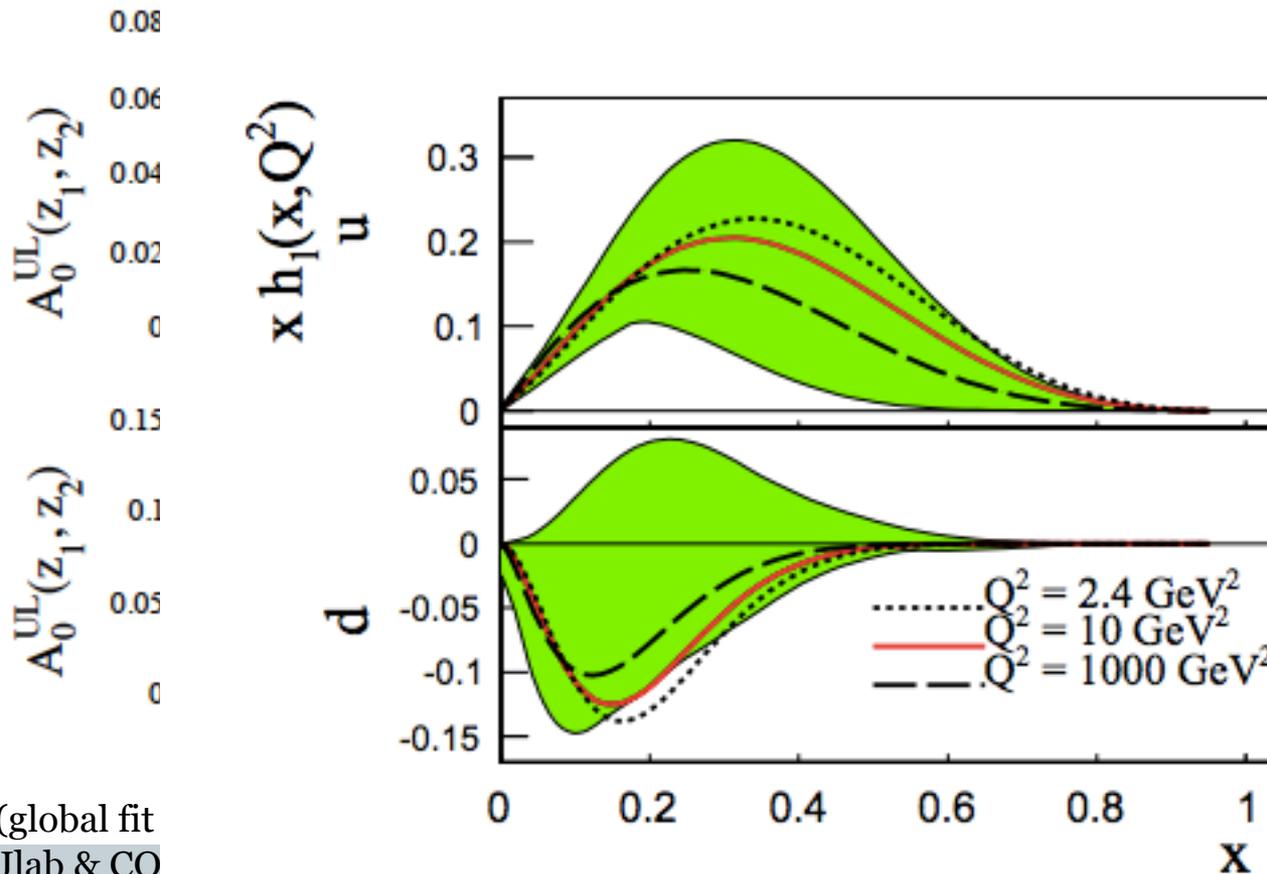
Kang, Prokudin, Sun, Yuan

Int.J.Mod.Phys.Conf.Ser. 37 (2015) 1560027

Belle Data:

Phys.Rev. D78 (2008) 032011

Extracted Transversity



Collins FF

1
37 (2015) 1560027

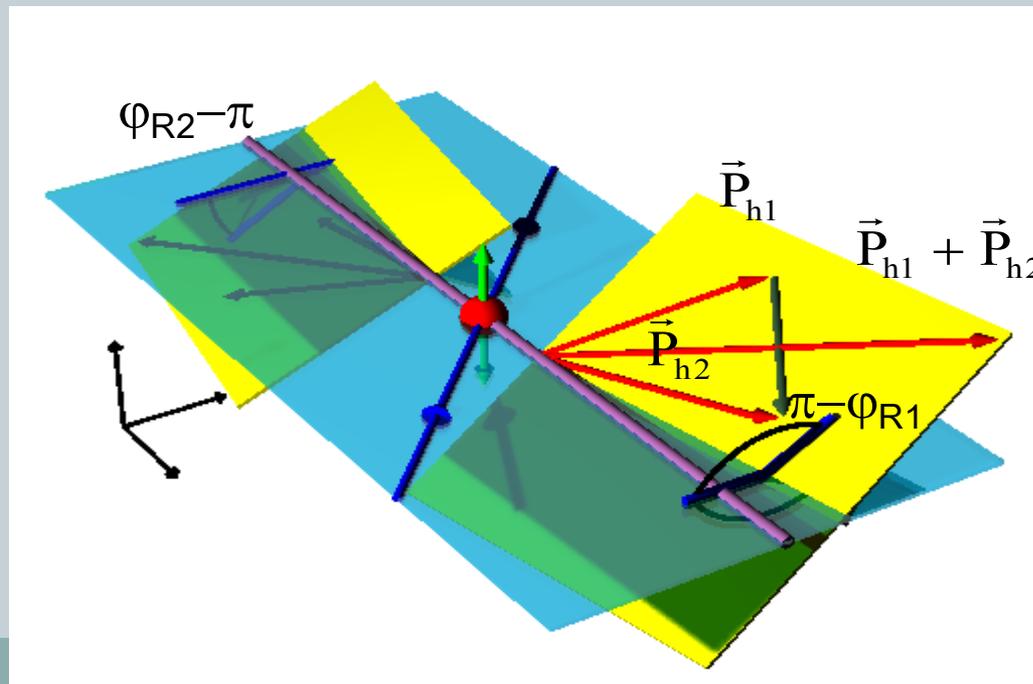
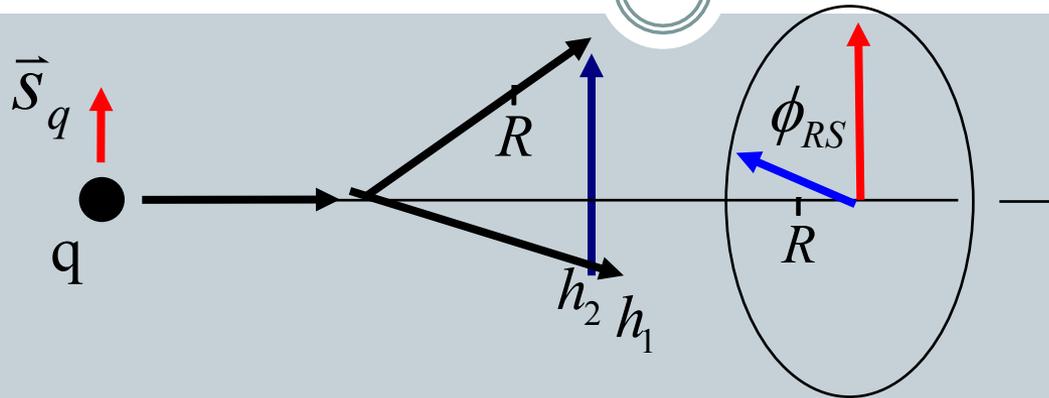
(global fit
Jlab & CO

Belle Data:

Phys.Rev. D78 (2008) 032011

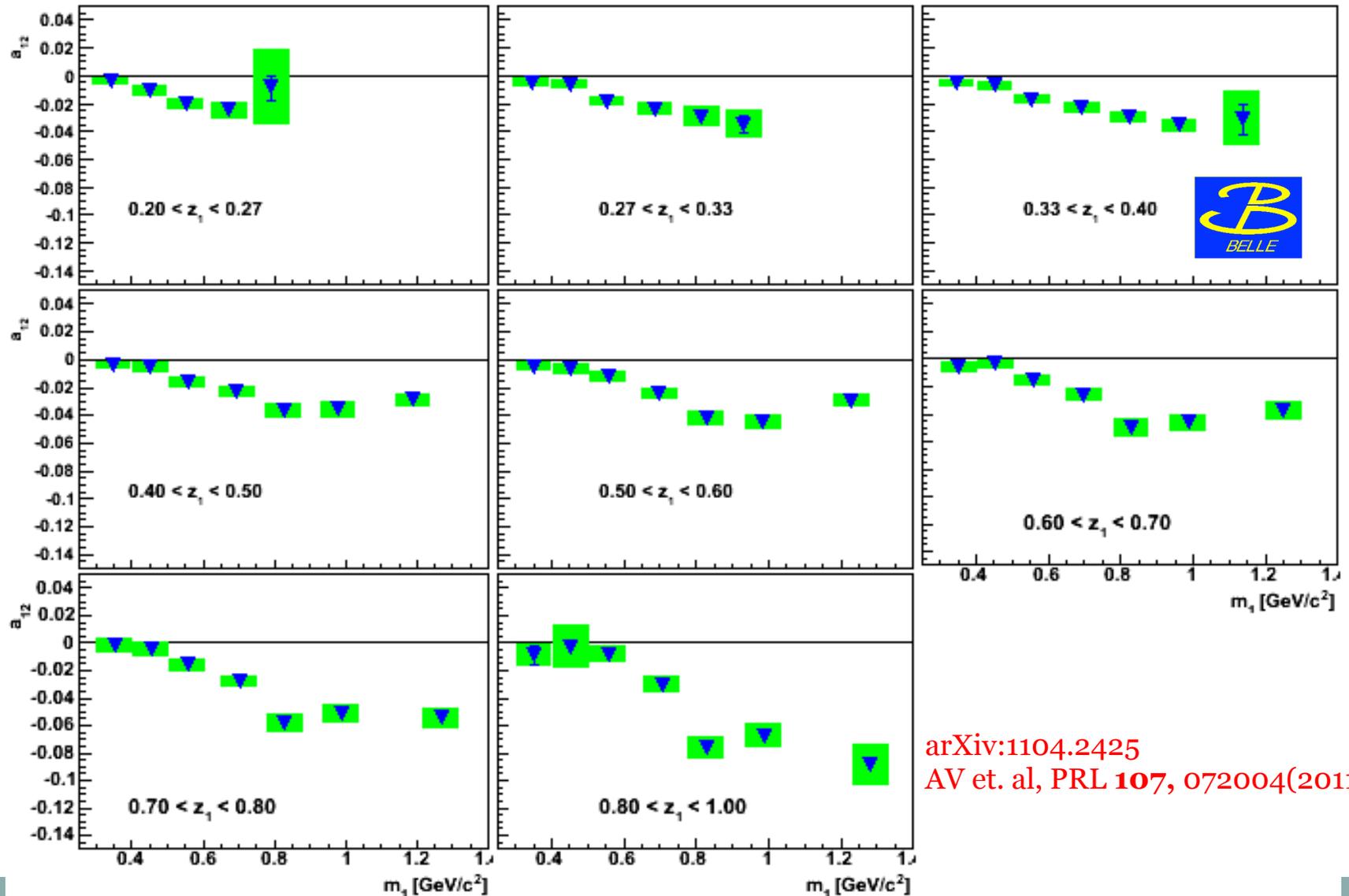
Di-Hadron Fragmentation

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First measurement of Interference Fragmentation Function

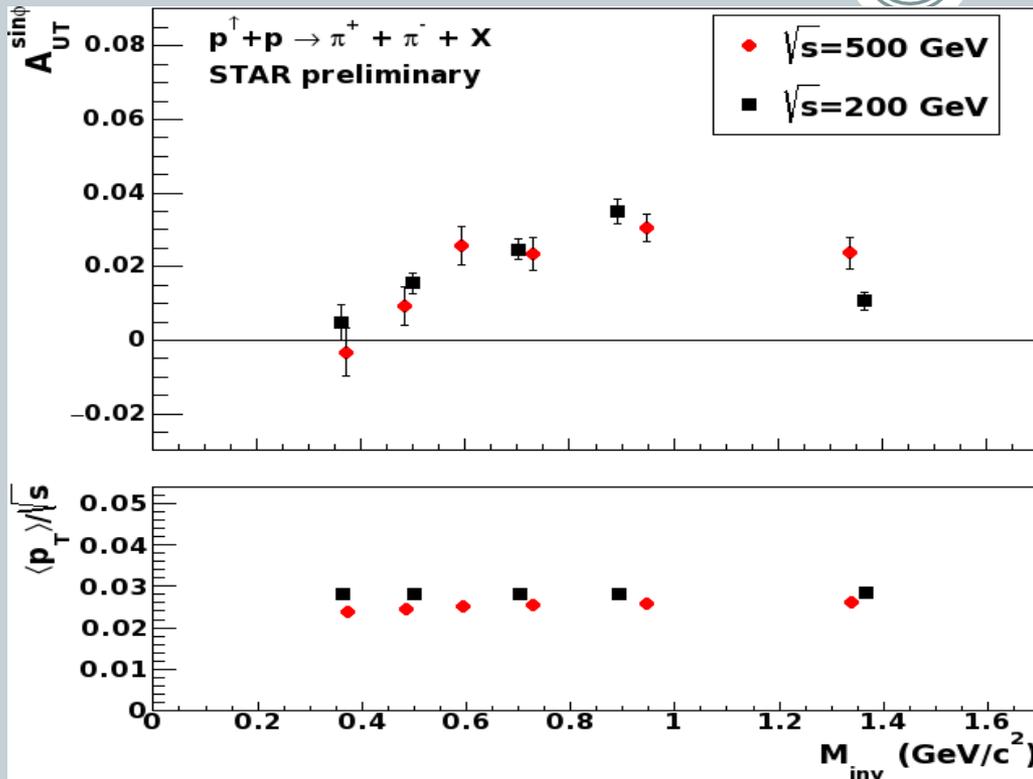
$$a_{12} \propto H_1^{<} * H_1^{<}$$



arXiv:1104.2425
 AV et. al, PRL **107**, 072004(2011)

"Easy" to measure in proton-proton!

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One p_T bin for which p_T/\sqrt{s} similar

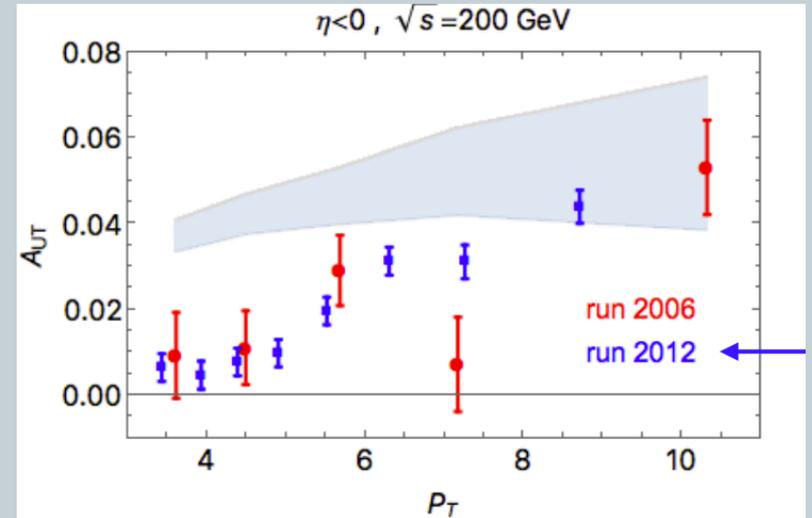
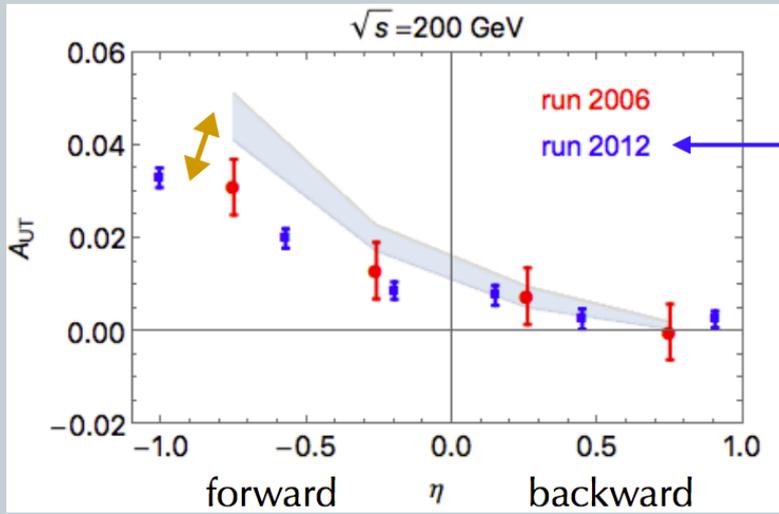
$$\sim X_{Bj}$$

See also **Phys.Rev.Lett. 115 (2015) 242501**

- Indeed: No Evolution, Gluons do not couple to transversity!
- Kinematic coverage and tests of universality
- $0.06 < x < 0.35$
- Still 3x (200 GeV) 15x (500 GeV) data on tape/to be taken next year \rightarrow most precise determination of transversity before SoLID

Comparison of pp results with Theory Predictions based on SIDIS \rightarrow Test of Universality

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M. Radici at Spin2016

- Theory prediction in this region driven by **one** COMPASS datapoint outside the Soffer bound

Helicity Dependent FFs



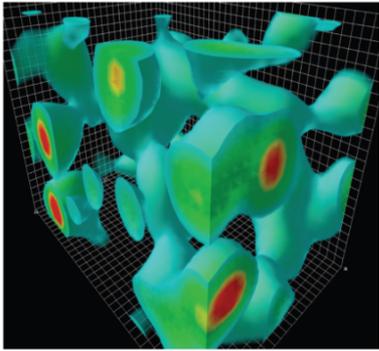
$$D_1 = \bullet \longrightarrow \begin{array}{c} \text{small pink circle} \\ \text{large pink circle} \end{array}$$

$$H_1^\perp, H_1^{\star\perp} = \left(\begin{array}{c} \uparrow \\ \bullet \end{array} \longrightarrow \begin{array}{c} \text{small pink circle} \\ \text{large pink circle} \end{array} \right) - \left(\begin{array}{c} \bullet \\ \downarrow \end{array} \longrightarrow \begin{array}{c} \text{small pink circle} \\ \text{large pink circle} \end{array} \right)$$

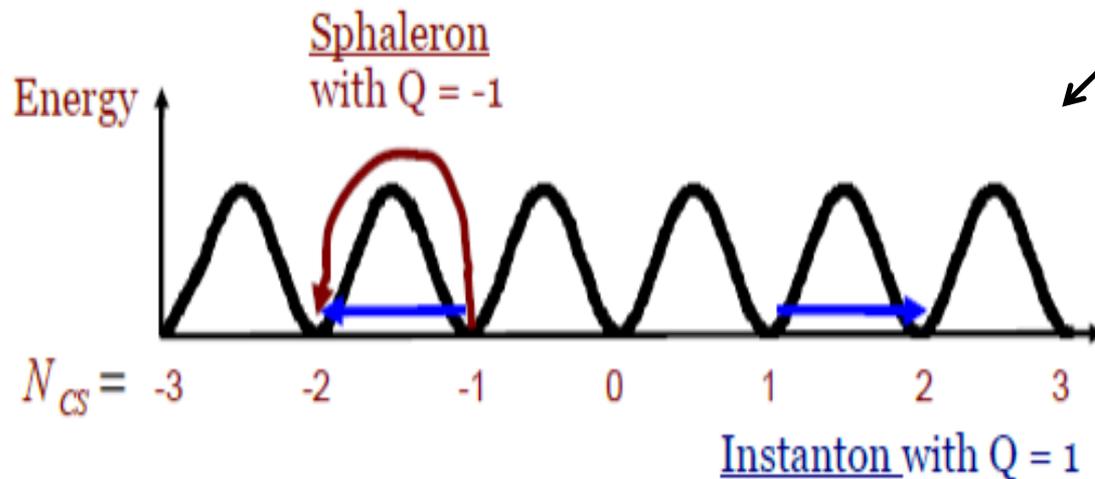
$$G_1^\perp = \left(\begin{array}{c} \bullet \\ \rightarrow \end{array} \longrightarrow \begin{array}{c} \text{small pink circle} \\ \text{large pink circle} \end{array} \right) - \left(\begin{array}{c} \leftarrow \\ \bullet \end{array} \longrightarrow \begin{array}{c} \text{small pink circle} \\ \text{large pink circle} \end{array} \right)$$

- Not needed for g_1 but
 - Needs intrinsic transverse momentum to exist in FF \rightarrow BM in the nucleon
 - Sensitive to local strong parity violating effects in the QCD vacuum

QCD Vacuum Transitions carry Chirality



The QCD Vacuum

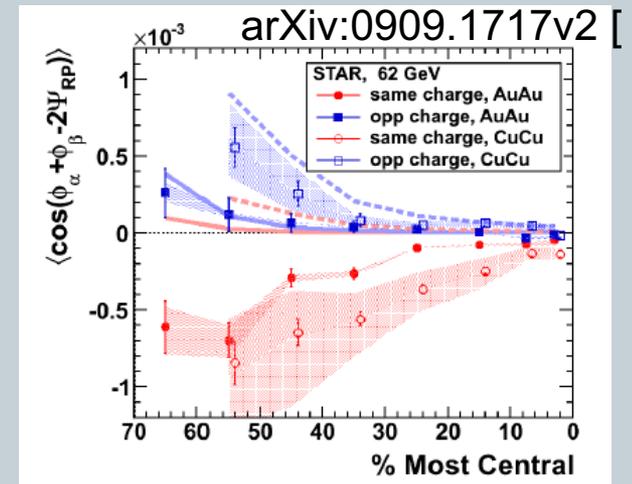
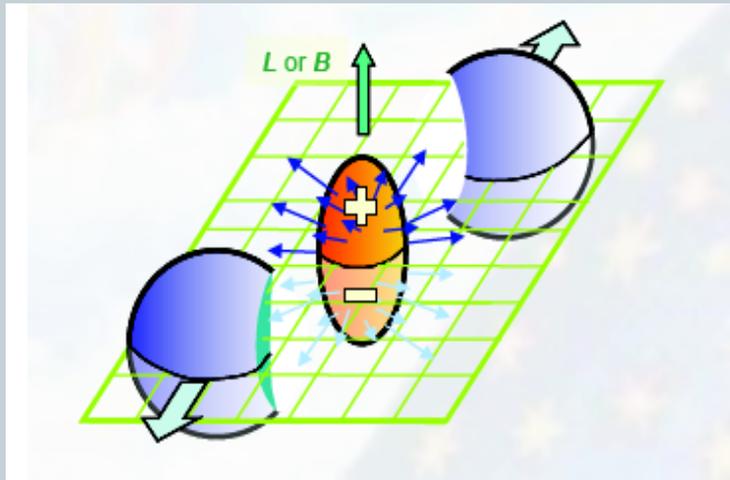
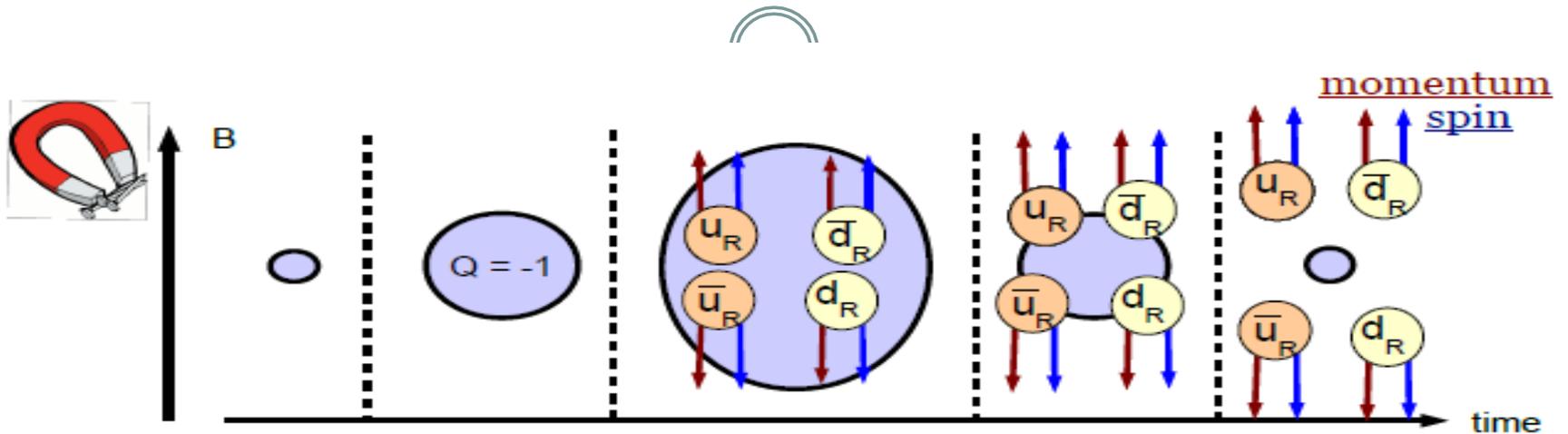


Difference in winding number:
Net chirality carried by
Instanton/Sphaleron

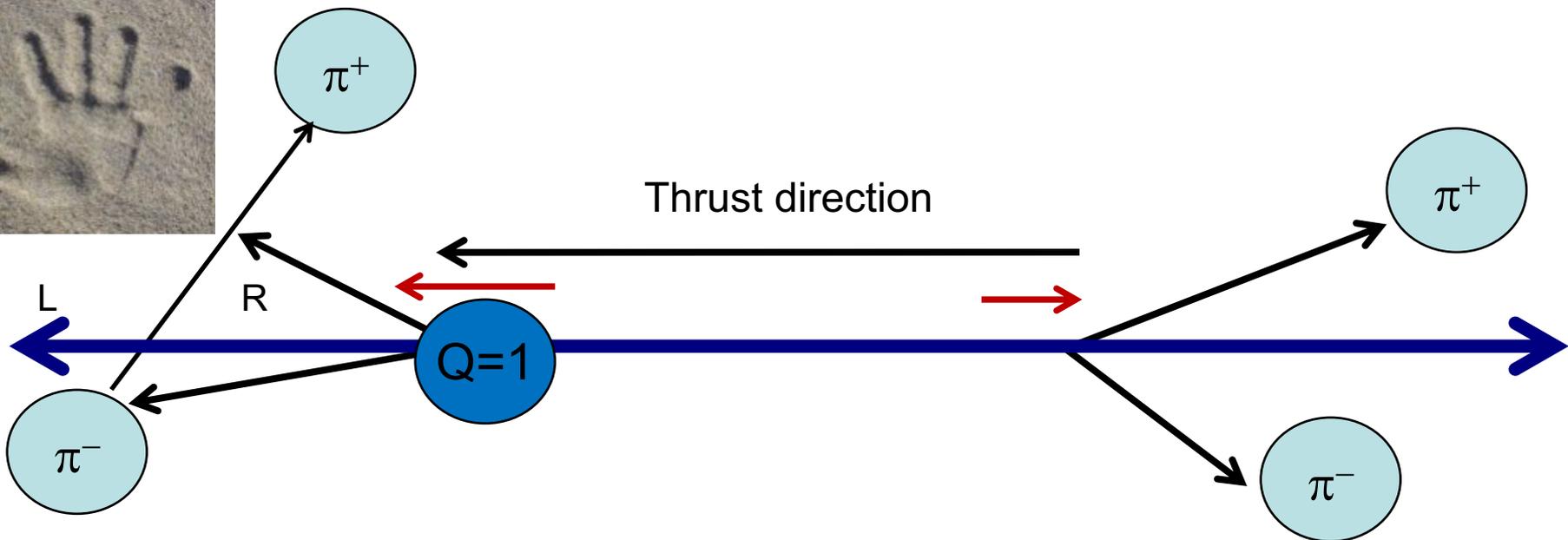


- Vacuum states are characterized by “winding number”
- Transition amplitudes: Gluon configurations, carry net chirality
- e.g. quarks: net spin momentum alignment
- Similar mechanism to EW baryogenesis

QCD Vacuum Transitions carry Chirality QN



Handedness Correlations



Di-Hadron Asymmetries

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- Di-hadron Cross Section from Boer, Jakob, Radici [PRD 67, (2003) 094003]: Expansion of Fragmentation Matrix Δ : encoding possible correlations in fragmentation ($\mathbf{k}: P_{h1} + P_{h2}$)

$$\frac{1}{32z} \int dk^+ \Delta(k; P_h, R) \Big|_{k^- = P_h^- / z, \mathbf{k}_T}$$

$$= \frac{1}{4\pi} \frac{1}{4} \left\{ D_1^a(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \not{n}_- - G_1^{\perp a}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \frac{\epsilon_{\mu\nu\rho\sigma} \gamma^\mu n_-^\nu k_T^\rho R_T^\sigma}{M_1 M_2} \gamma_5 \right.$$

$$\left. + H_1^{\triangleleft a}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \frac{\sigma_{\mu\nu} R_T^\mu n_-^\nu}{M_1 + M_2} + H_1^{\perp a}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \frac{\sigma_{\mu\nu} k_T^\mu n_-^\nu}{M_1 + M_2} \right\} .$$

$$\langle \cos(2(\phi_R - \phi_{\bar{R}})) \rangle = \sum_{a, \bar{a}} e_a^2 \frac{3\alpha^2}{2Q^2} z^2 \bar{z}^2 A(y) \frac{1}{M_1 M_2 \bar{M}_1 \bar{M}_2} G_1^{\perp a}(z, M_h^2) \bar{G}_1^{\perp a}(\bar{z}, \bar{M}_h^2) .$$

$$\langle \cos(\phi_R + \phi_{\bar{R}} - 2\phi^l) \rangle = \sum_{a, \bar{a}} e_a^2 \frac{3\alpha^2}{Q^2} \frac{z^2 \bar{z}^2 B(y)}{(M_1 + M_2)(\bar{M}_1 + \bar{M}_2)} H_{1(R)}^{\triangleleft a}(z, M_h^2) \bar{H}_{1(\bar{R})}^{\triangleleft a}(\bar{z}, \bar{M}_h^2) .$$

Measure $\text{Cos}(\phi_{R1} + \phi_{R2}), \text{Cos}(2(\phi_{R1} - \phi_{R2}))$ Modulations!

Di-hadron Cross Section from Boer, Jakob, Radici

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- Δ : Fragmentation Matrix, encoding possible correlations in fragmentation
 - k : $P_{h_1} + P_{h_2}$
- Helicity dependent correlation of
Intrinsic transverse momentum with
Di-hadron plane \rightarrow Test of TMD framework

from Boer, Jakob, Radici [PRD 67, (2003) 094003]

$$\frac{1}{32z} \int dk^+ \Delta(k; P_h, R) \Big|_{k^- = P_h^- / z, \mathbf{k}_T}$$

$$= \frac{1}{4\pi} \frac{1}{4} \left\{ D_1^a(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \not{n}_- - G_1^{\perp a}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \frac{\epsilon_{\mu\nu\rho\sigma} \gamma^\mu n_-^\nu k_T^\rho R_T^\sigma}{M_1 M_2} \gamma_5 \right.$$

$$\left. + H_1^{\lessdot a}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \frac{\sigma_{\mu\nu} R_T^\mu n_-^\nu}{M_1 + M_2} + H_1^{\perp a}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T) \frac{\sigma_{\mu\nu} k_T^\mu n_-^\nu}{M_1 + M_2} \right\}.$$

$$\langle \cos(2(\phi_R - \phi_{\bar{R}})) \rangle = \sum_{a, \bar{a}} e_a^2 \frac{3\alpha^2}{2Q^2} z^2 \bar{z}^2 A(y) \frac{1}{M_1 M_2 \bar{M}_1 \bar{M}_2} G_1^{\perp a}(z, M_h^2) \bar{G}_1^{\perp a}(\bar{z}, \bar{M}_h^2).$$

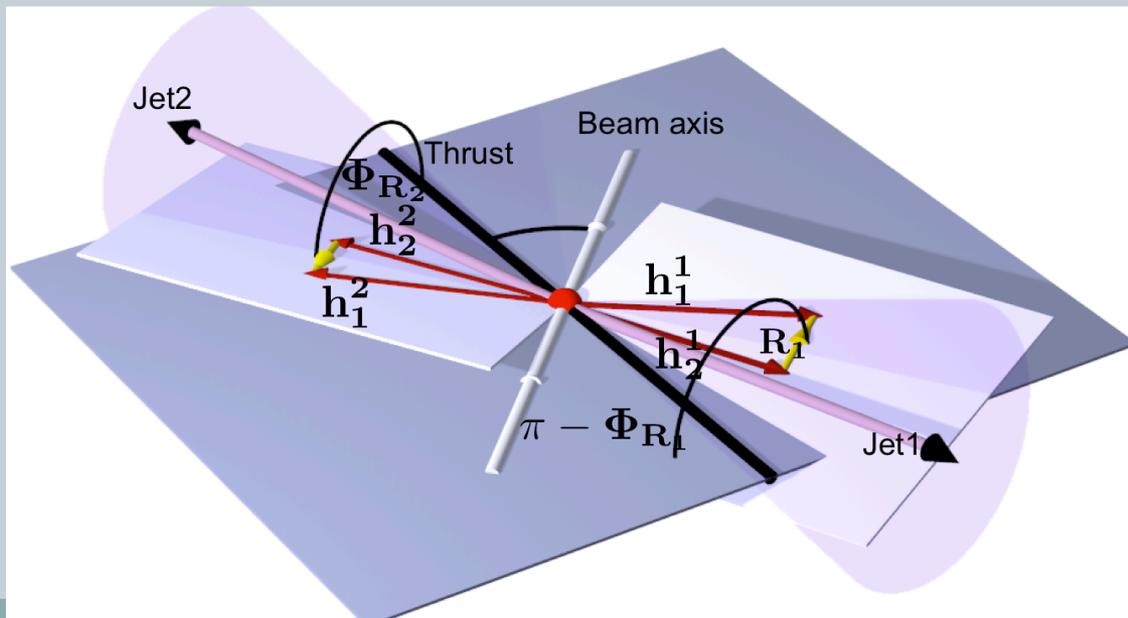
$$\langle \cos(\phi_R + \phi_{\bar{R}} - 2\phi^l) \rangle = \sum_{a, \bar{a}} e_a^2 \frac{3\alpha^2}{Q^2} \frac{z^2 \bar{z}^2 B(y)}{(M_1 + M_2)(\bar{M}_1 + \bar{M}_2)} H_{1(R)}^{\lessdot a}(z, M_h^2) \bar{H}_{1(R)}^{\lessdot a}(\bar{z}, \bar{M}_h^2).$$

Measure $\text{Cos}(\phi_{R_1} + \phi_{R_2}), \text{Cos}(2(\phi_{R_1} - \phi_{R_2}))$ Modulations

New: Use Jet Reconstruction at Belle

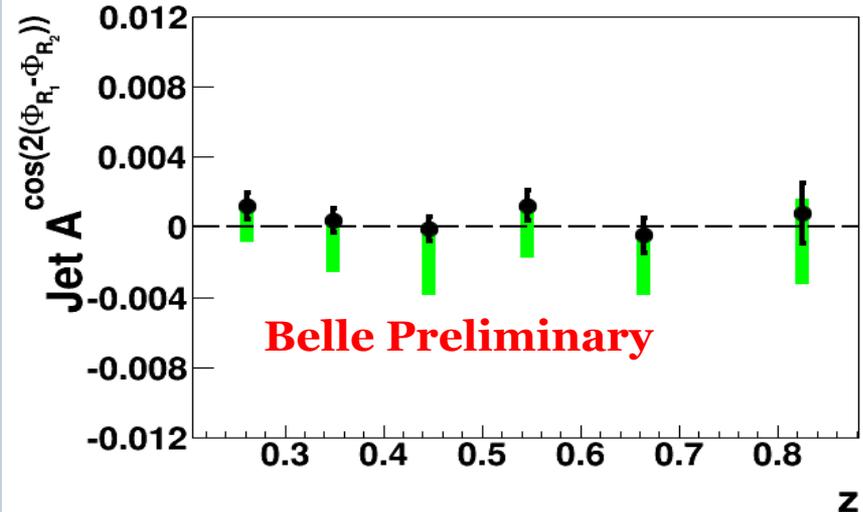
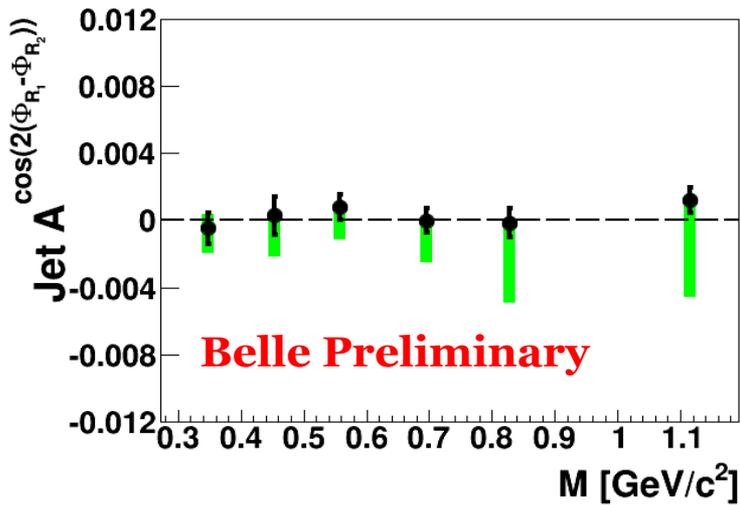
38

- Robust vs. final state radiation
- **De-correlate axis between hemispheres**
- We use anti-kT algorithm implemented in fastjet
- Cone radius $R=1.0$
- Min energy per jet $2.75 \text{ GeV} \rightarrow$ suppress weak decays
- Only allow events with 2 jets passing energy cut (dijet events)
- Only particles that form the jet are used in the asymmetry calculation
- Thrust cut of $0.8 < T < 0.95$



Asymmetries for $\text{Cos}(2(\phi_{R1}-\phi_{R2}))$ (G_1^\perp) small

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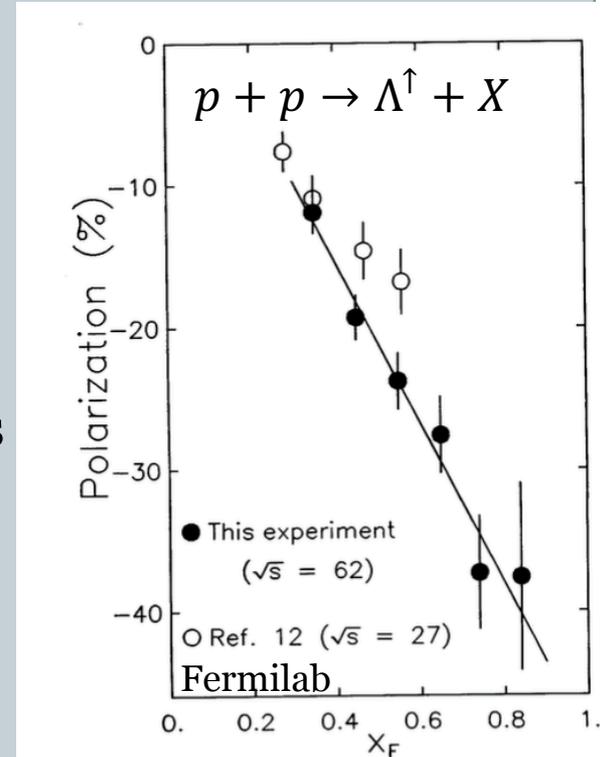
- No evidence of local p-odd effects yet
- Next step: partial wave analysis

Polarized Hyperon Production

(see presentation by Y. Guan at Spin2016)

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- Large Λ transverse polarization in unpolarized pp collision **PRL36, 1113 (1976); PRL41, 607 (1978)**
- Caused by polarizing FF $D_{1T}^\perp(z, p_\perp^2)$?
- Polarizing FF is chiral-even, has been proposed as a test of universality. **PRL105,202001 (2010)**
- OPAL experiment at LEP has been looking at transverse Λ polarization, no significant signal was observed. **Eur. Phys. J. C2, 49 (1998)**



ISR data

(Phys.Lett. B185 (1987) 209)

$$x_F = p_L / \max p_L \sim_{LO} x_1 - x_2 \sim_{forward} x_1$$

Hyperon Production as a tool to study baryon spin structure

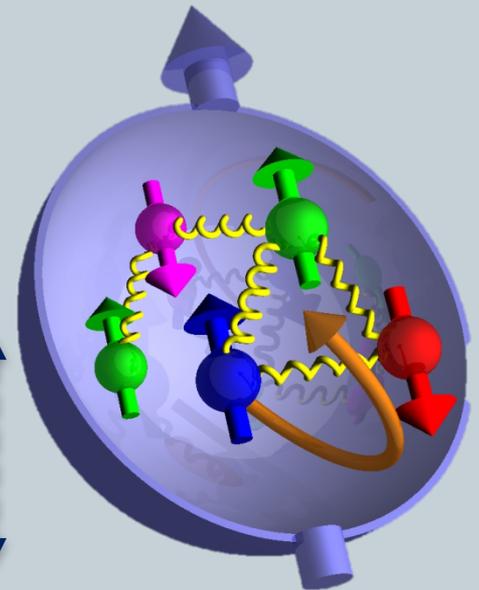


- Lambda polarization allows to study spin-orbit correlation of quarks inside Baryon \rightarrow counterpart of the Sivers parton distribution function (k_T dependence of quark distributions in transversely polarized proton)
- A non-vanishing D_{1T}^\perp could help to shed light on the spin structure of the Λ , especially about the quark orbital angular momentum, a missing part of the spin puzzle of the nucleon.
- Produce Lambda with certain p_T
- Check Transverse Polarization depending on p_T and flavor
- Analogue of the Sivers effect in the Similar Universality checks (T-odd but not chiral odd) allows to fix sign

q

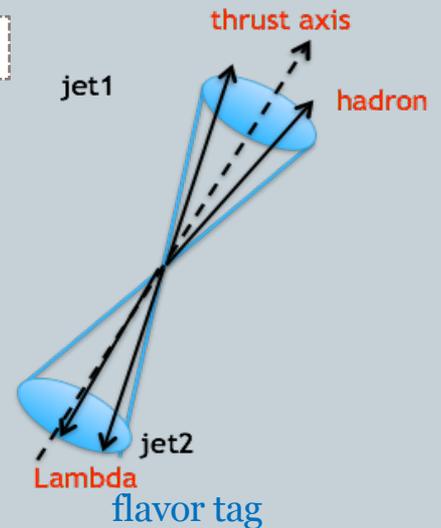
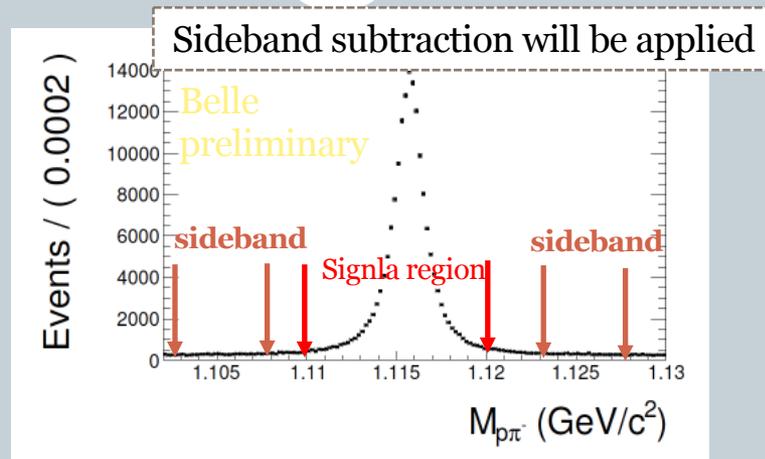


p_T



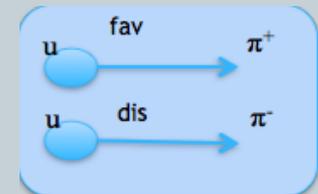
Lambda Reconstruction

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- Signal process $\Lambda \rightarrow p\pi^- (\bar{\Lambda} \rightarrow \bar{p}\pi^+)$. Clear Λ peak.
- Detect light hadron (K^\pm, π^\pm) in the opposite hemisphere \rightarrow enhance or suppress different flavors fragmenting in $\Lambda(\bar{\Lambda})$.

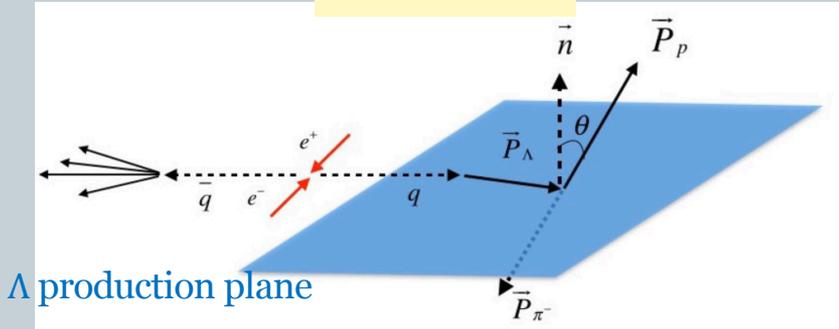
$\Lambda(uds); \pi^+(u\bar{d}); K^+(u\bar{s})$



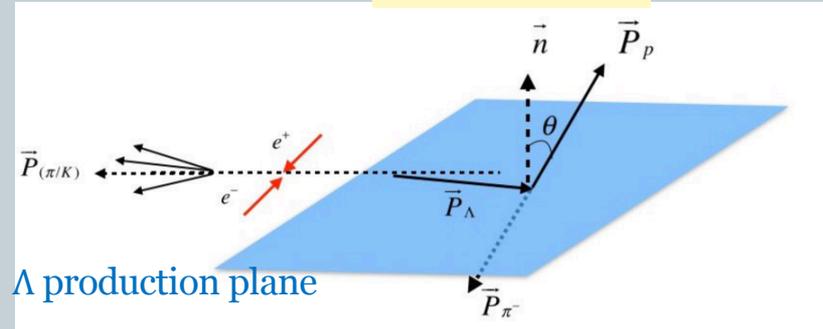
Reference frames

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Thrust Frame



Hadron Frame



- The reference vector \hat{n} is perpendicular to the Λ production plane.
- The p_t is defined as the transverse momentum of Λ relative to thrust axis in thrust frame and to hadron axis in hadron frame
- Give a polarization of P , the yield of the events follow:

$$\frac{1}{N} \frac{dN}{d\cos\theta} = 1 + \alpha P \cos\theta$$

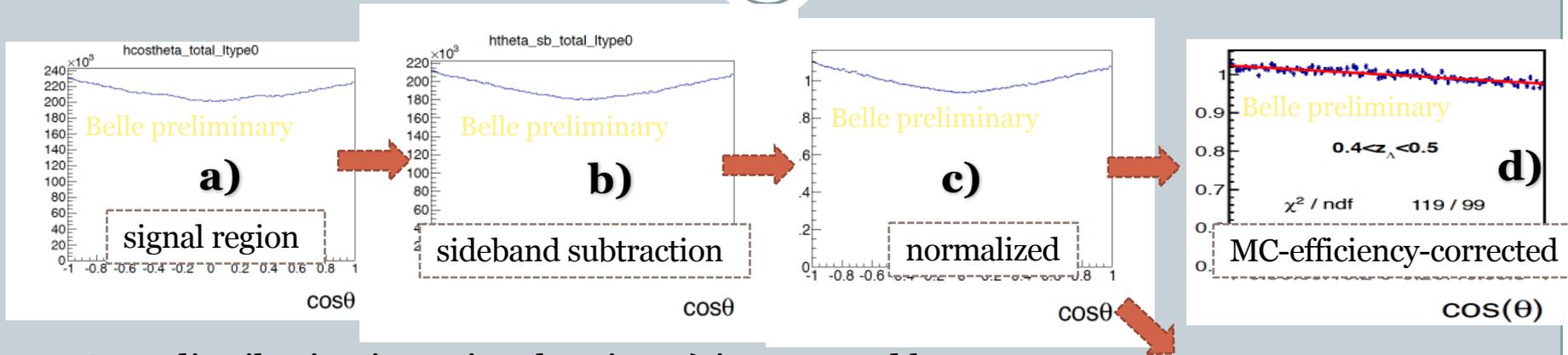
- where α is the decay parameter: $\alpha_+ = 0.642 \pm 0.013$ for Λ and $\alpha_- = -0.71 \pm 0.08$ for $\bar{\Lambda}$ (PDG).

kinematic variables

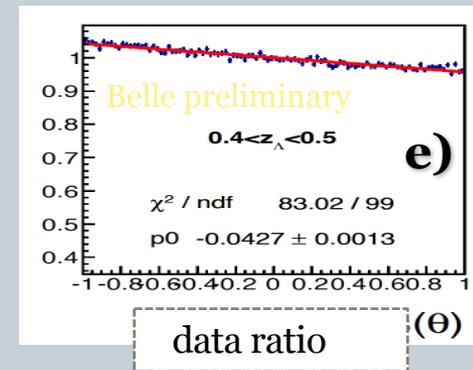
$\Lambda + X$	thrust frame	
variables	z_Λ, p_t	
$\Lambda + h + X$	thrust frame	hadron frame
variables	z_Λ, z_h, p_t	z_Λ, z_h, p_t

Analysis flow

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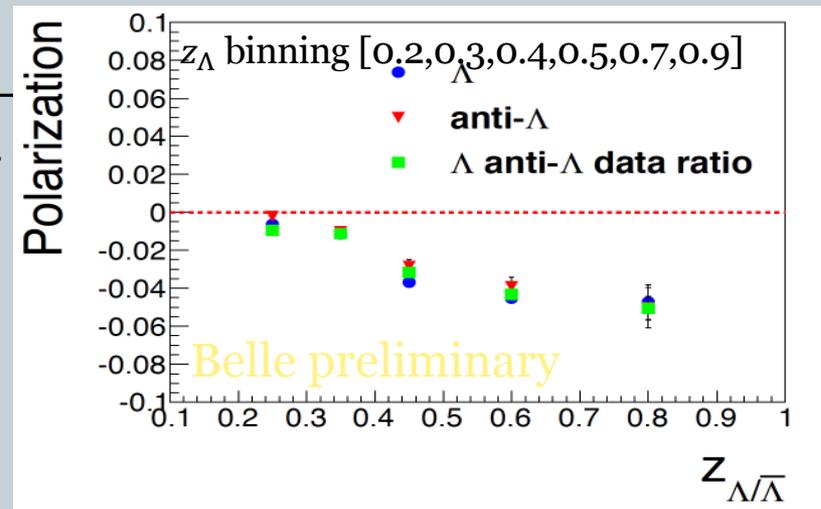
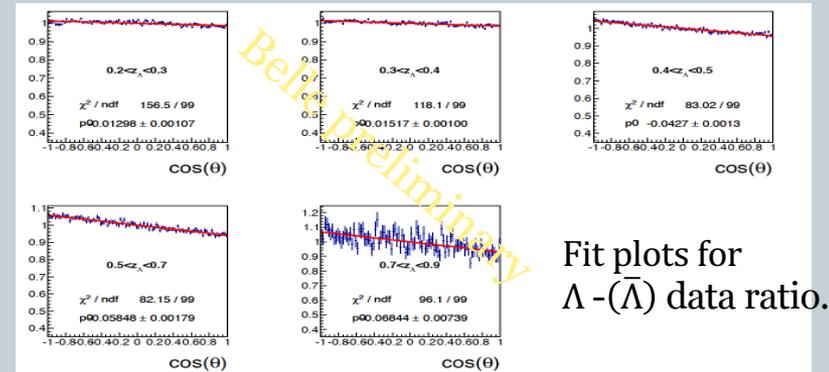
- $\cos\theta$ distribution in Λ signal region **a)** is corrected by sideband subtraction \rightarrow **b)**
- Normalized by itself, as shown in **c)**.
- The shape **c)** is divided by the corresponding shape from MC, so that we obtain the efficiency-corrected curve **d)**.
- Or **c)** shape of Λ events is divided by that from anti- Λ events if we assume efficiency is independent on charge, that is **e)**, this is called data ratios.
- We fit **d)** and **e)** to get the polarization of interest.



Fits and Extract polarization

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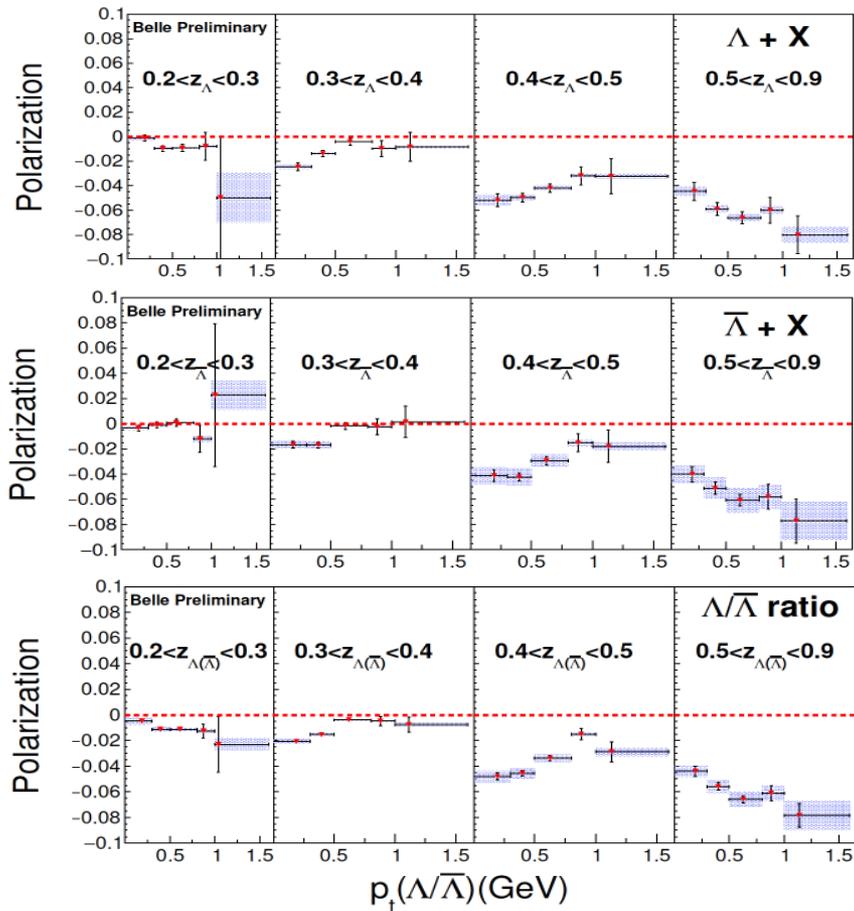
- Fit to the $\cos\theta$ distributions with $1 + p_0 \cos\theta$.
- The polarization of interest: p_0/α .
- In the data ratio, polarization is obtained via $p_0/(\alpha_+ - \alpha_-)$.
- In data ratios, the slope on the $\cos\theta$ distributions are about two times larger than that in MC-corrected ratios, the $(\alpha_+ - \alpha_-)$ is also about times larger than $\alpha_+(\alpha_-)$.
- Results from MC-corrected ratio and data ratio are consistent with each other.
- Nonzero polarization**, magnitude rises to about $\sim 5\%$ with $z_\Lambda = 2E_\Lambda/\sqrt{s}$.



Results in thrust frame

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vs. (z, p_t)

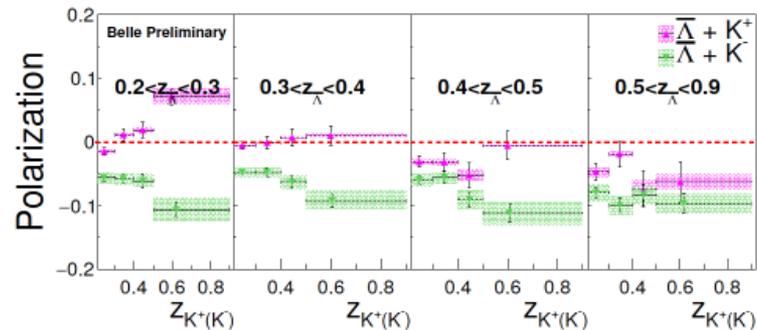
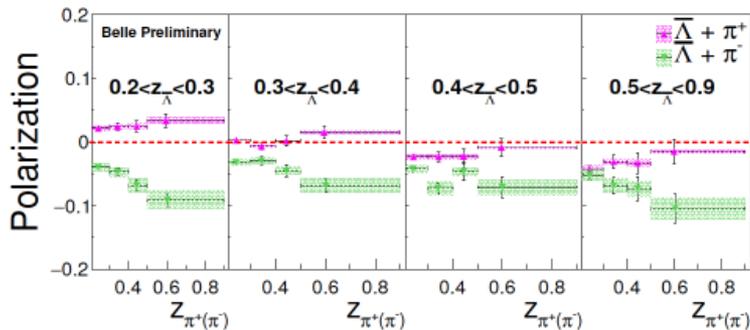
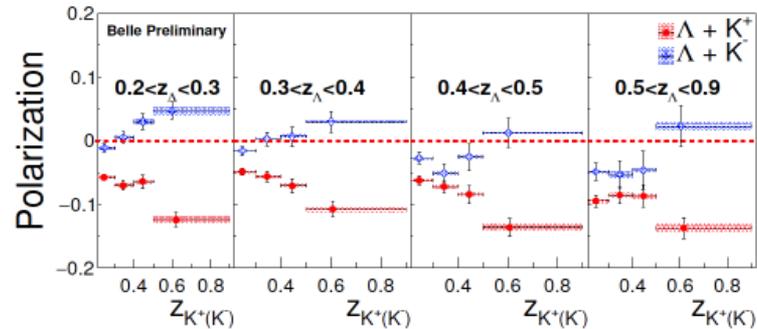
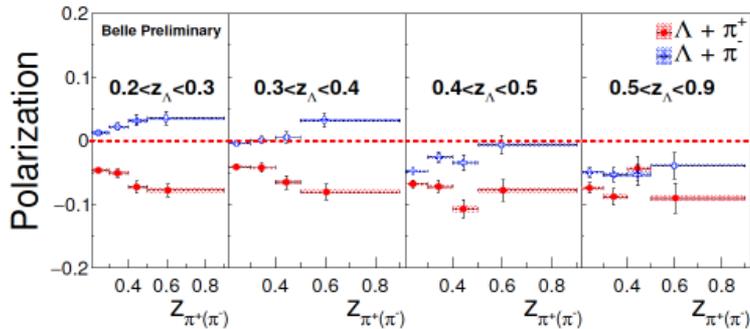


- Four z bins and five p_t bins are used:
 $z_\Lambda = [0.2, 0.3, 0.4, 0.5, 0.9]$;
 $p_t = [0.0, 0.3, 0.5, 0.8, 1.0, 1.6]$ GeV
- **Nonzero polarization** was observed. Interesting shape as a function of (z_Λ, p_t) .
- The polarization rise with higher p_t in the lowest z_Λ and highest z_Λ bin. But the dependence reverses around 1 GeV in the intermediate z_Λ bins.
- Results are consistent between Λ and $(\bar{\Lambda})$ and $\Lambda - (\bar{\Lambda})$ data ratio.
- Error bars are statistical uncertainties and shaded areas show the systematic uncertainties.

Results in thrust frame

(47)

vs. (z_Λ, z_h)

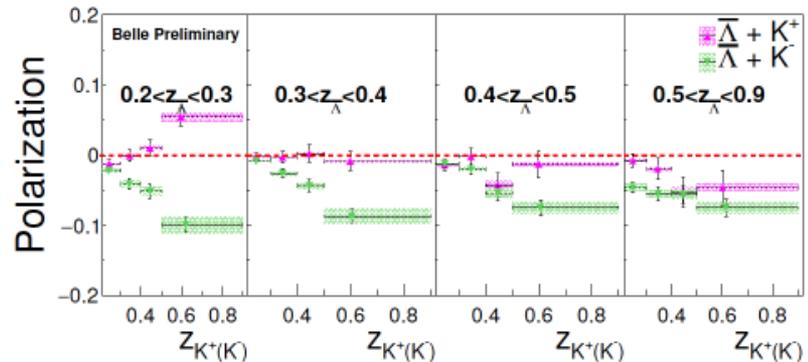
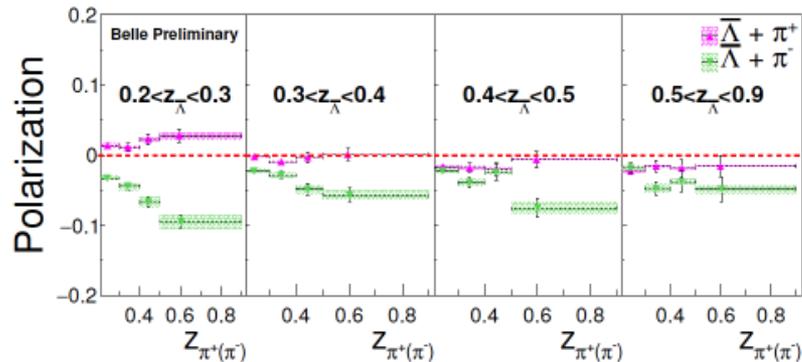
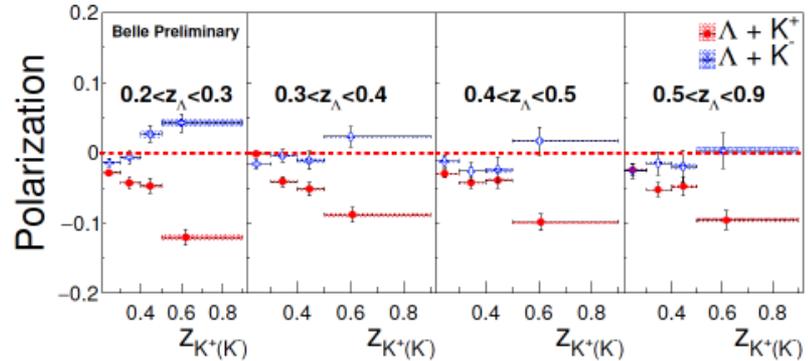
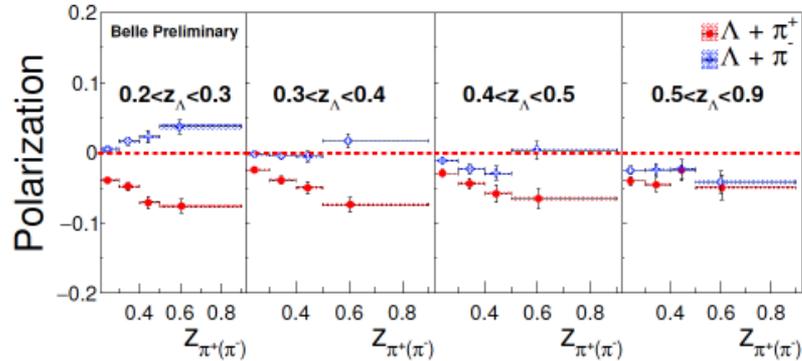


- At low z_Λ , polarization in $\Lambda + h^+$ and $\Lambda + h^-$ have opposite sign. The magnitude increases with higher z_h .
- At large z_Λ , the differences between $\Lambda + h^+$ and $\Lambda + h^-$ reduce. Small deviations can still be seen and depend on z_h .

Results in hadron frame

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vs. (z_Λ, z_h)

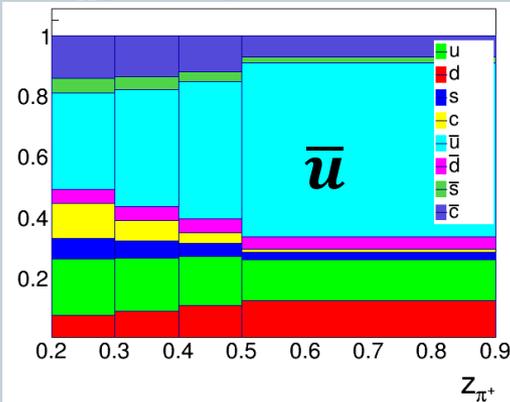


- Similar results with that in the thrust frame.
- Results from charge-conjugate modes are consistent with each other.

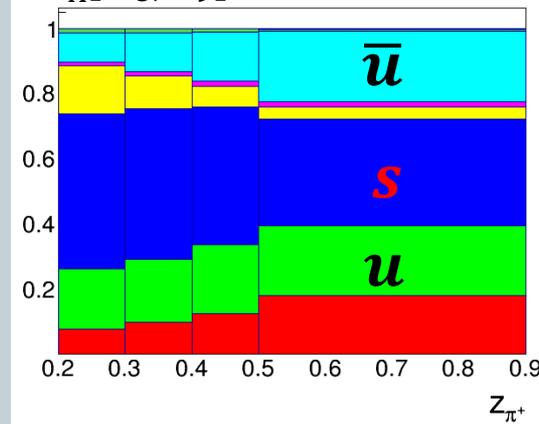
Quark flavor tag by the light hadron

49

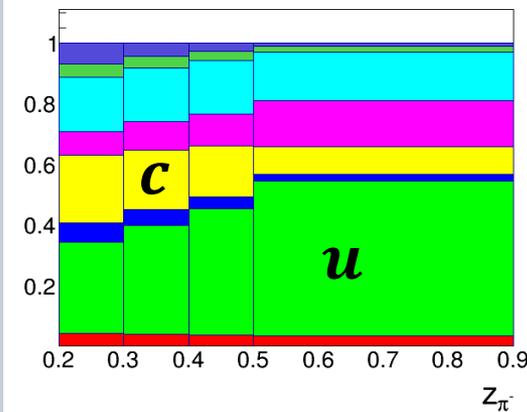
$z_\Lambda[0.2,0.3] \Lambda + \pi^+ + X$



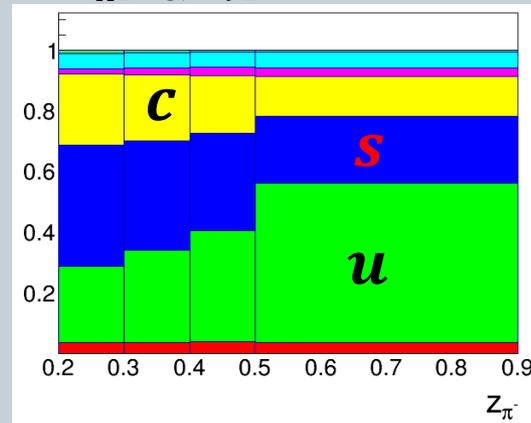
$z_\Lambda[0.5,0.9] \Lambda + \pi^+ + X$



$z_\Lambda[0.2,0.3] \Lambda + \pi^- + X$

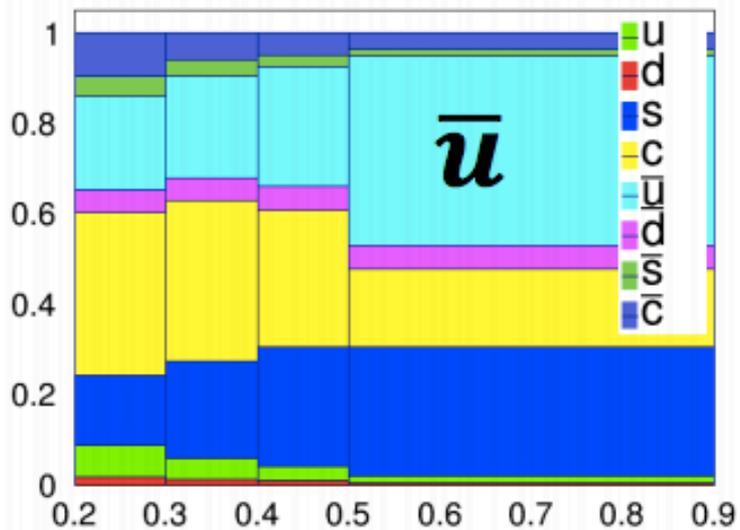


$z_\Lambda[0.5,0.9] \Lambda + \pi^- + X$

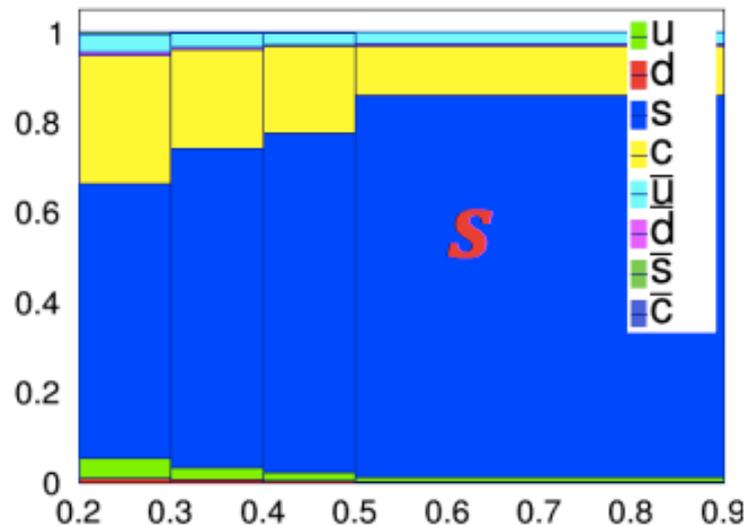


- An attempt to look at the flavor tag effect of the light hadron, based on MC. (Pythia6.2)
- The fractions of various quark flavors going to the Λ 's hemisphere are shown in different $[z_\Lambda z_h]$ region.
- MC indicates that the tag of the quark flavors is more effective at low z_Λ and high z_h . It explains why at low z_Λ and high z_h , polarization in $\Lambda + h^+$ and $\Lambda + h^-$ have opposite sign.

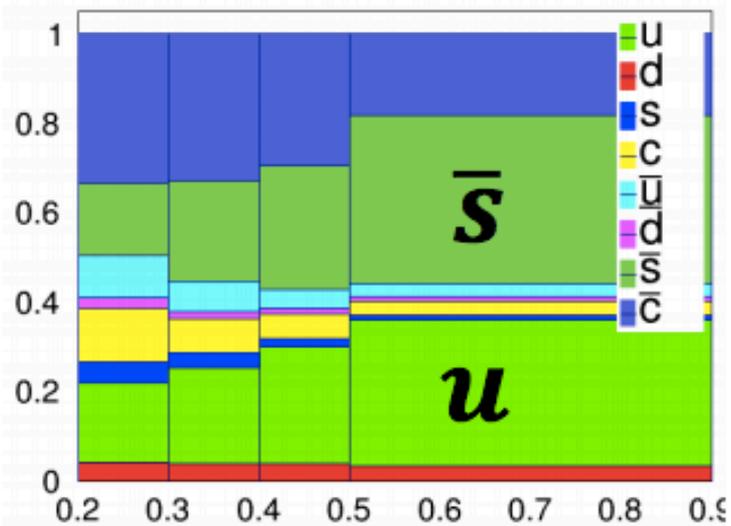
$z_{\Lambda}[0.2,0.3] \quad \Lambda + K^+ + X$



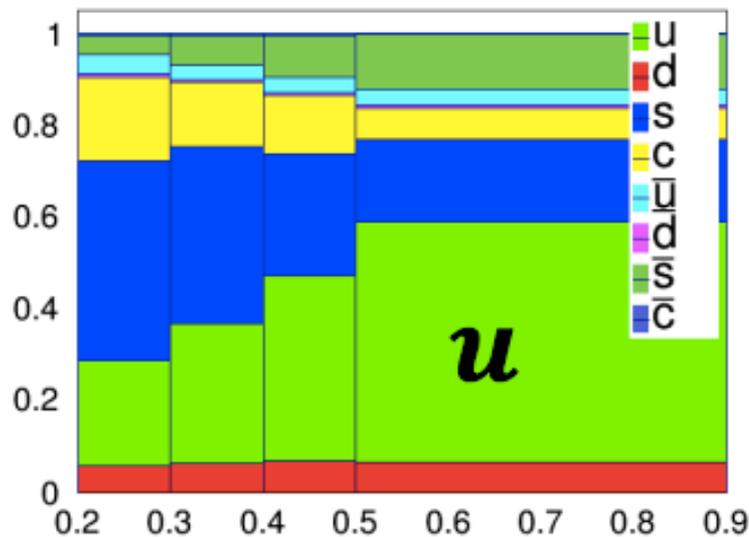
$z_{\Lambda}[0.5,0.9] \quad \Lambda + K^+ + X$



$z_{\Lambda}[0.2,0.3] \quad \Lambda + K^- + X$



$z_{\Lambda}[0.5,0.9] \quad \Lambda + K^- + X$



z_{K^+}

z_{K^+}

Background unfolding

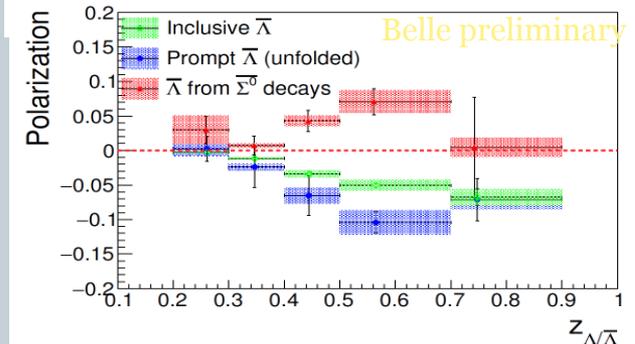
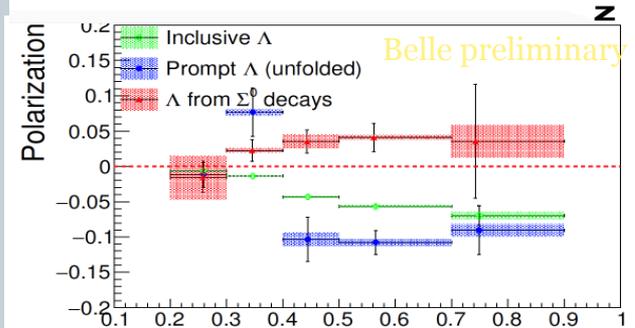
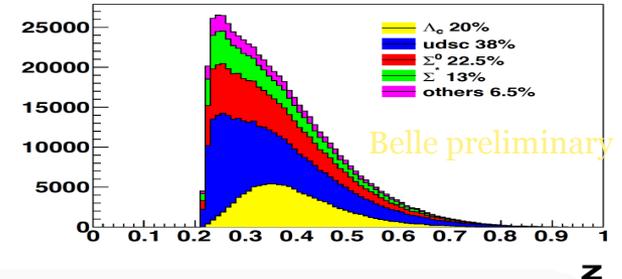
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- Non- Λ backgrounds are excluded out in the sideband subtraction.
- Σ^* decays to Λ strongly, is included in the signal.
- Feed-down from Σ^0 (22.5%), Λ_c (20%) decays need to be understood.
- The Σ^0 -enhanced ($\Sigma^0 \rightarrow \Lambda + \gamma$) (Br~100%). and Λ_c -enhanced ($\Lambda_c \rightarrow \Lambda + \pi^+$) (Br~1.07%) data sets are selected and studied.

- The measured polarization can be expressed as:

$$P^{mea.} = (1 - \sum_i F_i) P^{true} + \sum_i F_i P_i,$$

- F_i is the fraction of feed-down component i , estimated from MC. P_i is polarization of component i .
- Polarization of Λ from Σ^0 decays is found has opposite sign with that of inclusive Λ .



R. Gatto, Phys. Rev. 109, 610 (1958); Phys.Lett.B303,350(1993)

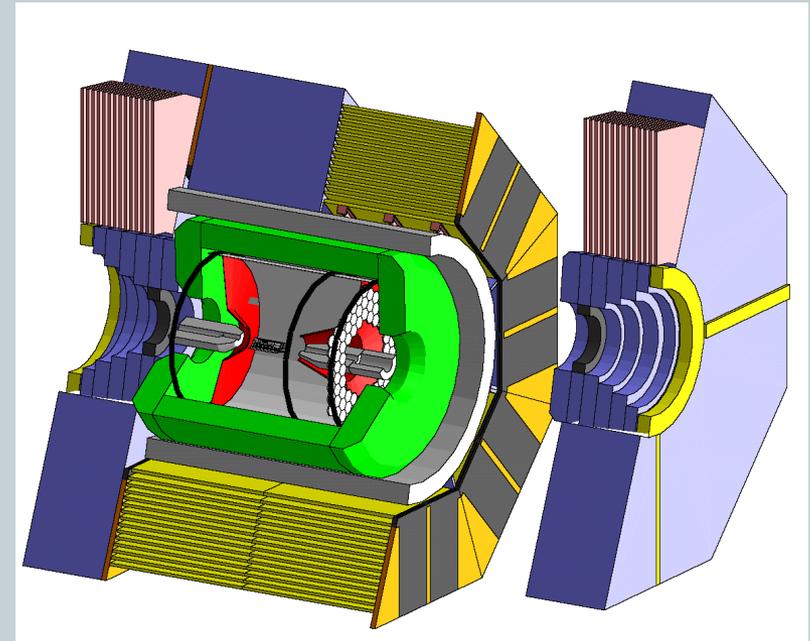
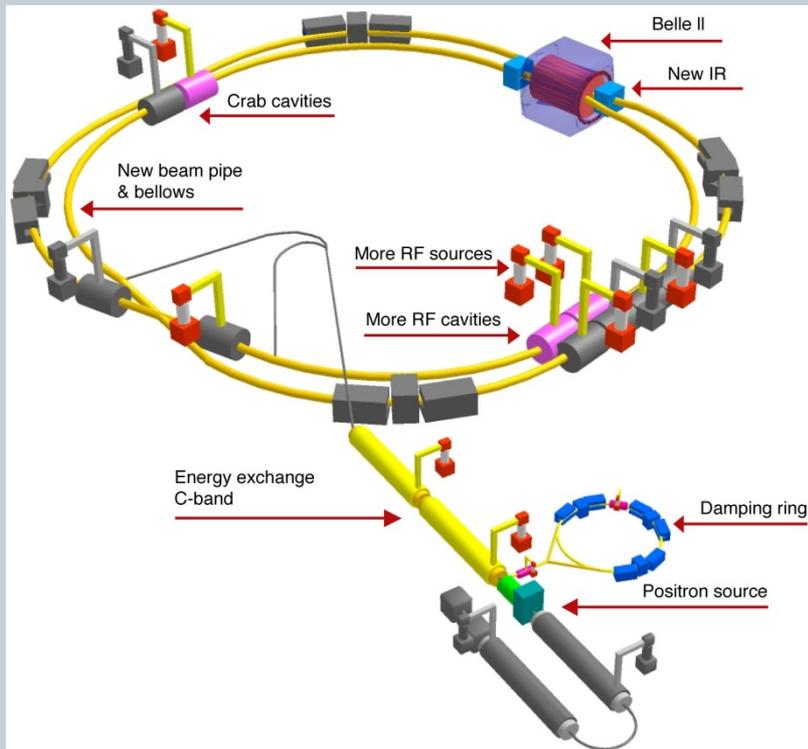
KEKB/Belle → SuperKEKB,



Upgrade

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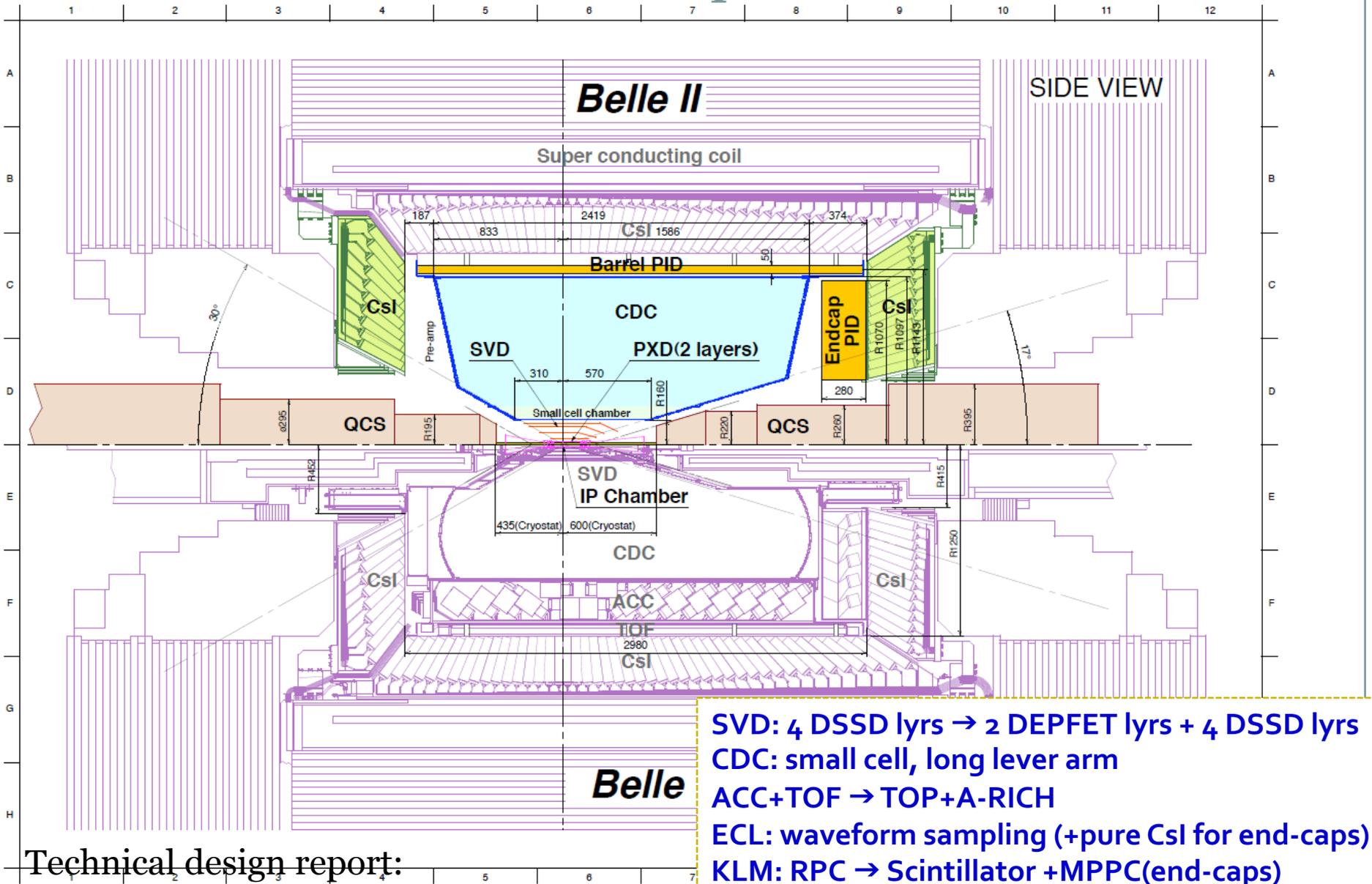
- Aim: super-high luminosity $\sim 10^{36} \text{ cm}^{-2} \text{ s}^{-1}$ ($\sim 40 \times$ KEK/Belle)
- Upgrades of Accelerator (Nano-beams + Higher Currents) and Detector (Vtx, PID, higher rates, modern DAQ)
- Significant US contribution



<http://belle2.kek.jp>

Start of commissioning in 2016

Belle II Detector (in comparison with Belle)



SVD: 4 DSSD lyrs → 2 DEPFET lyrs + 4 DSSD lyrs
CDC: small cell, long lever arm
ACC+TOF → TOP+A-RICH
ECL: waveform sampling (+pure CsI for end-caps)
KLM: RPC → Scintillator +MPPC(end-caps)

Technical design report:
 arXiv:1011.0352

QCD studies at Belle II

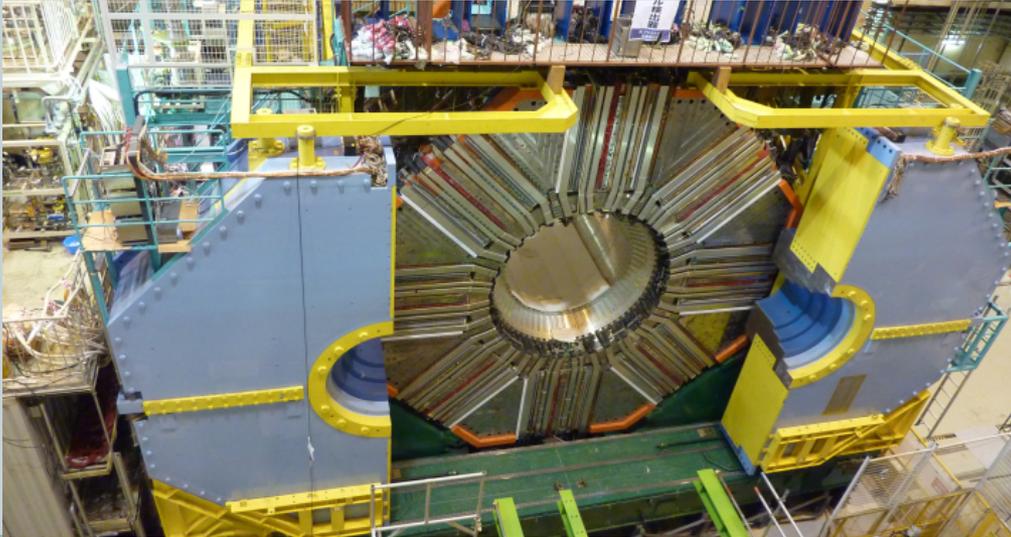


- Precision study of local strong parity violation to probe the QCD vacuum
- Hadronization studies in transverse momentum-spin correlations (Λ)/Fragmentation function
- Precision studies of fragmentation functions needed for JLab12 program
 - Precision
 - Charm suppression
 - Kaon ID

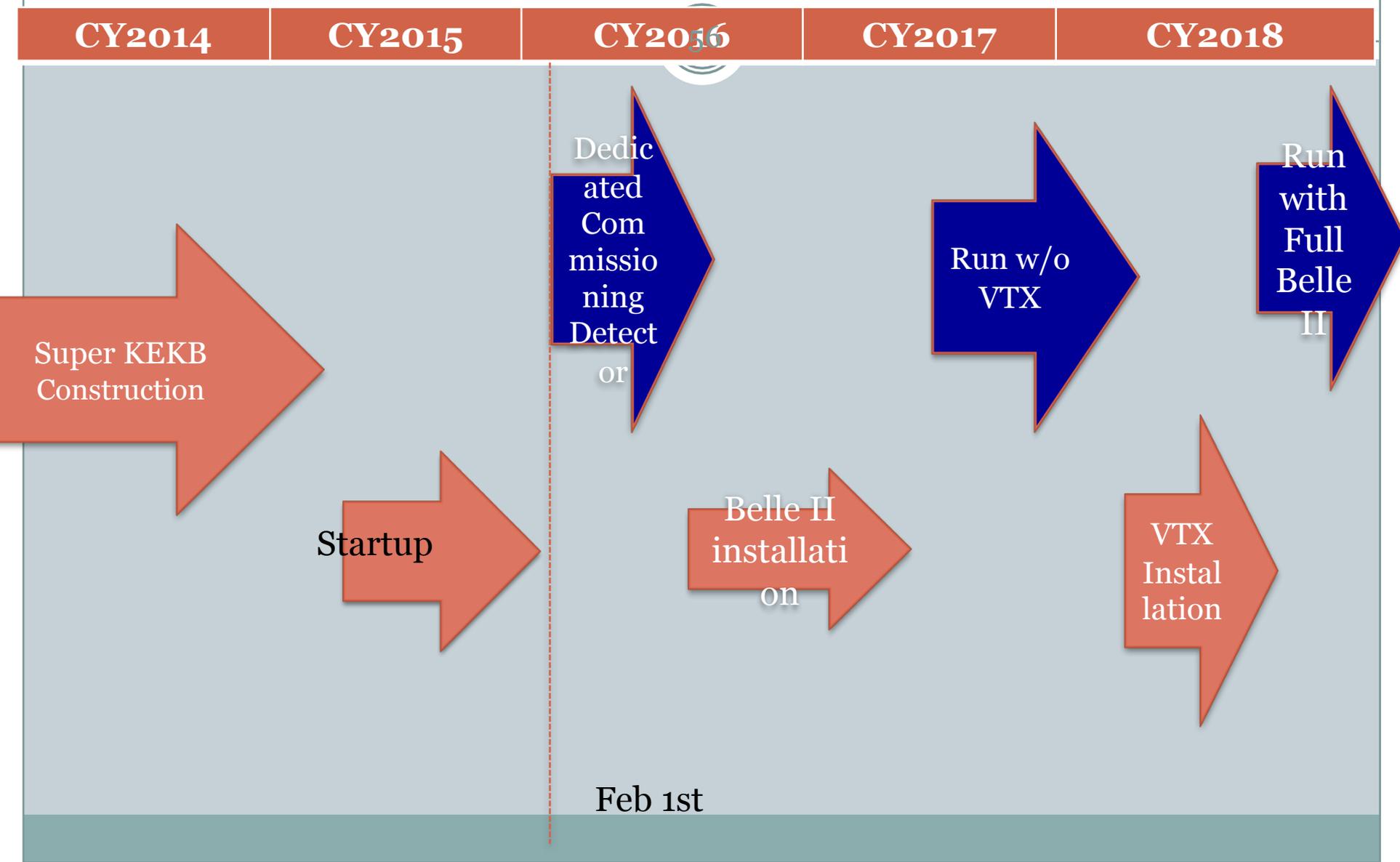
Status of Belle II Installation

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Sector Test of KLM
(B Kunkler from IU)



Current SuperKEKB/Belle II Schedule



Conclusion/Outlook



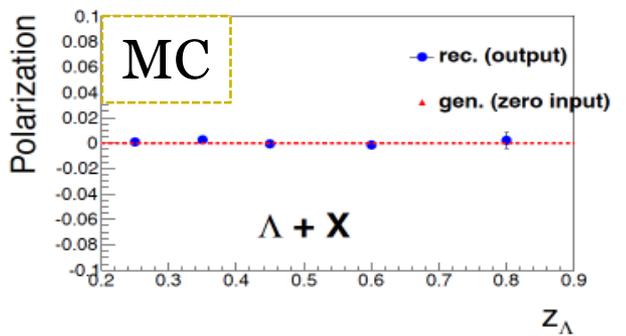
- Hadronization Studies in e^+e^- provide a complimentary access to non-perturbative QCD
- Exciting new results with respect to polarized and polarizing FFs
- k_T dependent FFs on the horizon
- Belle II will provide ample new opportunities

Backup

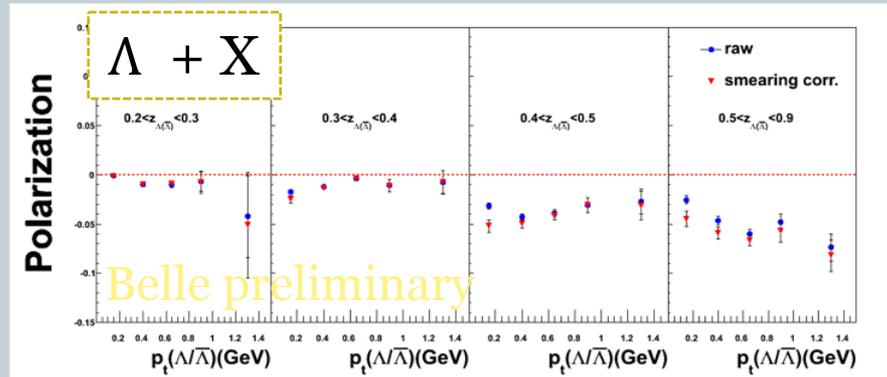
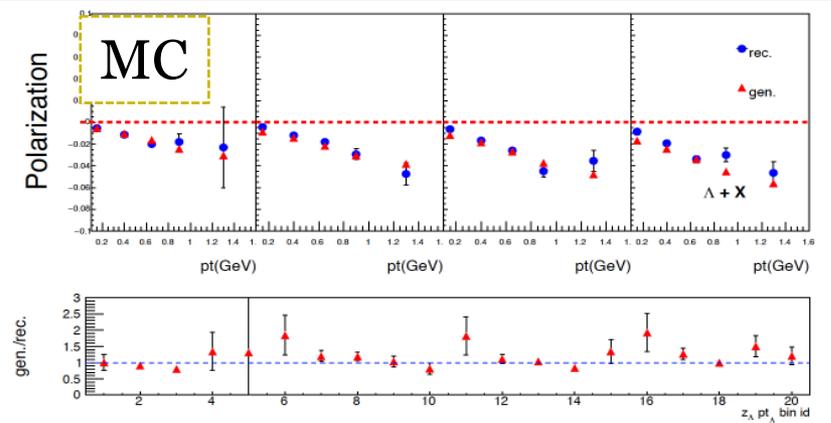


MC validation & Smearing correction

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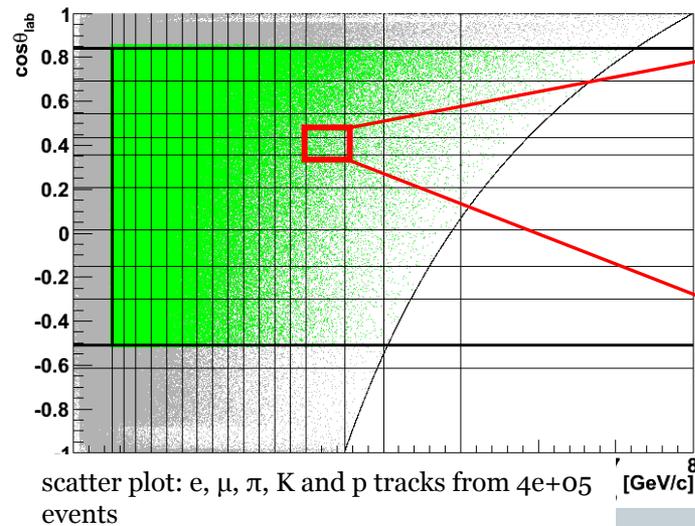
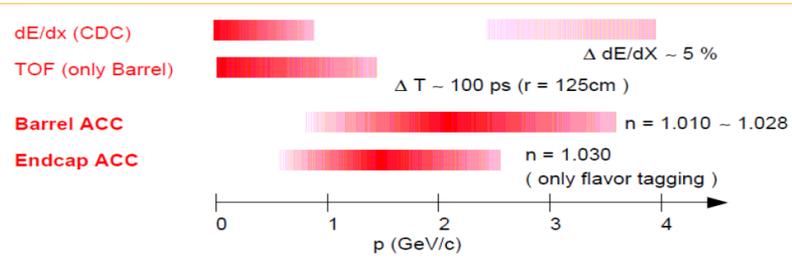


- Zero polarization is observed in MC, as expected.
- Smearing effects caused by the detector acceptance and resolution are estimated using weighted-MC with nonzero polarization input.
- In thrust frame correction factors are 1.0-1.3 depending on the $[z, p_t]$.
- No Correction needed for hadron frame



PID Corrections from Data: Significant improvements compared to previous experiments

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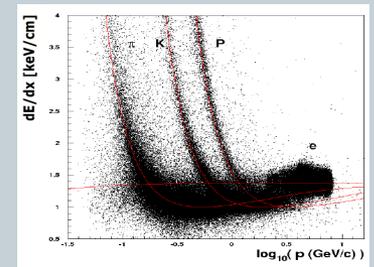
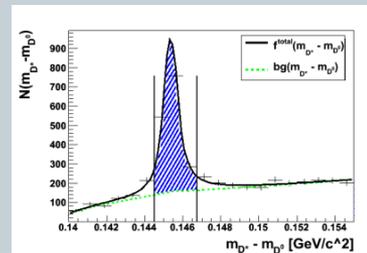
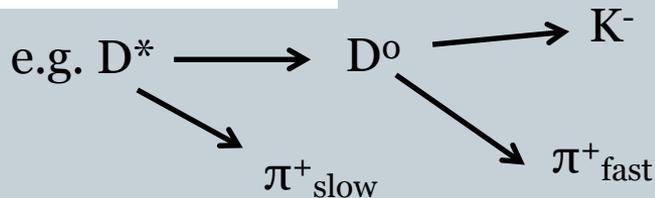


ToF forward geometry acceptance limit

fill matrix of PID probabilities for each single bin from real data calibration- need large statistics

$$[P]_{ij}(p_{lab}, \cos\theta_{lab}) = \begin{pmatrix} p(e \rightarrow \tilde{e}) & p(\mu \rightarrow \tilde{e}) & p(\pi \rightarrow \tilde{e}) & p(K \rightarrow \tilde{e}) & p(p \rightarrow \tilde{e}) \\ p(e \rightarrow \tilde{\mu}) & p(\mu \rightarrow \tilde{\mu}) & p(\pi \rightarrow \tilde{\mu}) & p(K \rightarrow \tilde{\mu}) & p(p \rightarrow \tilde{\mu}) \\ p(e \rightarrow \tilde{\pi}) & p(\mu \rightarrow \tilde{\pi}) & p(\pi \rightarrow \tilde{\pi}) & p(K \rightarrow \tilde{\pi}) & p(p \rightarrow \tilde{\pi}) \\ p(e \rightarrow \tilde{K}) & p(\mu \rightarrow \tilde{K}) & p(\pi \rightarrow \tilde{K}) & p(K \rightarrow \tilde{K}) & p(p \rightarrow \tilde{K}) \\ p(e \rightarrow \tilde{p}) & p(\mu \rightarrow \tilde{p}) & p(\pi \rightarrow \tilde{p}) & p(K \rightarrow \tilde{p}) & p(p \rightarrow \tilde{p}) \end{pmatrix}$$

ToF backward geometry acceptance limit



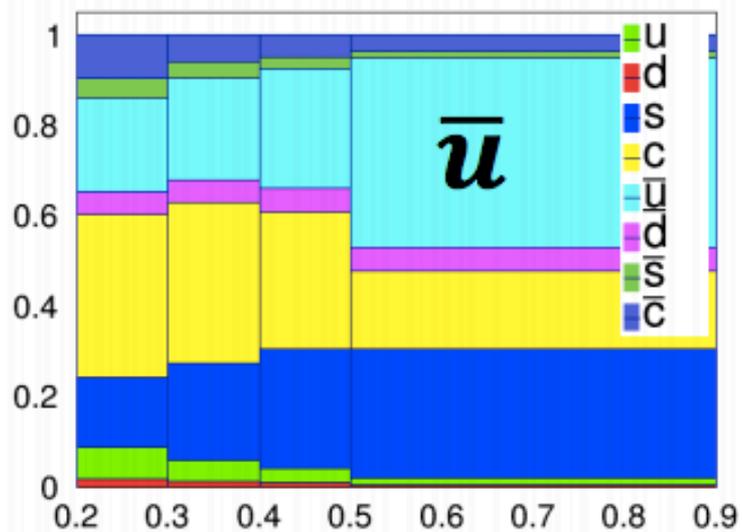
○ Misidentification $\pi \rightarrow K$ up to 15%, $K \rightarrow \pi$ up to 20%

What we can learn by studying Hadronization

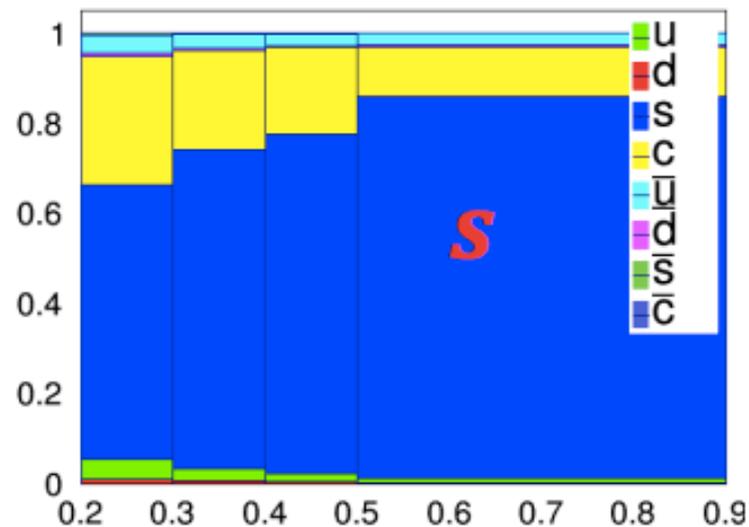


- Hadronization is the process by which colored objects (quarks/gluons) form hadrons in the final state
 - Cannot be calculated from first principles in QCD from the lattice → Measurements needed!
- Hadronization is tied to confinement → Crucial property of QCD which we are still trying to understand
 - Provide data to extract fragmentation functions parametrizing Hadronization for use in factorized expression
 - Study the formation and dynamics of short lived particles
 - Study QCD in a process complementary to looking at nucleon structure
 - Study non-perturbative QCD effects

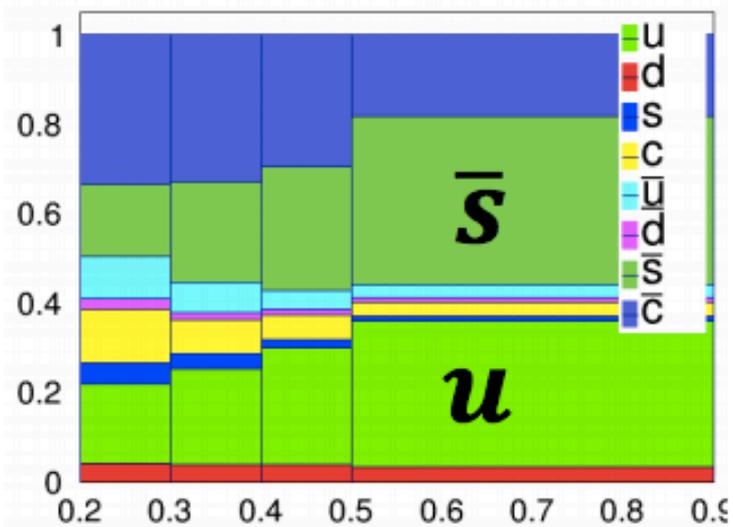
$z_{\Lambda}[0.2,0.3] \quad \Lambda + K^+ + X$



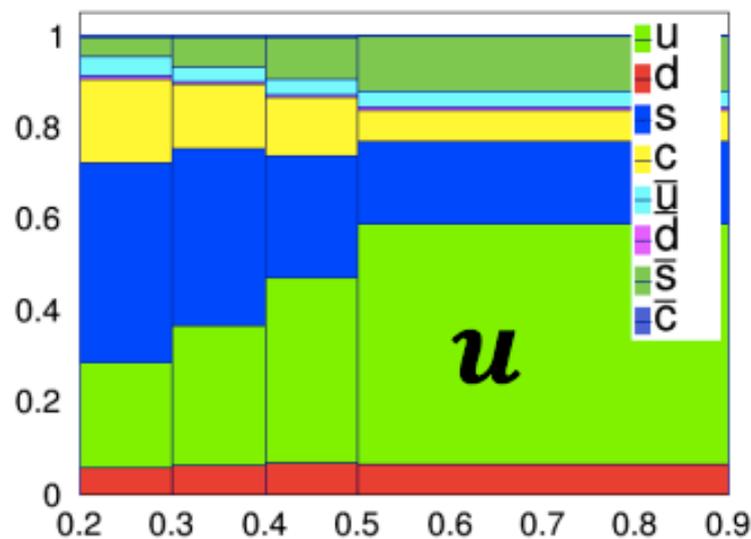
$z_{\Lambda}[0.5,0.9] \quad \Lambda + K^+ + X$



$z_{\Lambda}[0.2,0.3] \quad \Lambda + K^- + X$



$z_{\Lambda}[0.5,0.9] \quad \Lambda + K^- + X$

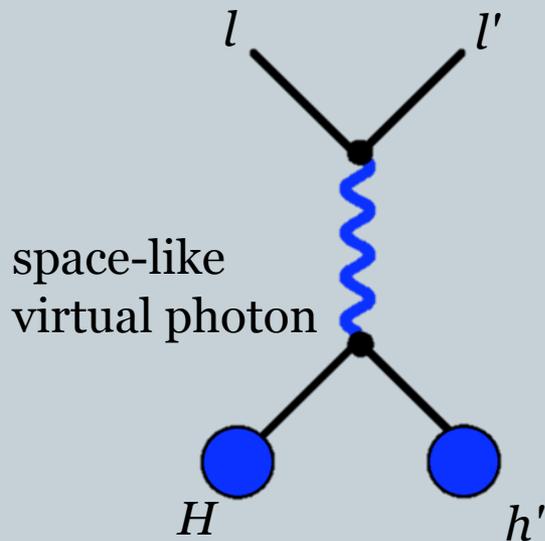


Easiest Process to study QCD

63

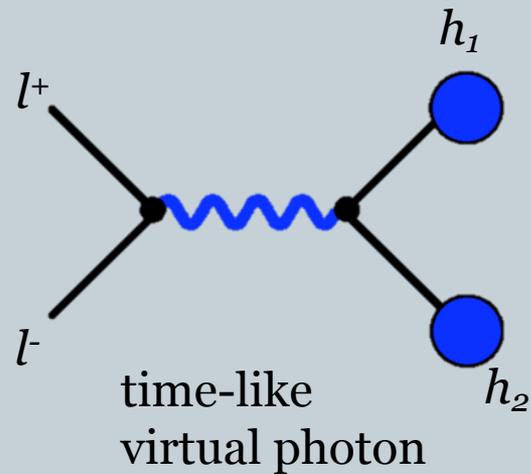
DIS

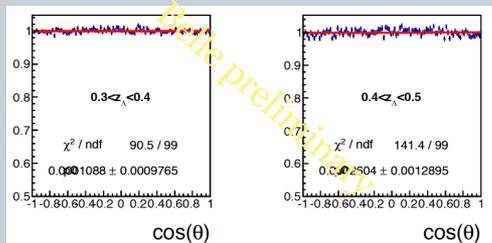
$DF \otimes FF$



electron-electron collisions

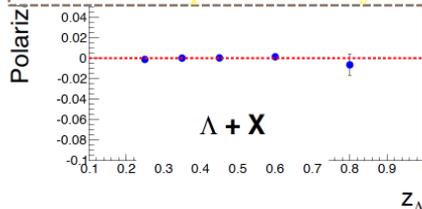
$FF \otimes FF$



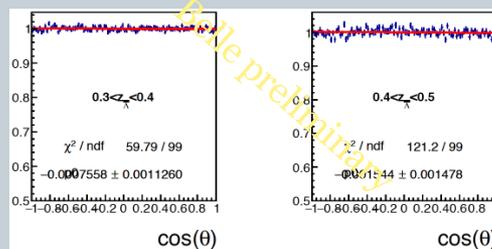


Build fake angle

θ Belle preliminary

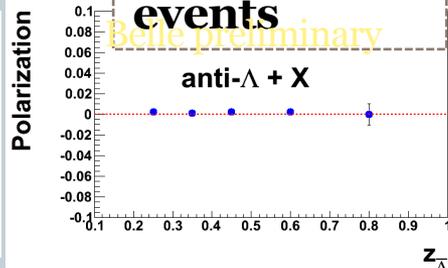


- Change the reference vector \hat{n} to be in the Λ production plane. But still normal to \vec{p}_Λ .



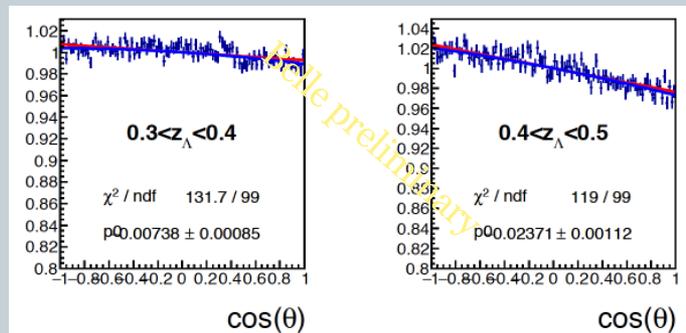
Mixed events

Belle preliminary



- Combine a proton in one event and pion in the other event to form a false Λ .

Vary the fit function



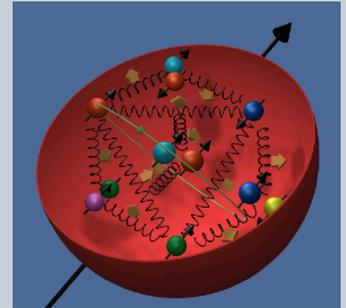
- The second order term was added in the fit function $1 + p_0 \cos\theta + p_1 \cos^2\theta$

- Besides, uncertainties from smearing correction factors and sideband subtractions are included in systematic errors.
- Uncertainties of decay parameters are assigned as systematic errors.

QCD is hard...



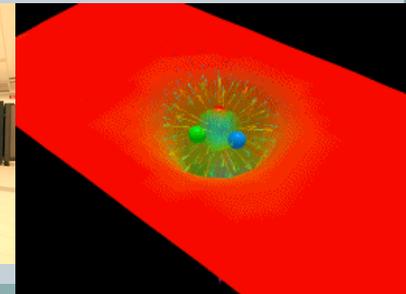
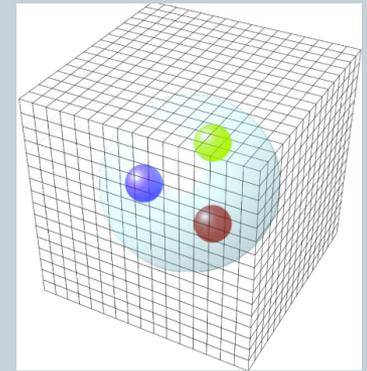
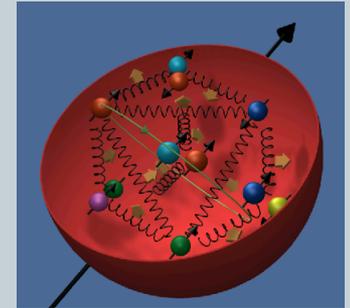
- QCD has glue-gluon interaction and strong coupling
 - Binding energy/constituent mass $\sim 10^2$ in proton, 10^{-8} in hydrogen atom
- **→** Much more difficult to compute





... but significant progress in first order calculations from the lattice

- QCD has glue-gluon interaction and strong coupling
 - Binding energy/constituent mass $\sim 10^2$ in proton, 10^{-8} in hydrogen atom
 - Much more difficult to compute
- Advances in Computational QCD (lattice)
 - Need experimental input
 - Cannot compute hadronization



Spin, the death of many theories



- “Polarization data has often been the graveyard of fashionable theories. If theorists had their way they might well ban such measurements altogether out of self-protection - J. D. Bjorken, Proc. Adv. Research Workshop on QCD Hadronic Processes, St. Croix, Virgin Islands (1987).”
- “Experiments with spin have killed more theories than any other single physical parameter” - Elliot Leader, Spin in Particle Physics, Cambridge U. Press (2001)



Example: Role of spin in the particle masses of mesons:

Mesons $q\bar{q}$					
Symbol	Name	Quark content	Electric charge	Mass GeV/c^2	Spin
π^+	pion	$u\bar{d}$	+1	0.140	0
ρ^+	rho	$u\bar{d}$	+1	0.770	1

Probes to Study Polarized Proton Structure

Inclusive polarized deep inelastic scattering (DIS)

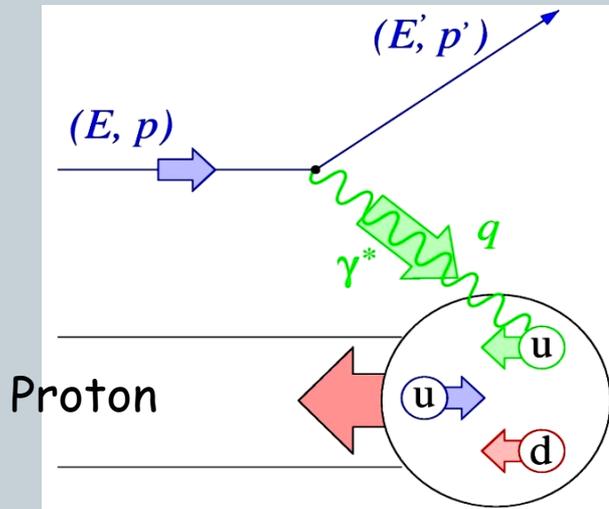


Diagram illustrating the $\sigma_{1/2}$ cross-section. A virtual photon γ^* with helicity $m = +1$ (black arrow pointing right) interacts with a proton. The proton is shown with internal quark structure: a u quark with a blue arrow pointing right and a d quark with a red arrow pointing left. The photon's helicity is $m = -1/2$ (pink arrow pointing left).

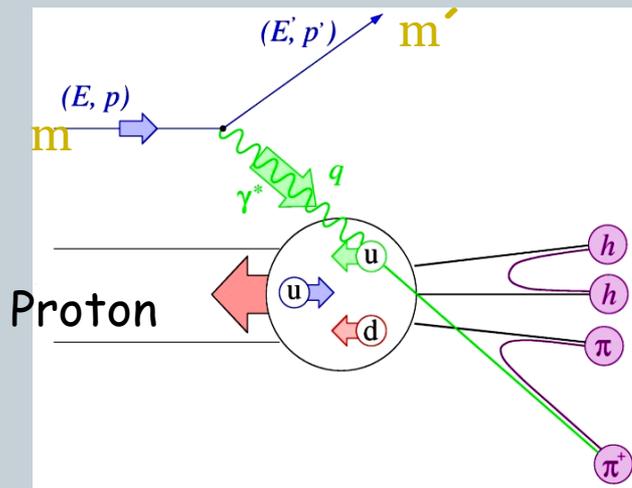
$$\sigma_{1/2} \sim \sum_i e_i^2 q_i^+$$

Diagram illustrating the $\sigma_{3/2}$ cross-section. A virtual photon γ^* with helicity $m = +1$ (black arrow pointing right) interacts with a proton. The proton is shown with internal quark structure: a u quark with a blue arrow pointing right and a d quark with a red arrow pointing left. The photon's helicity is $m = +1/2$ (pink arrow pointing right).

$$\sigma_{3/2} \sim \sum_i e_i^2 q_i^-$$

Probes to Study Polarized Proton Structure

Semi-Inclusive polarized deep inelastic scattering (DIS)



Fragmentation Process:
Outgoing quark forms hadrons

Diagram illustrating the fragmentation process for the $\sigma_{1/2}$ cross-section. A virtual photon with helicity $m = +1$ interacts with a proton with helicity $m = -1/2$. The proton contains a red quark with helicity $+1/2$ and a green quark with helicity $-1/2$.

$$\sigma_{1/2} \sim \sum_i e_i^2 q_i^+$$

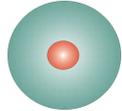
Diagram illustrating the fragmentation process for the $\sigma_{3/2}$ cross-section. A virtual photon with helicity $m = +1$ interacts with a proton with helicity $m = +1/2$. The proton contains a red quark with helicity $+1/2$ and a green quark with helicity $+1/2$.

$$\sigma_{3/2} \sim \sum_i e_i^2 q_i^-$$

Parton Distribution Functions

The three leading order, collinear PDFs

$f_1^q(x)$

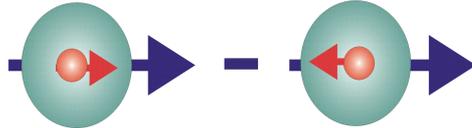


unpolarized PDF

quark with momentum $x=p_{quark}/p_{proton}$ in a nucleon

well known – unpolarized DIS

$g_1^q(x)$

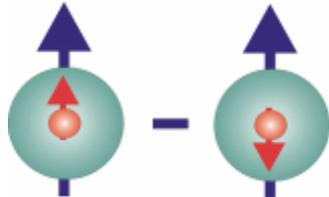


helicity PDF

quark with spin parallel to the nucleon spin in a longitudinally polarized nucleon

known – polarized DIS

$h_1^q(x)$



transversity PDF

quark with spin parallel to the nucleon spin in a transversely polarized nucleon

Helicity – transversity: measurement of the nonzero angular momentum components in the protons wavefunction

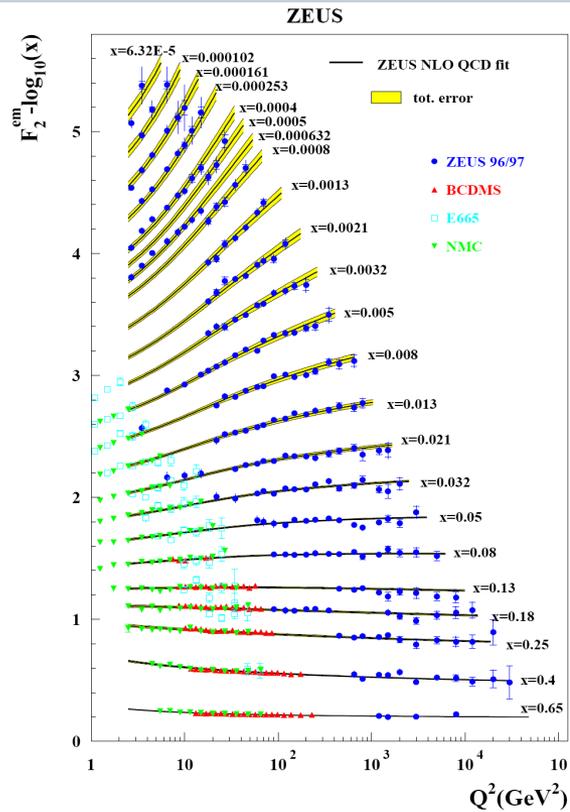
*Chiral odd, poorly known
Cannot be measured inclusively*

→Need for Quark Polarimeter!

Current Status of Distribution Functions

$$f_1 = \bullet$$

Unpolarized



$$F_2 \propto \sum_q x e_q^2 f_1^q$$

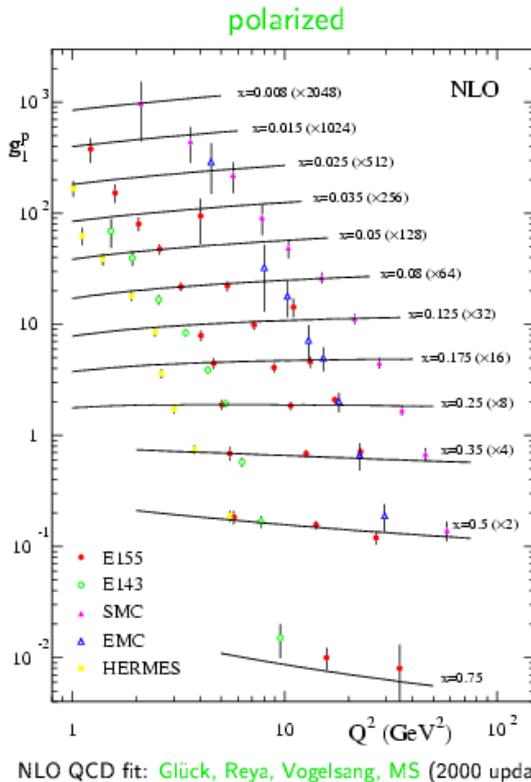
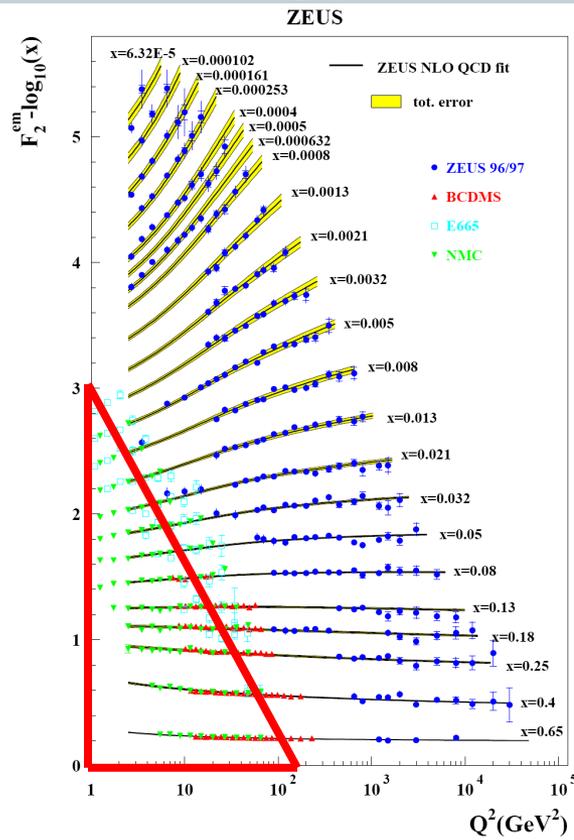
Current Status of Distribution Functions

$$f_1 = \bullet$$

Unpolarized

$$g_1 = \bullet \rightarrow \text{---} \leftarrow \bullet$$

Longitudinally Polarized



$$F_2 \propto \sum_q x e_q^2 f_1^q$$

$$g_1 \propto \sum_q e_q^2 g_1^q$$

Current Status of Distribution Functions

$$f_1 = \bullet$$

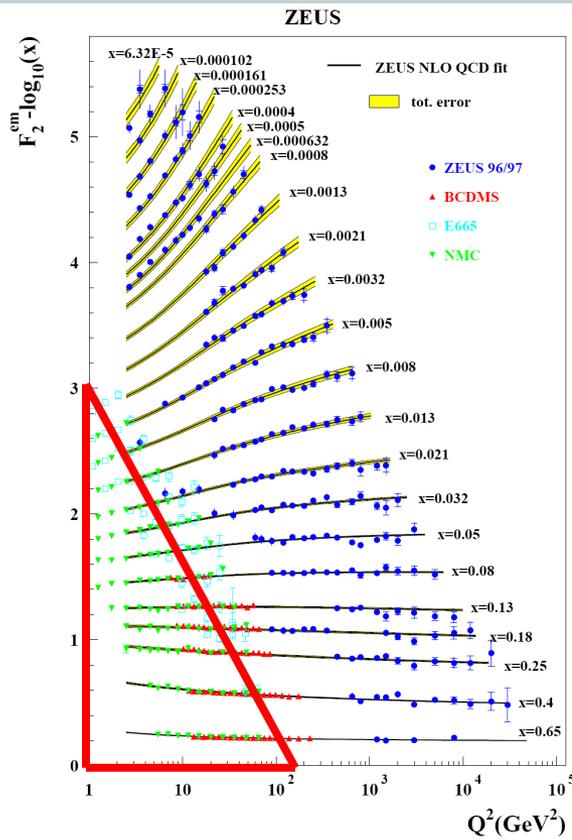
Unpolarized

$$g_1 = \bullet \rightarrow \rightarrow - \bullet \rightarrow \rightarrow$$

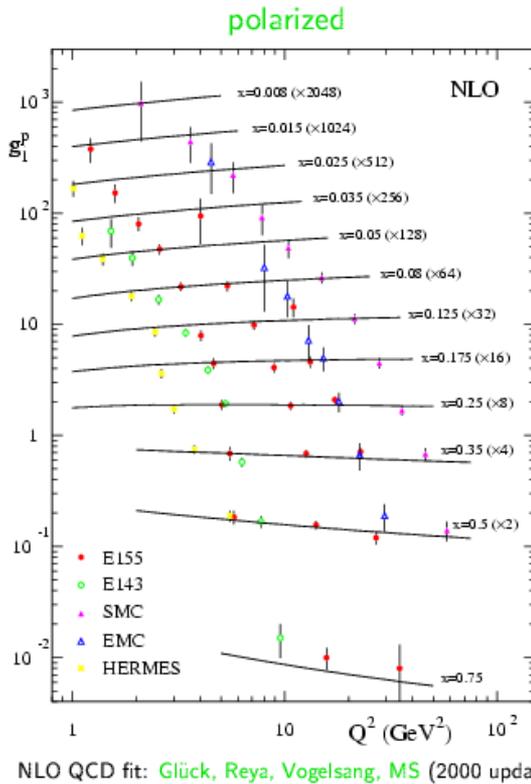
Longitudinally Polarized

$$h_1 = \begin{array}{c} \uparrow \\ \bullet \\ \downarrow \end{array} - \begin{array}{c} \uparrow \\ \bullet \\ \uparrow \end{array}$$

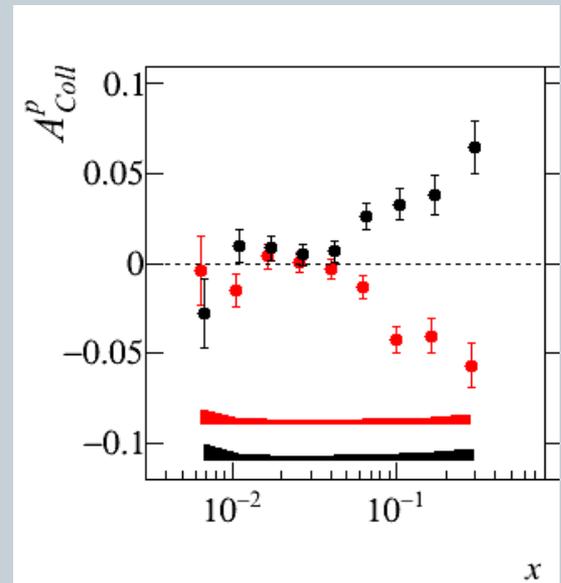
Transversely Polarized



$$F_2 \propto \sum_q x e_q^2 f_1^q$$



$$g_1 \propto \sum_q e_q^2 g_1^q$$



$$A_{\text{Coll}}^p \propto \frac{\sum_q e_q^2 h_1^q(x) * H_1^\perp}{\sum_q e_q^2 q_f^q(x) * D_q^h(z)}$$

Chiral odd -
cannot be measured inclusively

Current Status of Distribution Functions

$$f_1 = \text{●}$$

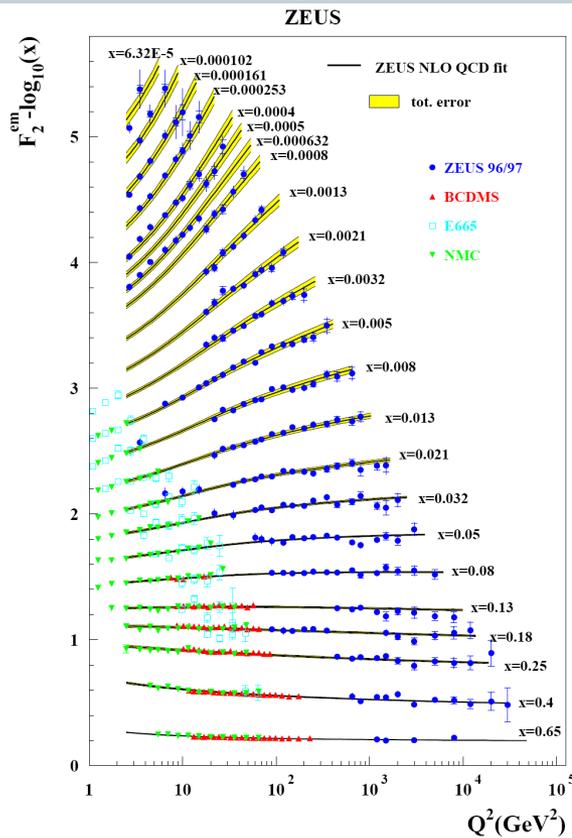
Unpolarized

$$g_1 = \text{●} \rightarrow \text{---} \leftarrow \text{●}$$

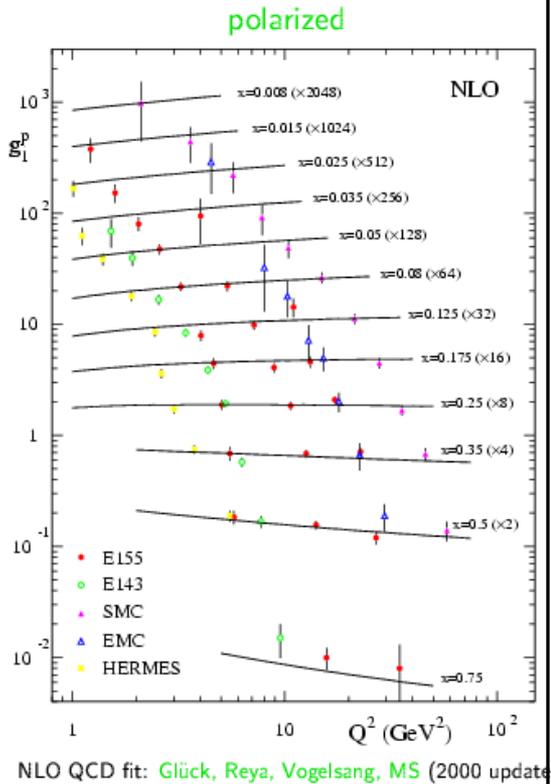
Longitudinally Polarized

$$h_1 = \text{●} \uparrow - \text{●} \downarrow$$

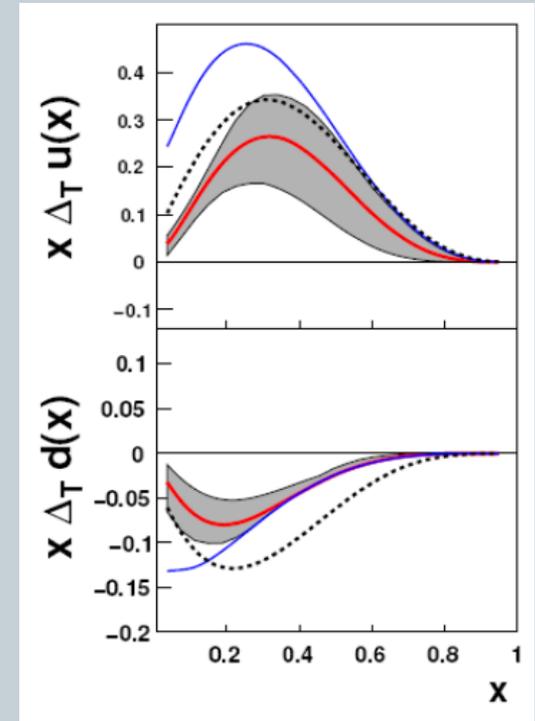
Transversely Polarized



$$F_2 \propto \sum_q x e_q^2 f_1^q$$

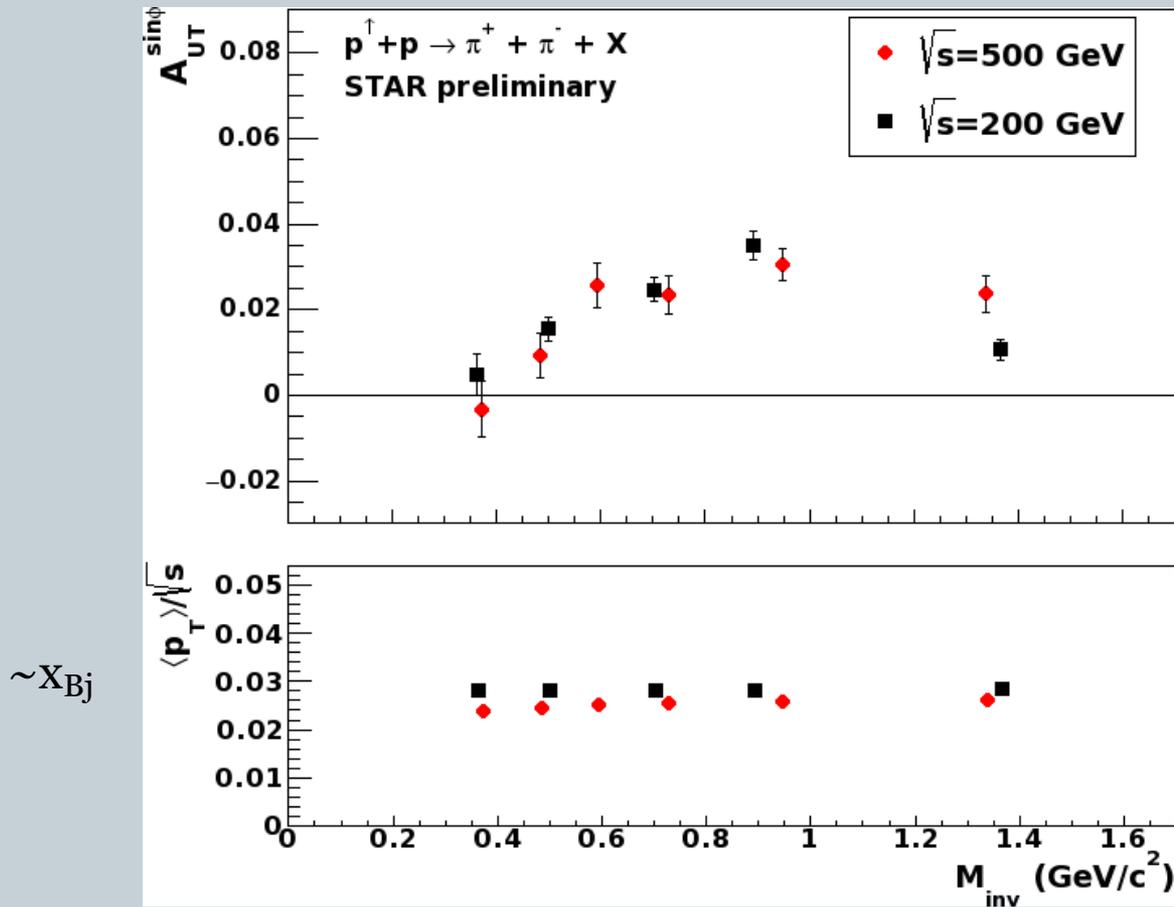


$$g_1 \propto \sum_q e_q^2 g_1^q$$



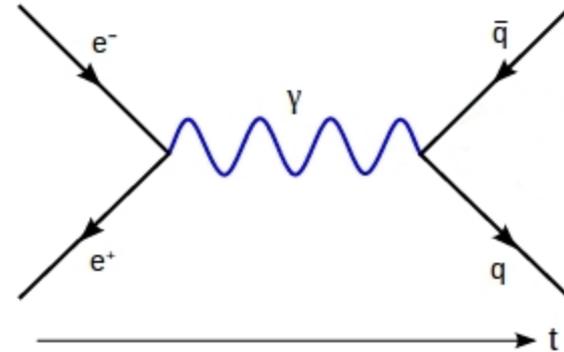
Anselmino et al

Comparison between 0.2 and 0.5 TeV: Consistent and no Sign of Evolution

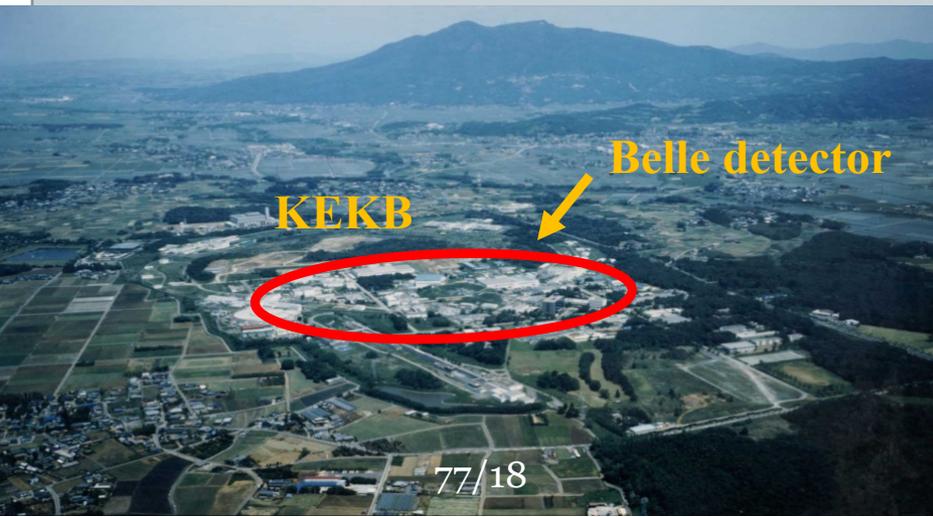


Indeed: No Evolution, Gluons do not couple to transversity!

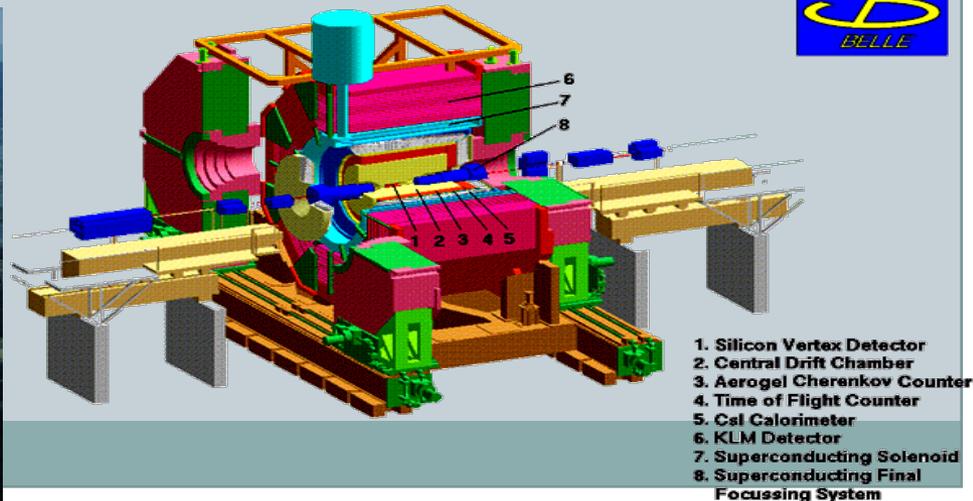
Measurements of Fragmentation Functions in e^+e^- at Belle



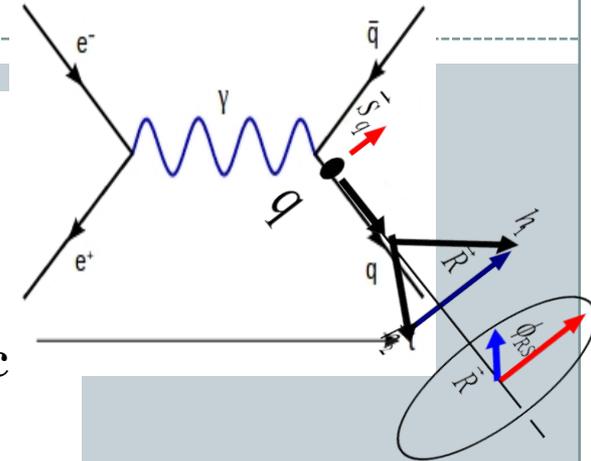
- KEK-B: asymmetric e^+ (3.5 GeV) e^- (8 GeV) collider:
 - $\sqrt{s} = 10.58 \text{ GeV}$, $e^+e^- \rightarrow Y(4S) \rightarrow B \bar{B}$
 - $\sqrt{s} = 10.52 \text{ GeV}$, $e^+e^- \rightarrow qq\bar{q}$ (u,d,s,c) 'continuum'
- ideal detector for high precision measurements:
 - tracking acceptance θ [$17^\circ; 150^\circ$]: Azimuthally symmetric
 - particle identification (PID): dE/dx , Cherenkov, ToF, EMcal, MuID
- Available data:
 - $\sim 1.8 \cdot 10^9$ events at 10.58 GeV,
 - $\sim 220 \cdot 10^6$ events at 10.52 GeV



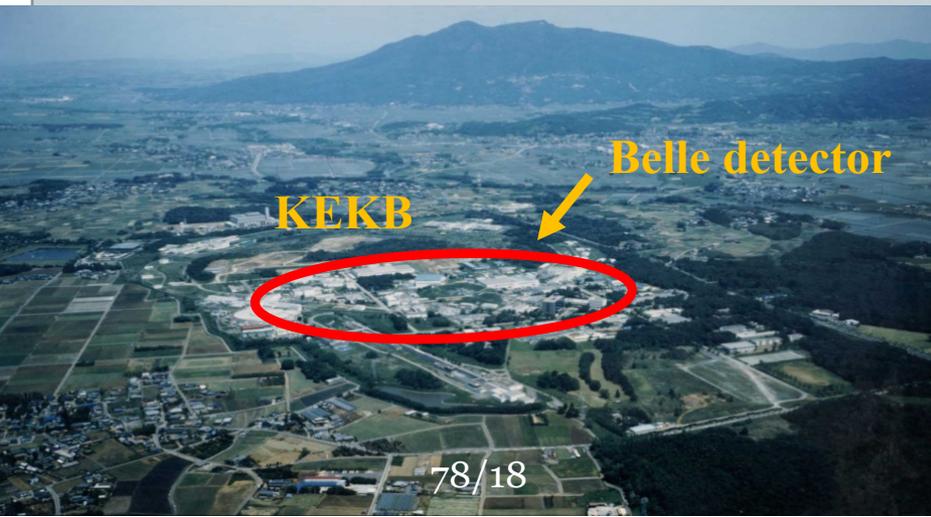
BELLE Detector



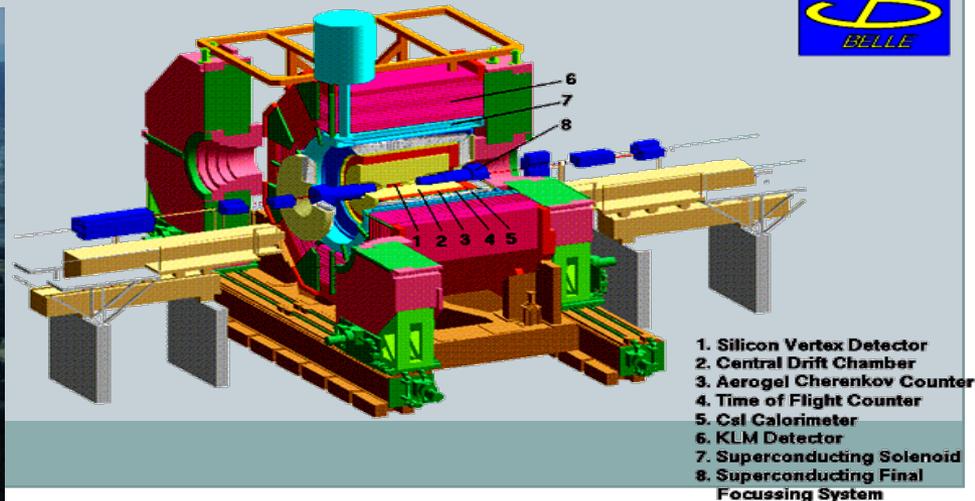
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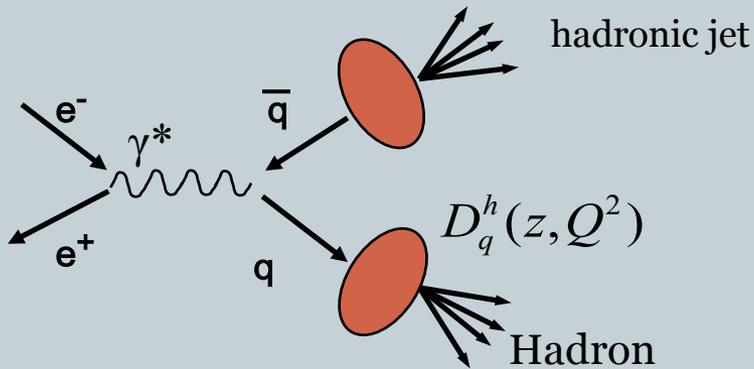
BELLE Detector



Where to Study?

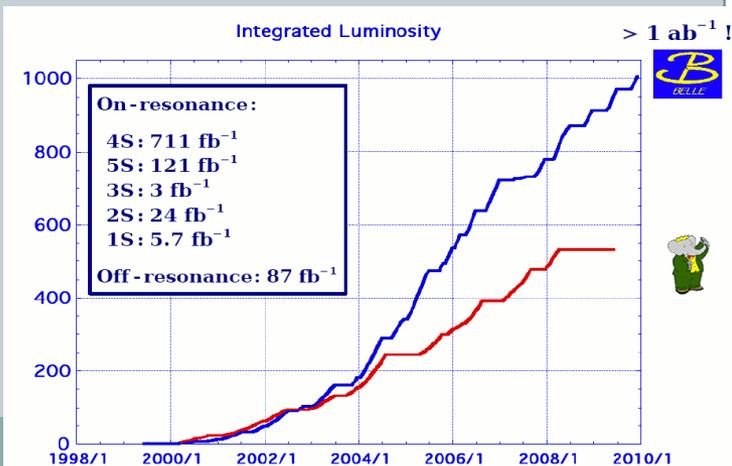
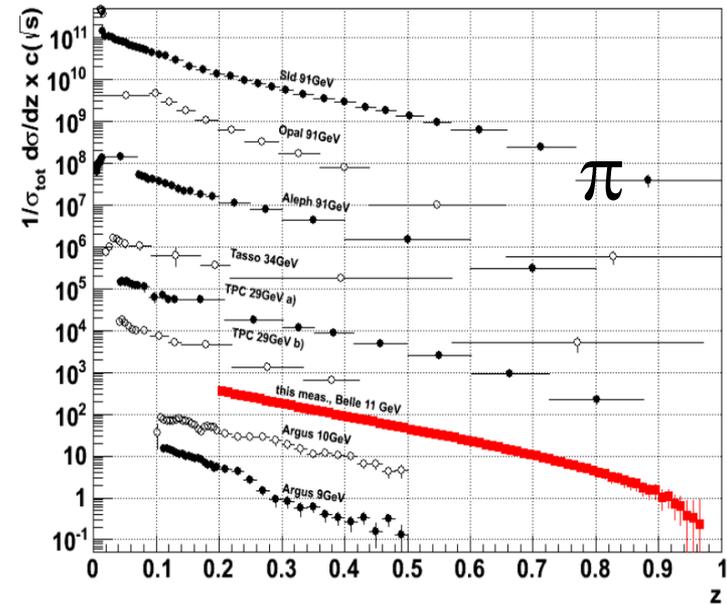
79

- e^+e^- cleanest way to access FFs



- B factories

- close in energy to SIDIS (100 GeV^2 vs $2\text{-}3 \text{ GeV}^2$)
- Large integrated lumi!, high z reach



Measurements of Fragmentation Functions in e^+e^- at Belle

• Asym. e^+ (3.5/3.1 GeV) e^- (8/9 GeV) collider:

- $\sqrt{s} = 10.58 \text{ GeV}$, e^+e^-

$\rightarrow Y(4S) \rightarrow B \text{ anti-B}$

- $\sqrt{s} = 10.52 \text{ GeV}$, $e^+e^- \rightarrow$

$q\bar{q}$ (u,d,s,c) 'continuum'

• ideal detector for high precision measurements:

- Azimuthally symmetric acceptance, high res. Tracking, PID

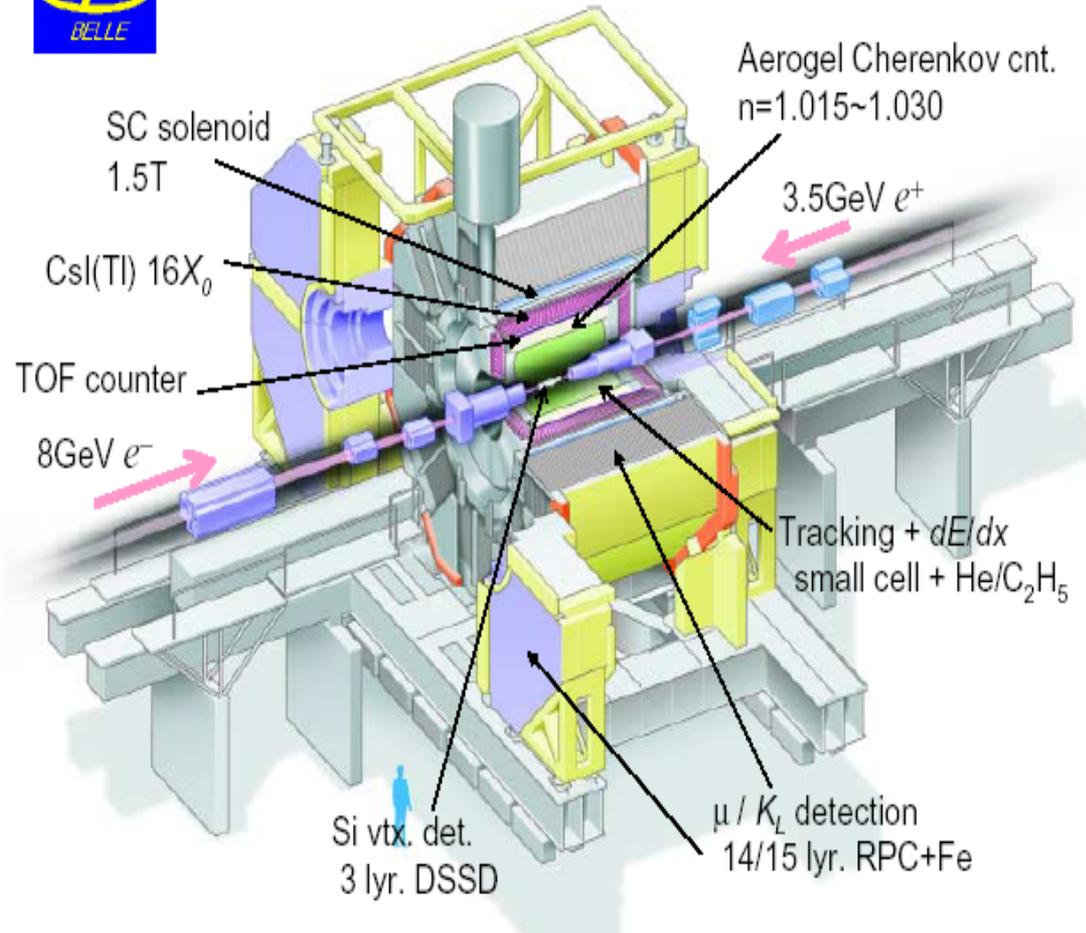
Available data:

$\sim 1.8 \cdot 10^9$ events at 10.58 GeV,

$\sim 220 \cdot 10^6$ events at 10.52 GeV



Belle Detector



Cross-Section for identified Pions and Kaons

81

- Initial State Radiation
- Exclude events where CME/2 changes by more than 0.5%
- Large at low z, correct based on MC

PID

$i = \pi, K$

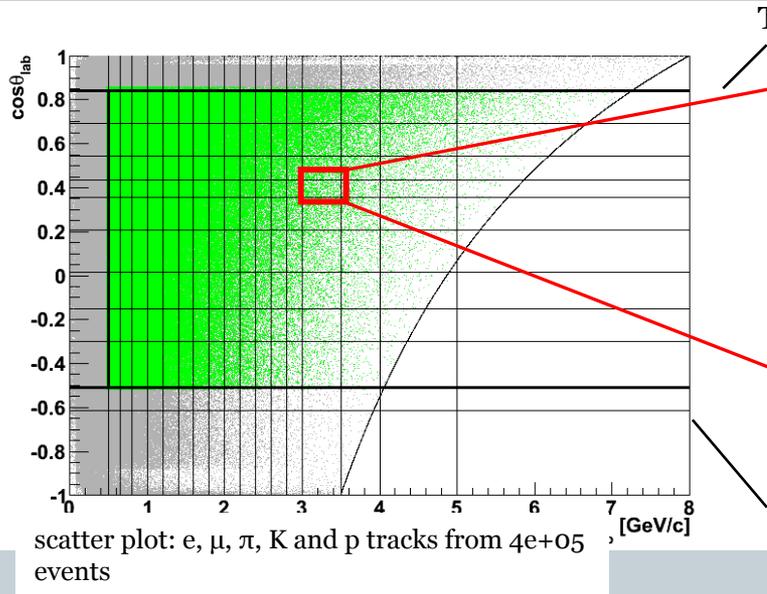
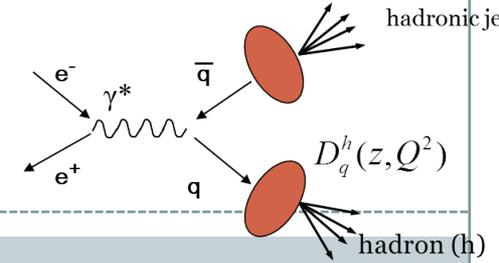
$$\frac{d\sigma_i}{dz} = \frac{1}{L_{tot}} \epsilon_{joint}^i(z) \epsilon_{ISR/FSR}^i(z) S_{zz_m}^{-1} \epsilon_{impu}^i(z_m) P_{ij}^{-1} N^{j,raw}(z_m)$$

- Correct for acceptance,
- $\tau\tau, 2\gamma,$
- decay in flight,

- Smearing Corrections

< 10%

PID Corrections from Data



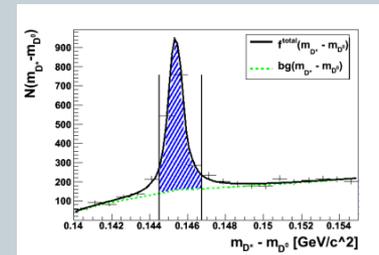
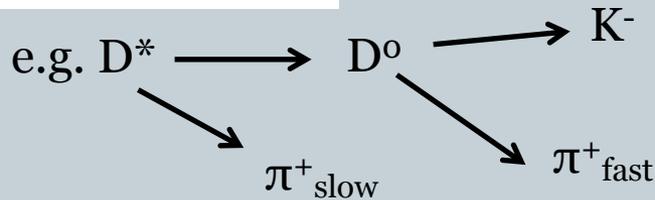
ToF forward geometry acceptance limit

fill matrix of PID probabilities for each single bin from real data calibration- need large statistics

$[P]_{ij}(p_{lab}, \cos\theta_{lab})$

$$= \begin{pmatrix} \mathbf{p}(e \rightarrow \tilde{e}) & \mathbf{p}(\mu \rightarrow \tilde{e}) & \mathbf{p}(\pi \rightarrow \tilde{e}) & \mathbf{p}(K \rightarrow \tilde{e}) & \mathbf{p}(p \rightarrow \tilde{e}) \\ \mathbf{p}(e \rightarrow \tilde{\mu}) & \mathbf{p}(\mu \rightarrow \tilde{\mu}) & \mathbf{p}(\pi \rightarrow \tilde{\mu}) & \mathbf{p}(K \rightarrow \tilde{\mu}) & \mathbf{p}(p \rightarrow \tilde{\mu}) \\ \mathbf{p}(e \rightarrow \tilde{\pi}) & \mathbf{p}(\mu \rightarrow \tilde{\pi}) & \mathbf{p}(\pi \rightarrow \tilde{\pi}) & \mathbf{p}(K \rightarrow \tilde{\pi}) & \mathbf{p}(p \rightarrow \tilde{\pi}) \\ \mathbf{p}(e \rightarrow \tilde{K}) & \mathbf{p}(\mu \rightarrow \tilde{K}) & \mathbf{p}(\pi \rightarrow \tilde{K}) & \mathbf{p}(K \rightarrow \tilde{K}) & \mathbf{p}(p \rightarrow \tilde{K}) \\ \mathbf{p}(e \rightarrow \tilde{p}) & \mathbf{p}(\mu \rightarrow \tilde{p}) & \mathbf{p}(\pi \rightarrow \tilde{p}) & \mathbf{p}(K \rightarrow \tilde{p}) & \mathbf{p}(p \rightarrow \tilde{p}) \end{pmatrix}$$

ToF backward geometry acceptance limit



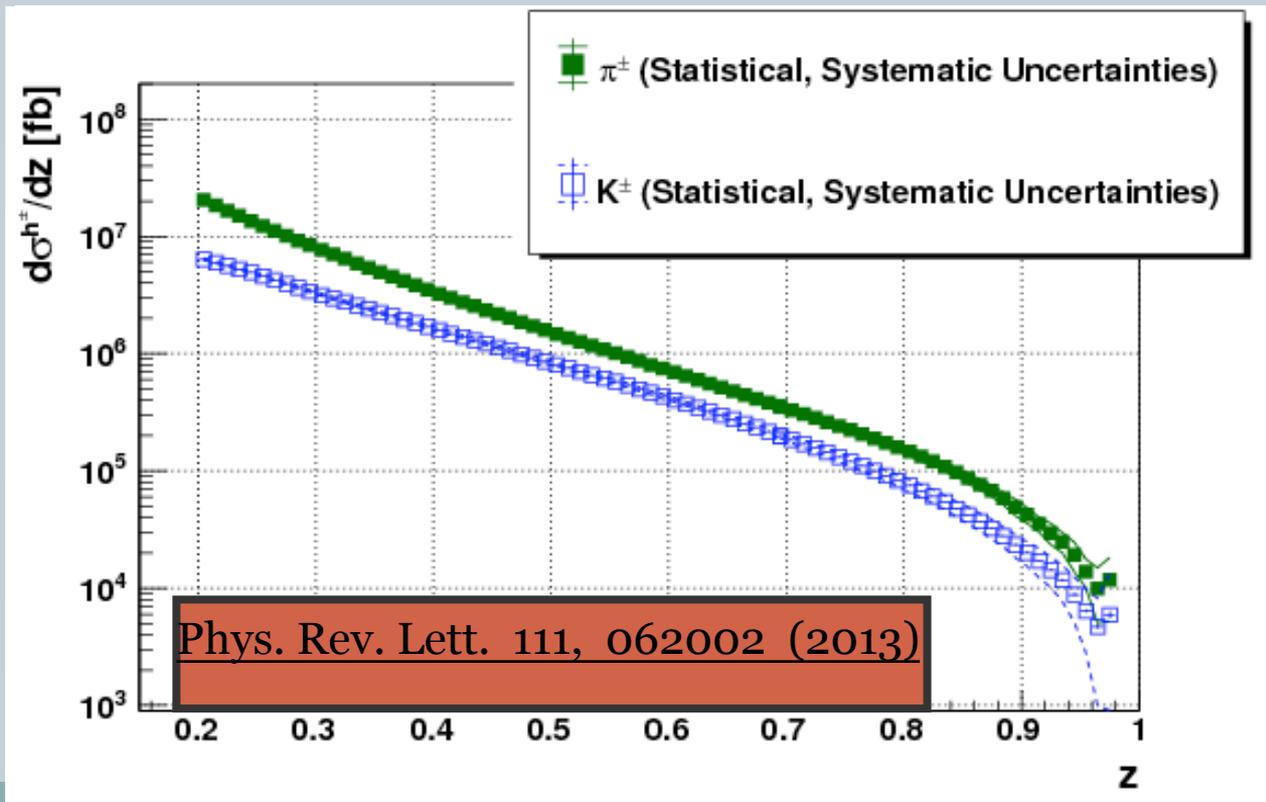
○ Misidentification $\pi \rightarrow K$ up to 15%, $K \rightarrow \pi$ up to 20%

Cross sections

83

$i = \pi, K$

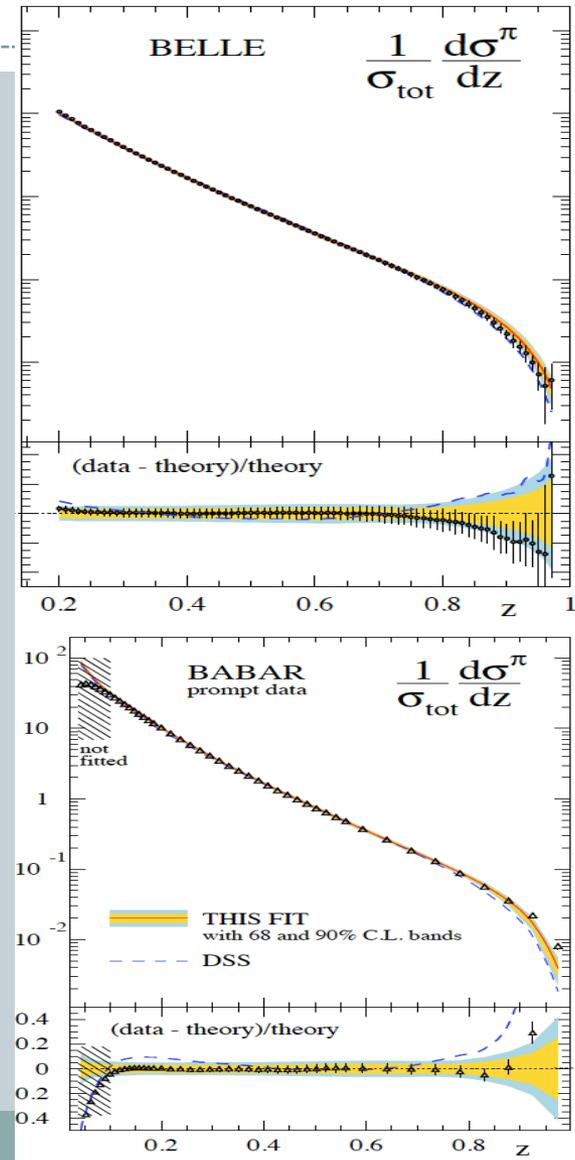
$$\frac{d\sigma_i}{dz} = \frac{1}{L_{tot}} \epsilon_{joint}^i(z) \epsilon_{ISR/FSR}^i(z) S_{zz_m}^{-1} \epsilon_{impu}^i(z_m) P_{ij}^{-1} N^{j,raw}(z_m)$$



New DSS(E,H-P) Fit

84

- Good agreement, however, there seems to be a trend away from the fit for the Belle data at high z
- Babar low z data needs resummation
- From DSS:
 - Precise data at high z
 - Some info from scaling violations (Belle vs experiments at M_Z)
 - Some info on flavor due to charge weighting

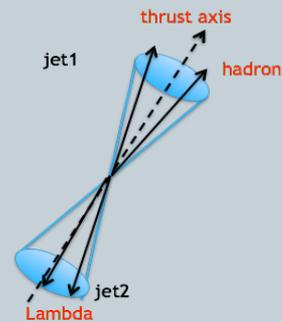
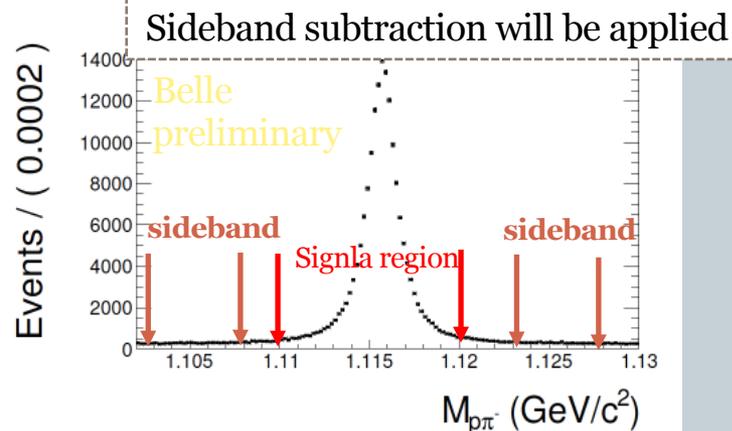


Data set

- Data sets: $\sim 792 \text{ fb}^{-1}$ at or near $\sqrt{s} \sim 10.58 \text{ GeV}$

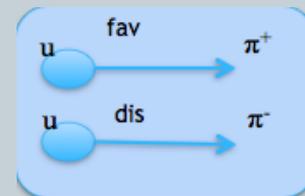
- Thrust > 0.8 to select back-to-back event topology and suppress B decays to less than 1%.
- Signal process $\Lambda \rightarrow p\pi^- (\bar{\Lambda} \rightarrow \bar{p}\pi^+)$. Clear Λ peak.
- By considering light hadron (K^\pm, π^\pm) in the opposite hemisphere, we can emphasize or suppress one kind of flavor which contributes to $\Lambda(\bar{\Lambda})$.

**PRL105,202001
(2010)**



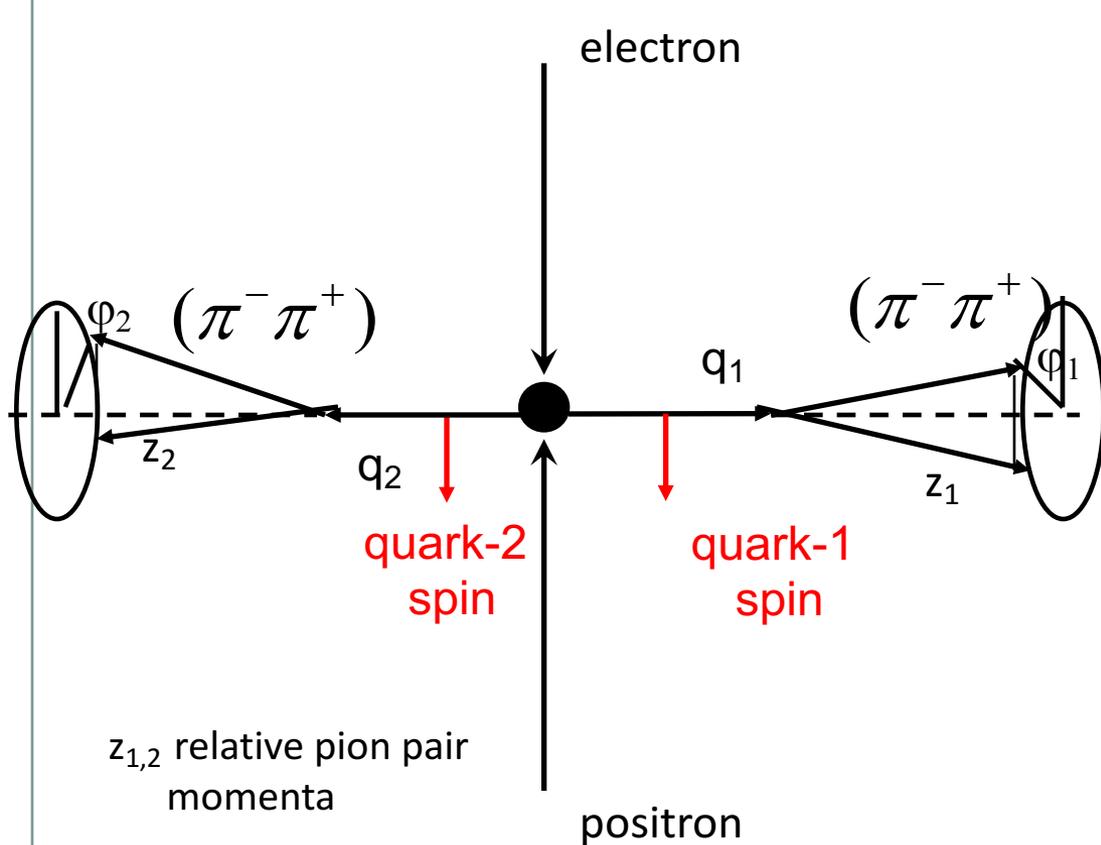
flavor tag

$\Lambda(u\bar{d}s)$; $\pi^+(u\bar{d})$; $K^+(u\bar{s})$



$$T = \text{Max} \left[\frac{\sum_h |\mathbf{P}_h^{\text{CMS}} \cdot \hat{\mathbf{T}}|}{\sum_h |\mathbf{P}_h^{\text{CMS}}|} \right]$$

Measuring transverse spin dependent di-Hadron Correlations In unpolarized e^+e^- Annihilation into Quarks



Interference effect in e^+e^- quark fragmentation will lead to azimuthal asymmetries in di-hadron correlation measurements!

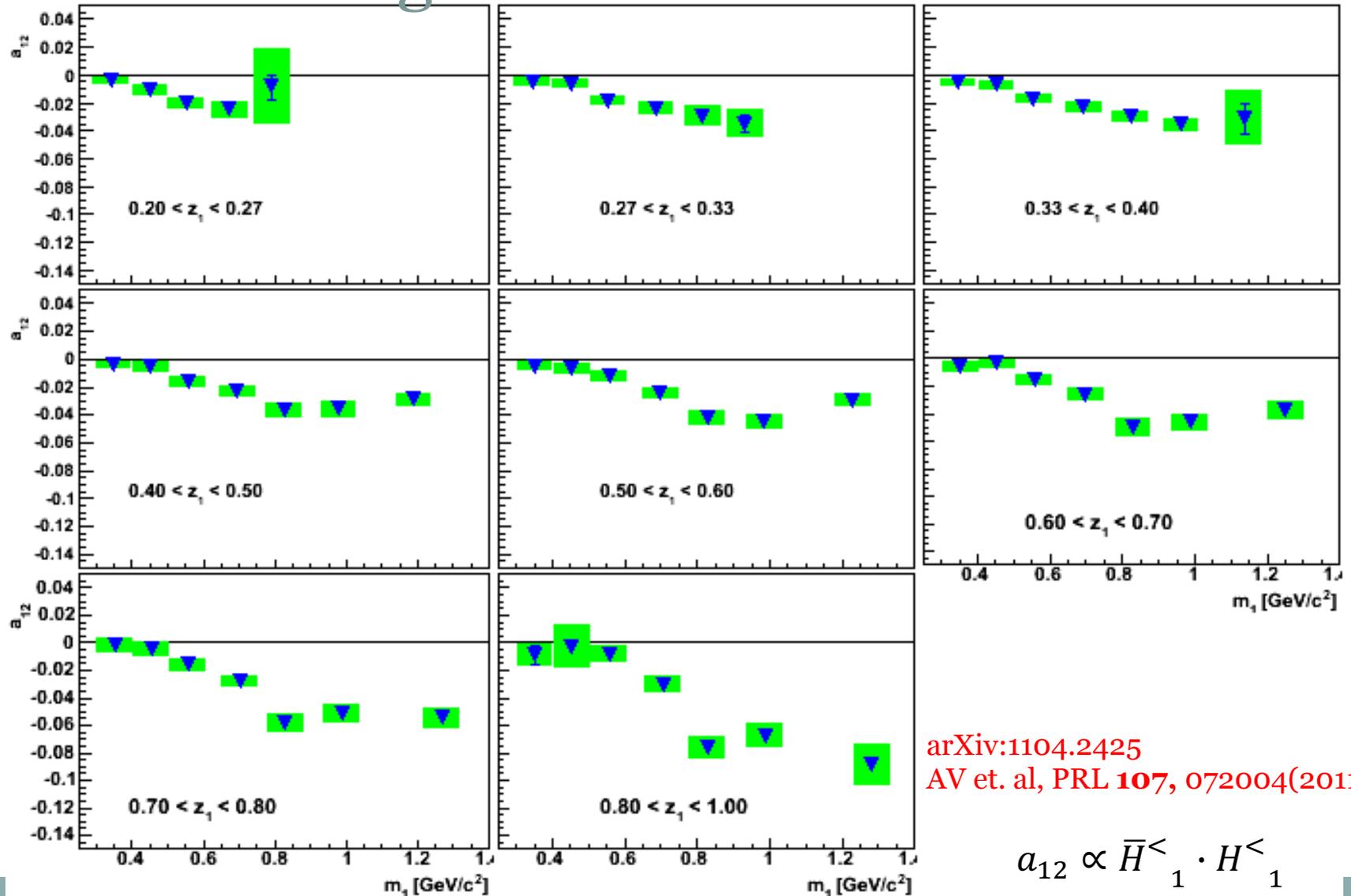
Experimental requirements:

- Small asymmetries \rightarrow very large data sample!
- Good particle ID to high momenta.
- Hermetic detector

$$A \propto H_1^\perp(z_1, m_1) \bar{H}_1^\perp(z_2, m_2) \cos(\phi_1 + \phi_2)$$



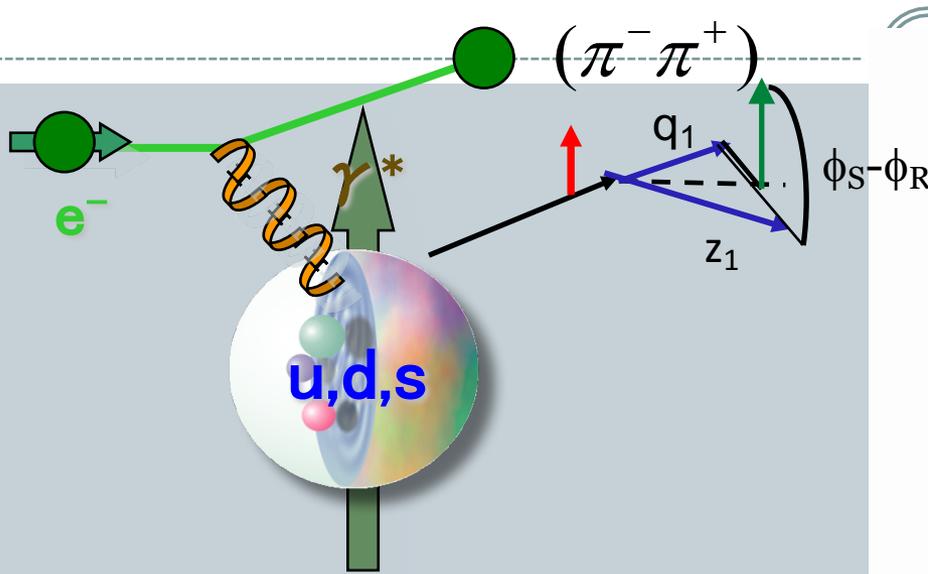
First measurement of Interference Fragmentation Function



arXiv:1104.2425
AV et. al, PRL **107**, 072004(2011)

$$a_{12} \propto \bar{H}_1^< \cdot H_1^<$$

COMPASS 2004 Setup



two stages spectrometer

Large Angle Spectrometer (SM1),

Small Angle Spectrometer (SM2)

MuonWall

E/HCAL

MuonWall

Polarised Target SM1

RICH

E/HCAL

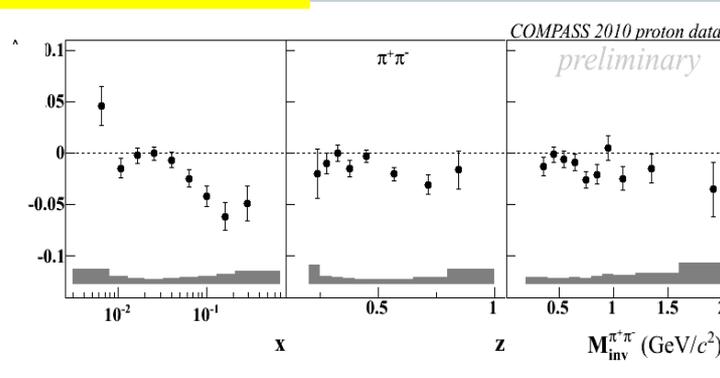
SM2

μ beam

2002-2004: 6LiD (Deuteron)
 dilution factor $f = 0.38$ polarization $PT = 50\%$
 >2005 NH3 (proton)
 3 target cells with opposite polarization, 90%
 polarization, 16% dilution

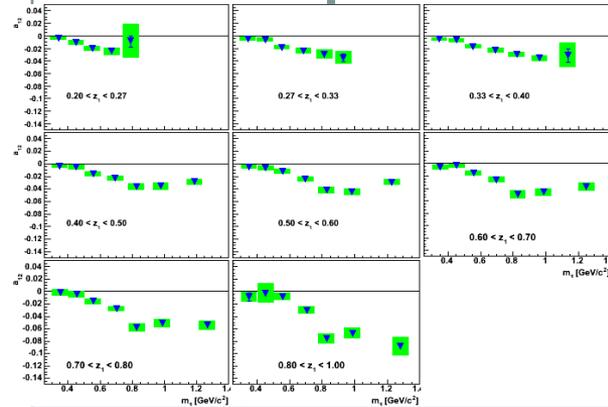
$$\frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}} (\phi_S - \phi_R) = A_{UT} \sin(\phi_S - \phi_R)$$

$$A_{UT} \propto h_1 \cdot H_1^<$$

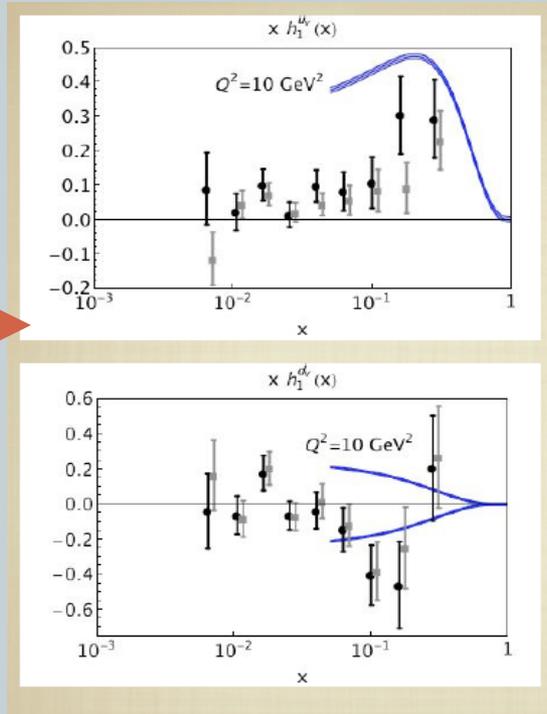
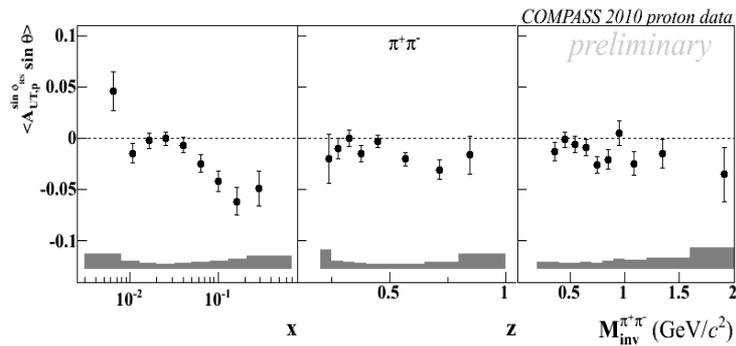


- high energy beam
- Large angular acceptance
- Broad kinematical range

Measurement at Belle leads to first point by point extraction of Transversity



$$a_{12} \propto H_1^< \cdot H_1^<$$



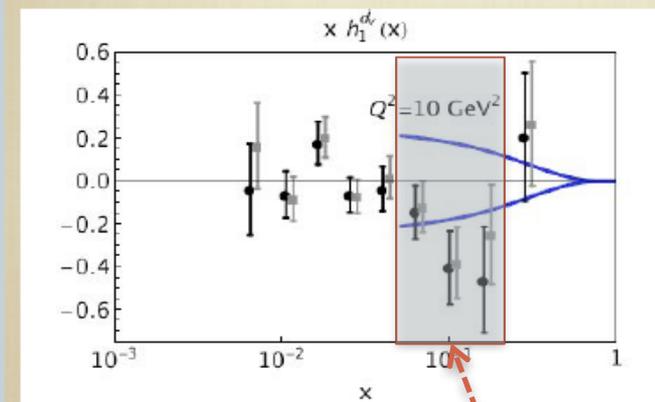
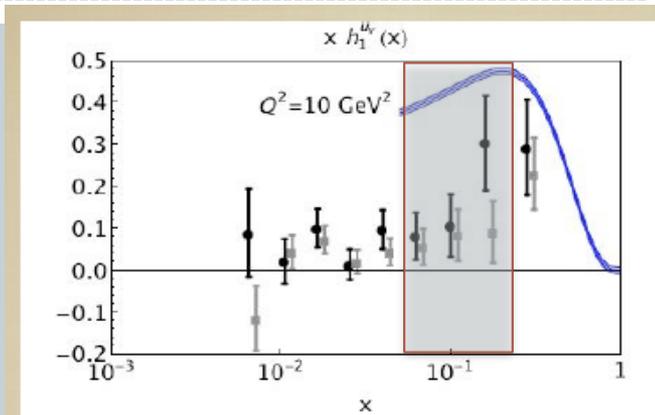
Future Plans at Star/Belle:
 Better sensitivity to d transversity
 From $\pi^0/\pi^{+/-}$ combinations
 Increase x range

Is Soffer Bound violated?
 $h(x) < |f(x) + g(x)|/2$

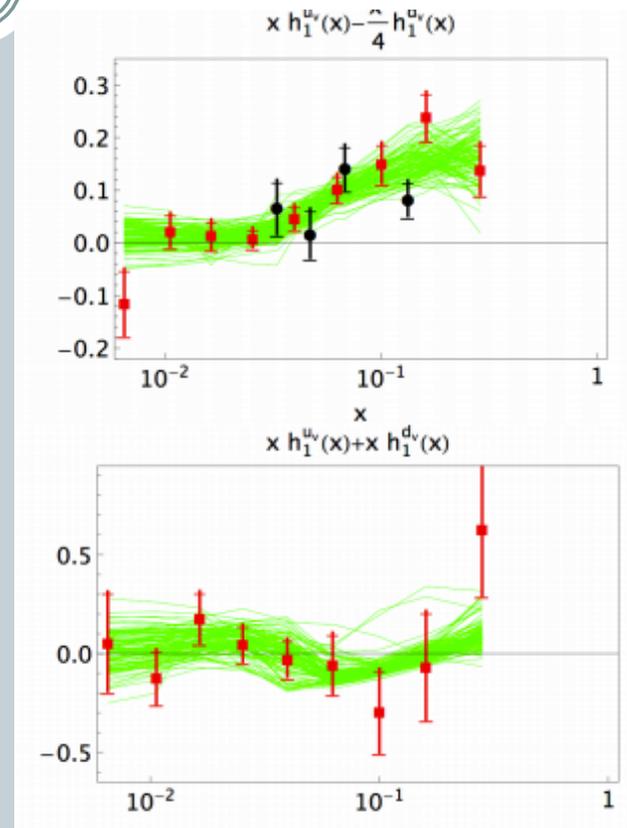
$$A_{UT} \propto h_1 \cdot H_1^<$$

M. Radici at FF workshop, RIKEN, 11/2012
 See also: Courtoy: Phys. Rev. Lett. 107:012001,2011

Predictions for STAR



x region covered by STAR



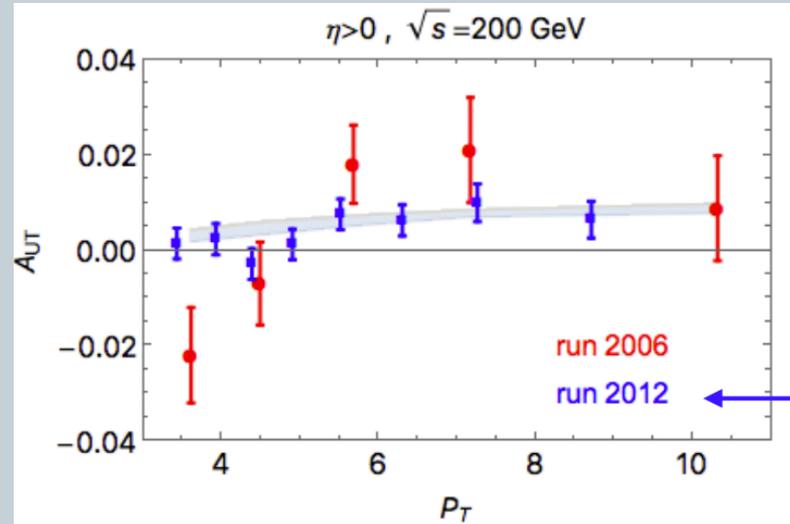
M. Radici at

**Emerging Spin and Transverse Momentum Effects
in pp and p+A Collisions**

RIKEN BNL Research Center Workshop
February 8-10, 2016 at Brookhaven National Laboratory

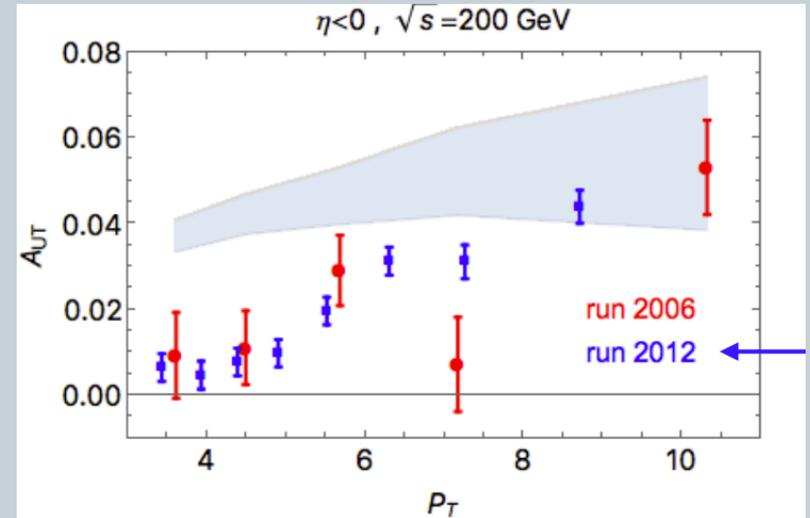
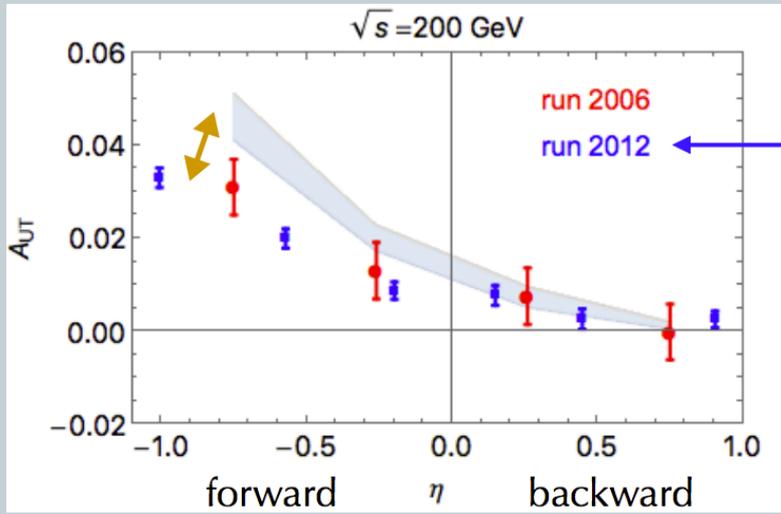


Good Agreement in Backwards Region



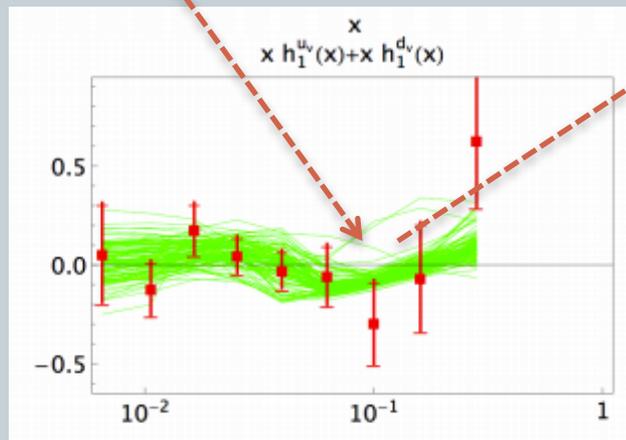
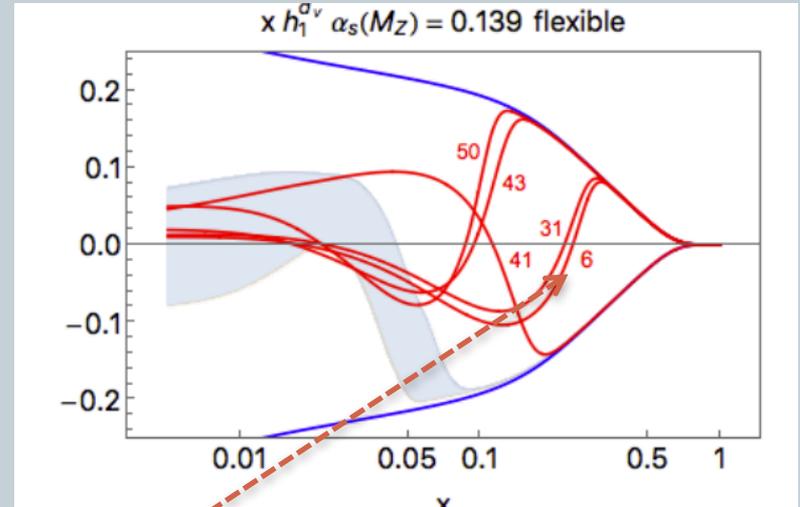
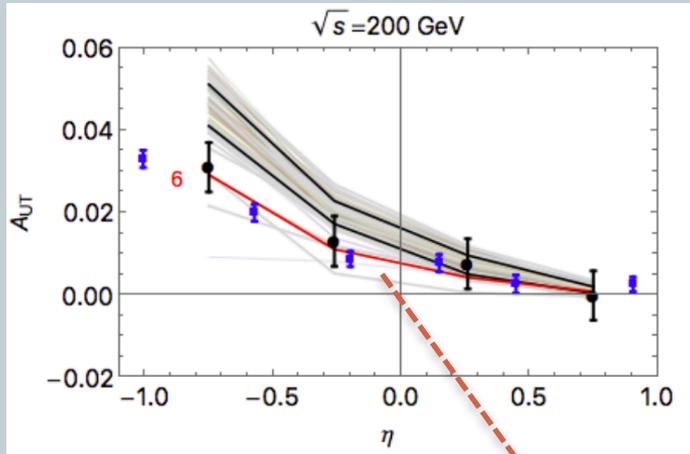
- Gluons under control?

Mismatch at low p_T and high η



- Theory prediction in this region driven by **one** Compass datapoint outside the Soffer bound

STAR data prefers “more reasonable” transversity



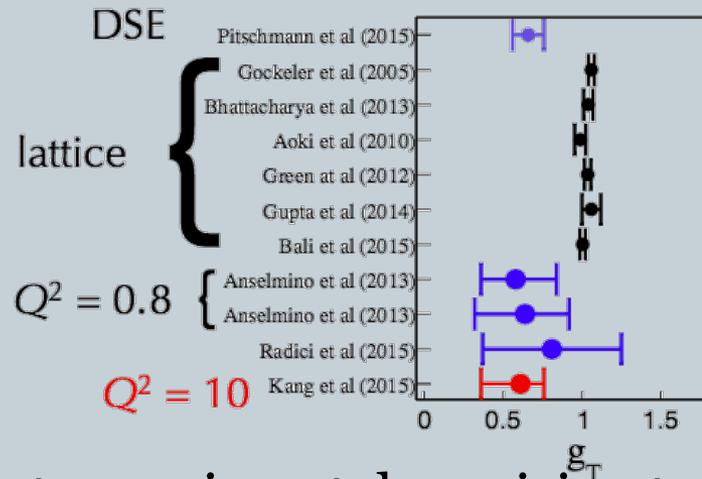
Tensor Charge



isovector tensor charge

$$g_T = \delta u - \delta d$$

$$Q^2 = 4 \text{ GeV}^2$$



- Still a lot to do to get experimental precision to lattice/model predictions
- STAR data will provide higher precision and Q^2 leverage
- JLAB12 will give high precision at high x
- **Belle II will provide comparable precision for fragmentation function measurements**

Summary and Outlook



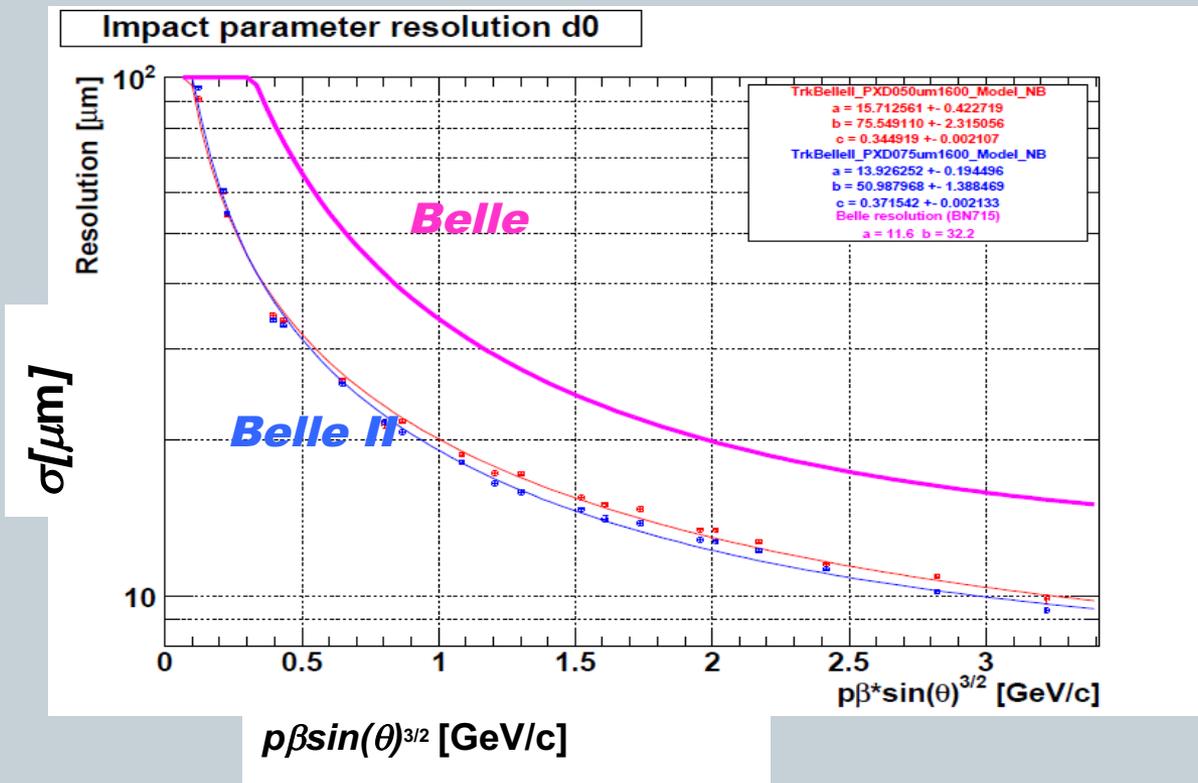
- Precision measurements of the proton's spin structure important step to ab-initio solutions to QCD
- Combining p-p scattering, SIDIS and e+e- data allows to extract protons transversity
- Belle and Belle II data will provide further insight into hadronization, confinement and the structure of the QCD vacuum

Backup



Improve Charm Discrimination with SVD&PXD

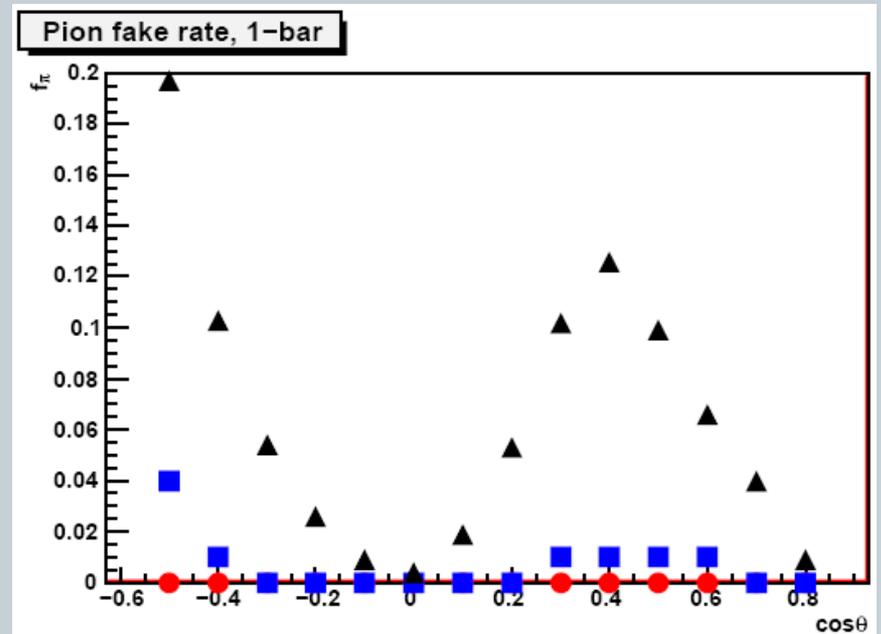
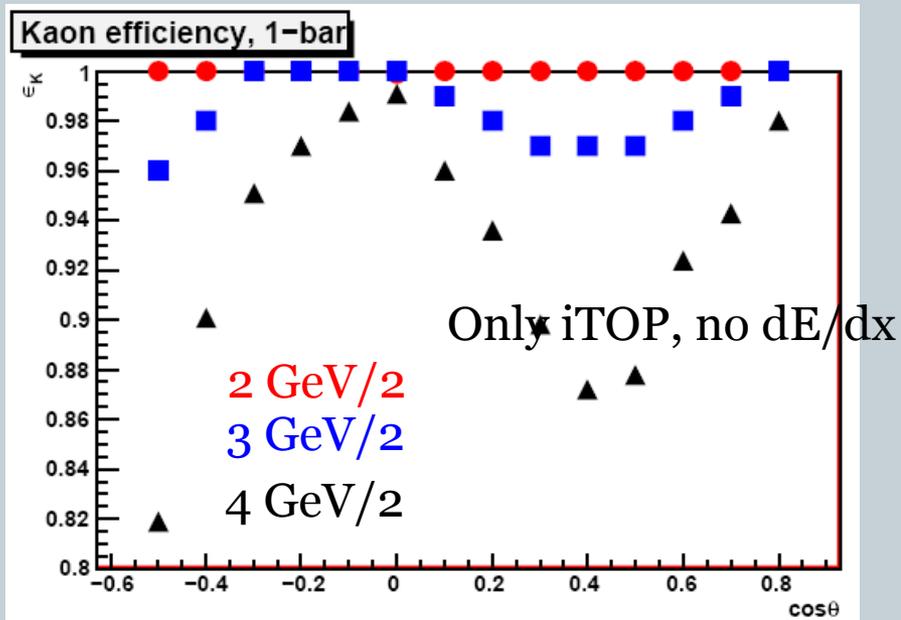
97



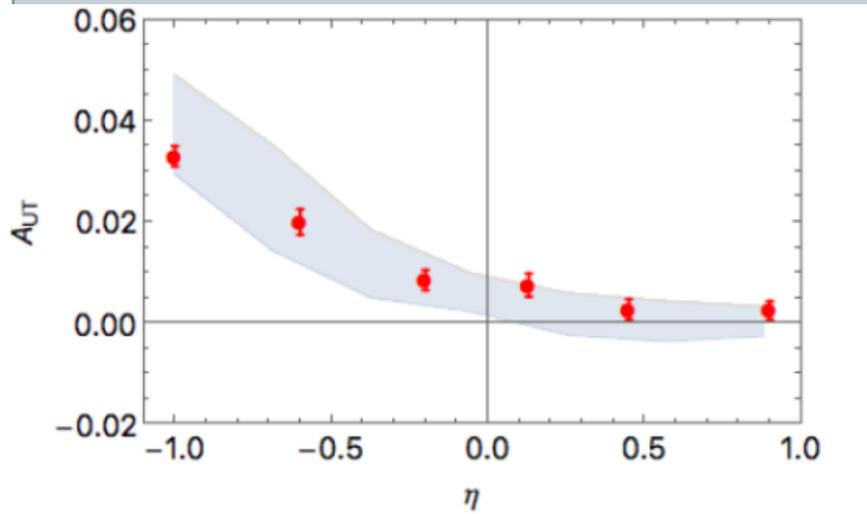
PID improvement with iTOP

98

- Compare with $\sim 85\%$ efficiency for Belle



Add more stuff from Marco here...

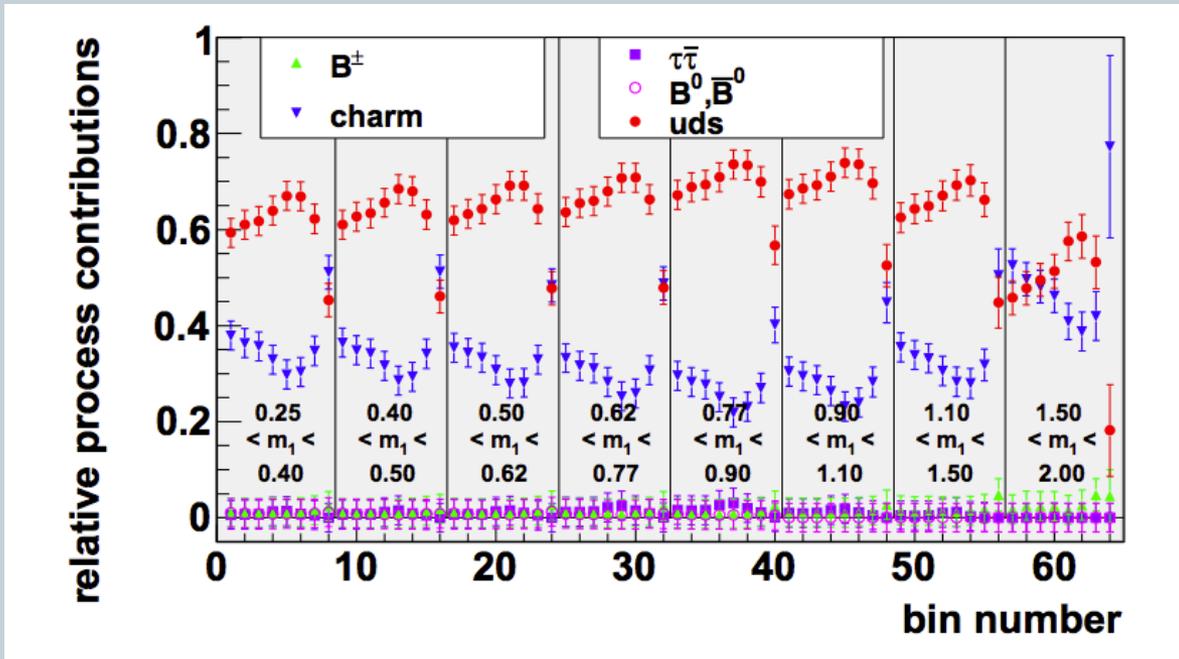


Comparison of prediction based
on fit to SIDIS and e+e- IFF data
& STAR IFF asymmetry data in p-p

Belle II



- Ueberleitung? Need for charm discrimination etc



Summary



Transversity from di-Hadron SSA

p+p c.m.s. = lab frame

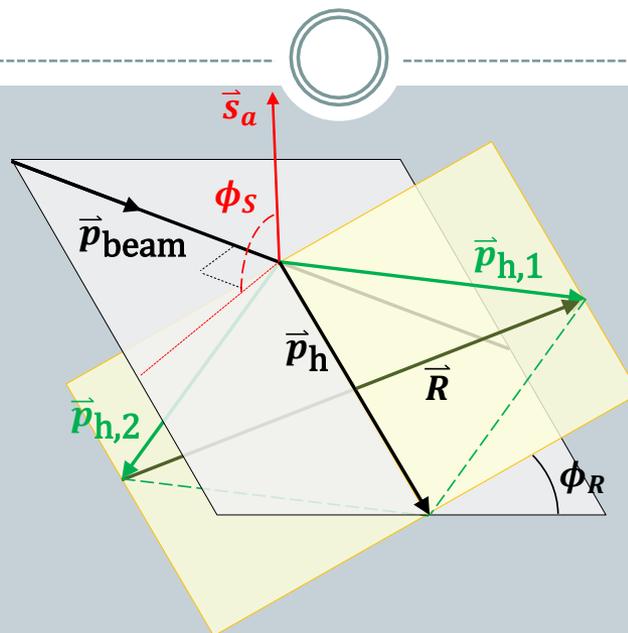
\vec{P}_A, \vec{P}_B : momenta of protons

$\vec{P}_{h1}, \vec{P}_{h2}$: momenta of hadrons

$\vec{P}_C = \vec{P}_{h1} + \vec{P}_{h2}$

$\vec{R}_C = (\vec{P}_{h1} - \vec{P}_{h2})/2$

\vec{S}_B : proton spin orientation



ϕ_R : from scattering plane
to hadron plane

ϕ_S : from polarization vector
to scattering plane

$$d\sigma_{UT} = 2 |\mathbf{P}_{C\perp}| \sum_{a,b,c,d} \frac{|\mathbf{R}_C|}{M_C} |\mathbf{S}_{BT}| \sin(\phi_{S_B} - \phi_{R_C}) \int \frac{dx_a dx_b}{16\pi z_c} f_1^a(x_a) h_1^b(x_b) \frac{d\Delta\hat{\sigma}_{ab\uparrow\rightarrow c\uparrow d}}{d\hat{t}} H_{1,ot}^{\langle c}(\bar{z}_c, M_C^2)$$

**Unpolarized
quark distribution**
Known from DIS

Transversity
to be extracted

**Hard scattering
cross section**
from pQCD

IFF + Di-hadron FF
measured in e+e at Belle-

Backup





Collins Extraction of Transversity: model dependence from Transverse Momentum Dependences!



$$A_{UT}^{Collins} = \frac{\sum_q e_q^2 \int d\phi_S d\phi_h d^2k_\perp h(x, k_\perp) \frac{d(\Delta\sigma)}{dy} H_{1,q}^\perp(z, p_\perp) \sin(\phi_S + \phi + \phi_q^h) \sin(\phi_S + \phi_h)}{\sum_q e_q^2 \int d\phi_S d\phi_h d^2k_\perp q(x, k_\perp) \frac{d(\Delta\sigma)}{dy} D_q^h(z, p_\perp)}$$

transversity
Collins FF

quark pdf
hadron FF

k_\perp transverse quark momentum in nucleon

p_\perp transverse hadron momentum in fragmentation

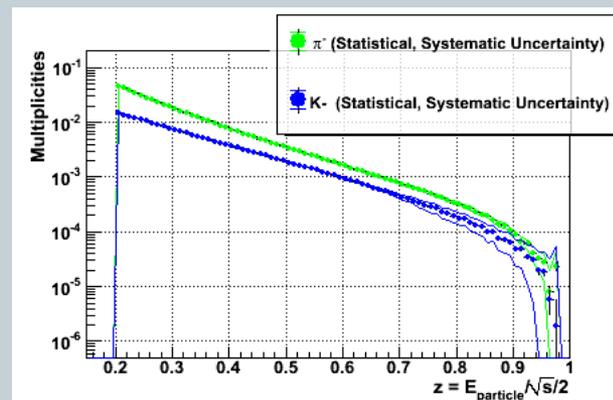
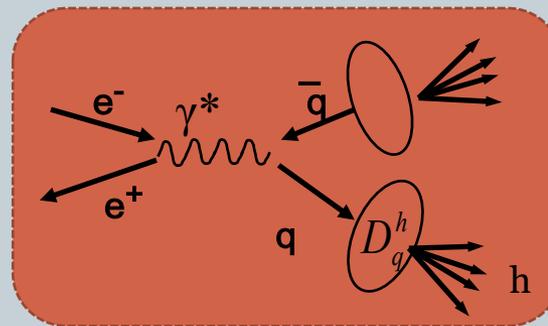
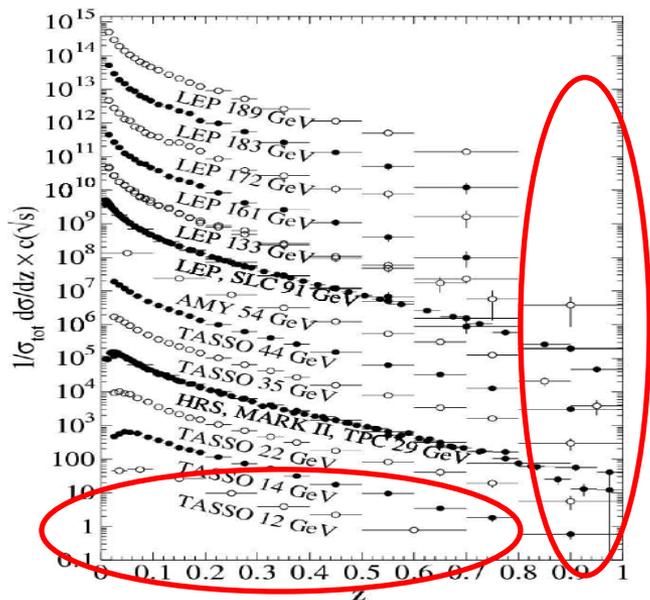
Anselmino, Boglione, D'Alesio, Kotzinian, Murgia, Prokudin, Turk Phys. Rev. D75:054032,2007

The transverse momentum dependencies are still unknown
 Need p_T dependent FFs from Belle to extract transversity and test TMD framework

Unpolarized Fragmentation Functions

- Precise knowledge of upol. FFs necessary for virtually all SIDIS measurements

Lack of data at high z , lower CMS

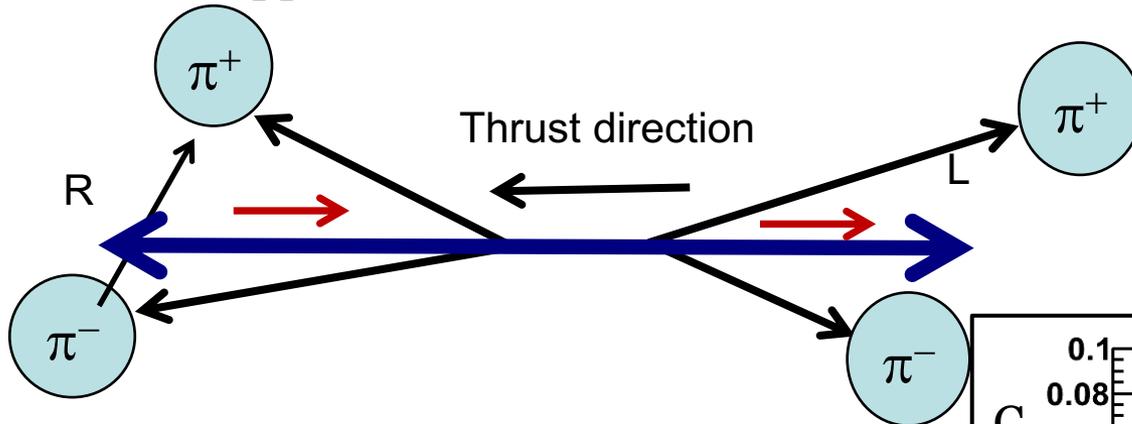


Submitted to PRL
[arXiv:1301.6183](https://arxiv.org/abs/1301.6183)

- π^0 , η fragmentation function under way
- In particular important at RHIC

Handedness Correlations

- Handedness Correlations expected to be zero in factorized approach
- Non-zero asymmetries predicted in factorized approach for azimuthal asymmetries sensitive to $G_{\perp 1}^{\perp}$
- Several suggestions how interactions with QCD vacuum can lead to non-zero asymmetries
- SLD: Upper bound from 90k hadronic Z events: 7%

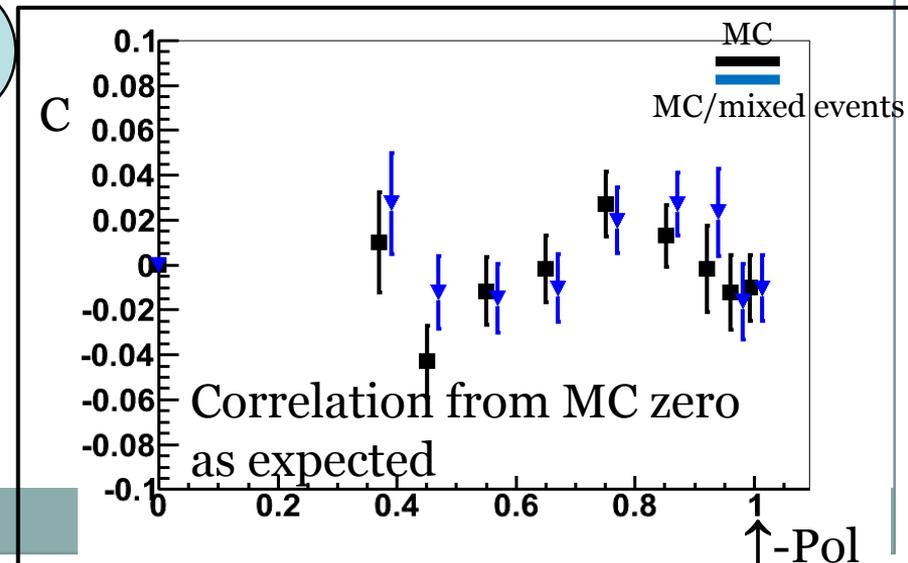


$$\text{Handedness: } \frac{(\vec{k}_+ \times \vec{k}_-) \cdot \vec{t}}{|\vec{k}_+| |\vec{k}_-|} \stackrel{?}{>} 0 \Rightarrow \text{L/R}$$

Jet

$$\text{handedness: } \frac{N_R - N_L}{N_R + N_L}$$

$$C: \frac{N_{RL} + N_{LR} - N_{RR} - N_{LL}}{N_{RL} + N_{LR} + N_{RR} + N_{LL}}$$



Belle II Detector at SuperKEKB (L x 40) and IU contributions to Barrel Particle ID



K_L and muon detector:
Resistive Plate Counter (barrel outer layers)
Scintillator + WLSF + MPPC (end-caps, inner 2 barrel layers)
RPC Front End Electronics, Concentrator boards for barrel and endcap scintillator layers

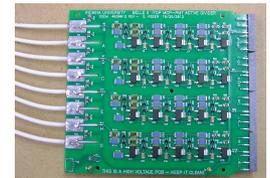
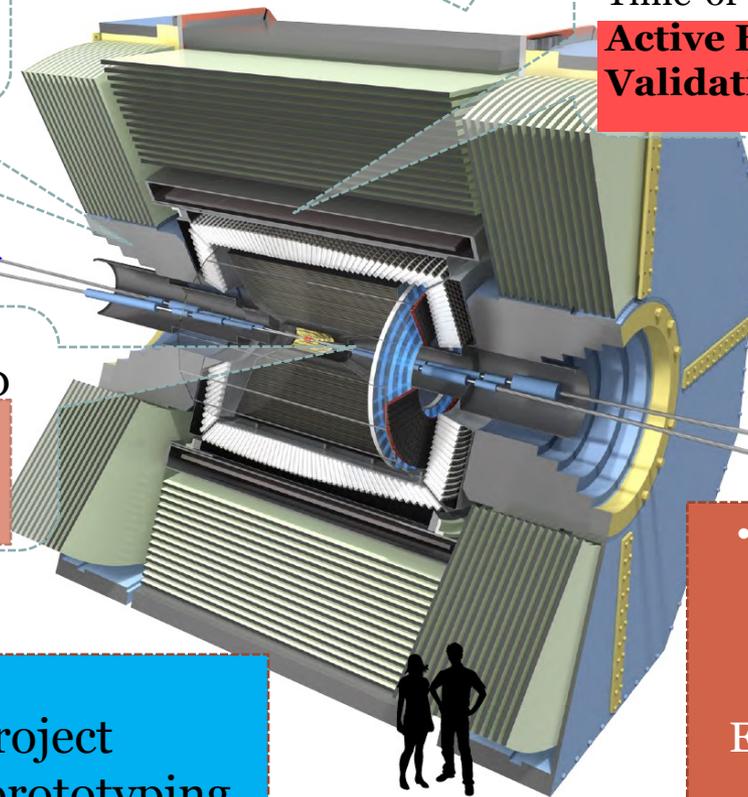
Barrel PID instrumental for fragmentation function measurements

EM Calorimeter:
CsI(Tl), waveform sampling (barrel)
Pure CsI + waveform sampling (end-caps)

Particle Identification
Time-of-Propagation counter (barrel)
**Active HV Divider board for MCP-PMT
Validation of FPGA code of iTOP**

e^- (7GeV)

Vertex Detector
2 layers DEPFET + 4 layers DSSD
**Vertex resolution improved by order of magnitude:
Separate charm/uds**



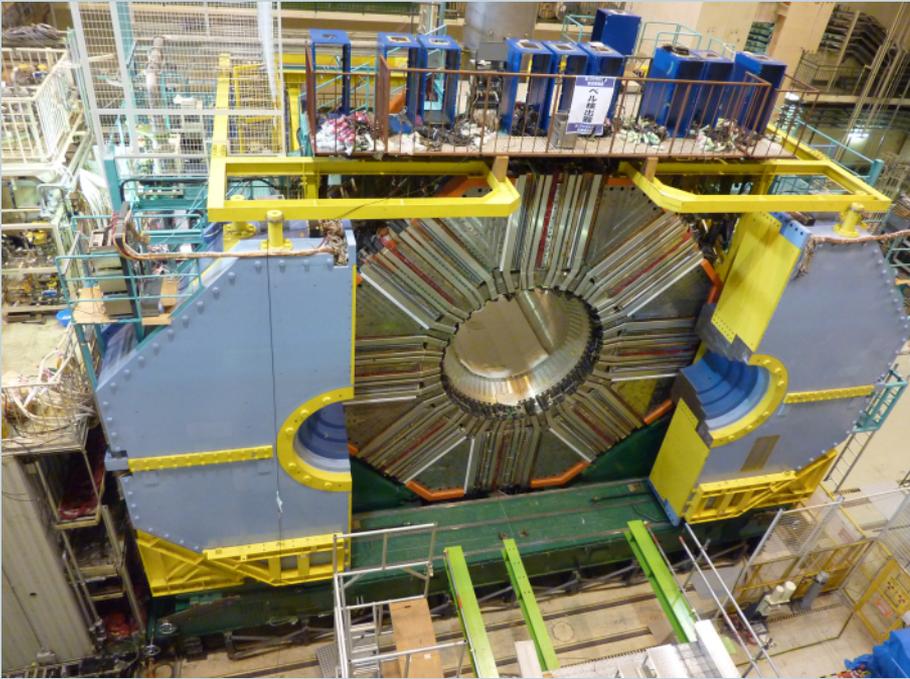
e^+ (4GeV)

• RPC test stand at IU to test electronics:
E. Zarnedt, S. Arnold

**\$90k + \$700k Grant
From DOE US Belle II project
+ ~\$100k from NSF for prototyping**



Belle II Status



Outlook over Next 5 Years

• Analysis:



existing dataset

- ✦ Extraction of Di-hadron FF from Belle with π -K final states
- ✦ Di-hadron correlations at Belle to test TMD framework and probe local parity violating effects
- ✦ Current Student Hairong Li: π^0/η unpolarized and Collins FF



dataset:

- ✦ Explore charm rejection for π^+/π^- IFF



- ✦ Transverse spin physics at SoLID, di-hadron correlations at CLAS

• Instrumentation:



- ✦ Participation in detector upgrades (experience with GEM detector and building RPCs),
- ✦ Investigating Transversely polarized target option at CLAS



- ✦ Readout electronics. Currently PI on \sim \\$90k grant, MoU for \sim \\$700k dependent on US-Congress

Long Term Outlook



- Fragmentation Extraction at
 - Transverse spin dependent FFs with Kaons in final state
 - p_T dependent FFs
 - Reduce systematic effects by removing charm
- EIC?



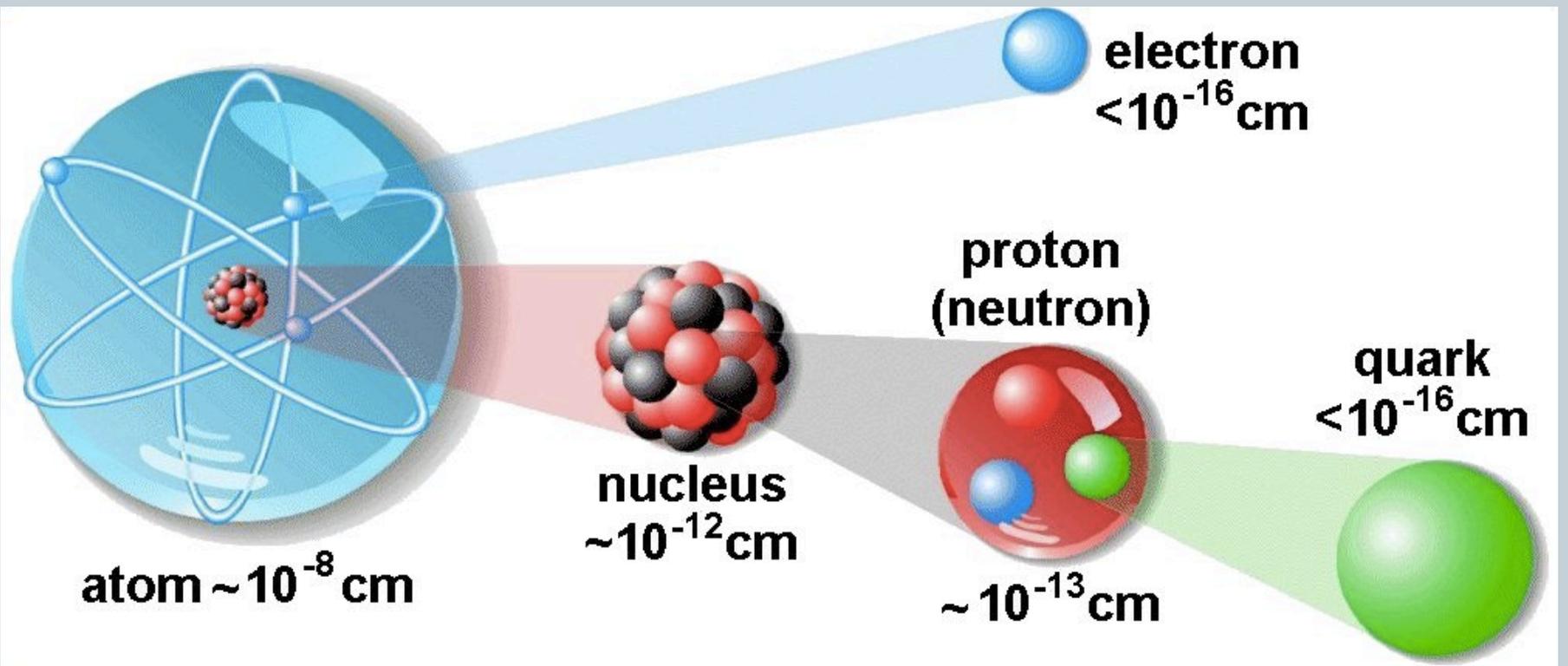
Summary



- Breakthrough Measurements of Proton Structure underway: How does QCD work inside the Nucleon?
- Di-hadron Correlations best way to access transversity in p+p, SIDIS
- Needed to describe spin structure of the proton, derive tensor charge
- Corresponding Fragmentation Functions measured at Belle



- Outlook
 - **CLAS, SoLID @JLab:** Transversity measurements on helium3, proton, high x , p_T dependence, wide kinematic range
 - Di-hadron correlations of π -K to access higher twist distribution functions
 - TMD x-sections
 - **Belle II:** Continuation of FF measurements with improved Kaon ID and vertex reconstruction
 - Test TMD framework
 - Probe QCD vacuum polarization
 - Precision measurements at Belle (II) **crucial** for success of Jlab program

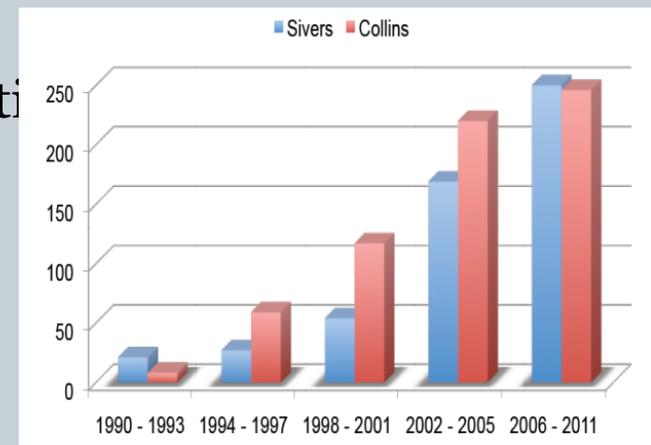
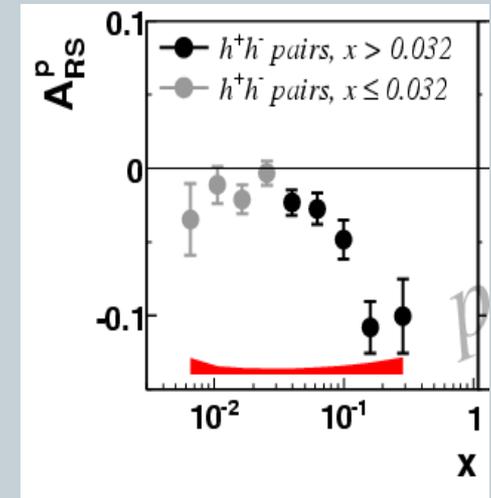


Di-Hadron correlations allow model independent extraction of transversity

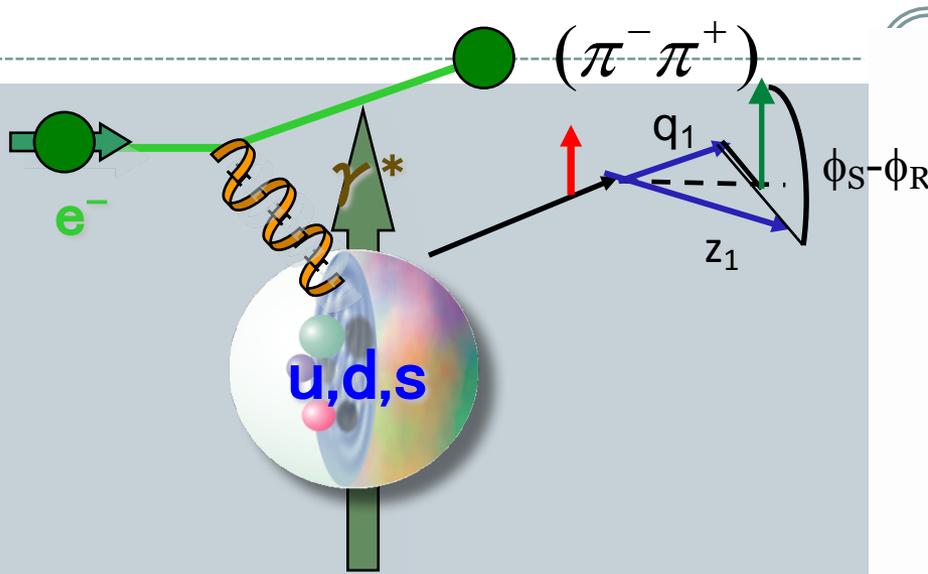
- Ab-initio contact to the tensor charge
- Transverse momentum is integrated
 - Collinear factorization
 - ✦ No assumption about k_t in evolution
 - ✦ evolution known, collinear scheme can be used
 - ✦ Universal function: directly applicable to semi-inclusive DIS and pp
 - ✦ No ambiguity due to Sudakov suppression
- SIDIS experiment show that the effect is large
- Interest in transverse spin physics in the theoretical community is growing rapidly

In p+p:

- No jet reconstruction necessary, better systematics: “Easier” measurement
- No u-quark dominance



COMPASS 2004 Setup



two stages spectrometer

Large Angle Spectrometer (SM1),

Small Angle Spectrometer (SM2)

MuonWall

E/HCAL

E/HCAL

RICH

Polarised Target

SM1

SM2

2002-2004: 6LiD (Deuteron)

dilution factor $f = 0.38$ polarization $PT = 50\%$

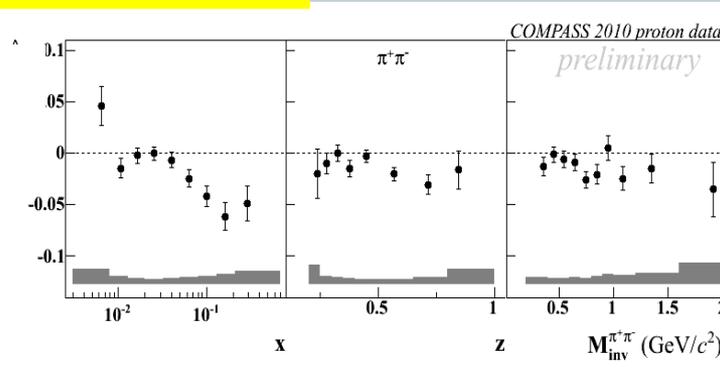
>2005 NH3 (proton)

3 target cells with opposite polarization, 90% polarization, 16% dilution

μ beam

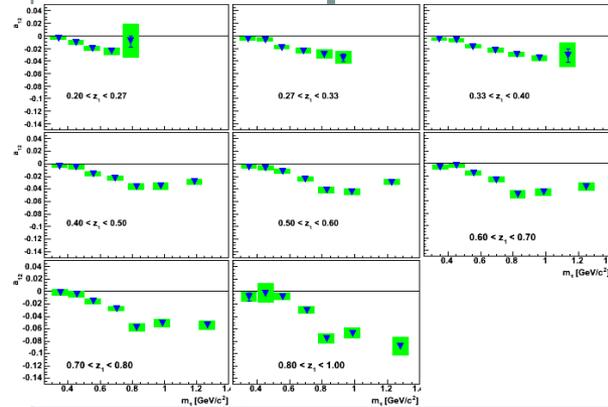
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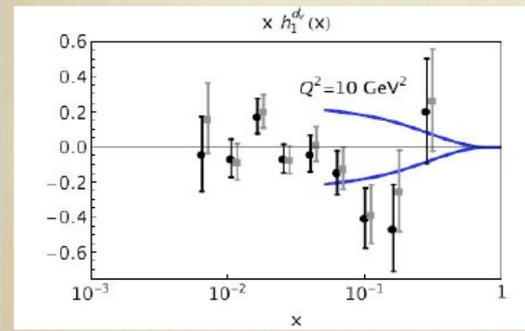
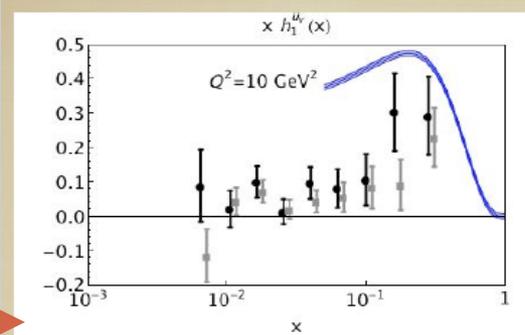
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- Broad kinematical range

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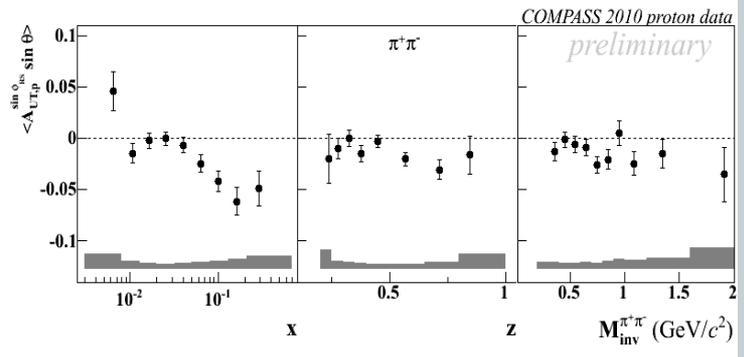
+



Future Plans at Star/Belle:

Better sensitivity to d transversity
From $\pi^0/\pi^{+/-}$ combinations

Increase x range



Is Soffer Bound violated?
 $h(x) < |f(x) + g(x)| / 2$

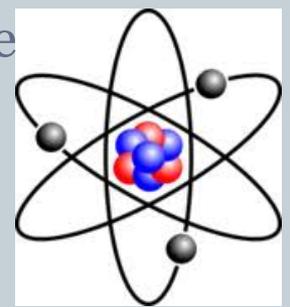
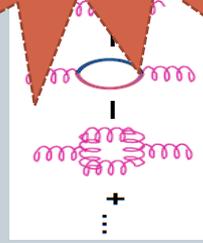
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M. Radici at FF workshop, RIKEN, 11/2012
 See also: Courtoy: Phys. Rev. Lett. 107:012001,2011

Motivation for Studying Spin Proton Structure & Quantum Chromo Dynamics

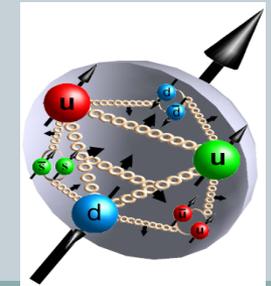


- QCD successful in describing high energy reactions, Asymptotic freedom, Nobel 2004
- **BUT** No consistent description of hadronic sector
 - → No consistent description of fundamental bound state of the theory
 - QCD binding energy : most of the visible mass in the universe
 - Spin is fundamental Quantum Number: What role does it play? Use transverse spin as precision probe.



QED

↓ x60,000

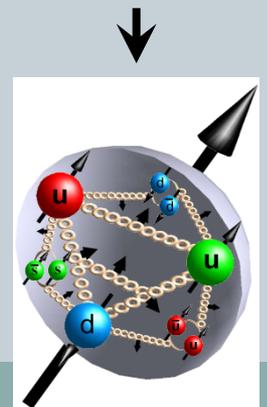
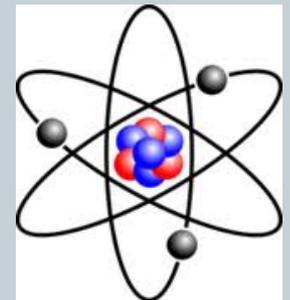
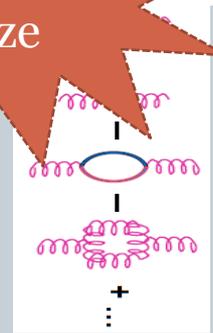


QCD

Motivation for Studying Spin Proton Structure & Quantum Chromo Dynamics

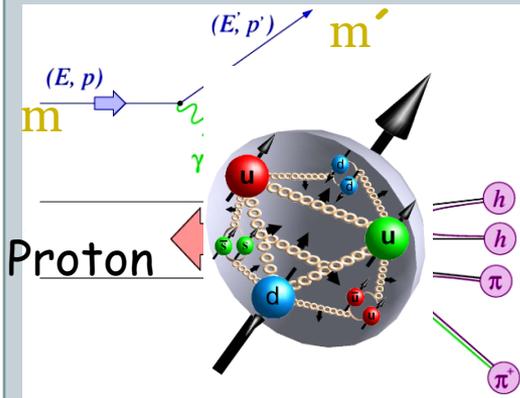
Millenium Prize

- QCD successful in describing high energy reactions
- **BUT** No consistent description of hadronic sector
 - → No consistent description of fundamental bound state of the theory : proton
 - QCD binding energy : most of the visible energy in the universe
 - Spin is fundamental Quantum Number: What role does it play? Use transverse spin as precision probe
- Compare to QED:
 - Bound state: QED: atom
 - Stringent tests of QED from study of **spin** structure of hydrogen
 - ✦ Lamb shift (Nobel prize 1955)
 - → Atomic physics, QCD?



QCD Factorization Theorem needed to Access Nucleon Structure

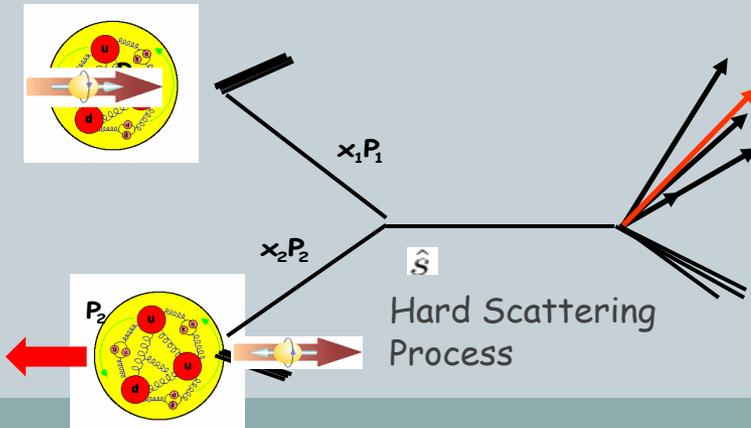
(semi) Inclusive polarized deep inelastic scattering (DIS)



F time σ times FF

Describes probability to find a quark
In the nucleon with a specific polarization state

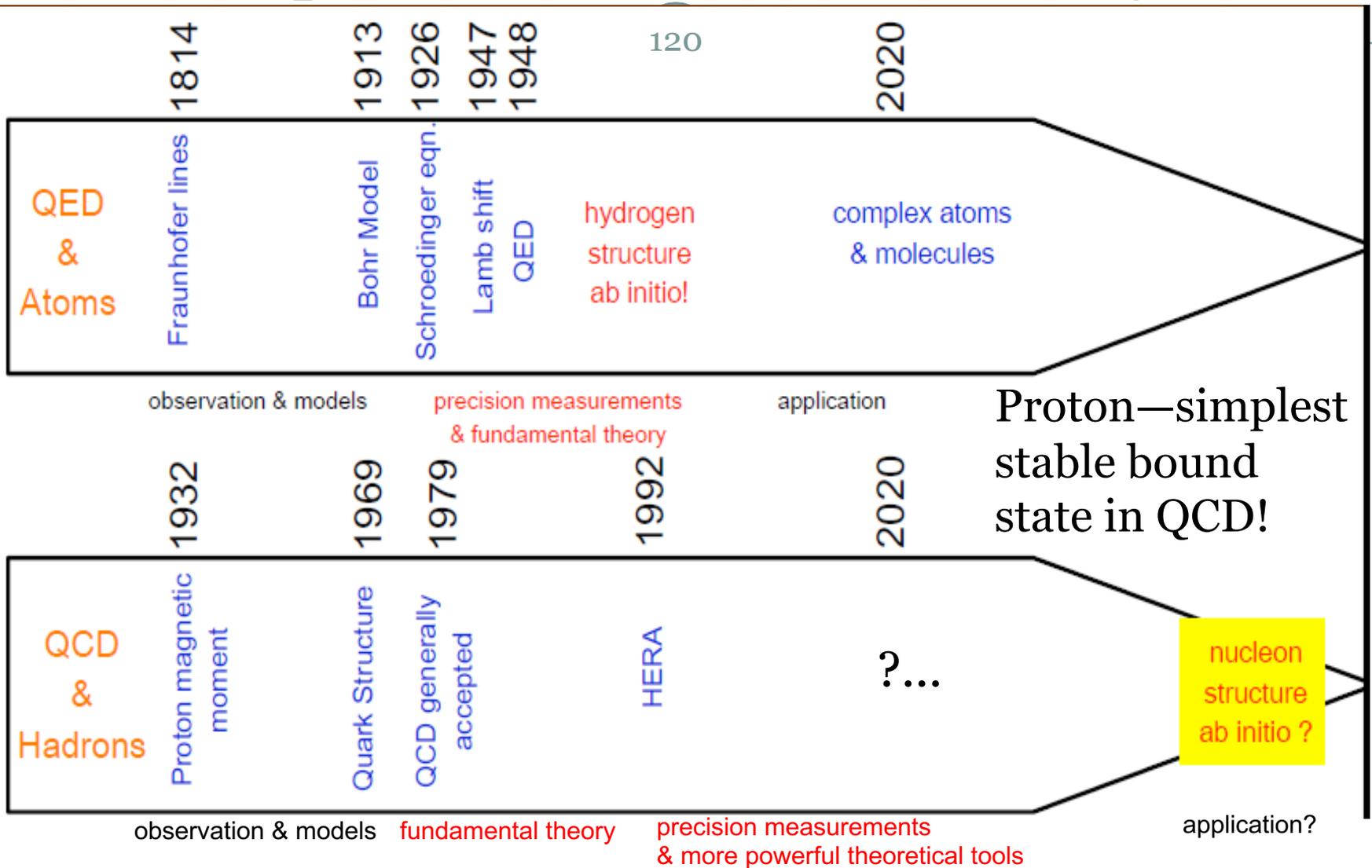
polarized pp scattering



Hard x section

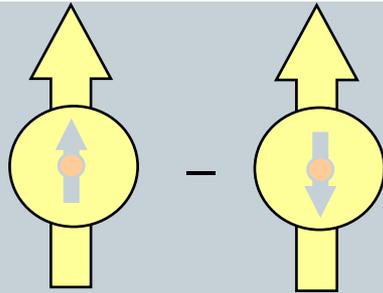
FF

The proton as a QCD “laboratory”



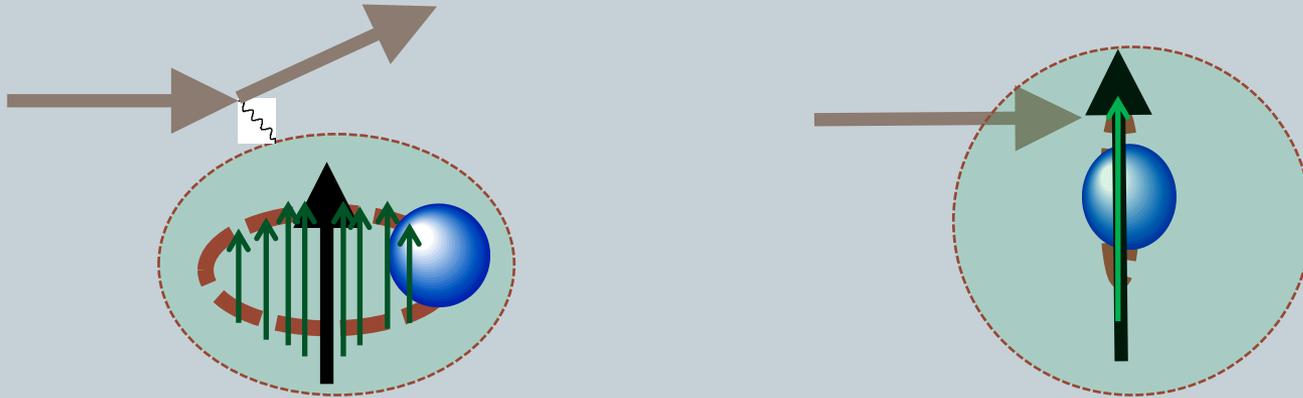
Transversity:

Why is



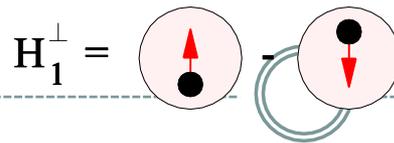
so hard to measure?

- Naïve picture: leptonic probe too 'fast' to be sensitive to transverse polarization

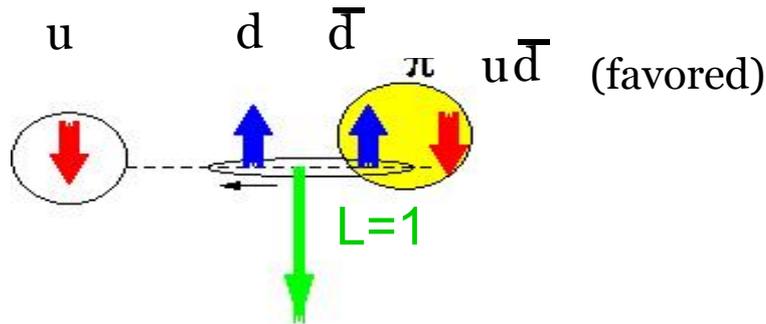


Mechanisms for SSA

Collins Fragmentation

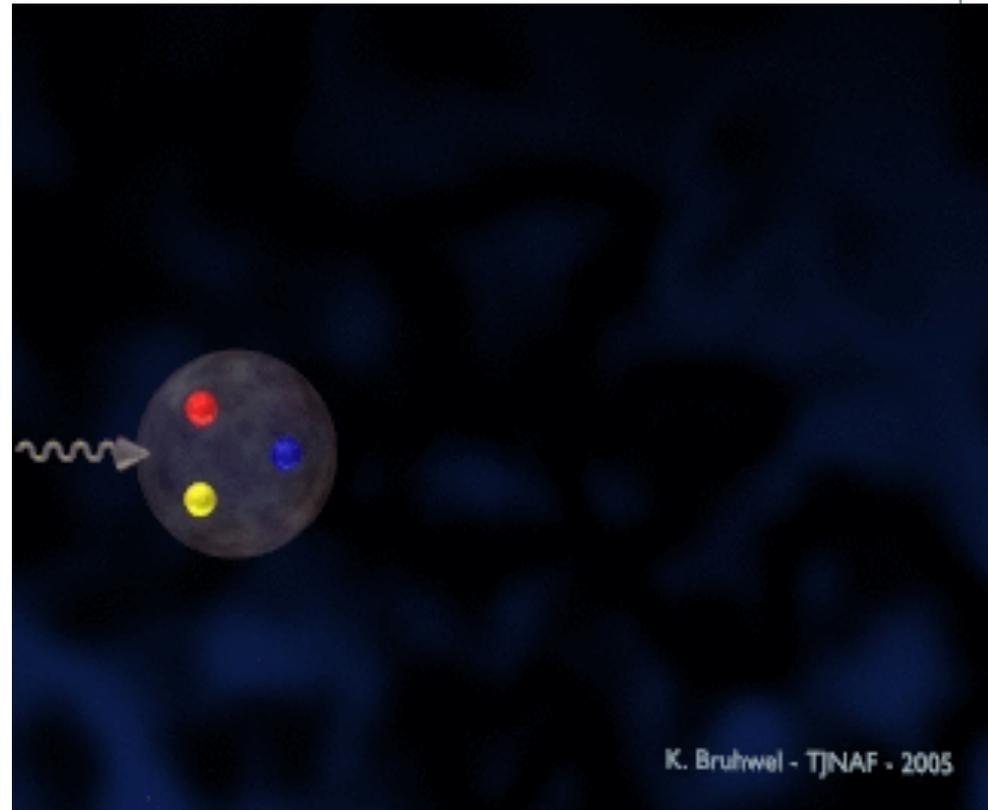


fragmentation of transversely polarized quarks into unpolarized hadrons



Orbital momentum generated in string breaking and pair creation produces left-right asymmetry from transversely polarized quark fragmentation (Artru-93)

- L/R SSA generated in fragmentation
- Unfavored SSA with opposite sign
- No effect in target fragmentation



K. Bruhwal - TJNAF - 2005

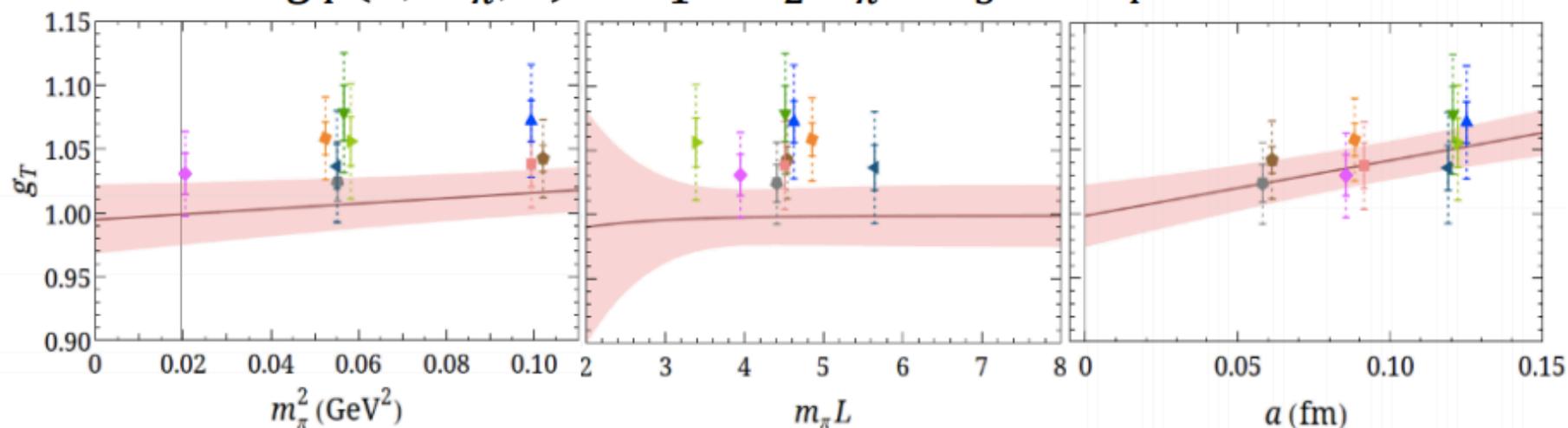
§ g_T : zeroth moment of transversity $\Gamma = \sigma_{\mu\nu}$

§ A state-of-the-art calculation (PNDME)

$$g_T = \int_{-1}^1 dx \delta q(x)$$

↻ Extrapolate to the physical limit

$$g_T(a, m_\pi, L) = c_1 + c_2 m_\pi^2 + c_3 a + c_4 e^{-m_\pi L}$$



First extrapolation to the physical limit
of a nucleon matrix element!