

Overview of the spin programme of COMPASS



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Common Muon and Proton Apparatus for Structure and Spectroscopy

A fixed-target experiment at the SPS at CERN (~ 210 physicists, 28 institutes from 14 countries)

Muon programme
Spin dependent structure functions g_1 Gluon polarisation in the nucleon Quark polarisation distributions Transversity Vector meson production Δ polarisation DVCS/GPD
Hadron programme
Primakoff effect, π and K polarisabilities Exotic (multiquark) states, glueballs (Double) charmed baryons Precision studies of light meson spectrum Drell-Yan process on a polarised target

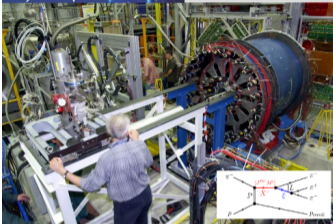
PHASE I (2002 - 2011)

PHASE II (2012 - 2023)

2002 – 2004	nucleon structure μ -d, 160 GeV, L and T polarised target
2005	CERN accelerator shutdown, increase of acceptance
2006	nucleon structure μ -d, 160 GeV, L polarised target
2007	nucleon structure μ -p, 160 GeV, L and T polarised target
2008 – 2009	hadron spectroscopy; Primakoff reaction
2010	nucleon structure μ -p, 160 GeV, T polarised target
2011	nucleon structure μ -p, 200 GeV, L polarised target
2012	Primakoff reaction; DVCS/SIDIS test
2013	CERN accelerator shutdown, LS1
2014	Drell-Yan π -p reaction with T polarised target (test)
2015	Drell-Yan π -p reaction with T polarised target
2016 – 2017	DVCS/SIDIS μ -p, 160 GeV, unpolarised target
2018	Drell-Yan π -p reaction with T polarised target
2019 – 2020	CERN accelerator shutdown, LS2
2021 – 2023	nucleon structure μ -d, 160 GeV, T polarised target

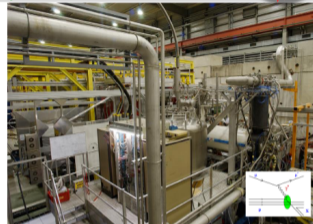
Versatile COMPASS in EHN2

Slide courtesy G. Mallot, PBC 2017



COMPASS-I
1997-2011

Hadron Spectroscopy & Polarisability

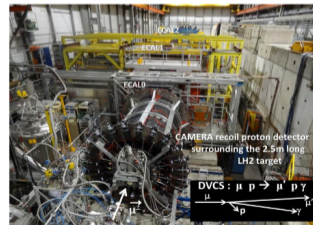


Polarised SIDIS



COMPASS-II
2012-2018

Polarised Drell-Yan



DVCS (GPDs) + unp. SIDIS

Versatile COMPASS facility

Two stages

Calorimetry

Particle identification (Muon Walls, RICH)

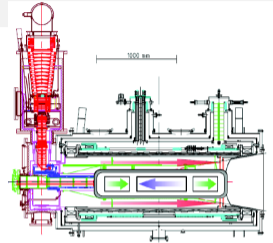
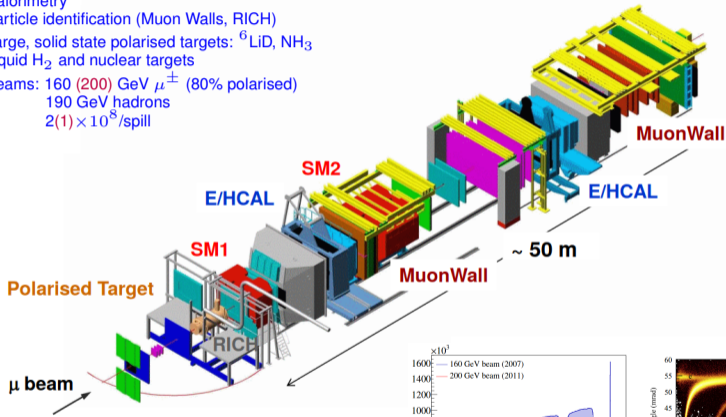
Large, solid state polarised targets: ${}^6\text{LiD}$, NH_3

Liquid H_2 and nuclear targets

Beams: 160 (200) GeV μ^\pm (80% polarised)

190 GeV hadrons

$2(1) \times 10^8$ /spill



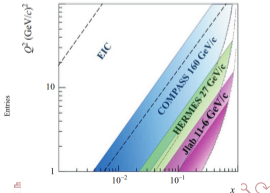
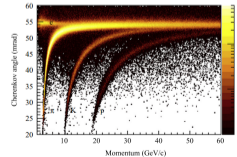
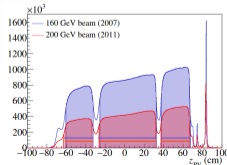
* Material: solid ${}^6\text{LiD}$ (NH_3)

* Polarisation: $\sim 50\%$ ($\sim 90\%$), by the Dynamical Nuclear Polarisation

* Dilution: $f \sim 0.4$ (~ 0.15)

* Polar acceptance: ~ 70 mrad (~ 180 mrad after 2005)

COMPASS NIM A 779 (2015) 69

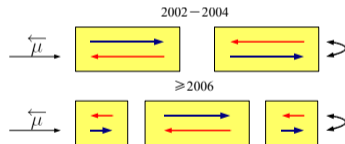


Observables in a $\vec{\mu}\vec{N}$ ($h\vec{N}$) fixed-target experiment

- Inclusive asymmetry, $A_{meas}(x, Q^2)$; γ^* -N asymmetry, $A_1(x, Q^2)$:

$$A_{meas} = \frac{1}{fP_T P_B} \left(\frac{N^{\leftarrow} - N^{\rightarrow}}{N^{\leftarrow} + N^{\rightarrow}} \right) \approx D A_1 = D \frac{g_1(x, Q^2)}{F_1(x, Q^2)} \stackrel{\text{LO}}{=} D \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)}$$

f, D : dilution and depolarisation factors; P_T, P_B : target and beam polarisations;
 $N^{\leftarrow, \rightarrow}$: number of $\vec{\mu}$ interactions in each target cell:
 (upstream, downstream) or (outer, central)

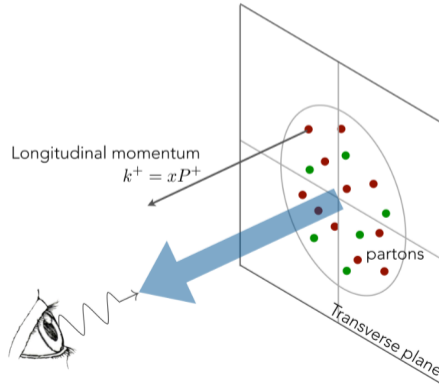


- At LO, semi-inclusive asymmetry, A_1^h :

$$A_1^h(x, z, Q^2) \approx \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q^h(z, Q^2)} \quad z = \frac{E_h}{\nu} \quad D_q^h \neq D_{\bar{q}}^h$$

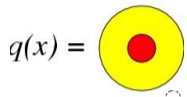
Nucleon in 1-D

⇒ Longitudinal spin structure

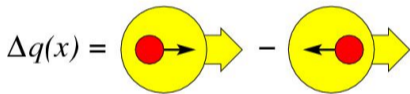


Partonic structure of the nucleon; distribution functions

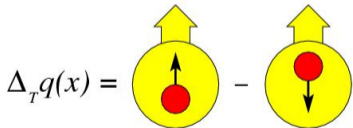
Three twist-two quark distributions in QCD (momentum, helicity & transversity) after integrating over the quark intrinsic k_t



Quark momentum DF;
well known (unpolarised DIS $\rightarrow F_{1,2}(x, Q^2)$).



Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin in a longitudinally polarised nucleon;
less well known (polarised DIS $\rightarrow g_1(x, Q^2)$).

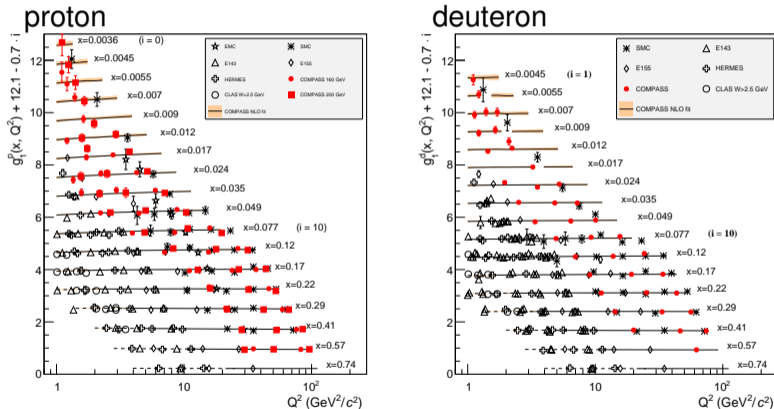


Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin in a transversely polarised nucleon;
poorly known (polarised DIS $\rightarrow h_1(x, Q^2)$).

Nonrelativistically: $\Delta_T q(x, Q^2) \equiv \Delta q(x, Q^2)$. OBS.! $\Delta_T q(x, Q^2)$ are C-odd and chiral-odd ;
may only be measured with another chiral-odd partner, e.g. fragmentation function \Rightarrow SIDIS.

COMPASS and world data: g_1^p and g_1^d , $Q^2 > 1$ (GeV/c)²

COMPASS NLO QCD fit to the world data at $W^2 > 10$ (GeV/c)²; dashed line: extrapolation to $W^2 < 10$ (GeV/c)²

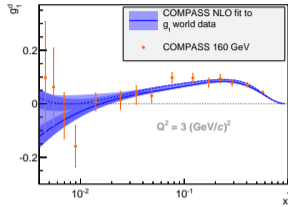
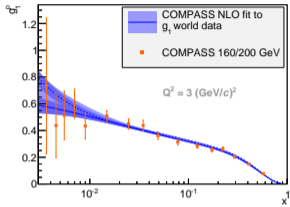


Phys.Lett.B753(2016)18

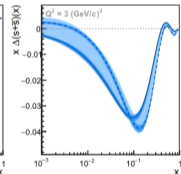
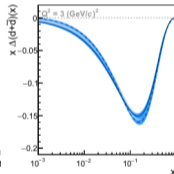
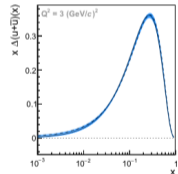
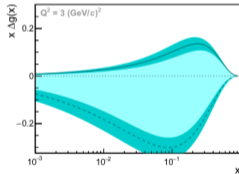
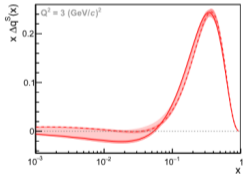
COMPASS PL B769 (2017) 034

COMPASS measurements at high Q^2 important for the QCD analysis! but little sensitive to Δq

COMPASS NLO QCD fit to p, d, ^3He world data



- g_1^p clearly positive at low x and raising with decreasing x
- g_1^d consistent with zero at low x ?



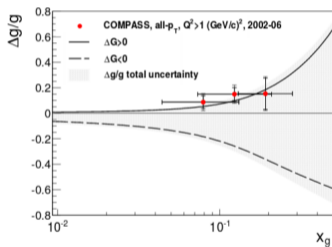
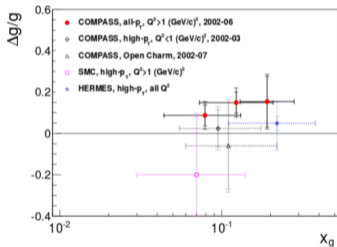
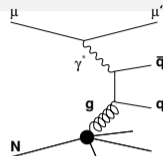
COMPASS PL B 753 (2016) 18

- $-1.5 < \Delta G < 0.5$, poorly constraint \implies "direct methods"
- $\sigma_{stat.}$ (dark bands) $\ll \sigma_{syst.}$ (light bands)

Direct measurements of $\Delta g(x)$

Direct measurements – *via* the cross section asymmetry for the photon–gluon fusion (PGF) with subsequent fragmentation into $c\bar{c}$ (LO, NLO) or $q\bar{q}$ (high p_T hadron pair (LO)):

$$A_{\gamma N}^{\text{PGF}} \approx \langle a_{\text{LL}}^{\text{PGF}} \rangle \frac{\Delta g}{g}$$



COMPASS from SIDIS on d for any $(p_T)_h$ and at LO:

$$\Delta g/g = 0.113 \pm 0.038(\text{stat.}) \pm 0.036(\text{syst.}) \quad \text{at} \quad \langle Q^2 \rangle \approx 3 \text{ (GeV/c)}^2, \quad \langle x_g \rangle \approx 0.10$$

Clearly positive gluon polarisation but not large!

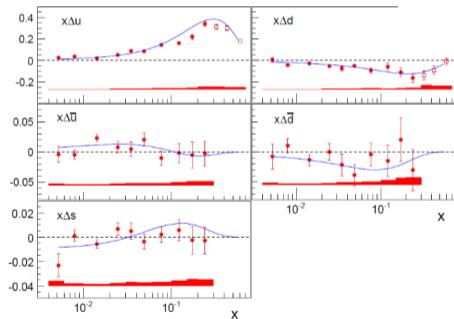
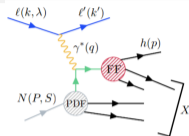
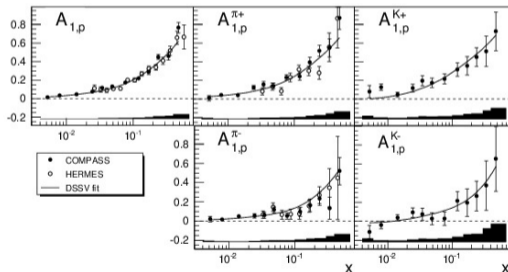
COMPASS, EPJC 77(2017) 209

Semi-inclusive asymmetries and parton distributions

- COMPASS: measured on both proton and deuteron targets for identified π^+ , π^- and (for the first time) K^+ , K^-

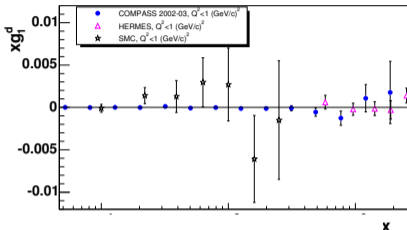
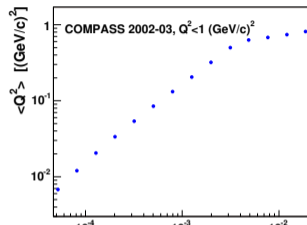
COMPASS, Phys. Lett. B **693** (2010) 227

DSSV, Phys. Rev. D **80** (2009) 034030



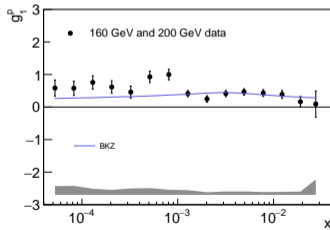
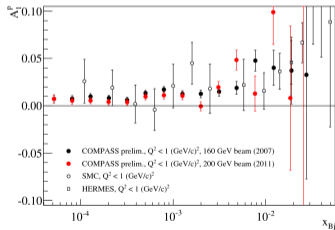
- COMPASS: LO DSS fragm. functions and LO unpolarised MRST assumed here.
- NLO parameterisation of DSSV (without these results) describes the data well.

g_1^N in the nonperturbative ($Q^2 < 1$ (GeV/c) 2 region)



Spin effects in g_1^d
at low x and Q^2 absent ?

COMPASS PL B 647 (2007) 330



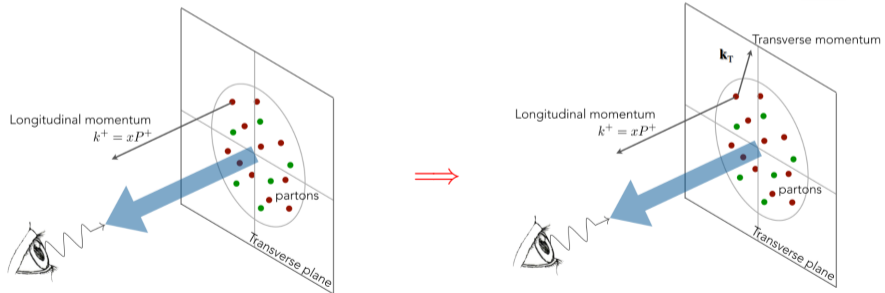
Very clear spin effects
in g_1^p at low x and Q^2

COMPASS PL B 781 (2018) 464

At low x and Q^2 : nonperturbative effects and suitable extension of parton mechanisms must be considered

Nucleon in 3-D

⇒ Transverse Momentum Distributions (TMD)



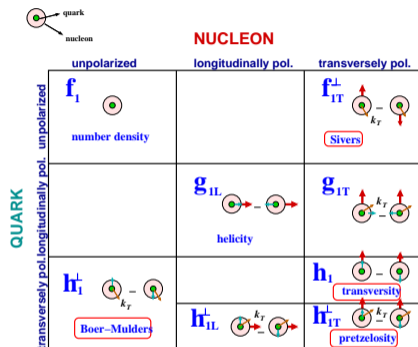
Partonic structure of the nucleon; distribution functions

- In LT and considering k_T , 8 PDF describe the nucleon
 \Rightarrow **T**ransverse **M**omentum **D**ependent PDF
- QCD-TMD approach valid $k_T \ll \sqrt{Q^2}$
- After integrating over k_T only 3 survive: f_1, g_1, h_1
- TMD accessed in SIDIS and DY by measuring azimuthal asymmetries with different angular modulations
- SIDIS: e.g. $A_{\text{Sivers}} \propto \text{PDF} \otimes \text{FF}$
- DY: e.g. $A_{\text{Sivers}} \propto \text{PDF}^{\text{beam}} \otimes \text{PDF}^{\text{target}}$
- OBS!** Boer-Mulders and Sivers PDF are T-odd, i.e. process dependent

$$h_1^\perp(\text{SIDIS}) = -h_1^\perp(\text{DY}) \qquad f_{1T}^\perp(\text{SIDIS}) = -f_{1T}^\perp(\text{DY})$$

(follows from QCD gauge invariance)

- OBS!** transversity PDF is chiral-odd; may only be measured with another chiral-odd partner, e.g. fragmentation funct.
- TMD parton distributions need TMD Fragmentation Functions!



THE 18 SIDIS STRUCTURE FUNCTIONS in SIDIS

Unpolarized structure function

$$\frac{d\sigma}{dx dy d\phi_S dz d\phi_h dP_{h\perp}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} \right.$$

$$+ \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} + S_L \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right]$$

$$+ S_L \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right]$$

$$+ S_T \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon \sin(\phi_h - \phi_S) F_{UT}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} \right]$$

$$+ \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S}$$

$$+ \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} + S_T \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} \right]$$

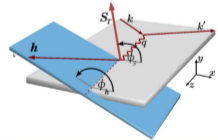
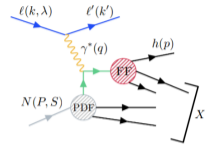
$$+ \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)}$$

Sivers structure function

$$f_{1T}^\perp \otimes D_1$$

Collins structure function

$$h_1 \otimes H_1^\perp$$



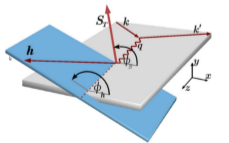
All F 's measured by COMPASS!

Slide courtesy A. Bacchetta, IWHSS2022 (with changes)

Transversity (h_1^q) measurements in SIDIS

Properties of h_1^q :

- is chiral-odd
- simple QCD evolution (no gluons involved)
- sum rule for transverse spin
- first moment gives a tensor charge (important!)



Measured *e.g.* via Collins asymmetry
(spin asymmetry in the azimuthal distribution
of hadrons):

$$N_h^\pm(\phi_c) = N_h^0 [1 \pm f P_T D_{NN} A_{Coll} \sin \phi_c]$$
$$\phi_C = \phi_h + \phi_S - \pi$$

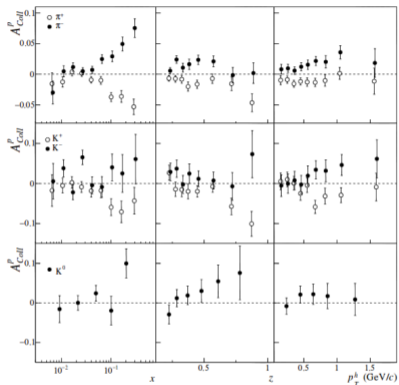
($f, P_T; D_{NN}$: target dilution, polarisation; \perp spin transfer coeff)

At LO:

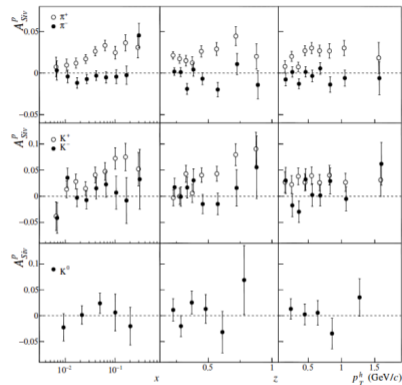
$$A_{Coll} = \frac{F_{UT}^{\sin(\phi_C)}}{F_{UU}} = \frac{\sum_q e_q^2 \cdot h_1^q(x) \otimes H_1^{\perp q}(z)}{\sum_q e_q^2 \cdot f_1^q(x) \otimes D_1^q(z)}$$

Transverse fragmentation functions $H_1^{\perp q}$ needed
to extract h_1^q ; recently measured by BELLE, BaBar, BESIII.

COMPASS results for Collins and Sivers asymmetries for protons



- Collins asymmetries for proton measured for +/- unidentified and identified hadrons...
- ...are large at $x \gtrsim 0.03$ and consistent with HERMES (in spite of different Q^2 !)
- Transversity also obtained from 2-hadron asymmetries (and "Interference Fragmentation Function")



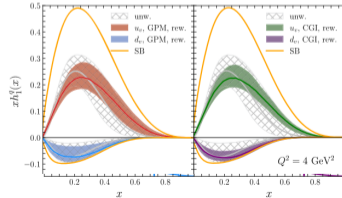
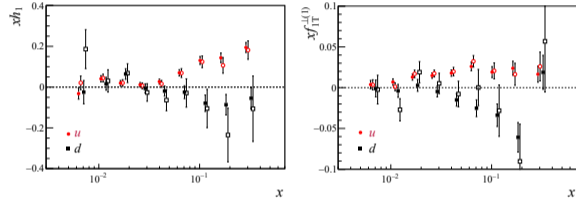
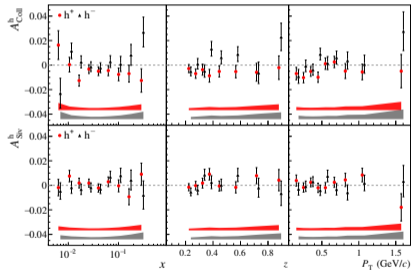
- Sivers asymmetries for proton measured for +/- identified hadrons are large for π^+ , K^+ ...
- ...and even larger at smaller Q^2 (HERMES)
- COMPASS deuteron data show very small asymmetry

COMPASS, Phys.Lett. B744 (2015) 250

NEW A_{Coll}, A_{Siv} measurements for deuteron $\implies xh_1^q, xf_{1T}^{\perp(1)}$

New point-by-point determination of xh_1^{uv}, xh_1^{dv} and of the first k_T^2 moments of the Sivers functions, $xf_{1T}^{\perp(1)}$
 (NEW COMPASS p,d SIDIS data, Belle $e^+e^- \rightarrow$ hadrons data)

Martin et al., Phys.Rev. D91 (2015) 014034
 COMPASS, PRL 133 (2024) 101903

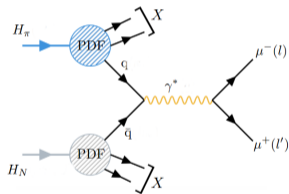


Several global fits
 e.g. xh_1^q , Boglione et al.,
 PL B 854 (2024) 138712

- A_{Coll} at high x similar to that on the proton
- A_{Siv} compatible with zero

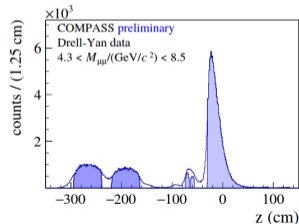
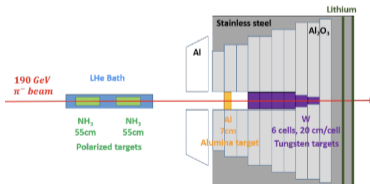
First ever

polarised Drell-Yan reaction measurements



π^- beam of 190 GeV/c, CERN SPS $\langle I \rangle \approx 7 \times 10^7 \text{ s}^{-1}$, $\sim 97\% \pi^-$

- Transversely polarized **NH₃ target** (2×55 cm)
+ **Al target** (7 cm) + **W beam plug** (120 cm)



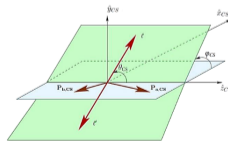
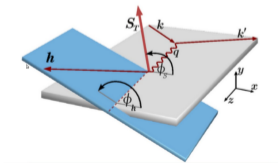
SIDIS and Drell-Yan compatibility; unique access to TMD PDFs of π

$$A_{SIDIS} \propto PDF_p \otimes FF$$

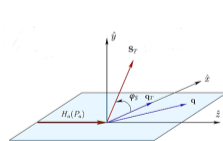
$$A_{DY} \propto PDF_\pi \otimes PDF_p$$

$A_{UU}^{\cos(2\phi_h)} \propto h_{1,p}^{\perp q} \otimes H_{1q}^{\perp h}$	Boer-Mulders	$A_U^{\cos(2\varphi_{CS})} \propto h_{1,\pi}^{\perp,q} \otimes h_{1,p}^{\perp q}$
$A_{UT}^{\sin(\phi_h - \phi_S)} \propto f_{1T,p}^{\perp q} \otimes D_{1q}^h$	Sivers	$A_T^{\sin \varphi_S} \propto f_{1,\pi}^q \otimes f_{1T,p}^{\perp q}$
$A_{UT}^{\sin(3\phi_h - \phi_S)} \propto h_{1T,p}^{\perp q} \otimes H_{1q}^{\perp h}$	Pretzelosity	$A_T^{\sin(2\varphi_{CS} + \varphi_S)} \propto h_{1,\pi}^{\perp q} \otimes h_{1T,p}^{\perp q}$
$A_{UT}^{\sin(\phi_h + \phi_S)} \propto h_{1,p}^q \otimes H_{1q}^{\perp h}$	Transversity	$A_T^{\sin(2\varphi_{CS} - \varphi_S)} \propto h_{1,\pi}^{\perp q} \otimes h_{1,p}^q$

(courtesy of R. Longo, COMPASS)

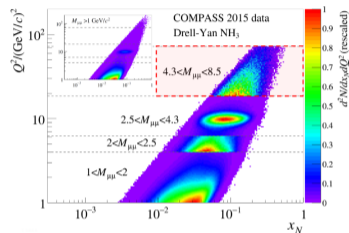
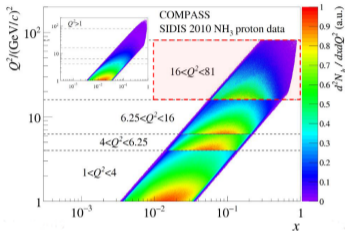
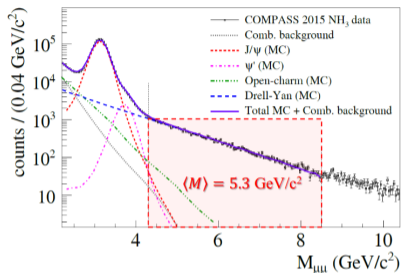


Collins-Soper ref. frame (CS)

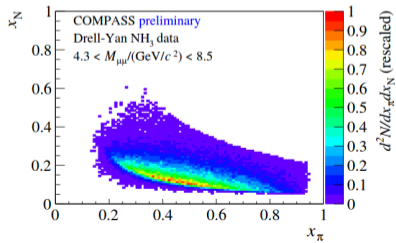


Target rest frame (S)

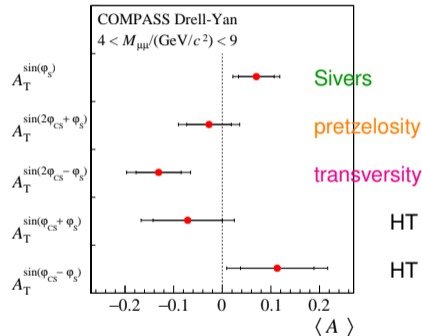
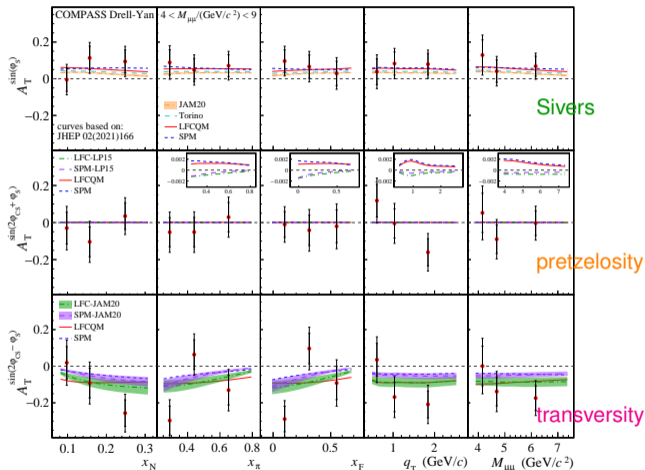
COMPASS Drell-Yan results



- Events of $4.3 < M_{\mu\mu}/(\text{GeV}/c^2) < 8.5$ are DY events with background: $\sim 4\%$
- DY events in the valence regions of π and N
 $\langle x_{\pi} \rangle = 0.50$, $\langle x_N \rangle = 0.17$
- Here Q is the $\mu\mu$ invariant mass, $M_{\mu\mu}$



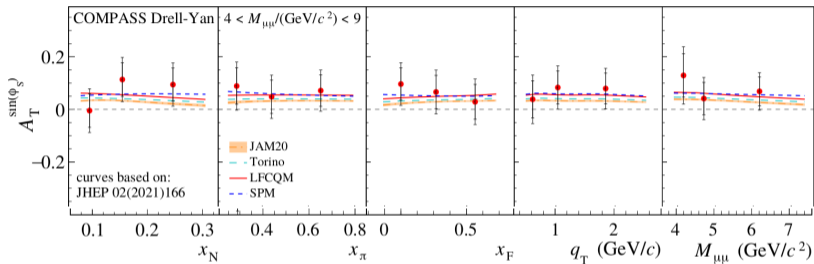
Final COMPASS results on TSAs extended mass range: $4 < M_{\mu\mu}/(\text{GeV}/c^2) < 9$



Theory: S. Bastami et al., JHEP 02 (2021) 166.

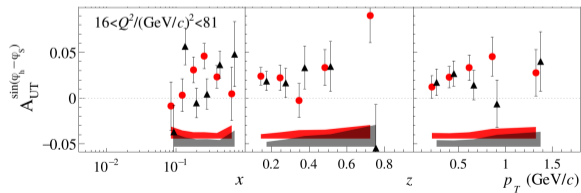
COMPASS, PRL 133 (2024) 071902

Sivers TSA in DY and SIDIS



DY

COMPASS, PRL 133 (2024) 071902



SIDIS

COMPASS, PL B770 (2017) 138

q_T -weighted TSAs in DY

Resolving convolutions in asymmetries requires assumptions about k_T distributions in PDFs;
avoiding these assumptions and accessing n-th moments of the TMD PDFs

$$f^{(n)}(x) = \int d^2\mathbf{k}_T \left(\frac{k_T^2}{2M^2} \right)^n f(x, k_T^2) \quad \text{possible if asymmetries weighed with powers of } q_T$$

TSA

$$\mathbf{A}_T^{\sin(\varphi_S)} \propto \mathbf{f}_{1,\pi}^{\mathbf{q}} \otimes \mathbf{f}_{1T,N}^{\mathbf{q}\perp}$$

$$\mathbf{A}_T^{\sin(2\varphi_{CS} + \varphi_S)} \propto \mathbf{h}_{1,\pi}^{\mathbf{q}\perp} \otimes \mathbf{h}_{1T,N}^{\mathbf{q}\perp}$$

$$\mathbf{A}_T^{\sin(2\varphi_{CS} - \varphi_S)} \propto \mathbf{h}_{1,\pi}^{\mathbf{q}\perp} \otimes \mathbf{h}_{1,N}^{\mathbf{q}}$$

Sivers

pretzelosity

transversity

WTSA

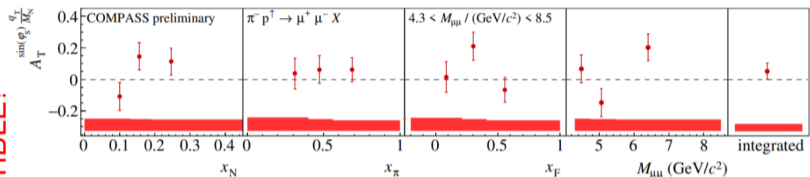
$$\mathbf{A}_T^{\sin(\varphi_S) \frac{q_T}{M_N}} \propto \mathbf{f}_{1,\pi}^{\mathbf{q}} \times \mathbf{f}_{1T,N}^{\mathbf{q}\perp}$$

$$\mathbf{A}_T^{\sin(2\varphi_{CS} + \varphi_S) \frac{q_T^3}{2M_N^2 M_\pi}} \propto \mathbf{h}_{1,\pi}^{\mathbf{q}\perp(1)} \times \mathbf{h}_{1T,N}^{\mathbf{q}\perp(2)}$$

$$\mathbf{A}_T^{\sin(2\varphi_{CS} - \varphi_S) \frac{q_T}{M_\pi}} \propto \mathbf{h}_{1,\pi}^{\mathbf{q}\perp(1)} \times \mathbf{h}_{1,N}^{\mathbf{q}}$$

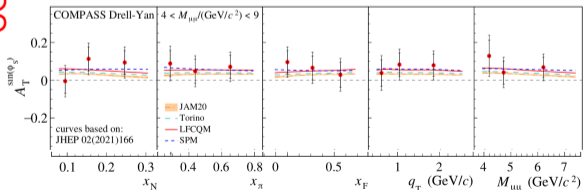
q_T -weighted TSAs in DY, ... cont'd

Weighted DY TSA for Sivers: $A_T^{\sin(\phi_S)} \frac{q_T}{M_N} \propto f_{1,\pi}^q \times f_{1T,N}^{q\perp(1)} \dots$

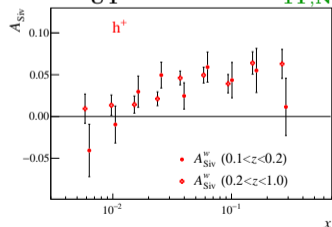


COMPATIBLE!

...compared to standard DY TSA: $A_T^{\sin(\phi_S)} \propto f_{1,\pi}^q \otimes f_{1T,N}^{q\perp(1)}$



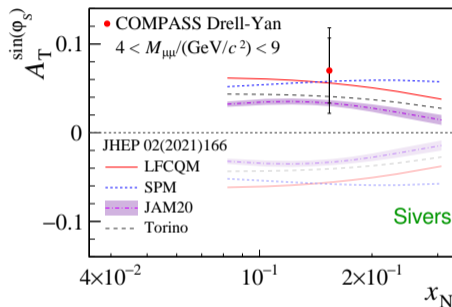
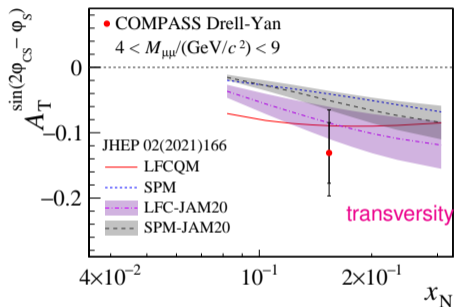
and SIDIS wTSA: $A_{UT}^{\sin(\phi_h - \phi_S)} \frac{P_T}{z M_N} \propto f_{1T,N}^{q\perp(1)} \times D_{1q}^h$



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COMPASS DY results: universality of TMDs



sign change

no sign change

COMPASS DY result for **Sivers** asymmetry, $A_T^{\sin(\phi_S)}$
consistent with (predicted) **sign change** of the Sivers TMD, f_{1T}^\perp

Boer-Mulders TMD PDF ?

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Summary of COMPASS spin programme

- COMPASS is the longest running CERN experiment – 20 years of data taking!
- Since 2023 in an analysing phase; lots of data awaiting analysis (3 new groups joined recently)
- Many important measurements concerning the nucleon structure in wide and unique (x, Q^2) ranges:
 - inclusive and semi-inclusive (polarised and unpolarised) reactions
 - polarised Drell-Yan process (first ever)
 - DVCS
- Will remain unique at least in a decade
- A successor of CERN family of nucleon structure experiments with M2 beam in the EHN2:
EMC \implies NMC \implies SMC \implies COMPASS... \implies AMBER!