

May 30, 2024, Pavia



QCD Evolution 2024

Collins asymmetries in e^+e^- annihilation: a MC event generator study

Albi Kerbizi

University of Trieste and INFN Trieste

in collaboration with Xavier Artru, Leif Lönnblad and Anna Martin



UNIVERSITÀ
DEGLI STUDI
DI TRIESTE



Studying hadronization

- ❑ Phenomenological QCD fits (SIDIS, $e+e^-$, pp)
parametrize FFs and PDFs, extract from data e.g. Collins FF or IFF, and transversity
- ❑ Development of hadronization models

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 - parametrize FFs and PDFs, extract from data e.g. Collins FF or IFF, and transversity

- ❑ Development of hadronization models
 - analytic calculation of FFs e.g. using spectator models Bacchetta et al., PLB 659 (2008) 234-243
 - multiproduction models**
 - ❑ **String fragmentation models**
 - Artru, Menessier '74 no spin
 - Lund String Model '83 no spin
 - string⁺ P_0** **spin** [Artru '09; Artru, Belghobsi '13; Kerbizi, Artru, Belghobsi, Bradamante, Martin '18..]
 - ❑ Cluster model no spin [Marchesini, Webber '84]
 - ❑ quark jet models
 - Field, Feynman '77 no spin
 - NJL-jet model* '17 **spin** [Matevosyan, Kotzinian, Thomas, '17]

- ❑ MC event generators needed to perform calculations with multiproduction models
 - general purpose generators (Pythia, Lepto, Herwig).. **no spin in hadronization**
 - for spin effects → develop a model of spin-dependent hadronization
 - then implement in MC

Modeling spin-dependent hadronization: the string+ 3P_0 model

- Extension of the Lund string fragmentation model that includes the quark spin based on amplitudes, preserves positivity and entanglement
different developments

Artru, DSPIN-09, arXiv:1001.1061

2009 toy model

AK, Artru, Belghobsi, Bradamante, Martin, PRD 97, 074010 (2018)

2018 PS mesons

AK, Artru, Belghobsi, Martin, PRD 100, 014003 (2019)

2019 PS mesons simplified

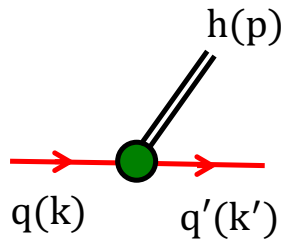
AK, Artru, Martin, PRD 104, 114038 (2021)

2021 PS mesons + VM

Modeling spin-dependent hadronization: the string+ 3P_0 model

- Extension of the Lund string fragmentation model to include the quark spin
- Basic quantity – quark (and antiquark) **splitting amplitude**

AK, Artru, Martin, PRD 104, 114038 (2021)



$$\mathbf{k}_T = \mathbf{p}_T + \mathbf{k}'_T$$

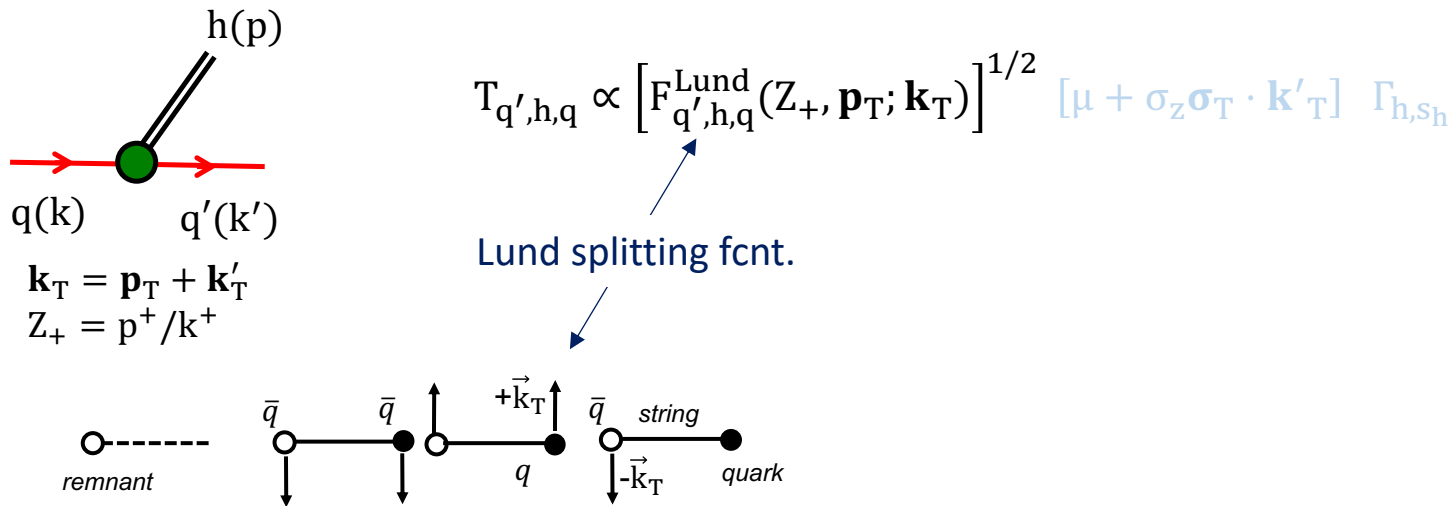
$$Z_+ = p^+ / k^+$$

$$T_{q',h,q} \propto \left[F_{q',h,q}^{\text{Lund}}(Z_+, \mathbf{p}_T; \mathbf{k}_T) \right]^{1/2} [\mu + \sigma_z \boldsymbol{\sigma}_T \cdot \mathbf{k}'_T] \Gamma_{h,S_h}$$

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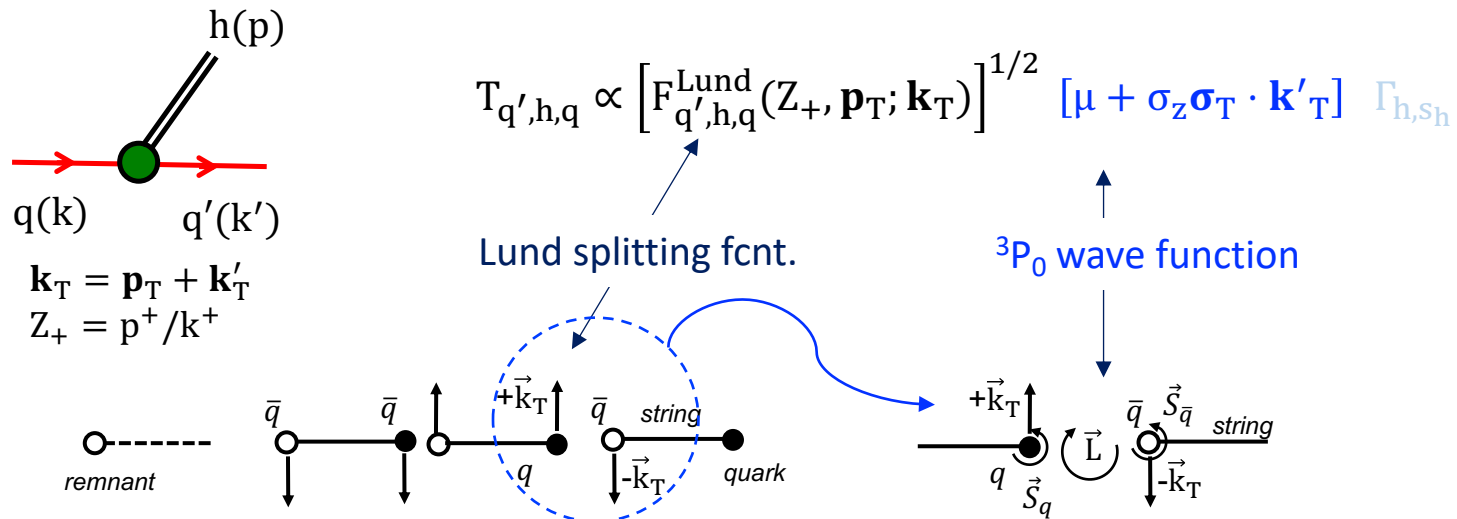
AK, Artru, Martin, PRD 104, 114038 (2021)



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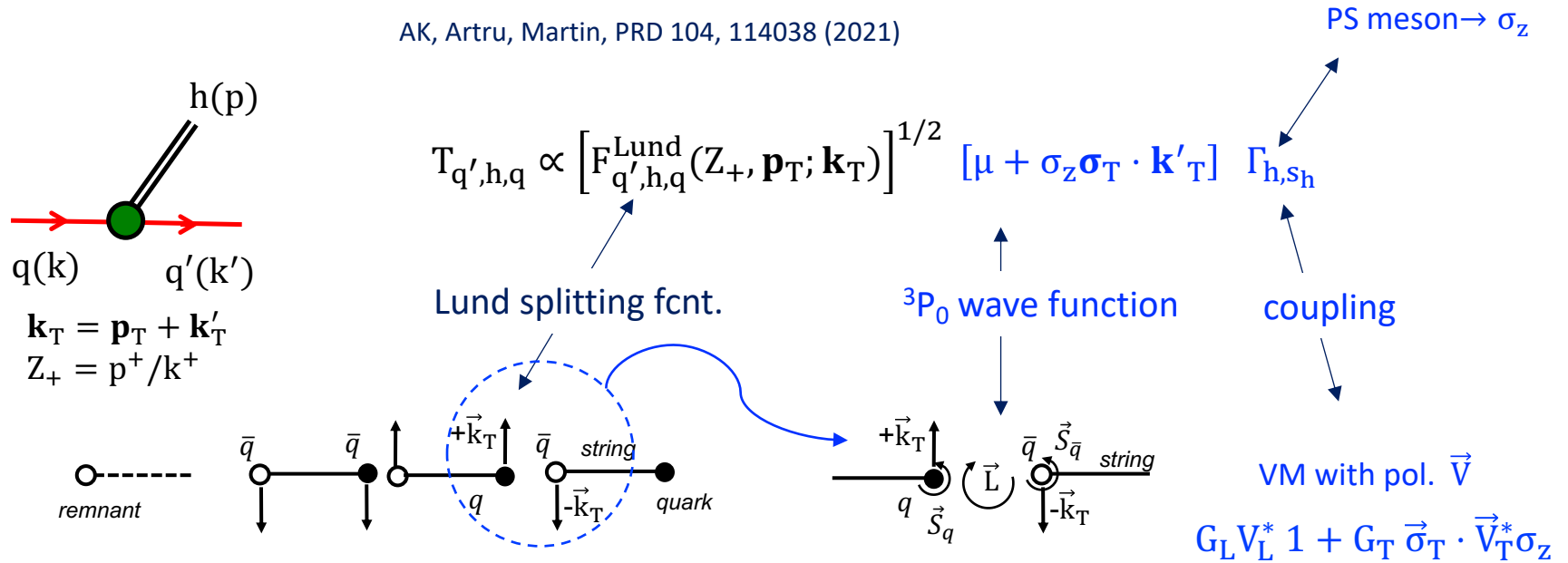
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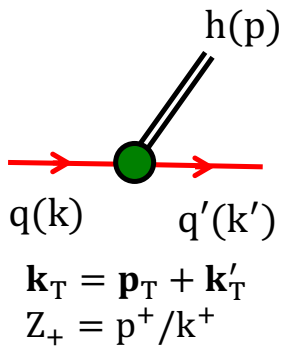
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Lund splitting fcnt.
 3P_0 wave function
coupling

Free parameters:

as in Lund Model

complex «mass»

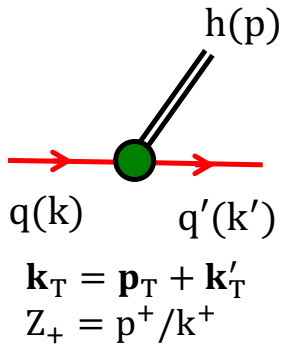
$\text{Im}(\boldsymbol{\mu})$ responsible for transverse spin effects, e.g. Collins effect

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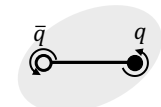
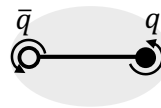
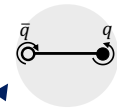
$$f_{\text{L}} = \frac{|c_{\text{L}}|^2 / c_{\text{T}}^2}{2 + |c_{\text{L}}|^2 / c_{\text{T}}^2}$$

fraction of long. pol. VMs

$$\theta_{\text{LT}} = \arg\left(\frac{c_{\text{L}}}{c_{\text{T}}}\right)$$

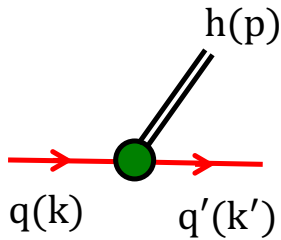
oblique pol. (LT interference)

PS meson $\rightarrow \sigma_z$



Modeling spin-dependent hadronization: the string+ 3P_0 model

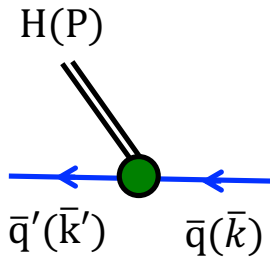
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For anti-quark splitting

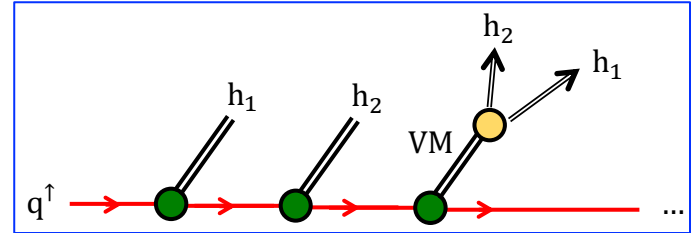
$$\{q, h, q'\} \rightarrow \{\bar{q}, H, \bar{q}'\}, \quad Z_+ \rightarrow Z_-, \quad \{\mathbf{k}_T, \mathbf{p}_T, \mathbf{k}'_T\} \rightarrow \{\bar{\mathbf{k}}_T, \mathbf{P}_T, \bar{\mathbf{k}}'_T\}$$

$$\bar{\mathbf{k}}_T = \mathbf{P}_T + \bar{\mathbf{k}}'_T$$

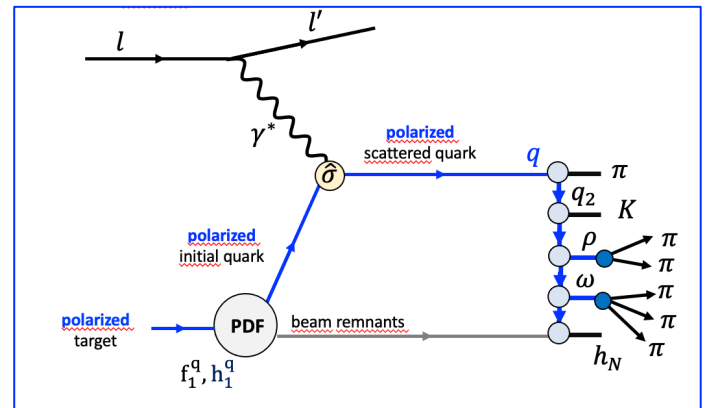
$$Z_- = P^- / \bar{k}^-$$

Applications of the string+ 3P_0 model

- Standalone MC for polarized quark jets
 - initial studies
 - detailed study of the model predictions (Collins asymmetry, dihadron asymmetry, G_1^\perp ..)

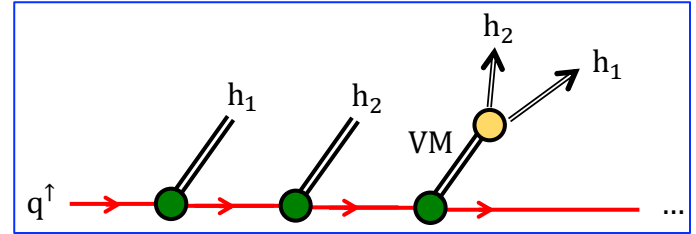


- SIDIS
 - implemented in Pythia via **StringSpinner**
 - complete collisions
 - struck quark polarized, remnant unpolarized
 - parametrization of transversity PDF
 - reproduces TSA data (Collins, 2h)
 - [AK, L. Lönnblad, CPC **272** (2022) 108234; CPC **292** (2023) 108886]

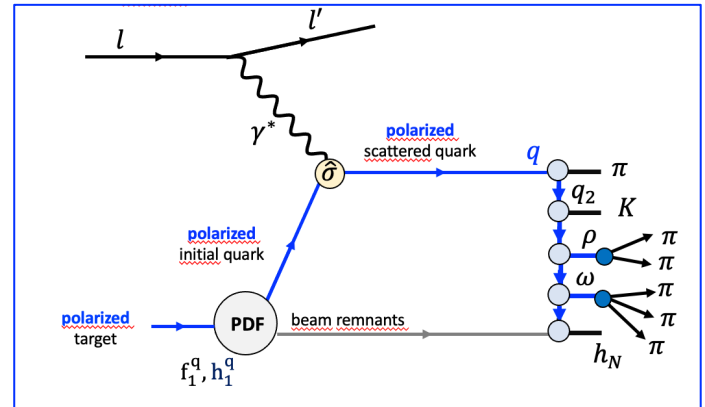


Applications of the string+ 3P_0 model

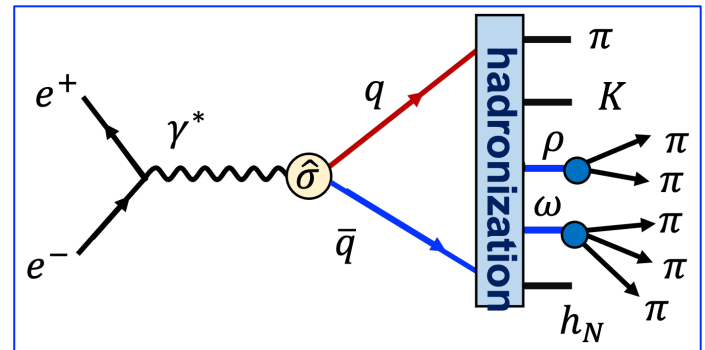
- **Standalone MC for polarized quark jets**
 - initial studies
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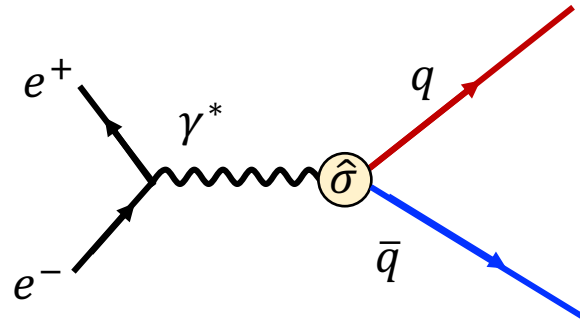
- **SIDIS**
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- **e^+e^- annihilation to hadrons** → next slides
 - hadronize $q\bar{q}$ accounting for
 - correlated spin states** of q and \bar{q}
 - quantum mechanical spin-correlations** in fragmentation chain
 - AK, X. Artru, PRD 109 (2024) 5, 054029



Recursive recipe for e^+e^-



Steps:

1. Hard scattering
2. Joint spin density matrix
3. Hadron emission from q
4. Update density matrix
5. Hadron emission from \bar{q}
6. Exit condition

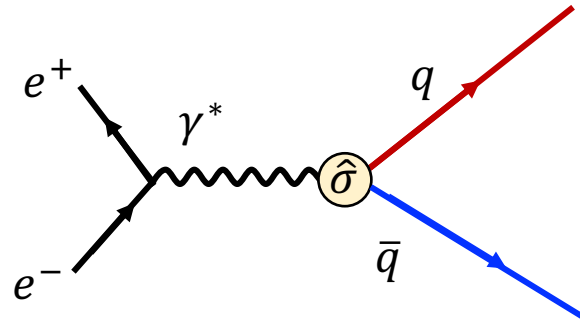
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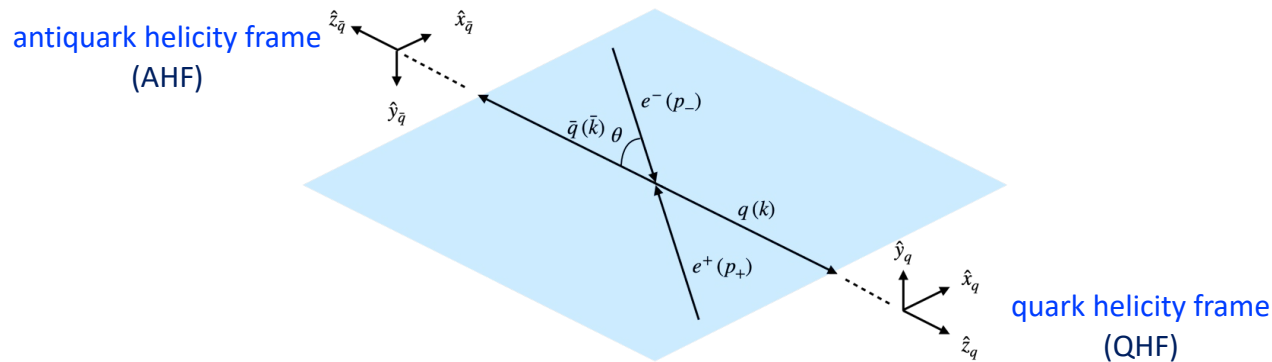
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[AK, X. Artru, PRD 109 (2024) 5, 054029]

Set up the scattering $e^+e^- \rightarrow q\bar{q}$ in the c.m.s

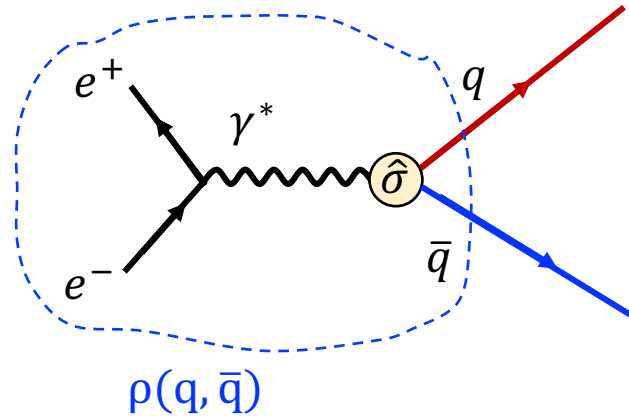
generate the quark flavors and kinematics using differential cross section



Recursive recipe for e^+e^-

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[AK, X. Artru, PRD 109 (2024) 5, 054029]

□ Set up the **joint spin density matrix** of the $q\bar{q}$ pair

$$\rho(q, \bar{q}) = C_{\alpha\beta}^{q\bar{q}} \sigma_q^\alpha \otimes \sigma_{\bar{q}}^\beta$$

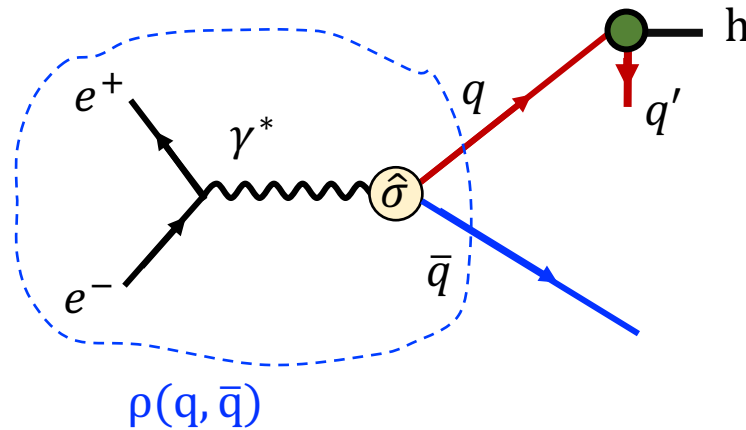
correlation coefficients
Pauli matrices along QHF and AHF

$\alpha = 0, x_q, y_q, z_q$
 $\beta = 0, x_{\bar{q}}, y_{\bar{q}}, z_{\bar{q}}$

For γ^* exchange

$$\rho(q, \bar{q}) \propto 1_q \otimes 1_{\bar{q}} - \sigma_q^z \otimes \sigma_{\bar{q}}^z + \frac{\sin^2\theta}{1+\cos^2\theta} [\sigma_q^x \otimes \sigma_{\bar{q}}^x + \sigma_q^y \otimes \sigma_{\bar{q}}^y]$$

Recursive recipe for e^+e^-



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[AK, X. Artru, PRD 109 (2024) 5, 054029]

- Emit the first hadron using the **splitting function** (emission probability density)

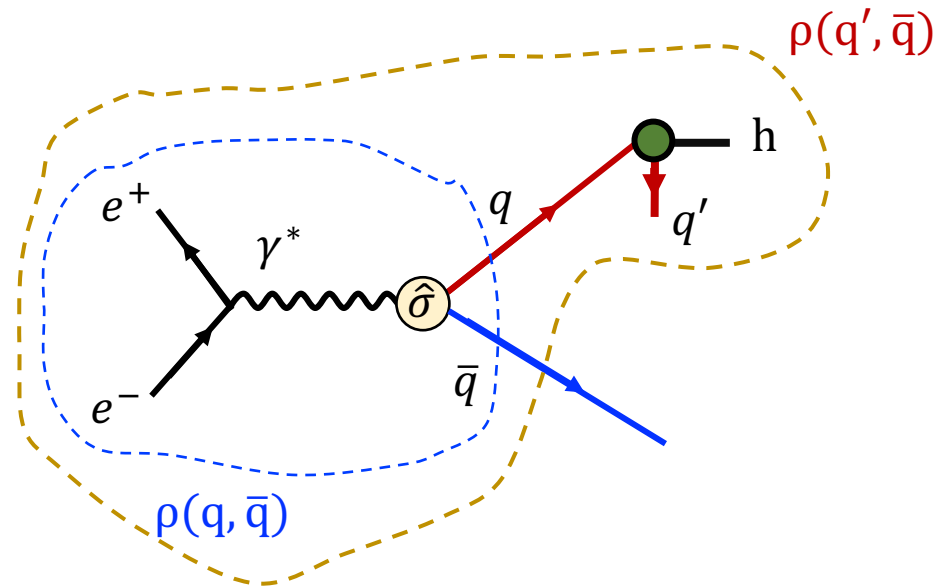
$$\frac{dP(q \rightarrow h + q'; q\bar{q})}{dZ_+ Z_+^{-1} d^2 p_T} = \text{Tr}_{q'\bar{q}} \mathbf{T}_{q',h,q} \rho(q, \bar{q}) \mathbf{T}_{q',h,q}^\dagger = F_{q',h,q}(Z_+, \mathbf{p}_T; \mathbf{k}_T, C^{q\bar{q}})$$

$$\mathbf{T}_{q',h,q} \equiv \mathbf{T}_{q',h,q} \otimes 1_{\bar{q}}$$

in the QHF

- VM emission → backup

Recursive recipe for e^+e^-



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6. Exit condition

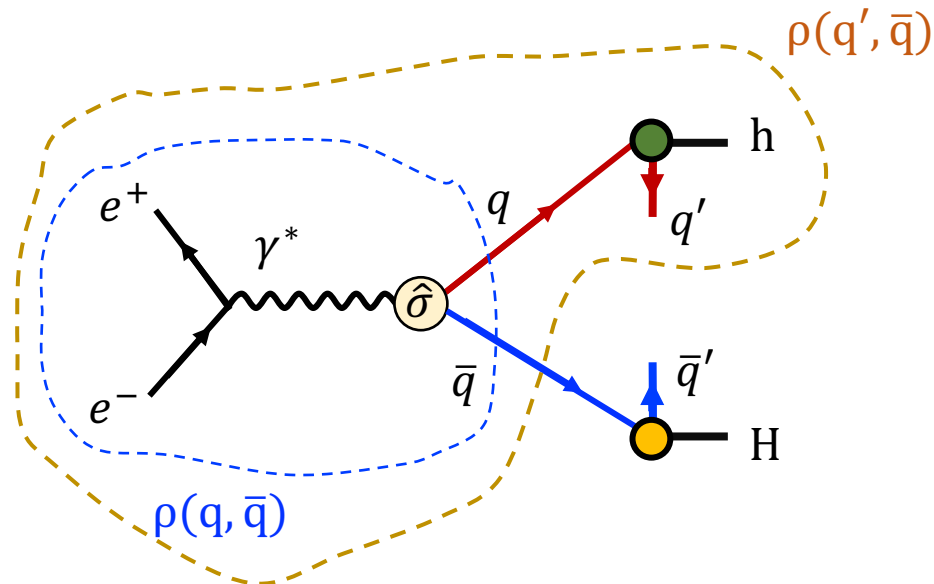
[AK, X. Artru, PRD 109 (2024) 5, 054029]

□ Evaluate the spin density matrix $\rho(q', \bar{q})$

$$\rho(q', \bar{q}) = \mathbf{T}_{q',h,q} \rho(q, \bar{q}) \mathbf{T}_{q',h,q}^\dagger$$

includes the information on the emission of h

Recursive recipe for e^+e^-



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4. Update density matrix
- 5. Hadron emission from \bar{q}**
6. Exit condition

[AK, X. Artru, PRD 109 (2024) 5, 054029]

□ Emit a hadron from the \bar{q} side using the splitting function

$$\frac{dP(\bar{q} \rightarrow H + \bar{q}'; q'\bar{q})}{dZ_- Z_-^{-1} d^2P_T} = \text{Tr}_{q'\bar{q}'} \mathbf{T}_{\bar{q}', H, \bar{q}} \rho(q', \bar{q}) \mathbf{T}_{q', H, \bar{q}}^\dagger = F_{\bar{q}', H, \bar{q}}(Z_-, P_T; \bar{\mathbf{k}}_T, C^{q'\bar{q}})$$

Depend on the azimuthal angle h

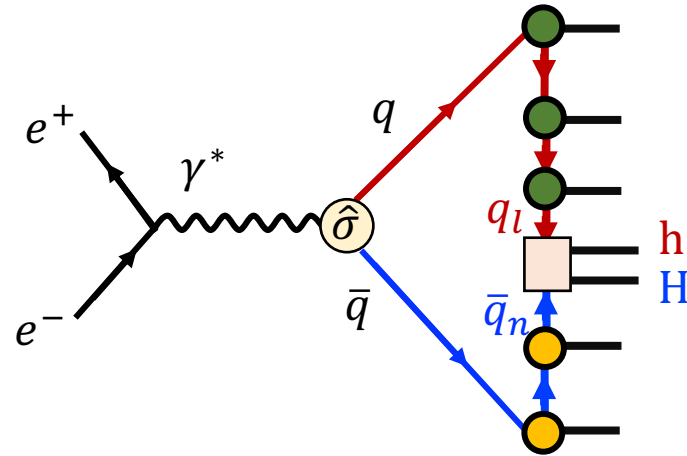
↓

Expressed in the AHF

conditional probability of emitting H , having emitted h
 → correlations between the transverse momenta

[Collins NPB, 304:794–804, 1988, Knowles NPB, 310:571–588, 1988]

Recursive recipe for e^+e^-



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- 6. Exit condition**

[AK, X. Artru, PRD 109 (2024) 5, 054029]

- Iterate until the exit condition is called and the last quark pair is hadronized
more details in PRD 109 (2024) 5, 054029

Simulations of e^+e^- with spin effects

- ❑ Now possible in Pythia 8.3
StringSpinner package extended
- ❑ Photon exchange, only uds quarks produced, $\sqrt{s} = 10.6$ GeV
consistent with BELLE and BABAR data

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consistent with BELLE and BABAR data

- ❑ Free parameters

spin-less hadronization

as in standard Pythia 8.3

spin-dependent hadronization

complex mass μ

as in AK, Lonnblad, CPC 292 (2023) 108886

$f_L = 0.12$

\sim T pol. VMs

$\theta_{LT} = -0.65$

interference between T and L pol. of VMs

found to give a satisfactory agreement with e^+e^- data,
ok also for SIDIS

- ❑ Comparison with Collins asymmetries from BELLE and BABAR

AK, L. Lönblad, A. Martin, in preparation

The A_{12} asymmetry

- Reference plane formed by the thrust axis \hat{n} and the beam e-back-to-back h_1 and h_2

$$N_{h_1 h_2} \propto 1 + \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} A_{12} \cos(\phi_1 + \phi_2)$$

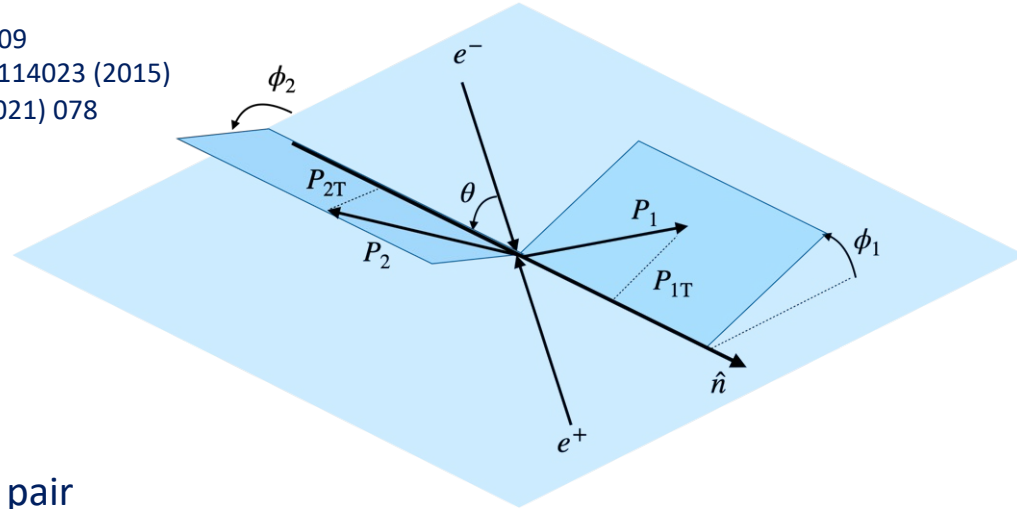
Collins asymmetry

$$A_{12} = \frac{\sum_q e_q^2 H_{1q}^{\perp h_1} H_{1\bar{q}}^{\perp h_2}}{\sum_q e_q^2 D_{1q}^{h_1} D_{1\bar{q}}^{h_2}}$$

Boer, NPB, 806:23–67, 2009
 Anselmino et al., PRD 92, 114023 (2015)
 D'Alesio et al., JHEP 10 (2021) 078

$$\dots$$

$$z_i = \frac{2E_{h_i}}{\sqrt{s}}$$



- Experimental asymmetry

$$R_{12}^{U,L,C} = N_{12}^{U,L,C} / \langle N_{12}^{U,L,C} \rangle$$

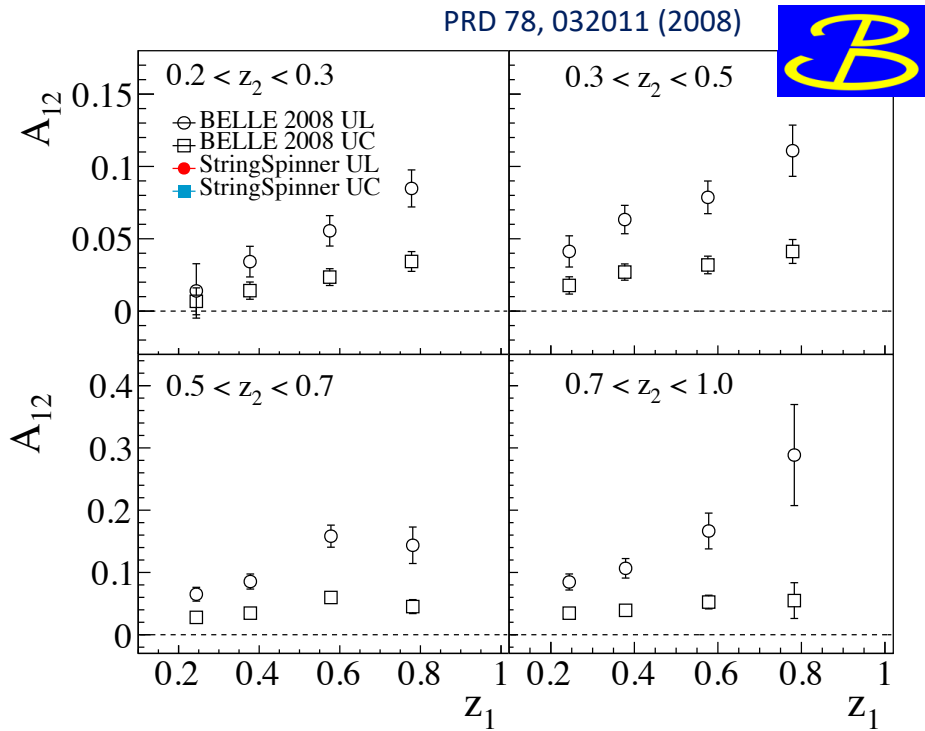
U = unlike sign pair
 L = like sign pair
 C = charged pair

$$R_{12}^{UL(UC)} = \frac{R_{12}^U}{R_{12}^{L(C)}} \approx 1 + \frac{\langle \sin^2 \theta \rangle}{\langle 1 + \cos^2 \theta \rangle} A_{12}^{UL(UC)} \cos(\phi_1 + \phi_2)$$

$$A_{12}^{UL(UC)} \simeq A_{12}^U - A_{12}^{L(C)}$$

- Measured by BELLE (2008, 2019) and BABAR (2014, 2015)

A_{12} asymmetry for charged $\pi\pi$ pairs

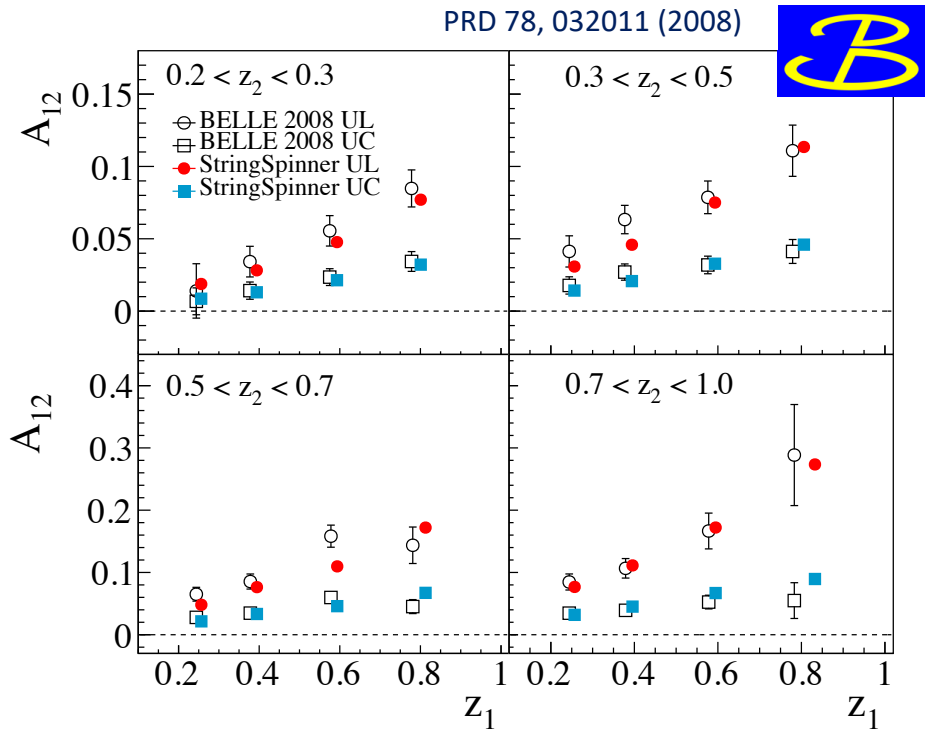


Belle asymmetries corrected for thrust smearing

Cuts:

$$T > 0.8, z > 0.2, Q_T < 3.5 \text{ GeV}$$

A_{12} asymmetry for charged $\pi\pi$ pairs



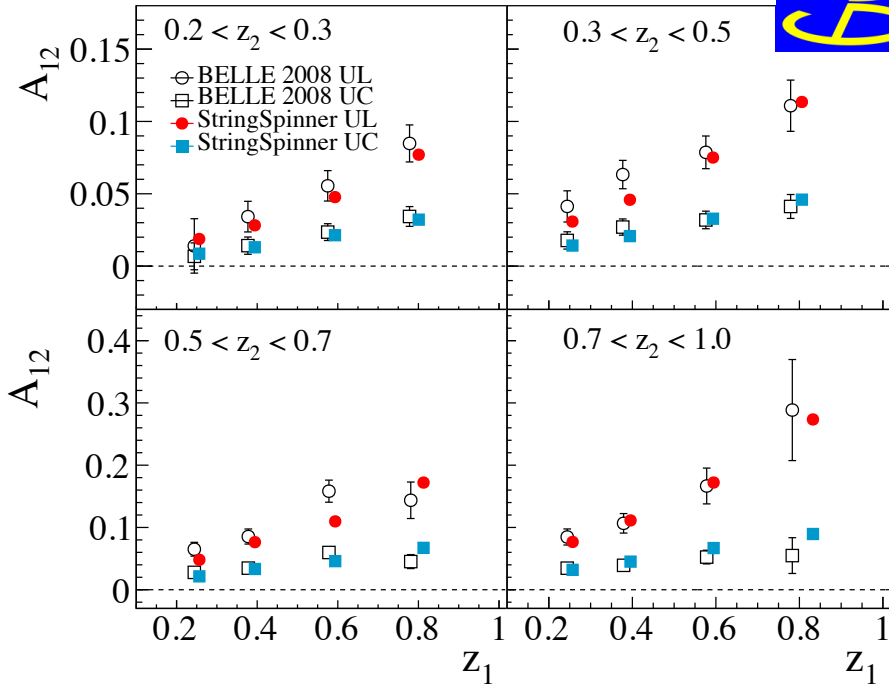
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StringSpinner reproduces trend and size

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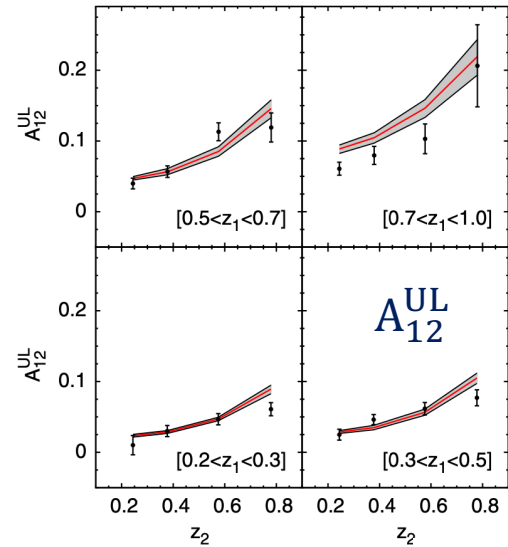
PRD 78, 032011 (2008)



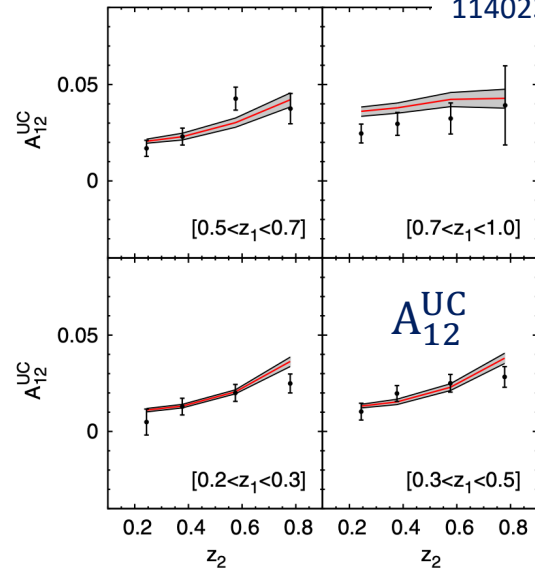
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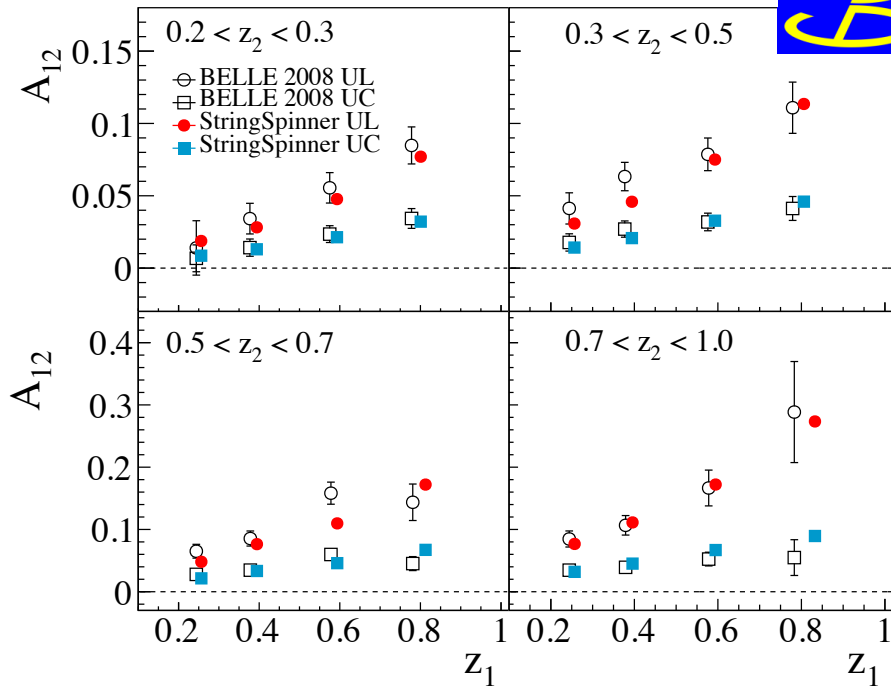


Anselmino et al., PRD 92,
114023 (2015)



A_{12} asymmetry for charged $\pi\pi$ pairs

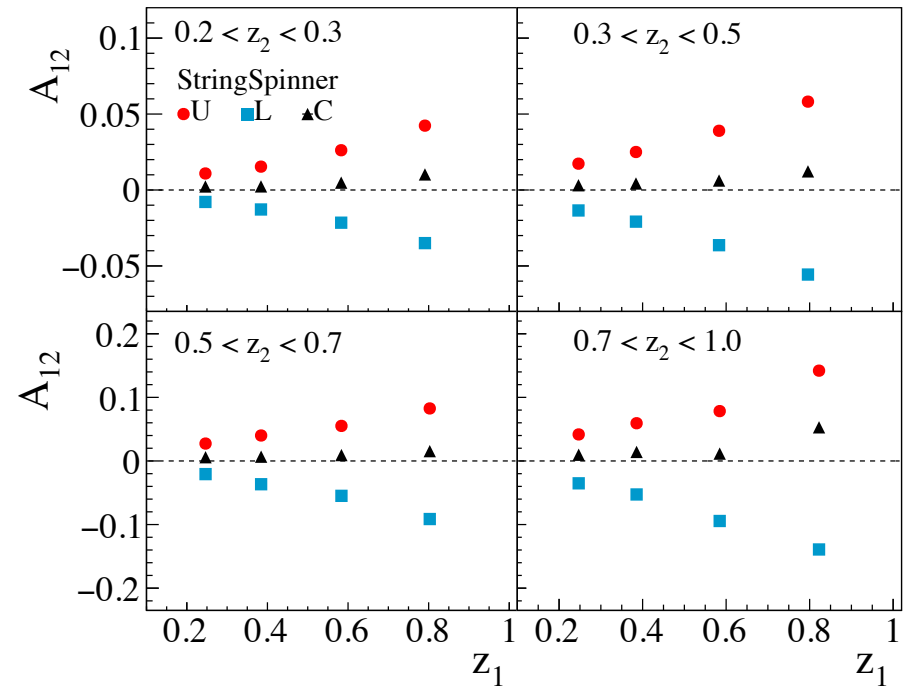
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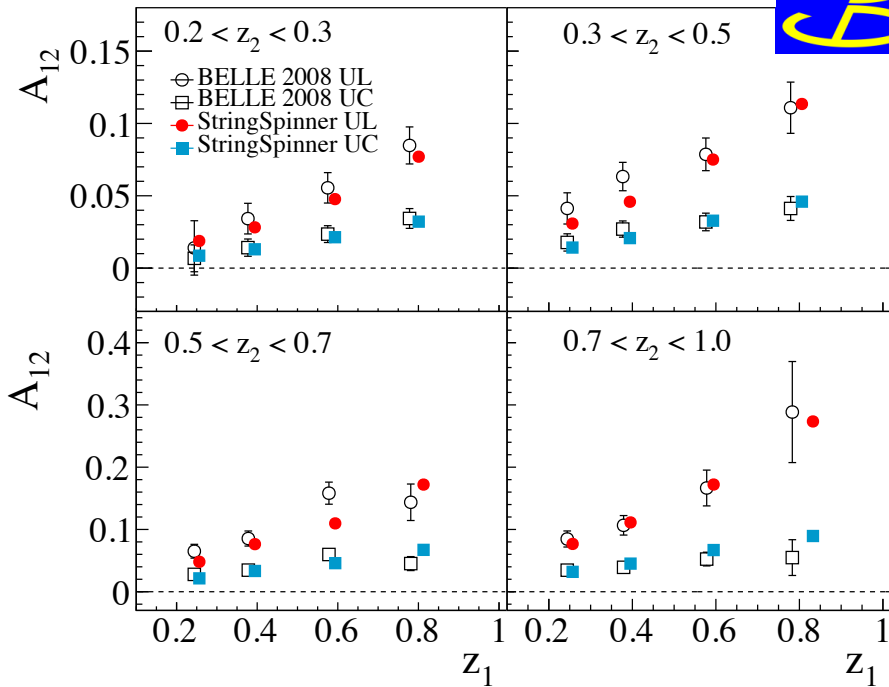
Opposite sign for A_{12}^U and A_{12}^L , as expected

$$A_{12}^C \simeq 0 \rightarrow \text{explains } A_{12}^{UC} < A_{12}^{UL}$$

$$A_{12}^{UL(UC)} \simeq A_{12}^U - A_{12}^{L(C)}$$

A_{12} asymmetry for charged $\pi\pi$ pairs

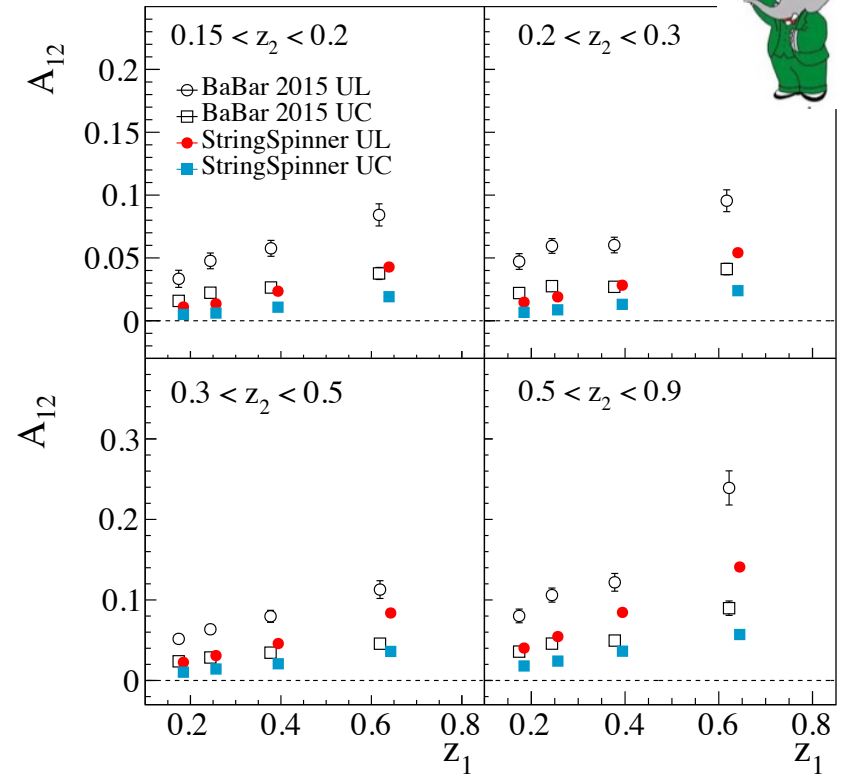
PRD 78, 032011 (2008)



Belle asymmetries corrected for thrust smearing
Cuts:

$$T > 0.8, z > 0.2, Q_T < 3.5 \text{ GeV}$$

PRD 92, 111101(R) (2015)



BaBar asymmetries corrected for thrust smearing
Cuts:

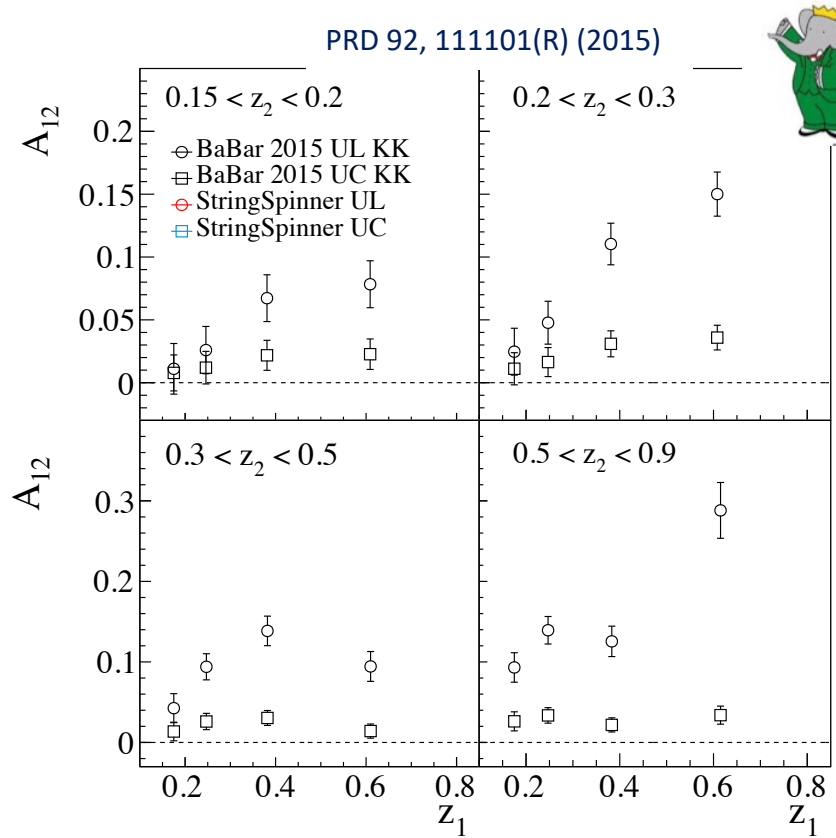
$$T > 0.8, z > 0.15, Q_T < 3.5 \text{ GeV}, \alpha_0 < \pi/4$$

StringSpinner lower than BABAR

difference between BABAR and BELLE known

PRD 90, 052003 (2014)

A_{12} asymmetry for charged KK pairs

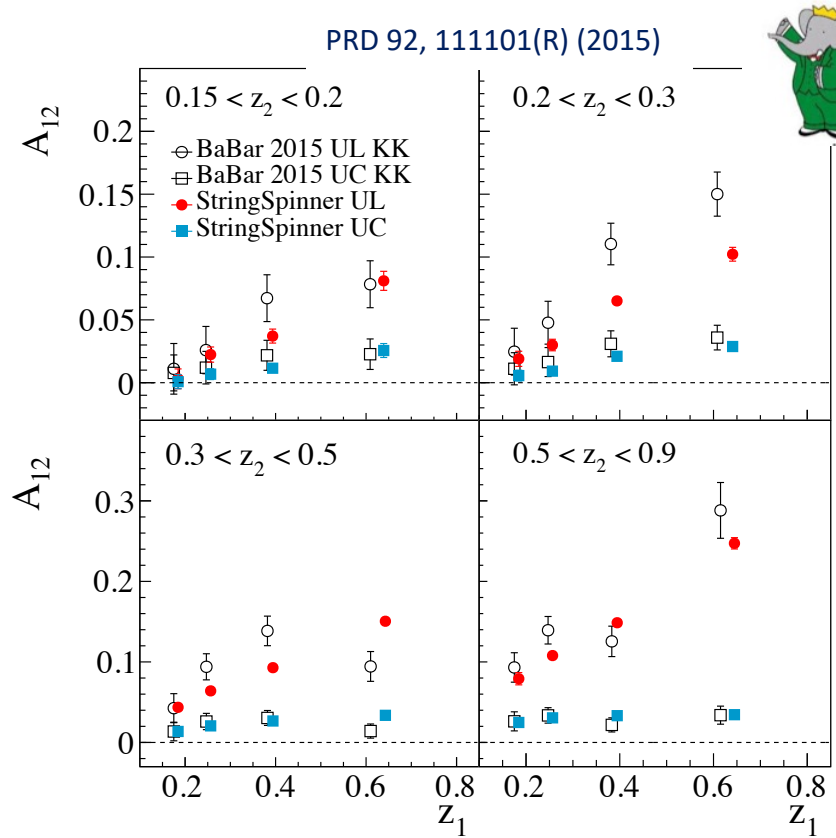


Corrected for thrust smearing

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A_{12} asymmetry for charged KK pairs



Corrected for thrust smearing

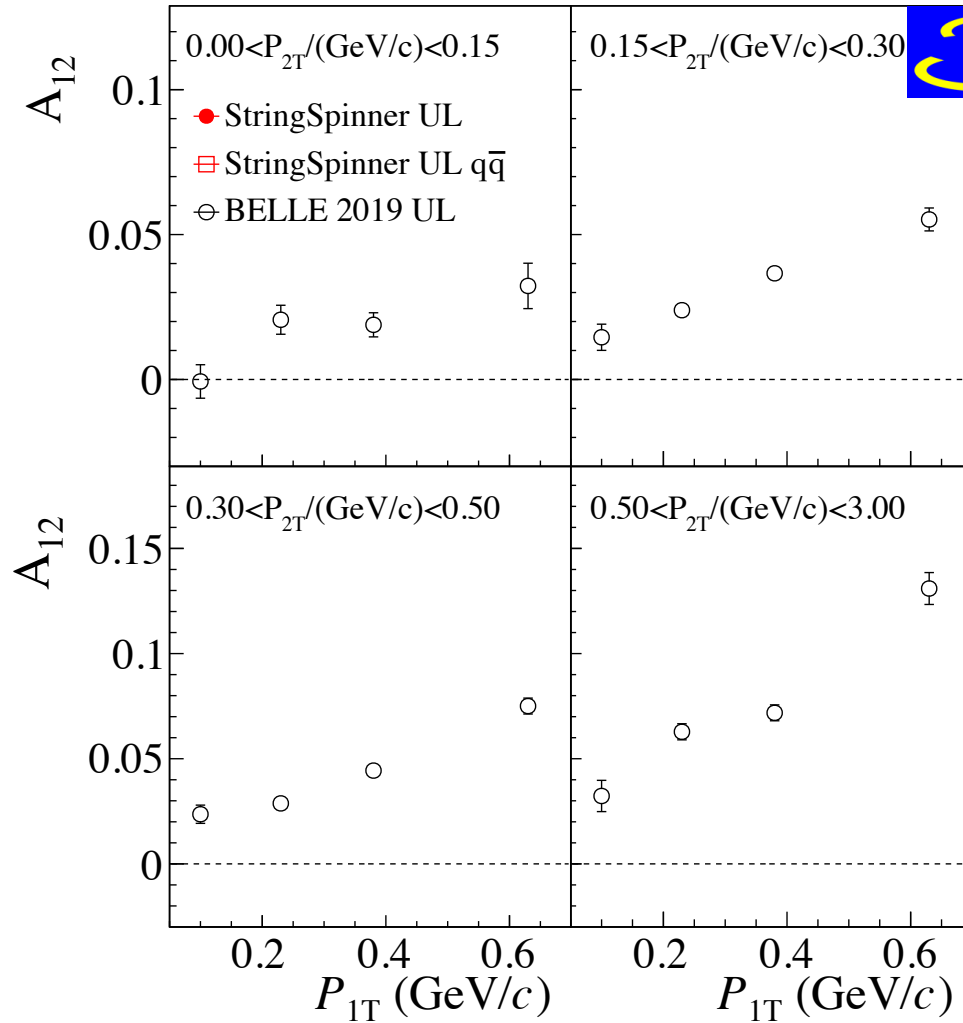
Cuts

$$T > 0.8, z > 0.15, Q_T < 3.5 \text{ GeV}, \alpha_0 < \pi/4$$

A_{12}^{UC} much smaller than A_{12}^{UL} at large z
reproduced by string+ 3P_0

A_{12}^{UL} asymmetry for charged $\pi\pi$ pairs

$P_{T1} \times P_{T2}$ - dependence w.r.t thrust



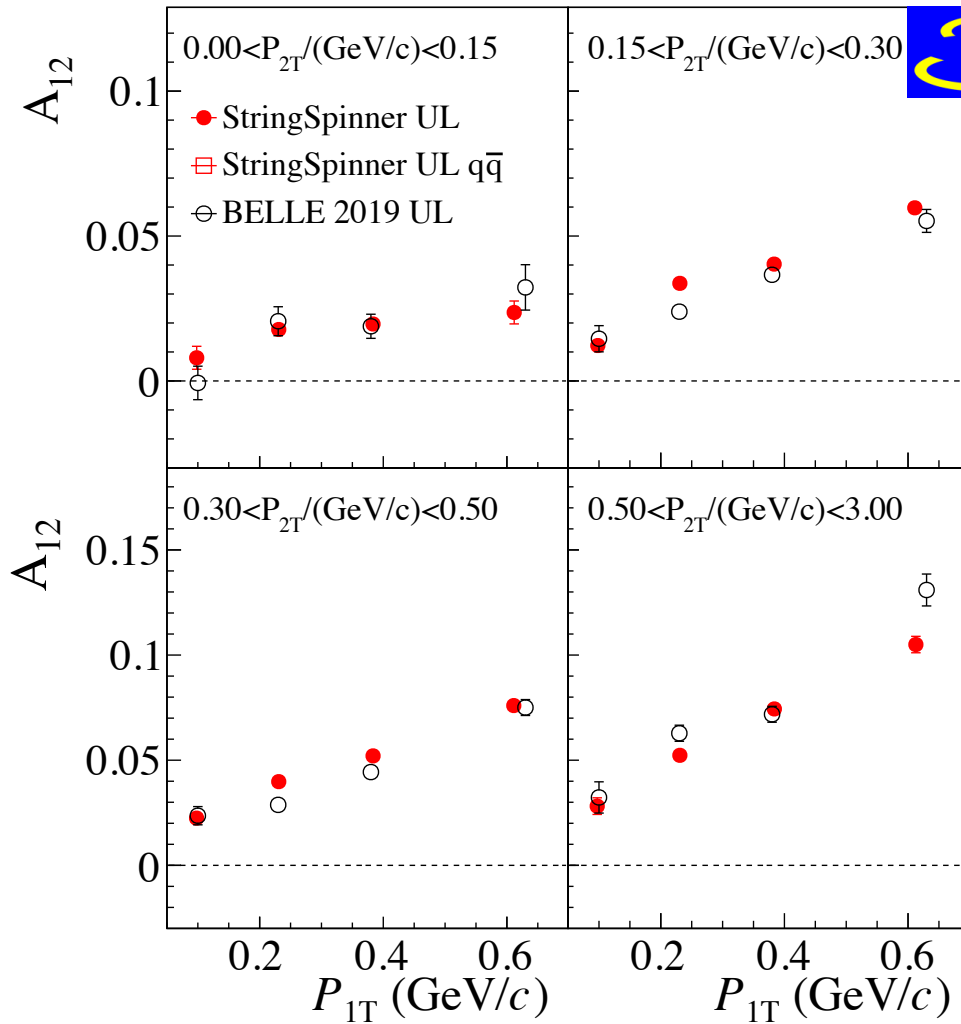
PRD 100, 092008 (2019)

Asymmetries using thrust axis,
not corrected for thrust smearing

$T > 0.8$
 $z > 0.2, P_T < 3.0 \text{ GeV}/c$
 $\alpha_0 < 0.3 \text{ rad}$

A_{12}^{UL} asymmetry for charged $\pi\pi$ pairs

$P_{T1} \times P_{T2}$ - dependence w.r.t thrust



PRD 100, 092008 (2019)

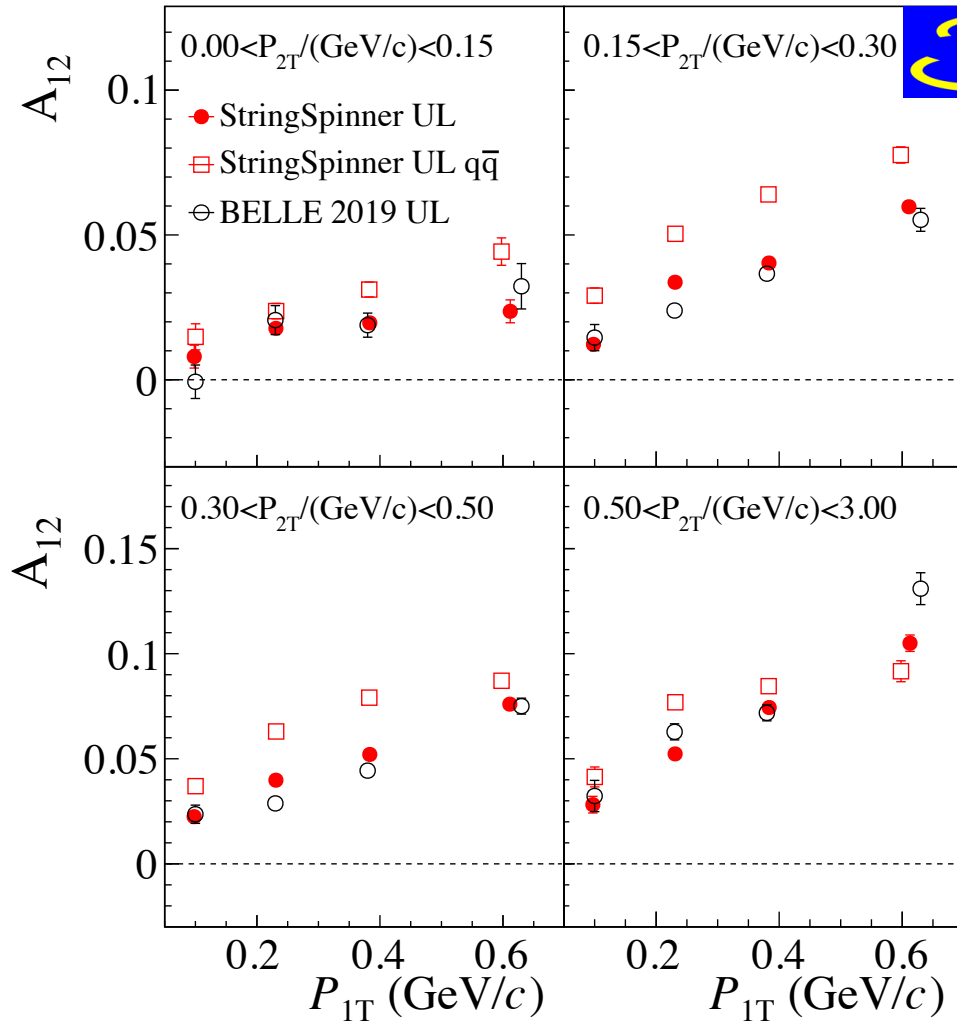
Asymmetries using thrust axis,
not corrected for thrust smearing

$T > 0.8$
 $z > 0.2, P_T < 3.0 \text{ GeV}/c$
 $\alpha_0 < 0.3 \text{ rad}$

StringSpinner reproduces the nearly linear trend observed by BELLE

A_{12}^{UL} asymmetry for charged $\pi\pi$ pairs

$P_{T1} \times P_{T2}$ - dependence w.r.t thrust



PRD 100, 092008 (2019)

Asymmetries using thrust axis,
not corrected for thrust smearing

$T > 0.8$

$z > 0.2, P_T < 3.0 \text{ GeV}/c$

$\alpha_0 < 0.3 \text{ rad}$

StringSpinner reproduces the nearly linear trend observed by BELLE

Linear trend shows up as an effect of the misalignment between thrust and $q\bar{q}$ axis
strong effect by thrust

The A_0 asymmetry

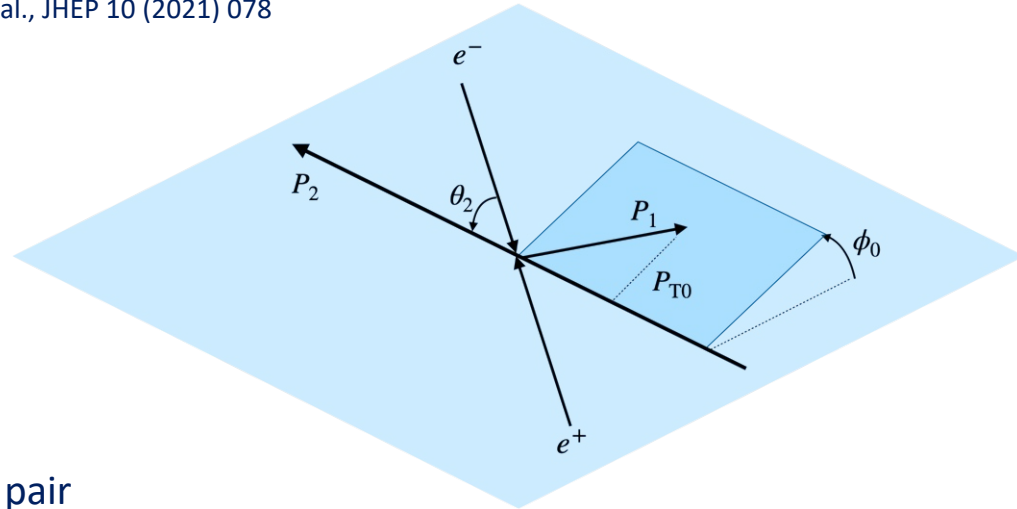
- Reference plane formed by the momentum \mathbf{p}_2 of h_2 and the beam e^-

$$N_0 \propto 1 + \frac{\langle \sin^2 \theta_2 \rangle}{\langle 1 + \cos^2 \theta_2 \rangle} A_0 \cos(2\phi_0)$$

Boer, NPB, 806:23–67, 2009
 Anselmino et al., PRD 92, 114023 (2015)
 D'Alesio et al., JHEP 10 (2021) 078
 ..

Collins asymmetry

$$A_0 = \frac{\sum_q e_q^2 w H_{1q}^{\perp h_1} \otimes H_{1\bar{q}}^{\perp h_2}}{\sum_q e_q^2 D_{1q}^{h_1} \otimes D_{1\bar{q}}^{h_2}}$$



- Experimental asymmetry

$$R_0^{U,L,C} = N_0^{U,L,C} / \langle N_0^{U,L,C} \rangle$$

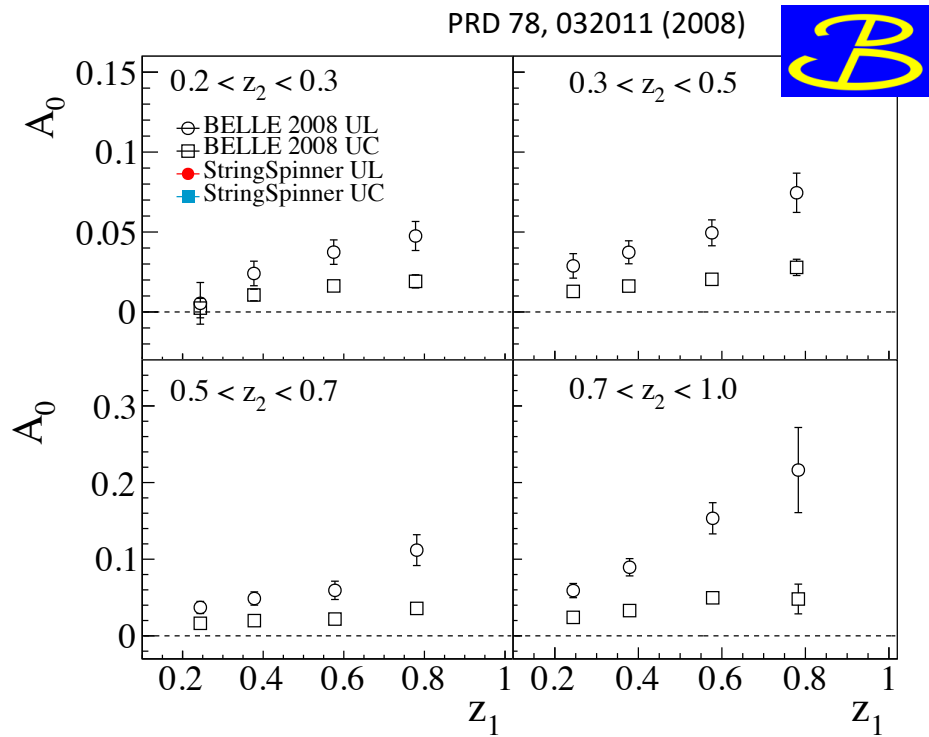
U = unlike sign pair
 L = like sign pair
 C = charged pair

$$R_0^{UL(UC)} = \frac{R_0^U}{R_0^{L(C)}} \approx 1 + \frac{\langle \sin^2 \theta_2 \rangle}{\langle 1 + \cos^2 \theta_2 \rangle} A_0^{UL(UC)} \cos(2\phi_0)$$

$$A_0^{UL(UC)} \simeq A_0^U - A_0^{L(C)}$$

- Measured by BELLE (2008) and BABAR (2014, 2015)

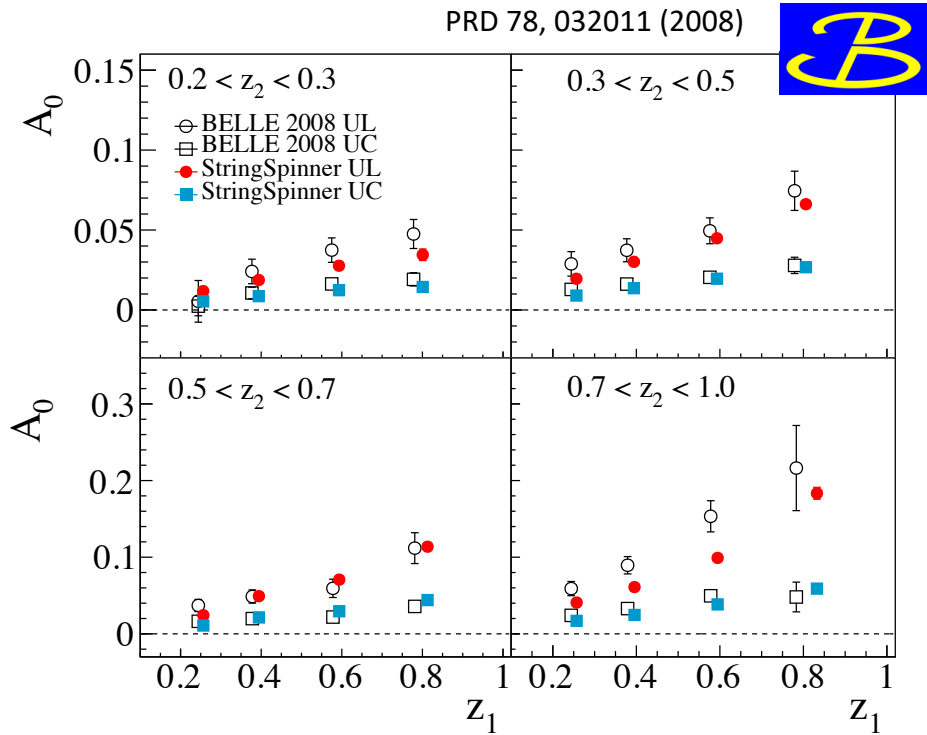
A_0 asymmetry for charged pions



Cuts:

$$T > 0.8, z > 0.2, Q_T < 3.5\text{GeV}$$

A_0 asymmetry for charged pions



Cuts:

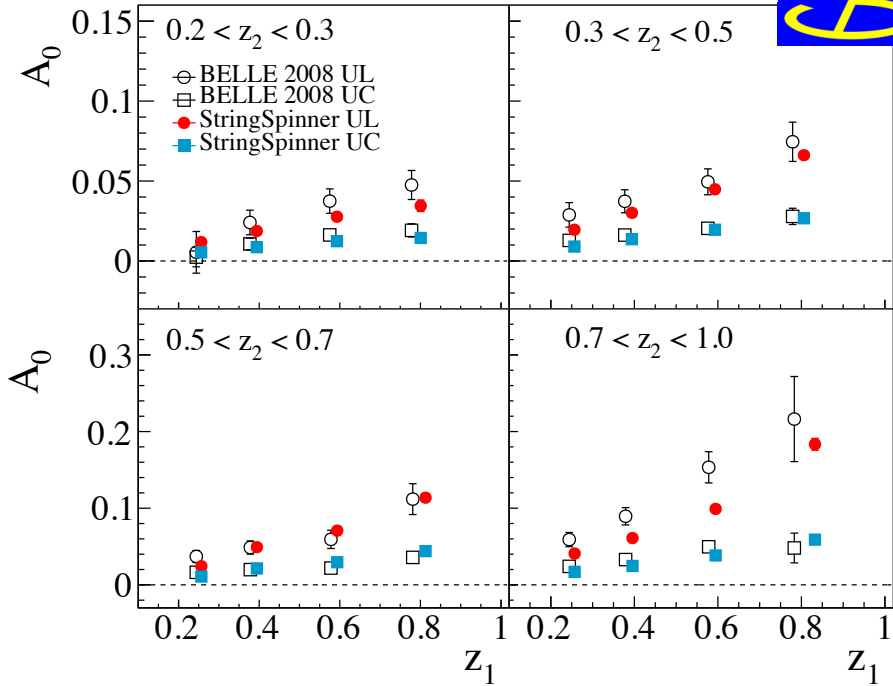
$$T > 0.8, z > 0.2, Q_T < 3.5 \text{ GeV}$$

Trend reproduced by string+ 3P_0

somewhat lower values in the last z_2 bin

A_0 asymmetry for charged pions

PRD 78, 032011 (2008)

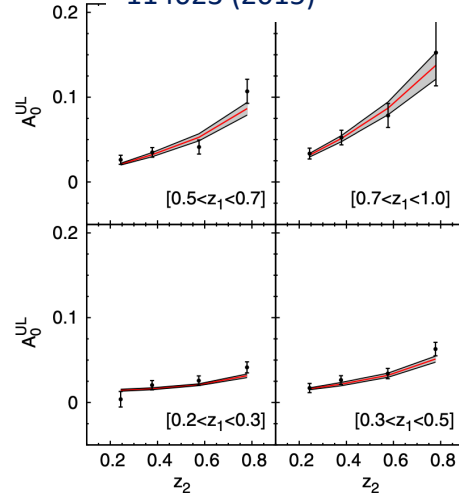


Cuts:

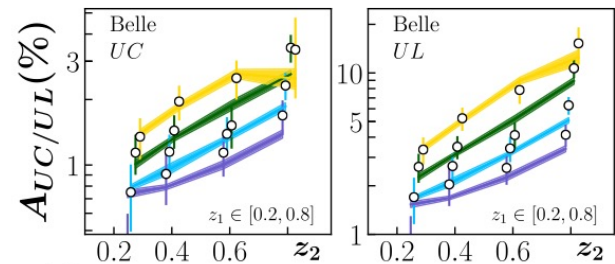
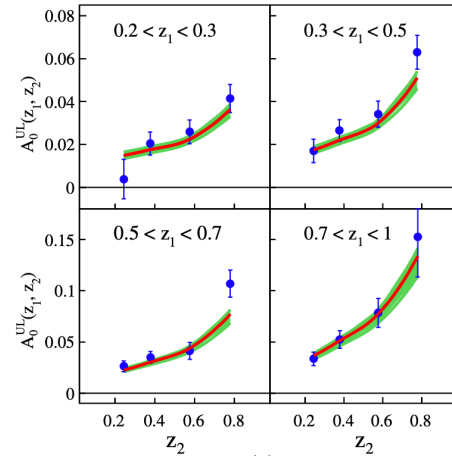
$$T > 0.8, z > 0.2, Q_T < 3.5\text{GeV}$$

Trend reproduced by string+ 3P_0
somewhat lower values in the last z_2 bin

Anselmino et al., PRD 92, 114023 (2015)



Kang et al., PRD 93, 014009 (2016)

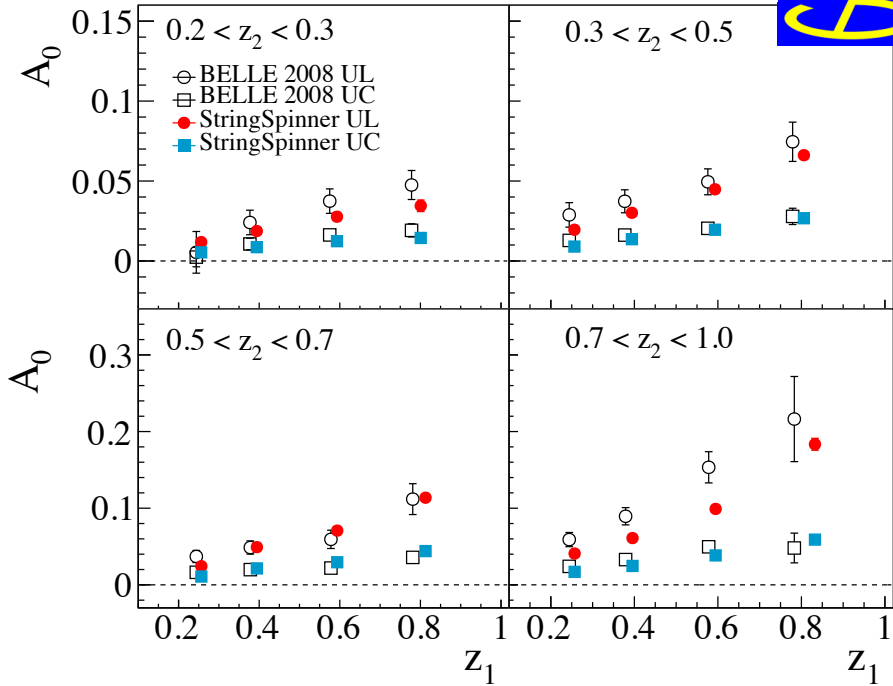


JAM, PRD 102, 054002 (2020)

A_0 asymmetry included in phenomenological fits

A_0 asymmetry for charged pions

PRD 78, 032011 (2008)

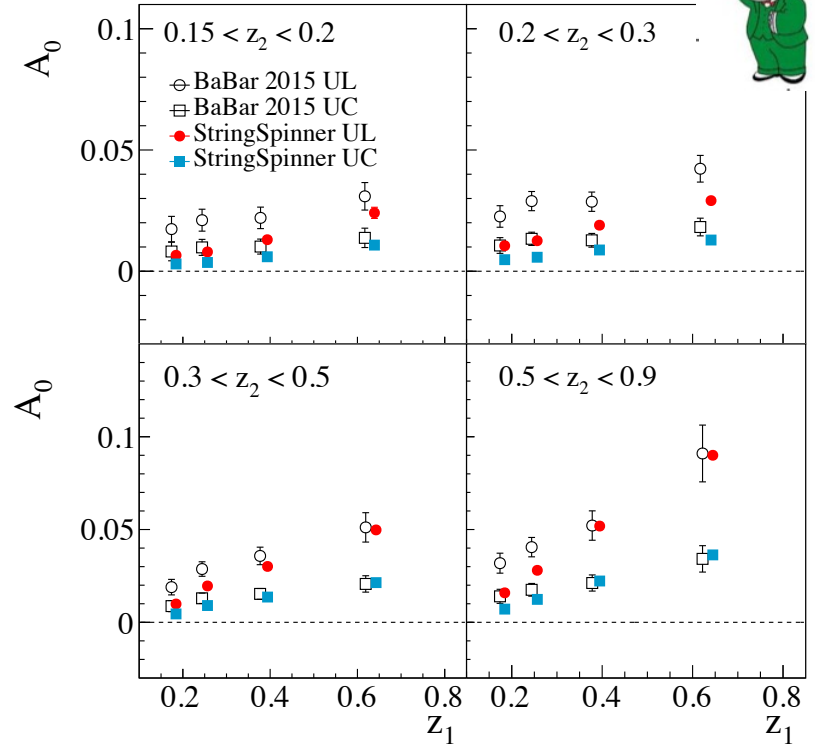


Cuts:

$$T > 0.8, z > 0.2, Q_T < 3.5 \text{ GeV}$$

Trend reproduced by string+ 3P_0
somewhat lower values in the last z_2 bin

PRD 92, 111101(R) (2015)



BABAR asymmetries higher for $z_1 < 0.3$
 $z_2 < 0.3$

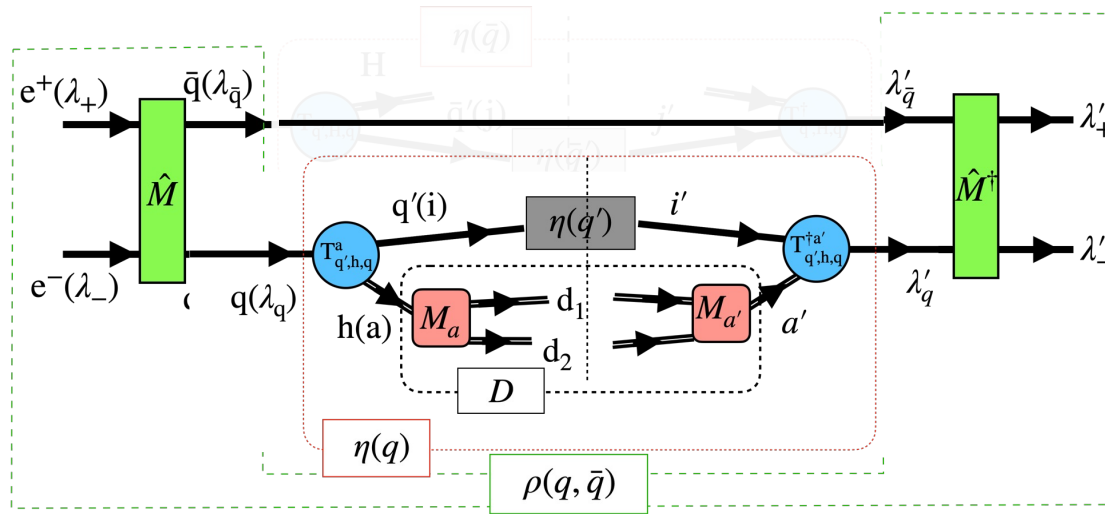
Conclusions

- ❑ The $\text{string}+{}^3P_0$ model applied to the fragmentation of a string stretched between quarks with correlated spin states
these kind of strings are produced also in pp collisions..
- ❑ Implemented in Pythia 8.3 for e^+e^-
extension of StringSpinner to be published
- ❑ Encouraging results on Collins asymmetries in e^+e^-
study of Artru-Collins asymmetries ongoing
- ❑ More developments of the $\text{string}+{}^3P_0$ model foreseen
baryon production
connection to parton shower
...

Still a long way to an event generator fully implementing spin effects, but several steps done!

Backup

The recursive recipe for simulating e^+e^- annihilation: VM emission



For a vector meson $h=VM$

$$\rightarrow \eta(q) = \mathbf{T}_{q',h=VM,q}^{a'\dagger} \eta(q') \mathbf{T}_{q',h=VM,q}^a D_{a'a}, \quad \eta(q') = 1_{q'}, \text{ and } \eta(\bar{q}) = 1_{\bar{q}}$$

Steps:

i) Emission probability density (summing over decay information, i.e. $D_{a'a} = \delta_{a'a}$)

$$\frac{dP(q \rightarrow h = VM + q'; q\bar{q})}{dM^2 dZ_+ Z_+^{-1} d^2 p_T} = \text{Tr}_{q'\bar{q}} \mathbf{T}_{q',h,q}^a \rho(q, \bar{q}) \mathbf{T}_{q',h,q}^{a'\dagger} = F_{q',h,q}(M^2, Z_+, p_T; k_T, C^{q\bar{q}})$$

ii) Calculate the spin density matrix of $h=VM$, and decay the meson

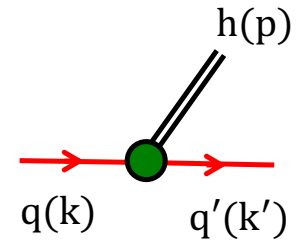
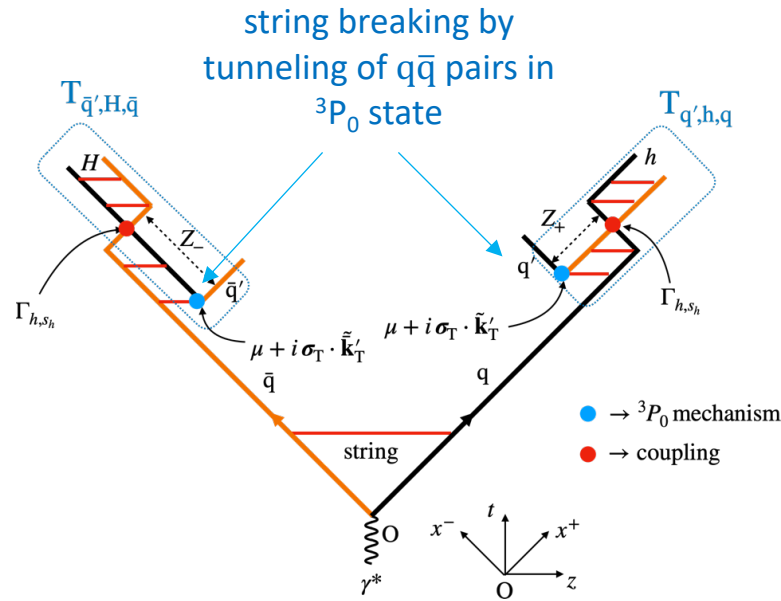
$$\rho_{aa'}(h) = \text{Tr}_{q'\bar{q}} \mathbf{T}_{q',h,q}^a \rho(q, \bar{q}) \mathbf{T}_{q',h,q}^{a'\dagger}$$

iii) Decay the meson $p \rightarrow p_1 p_2 \dots$

$$dN(p_1, p_2 \dots) / d\Omega \propto M_{\text{dec}}^a(p \rightarrow p_1 p_2 \dots) \rho_{aa'}(h) M_{\text{dec}}^{a'\dagger}(p \rightarrow p_1 p_2 \dots)$$

iv) Build the decay matrix $D_{a'a}(p_1, p_2, \dots) = M_{\text{dec}}^{a'\dagger}(p \rightarrow p_1 p_2 \dots) M_{\text{dec}}^a(p \rightarrow p_1 p_2 \dots)$

The hadronization model: $\text{string}+{}^3P_0$



quark splitting $q \rightarrow h + q'$

Relevant variables:

$$\mathbf{k}_T = \mathbf{p}_T + \mathbf{k}'_T$$

$$Z_+ = p^+ / k^+$$

$$\varepsilon_h^2 = M^2 + p_T^2$$

Transverse vectors
defined w.r.t. string axis

Quark splitting amplitude in the $\text{string}+{}^3P_0$ model

$$T_{q',h,q} \propto C_{q',h,q} D_h(M^2) \underbrace{\left(\frac{1 - Z_+}{\varepsilon_h^2} \right)^{\frac{a}{2}} \exp \left[-\frac{\mathbf{b}_L \varepsilon_h^2}{2Z_+} \right]}_{\text{longitudinal momentum}} \underbrace{N_a^{-\frac{1}{2}} (\varepsilon_h^2) e^{-\frac{\mathbf{b}_T k'^2_T}{2}}}_{\text{transverse momentum (w.r.t string axis)}}$$

$[\mu + \sigma_z \sigma_T \cdot \mathbf{k}'_T]$
 3P_0 mechanism
[μ complex mass
parameter]

Γ_{h,s_h}
Coupling
e.g.
 $\Gamma_{h=PS} = \sigma_z$

Free param. Lund

Free param. $\text{string}+{}^3P_0$

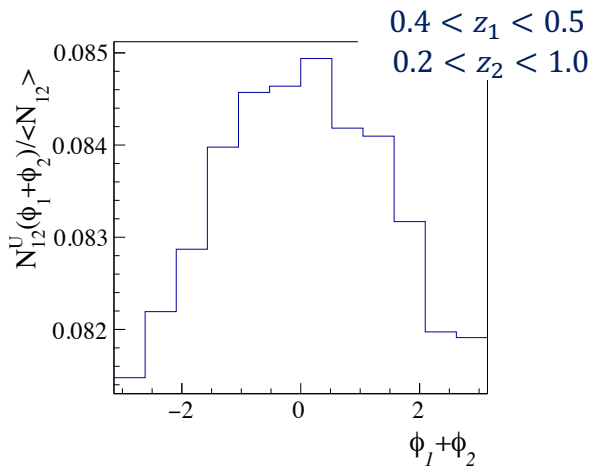
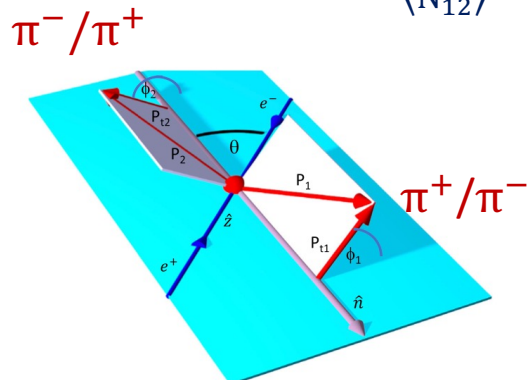
AK, Artru, Martin, PRD 104, 114038 (2021)

Steps for the extraction of Collins asymmetries

Example of $e^+e^- \rightarrow \pi^+\pi^-X$

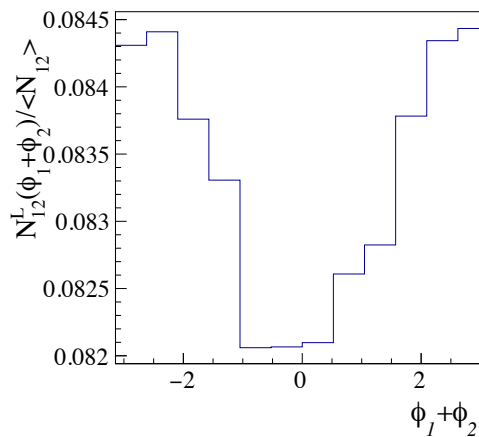
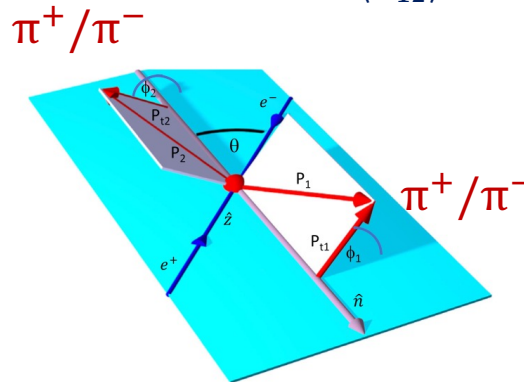
i) Evaluate normalized yields for $\pi^\pm - \pi^\mp$ “Unlike pairs”

$$R_{12}^U = \frac{N_{12}^U(\phi_1 + \phi_2)}{\langle N_{12} \rangle}$$



ii) Evaluate normalized yields for $\pi^\pm - \pi^\pm$ “Like pairs”

$$R_{12}^L = \frac{N_{12}^L(\phi_1 + \phi_2)}{\langle N_{12} \rangle}$$

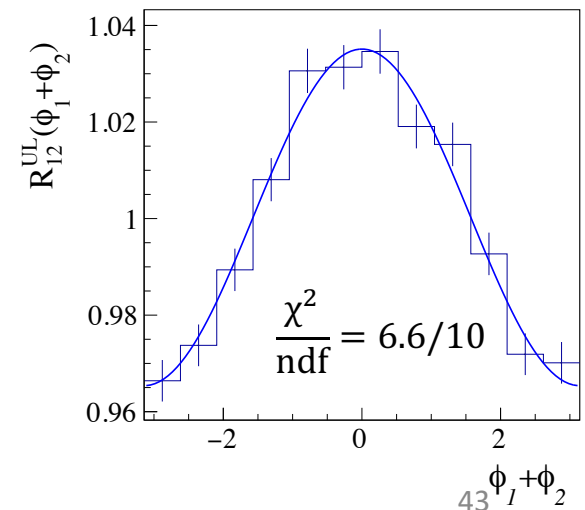


ii) Evaluate the ratio $\frac{R_{12}^U}{R_{12}^L}$ and fit the asymmetry

$$R_{12}^{UL} = \frac{R_{12}^U}{R_{12}^L} \approx 1 + A_{12}^{UL} \cos(\phi_1 + \phi_2)$$

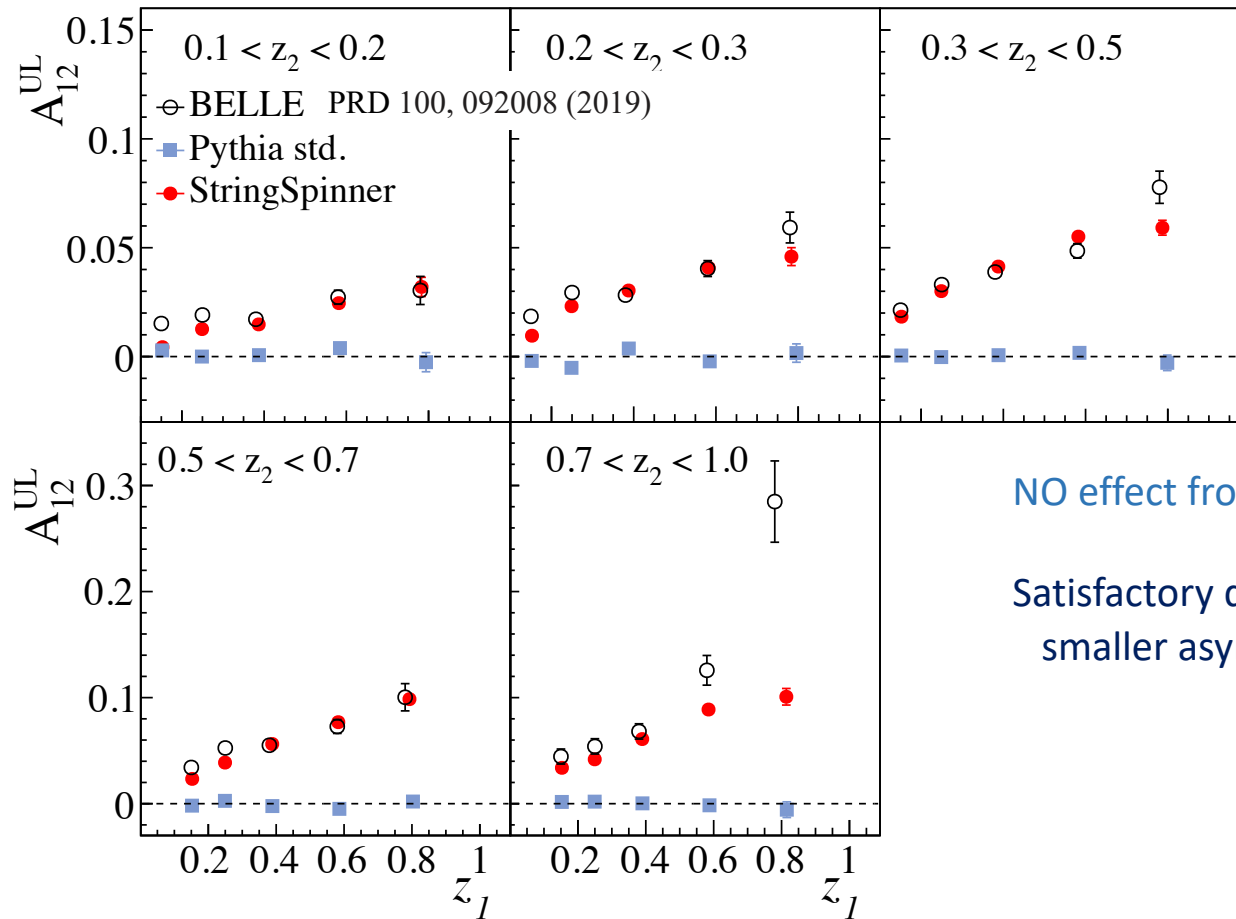
Fit function

$$f(\phi_1 + \phi_2) = p_0 + p_1 \cos(\phi_1 + \phi_2)$$



A_{12}^{UL} asymmetry for back-to-back $\pi^\pm - \pi^\mp$

$z_1 \times z_2$ - dependence



Asymmetries w.r.t thrust axis
(not $q\bar{q}$ axis)

$T > 0.8$
 $z > 0.1, P_T < 3.0 \text{ GeV}/c$
 $\alpha_0 < 0.3$

NO effect from Pythia

Satisfactory description from StringSpinner
 smaller asymmetries at large z