

# COMPASS SIDIS

**Andrea Bressan**

**University of Trieste and INFN  
(on behalf of the COMPASS Collaboration)**

The 12th Circum-Pan-Pacific Symposium on High Energy Spin Physics, 9-12 November, Hefei, China

# What does “COMPASS” stand for?

COMPASS: NA58, EHN2, building 888:

COmmun

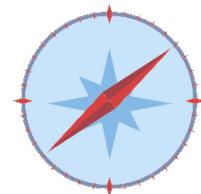
Muon

Proton

Apparatus for

Structure and

Spectroscopy



COMPASS was the *largest surface experiment* at CERN

# COMPASS Collaboration



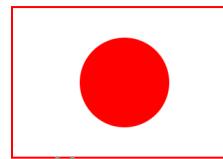
Дубна (LPP and LNP),  
Москва (INR, LPI, State  
University),  
Протвино



Bochum, Bonn  
(ISKP & PI),  
Erlangen,  
Freiburg, Mainz,  
München TU



Warsawa (NCBJ),  
Warsawa (TU)  
Warsawa (U)



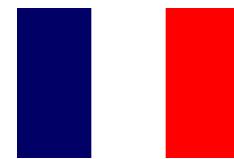
UIUC



Praha



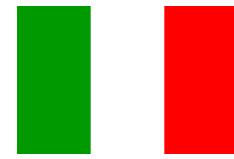
Saclay



Burden, Calcutta



Torino  
(University, INFN),  
Trieste  
(University, INFN)



Taipei (AS)

Tel Aviv

- about 220 members
- from 13 different countries
- involving 24 universities and research institutes

# COMPASS, few facts

- Flagship measurement for COMPASS was  $\Delta G$
- TMDs were brand new objects and but we were very much interested in this field and we put their study in the proposal, even if with marginal beam request (i.e. 20% of the time devoted to  $\Delta G$ )

As suggested by J. Collins [71], the fragmentation function for transversely polarised quarks should exhibit a specific azimuthal dependence. The transversely polarised quark fragmentation function  $D_q^h$  should be built up from two pieces, a spin-independent part  $D_q^h$ , and a spin-dependent part  $\Delta D_q^h$ :

$$D_q^h(z, \vec{p}_q^h) = D_q^h(z, p_q^h) + \Delta D_q^h(z, p_q^h) \cdot \sin(\phi_h - \phi_{S'}), \quad (3.23)$$

We propose to measure in semi-inclusive DIS on transversely polarised proton and deuterium targets the transverse spin distribution functions  $\Delta_T q(x) = q_\uparrow(x) - q_\downarrow(x)$ , where  $\uparrow (\downarrow)$  indicates a quark polarisation parallel (antiparallel) to the transverse polarisation of the nucleon. Hadron identification allows to tag the quark flavour.

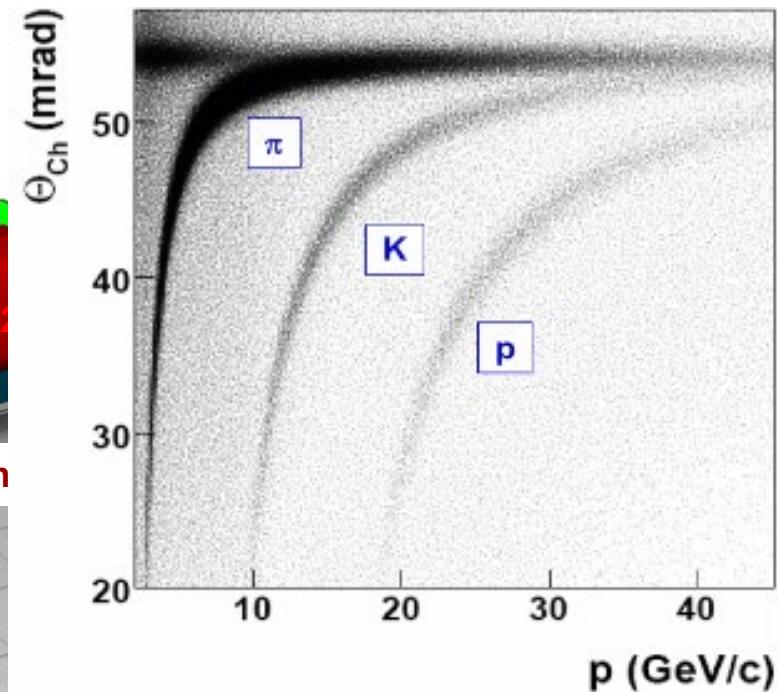
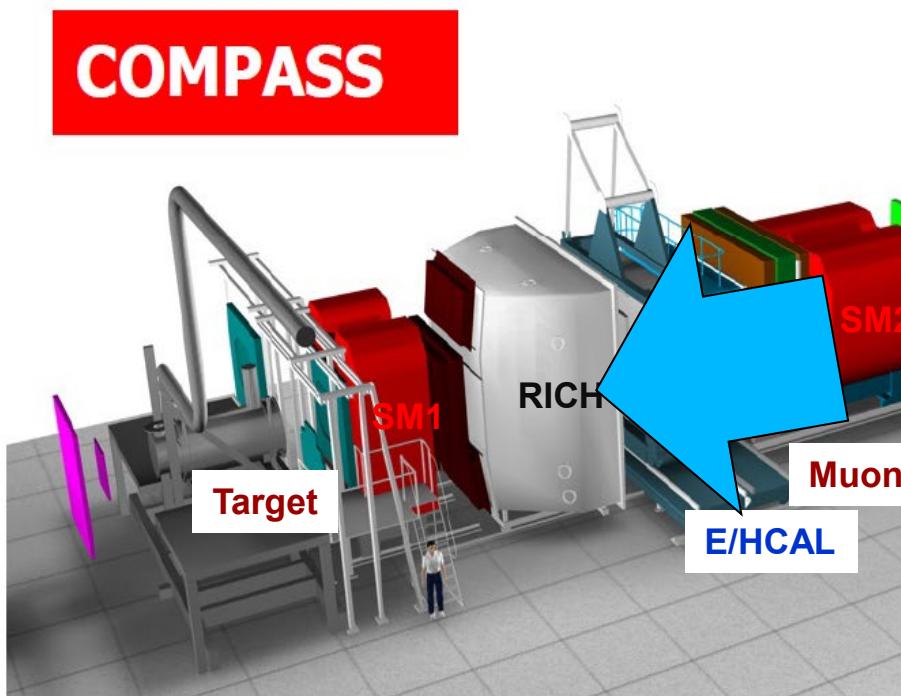
- The measurement of the Sivers PDF was added to the program soon after ... the other TMD with the developments over the years
- COMPASS was approved by CERN in 1997. R&D and construction from 1998 to 2001
- Measurements started in 2002 by HERMES (p) and COMPASS (d)
- This field has grown considerably in the last years and comes one of high priority measurements for the JLab12 program and for the EIC

# Muon beam: SIDIS setup

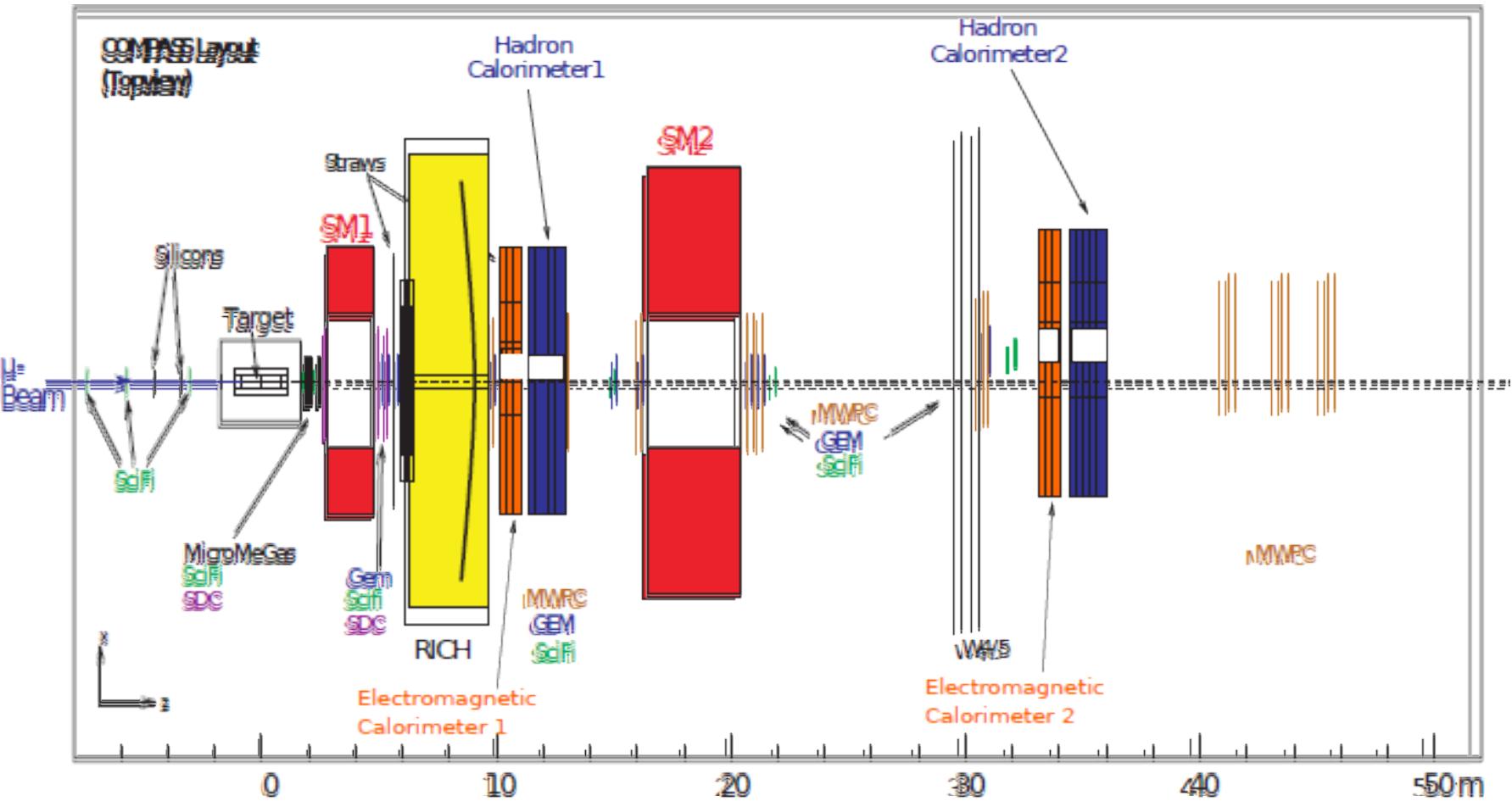
- high energy beam
- large angular acceptance
- broad kinematical range

two stages spectrometer

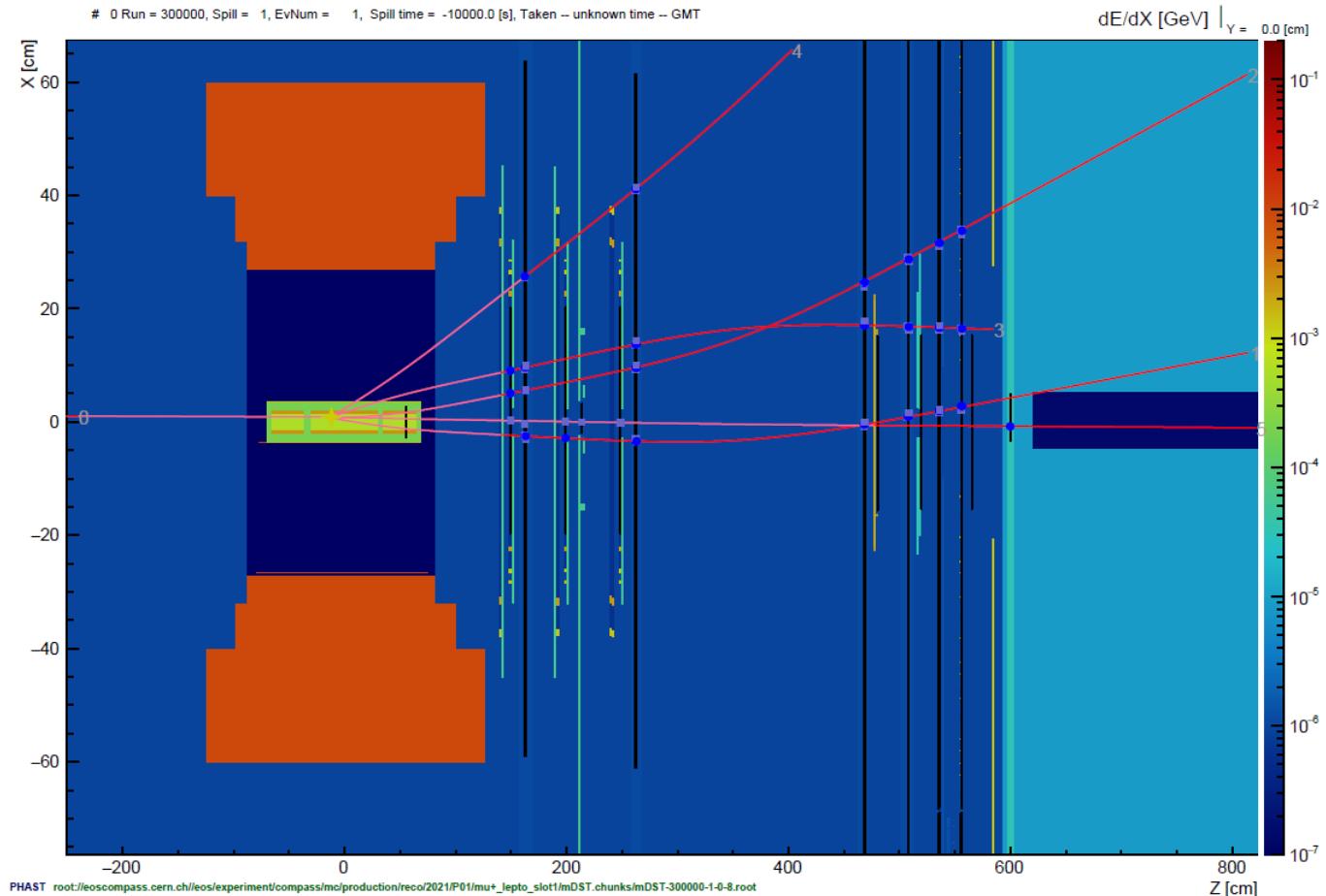
Large Angle threshold counter (SM1)  
radiator  $C_4F_{10}$   
 $K \sim 10 \text{ GeV}/c$   
Small Angle Spectrometer (SM2)



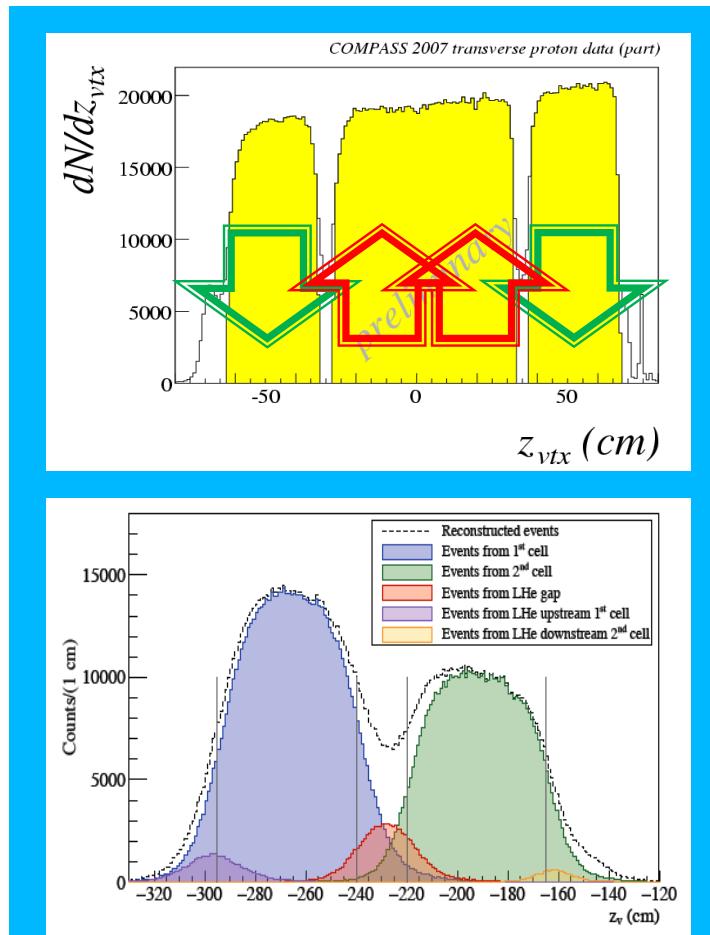
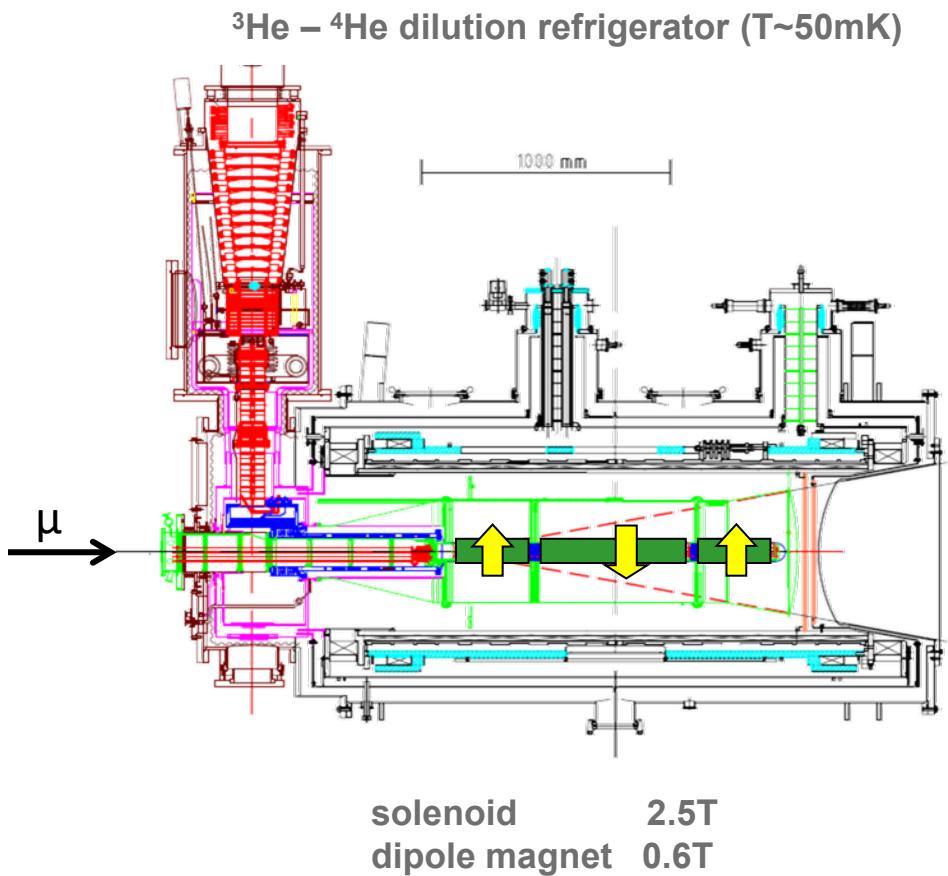
# Spectrometer elements



# Spectrometer: 2021 event



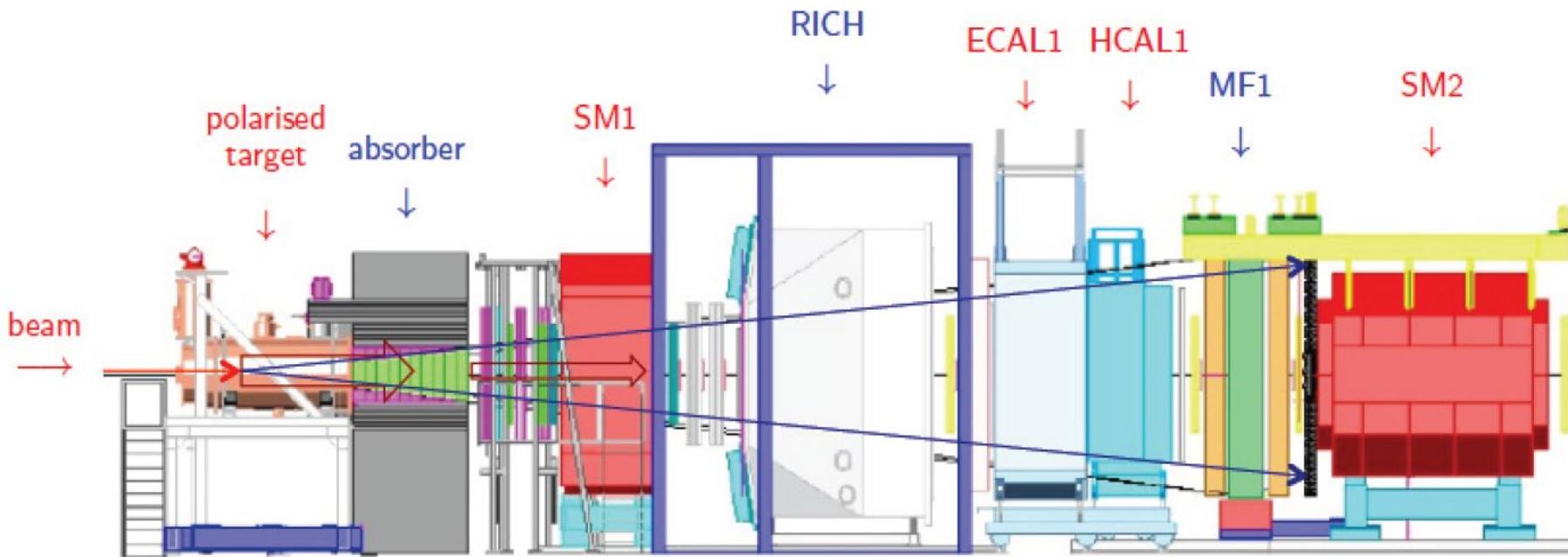
# the polarized target system (>2005)



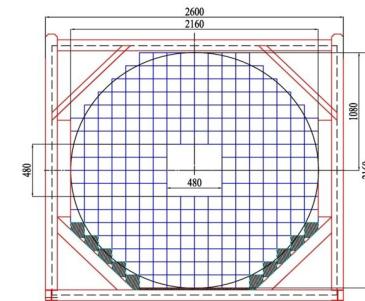
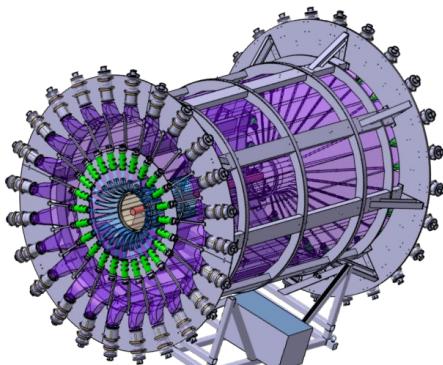
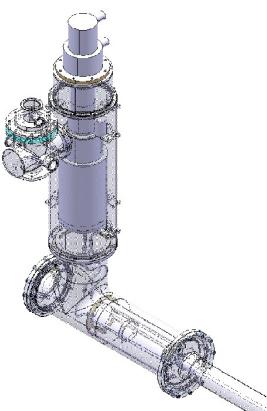
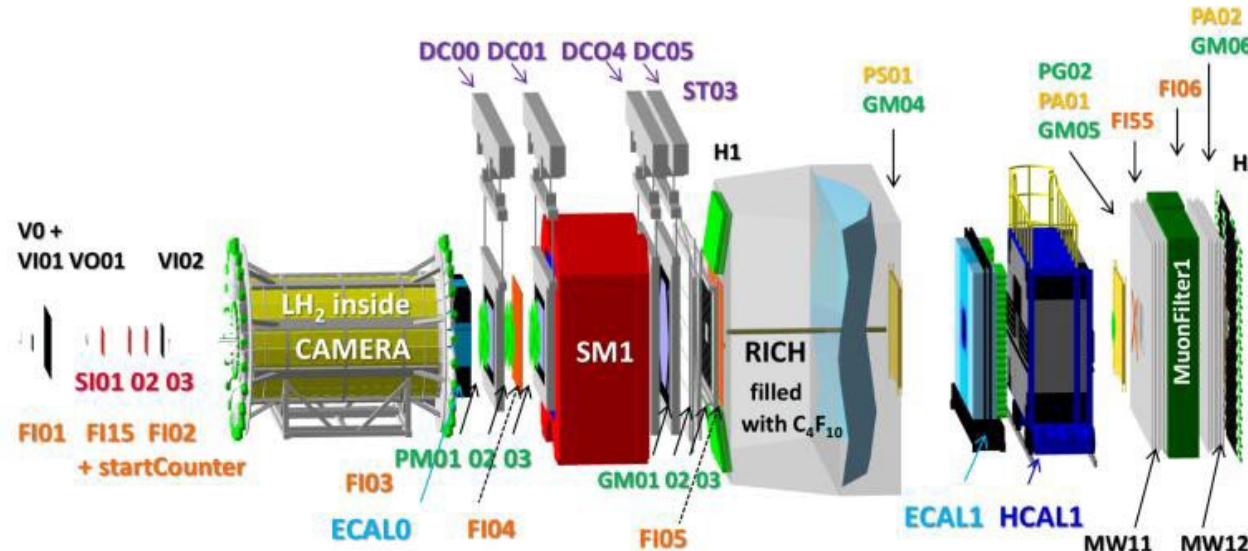
# Polarized target

- 1.2 m long, 40 mm diameter, 5-6 l
- Temperature 60mK (-273.09 °C) with a record of 30 mK
- ${}^6\text{LiD}$ , deuterated lithium – deuterium acts as target
- $\text{NH}_3$  ammonia – hydrogen acts as target
- Polarization is obtained by Dynamic Nuclear Polarization
- Three things are needed: **high magnetic field** to align the spins, a **very low temperature** to reduce thermal energy and **microwaves** to transfer spin from the electrons to the nucleons
- A 2.5 T solenoid field is applied by a **superconducting magnet** with a  $10^{-4}$  homogeneity over the target volume

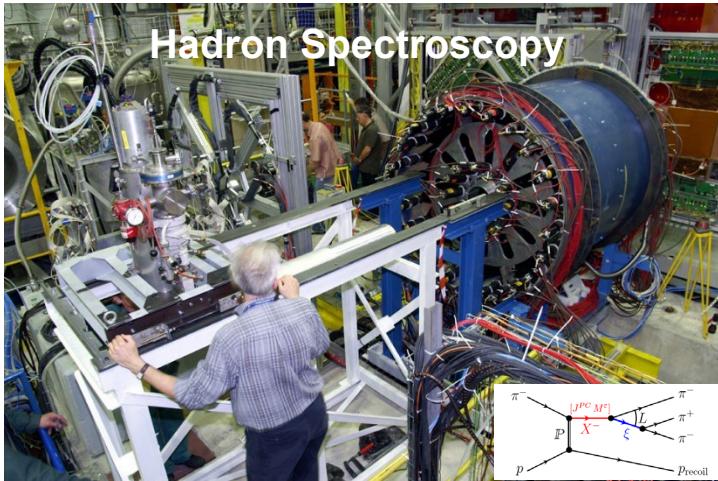
# Hadron beam: Drell-Yan setup



# Muon beam – DVCS setup



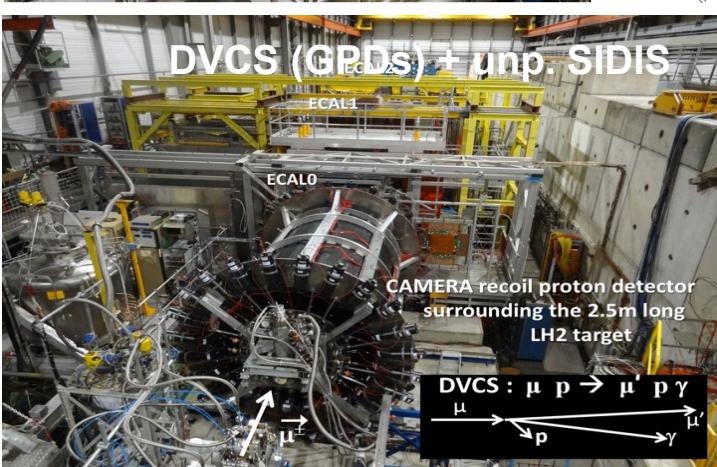
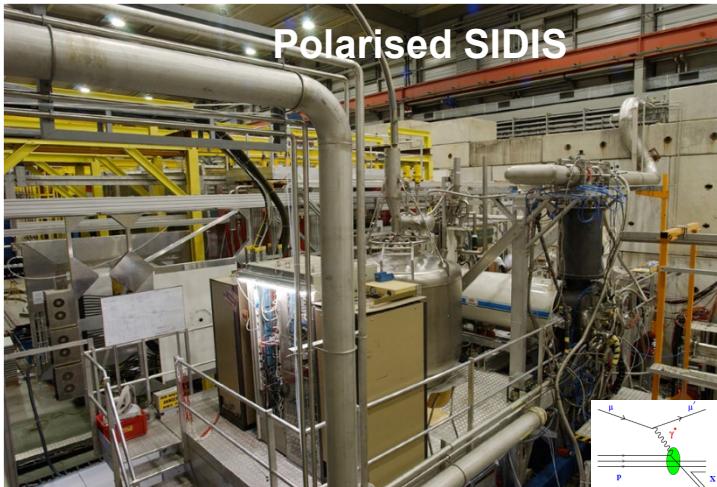
# COMPASS target area



COMPASS-I  
1997-2011  
and back in  
2022



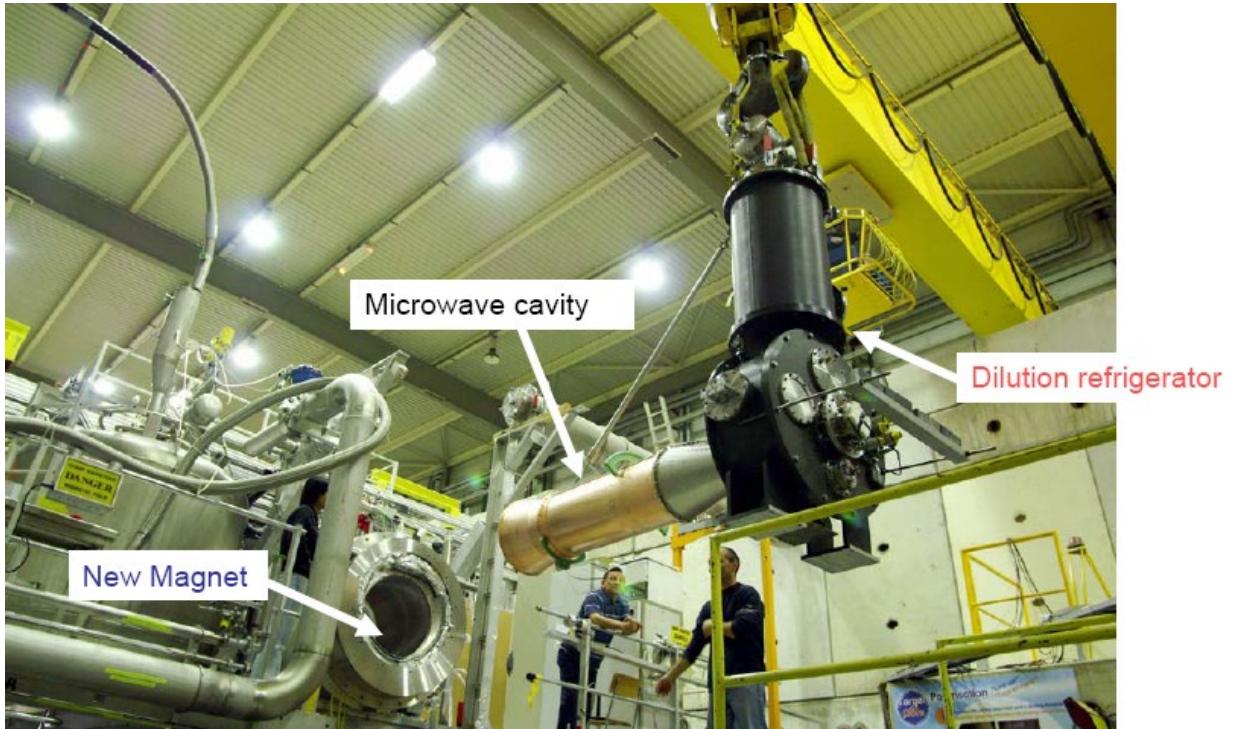
COMPASS-II  
2012-2020



# Operations on the target area



# Targets



# COMPASS data taking

|           |                                   |                      |  |
|-----------|-----------------------------------|----------------------|--|
| muon beam | deuteron ( ${}^6\text{LiD}$ ) PT  | 2002<br>2003<br>2004 | 80% L/20% T target polarisation                |
|           |                                   | 2006                 | L target polarisation                          |
|           | proton ( $\text{NH}_3$ ) PT       | 2007                 | 50% L /50% T target polarisation               |
| Hadron    | LH target                         | 2008<br>2009         |  |
| muon beam | proton ( $\text{NH}_3$ ) PT       | 2010<br>2011         | T target polarisation<br>L target polarisation |
| Hadron    | Ni target                         | 2012                 | Primakoff                                      |
| muon beam | LH2 target                        | 2012                 | Pilot DVCS & unpol. SIDIS                      |
| Hadron    | Proton ( $\text{NH}_3$ ) DT<br>PT | 2014<br>2015<br>2018 | Pilot DY run<br>DY run<br>DY run               |
| muon beam | LH2 target                        | 2016<br>2017         | DVCS & unpol. SIDIS                            |
| muon beam | deuteron ( ${}^6\text{LiD}$ ) PT  | 2022                 | T target polarisation                          |

# Kinematics of Deep Inelastic Scattering

- DIS variables:

$$s = (k + P)^2 \simeq 2k \cdot P + m_P^2$$

$$Q^2 = -q^2 = -(k - k')^2 \simeq 2k \cdot k'$$

$$x = \frac{Q^2}{2P \cdot q}$$

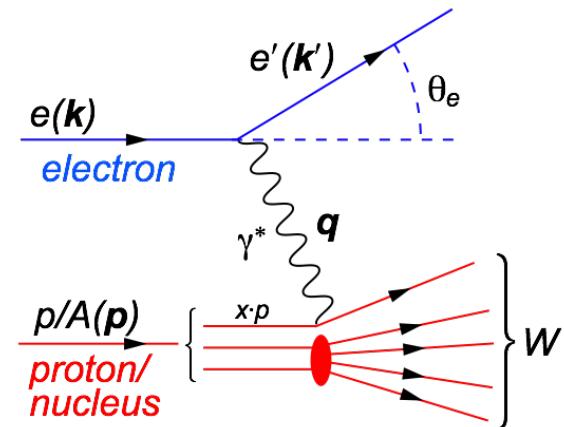
$$y = \frac{P \cdot q}{P \cdot k}$$

$$W^2 = (P + q)^2 = P^2 - Q^2 + 2P \cdot q$$

- SIDIS variables:

$$z_h = \frac{P \cdot P_h}{P \cdot q} \quad \vec{P}_{hT} = \vec{P}_h - \frac{\vec{P}_h \cdot \vec{q}}{|\vec{q}|} \hat{q}$$

**NB: always use invariants for invariant quantities (not their expression in a particular frame). This will help you when moving from 1 experiment to another.**



$$\ell p \rightarrow \ell X$$

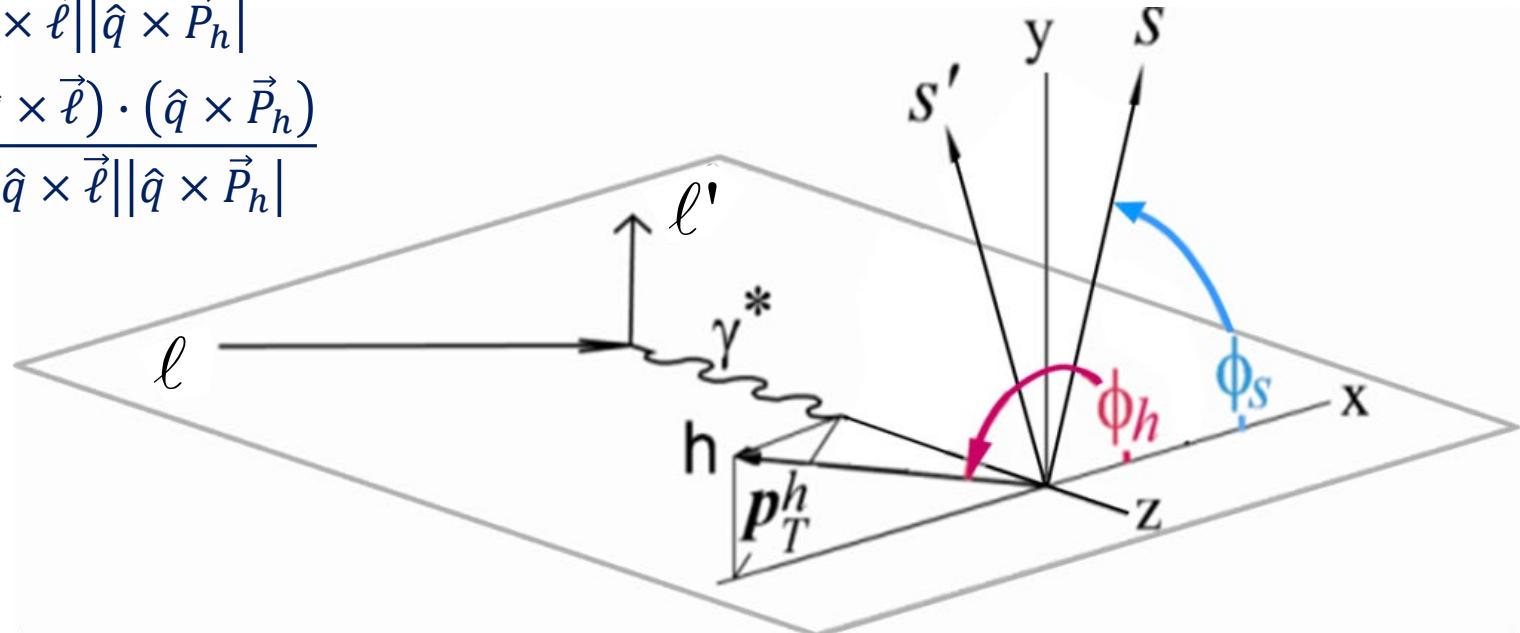
Beam lepton  $\ell$ :  $k = [E, \vec{k}]$

Scat. lepton  $\ell'$ :  $k' = [E', \vec{k}']$

Virtual Photon  $\gamma^*$ :  $q = [v, \vec{k} - \vec{k}']$

# Azimuthal angles in the GNS or Breit

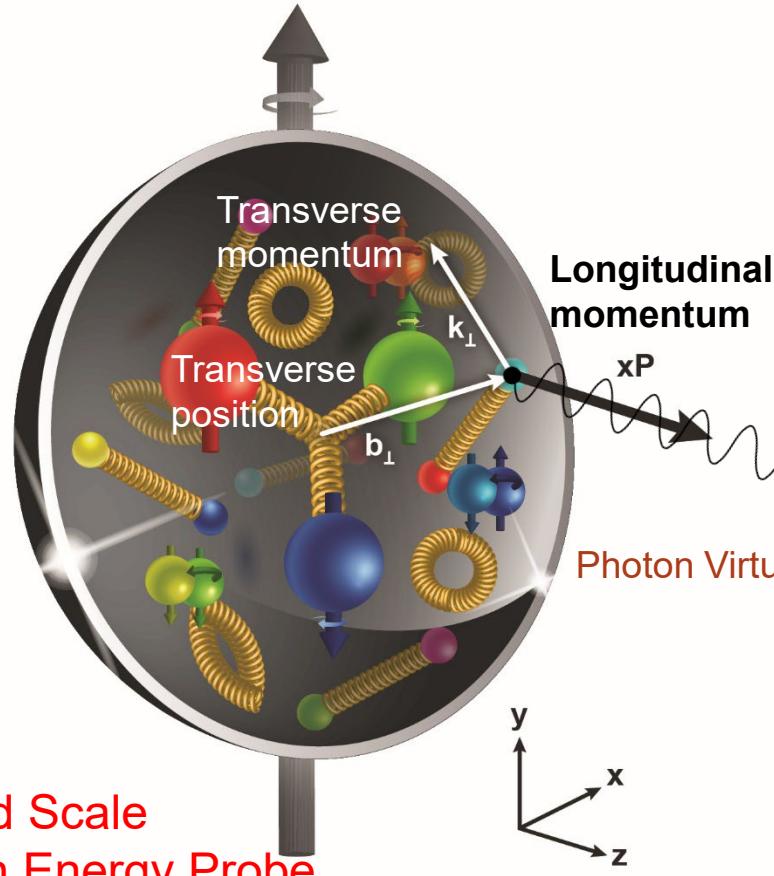
$$\sin \phi_h = \frac{(\hat{q} \times \vec{\ell}) \cdot \vec{P}_h}{|\hat{q} \times \vec{\ell}| |\hat{q} \times \vec{P}_h|}$$
$$\cos \phi_h = \frac{(\hat{q} \times \vec{\ell}) \cdot (\hat{q} \times \vec{P}_h)}{|\hat{q} \times \vec{\ell}| |\hat{q} \times \vec{P}_h|}$$



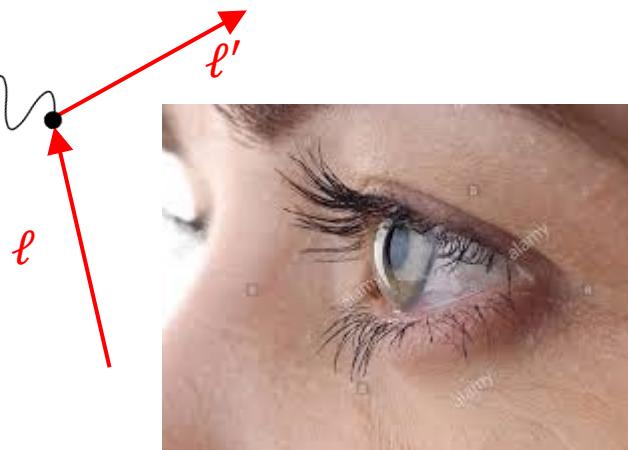
We look at our events in the Gamma Nucleon System (GNS) or Breit Frame,  
i.e. we need a perfectly reconstructed lepton kinematic.

# Transverse structure of the Nucleon

Confinement Scale

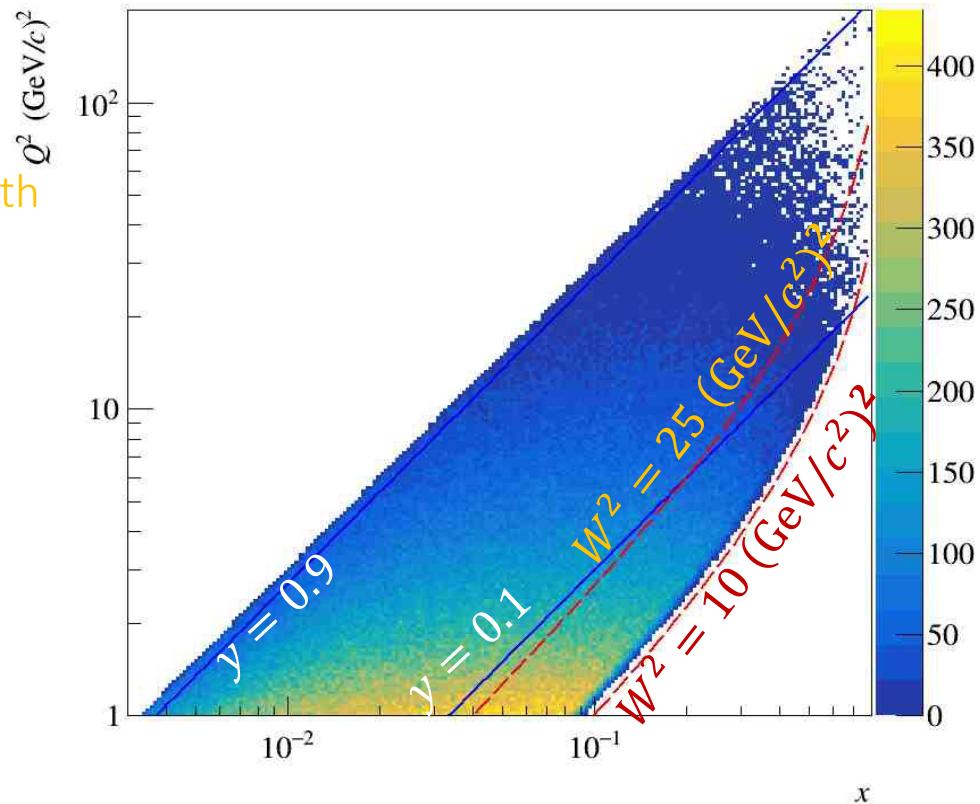


$$W_p^q(x, \vec{k}_\perp, \vec{b}_T)$$

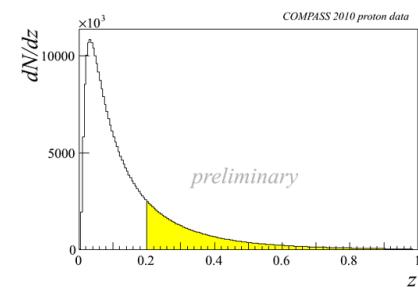
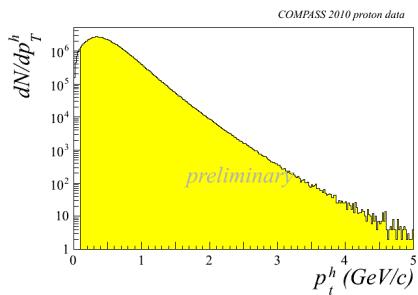
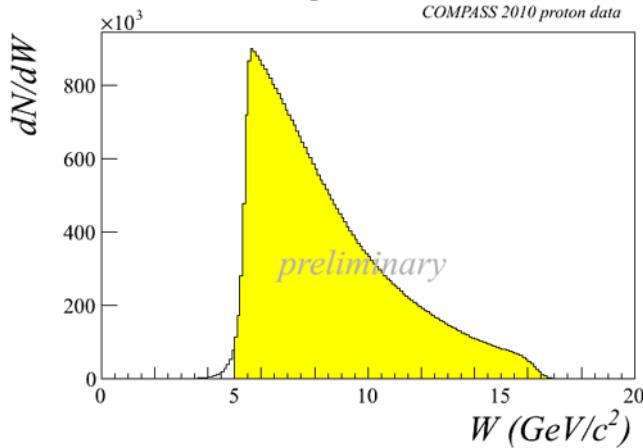
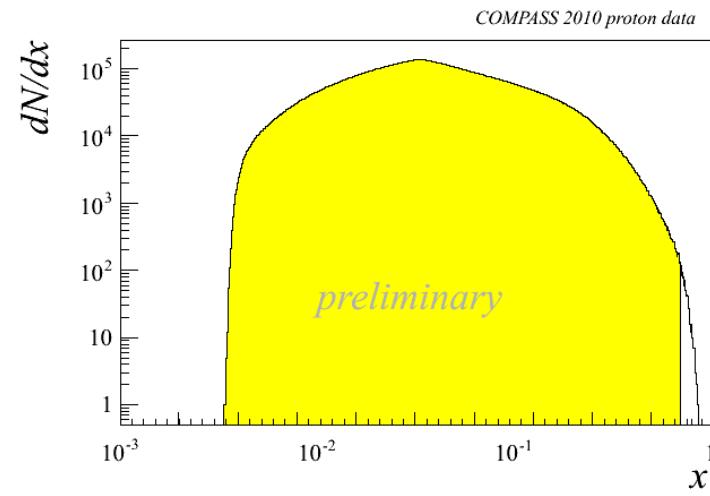
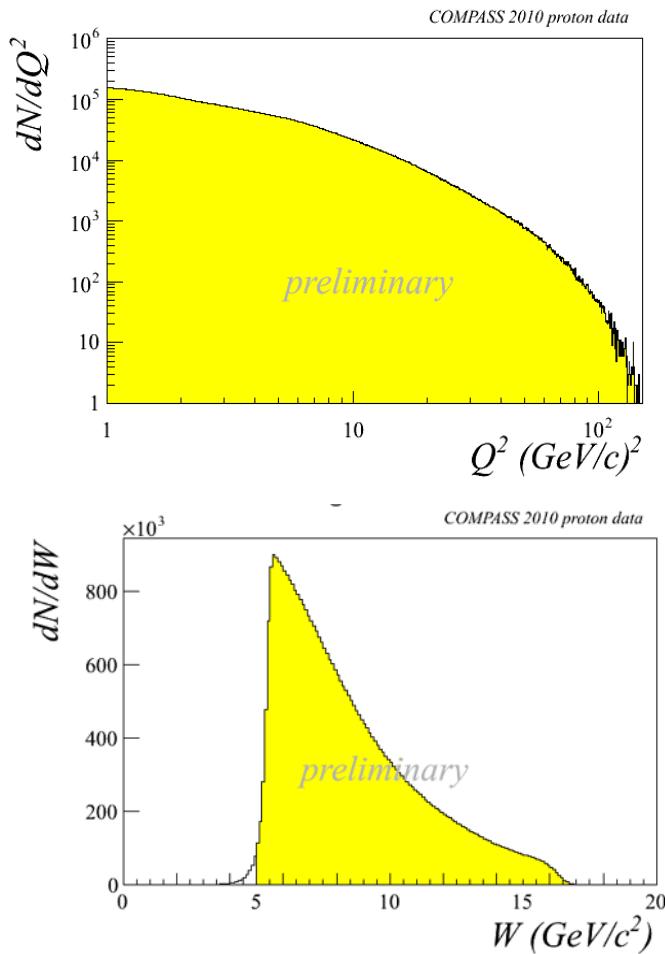


# Standard Cuts @ $\sqrt{s} \sim 17$ GeV

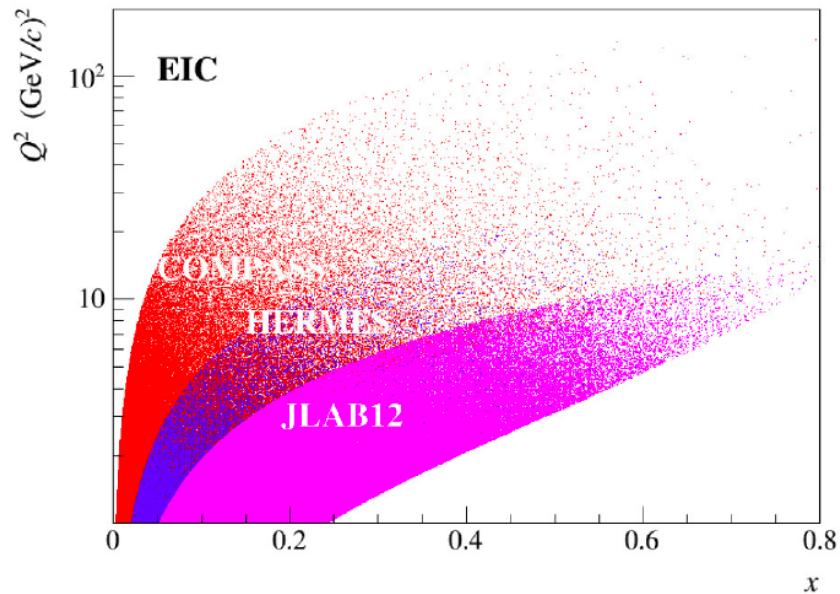
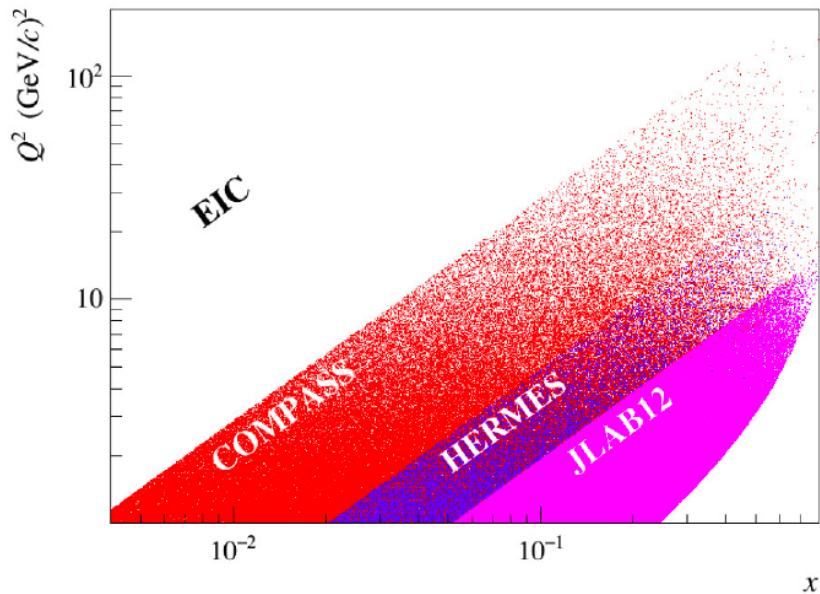
- $Q^2 > 1$  (GeV/c) $^2$   
To be in the dis REGIME
- $0.1 < y < 0.9$   
Limits RC effects and select a region with good resolution
- $W^2 > 25$  (GeV/c $^2$ ) $^2$   
Enough space for hadrons and... together with z select current hadronization region
- $0.2 < z < 0.85$   
CFR and cut exclusive process
- $P_{hT} > 0.05$  GeV/c Resolution on  $\phi_h$



# Kinematic distributions



# Kinematic coverage



# Results with the longitudinally polarized target



| Year | Obs.   |  |
|------|--|--|
| 2006 | $A_{LL}^{2h}(Q^2 < 0)$   | $\Delta g/g$   |
| 2007 | $g_1^d(x),$  | $\Gamma_1^d, \Delta\Sigma$   |
| 2008 | $A_{1,d}^{h^+ - h^-}$  | $\Delta u_\nu + \Delta d_\nu$  |
| 2009 | $A_{1,d}, A_{1,d}^{\pi^\pm}, A_{1,d}^{K^\pm}$  | $\Delta u_\nu + \Delta d_\nu, \Delta\bar{u} + \Delta\bar{d}, \Delta s (= \Delta\bar{s})$         |
| 2010 | $g_1^p(x),$  | $\Gamma_1^{NS},  g_A/g_V $   |
| 2010 | $A_{1,d}, A_{1,d}^{\pi^\pm}, A_{1,d}^{K^\pm}, A_{1,p}, A_{1,p}^{\pi^\pm}, A_{1,p}^{K^\pm}$ | $\Delta u, \Delta d, \Delta\bar{u}, \Delta\bar{d}, \Delta\bar{d}, \Delta s, \Delta\bar{s}$       |
| 2010 | $\sin\phi, \sin 2\phi, \sin 3\phi, \cos\phi$ asymms  | $h_L, f_L^\perp, h_1, f_{1T}^\perp, h_{1L}^\perp, h_{1T}^\perp, h_{1L}^\perp, g_L^\perp, g_{1T}$ |
| 2013 | $A_{LL}^{2h}$  | $\Delta g/g$   |
| 2013 | $A_D^{\gamma N}$   | $\Delta g/g$ in LO and NLO   |
| 2015 | $g_1^p(x)$   | $\Gamma_1^{NS}, \Delta\Sigma, \Delta u + \Delta\bar{u} \dots$                                    |
| 2015 | $A_{LL}^p$   | NLO QCD fits for $\Delta g/g$  |
| 2016 | Final COMPASS results on $g_1^d(x)$  | $\Gamma_1^d, \Delta\Sigma$   |
| 2017 | $A_{1,p}$ and $g_1^p$ at small $x$ and $Q^2$   |  |

# Results for the transversely polarized target

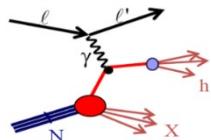
| Year | Obs  |   |
|------|--|---|
| 2005 | $A_{Siv,d}^h, A_{Col,d}^h$   | First ${}^6\text{LiD}$ data                               |
| 2006 | $A_{Siv,d}^h, A_{Col,d}^h$   | 2002-2004 ${}^6\text{LiD}$ statistics                     |
| 2009 | $A_{Siv,d}^{\pi^\pm, K^\pm, K_S^0}, A_{Col,d}^{\pi^\pm, K^\pm, K_S^0}$     | 2002-2004 ${}^6\text{LiD}$ statistics                     |
| 2010 | $A_{Siv,p}^h, A_{Col,p}^h$   | 2007 $\text{NH}_3$ data                                   |
| 2012 | $A_{UT,d}^{\sin\phi_{RS}}, A_{UT,p}^{\sin\phi_{RS}}$                       | 2002-2004 ${}^6\text{LiD}$                                |
| 2012 | $A_{Siv,p}^h, A_{Col,p}^h$   | Full $\text{NH}_3$ statistics                             |
| 2012 | $A_{UT,d}^{\sin(\phi_\rho - \phi_S)}, A_{UT,p}^{\sin(\phi_\rho - \phi_S)}$ | Exclusive $\rho^0$  |
| 2013 | $A_{UT,d}^{(\phi_\rho, \phi_S)}, A_{UT,p}^{(\phi_\rho, \phi_S)}$           | Exclusive $\rho^0$ , all asyms.                           |
| 2014 | $A_{UT,d}^{\sin\phi_{RS}}, A_{UT,p}^{\sin\phi_{RS}}$                       | Full ${}^6\text{LiD}$ and $\text{NH}_3$                   |
| 2014 | $A_{Siv,d}^{\pi^\pm, K^\pm, K_S^0}, A_{Col,d}^{\pi^\pm, K^\pm, K_S^0}$     | Full $\text{NH}_3$ statistics                             |
| 2015 | Interplay $A_{UT,p}^{\sin\phi_{RS}}$ vs $A_{Col,p}^h$                      | Full $\text{NH}_3$ statistics                             |
| 2016 | $A_{Siv,h}^h$ in SIDIS at the hard scale of the Drell-Yan                  | Full $\text{NH}_3$ statistics                             |
| 2018 | $P_{hT}$ -weighted Sivers asymmetries                                      | Full $\text{NH}_3$ statistics                             |
| 2019 | transversity-induced polarisation of $\Lambda$ and $\bar{\Lambda}$         | Full $\text{NH}_3$ statistics                             |
| 2022 | Collins and Sivers for $\varrho^0$   | Full $\text{NH}_3$ statistics                             |
| 2022 | TSAs for $\pi$ and $K$   | Full $\text{NH}_3$ statistics, 2002-2004 ${}^6\text{LiD}$ |
| 2023 | $A_{Siv,d}^h, A_{Col,d}^h$   | 70% of 2022 ${}^6\text{LiD}$ data                         |

# Measurements with unpolarised targets:

| Year | Obs  |                                     |
|------|--|-------------------------------------|
| 2013 | $dn^h/(dN^\mu dz dp_T^2)$  | multiplicities on d, 2004           |
| 2014 | $A_{UU,d}^{\cos \phi_h}, A_{UU,d}^{\cos 2\phi_h}, A_{LU,d}^{\sin \phi_h}$  | 2004, part                          |
| 2016 | $dn^\pi/(dN^\mu dz)$   | multiplicities on d, 2006           |
| 2016 | $dn^h/(dN^\mu dz dp_T^2)$  | multiplicities on d, 2006           |
| 2016 | $dn^K/(dN^\mu dz)$   | multiplicities on d, 2006           |
| 2017 | $dn^h/(dx dQ^2 dz dp_T^2)$   | multiplicities on d, 2006           |
| 2018 | $(dn^{K^-}/dn^{K^+})//(dN^\mu dz)$   | Multiplicity ratios for Kaons, 2006 |
| 2019 | Contribution of exclusive diffractive processes to $A_{UU,d}^{\cos \phi_h}, A_{UU,d}^{\cos 2\phi_h}, A_{LU,d}^{\sin \phi_h}$ | $\rho^0, \phi, \omega$              |
| 2020 | $(dn^{p\bar{p}}/dn^p)$ and $(dn^{K^-}/dn^{K^+})//(dN^\mu dz)$  | Hight $z$                           |

# Accessing TMD PDFs and FFs

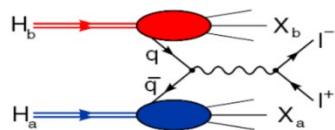
- TMD factorization works in the domain where there are two observed momenta in the process, such as SIDIS, DY,  $e^+e^-$ .  $Q \gg q_T$ :  $Q$  is large to ensure the use of pQCD,  $q_T$  is much smaller such that it is sensitive to parton's transverse momentum
- SIDIS off (un)polarized p, d, n targets



HERMES  
COMPASS  
JLab12  
*future: EIC*

$$\sigma^{\ell p \rightarrow \ell' h X} \sim q(x) \otimes \hat{\sigma}^{\gamma q \rightarrow q} \otimes D_q^h(z)$$

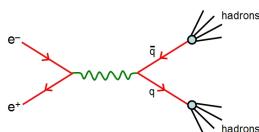
- (un)polarised Drell-Yan



COMPASS  
RHIC  
FNAL  
*future: FAIR, JPark, NICA*

$$\sigma^{hp \rightarrow \mu\mu} \sim \bar{q}_h(x_1) \otimes q_p(x_2) \otimes \hat{\sigma}^{\bar{q}q \rightarrow \mu\mu}(\hat{s})$$

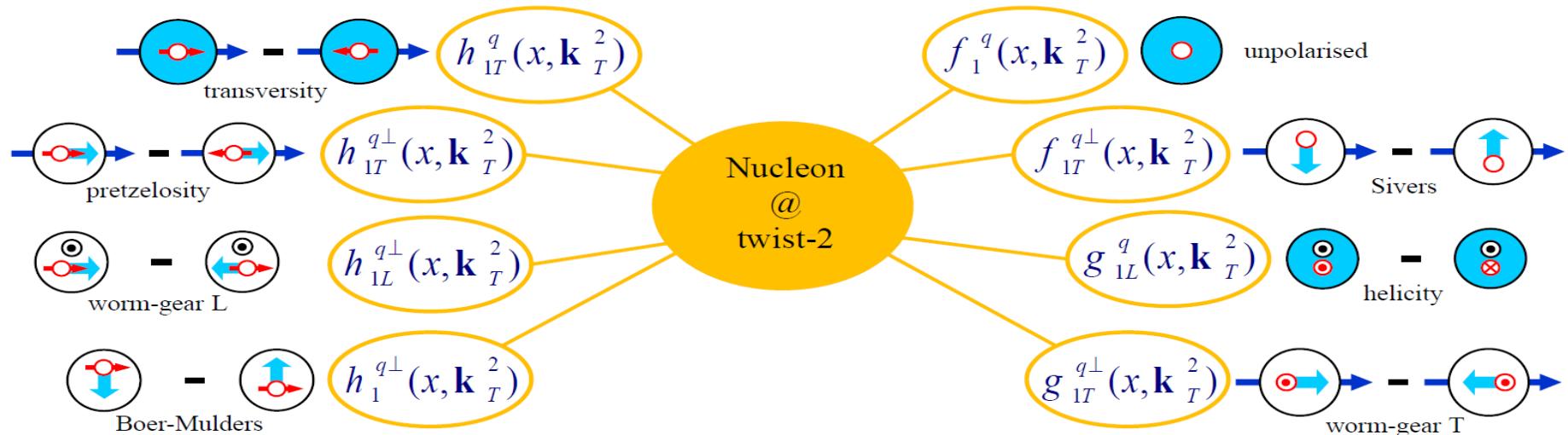
- $e^+e^- \rightarrow h_1 h_2$



BaBar  
Belle  
Bes III

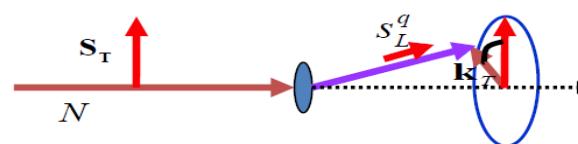
$$\sigma^{e^+e^- \rightarrow h_1 h_2} \sim \hat{\sigma}^{\ell\ell \rightarrow \bar{q}q}(\hat{s}) \otimes D_q^{h_1}(z_1) \otimes D_q^{h_2}(z_2)$$

# TMD Distribution Functions



Legend:  
 nucleon with transverse or longitudinal spin  
 parton with transverse or longitudinal spin  
 parton transverse momentum

Proton goes out of the screen. Photon goes into the screen



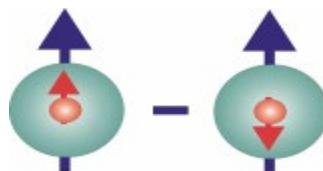
$\mathbf{k}_T$  – intrinsic transverse momentum of the quark



# Single spin asymmetries

# Transversity PDF

$$h_1^q(x) = q^{\uparrow\uparrow}(x) - q^{\uparrow\downarrow}(x)$$



$$q = u_v, d_v, q_{\text{sea}}$$

**quark** with **spin** parallel to the nucleon spin in a transversely polarised nucleon

- probes the relativistic nature of quark dynamics
- no contribution from the gluons  $\rightarrow$  simple  $Q^2$  evolution
- Positivity: Soffer bound.....  $2|h_1^q| \leq f_1^q + g_1^q$       *Soffer, PRL 74 (1995)*
- first moments: tensor charge.....  $\delta q(Q^2) = \int_0^1 dx [h_1^q(x) - h_1^{\bar{q}}(x)]$
- is chiral-odd: decouples from inclusive DIS      *Bakker, Leader, Trueman, PRD 70 (04)*

# Transversity

is chiral-odd:

observable effects are given only by the product of  $h_1^q(x)$  and an other chiral-odd function

can be measured in SIDIS on a transversely polarised target via “quark polarimetry”

$$\ell \mathbf{N}^\uparrow \rightarrow \ell' h X$$

“Collins” asymmetry  
“Collins” Fragmentation Function

$$\ell \mathbf{N}^\uparrow \rightarrow \ell' h h X$$

“two-hadron” asymmetry  
“Interference” Fragmentation Function

$$\ell \mathbf{N}^\uparrow \rightarrow \ell' \Lambda X$$

$\Lambda$  polarisation  
Fragmentation Function of  $q \uparrow \rightarrow \Lambda$

# From Collins asymmetries to transversity



- Following Physical Review D 91, 014034 (2015), in the valence region

$$xh_1^u = \frac{1}{5} \frac{1}{\tilde{a}_P^h(1-\tilde{\alpha})} \left[ (xf_p^+ A_p^+ - xf_p^- A_p^-) + \frac{1}{3} (xf_d^+ A_d^+ - xf_d^- A_d^-) \right]$$

$$xh_1^d = \frac{1}{5} \frac{1}{\tilde{a}_P^h(1-\tilde{\alpha})} \left[ \frac{4}{3} (xf_d^+ A_d^+ - xf_d^- A_d^-) - (xf_p^+ A_p^+ - xf_p^- A_p^-) \right]$$

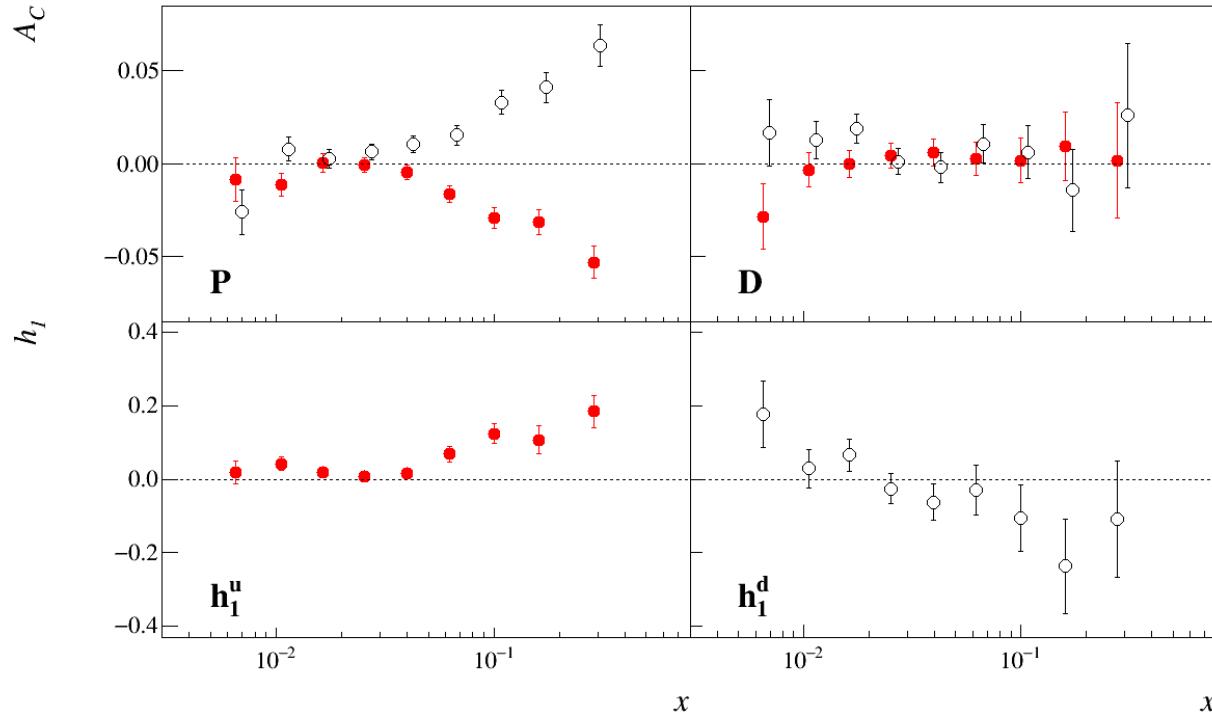
With  $\tilde{a}_P^h$  and  $\tilde{\alpha}$  constants

$$A_p^\pm = \frac{\sum_q e_q^2 h_1^q(\mathbf{k}_\perp) \otimes H_1^{\perp q \rightarrow h}(\mathbf{p}_\perp)}{\sum_q e_q^2 f_1^q \otimes D_1^{q \rightarrow h}}$$

$$A_{UT}^{\sin(\phi_R + \phi_S - \pi)} = \frac{\sum_q e_q^2 h_1^q(x) H_{q \rightarrow h_1 h_2}^\times(z, \mathcal{M}_{h_1 h_2}^2)}{\sum_q e_q^2 q(x) D_q^{h_1 h_2}(z, \mathcal{M}_{h_1 h_2}^2)}$$

# 2022 Deuteron run

- Benchmark:  $h_1$  extraction from Collins asymmetries

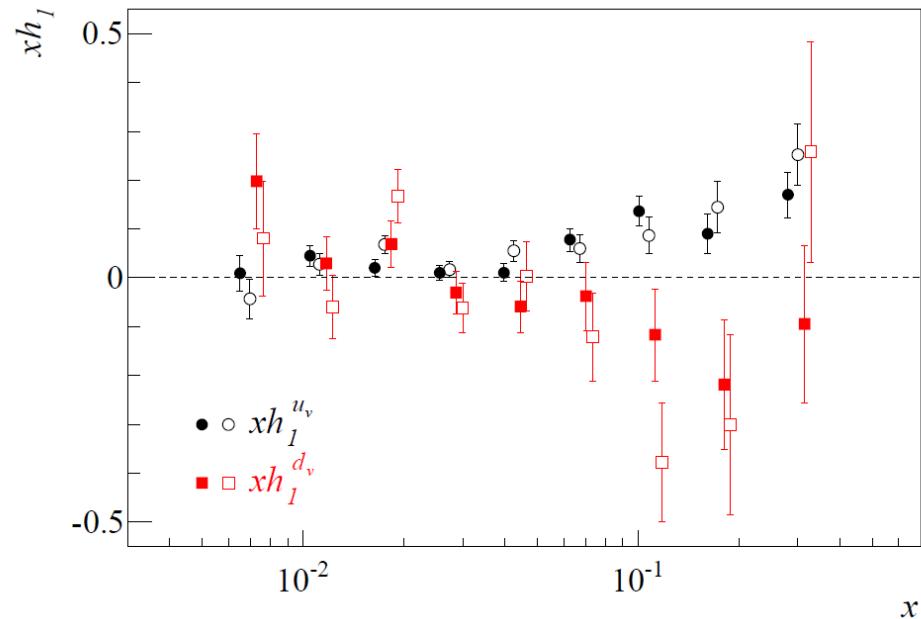


Transversity extracted as in  
PRD 91(2015) 014034

# Transversity from our data

- Point-to-point extraction [Physical Review D 91, 014034 (2015)]
- Only COMPASS measured TSA on deuteron

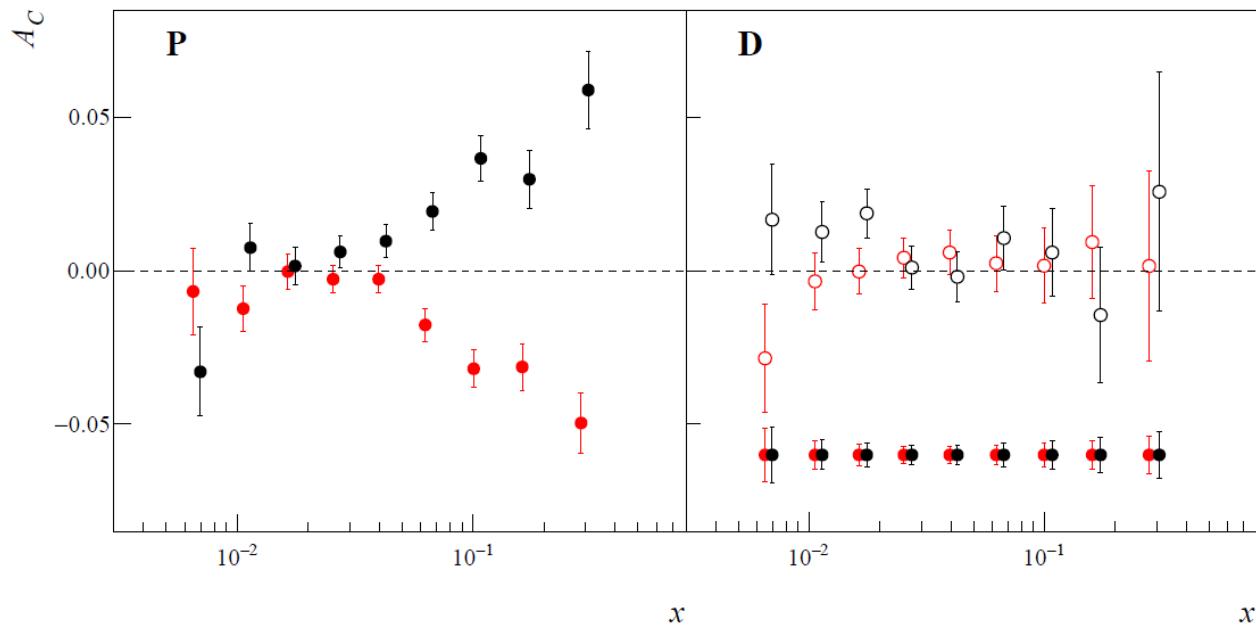
Open points/squares – from dihadron  
 Closed points/squares – from Collins



ERRORS ON  $h_1^d$  ARE A FACTOR 4 LARGER THAT THE ONES ON  $h_1^u$

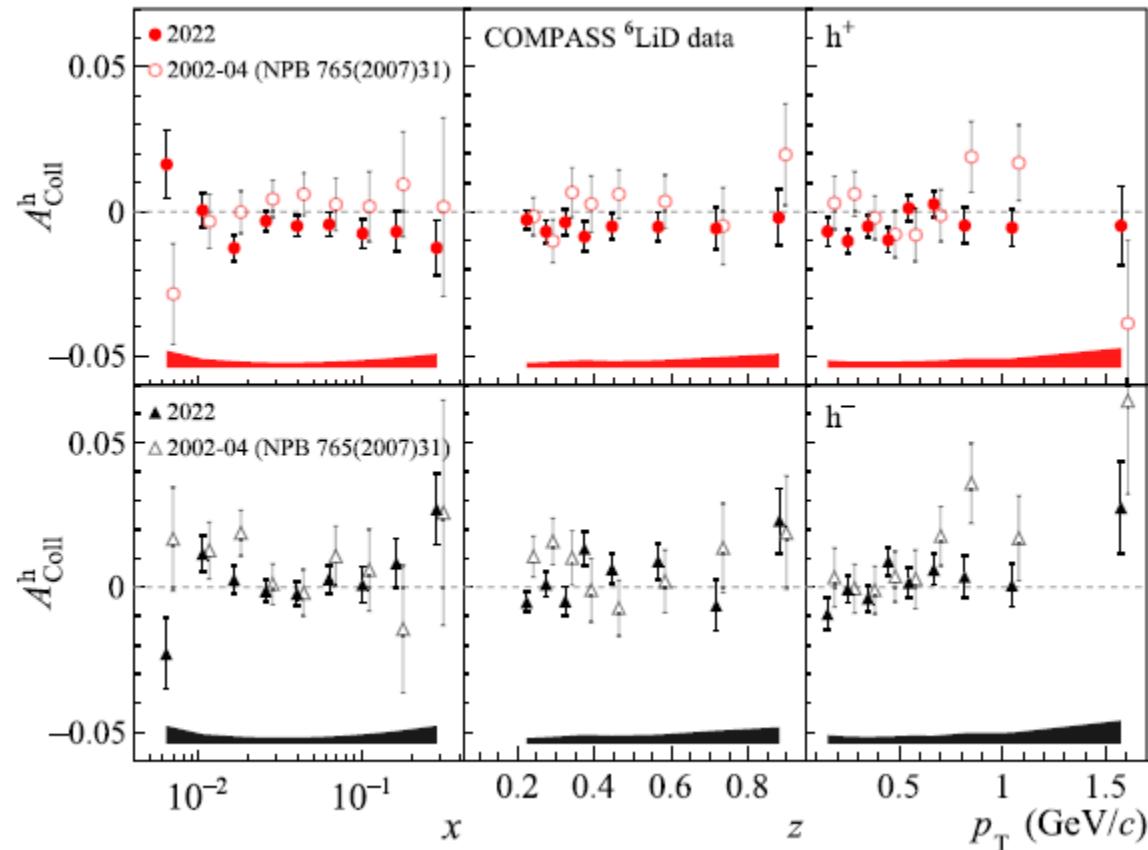
# 2022 Deuteron Run

- COMPASS proposed to CERN to run a full year with the transversely polarized deuteron target and this proposal has been approved



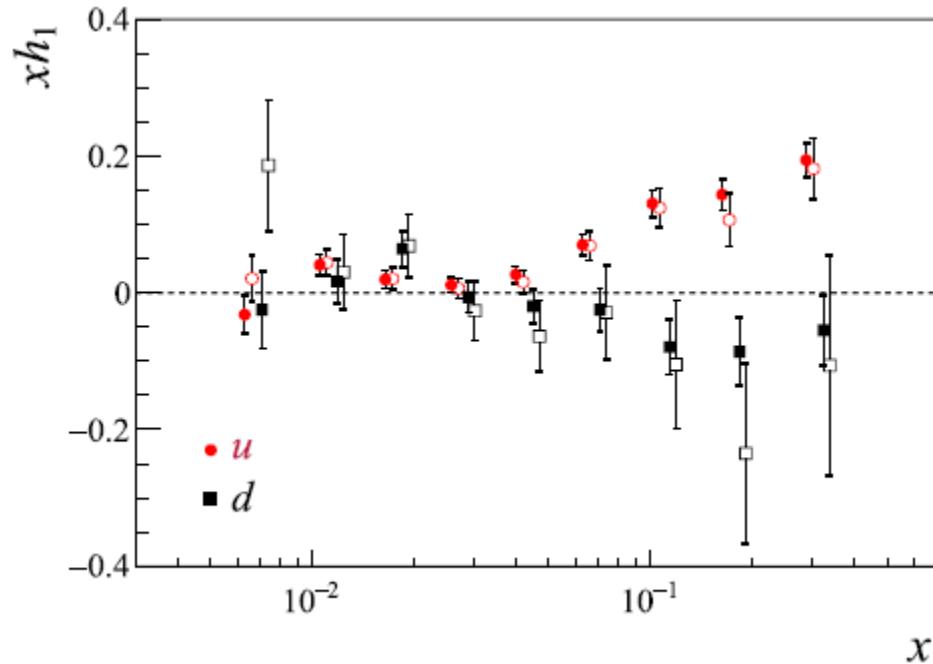
# First results from 2022 deuteron run

PRL 133, 101903 (2024)



# First results from 2022 deuteron run

PRL 133, 101903 (2024)



# Sivers Asymmetry

Sivers: correlates nucleon spin & quark transverse momentum  $k_T$ /T-ODD

at LO:

$$A_{Siv} = \frac{\sum_q e_q^2 f_{1Tq}^\perp \otimes D_q^h}{\sum_q e_q^2 q \otimes D_q^h}$$



| The Sivers PDF |   |
|----------------|---|
| 1992           | Sivers proposes $f_{1T}^\perp$  |
| 1993           | J. Collins proofs $f_{1T}^\perp = 0$ for T invariance                                     |
| 2002           | S. Brodsky, Hwang and Schmidt demonstrate that $f_{1Tq}^\perp$ may be $\neq 0$ due to FSI |
| 2002           | J. Collins shows that $(f_{1T}^\perp)_{DY} = -(f_{1T}^\perp)_{SIDIS}$                     |
| 2004           | HERMES on p: $A_{Siv}^{\pi^+} \neq 0$ and $A_{Siv}^{\pi^-} = 0$                           |
| 2004           | COMPASS on d: $A_{Siv}^{\pi^+} = 0$ and $A_{Siv}^{\pi^-} = 0$                             |
| 2008           | COMPASS on p: $A_{Siv}^{\pi^+} \neq 0$ and $A_{Siv}^{\pi^-} = 0$                          |

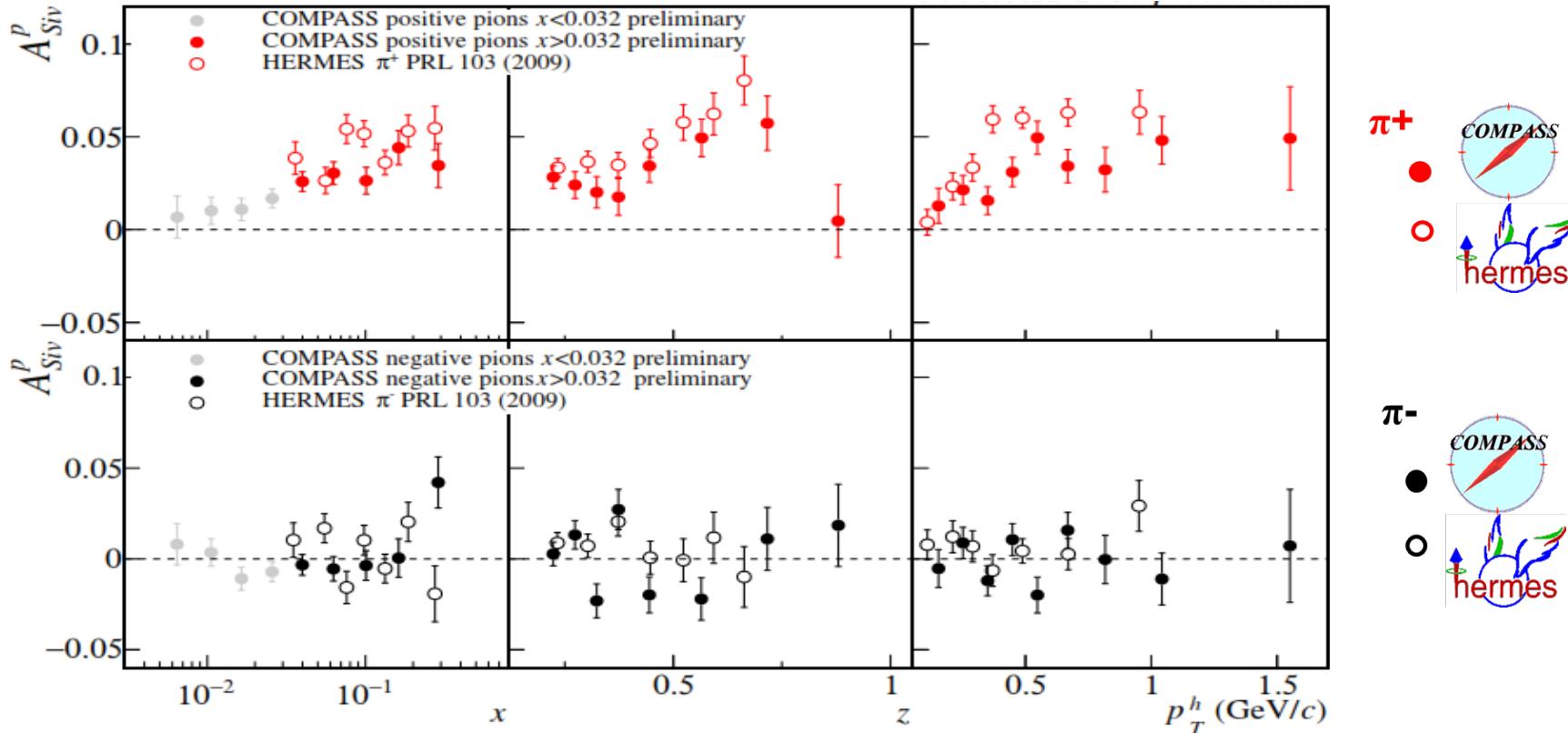
# Sivers Asymmetry

$$A_{Siv}(x, z) = \frac{F_{UT}^{\sin\Phi_{Siv}}(x, z)}{F_{UU}(x, z)} = \frac{\sum_q e_q^2 x f_{1T}^{\perp q}(x, k_\perp^2) \otimes D_{1q}^h(z, p_\perp^2)}{\sum_q e_q^2 x f_1^q(x, k_\perp^2) \otimes D_{1q}^h(z, p_\perp^2)}$$

- To evaluate it we need to solve the convolutions (i.e. make hypothesis on the transverse momenta dependences of the TMDs)
- Gaussian ansatz:  $f_{1T}^{\perp q}(x) \frac{e^{-k_\perp^2/\langle k_\perp^2 \rangle_S}}{\pi \langle k_\perp^2 \rangle_S}$        $D_{1q}^h(z) \frac{e^{-p_\perp^2/\langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle}$
- Leading to:  $A_{Siv,G}(x, z) = \frac{\sqrt{\pi} M}{\sqrt{z^2 \langle k_T^2 \rangle_S + \langle p_T^2 \rangle}} \frac{\sum_q e_q^2 x f_{1T}^{\perp(1)q}(x) z D_{1q}^h(z)}{\sum_q e_q^2 x f_1^q(x) D_{1q}^h(z)}$  with  $f_{1T}^{\perp(1)q}(x) = \int d^2 \vec{k}_T \frac{k_T^2}{2M^2} f_{1T}^{\perp q}(x, k_T^2)$

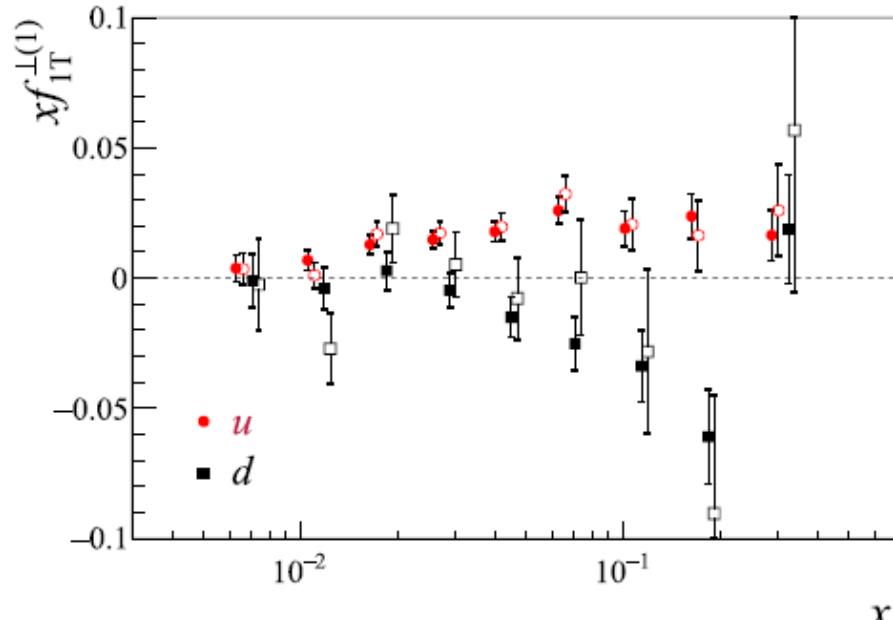
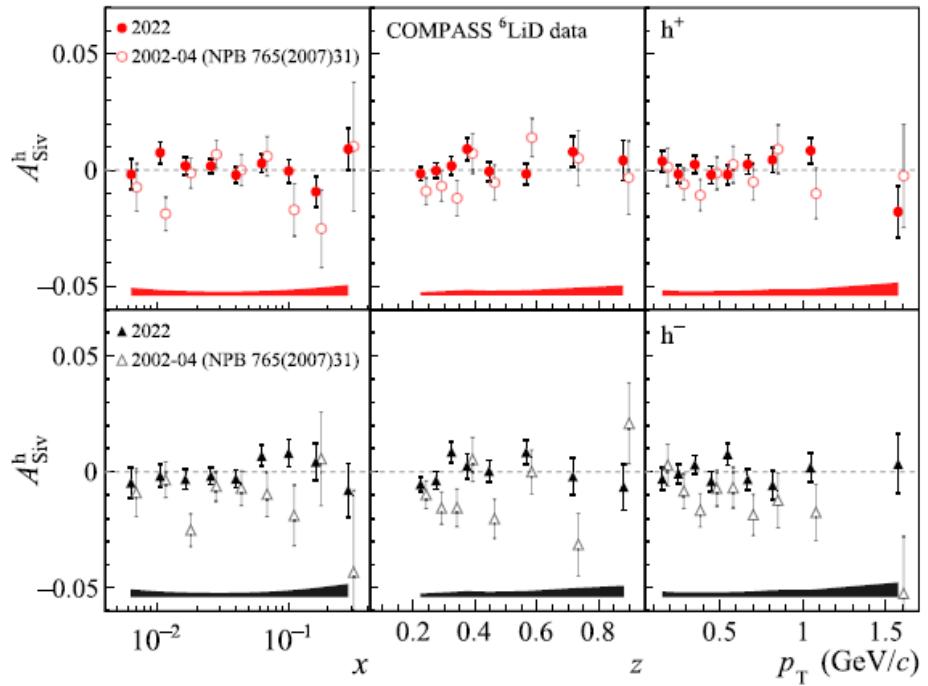
# Sivers asymmetry on p

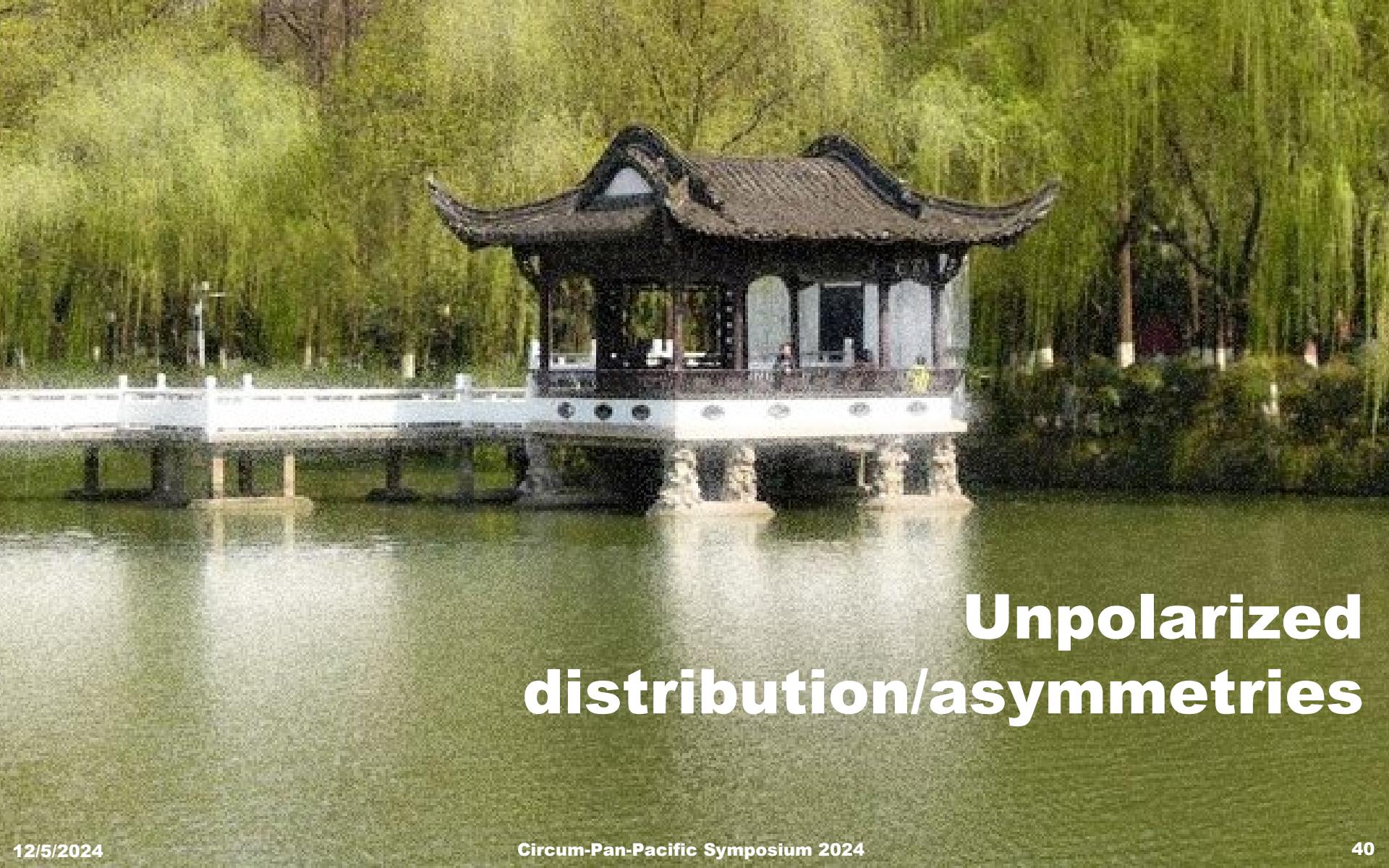
charged pions (and kaons), HERMES and COMPASS



# First results from 2022 deuteron run

PRL 133, 101903 (2024)





**Unpolarized  
distribution/asymmetries**

# Semi Inclusive unpolarised DIS Cross Section



The account of the transverse motion of the quark result in the following general form of the unpolarised semi-inclusive deep inelastic cross-section

$$\frac{d^5\sigma}{dxdydzdP_{hT}^2d\phi_h} = \frac{2\pi\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{2xM^2}{Q^2}\right) \left[(1-y) + \frac{y^2}{2}\right] \\ \left\{ F_{UU,T}^h + \varepsilon F_{UU,L}^h + \sqrt{2\varepsilon(1+\varepsilon)} F_{UU}^{\cos \phi_h} \cos \phi_h + \varepsilon F_{UU}^{\cos 2\phi_h} \cos 2\phi_h + \dots \right\}$$

We can then introduce amplitude of the azimuthal asymmetries as

$$A_{UU}^{\cos X\phi_h}(x, z, P_{hT}^2; Q^2) = \frac{F_{UU}^{\cos X\phi_h}(x, z, P_{hT}^2; Q^2)}{F_{UU}^h(x, z, P_{hT}^2; Q^2)}$$

An the angular independent ratio

$$M_{UU}^h(x, z, P_{hT}^2; Q^2) = \frac{F_{UU}^h(x, z, P_{hT}^2; Q^2)}{F_2(x, Q^2)}$$

Experimentally these are more difficult measurements than spin asymmetries, since we have to correct for the apparatus acceptance

# Unpolarised Azimuthal Modulation

When looking at the content of the structure functions/modulations in terms of TMD PDFs for the  $\cos \phi_h$  and  $\cos 2\phi_h$  we can write:

$$F_{UU}^{\cos \phi_h} = -\frac{2M}{Q} C \left[ \frac{\hat{h} \cdot \vec{k}_\perp}{M} \textcolor{red}{f_1 D_1} - \frac{p_\perp k_\perp}{M} \frac{\vec{P}_{hT} - z(\hat{h} \cdot \vec{k}_\perp)}{z M_h M} \textcolor{red}{h_1^\perp H_1^\perp} \right] + \text{twists} > 3$$

$$F_{UU}^{\cos 2\phi_h} = C \left[ \frac{(\hat{h} \cdot \vec{k}_\perp)(\hat{h} \cdot \vec{p}_\perp) - \vec{p}_\perp \cdot \vec{k}_\perp}{MM_h} \textcolor{red}{h_1^\perp H_1^\perp} \right] + \text{twists} > 3$$

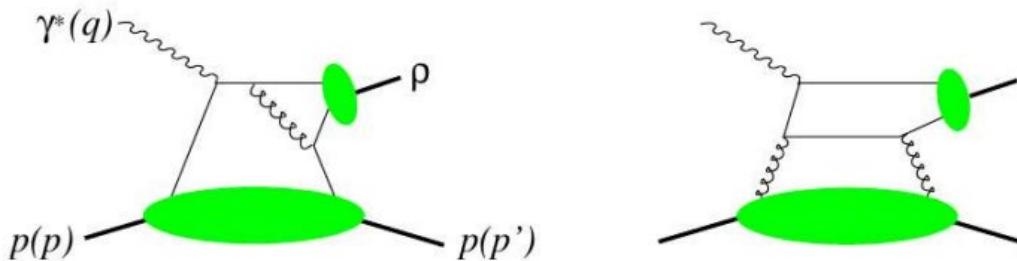
In the  $\cos 2\phi_h$  Cahn effects enters only at twist 4

$$F_{\text{Cahn}}^{\cos 2\phi_h} \approx \frac{2}{Q^2} C \left[ \left\{ 2(\hat{h} \cdot \vec{k}_\perp)^2 - k_\perp^2 \right\} \textcolor{red}{f_1 D_1} \right]$$

# Experimentally

1. In the case of unpolarized SIDIS the measured rates need to be corrected for the effect of the apparatus (acceptance corrections, including geometrical acceptance, detector efficiencies ...)
  2. Events from processes different from SIDIS may be present in the final sample, and we know that charged hadron SIDIS sample at large  $z$  and at small  $P_{hT}$  contains a non-negligible contribution of hadrons from the decay of vector mesons (VM) produced in exclusive processes
  3. Radiative effects change both the LO cross section and the reconstructed event kinematics
- 
- With the COMPASS data sample increasing over the years we were able to address with improved precision these effects

# Background from exclusive VMs



- Contributions from  $\rho^0$ ,  $\omega$  and  $\phi$
- Exclusive  $\rho^0$  lepto-production can be viewed as a virtual photon fluctuation into a  $q\bar{q}$ -pair followed by the scattering of this pair off the nucleon and formation of the final state.
- These are spin-1 objects, i.e.  $J = 1$ . Decay particles have spin 0, so  $L = 1$  for the decay. In words when the VM decays, its spin-state will be reflected in the orbital momentum of the decay particles.
- Due to the nature of the process we can reject some/most, not all, of these hadrons from our sample

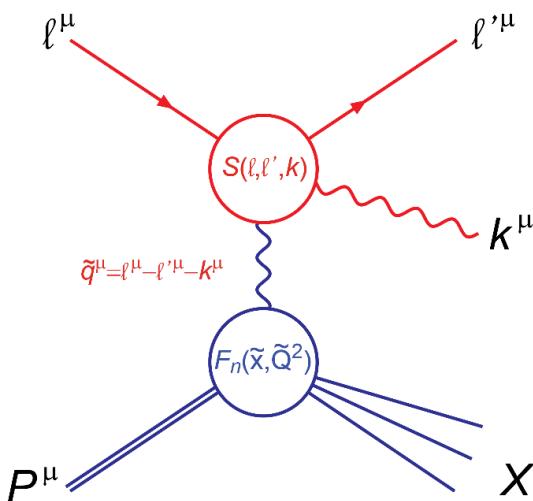
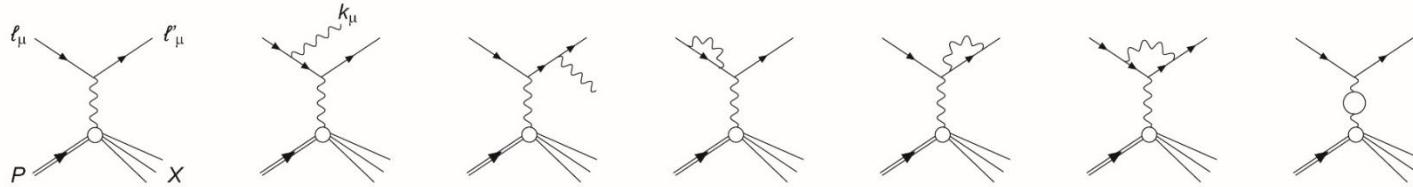
- Exclusive VMs can be removed from the sample when both final hadrons detected (**VISIBLE PART**). **EVM cut**:

$$z_t = z_{h^+} + z_{h^-} < 0.95$$

- If one hadron is miss, this is no longer true (**INVISIBLE PART**).
- Strategy:
  - have a MC for exclusive VMs with Spin Density Matrix Elements.
  - Compare MC with our exclusive data normalize MCs
  - Use this normalization to subtract the invisible fraction from our data. **EVM subtraction**

# LEPTONIC RADIATION

## Feynman diagrams for leptonic radiation

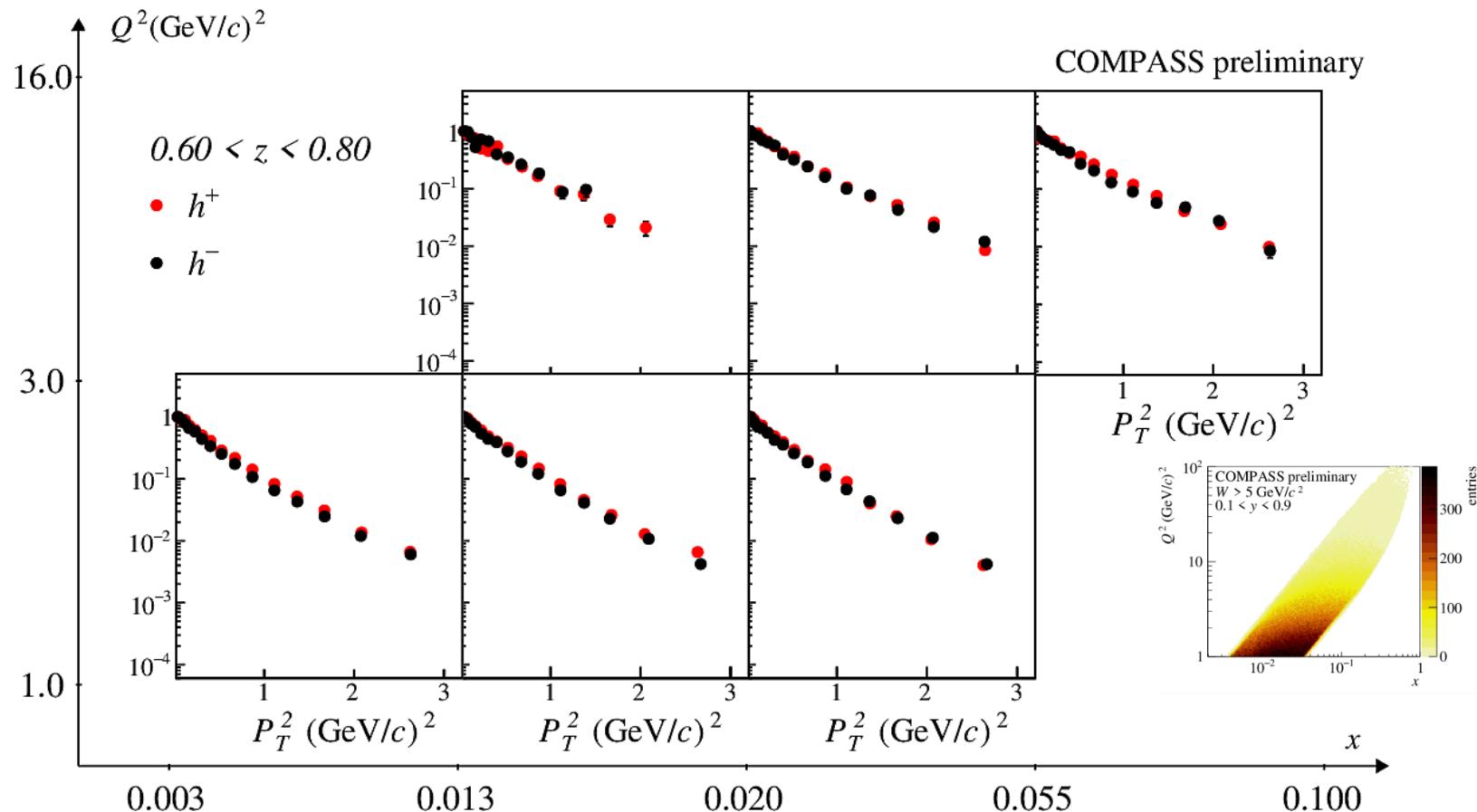


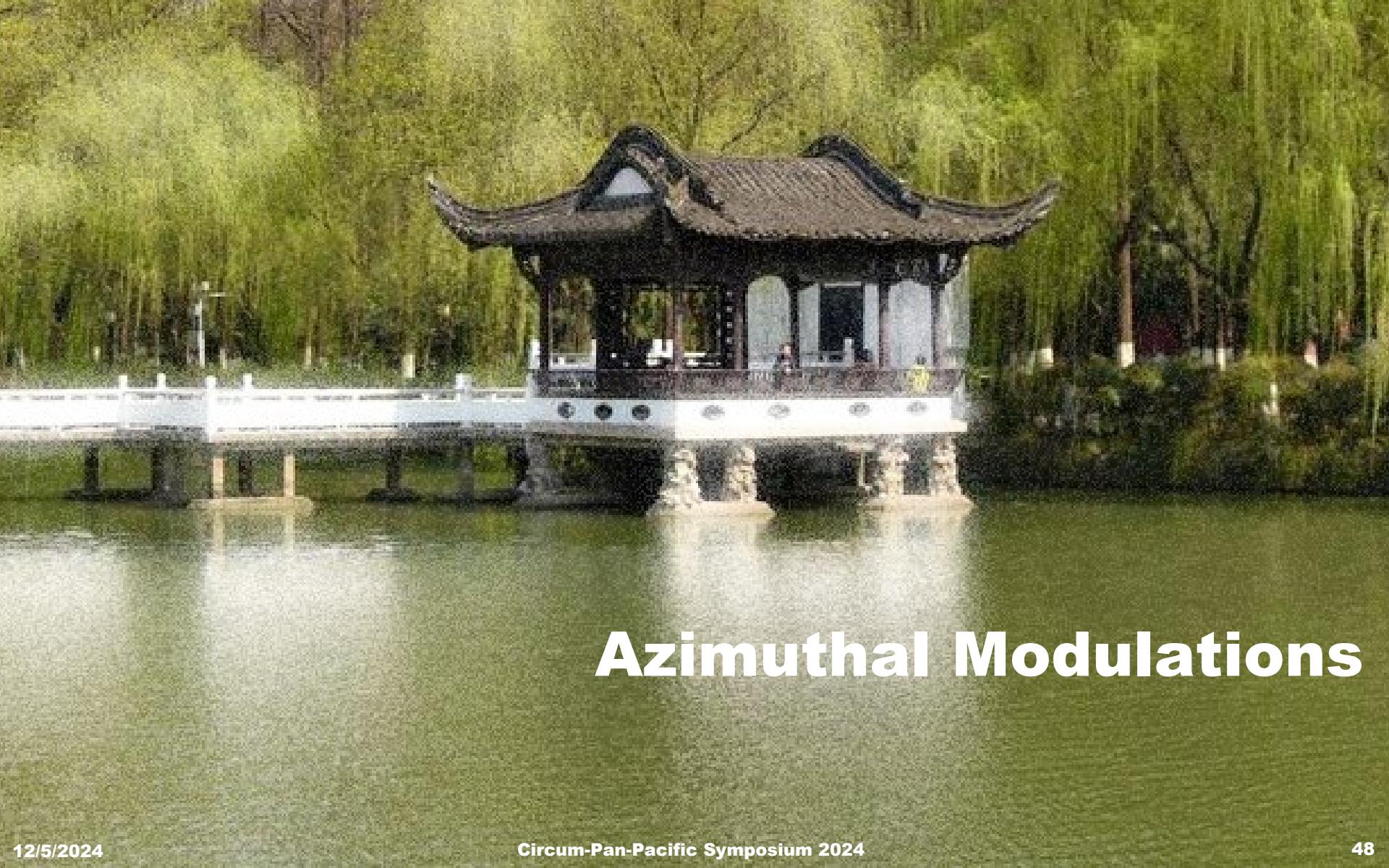
- The radiative leptonic tensor  $S(\ell, \ell', k)$ , include Born + loops at  $\sigma(\alpha_{em}^2)$ :
  - Gauge invariant
  - Infrared finite
  - Universal (for  $1\gamma$  exchange)
  - The kinematic is shifted  $\tilde{q}^\mu = q^\mu - k^\mu$



# $P_{hT}$ -dependent multiplicities

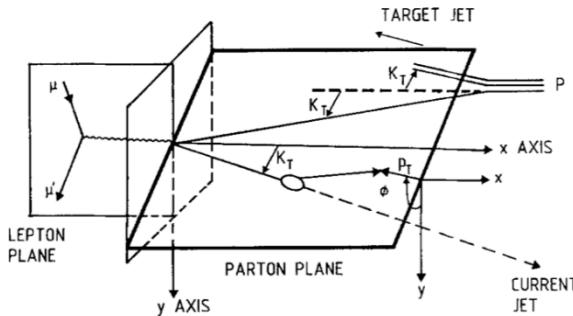
# Positive vs Negative charged hadrons (LH<sub>2</sub>)



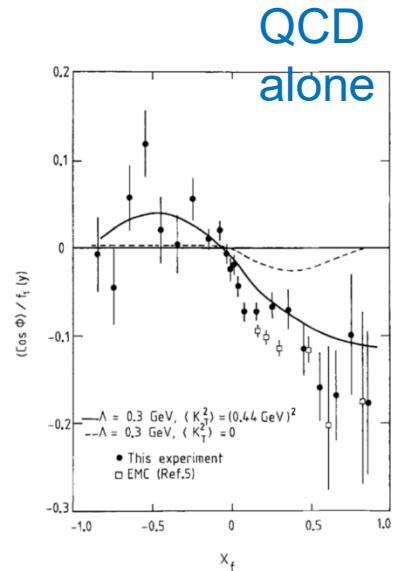


# Azimuthal Modulations

# An old story



- Cross section for SIDIS process expected to be
 
$$d\sigma \sim \sigma_0 [1 + A \cos \phi_h + B \cos 2\phi_h]$$
- Georgi and Politzer [1978]: azimuthal modulations of hadrons around the jet axis due to gluon radiation. Effect regarded as a clean QCD test  
 $[Phys.Rev.Lett. 40 (1978) 3]$ .
- R.N. Cahn [1978]: same modulations can arise due to the quark intrinsic motion ( $k_{\perp}$ )  
 $[Phys.Lett.B 78 (1978) 269]$



QCD alone  
+ quark transverse motion

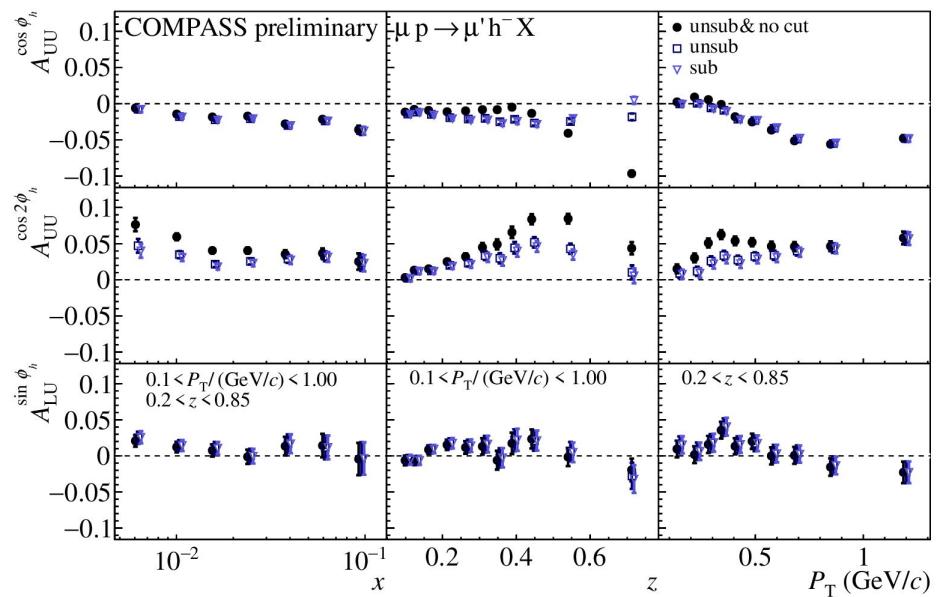
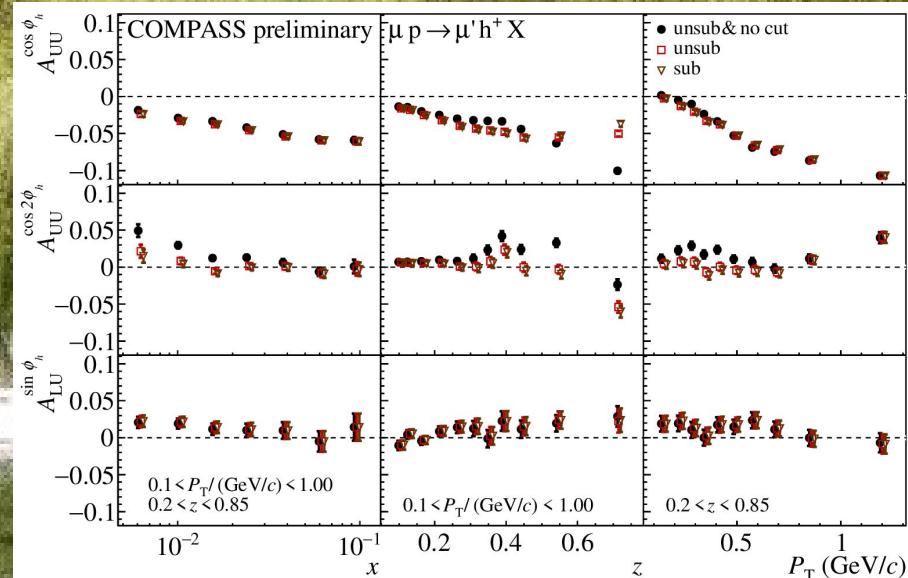
EMC experiment [1987]  
Fit: Konig-Kroll model [1982]  
+ Lund String

These effects can be estimated by adopting a model for the transverse momentum distribution of partons in a hadron and for the transverse momentum given to hadrons in the quark decay. Suppose that both these distributions are gaussian:

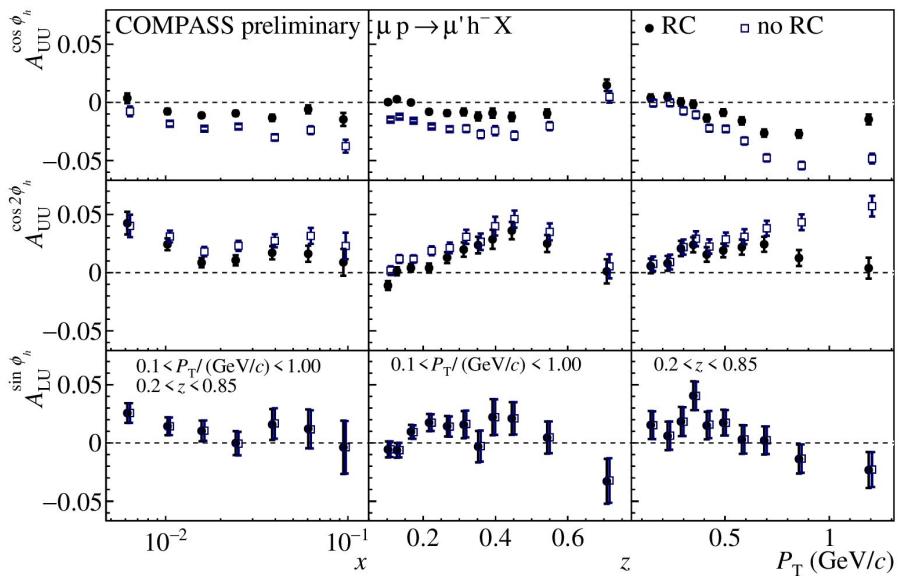
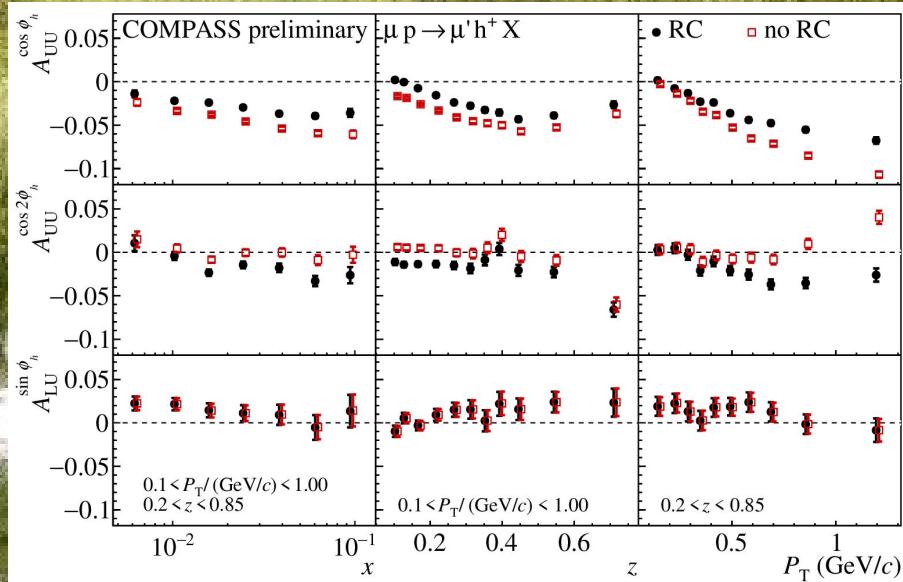
$$f(x, p_{\perp}) \propto e^{-ap_{\perp}^2}, \quad D(z, p_{\perp}) \propto e^{-bp_{\perp}^2}, \quad (16a, b)$$

where  $f$  represents the quark distribution and  $D$  the fragmentation function. Let the z-direction be defined as in fig. 1. Then the longitudinal momentum of the struck parton is  $xP$  and that of the observed hadron is  $zxP$ . If the transverse momentum of the struck parton is  $p_{1\perp}$  and that of the observed hadron is  $p_{\perp}$ , then the momentum of the observed hadron transverse to the parton direction is (for  $zxP \gg |p_{1\perp}|, |p_{\perp}|$ ) just  $p_{\perp} - zp_{1\perp}$ .

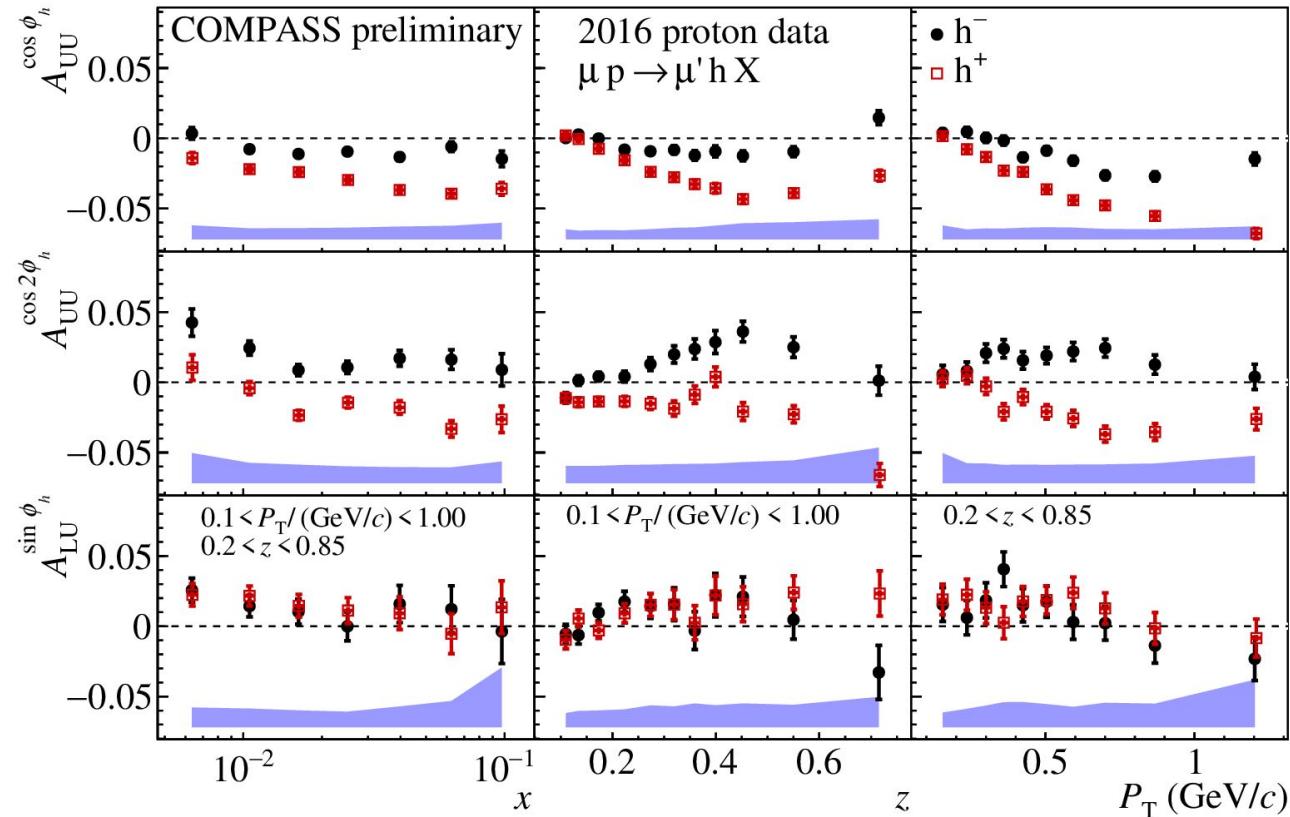
# Effect of Exclusive VM subtraction



# Radiative effects



# Corrected results



MultiD on LH2, corrected for both VM and RC is coming

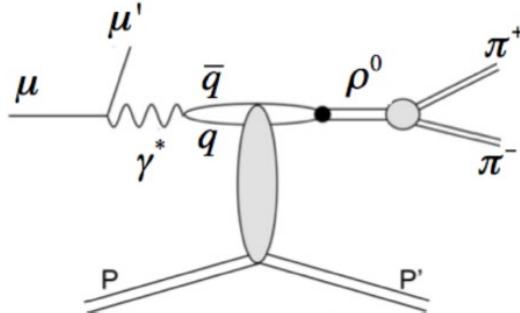
- Deuteron data by COMPASS 2022 run will remain a unique data set for the next decade and beyond, before EIC operation with D beams.
- It allows a precise and valuable extraction of “d” quarks TMD PDFs
- In the study of unpolarized multiplicities and azimuthal asymmetries we are able already today to obtain precise multidimensional results
- This should allow the start for the transition from “exploratory/consolidation” to the “maturity” era that will arrive with the EIC
- But also offers us the glimpse on the challenges that this “precision” will bring for both the experimentalist and the theoreticians



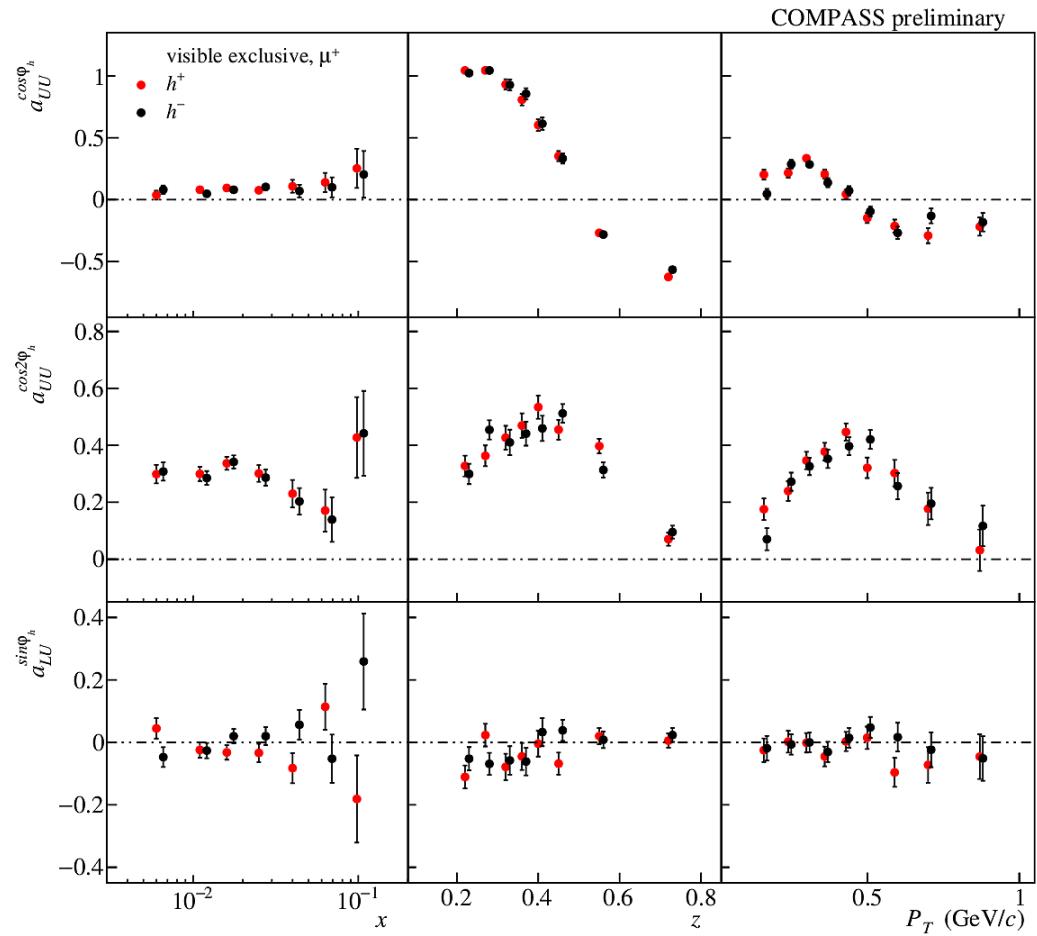
Thank you

# Contamination on ( $\text{LH}_2$ ) – 1D

- Determined from  $z_1 + z_2 > 0.95$
- Selecting  $\rho^0, \omega$  and  $\phi$

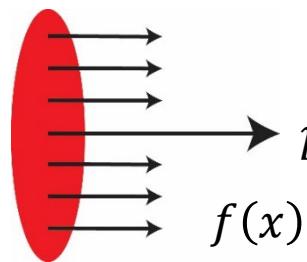


The diffractive  $\rho^0$  production and decay.



# Unpolarised Transverse Momentum dependent PDFs

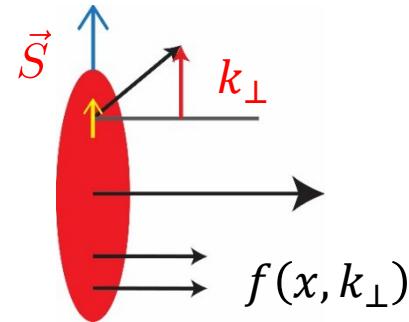
- When we consider the transverse momentum of the quark in the calculation of the cross section  
Transverse Momentum Dependent parton distribution (TMDs)



Longitudinal motion only

- The unpolarised number density of the quarks gains a dependence from the intrinsic transverse momentum  $k_\perp$

$$f_1^q(x, k_\perp)$$



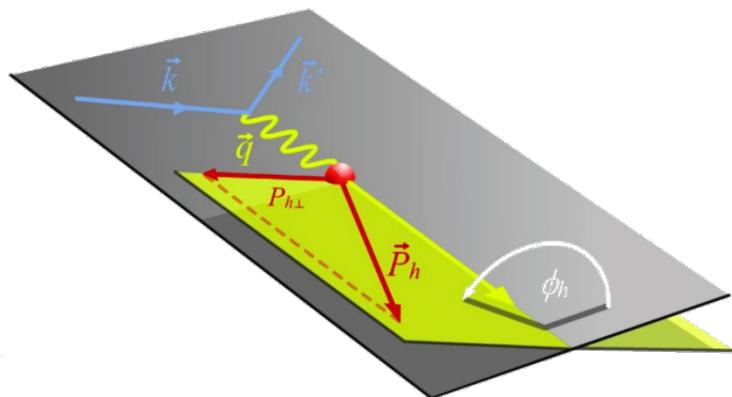
Longitudinal + transverse motion

- New parton densities arise: the **Boer-Mulders** functions  $h_1^{\perp,q}(x, k_\perp)$ , describing the correlation between the intrinsic quark transverse momentum and the spin of the quark in an unpolarised nucleon

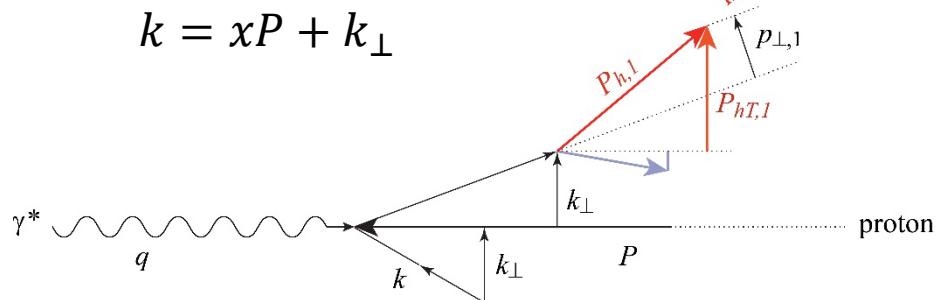
$$f_{q\uparrow}(x, k_\perp, \vec{s}) = f_1^q(x, k_\perp) - \frac{1}{M} h_1^{\perp,q}(x, k_\perp) \vec{s} \cdot (\hat{p} \times \vec{k}_\perp)$$

# Unpolarised Azimuthal Modulation

The cross-section is  $d\sigma^{\ell p \rightarrow \ell' h X} = \sum_q f_q(x, Q^2) \otimes d\sigma^{\ell q \rightarrow \ell' q} \otimes D_q^h(z, Q^2)$  with the partonic process is given by  $d\sigma^{\ell q \rightarrow \ell' q} = \hat{s}^2 + \hat{u}^2$



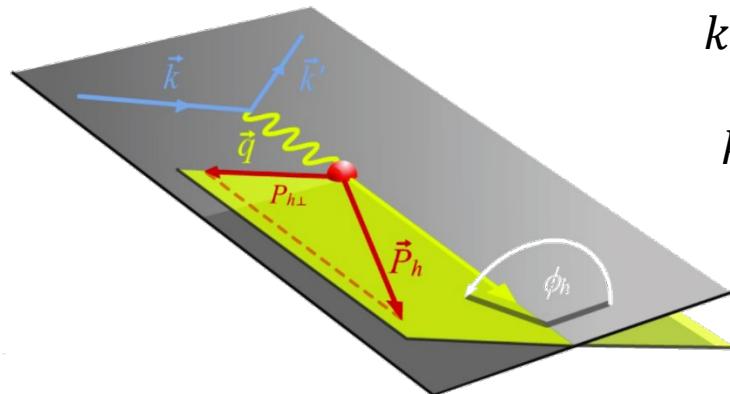
$$\begin{aligned}\hat{s} &:= (\ell + k)^2 \sim 2\ell \cdot k \\ \hat{u} &:= (\ell - k)^2 \sim -2\ell \cdot k\end{aligned}$$



In collinear PM  $d\sigma^{\ell q \rightarrow \ell' q} = \hat{s}^2 + \hat{u}^2 = x[1 + (1 - y)^2]$ , i.e. no  $\phi_h$  dependence.

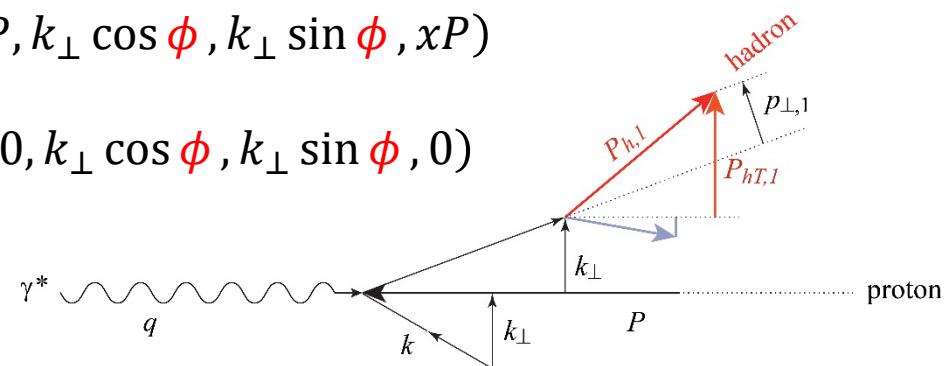
# Unpolarised Azimuthal Modulation

When  $k_{\perp}$  is taken into account:



$$\vec{k} \cong (xP, k_{\perp} \cos \phi, k_{\perp} \sin \phi, xP)$$

$$\vec{k}_{\perp} \cong (0, k_{\perp} \cos \phi, k_{\perp} \sin \phi, 0)$$



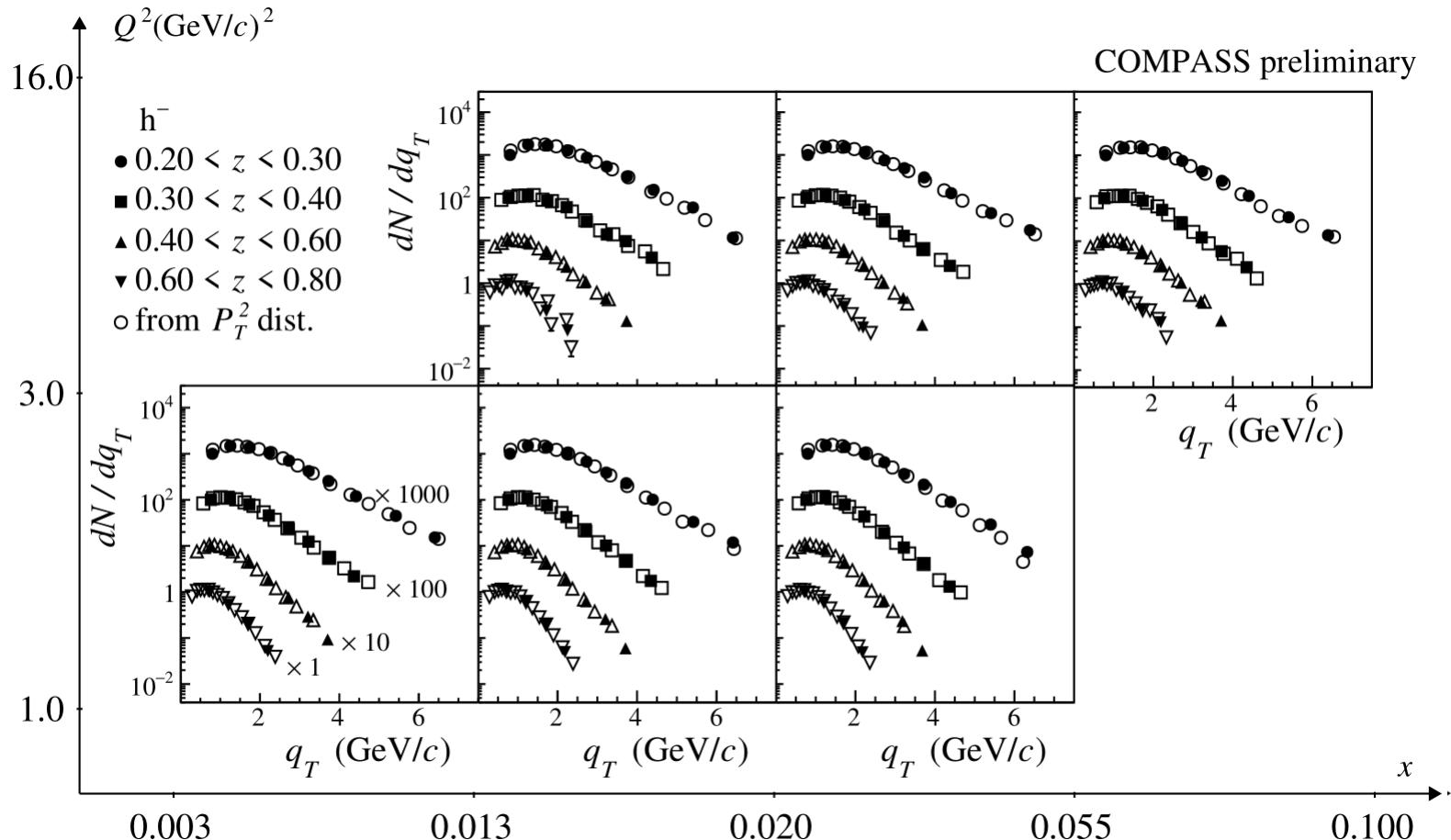
$$\hat{s} = sx \left[ 1 - \frac{2k_{\perp}}{Q} \sqrt{1-y} \cos \phi \right] + \sigma \left( \frac{k_{\perp}^2}{Q} \right) \quad \hat{u} = sx(1-y) \left[ 1 - \frac{2k_{\perp}}{Q\sqrt{1-y}} \cos \phi \right] + \sigma \left( \frac{k_{\perp}^2}{Q} \right)$$

and

$$d\sigma^{\ell q \rightarrow \ell' q} \propto \hat{s}^2 + \hat{u}^2 \propto \left[ 1 - \frac{2k_{\perp}}{Q} \sqrt{1-y} \cos \phi \right]^2 + (1-y)^2 \left[ 1 - \frac{2k_{\perp}}{Q\sqrt{1-y}} \cos \phi \right]^2,$$

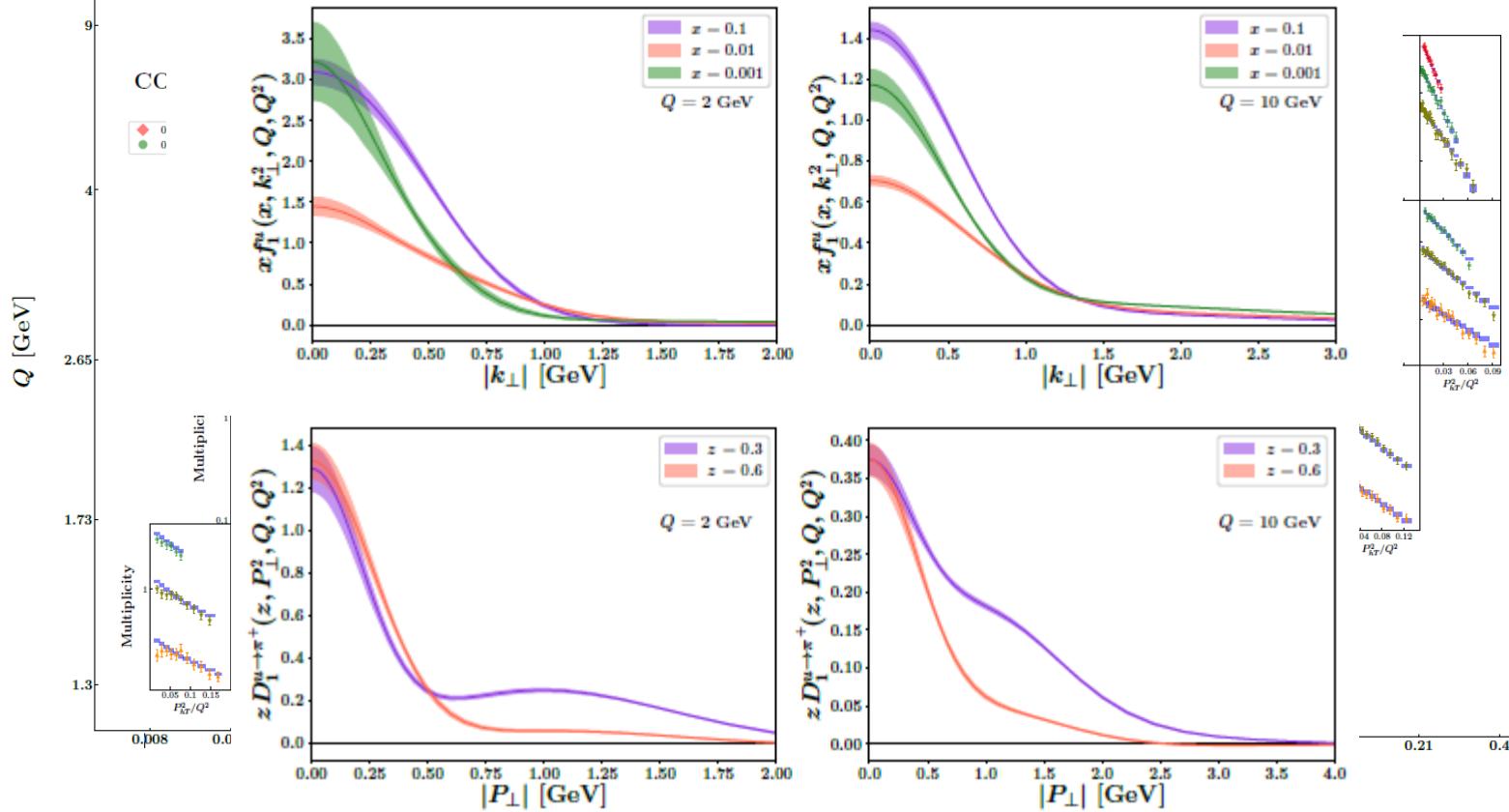
Resulting in the  $\cos \phi_h$  and  $\cos 2\phi_h$  modulations observed in the azimuthal distributions

# $q_T$ distributions

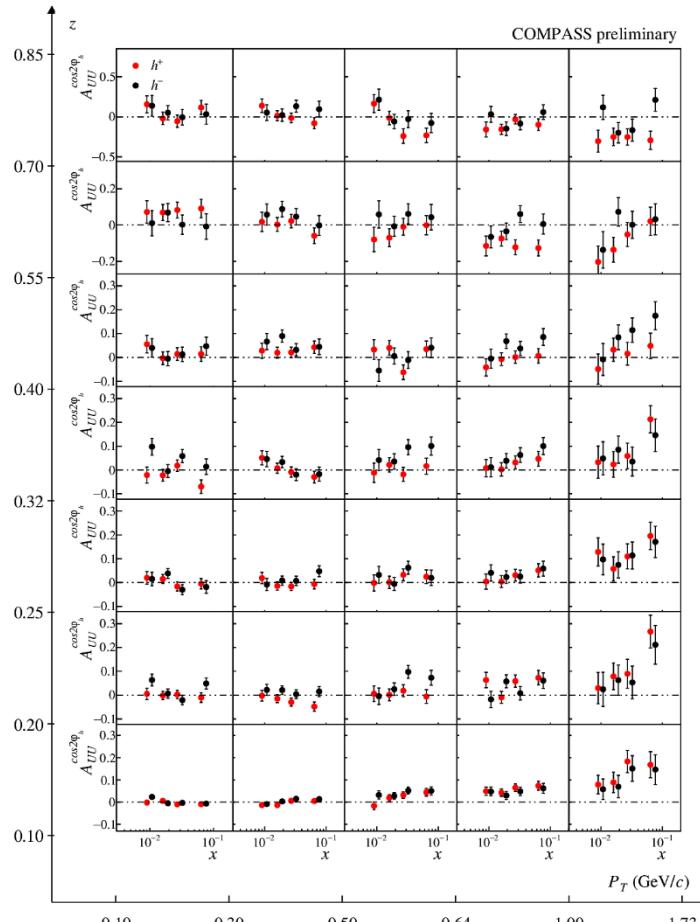
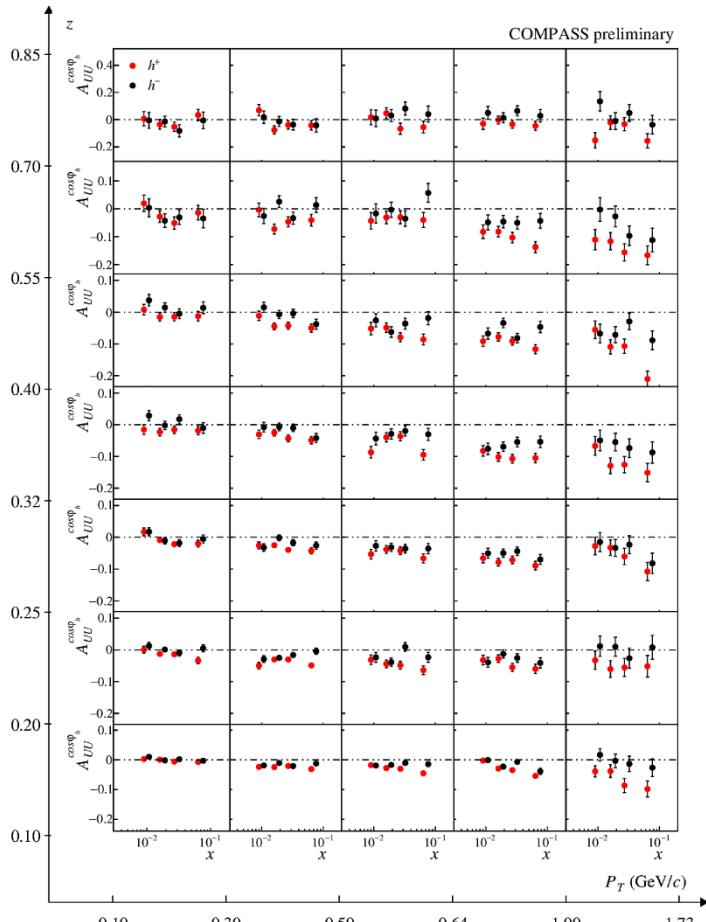


# Phenomenological fits

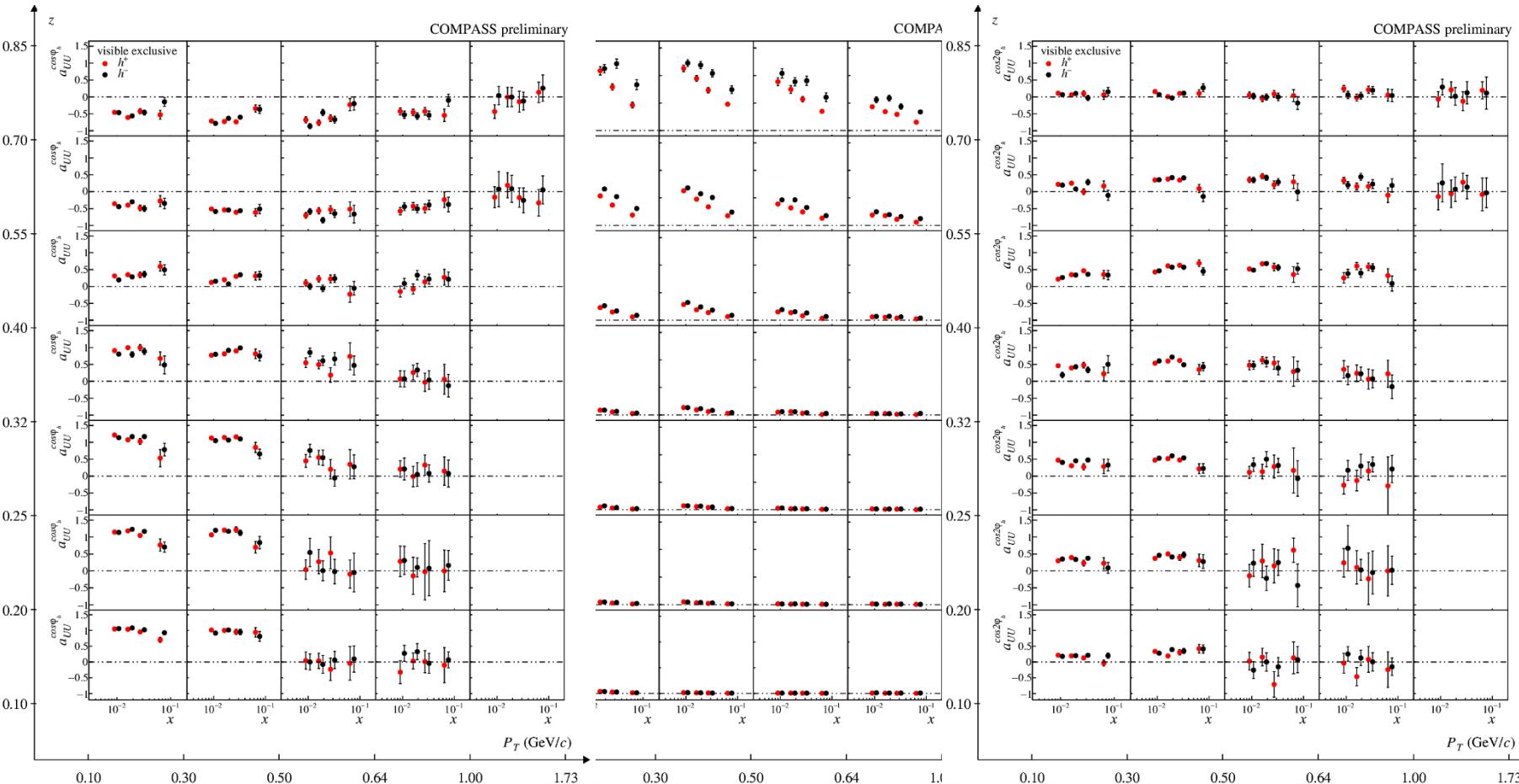
arXiv:2206.07598v1 [hep-ph] 15 Jun 2022



# Azimuthal modulations on (LH<sub>2</sub>) – 3D

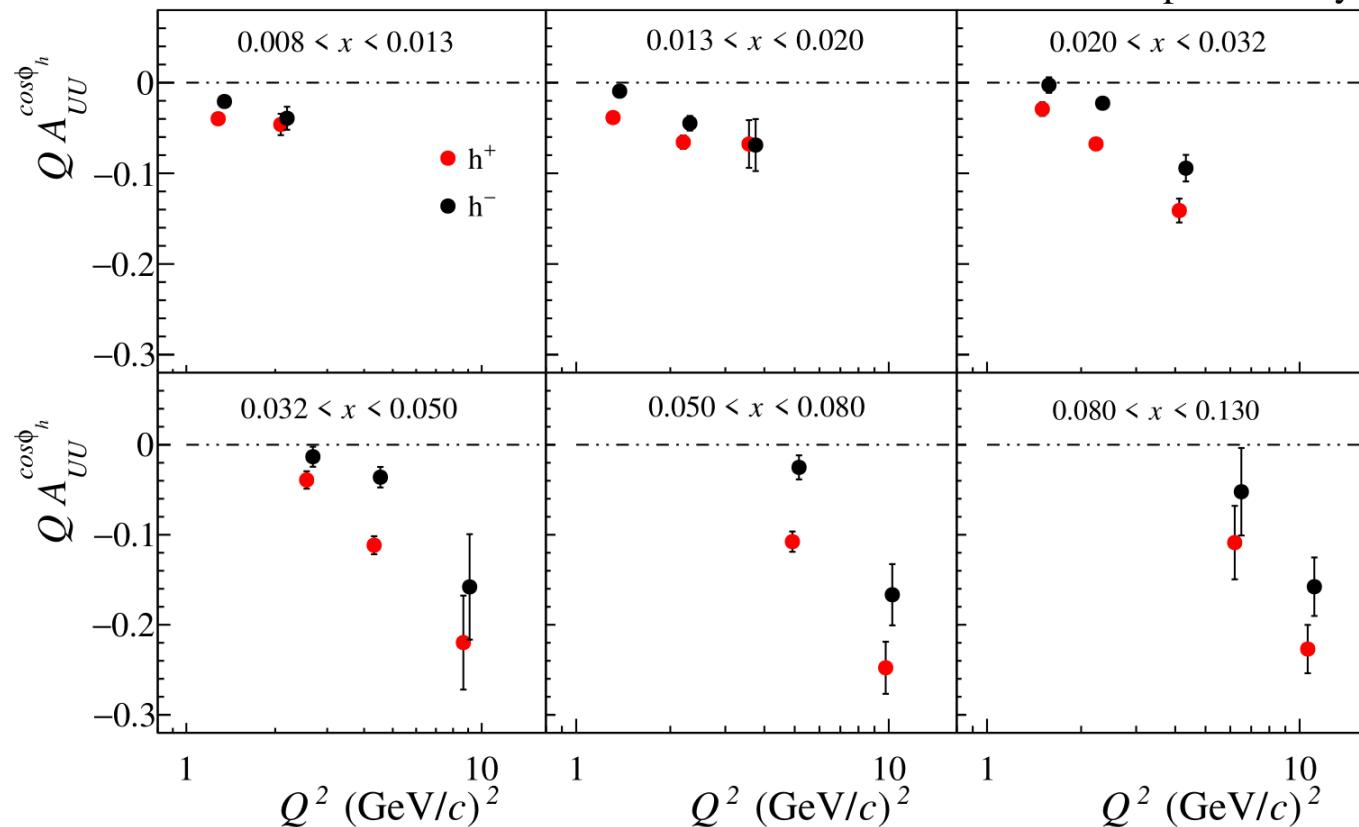


# Contamination on ( $\text{LH}_2$ ) – 3D

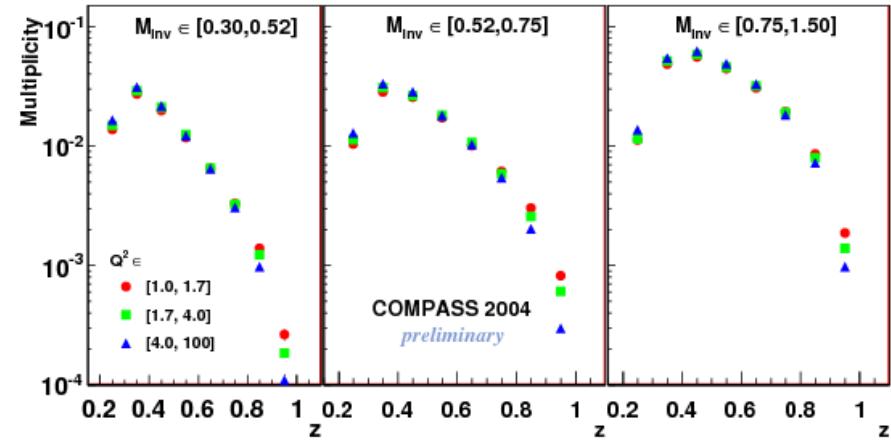
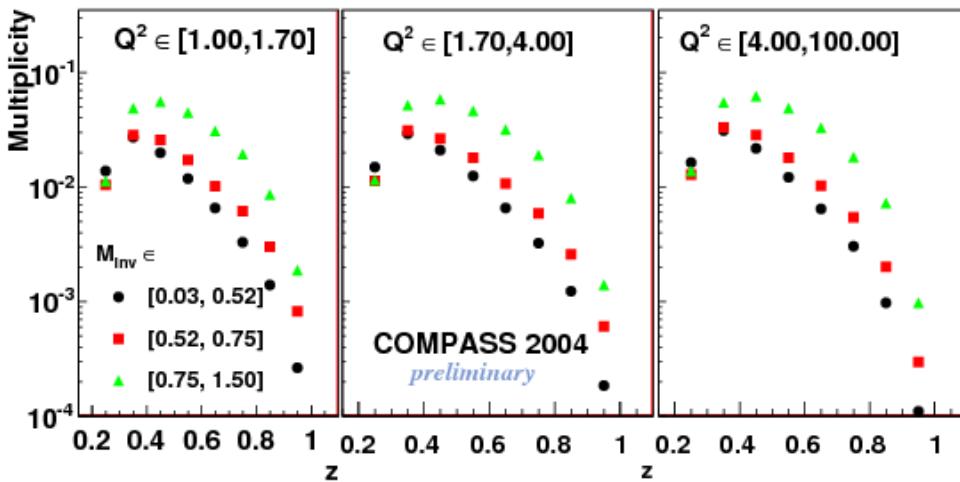


# $Q^2$ behavior

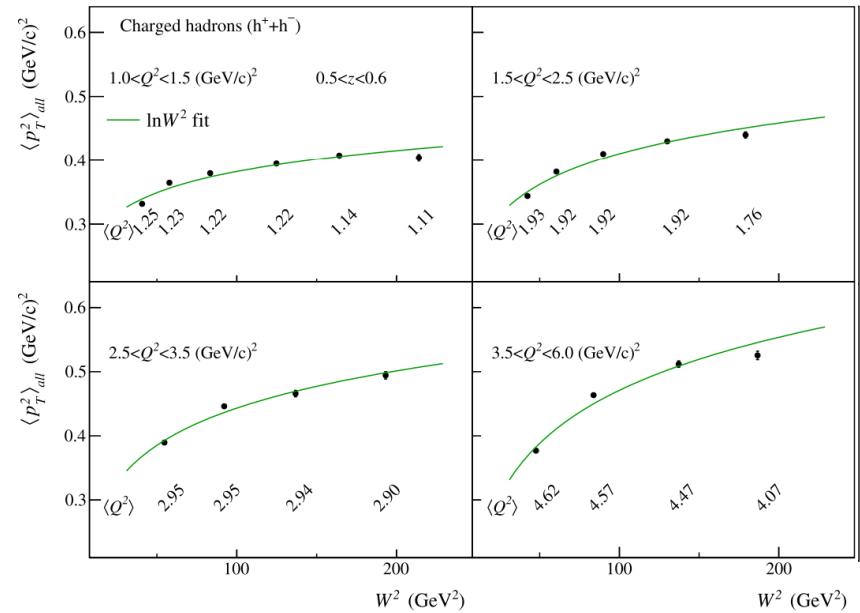
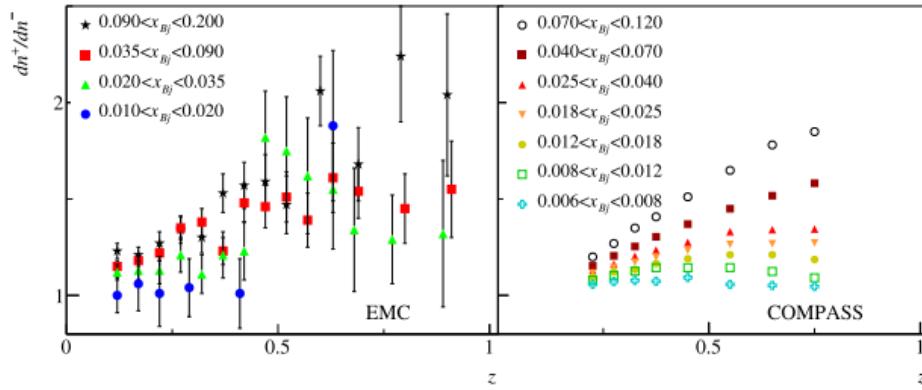
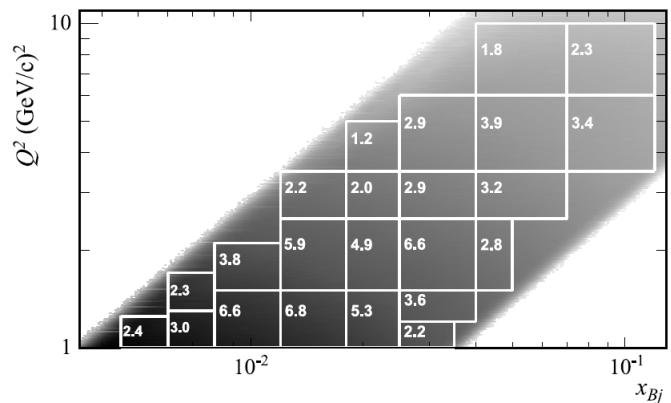
COMPASS preliminary



# 2h Multiplicities (>10 years ago)



# 1<sup>st</sup> publication on $P_{hT}$ distributions (2013);

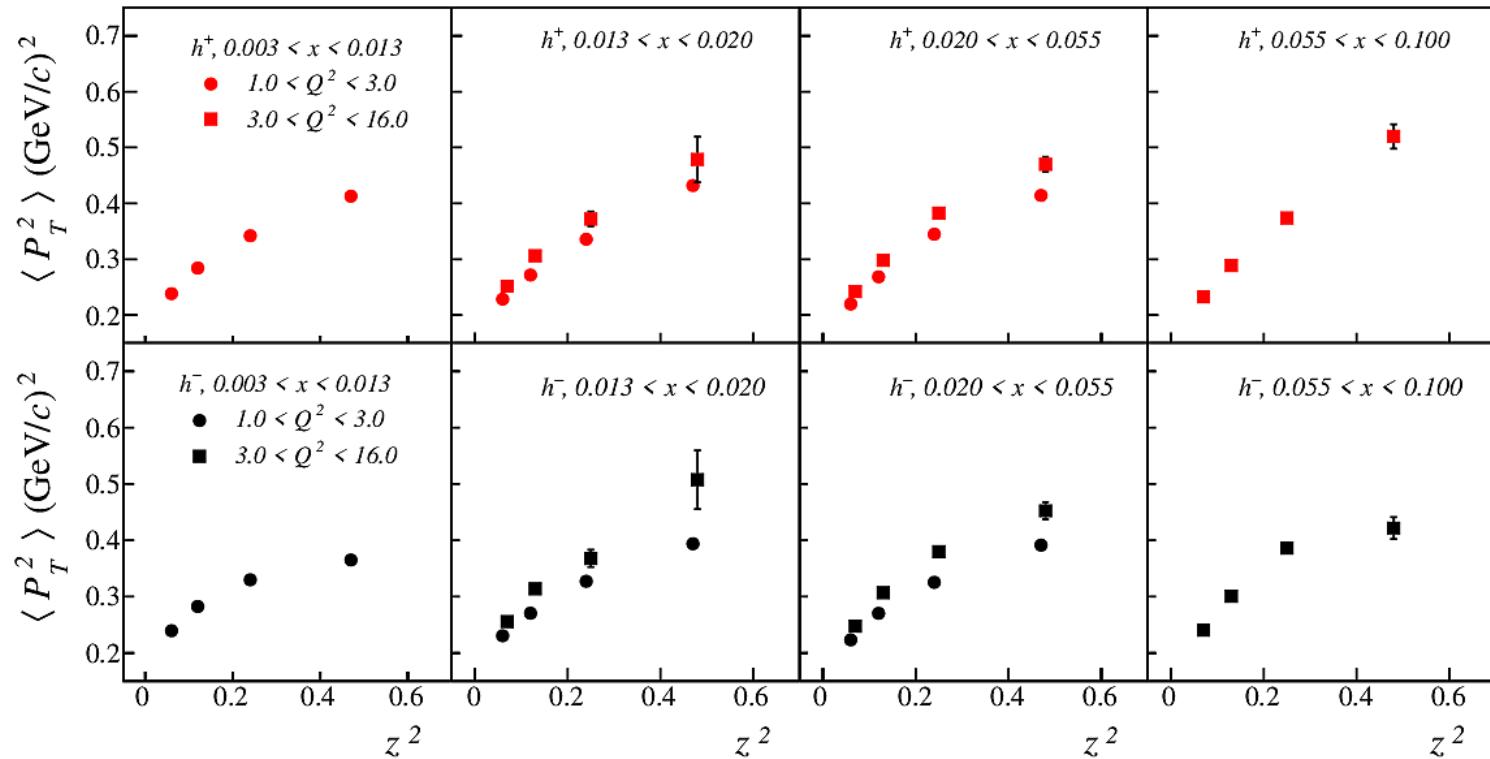


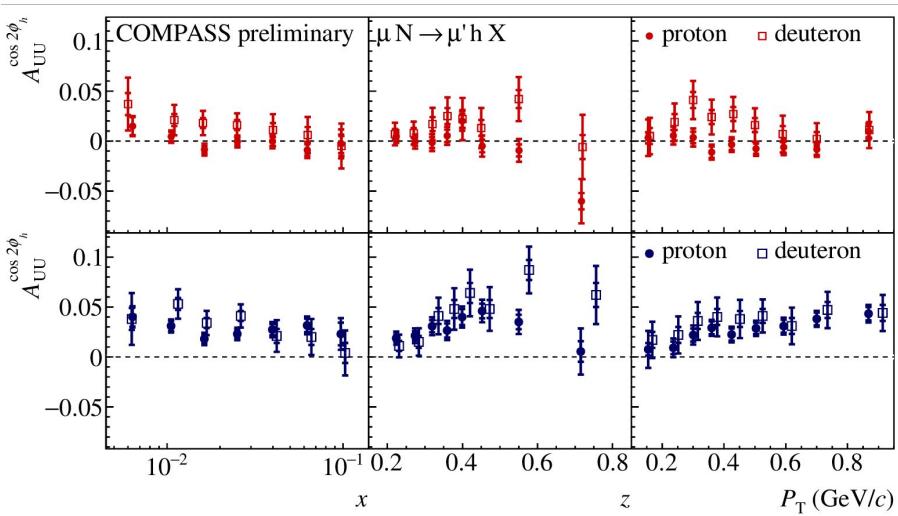
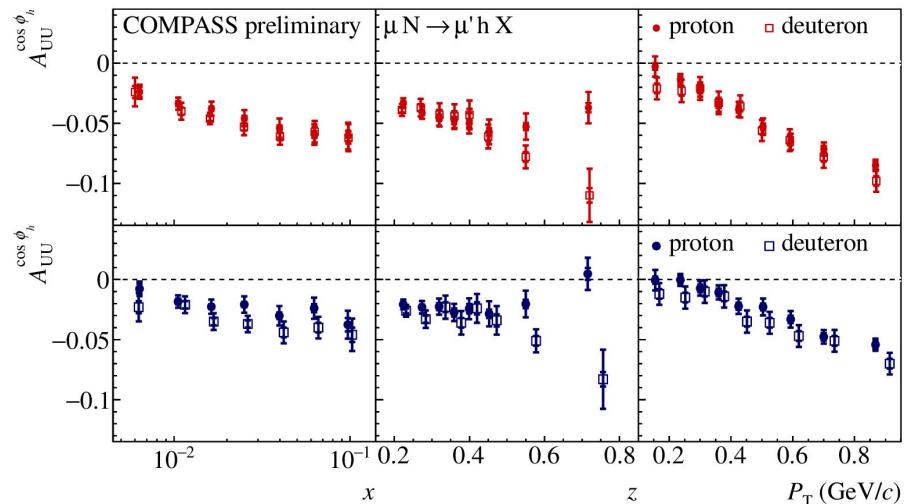
# Slope dependence

A Gaussian ansatz for  $k_\perp$  and  $p_\perp$  leads to

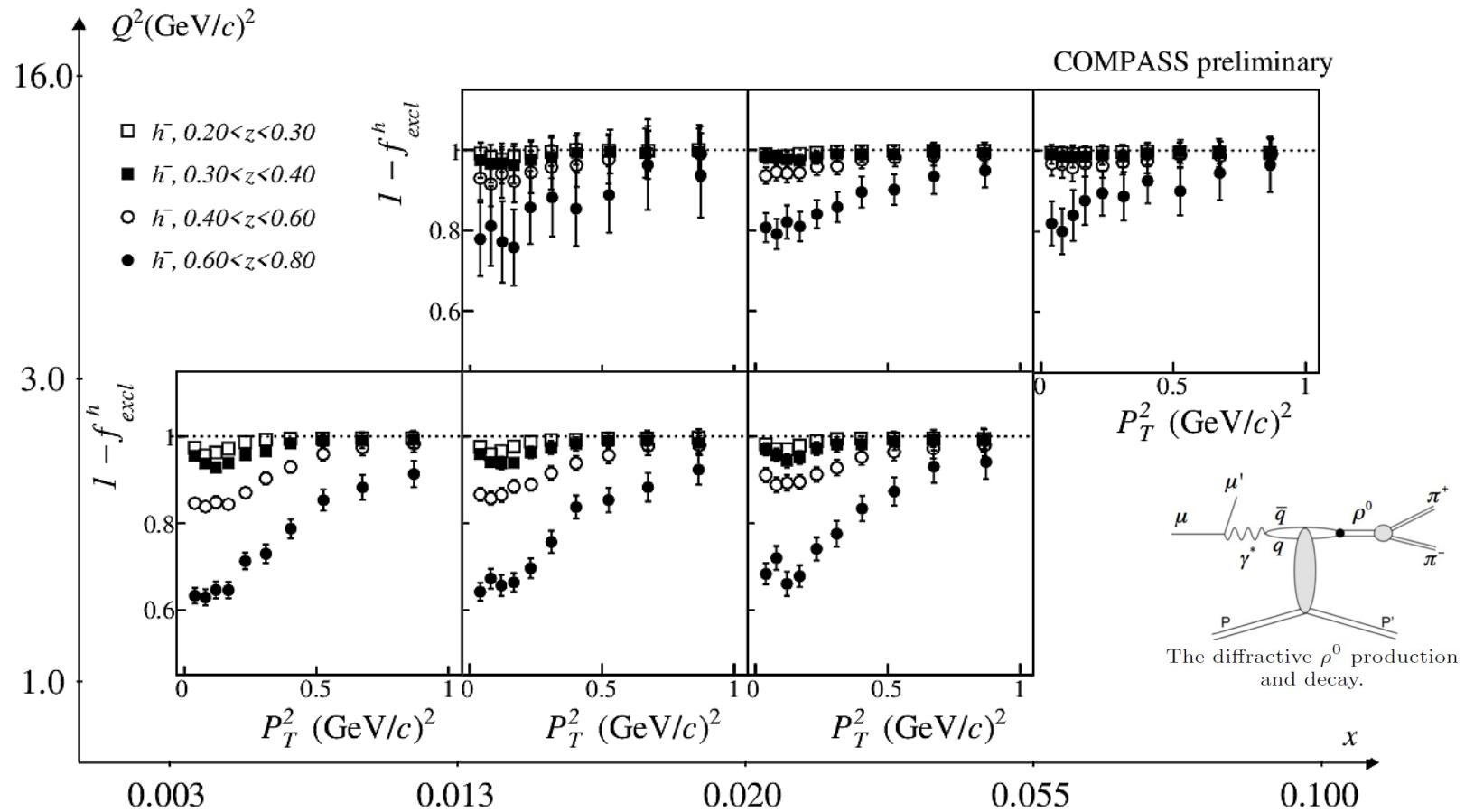
$$\langle P_{hT}^2 \rangle = z^2 \langle k_\perp^2 \rangle + \langle p_\perp^2 \rangle$$

COMPASS preliminary





# Contamination of hadrons from $\rho^0$ and $\phi$



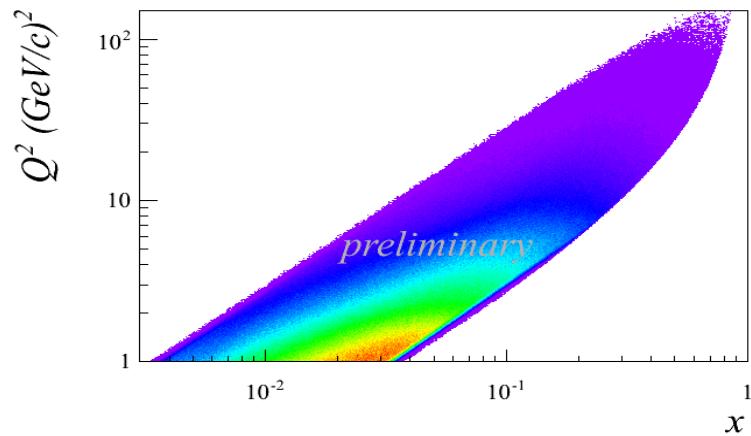
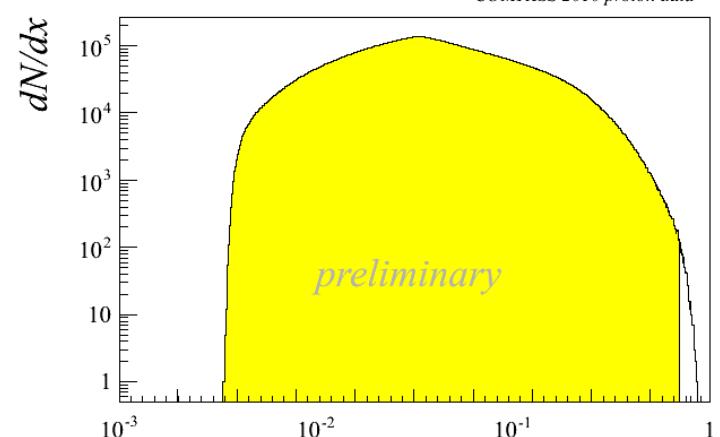
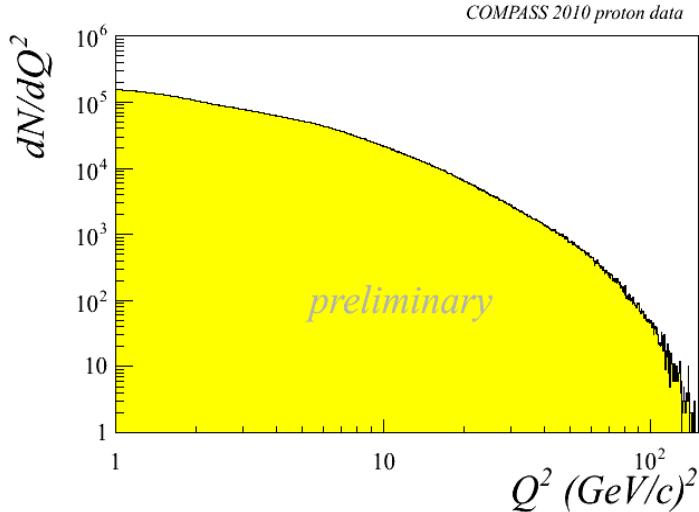
# Kinematic distributions

## DIS Cuts

$$Q^2 > 1 \text{ (GeV}/c)^2$$

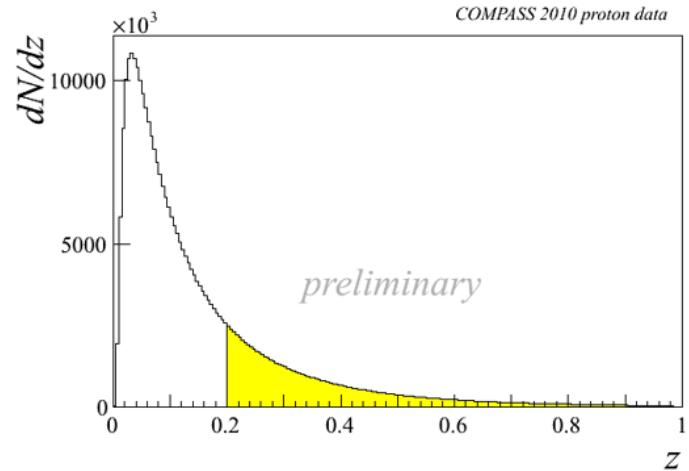
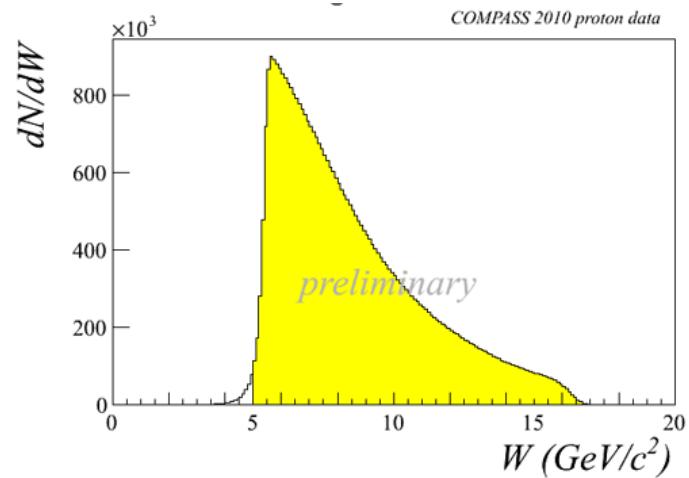
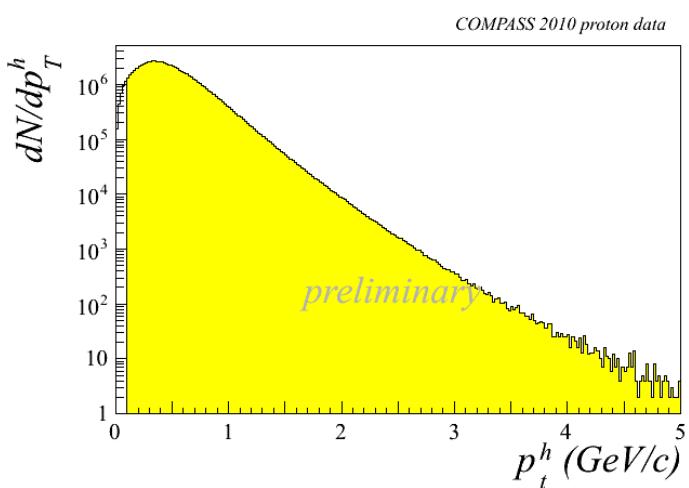
$$0.1 < y < 0.9$$

$$W > 5 \text{ GeV}/c^2$$

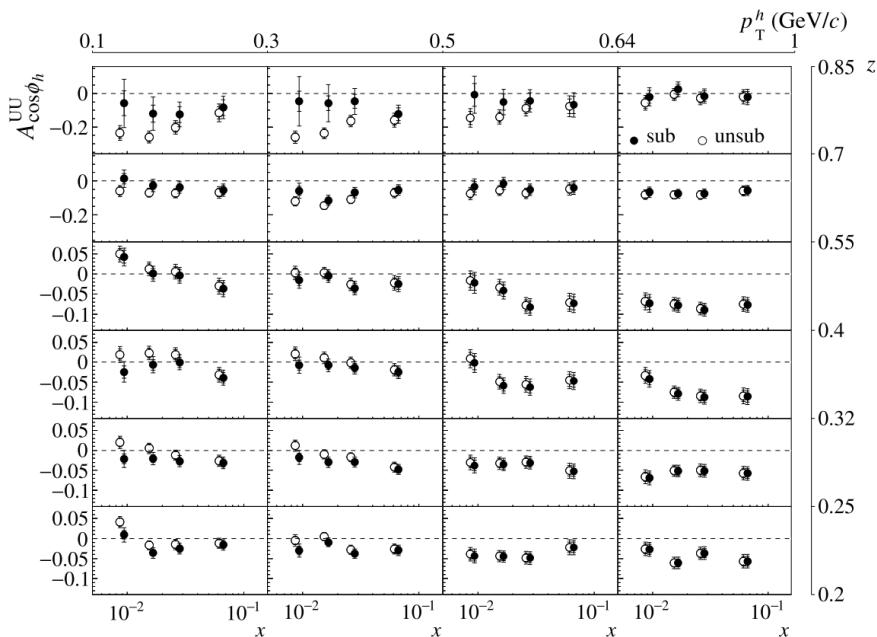
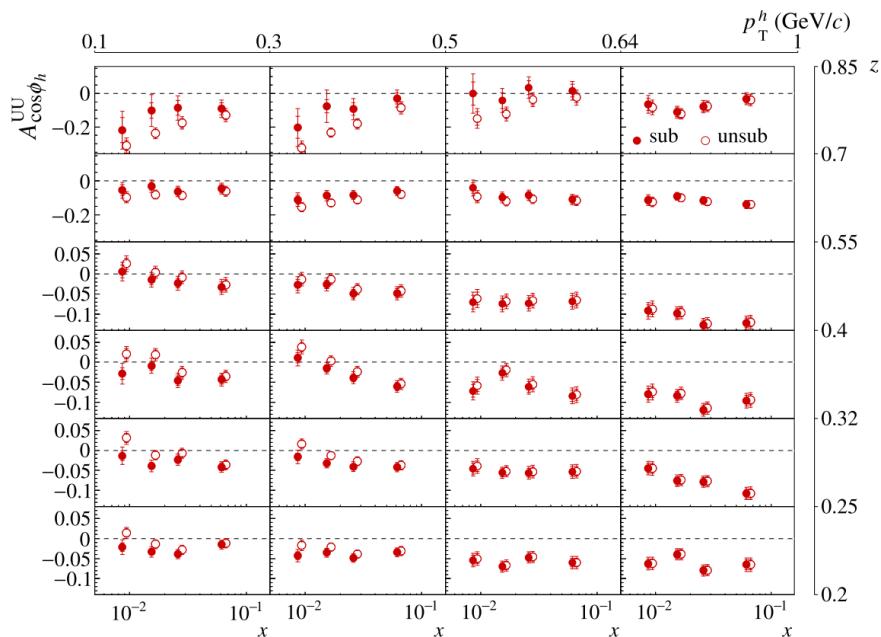


# Kinematic distributions - 2

| DIS Cuts                    | Hadron Cuts                  |
|-----------------------------|------------------------------|
| $Q^2 > 1 \text{ (GeV}/c)^2$ | $z > 0.2$                    |
| $0.1 < y < 0.9$             | $P_{hT} > 0.1 \text{ GeV}/c$ |
| $W > 5 \text{ GeV}/c^2$     |                              |



# VM subtraction from ${}^6\text{LiD}$ results



NPB 956 (2020) 115039

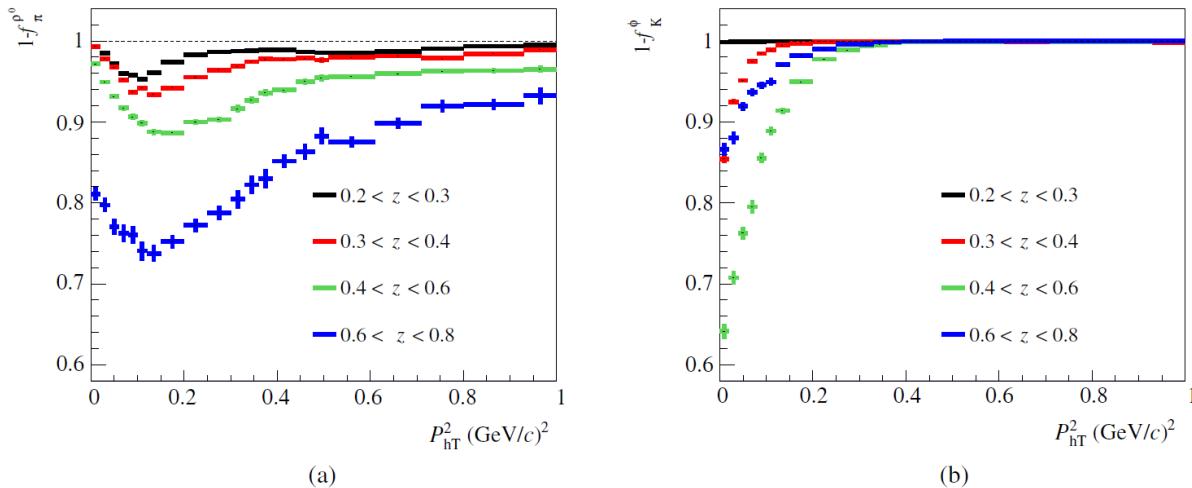
# 2<sup>nd</sup> publication on $P_{hT}$ distributions (2018);

## Improved binning

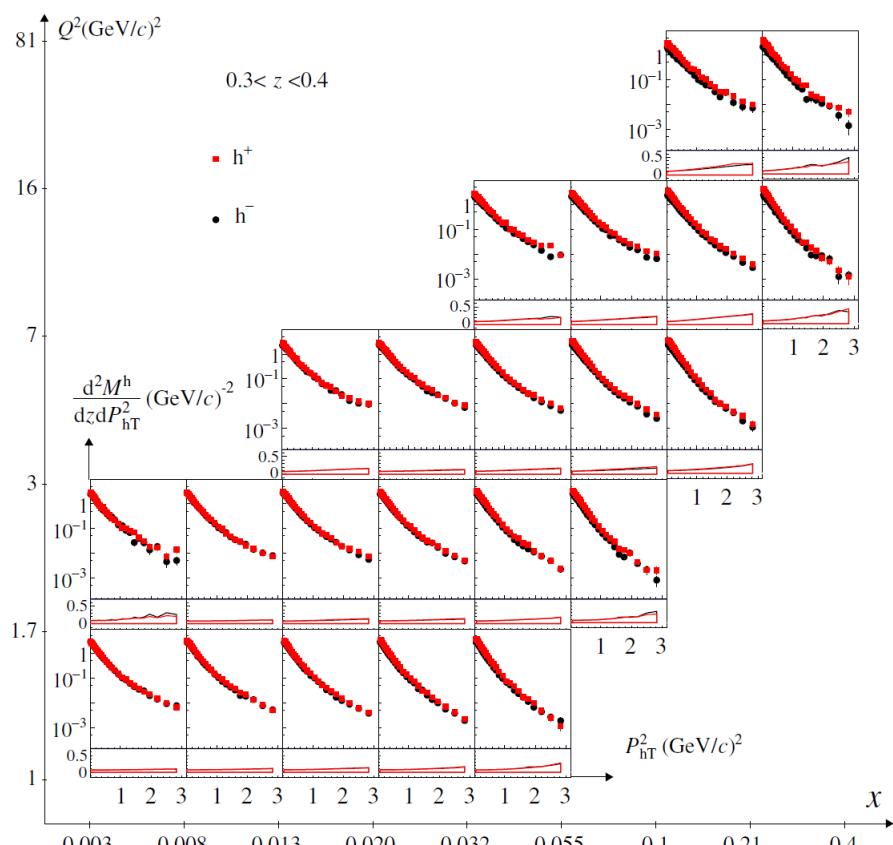
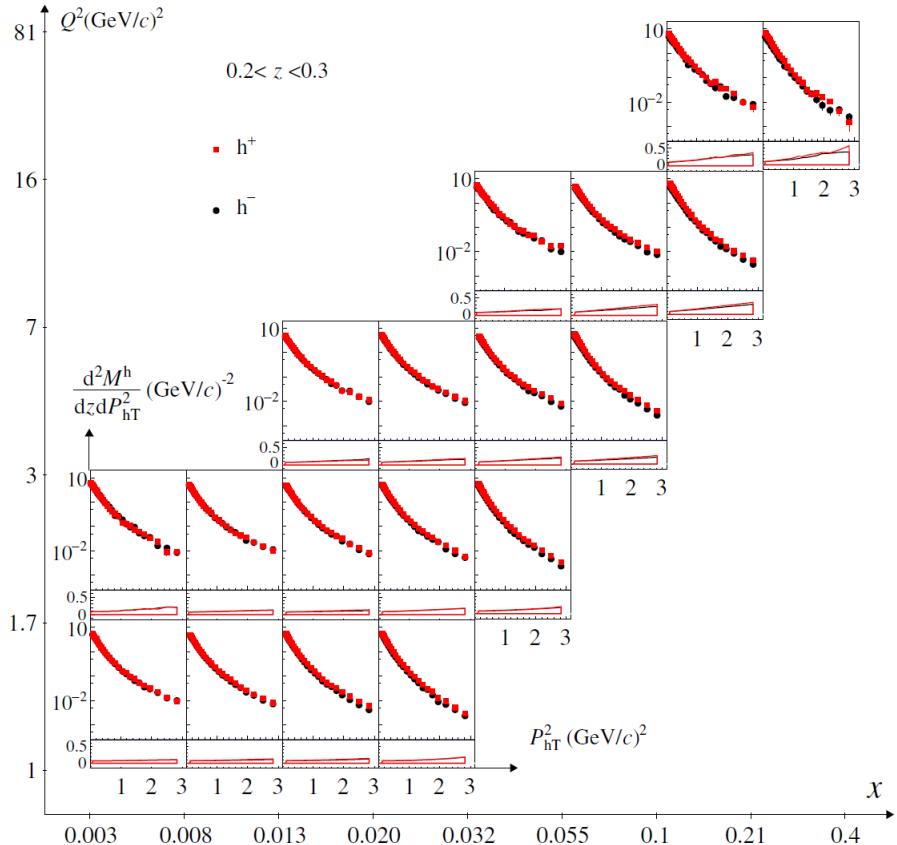
TABLE I. Bin limits for the four-dimensional binning in  $x$ ,  $Q^2$ ,  $z$  and  $P_{hT}^2$ .

|                                 | Bin limits |       |       |      |       |       |      |      |       |  |
|---------------------------------|------------|-------|-------|------|-------|-------|------|------|-------|--|
| $x$                             | 0.003      | 0.008 | 0.013 | 0.02 | 0.032 | 0.055 | 0.1  | 0.21 | 0.4   |  |
| $Q^2$ (GeV/c) <sup>2</sup>      | 1.0        | 1.7   | 3.0   | 7.0  | 16    | 81    |      |      |       |  |
| $z$                             | 0.2        | 0.3   | 0.4   | 0.6  | 0.8   |       |      |      |       |  |
| $P_{hT}^2$ (GeV/c) <sup>2</sup> | 0.02       | 0.04  | 0.06  | 0.08 | 0.10  | 0.12  | 0.14 | 0.17 | 0.196 |  |
|                                 | 0.23       | 0.27  | 0.30  | 0.35 | 0.40  | 0.46  | 0.52 | 0.60 | 0.68  |  |
|                                 | 0.76       | 0.87  | 1.00  | 1.12 | 1.24  | 1.38  | 1.52 | 1.68 | 1.85  |  |
|                                 | 2.05       | 2.35  | 2.65  | 3.00 |       |       |      |      |       |  |

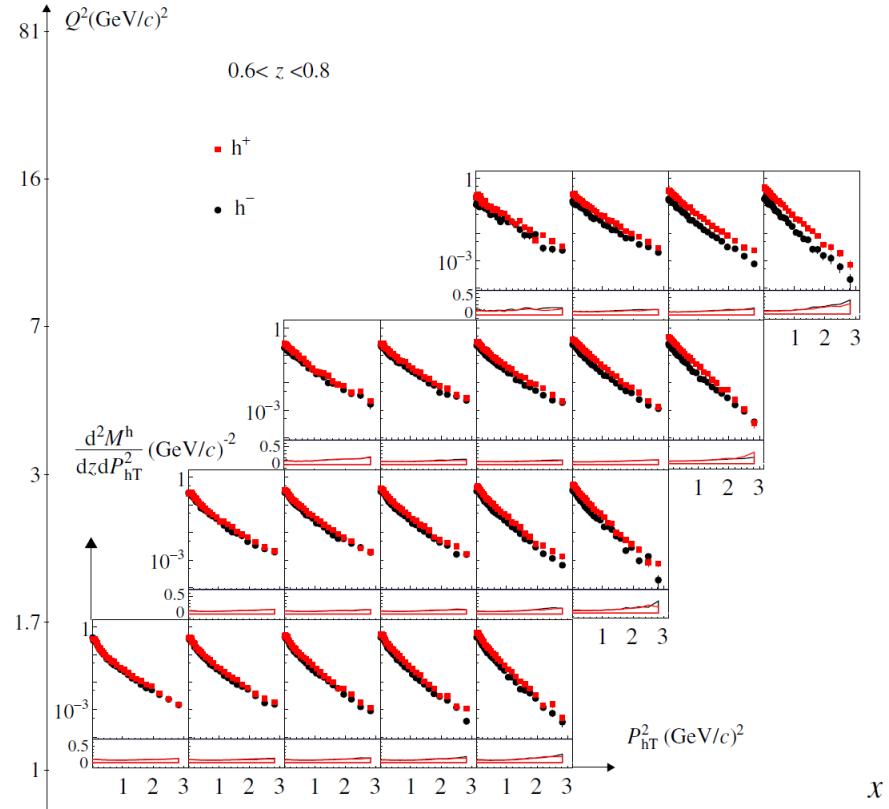
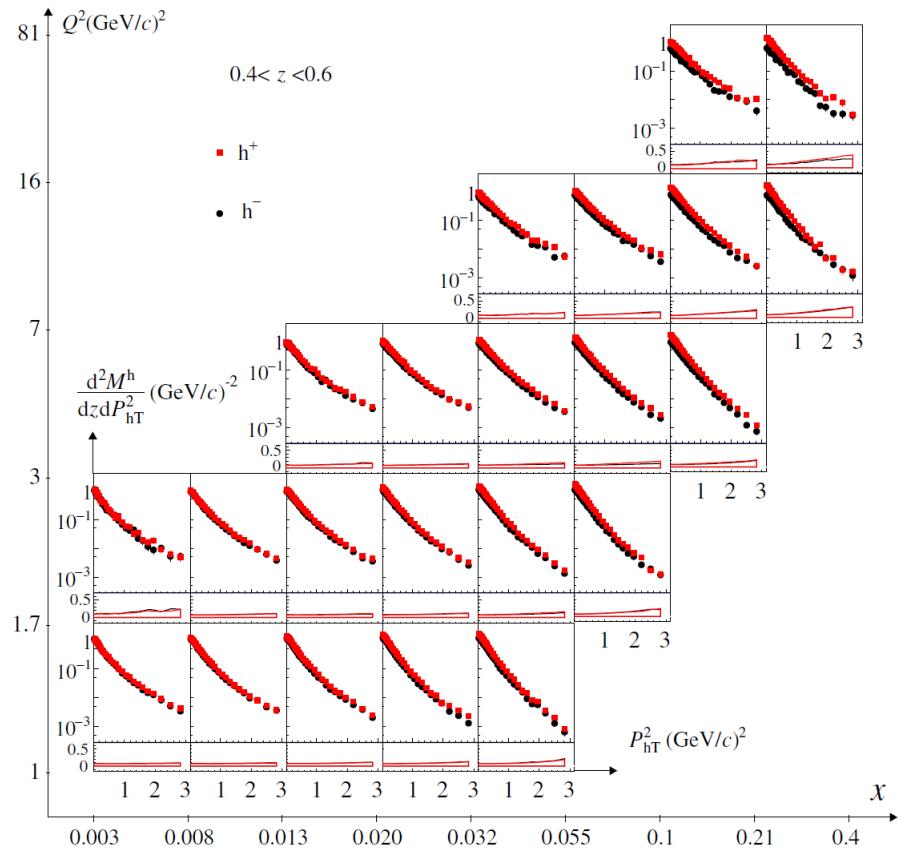
## Subtraction of Diffractive Vector Mesons



# 2<sup>nd</sup> publication on $P_{hT}$ distributions;

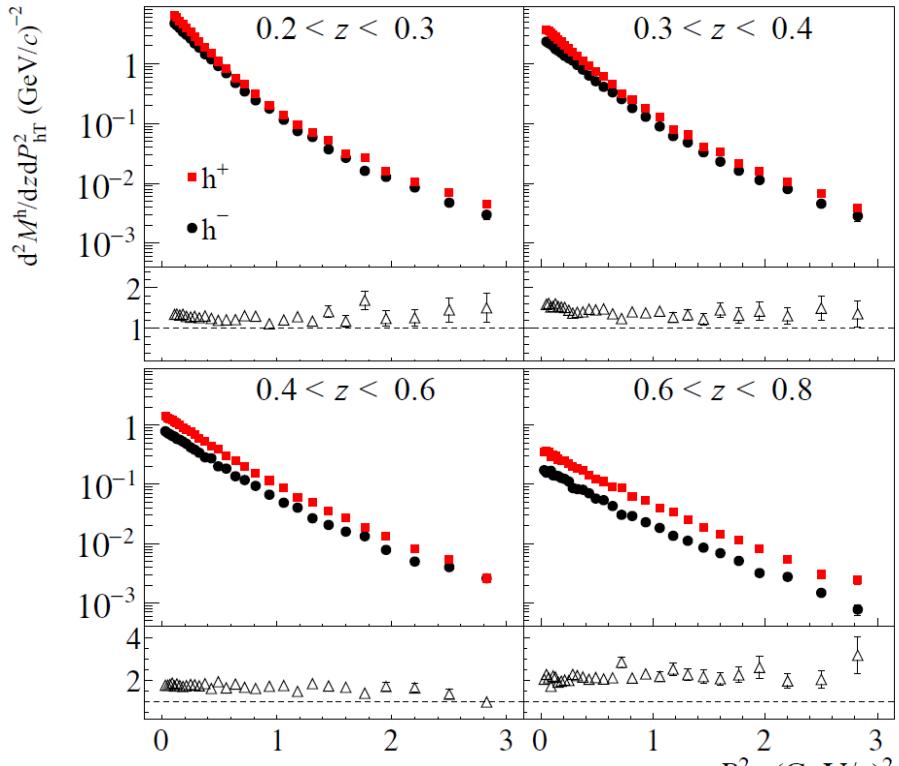
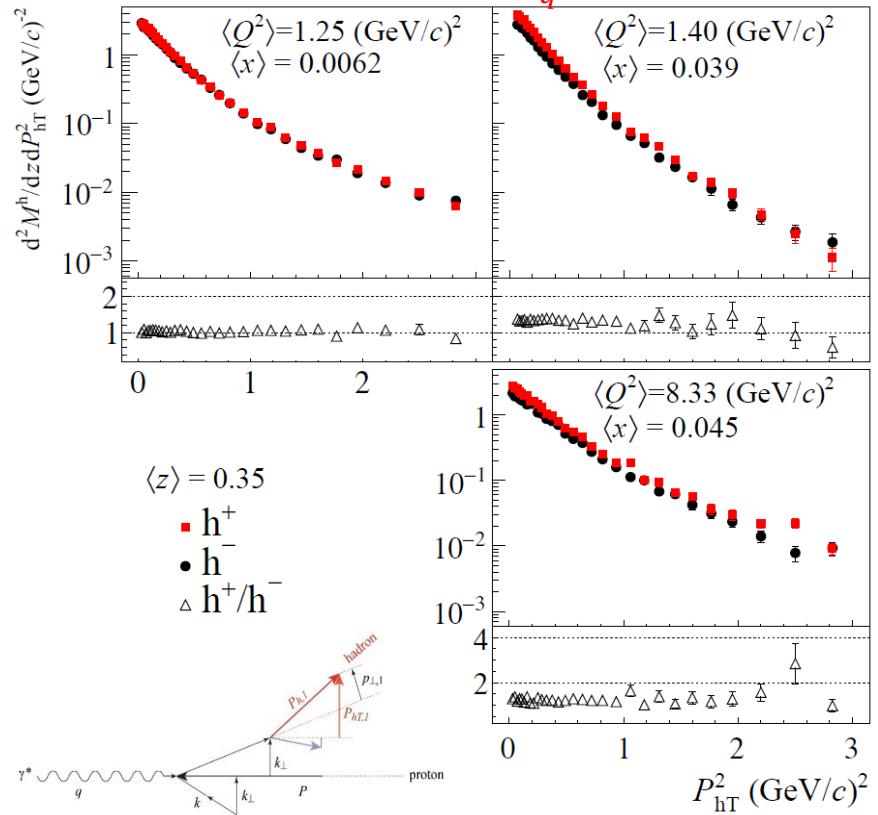


# 2<sup>nd</sup> publication on $P_{hT}$ distributions;



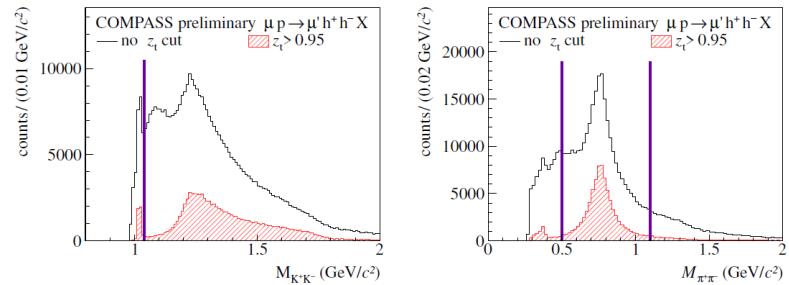
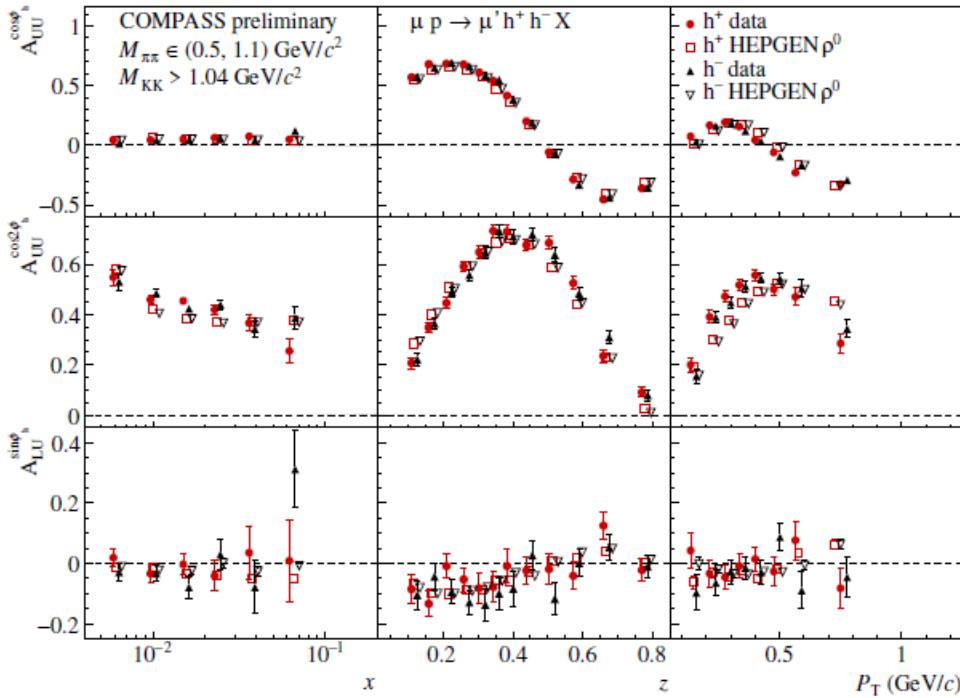
# Positive vs Negative charged hadrons ( ${}^6\text{LiD}$ )

$$F_{UU}^h(x, z, P_{hT}^2; Q^2) = x \sum_q e_q^2 \int d^2 \vec{k}_\perp d^2 \vec{p}_\perp \delta(\vec{p}_\perp - z \vec{k}_\perp - \vec{P}_{hT}) f_1^q(x, k_\perp^2; Q^2) D_1^{q \rightarrow h}(z, p_\perp^2; Q^2)$$



$\langle Q^2 \rangle = 9.78 (\text{GeV}/c)^2$  and  $\langle x \rangle = 0.149$

# Study of VM contamination $LH_2$



## Normalization of HEPGEN

