

SIDIS off unpolarized protons at COMPASS

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on behalf of the COMPASS Collaboration



Opportunities with JLab energy and luminosity upgrade – ECT* (Trento) 26-30/09/2022



Semi-Inclusive Deep Inelastic Scattering (SIDIS) is a powerful tool to access the rich and complex structure of the nucleon.

Depending on the nucleon polarization, several (TMD)-PDFs can be accessed

In this talk: focus on the SIDIS off unpolarized nucleons

Quark Nucleon	U unpolarized	L longitudinally polarized	T transversely polarized
U unpolarized	$f_1^q(x,k_T^2)$ number density		$h_1^{\perp q}(x,k_T^2)$ Boer-Mulders
L longitudinally polarized		$g_1^q(x,k_T^2)$ helicity	$h_{1L}^{\perp q}(x,k_T^2)$ Kotzinian-Mulders worm-gear L
T transversely polarized	$f^q_{1\perp}(x,k_T^2)$ Sivers	$g_{1T}^{\perp q}(x,k_T^2)$ Kotzinian-Mulders worm-gear T	$h_1^q(x,k_T^2)$ transversity $h_{1T}^{\perp q}(x,k_T^2)$ Pretzelosity

Cross section for unpolarized SIDIS

In SIDIS, a high energy lepton scatters off a nucleon target and at least one hadron is observed in the final state.

For an unpolarized nucleon target and in the one-photon exchange approximation the fully-differential cross-section reads:

$$\frac{\mathrm{d}^5\sigma}{\mathrm{d}x\,\mathrm{d}y\,\mathrm{d}z\,\mathrm{d}\varphi_h\mathrm{d}P_T^2} = \frac{2\pi\alpha^2}{xyQ^2}\frac{y^2}{2(1-\varepsilon)}\left(1+\frac{\gamma^2}{2x}\right)$$



$$\frac{d^{5}\sigma}{dx \, dy \, dz \, d\varphi_{h} dP_{T}^{2}} = \frac{2\pi\alpha^{2}}{xyQ^{2}} \frac{y^{2}}{2(1-\varepsilon)} \left(1 + \frac{\gamma^{2}}{2x}\right)$$

$$\cdot \left(F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} F_{UU}^{\cos\varphi_{h}} \cos\varphi_{h} + \varepsilon F_{UU}^{\cos2\varphi_{h}} \cos2\varphi_{h} + \lambda_{l} \sqrt{2\varepsilon(1-\varepsilon)} F_{LU}^{\sin\varphi_{h}} \sin\varphi_{h}\right)$$
Bacchetta et al., *JHEP* 02 (2007) 093
Bacchetta et al., *JHEP* 02 (2007) 093
$$\cdot \left(F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} F_{UU}^{\cos\varphi_{h}} \cos\varphi_{h} + \varepsilon F_{UU}^{\cos2\varphi_{h}} \cos2\varphi_{h} + \lambda_{l} \sqrt{2\varepsilon(1-\varepsilon)} F_{LU}^{\sin\varphi_{h}} \sin\varphi_{h}\right)$$

- x is the Bjorken variable
- Q^2 the photon virtuality
- $\gamma = \frac{2Mx}{Q}$ (small in COMPASS kinematics)
- $y = 1 \frac{E_{\ell'}}{E_{\ell'}}$ the inelasticity with $E_{\ell'}$ the energy of the incoming $(\text{scattered})^{t}$ lepton in the target rest frame
- $\varepsilon(y) = \frac{1 y \frac{1}{4}\gamma^2 y^2}{1 y + \frac{1}{2}\gamma^2 + \frac{1}{2}\gamma^2 y^2}$

- λ_l is the beam polarization.
- z is the fraction of photon energy carried by the hadron ٠
- φ_h its azimuthal angle in the Gamma Nucleon System
- P_T its transverse momentum w.r.t. the photon

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$$\cdot\left(F_{UU,T}+\varepsilon F_{UU,L}+\sqrt{2\varepsilon(1+\varepsilon)}F_{UU}^{\cos\varphi_{h}}\cos\varphi_{h}+\varepsilon F_{UU}^{\cos2\varphi_{h}}\cos2\varphi_{h}+\lambda_{l}\sqrt{2\varepsilon(1-\varepsilon)}F_{LU}^{\sin\varphi_{h}}\sin\varphi_{h}\right)$$
Bacchetta et al., *JHEP* 02 (2007) 093

The structure functions $F_{XY[,Z]}^{[f(\varphi_h)]}$ can be written at high Q^2 in terms of

- TMD Parton Distributions Functions (PDFs)
- TMD Fragmentation Functions (FFs).







Unpolarized SIDIS \rightarrow access to the **number density TMD** and to the **Boer-Mulders TMD** h_1^{\perp}



Boer-Mulders function h_1^{\perp} couples to the **Collins FF** H_1^{\perp} : fragmentation of a transversely polarized quarks into hadron

The correlation between k_T and s_T generates a neat quark transverse polarization

Up to order 1/*Q* (i.e. at twist-3) in Wandzura-Wilczek approximation *:



where C[wfD] is the convolution over the unobservable transverse momenta:

$$\mathcal{C}[wfD] = x \sum_{a} e_{a}^{2} \int d^{2} \vec{k}_{T} \int d^{2} \vec{p}_{\perp} \delta^{2} (\vec{P}_{T} - \vec{k}_{T} - \vec{p}_{\perp}) w(\vec{k}_{T}, \vec{p}_{\perp}) f^{a}(x, \vec{k}_{T}) D^{a}(z, \vec{p}_{\perp})$$
$$\hat{h} = \vec{P}_{T} / |\vec{P}_{T}|$$

* possible further contributions at high z from the *Berger-Brodsky* mechanism Brandenburg et al., *Phys.Lett.B* 347 (1995) 413-418

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Gaussian Ansatz → the TMD PDFs and FFs factorize as:

$$f_{1}^{q}(x,k_{T}^{2}) = f_{1}^{q}(x)\frac{e^{-\frac{k_{T}^{2}}{\langle k_{T,q}^{2} \rangle}}}{\pi \langle k_{T,q}^{2} \rangle} \qquad \qquad D_{1}^{h/q}(z,p_{\perp}^{2}) = D_{1}^{h/q}(z)\frac{e^{-\frac{p_{\perp}^{2}}{\langle p_{\perp,h/q}^{2} \rangle}}}{\pi \langle p_{\perp,h/q}^{2} \rangle}$$

from which, assuming flavour independence, it follows that e.g.

$$F_{UU,T} = x \sum_{q} e_q^2 f_1^q(x) D_1^{h/q}(z) \frac{e^{-\frac{P_T^2}{\langle P_T^2 \rangle}}}{\pi \langle P_T^2 \rangle} \rightarrow P_T^2 \text{ distributions}$$

$$F_{UU \mid Cahn}^{\cos\varphi_{h}} = -\frac{2P_{T}\langle k_{T}^{2}\rangle}{Q\langle P_{T}^{2}\rangle}F_{UU,T}$$

$$F_{UU \mid BM}^{\cos\varphi_{h}} = -\frac{2P_{T}\langle k_{T}^{2}\rangle\langle p_{\perp}^{2}\rangle}{zQMM_{h}\langle P_{T}^{2}\rangle^{3}}(\langle p_{\perp}^{2}\rangle\langle P_{T}^{2}\rangle + z^{2}\langle k_{T}^{2}\rangle(P_{T}^{2} - \langle P_{T}^{2}\rangle))\frac{\sum_{q}xh_{1}^{\perp q}(x)H_{1}^{\perp}(z)}{\sum_{q}xf_{1}^{q}(x)D_{1}(z)}F_{UU,T}$$

$$F_{UU \mid BM}^{\cos 2\varphi_{h}} = \frac{P_{T}^{2}\langle k_{T}^{2}\rangle\langle p_{\perp}^{2}\rangle}{MM_{h}\langle P_{T}^{2}\rangle^{2}}\frac{\sum_{q}xh_{1}^{\perp q}(x)H_{1}^{\perp}(z)}{\sum_{q}xf_{1}^{q}(x)D_{1}(z)}F_{UU,T}$$

$$\rightarrow \text{Azimuthal asymmetries}$$

Both sets of observables measured in COMPASS with an unpolarized proton target after well known measurements on deuteron EPIC 73 (2013) 2531

EPJC 73 (2013) 2531 PRD 97(2018) 032006 NPB 886 (2014) 1046 NPB 956 (2020) 115039

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COMPASS contribution to the understanding of the nucleon structure

- spin asymmetries with transverse and longitudinal spin polarization important results on the extraction of transversity and Sivers functions
- SIDIS with unpolarized target azimuthal asymmetries and P_T^2 -distributions on deuteron



COMPASS (COmmon Muon Proton Apparatus for Structure and Spectroscopy):

- 24 institutions from 13 countries (about 220 physicists)
- a fixed target experiment
- located in the CERN North Area, along the SPS M2 beamline

Broad research program:

- SIDIS with μ beam, with (un)polarized deuteron or proton target.
- Hadron spectroscopy with hadron beams and nuclear targets
- Drell-Yan measurement with π^- beam with polarized target
- Deeply Virtual Compton Scattering (DVCS)
- ..

A multipurpose apparatus:

- Two-stage spectrometer, about 330 detector planes
- μ identification, RICH, calorimetry



The COMPASS location at CERN

The 2016 COMPASS run



The 2016 COMPASS experimental setup

In 2016 (and 2017) the data-taking was dedicated to the measurement of Deeply Virtual Compton Scattering (DVCS).

In parallel, new SIDIS data have been collected in COMPASS, with:

- 160 GeV/c μ beam (μ^+ and μ^- with balanced statistics)
- Unpolarized, 2.5 m long liquid hydrogen target

Part of the data has been analyzed $\rightarrow \sim 6.5$ million hadrons

Here: a selection of the results



The $x - Q^2$ coverage



Events and hadron selection – standard

 $\begin{array}{ll} Q^2 > 1 \; ({\rm GeV}/c)^2 & & \\ W \; > 5 \; {\rm GeV}/c^2 & & z > 0.1 \\ 0.003 < x < 0.130 & & P_T > 0.1 \; {\rm GeV}/c \\ 0.2 < y < 0.9 & & \\ \theta_{\gamma} < 60 \; {\rm mrad} \end{array}$

Non-negligible fraction of the selected hadrons:

produced in the decay of diffractively-produced vector mesons



Hadrons from the decay of exclusive diffractive vector mesons *(exclusive hadrons)*, very interesting per se, constitute a relevant source of background for the SIDIS measurement.

The two most important channels: $\rho^0 \to \pi^+\pi^-$ and $\phi \to K^+K^-$

• Well visible in the data at vanishing missing energy

$$E_{miss} = \frac{M_X^2 - M_p^2}{2M_p}$$



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$$E_{miss} = \frac{M_X^2 - M_p^2}{2M_p}$$

- Strong modulations in the azimuthal angle
- Contamination as high as 30% at high *z*





Impact on the azimuthal asymmetries measured on a deuteron target: COMPASS, Nucl. Phys. B 956 (2020) 115039





Estimated exclusive hadrons contaminations in the data: ~80% is fully reconstructed

- The fully reconstructed exclusive events are discarded in the analysis





COMPASS

Estimated exclusive hadrons contaminations in the data: ~80% is fully reconstructed

- The fully reconstructed exclusive events are discarded in the analysis
 - The partially reconstructed is estimated from Monte Carlo



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Transverse momentum distributions



Transverse-momentum distributions

• give relevant information on k_T and p_{\perp}

• are interesting for the TMD evolution studies: a lot of theoretical work to reproduce the experimental distributions over a large energy range

In gaussian approximation, at small values of P_T , the number of hadrons is expected to follow:



Transverse momentum distributions



The error bars correspond to the statistical uncertainty only. $\sigma_{syst} \sim 0.3 \sigma_{stat}$

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Fit of the P_T^2 - distributions

 P_T^2 – distributions fitted with three different functions:

- a single-exponential up to 1 GeV/c: $f(x) = \alpha \exp\left(-\frac{x}{\beta}\right) \Longrightarrow \langle P_T^2 \rangle = \beta$
- a double-exponential up to 3 GeV/c :

$$g(x) = A \exp\left(-\frac{x}{a}\right) + B \exp\left(-\frac{x}{b}\right) \Longrightarrow \langle P_T^2 \rangle = \frac{Aa^2 + Bb^2}{Aa + Bb}$$

• a Tsallis-like power law up to 3 GeV/c: $h(x) = c_0(1 + c_1 x)^{-c_2} \Longrightarrow \langle P_T^2 \rangle = \frac{1}{c_1(c_2 - 2)}$

Very similar results





Fit of the P_T^2 - distributions





Leading Order expectation: $\langle P_T^2 \rangle = z^2 \langle k_T^2 \rangle + \langle p_{\perp}^2 \rangle$

Fit of the P_T^2 - distributions





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Kinematic dependences





Azimuthal asymmetries -1D

Azimuthal asymmetries: defined as the following ratios



The error bars correspond to the statistical uncertainty only. $\sigma_{syst} \sim \sigma_{stat}$ (1D)

1.

2. 3.



Azimuthal asymmetries $-3D - A_{IIII}^{\cos\phi_h}$

0.85

0.70-

0.55

0.40

0.32

0.25-

0.20-

0.10













Azimuthal asymmetries $-1D - Q^2$ dependence



0.1 COMPASS preliminary full Q^2 range $A_{UU}^{cos\phi_h}$ h^+ • • • • -0.1-0.20. $1.0 < Q^2 / (\text{GeV}/c)^2 < 1.7$ $A_{UU}^{cos \phi_h}$ -0.1-0.20. $1.7 < Q^2 / (\text{GeV}/c)^2 < 3.0$ $A_{UU}^{cos\phi_h}$ ŧ 1 -0.1-0.20. $3.0 < Q^2/(\text{GeV}/c)^2 < 7.0$ $A_{UU}^{cos \phi_h}$ -0.1-0.20. $7.0 < Q^2 / (\text{GeV}/c)^2 < 16.0$ $A_{UU}^{cos\phi_h}$ -0.1-0.2 10^{-2} 10^{-1} 0.2 0.4 0.6 0.8 0.5 P_T (GeV/c) Ζ

Binning in Q^2

Flavor-independent expectation from the . Cahn effect:

$$A_{UU|Cahn}^{\cos\phi_h} = -\frac{2zP_T\langle k_T^2\rangle}{Q\langle P_T^2\rangle}$$

- The $A_{IIII}^{cos\phi_h}$ asymmetry is observed to ٠ increase with Q^2 unexpected!
- The difference between positive and negative ٠ hadrons decreases with Q^2 .
- Almost no Q^2 dependence for $A_{IIII}^{cos2\phi_h}$.

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Azimuthal asymmetries $-3D - A_{UU}^{cos2\phi_h}$





A rich program

P_T^2 -distributions

- For positive and negative hadrons in bins of x, Q^2 and z (4,2,4)
- Fits with single exponential, double exponential and Tsallis
- $\langle P_T^2 \rangle$ vs. z^2 as from the double exponential fit
- Fit of $\langle P_T^2 \rangle$ vs. z^2 in bins of x, Q^2 and z
- Distributions in q_T and q_T^2
- Distributions in 2 W bins + ratio high-over-low Q^2 + ratio high-over-low W
- Distributions in $4 Q^2$ bins

Azimuthal asymmetries $A_{UU}^{\cos\phi_h}$, $A_{UU}^{\cos2\phi_h}$ and $A_{LU}^{\sin\phi_h}$

- 1D: standard binning in x, z or P_T
- Also: low-z and high-P_T -- for completeness
- 1D standard + 4 bins in Q^2 : interesting evolution of $A_{UU}^{\cos\phi_h}$
- 1D standard + 2 bins in Q^2 and 2 bins in W
- 3D: standard binning (simultaneous in x, z and P_T)
- In addition to deuteron analysis: low-z bin

New: Dihadron azimuthal asymmetries $A_{UU}^{\cos 2\phi_{hh}}$, $A_{UU}^{\cos(\phi_{hn}-\phi_R)}$, $A_{UU}^{\cos\phi_R}$ and $A_{UU}^{\cos\phi_{hh}}$

- 1D: standard binning in x, z or P_T
- Focus on Boer-Mulders related asymmetries
- Shown at Transversity 2022 and IWHSS 2022





- Transverse momentum distributions and azimuthal asymmetries: "fundamental" observables to access the nucleon structure in unpolarized SIDIS
- **COMPASS** has produced new results for both of them, using a **proton** target Here a selection of the main results and a "flash" of new 2h results
- Intriguing investigations of their properties:
 rich kinematic dependences, h⁺h⁻ differences, ...

Still a lot to be understood and/or addressed

- Difference between positive and negative hadrons in azimuthal asymmetries but same P_T^2 -slopes
- Kinematic dependences (sometimes *counterintuitive* for azimuthal asymmetries)
- Impact of phase-space limitations in the production of hadrons (for the P_T -distributions)
- Role of twist-3 contributions
- Impact of radiative corrections may be relevant e.g. for the Q^2 dependence of the azimuthal asymmetries
- Role of vector mesons inclusively produced in SIDIS particularly for their contribution to the P_T^2 distributions at low P_T

backup

Comparison with Pavia fit (PV-17)

Comparison with the predictions of the P_T -dependent SIDIS cross section as from PV-17 [A. Bacchetta et al., JHEP 06 (2017) 081] PV-17: - SIDIS $ep(D) \rightarrow e\pi^{\pm}(K^{\pm})X$ (HERMES)

- SIDIS $\mu D \to \mu h^\pm X \left(\text{COMPASS} \right)$
- Drell-Yan (E228, E605)
- Z boson production (CDF, D0)



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Comparison with Pavia fit (PV-17) Pavia predictions





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Comparison with Pavia fit (PV-17)

□ Pavia predictions





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Comparison with Pavia fit (PV-17)

□ Pavia predictions





The 2016 COMPASS run





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- The exclusive events fully reconstructed in the data are
 - 1) selected by cutting in missing energy E_{miss}
 - 2) used to normalized the HEPGEN Monte Carlo, needed to take into account the non-reconstructed part
 - 3) discarded
- The exclusive events non-fully reconstructed are subtracted using the normalized HEPGEN Monte Carlo
- This procedure does not require the knowledge of the absolute cross-section for the diffractive production, not well known (~ 30% relative uncertainty)



The diffractive production of a vector meson *V* and its decay into a hadron pair





The exclusive peak as observed in the data







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q_T distributions

- $q_T = P_T / z$, often indicated to set the limits of applicability of the TMD formalism (expected to hold at low q_T/Q)
- q_T distributions measured using the same hadron sample selected for the standard P_T^2 distributions
- Comparison with the approximated formula:

$$\frac{dN_h}{dz \, dP_T^2} = \frac{dN_h}{dz \, 2P_T dP_T} = \frac{dN_h}{dz \, dP_T / z} \frac{1}{2zP_T} \approx \frac{dN_h}{dz \, dq_T} \frac{1}{2zP_T}$$



q_T^2 distributions

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- q_T distributions measured using the same hadron sample selected for the standard P_T^2 distributions
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$$\frac{dN_h}{dz \, dq_T^2} = \frac{dN_h}{dz \, 2q_T dq_T} = \frac{dN_h}{dz \, dq_T} \frac{1}{2q_T}$$



AZIMUTHAL ASYMMETRIES 1D Acceptance modulations

Correction for acceptance applied to each ϕ bin, taken as the ratio of reconstructed and generated hadrons:

$$c_{acc}(\phi) = \frac{N_h^{rec}(\phi^{rec})}{N_h^{gen}(\phi^{gen})}$$

Azimuthal modulations of the acceptance in 1D binning, for μ^+ beam and positive (red) and negative hadrons (black).



AZIMUTHAL ASYMMETRIES 3D Acceptance modulations



Azimuthal asymmetries – 3D



3D azimuthal asymmetries for positive and negative hadrons





Comparison with deuteron results

Exclusive hadrons discarded / subtracted

Exclusive hadrons not discarded / subtracted



Difference visible also *before* the DVM subtraction / correction

AZIMUTHAL ASYMMETRIES 3D Comparison with deuteron results

Current results (full points) compared to published results on deuteron **[COMPASS, NPB 956 (2020) 115039].** Proton and deuteron results are in good agreement, as observed in other experiments (HERMES).



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P_T^2 - DISTRIBUTIONS Acceptance

$$c_{acc}(P_T^2) = \frac{N_h^{rec}(P_T^{rec\,2})}{N_h^{gen}(P_T^{gen\,2})}$$

The acceptance is shown here in the first *z* bin, for positive and negative hadrons. A flat plateau at values larger than 50% and, in some bins, a decrease at large P_T^2 .



P_T^2 - DISTRIBUTIONS Comparison with deuteron results

The new results are compared to published results on a deuteron target [COMPASS, PRD97(2018) 032006]

The old results have been renormalized over the first point and averaged over x and Q^2 in order to match the current binning, while the z and P_T^2 binning has not been modified.

The agreement between new proton results and old deuteron ones is given in the plot on the right



Exclusive ρ^0 Spin Density Matrix Elements



$$\begin{split} W^{II}(\cos\theta,\Phi,\phi) &= \frac{3}{8\pi^2} \Bigg[\frac{1}{2} \left(1 - r_{00}^{04} \right) + \frac{1}{2} \left(3r_{00}^{04} - 1 \right) \cos^2\theta - \sqrt{2} \operatorname{Re} \left\{ r_{10}^{04} \right\} \sin 2\theta \cos\phi - r_{1-1}^{04} \sin^2\theta \cos 2\phi \\ &- \epsilon \cos 2\Phi \left(r_{11}^1 \sin^2\theta + r_{00}^1 \cos^2\theta - \sqrt{2} \operatorname{Re} \left\{ r_{10}^1 \right\} \sin^2\theta \cos\phi - r_{1-1}^1 \sin^2\theta \cos 2\phi \right) \\ &- \epsilon \sin 2\Phi \left(\sqrt{2} \operatorname{Im} \left\{ r_{10}^2 \right\} \sin 2\theta \sin\phi + \operatorname{Im} \left\{ r_{1-1}^2 \right\} \sin^2\theta \sin 2\phi \right) \\ &+ \sqrt{2\epsilon \left(1 + \epsilon \right)} \cos\Phi \left(r_{11}^5 \sin^2\theta + r_{00}^5 \cos^2\theta - \sqrt{2} \operatorname{Re} \left\{ r_{10}^5 \right\} \sin 2\theta \cos\phi - r_{1-1}^5 \sin^2\theta \cos 2\phi \right) \\ &+ \sqrt{2\epsilon \left(1 + \epsilon \right)} \sin\Phi \left(\sqrt{2} \operatorname{Im} \left\{ r_{10}^6 \right\} \sin 2\theta \sin\phi + \operatorname{Im} \left\{ r_{1-1}^6 \right\} \sin^2\theta \sin 2\phi \right) \Bigg] \end{split}$$

$$\begin{split} W^{L}(\cos\theta, \Phi, \phi) &= \frac{3}{8\pi^{2}} \Bigg[\sqrt{1 - \epsilon^{2}} \left(\sqrt{2} \mathrm{Im} \left\{ r_{10}^{3} \right\} \sin 2\theta \sin\phi + \mathrm{Im} \left\{ r_{1-1}^{3} \right\} \sin^{2}\theta \sin 2\phi \right) \\ &+ \sqrt{2\epsilon \left(1 - \epsilon \right)} \cos\Phi \left(\sqrt{2} \mathrm{Im} \left\{ r_{10}^{7} \right\} \sin 2\theta \sin\phi + \mathrm{Im} \left\{ r_{1-1}^{7} \right\} \sin^{2}\theta \sin 2\phi \right) \\ &+ \sqrt{2\epsilon \left(1 - \epsilon \right)} \sin\Phi \left(r_{11}^{8} \sin^{2}\theta + r_{00}^{8} \cos^{2}\theta - \sqrt{2} \mathrm{Re} \left\{ r_{10}^{8} \right\} \sin 2\theta \cos\phi - r_{1-1}^{8} \sin^{2}\theta \cos 2\phi \right) \Bigg] \end{split}$$

Exclusive ρ^0 Spin Density Matrix Elements

UML fit of the observed pion distributions, correcting for the apparatus acceptance, in three steps:

- SDMEs with no background correction
- SIDIS background fraction estimation and background SDMEs
- SDMEs with SIDIS background correction



Exclusive ρ^0 Spin Density Matrix Elements

