

The study of the origin of nucleon spin at COMPASS

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- Nucleon spin: Gluon and quark helicities
- Quark Fragmentation Functions
- Transverse spin
- Ongoing and short term future studies





COmmon Muon Proton Apparatus for Structure and Spectroscopy



~240 physicists, 12 countries, 24 institutions

Fixed target experiment, multi-purpose set-up. Secondary ~200 GeV muon and hadron beams from CERN SPS Various targets

COMPASS at CERN

Published results from:

Polarized muon beam & polarized target: d, p: Nucleon spin structure Hadron beam π / K / p & LH₂ or nuclei targets: Meson spectroscopy π , K polarisabilities



Nucleon spin

How is the nucleon spin distributed among its constituents?

Nucleon Spin $\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L$ quark gluon orbital momentum

 $\Delta\Sigma$: sum over u, d, s, \overline{u} , \overline{d} , \overline{s} can take non half-integer value: superposition of several spin states

$$\Delta q = \overrightarrow{q} - \overleftarrow{q}$$
Parton spin parallel or anti parallel to nucleon spin

Past: Theory: QPM estimations, with relativistic effects Experiment: "Spin crisis" in 1988, when EMC measured $a_0 = \Delta \Sigma = 0.12 \pm 0.17$

 $\Delta\Sigma \sim 0.6$

MS scheme Quark spin contribution ~ 0 ?

Today: Precise world data on polarized DIS

 $g_1 + SU_f(3)$ $a_0 = \Delta \Sigma \sim 0.3$ Quark spin contribution ~ 30%

Confirmed by first results from Lattice QCD on $\Delta \Sigma_{u,d}$. (Results exist also on L_{u,d})

Large experimental effort on ΔG measurement also because $a_0 = \Delta \Sigma - n_f (\alpha_s/2\pi) \Delta G$ (AB scheme)

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Quark and gluon helicity

Quarks and gluons from nucleon, probed with lepton beams

quarks Deep inelastic scattering QCD Leading order



gluons Photon-gluon fusion: γg→qq



Helicities of partons measured via spin asymmetries using polarized beams and targets

Acces ΔΣ et ΔG : contributions of quark and gluon spin to nuceon spin 1/2= 1/2 ΔΣ + ΔG + L_q + L_g
 Comparison to lattice QCD calculations

Gluon helicity Δ **G/G from hadron production**



COMPASS data indicate $\Delta G > 0$ at x ~0.1

Results are in agreement with fits from NNPDF and DSSV++ using RHIC pp data, which give

 $\int_{0.05}^{0.2} \Delta g(x) \mathrm{d}x \simeq 0.20$

QCD fits- World data on g₁^p and g₁^d

Polarized Deep Inelastic Scattering → Nucleon spin structure functions q₁

→ g1 (x,Q2) as input to global QCD fits for extraction of $\Delta q_f(x)$ and $\Delta g(x)$







COMPASS NLO pQCD fit to g₁ DIS world data

- Assume functional forms for $\Delta\Sigma$, ΔG and Δq^{NS} , and assume SU3 symmetry
- Use DGLAP equations, relating $\Delta\Sigma$, ΔG evolutions .
- Fit g_1^{p} , g_1^{d} , g_1^{n} DIS world data
- Extract $\Delta \Sigma$ ΔG Gluons
 - → Solutions Δ G>0 and Δ G<0



 \rightarrow Quark spin contribution :

 $\Delta\Sigma$ = 0.31 (5) at Q²=3 (GeV/c)²

Largest uncertainty comes from the bad knowledge of functional forms.

Results in fair agreement with other global fits

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→ Gluon spin contribution: ΔG not well constrained, even the sign, using DIS only Solution with ΔG >0 agrees with result from DSSV++ using RHIC pp data

Summary on nucleon spin from COMPASS

$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L_q + L_g$

Quarks $\frac{1}{2} \Delta \Sigma \sim 0.15$ (3), from g₁ measurements and global analysis at NLO. Largest uncertainty on $\Delta \Sigma$ due to uncertainty on ΔG

Gluons Δ G/G positive at x ~ 0.1 (from data of γ -g fusion process, at LO). Agrees with precise RHIC result (Δ G ~0.2 for integral 0.05<x<0.2) Low x contribution to integral still unknown. Not enough constrain from g₁ global analysis at NLO.

Orbital momenta Lq, Lg: Ongoing studies of GPDs.

Promising results from lattice QCD calculations:

Confirm already $\Delta\Sigma$, and predictions for L_u et L_d .

→ The main question raised in 'Nucleon spin crisis' is resolved:

- Quark spin represents a non zero fraction (0.3) of nucleon spin (measurements and lattice QCD calculations)
- The hypothesis of very large ΔG (2 to 3, associated to L~ -2 ou -3) rejected

(COMPASS 2005)

• **Puzzle still pending:** share between ΔG and L

Quark helicities from semi-inclusive DIS $\rightarrow \ell$

 $l^{\rightarrow}p^{\rightarrow} \rightarrow l h^{+/-} X$ Outgoin (quark

Outgoing hadron tags quark flavor (quark fragmentation functions)

Leading order extraction of quark helicities from spin asymmetries:



What about Δs ? Integral is found negative from *inclusive* data, when imposing SU3 while here from *semi-inclusive* data, x> ~0.005, Δs is compatible with zero.

- **NB:** The extraction assumes quark Fragmentation Functions known (DSS here)
 - No measurement at lower x

 $D_i^h(z)$

 \overrightarrow{N}

Quark Fragmentation Functions (FF)

FFs : - Non perturbative object; needed to describe various reactions

- Strange quark FF= largest uncertainty in ∆s extraction from polarized SIDIS. Data exist from e⁺e⁻ and pp reactions, but unsufficient and at too high Q²

→ Measure hadron multiplicities in **SIDIS**: $\mu^+d \rightarrow \mu^+h^\pm X$ $h=\pi, K, p$



 $z = E_h / (E_\mu - E_\mu')$

 $\frac{dM^{h}(x,Q^{2},z)}{dz} = \frac{\sum_{q} e_{q}^{2} f_{q}(x,Q^{2}) D_{q}^{h}(z,Q^{2})}{\sum_{q} e_{q}^{2} f_{q}(x,Q^{2})}$

PDFs depend on *x*, while FFs depend on *z* \rightarrow With kaons, access typically : $s(x, Q^2)$. $D_s^{\kappa}(z, Q^2)$

Corrections for : acceptance, RICH purity & efficiency, radiative effects and vector meson contamination Data obtained in a fine binning in x, z, Q²

- $\rightarrow \pi$ and *K* multiplicities constitute an input to global NLO QCD analyses to extract quark FFs,
- \rightarrow Especially, *K* will constrain strangeness



COMPASS π and K multiplicities vs z in (x,y) bins



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- More than 1200 points in total, various Q² staggered vertically for clarity
- Strong z dependance
- $M\pi^+ \sim M\pi^-$ and $MK^+ > MK^-$

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From multiplicities to quark Fragmentation functions



- LO fit not conclusive. Some difficulty in fitting high z data even at NLO (see later)
- Global NLO fit *DSS17*, half of data from COMPASS \rightarrow **Smaller** D_{str}^{K} than previously; also ongoing study of strange PDF and FF via iterative study *BSS arXiv:1708.01630*
- Some contrains on FFs from sum of K⁺ and K⁻ multiplicities (see next slide)

Sum of z integrated multiplicities $\pi^+ + \pi^- \& K^+ + K^-$

For isoscalar target, simple dependence on FFs:



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Ratio of K⁺ to K⁻ multiplicity at high z

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Ratio of K⁺/K⁻ multiplicities satisfies simple relation at LO, when assuming D_{unf} negligible at z>0.5, and s=sbar:



- Data well above LO pQCD expectation
- Could suggest non applicability of factorization in cross-section or non universality of (kaon) FFs in that region.
- Further calculations at higher orders welcome
- Observe strong dependence on v = E E', also not expected at LO
- Could it explain part of discrepancy between HERMES & COMPASS?

p_T dependent hadron multiplicities in SIDIS



0.003

0.008

0.013

0.020

0.032

0.055

0.1

0.4

0.21

Transversity- Collins and Sivers asymmetries

- Access via **SIDIS**, transversely polarized target
- Measure simultaneously several azimuthal asymmetries, out of which :

Collins: Outgoing hadron direction & <u>quark transverse spin</u>

Sivers: Nucleon spin & <u>quark transverse momentum k</u>_T

Sivers function = one of the TMDs = Transverse Momentum Dependent PDFs



note: $\Delta_T q$ also measured in SIDIS using "Two hadron" fragmentation function



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M. Anselmino et al., RRD87 (2013) 094019

Sivers asymmetry \rightarrow Sivers function

Correlation between Nucleon spin & quark transverse momentum k_T



→ Opposite sign for u and d quark Sivers function

Nb: Asymmetry also measured for π and K **PLB 744 (2015) 250**

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Direct extraction of Transversity h₁ and Sivers function

Direct extractions using COMPASS proton and deuteron data on both single hadron and dihadron azimuthal asymmetries.



Global fits of COMPASS and HERMES data *M. Anselmino et al. PRD 87 (2013)094019*

Transversity from di-hadrons. Interplay with Collins

Fragmentation of a transversely polarized quark

Azimuthal asymmetries from production of :

- di-hadron (oppositely charged pair)
- single hadron (+ and -, mirror symmetric Collins asymmetries)





- First experimental indication for a common physical origin to the two processes, di-hadron and Collins, as originally suggested by different models.
- Results for 'transversity' from the two measurements are NOT independent

COMPASS ongoing program 2015 - 2018:

- GPDs (Generalized Parton Distributions) via Deep Virtual Compton Scattering $\mu p \rightarrow \mu p' \gamma$
- TMDs (Sivers and other transverse Momentum Dependent distributions)
 via spin dependent Drell-Yan π p↑ → μ⁺ μ⁻

DVCS- t-slope of Cross-section, first result



x dependence of transverse size of the nucleon

 $\sigma^{\text{DVCS}}/\text{dt} \sim \frac{\exp^{-B}|t|}{B(x_B)} = \frac{1}{2} < r_{\perp}^2(x_B) >$



Deep Virtual Compton Scattering (γ **)**

Deep Virtual Meson Prod. (ρ)





Sivers from SIDIS (at Drell-Yan scale)



COMPASS- Spin dependent Drell-Yan (2015 and 2018)

Polarized Drell-Yan: π beam on transversely polarized nucleon $\pi p \uparrow \rightarrow \mu^+ \mu^-$

Test change of sign in Sivers between Drell-Yan and SIDIS

COMPASS assets

- Large acceptance, same spectrometer for both processes
- Hadron beam (π , K, p) with valence antiquarks
- Transversely polarized target



COMPASS Plans



Letter of Intent for 2022 and beyond in preparation: Proton radius Pion PDFs kaon & p-bar beams (Kaon PDFs; Meson Spectroscopy) ...

COMPASS 2021 projections – Transversity

Proposal for Transversity measurements in 2021 $\mu d\uparrow \rightarrow \mu' h X$



Proton Radius measurement

COMPASS Proposal µ p elastic

High energy muon beam : 100 GeV Target: high pressure hydrogen active target cell (PNPI development)

 Q^2 range for fit: 0.001 to 0.02

Precision : 0.03 fm (low beam intensity), with goal of 0.01 fm



IKAR active target cell A. Vorobyev, St. Petersburg

Summary

Gluon and quark contribution to nucleon spin

Gluon $\Delta G/G = 0.1$ at x=0.1 from measurement in PGF 2 hadrons

Quarks : Sum 0.26< $\Delta\Sigma$ < 0.34 from global QCD fit of g₁ world data Largest uncertainty comes from functional shape (of Δ G also) Extraction for all flavours from SIDIS measurements, down to x ~0.004. Towards agreement with Lattice QCD calculation

Pion and kaon multiplicities in semi-inclusive DIS:

Large discrepancies between COMPASS and HERMES data

Transversity and Transverse Momentum Dependent parton distributions

Precise results on Collins and Sivers asymmetries Interplay Collins effect / di-hadron Much progress on all azimuthal asymmetries for TMDs

Sivers : sign change Drell-Yan vs SIDIS

and more data to come

GPDs via DVCS: First result on proton transverse size



Strange quark FF. DEHSS global fit of kaon data



Simultaneous study of PDF and FF

Borsa, Sassot & Stratmann arXiv:1708.01630v

Iterative procedure; fitting SIDIS charged kaon multiplicities from COMPASS and HERMES.

Concluding on NNPDF3.0 PDF set for s(x).



FIG. 5: Reweighting of the strange quark distribution (upper left panel) and for the PDF combinations sensitive to charge (upper right panel) and flavor (lower panels) symmetry breaking using the DSS 17 set of kaon FFs that is based on the MMHT 14 set of PDFs; see text. The dashed light blue and black lines and the hatched areas represent the results of one iteration of the reweighting procedure and the corresponding uncertainty bands, respectively; see text. All results are shown at a scale of $Q^2 = 5 \,\text{GeV}^2$.

Six Transverse Target spin asymmetries

beyond Collins & Sivers, access TMDs

 k_T effects \rightarrow modulations in SIDIS cross-section

- Major progress in TMD measurement
- Powerful tool to understand correlations



In agreement with HERMES prelim., and with theoretical predictions

 $\mu p \rightarrow \mu p h^{+-}$

Proton Radius measurement-COMPASS Proposal

- 100 GeV SPS muon beam (M2)
- Hydrogen high-pressure active TPC target cell (PNPI development)
- Measure the cross-section (shape) over broad Q² range 10⁻⁴ ... 10⁻¹
- From 10⁻³ ... 2*10⁻² fit the proton radius (slope of electric form factor)
 - Precision 0.03 fm with conservative beam trigger (0.5% beam intensity)
 - Goal: 0.01 fm (from 180 days) trigger concept to be solved



IKAR active target cell A. Vorobyev, St. Petersburg

unique because...

- muon beam requires a factor 10 smaller radiative corrections than e⁻ beams (vs. Mainz, Jlab)
- high-energy muon beam, very small scattering angles: practically no Coulomb correction (vs. MUSE)
- best systematics control



Ratio of K⁺ to K⁻ multiplicity at high z (1/2)

Study ratio of K⁺ to K⁻ multiplicities at high z: These are the first SIDIS multiplicity data at z> 0.85 No contributions from diffractive production of \$\ophi\$ Many systematic uncertainties cancel

Ratio has simple expression in pQCD LO for independent FFs:

 $\frac{dM^{K^+}}{dz} / \frac{dM^{K^-}}{dz} = \frac{4uD_{fav} + \bar{s}D_{str}}{4\bar{u}D_{fav} + sD_{str}}$

Gives a simple limit within some assumptions $(D_{unf} \text{ negligible at } z > 0.5, s = sbar)$:

$$\frac{dM^{K^+}}{dz} / \frac{dM^{K^-}}{dz} < \frac{u}{\bar{u}} \qquad \text{for proton target}$$

$$\frac{dM^{K^+}}{dz} / \frac{dM^{K^-}}{dz} < \frac{u+d}{\bar{u}+\bar{d}} \qquad \text{for deuteron target}$$