

***TMD experiments  
from  
COMPASS SIDIS***



***Takahiro Iwata,  
(Yamagata University)  
on behalf of COMPASS Collaboration***

# OUTLINE

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- ***Introduction***
  - *COMPASS setup for DIS & SIDIS*
  - *Nucleon PDFs*
- ***Collins asymmetry***
  - *Collins Asymmetry for single-hadron production*
  - *Di-hadron Asymmetry*
- ***Sivers asymmetry***
  - *Sivers Asymmetry for single-hadron production*
  - *Weighted Sivers asymmetry*
- ***Gluon Sivers asymmetry***
- ***Conclusion***

# COMPASS at CERN



**DIS & SI-DIS setup  
with muon & PT**

SciFi  
Silicon  
Micromegas  
GEMs

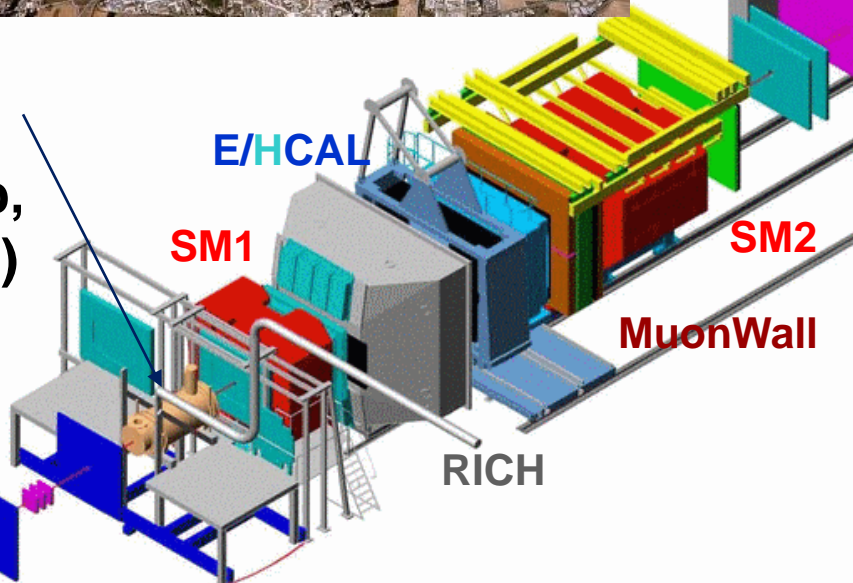
Straws  
SDC  
MWPC  
W45

**MuonWall**

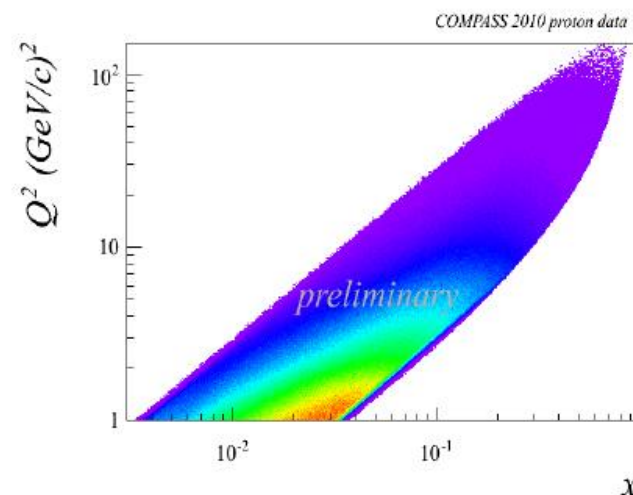
**E/HCAL**

**Polarised  
Target  
(NH<sub>3</sub> for p,  
<sup>6</sup>LiD for d)**

Pol.  
 $\mu$  beam  
from SPS  
160-200 GeV,  
pol. = 80%



**two stage spectrometer  
tracking, calorimetry, PID**



Takahiro IWATA,

Hadron China 2017, 24-28, 2017,

# The Polarized Target System

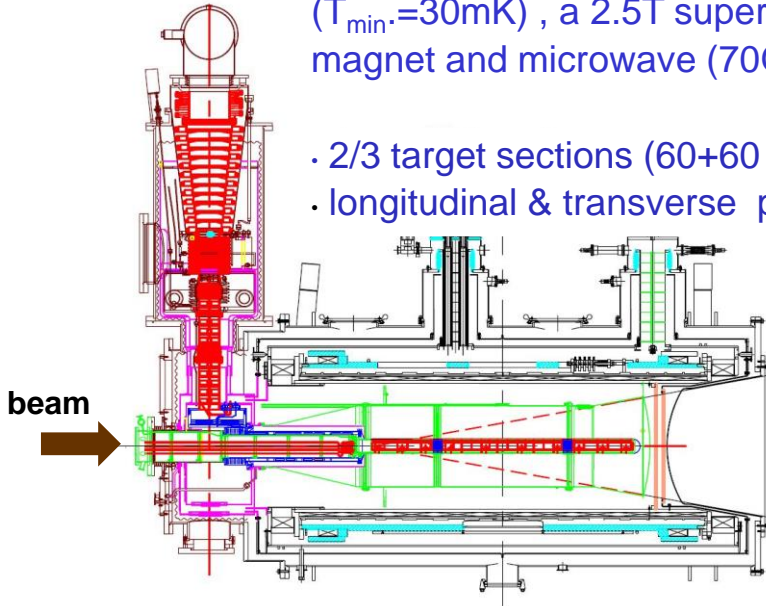


DNP technique with a dilution refrigerator ( $T_{\min}=30\text{mK}$ ), a 2.5T superconducting magnet and microwave (70GHz) system

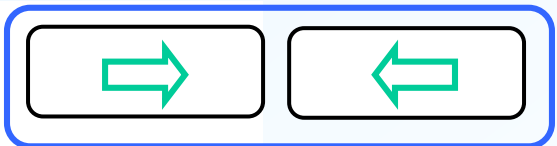
- 2/3 target sections (60+60 cm/30+60+30 cm)
- longitudinal & transverse pol. modes



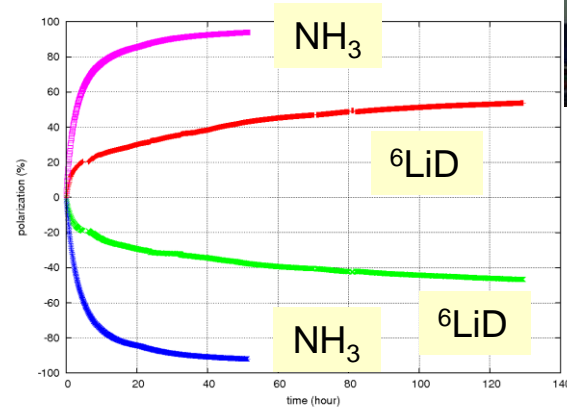
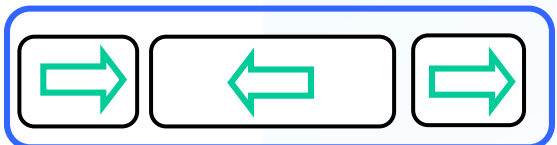
**The world biggest PT**



2002 - 2004



2006 - 2011



→ Polarization of proton(NH<sub>3</sub>) ~90%

→ Polarization of deuteron( <sup>6</sup>LiD) ~50%

- <sup>6</sup>Li (~ α+d) also polarized
- dilution factor f=50%

# The Polarized Target System

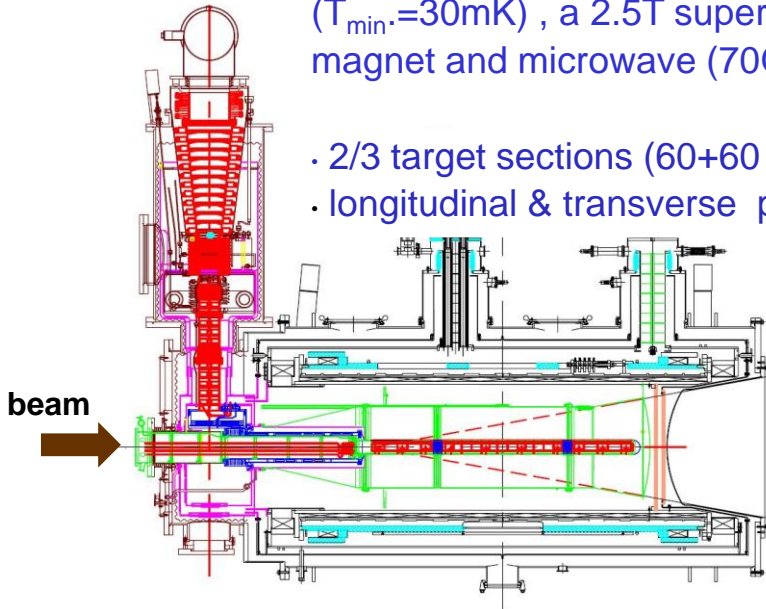


DNP technique with a dilution refrigerator ( $T_{\min}=30\text{mK}$ ), a 2.5T superconducting magnet and microwave (70GHz) system

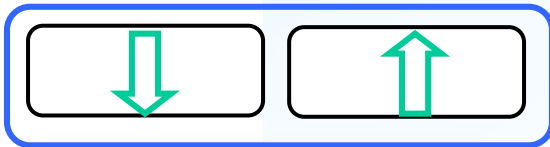
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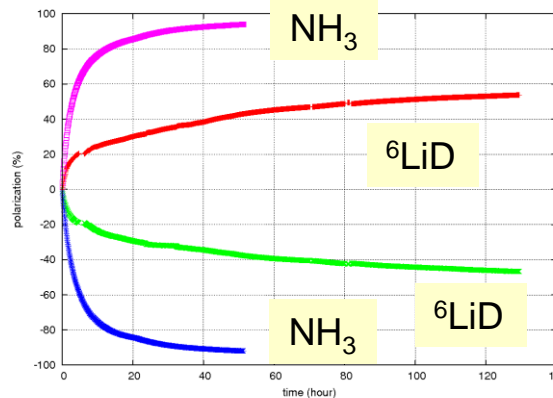
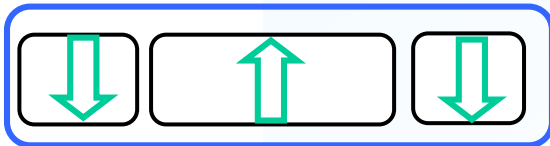
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- <sup>6</sup>Li (~ α+d) also polarized
- dilution factor f=50%

# Nucleon PDFs

At LO, taking account of transverse momentum( $k_T$ ) of the quarks

## Nucleon

unpol.

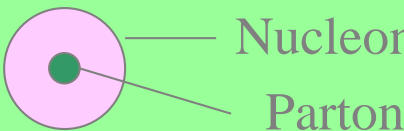
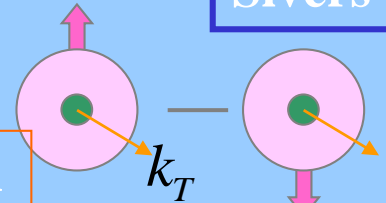
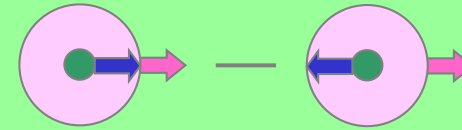
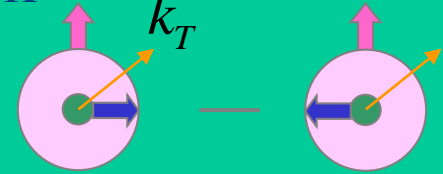
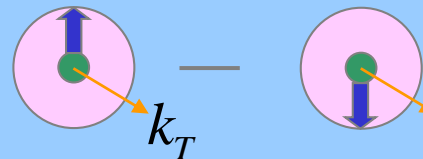
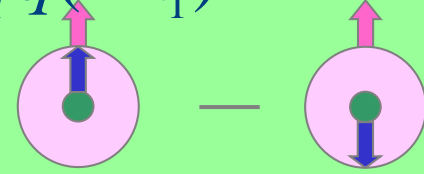
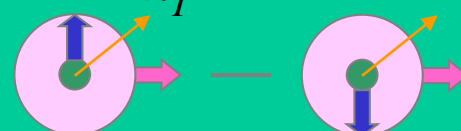
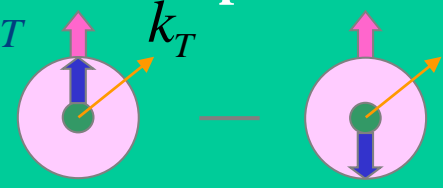
long. pol.

trans. pol.

unpol.

long. pol.

trans. pol.

<p><math>q(=f_1)</math> <b>number density</b></p>  <p>Nucleon Parton</p>		<p><math>f_{1T}^\perp</math> <b>Sivers</b></p> <p>T-odd</p> 
	<p><math>\Delta q(=g_{1L})</math> <b>helicity</b></p> 	<p><math>g_{1T}</math> <b>worm-gear-1</b></p> 
<p><math>h_1^\perp</math> <b>Boer-Mulders</b></p>  <p>T-odd</p>		<p><math>\Delta_T q(=h_1)</math> <b>transversity</b></p> 
	<p><math>h_{1L}^\perp</math> <b>worm-gear-2</b></p> 	<p><math>h_{1T}^\perp</math> <b>pretzelosity</b></p> 

Quark

# Sivers & BM-functions

## Nucleon

unpol.

long. pol.

trans. pol.

unpol.

long. pol.

trans. pol.

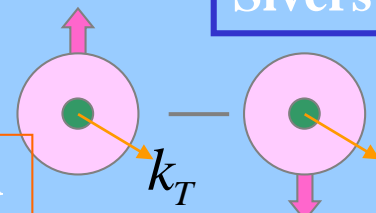
*Sivers- and BM-functions are related to quark OAM and expected to be process dependent*

J. Collins, Phys. Lett. B **536**, 43 (2002).

$f_{1T}^\perp$

Sivers

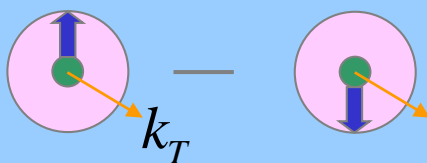
T-odd



Quark

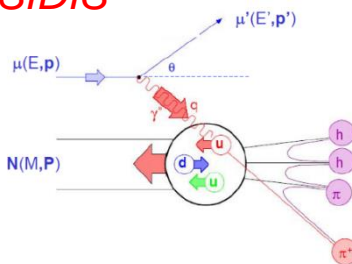
$h_1^\perp$

Boer-Mulders

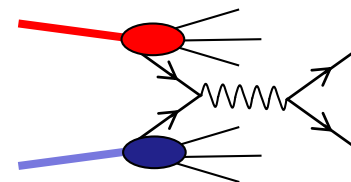


T-odd

SIDIS



DY



$$h_1^\perp(x, k_\perp^2)_{SIDIS} = -h_1^\perp(x, k_\perp^2)_{DY}$$

$$f_{1T}^{\perp q}(x, k_\perp^2)_{SIDIS} = -f_{1T}^{\perp q}(x, k_\perp^2)_{DY}$$

# SIDIS x-section for single hadron production

A.Kotzinian, Nucl. Phys. B441, 234 (1995). Bacchetta, Diehl, Goeke, Metz, Mulders and Schlegel JHEP 0702:093 (2007)

$$\frac{d\sigma}{dx dy dz dP_{hT}^2 d\phi_h d\psi} = \left[ \frac{\alpha}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left( 1 + \frac{\gamma^2}{2x} \right) \right] \times (F_{UU,T} + \varepsilon F_{UU,L}) \times$$

$$\left[ \begin{aligned} &1 + \cos \phi_h \times \sqrt{2\varepsilon(1+\varepsilon)} A_{UU}^{\cos \phi_h} + \cos(2\phi_h) \times \varepsilon A_{UU}^{\cos(2\phi_h)} + \\ &\lambda \sin \phi_h \times \sqrt{2\varepsilon(1-\varepsilon)} A_{LU}^{\sin \phi_h} + \\ &S_L \left[ \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_h A_{UL}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) A_{UL}^{\sin(2\phi_h)} \right] + \\ &S_L \lambda \left[ \sqrt{1-\varepsilon^2} A_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_h A_{LL}^{\cos \phi_h} \right] + \end{aligned} \right]$$

Sivers

$$\sin \phi_S A_{UT}^{\sin \phi_S}$$

$$\phi_S \equiv \phi_h - \phi_s$$

$$\sin \phi_s \times \left( \sqrt{2\varepsilon(1+\varepsilon)} A_{UT}^{\sin \phi_s} \right) +$$

$$\sin(\phi_h - \phi_s) \times \left( A_{UT}^{\sin(\phi_h - \phi_s)} \right) +$$

Collins

$$\sin \phi_C A_{UT}^{\sin \phi_C}$$

$$\begin{aligned} \phi_C &\equiv \phi_h - \phi_{s'} \\ &= \phi_h + \phi_s - \pi \end{aligned}$$

$$\sin(\phi_h + \phi_s) \times \left( \varepsilon A_{UT}^{\sin(\phi_h + \phi_s)} \right) +$$

$$\sin(2\phi_h - \phi_s) \times \left( \sqrt{2\varepsilon(1+\varepsilon)} A_{UT}^{\sin(2\phi_h - \phi_s)} \right) +$$

$$\sin(3\phi_h - \phi_s) \times \left( \varepsilon A_{UT}^{\sin(3\phi_h - \phi_s)} \right)$$

Transverse Target Spin Asymmetries

$$\cos \phi_s \times \left( \sqrt{2\varepsilon(1-\varepsilon)} A_{LT}^{\cos \phi_s} \right) +$$

$$\cos(\phi_h - \phi_s) \times \left( \sqrt{1-\varepsilon^2} A_{LT}^{\cos(\phi_h - \phi_s)} \right) +$$

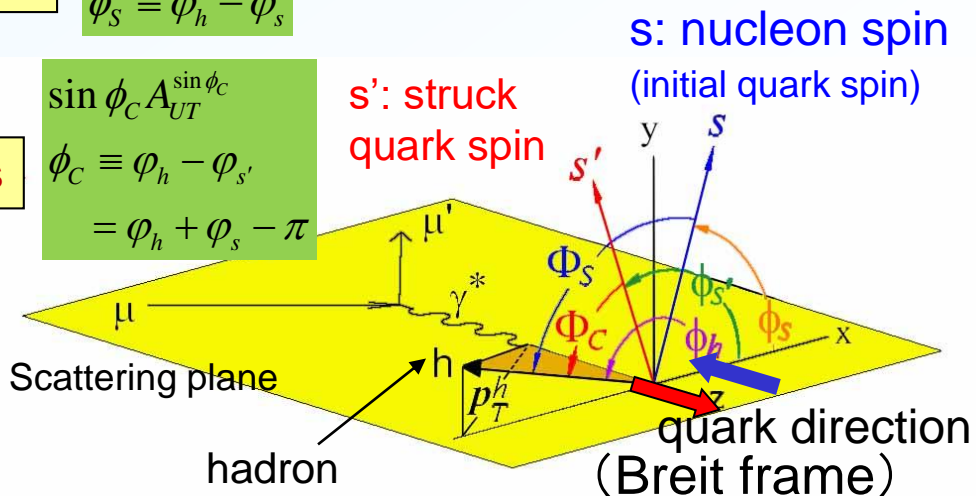
$$\cos(2\phi_h - \phi_s) \times \left( \sqrt{2\varepsilon(1-\varepsilon)} A_{LT}^{\cos(2\phi_h - \phi_s)} \right)$$

$$A_{U(L),T}^{w(\phi_h, \phi_s)} = \frac{F_{U(L),T}^{w(\phi_h, \phi_s)}}{F_{UU,T} + \varepsilon F_{UU,L}}$$

S: nucleon covariant spin vector

$\lambda$ : lepton helicity

$$\varepsilon = \frac{1-y-\frac{1}{4}\gamma^2 y^2}{1-y+\frac{1}{2}y^2+\frac{1}{4}\gamma^2 y^2}, \quad \gamma = \frac{2Mx}{Q}$$



15 asymmetries:

2-"UU", 1-"LU", 2-"UL", 2-"LL", 5-"UT", 3-"LT"

All measured in COMPASS for p & d

Hadron China 2017, 24-28, 2017, Nanjing

Takahiro IWATA,



# Collins & Sivers asymmetries

*Transversity*

*Collins F.F. (polarized quark fragmentation)  
can be used for quark polarimeter*

$$A_{Coll} \approx \frac{\sum_q e_q^2 \cdot \Delta_T q \cdot H_1^{\perp q}}{\sum_q e_q^2 \cdot q \cdot D_q^h}$$

**Collins mechanism**

*Sivers PDF*

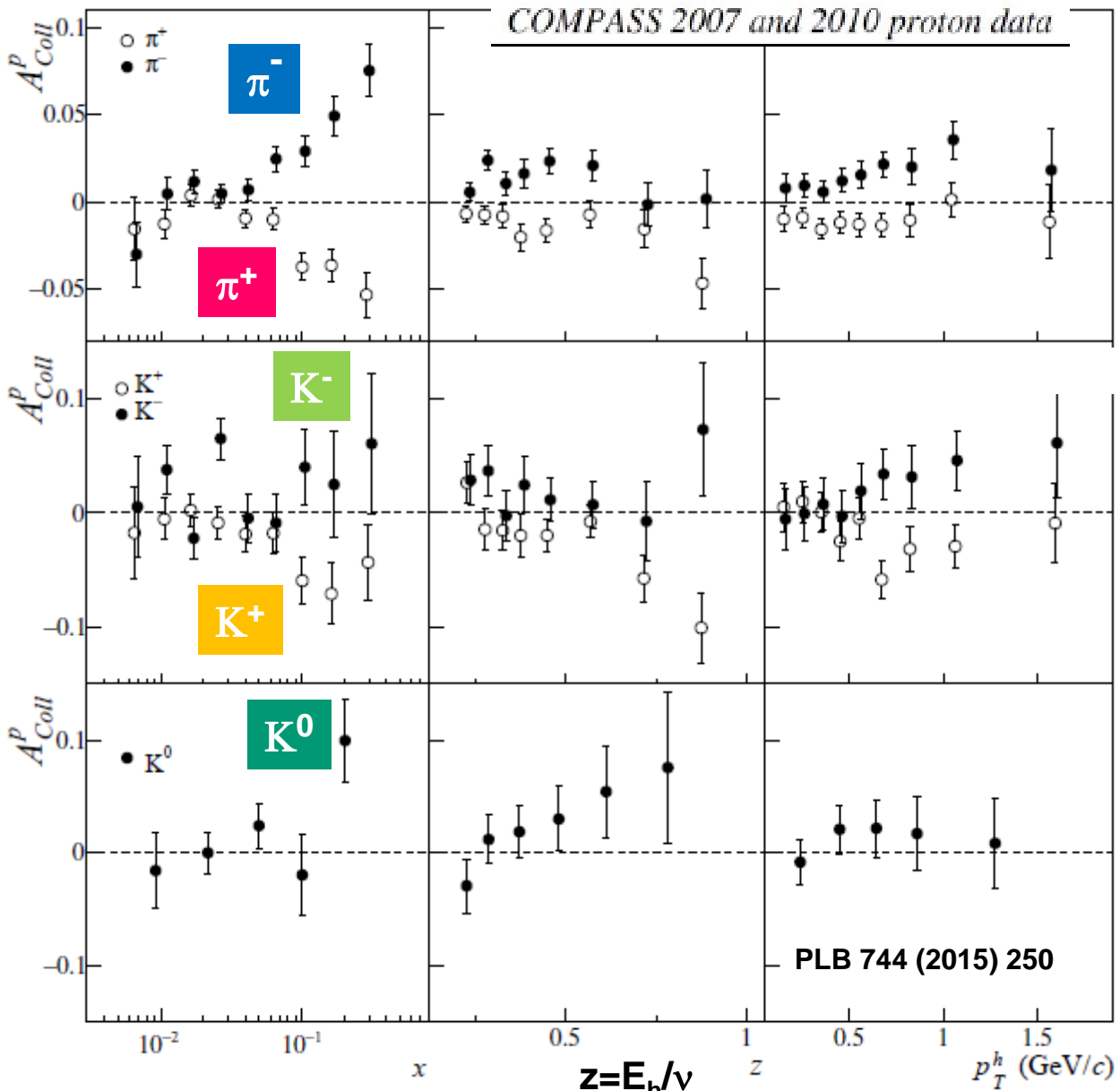
*unpol. F.F.*

$$A_{Siv} \approx \frac{\sum_q e_q^2 \cdot f_{1T,q}^{\perp} \cdot D_q^h}{\sum_q e_q^2 \cdot q \cdot D_q^h}$$

**Sivers effect**

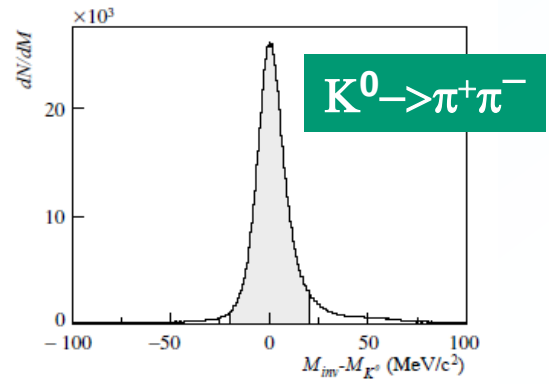
# Collins Asymmetry on Proton

**Event Selection**  
 $Q^2 > 1 \text{ (GeV/c)}^2$   
 $W > 5 \text{ GeV/c}^2$   
 $0.05 < y < 0.9$   
 $z > 0.1$   
 $|P_{T1}^h| > 0.07 \text{ GeV/c}$



*Clearly non-zero in valence region, negative for  $\pi^+$ , positive for  $\pi^-$*

*Similar trend for  $K$ , negative for  $K^+$ , positive for  $K^-$*

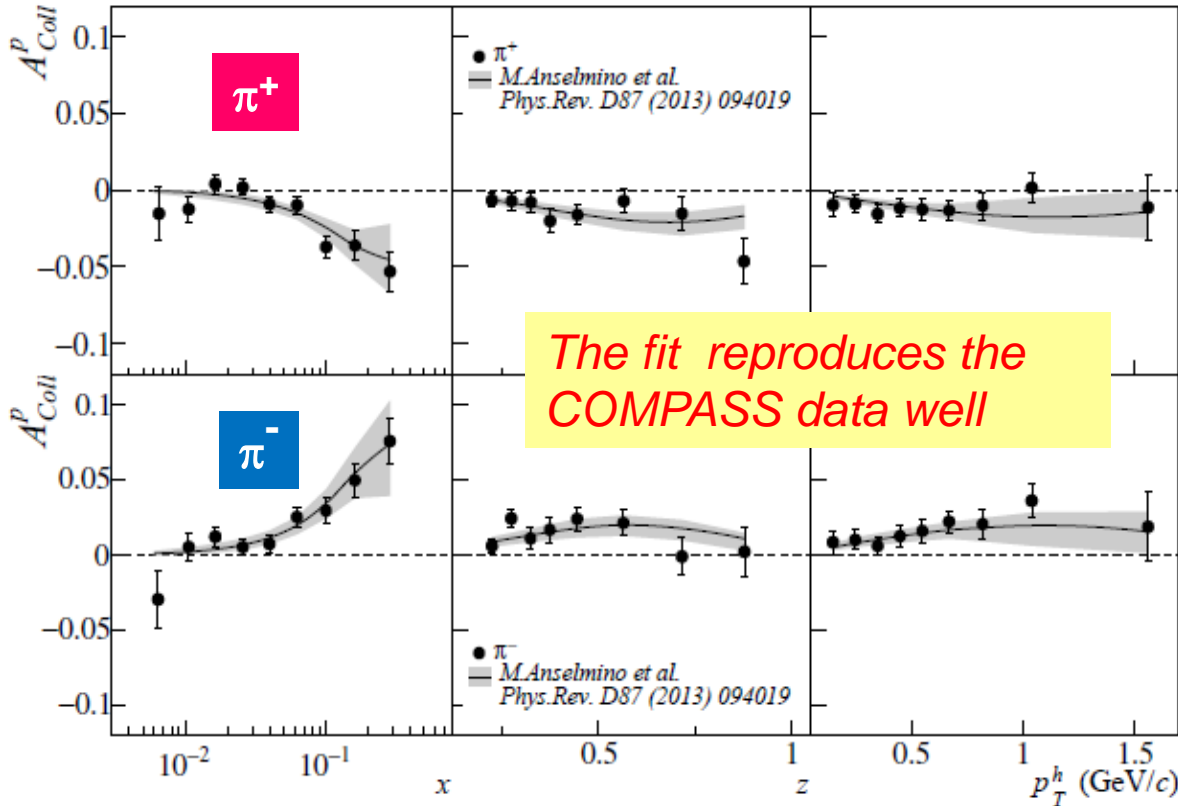


Deuteron target asymmetries compatible with zero (COMPASS PLB673 (2009) 127 )

# Collins Asymmetry compared with a Fit

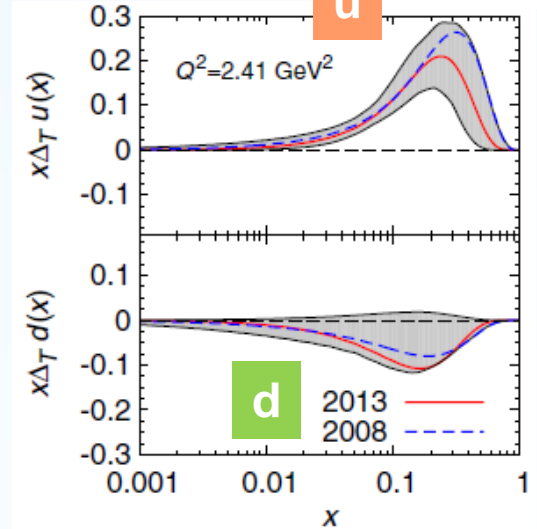


COMPASS 2007 and 2010 proton data

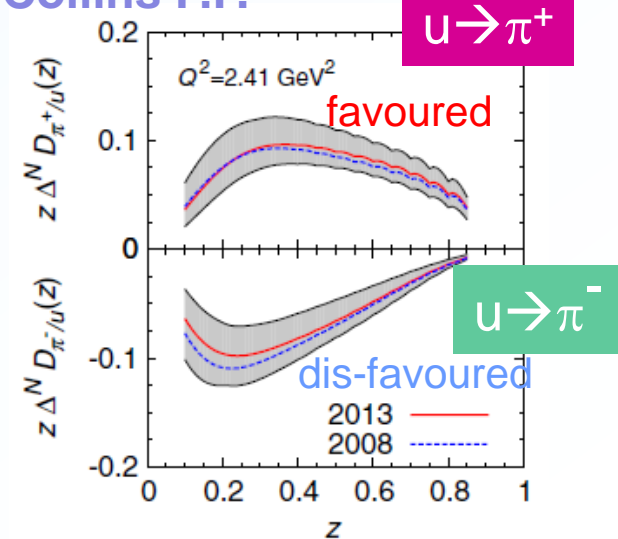


global fit by Anselmino group with HERMES, COMPASS, Belle data [PRD87(2013) 094019]

## Transversity



## Collins F.F.



# Di-hadron Asymmetry & Transversity

$$lN^\uparrow \rightarrow l'h^+h^-X$$

Transversity PDF

“Di-hadron FF”

$$A_{UT}^{\sin\phi_{RS}} \approx \frac{\sum_q e_q^2 \cdot \Delta_T q \cdot H_q^\perp}{\sum_q e_q^2 \cdot f_q^q \cdot D_q^{2h}}$$

Modulation according to

$$\phi_{RS} = \phi_R - \phi_{S'} = \phi_R + \phi_S - \pi$$

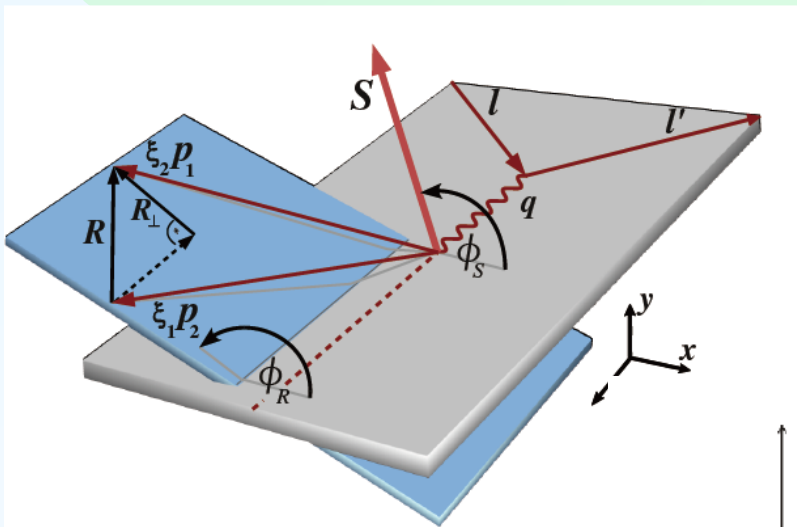
$\phi_R$ : azimuthal angle of  $\mathbf{R}$  (Relative hadron momentum vector)

$$\mathbf{R} = \frac{z_2 \mathbf{p}_1 - z_1 \mathbf{p}_2}{z_1 + z_2} =: \xi_2 \mathbf{p}_1 - \xi_1 \mathbf{p}_2$$

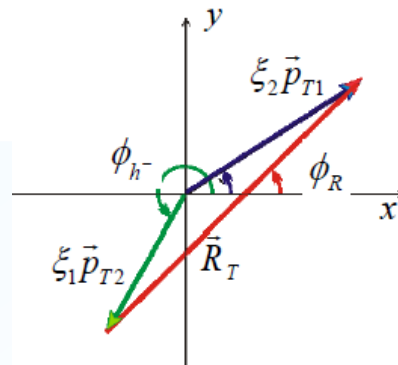
$$\phi_R = \frac{(\mathbf{q} \times \mathbf{l}) \cdot \mathbf{R}}{|(\mathbf{q} \times \mathbf{l}) \cdot \mathbf{R}|} \arccos \left( \frac{(\mathbf{q} \times \mathbf{l}) \cdot (\mathbf{q} \times \mathbf{R})}{|\mathbf{q} \times \mathbf{l}| |\mathbf{q} \times \mathbf{R}|} \right)$$

$\phi_{S'}$ : azimuthal angle of struck quark spin

$\phi_S$ : azimuthal angle of initial quark spin



the  $\gamma^*$ -nucleon system



$$N_{h+h^-}(x, y, z, M_{h+h^-}^2, \cos\theta, \phi_{RS})$$

$$\propto \sigma_{UU}(1 + f(x, y)P_T D_m(y)A_{UT}^{\sin\phi_{RS}} \sin\theta \sin\phi_{RS}),$$

# Di-hadron Asymmetry on Proton



COMPASS 2007/2010 proton

$N_{\text{pair}} = 3.5 \times 10^7$   
after the cuts

## Event Selection

$$Q^2 > 1 \text{ (GeV/c)}^2$$

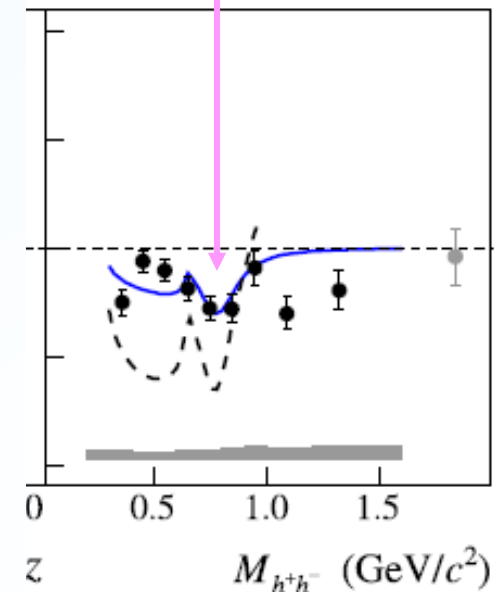
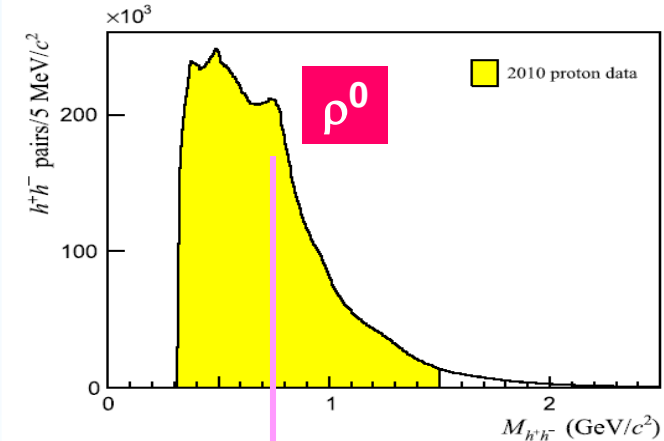
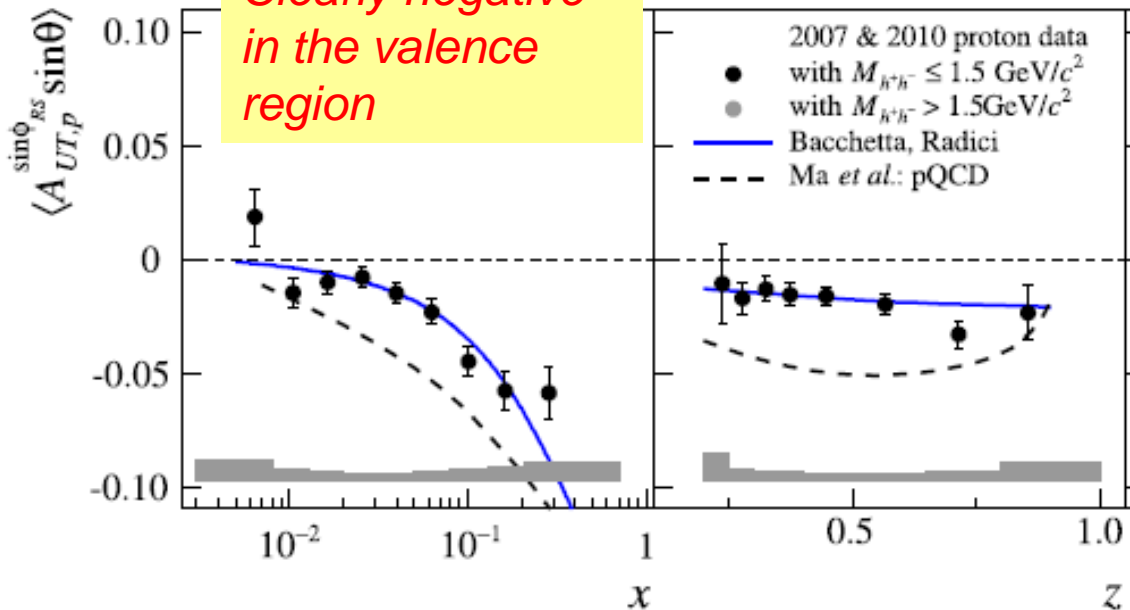
$$W > 5 \text{ GeV/c}^2$$

$$0.1 < y < 0.9$$

$$z > 0.1$$

$$|R_T| > 0.07 \text{ GeV/c}$$

Clearly negative  
in the valence  
region

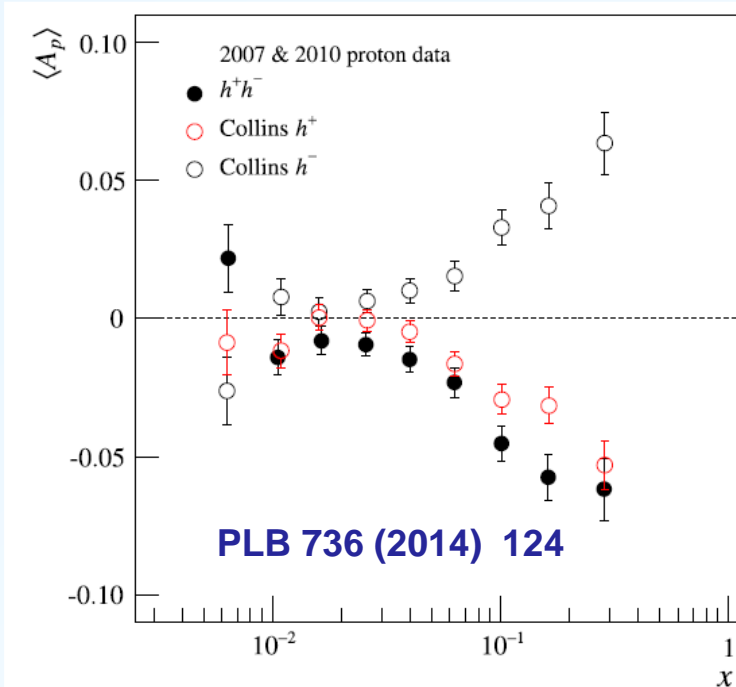


Deuteron data also published  
PLB 713 (2012) 10

The asymmetry is compatible with zero !

Phys. Lett. B736 (2014) 124

# Interplay; Di-hadron & Collins Asymmetries COMPASS

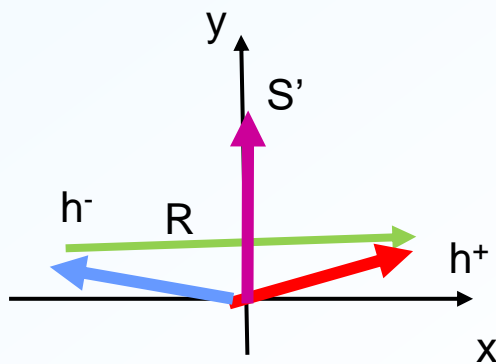
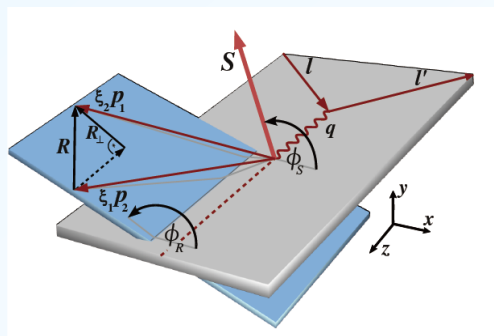


[1] Mirror symmetry  
between Collins  $h^+$  and  $h^-$

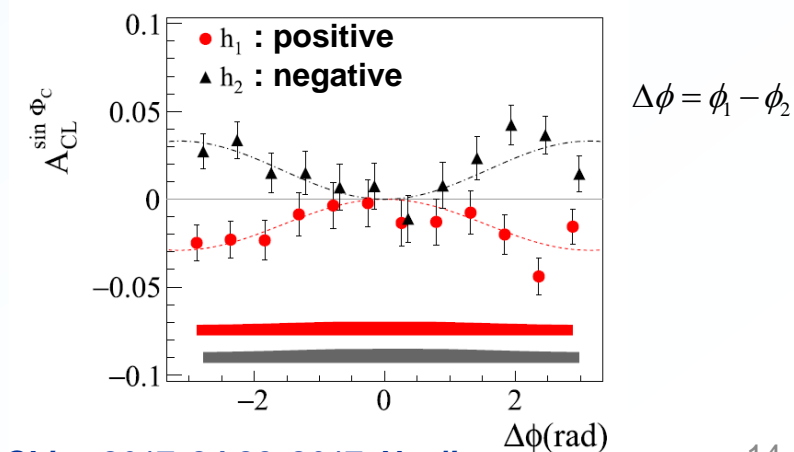
[2] Di-hadron asymmetry  
similar to the Collins  $h^+$

➔ Hint of common physical origin between Di-hadron FF and Collins mechanism

Further study on the Collins asymmetries for the di-hadron events gave indication that they are driven by the common origin



COMPASS PLB 753 (2016) 406



# Sivers Asymmetry on Proton

Event Selection



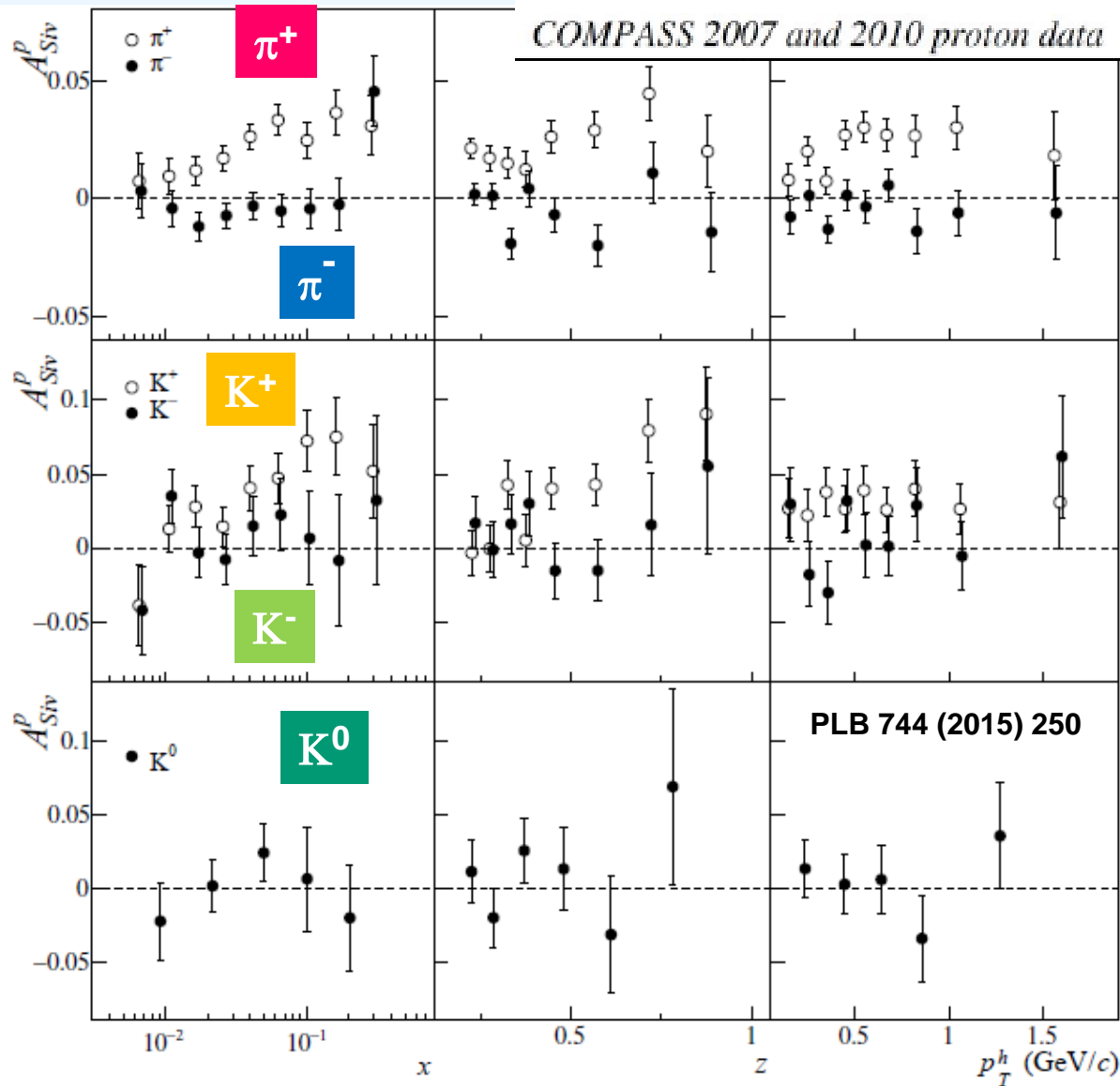
$Q^2 > 1 \text{ (GeV/c)}^2$

$W > 5 \text{ GeV/c}^2$

$0.05 < y < 0.9$

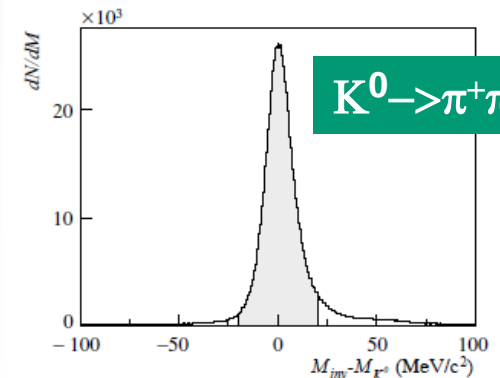
$z > 0.1$

$|P_T^h| > 0.07 \text{ GeV/c}$



Significantly large signal for  $\pi^+$  and  $K^+$

Compatible with zero for  $\pi^-$ ,  $K^-$  and  $K^0$

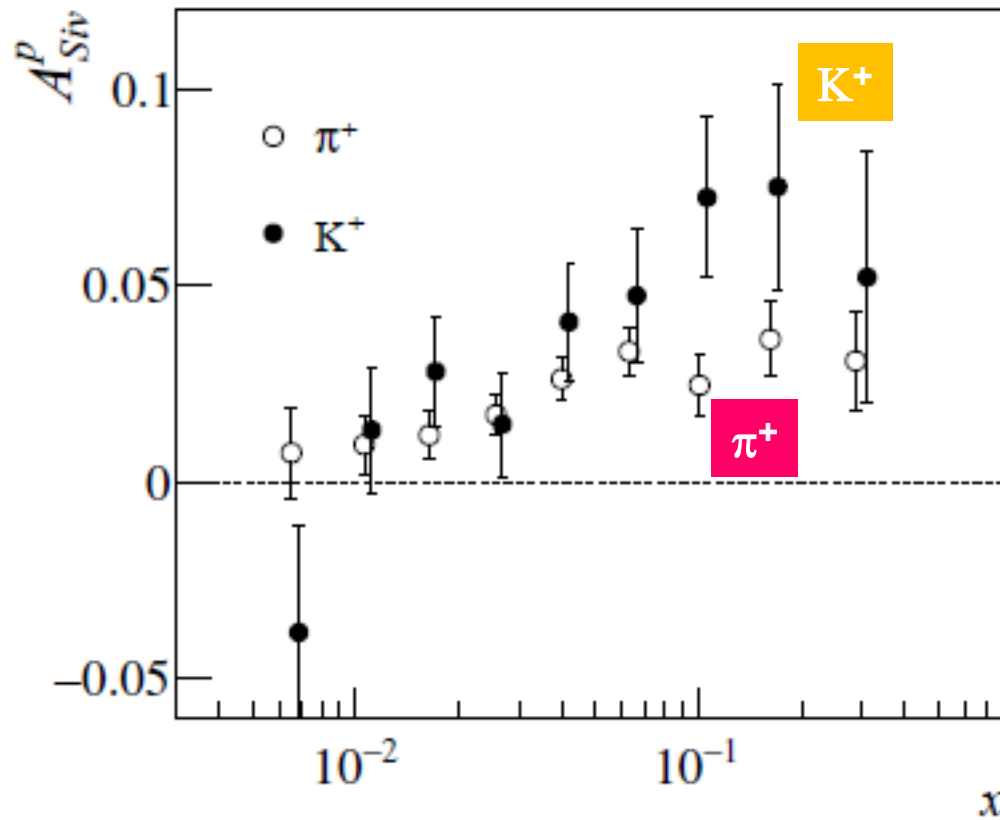


Deuteron target asymmetries compatible with zero (COMPASS PLB673 (2009) 127)

# Sivers Asymmetry on Proton; $\pi^+$ & $K^+$



*COMPASS 2007 and 2010 proton data*



*$K^+$  signal is even larger than that of  $\pi^+$*

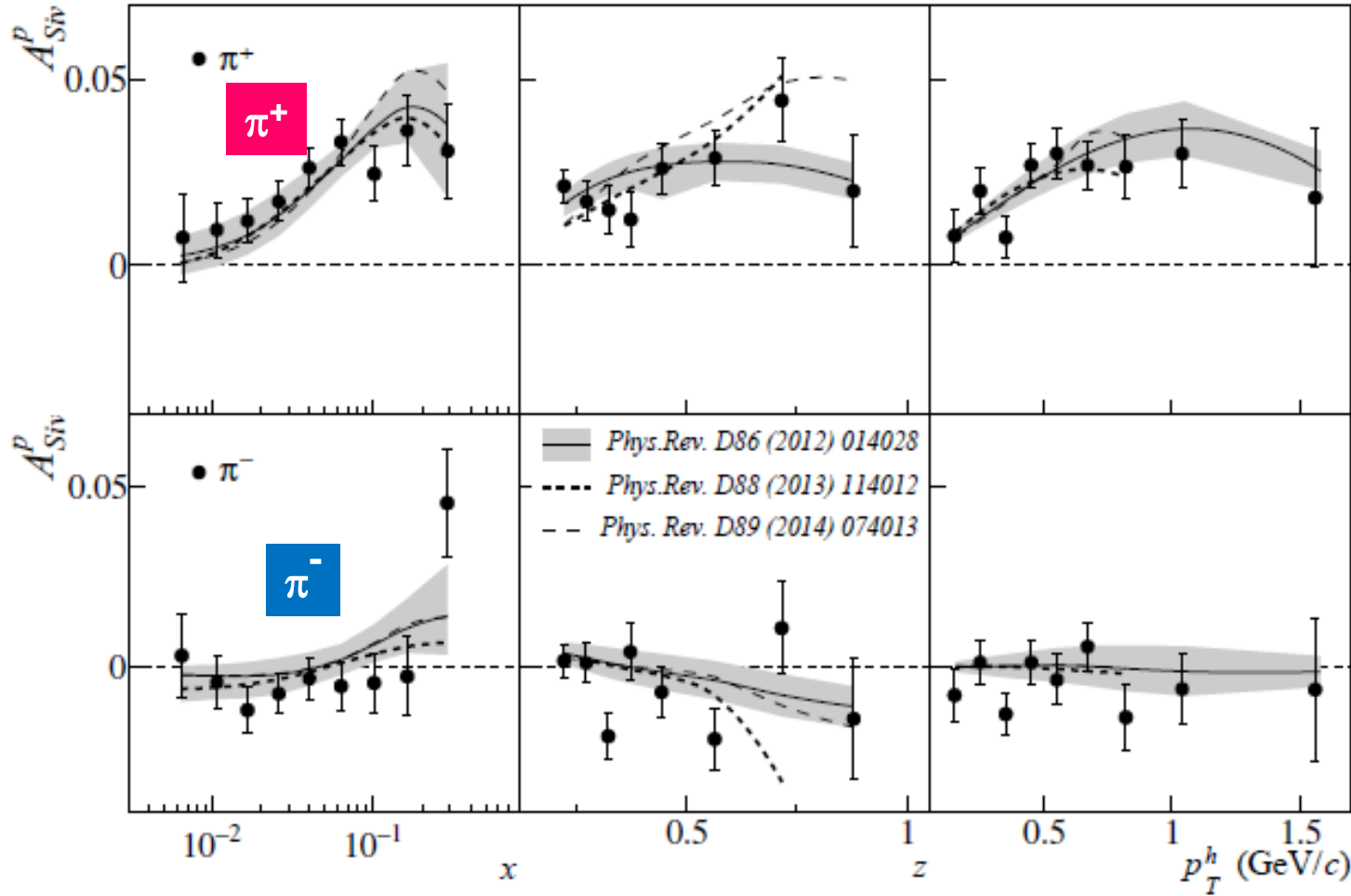
*→ possible contribution from sea quarks*



# Sivers Asymmetry compared with Global Fits



COMPASS 2007 and 2010 proton data



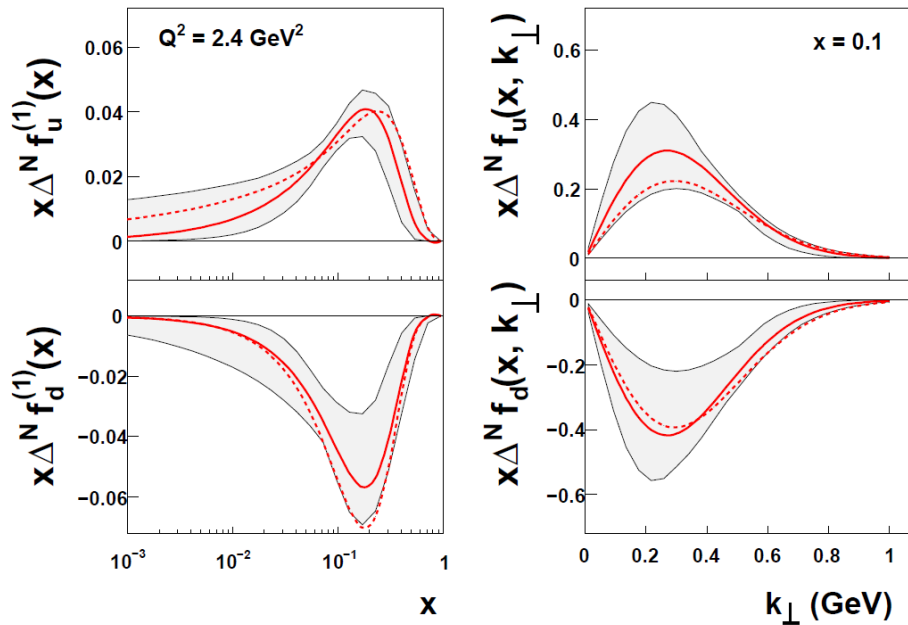
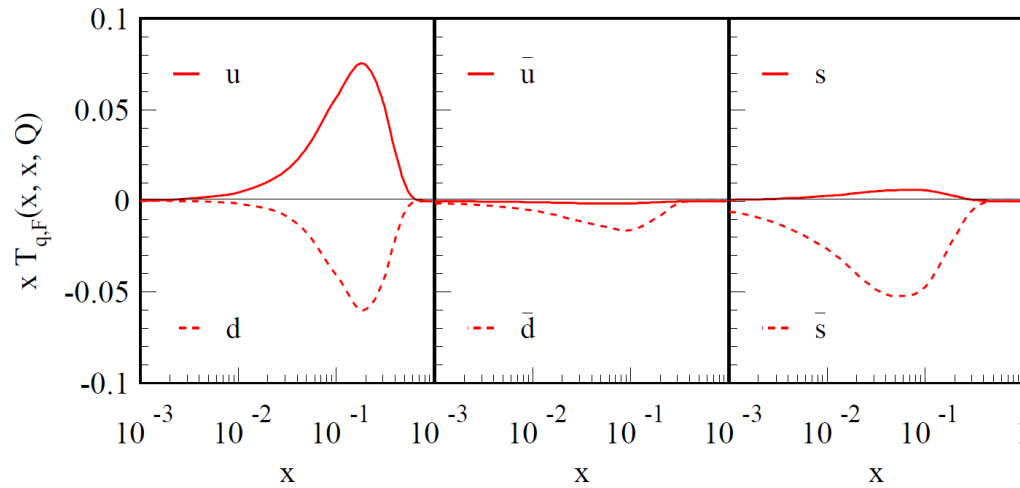
The fits reproduce the COMPASS data reasonably well.

COMPASS results for unidentified hadrons on proton is included in the global fits

# Extracted Sivers function by global fits



G.Miguel et al., Phys. Rev. D 89, 074013 (2014)

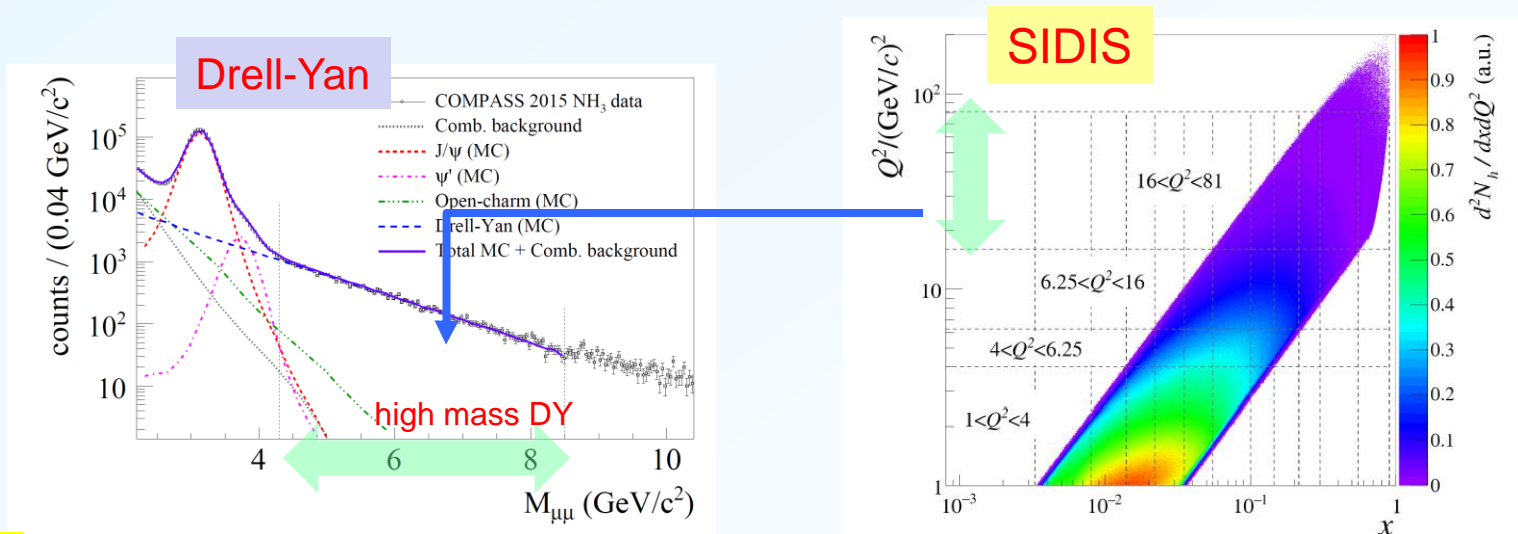


Anselmino et al., Eur.Phys.J.A39:89-100,2009

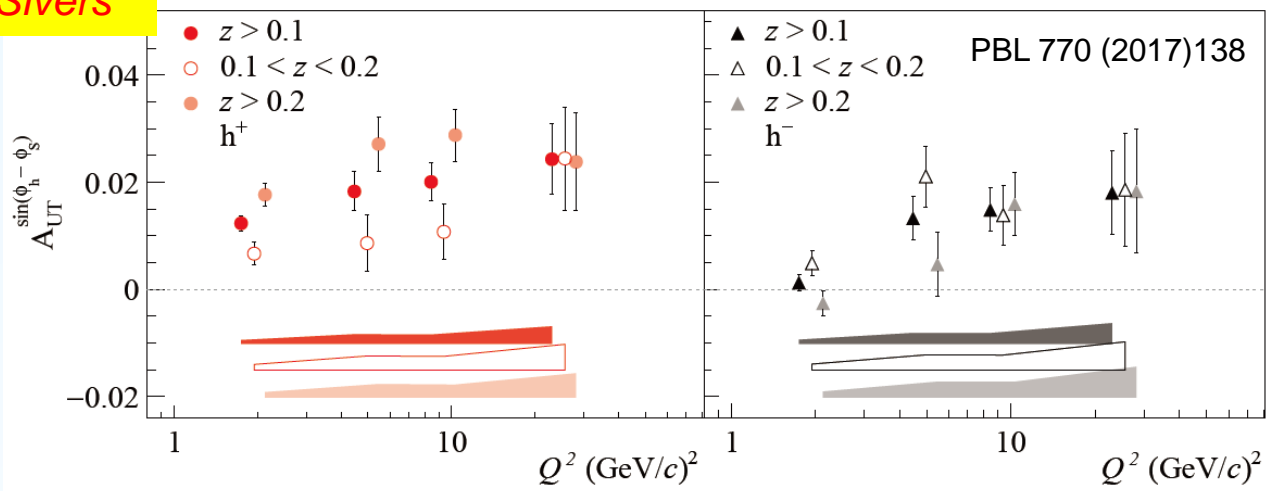
# Q<sup>2</sup> dependence of Sivers Asymmetries



COMPASS has measured the TSA in different Q<sup>2</sup> ranges in SIDIS



## Sivers



*h<sup>+</sup> : The data are positive in all the Q<sup>2</sup>-ranges.*

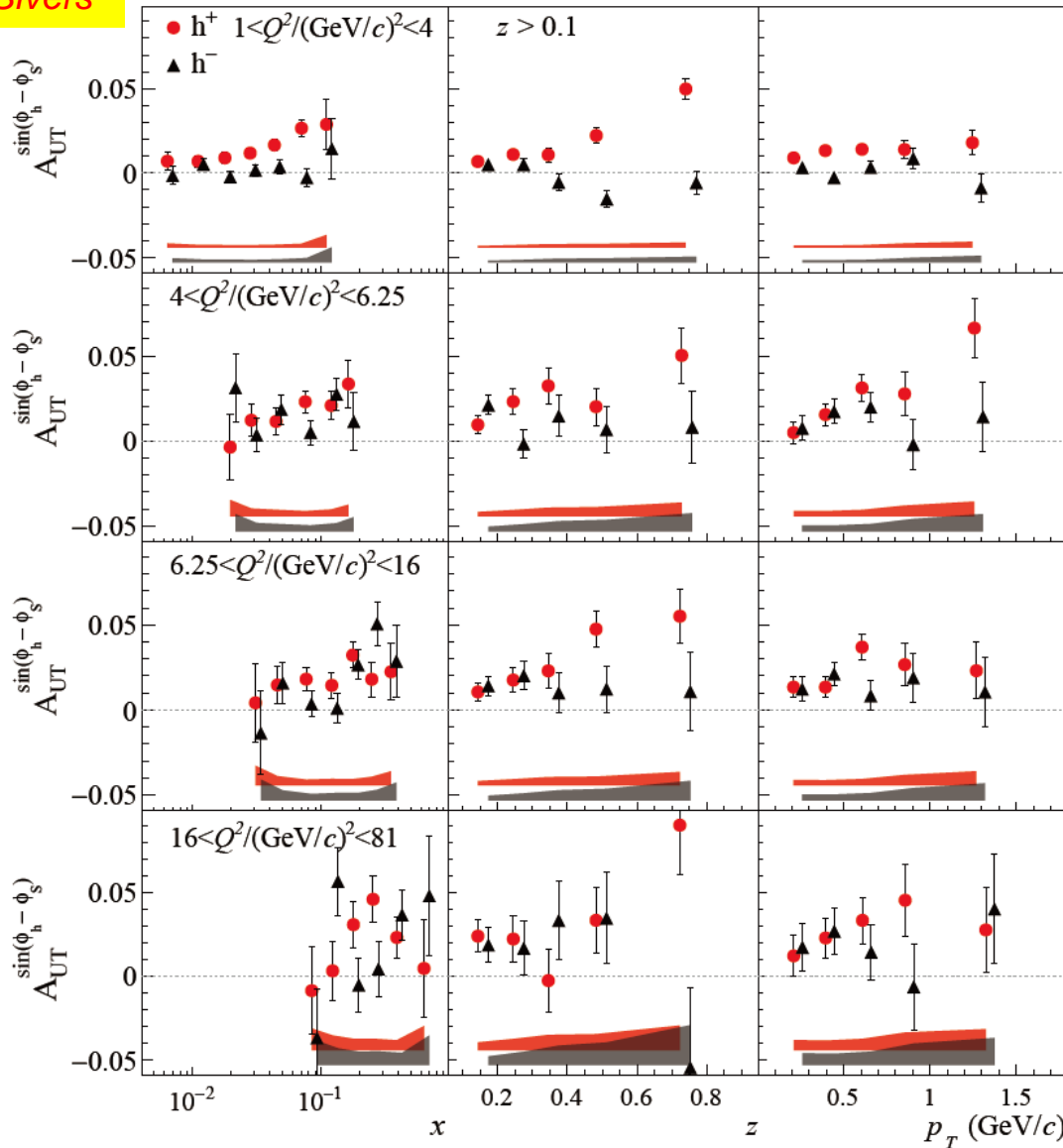
*h<sup>-</sup> : The lowest Q<sup>2</sup> data are compatible with 0 and data become significantly positive in the other ranges.*

# Multi Dimensional Sivers Asymmetries



Sivers

PBL 770 (2017)138



$h^+$  :  $A > 0$  in whole  $Q^2$ ,  
increasing as  $x$ ,  $z$  &  $P_t$ .

$h^-$  : less and not prominent

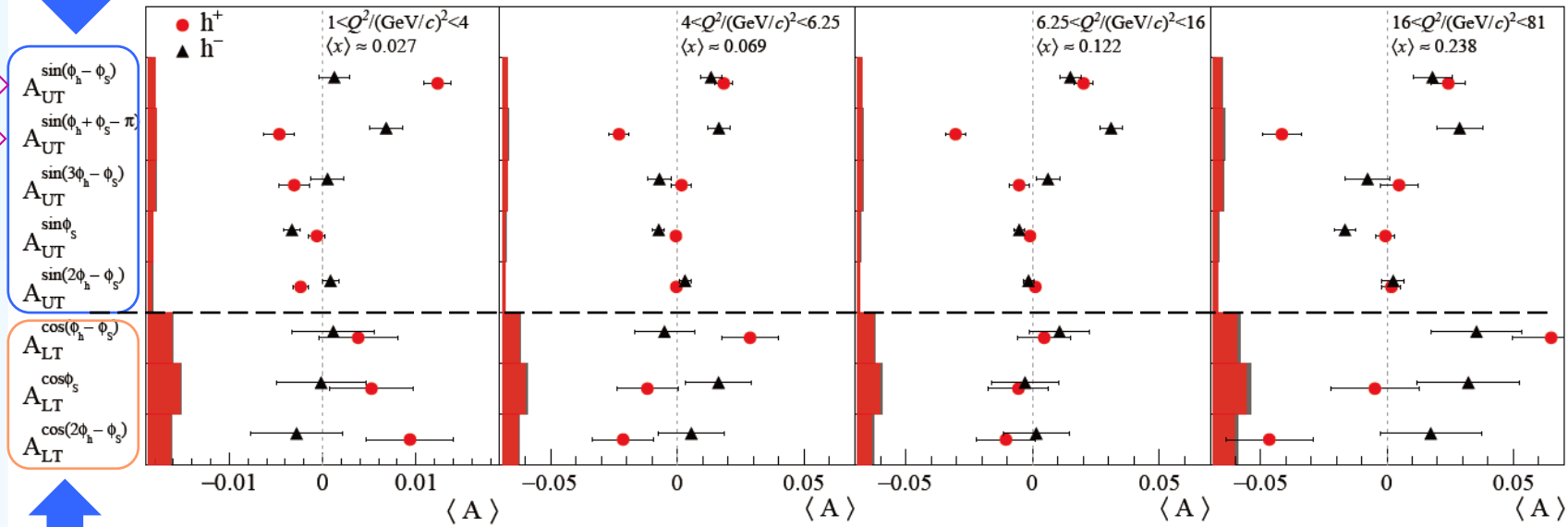
# Other TSAs in different $Q^2$ ranges



*transverse target spin Asymmetries*

PLB 770 (2017) 138

*Sivers*  
*Collins*



*beam spin & target spin Asymmetries*

# $P_T^h$ Weighted Siverts Asymmetry

“standard” Siverts Asymmetry:  $N_h^\pm(\varphi_{Siv.}) = N_h^0 [1 \pm S_T A_{Siv.} \sin \varphi_{Siv.}]$

LO QCD parton model  $A_{Siv.} \propto \frac{\sum_{quarks} e_q^2 \cdot f_{1T,q}^\perp \otimes D_{1,q}^h}{\sum_{quarks} e_q^2 \cdot f_{1,q}(x) \cdot D_{1,q}^h(z)}$   $\varphi_{Siv.} \equiv \varphi_h - \varphi_S$

$\otimes$ : convolution over transverse momenta

$$f_{1T,q}^\perp \otimes D_{1,q}^h = \int d^2 \vec{P}_T^h \int d^2 \vec{k}_T \int d\vec{p}_\perp \delta^2(z\vec{k}_T + \vec{p}_\perp - \vec{P}_T^h) \frac{\vec{k}_T \cdot \vec{P}_T^h}{MP_T^h} f_{1T}^\perp D_1$$

$$\vec{P}_T^h \approx \vec{p}_\perp + z\vec{k}_T$$

usually solved

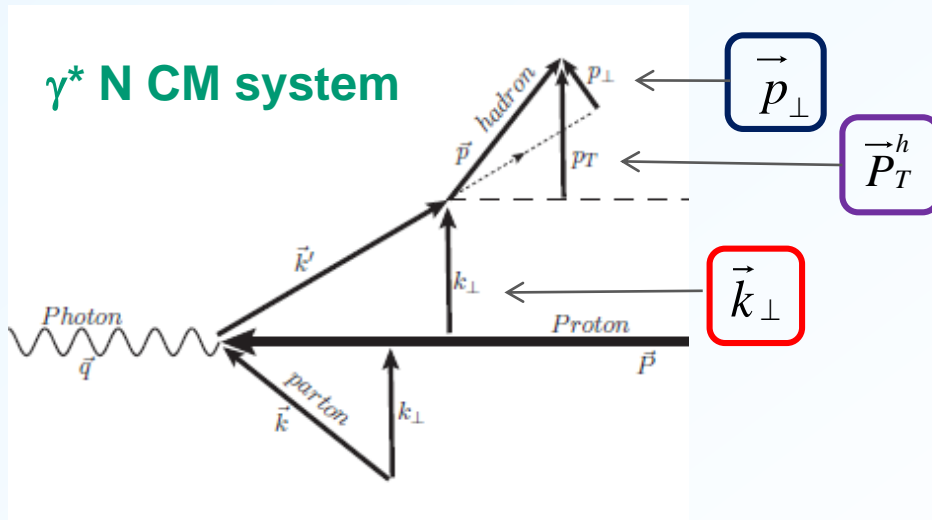
using **Gaussian model** for PDF and FFs

$$f_{1T}^\perp(x, k_T^2, Q^2) = f_{1T}^\perp(x, Q^2) \frac{1}{\pi \langle k_T^2 \rangle_S} e^{-k_T^2 / \langle k_T^2 \rangle_S}$$

$$D_1(z, p_\perp^2, Q^2) = D_1(z, Q^2) \frac{1}{\pi \langle p_\perp^2 \rangle} e^{-p_\perp^2 / \langle p_\perp^2 \rangle}$$

simple product

$$A_{Siv.} = a_G \frac{\sum_{quarks} e_q^2 \cdot f_{1T,q}^{\perp(1)} \cdot D_{1,q}^h}{\sum_{quarks} e_q^2 \cdot f_{1,q}(x) \cdot D_{1,q}^h(z)} \quad a_G = \frac{\sqrt{\pi} M}{\sqrt{\langle k_T^2 \rangle_S + \langle p_\perp^2 \rangle} / z^2}$$



Model dependent !

# $P_T^h$ Weighted Siverts Asymmetry

“ $P_T^h$  weighted” Siverts Asymmetry:

If you weight with  $w = \frac{P_T^h}{M_Z}$

proposed by

A. Kotzinian and P. J. Mulders, PLB 406 (1997) 373  
 D. Boer and P. J. Mulders, PRD 57 (1998) 5780  
 J. C. Collins et al. PRD 73 (2006) 014021

$$N_h^{\pm, W}(\varphi_{Siv.}) = N_h^{0, W} \left[ 1 \pm S_T A_{Siv.}^W \sin \varphi_{Siv.} \right]$$

convolution  $\rightarrow$  simple product of Siverts(first moment) and FF

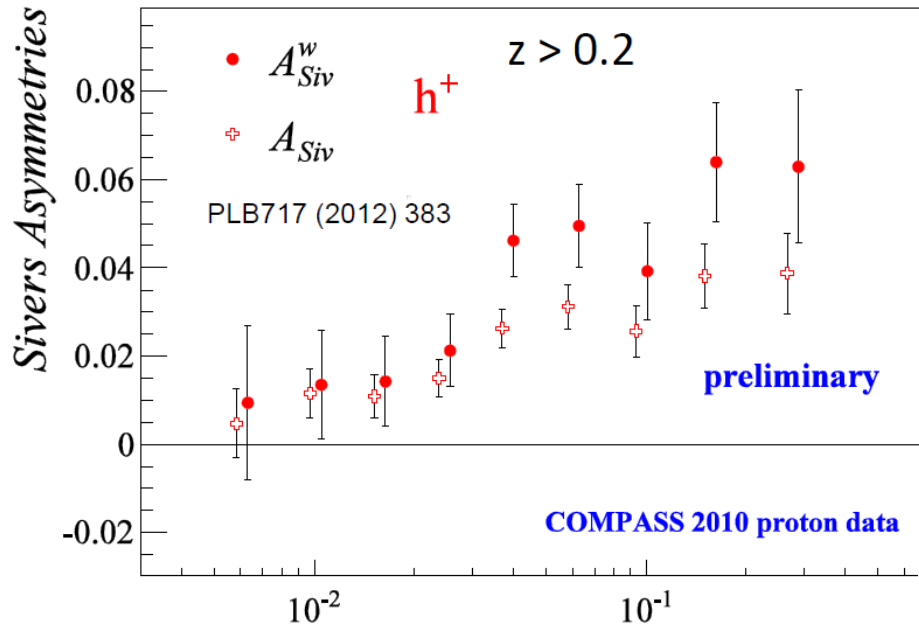
$$A_{Siv.}^w = 2A_{Siv.}^{(1)} = 2 \frac{\sum_{quarks} e_q^2 f_{1T, q}^{\perp(1)}(x) \cdot D_{1, q}^h(z)}{\sum_{quarks} e_q^2 f_{1, q}(x) \cdot D_{1, q}^h(z)}$$

first moment of Siverts PDF

$$f_{1T}^{\perp(1)}(x) = \int d^2 k_T \frac{k_T^2}{2M^2} f_{1T}^{\perp}(x, k_T^2)$$

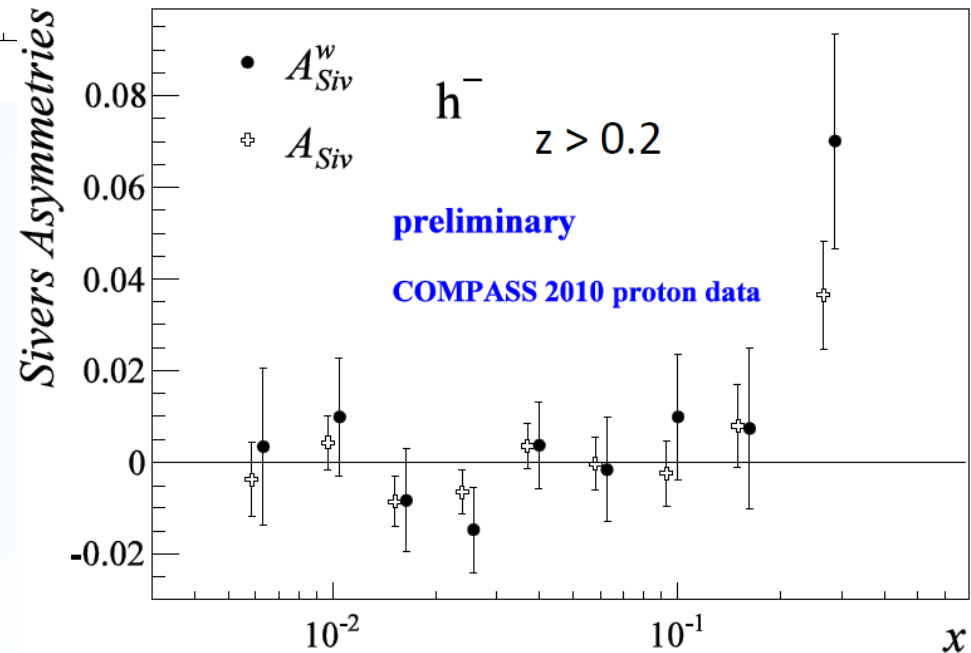
**model independent way** ( no assumption on the shape of PDFs and FFs)

# $P_T^h$ Weighted Sivers Asymmetry



$$A_{Siv.}^w = 2A_{Siv.}^{(1)} = 2 \frac{\sum_{quarks} e_q^2 f_{1T,q}^{\perp(1)}(x) \cdot D_{1,q}^h(z)}{\sum_{quarks} e_q^2 f_{1,q}(x) \cdot D_{1,q}^h(z)}$$

$$A_{Siv.} = a_G \frac{\sum_{quarks} e_q^2 \cdot f_{1T,q}^{\perp(1)} \cdot D_{1,q}^h}{\sum_{quarks} e_q^2 \cdot f_{1,q}(x) \cdot D_{1,q}^h(z)}$$





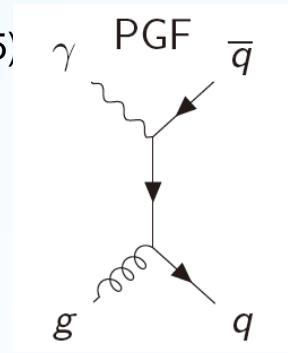
# Gluon Sivers Asymmetry



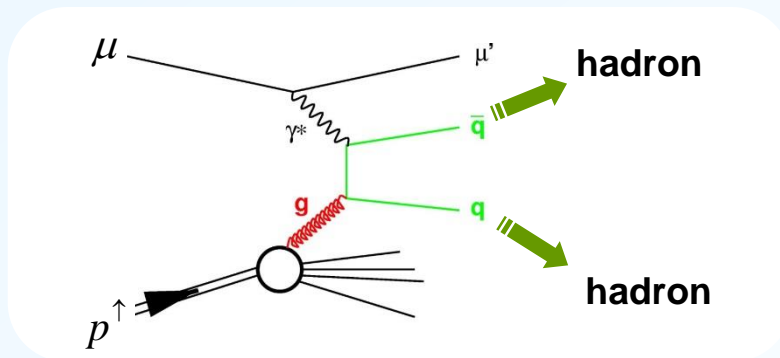
Sivers effect for also gluons? Gluon OAM ?

Recent review → D. Boer et al., Adv. High Energy Phys., 2015, 371396 (2015)

It can be studied with the asymmetry for photon-gluon-fusion



To enhance the PGF, 2-hadrons with high Pt are detected.



The azimuthal angle of the hadron pair,  $\phi_{2h}$ , is strongly correlated with the gluon azimuthal angle  $\phi_g$

Data sample : 2010 proton target, 2003,4 deuteron target

Event selection :

$$Q^2 > 1 (GeV / c)^2, W > 5 GeV / c^2, 0.9 > y > 0.1,$$

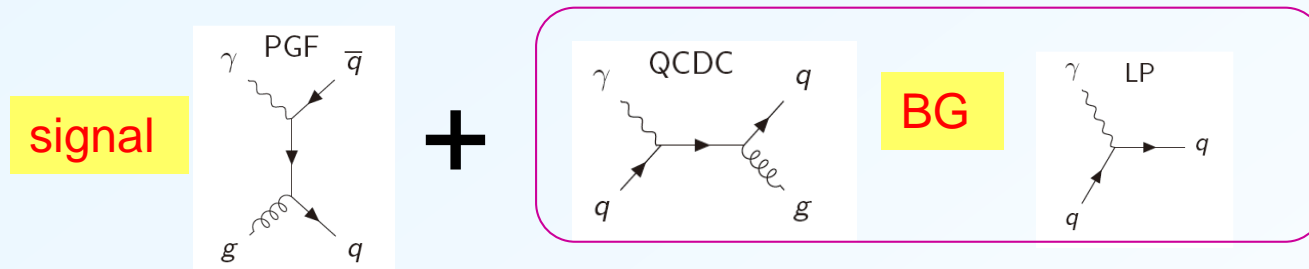
$$P_{T1} > 0.7 GeV / c, P_{T2} > 0.4 GeV / c, z_1, z_2 > 0.1, z_1 + z_2 < 0.9$$

Without charge constraint for the hadron pair

# Gluon Sivers Asymmetry



However, one has to take account of the background processes;



The asymmetry can be decomposed as:

$$fP_T A_{2h}^{Siv.} \sin \phi_{Siv.} = fP_T (R_{PGF} A_{PGF}^{Siv.} + R_{QCDC} A_{QCDC}^{Siv.} + R_{LP} A_{LP}^{Siv.}) \sin \phi_{Siv.}$$

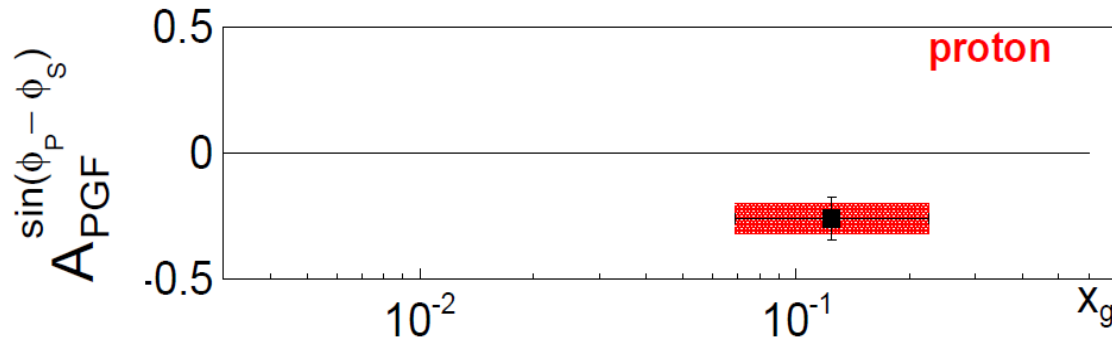
With a help of MC simulation, the process fractions( $R_i$ ) are evaluated.

The MC simulation :

- Generator LEPTO + GEANT + Reconstruction program
- PDF: MSTW2008
- Parton shower: on
- FLUKA for secondary interactions
- Special generator tuning for high-Pt events

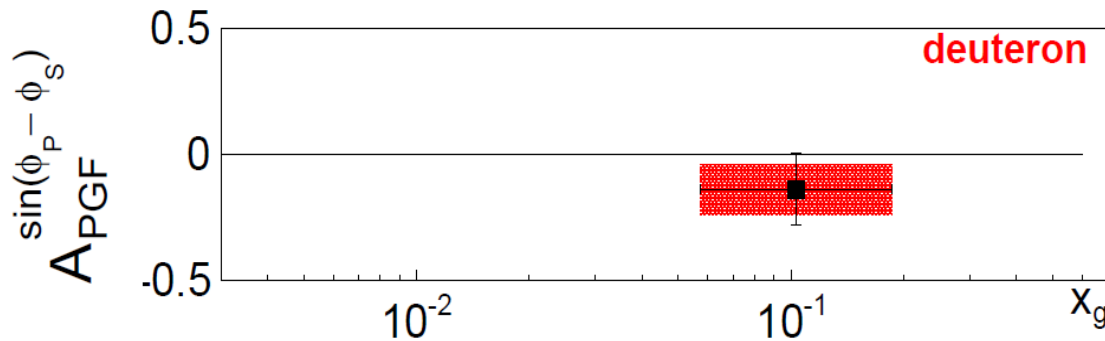
Fitting the data with Neural Network trained by the MC, each asymmetry is determined.

# Gluon Sivers Asymmetry : Results



$$A_{PGF}^{Siv.p} = -0.26 \pm 0.09(stat.) \pm 0.06(sys.)$$

$$\langle x_g \rangle = 0.15$$



$$A_{PGF}^{Siv.d} = -0.14 \pm 0.15(stat.) \pm 0.10(sys.)$$

$$\langle x_g \rangle = 0.15$$

proton & deuteron combined

$$A_{PGF}^{Siv.} = -0.23 \pm 0.08(stat.) \pm 0.05(sys.)$$

Two standard deviation from zero → Gluon Sivers effect ?

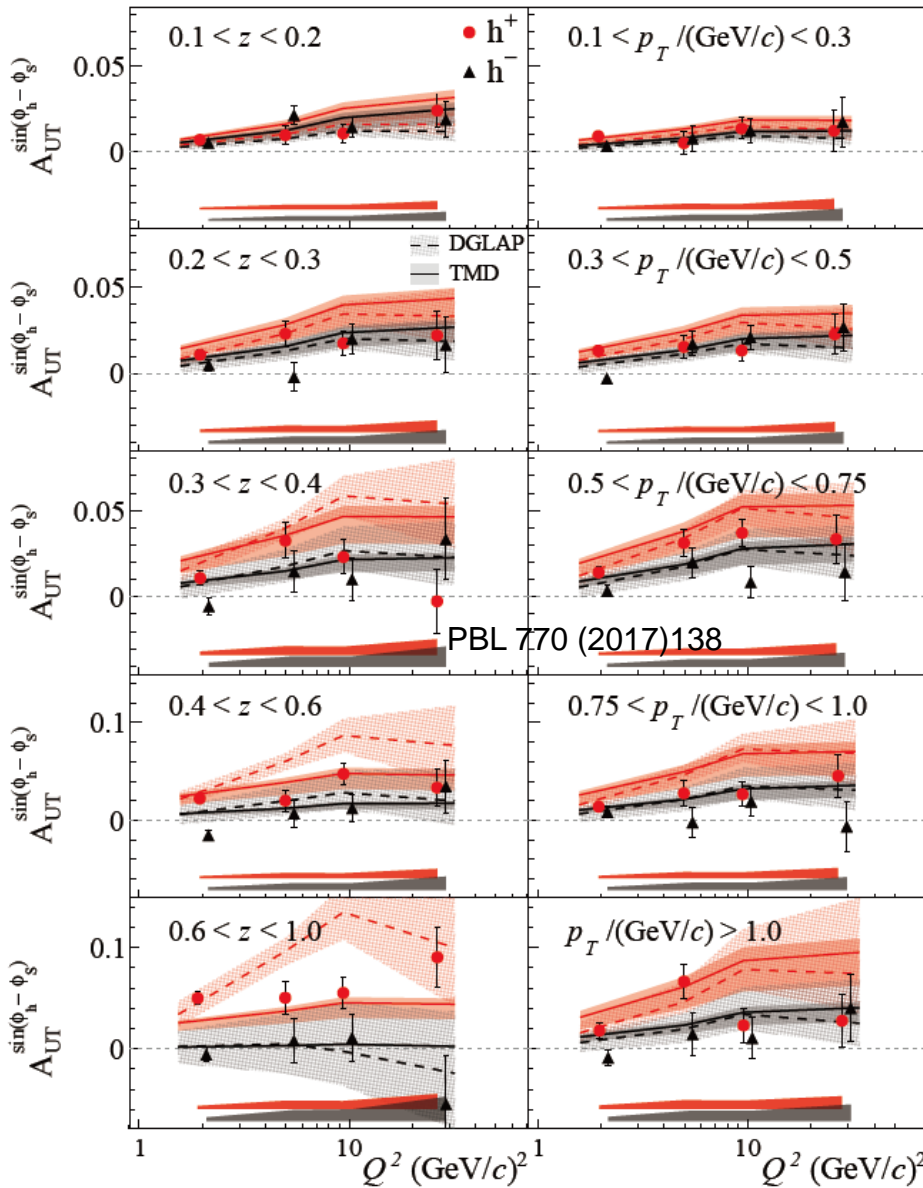
# Conclusions

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- SIDIS gave and is giving fundamental contribution to the study of the transverse spin structure of the nucleon.
- COMPASS has provided TSA data for proton and deuteron.
- The Collins asymmetries and Sivers Asymmetries were found to be different from zero for proton although they are consistent with zero for deuteron.
- There is an interplay between the single hadron Collins asymmetries and di-hadron asymmetries suggesting common origin in production mechanism.
- The Sivers asymmetries were obtained in different  $Q^2$  ranges. One of the ranges corresponds to the di-muon mass range where the Drell-Yan measurement of COMPASS was performed.
- The Sivers asymmetry for PGF was found to be negative with two standard deviation from zero suggesting the possible gluon Sivers effect.

# *Spare*s

# Comparison with calculations



*In good agreement with the calculations in low-z & low Pt ranges.*

*Calculations are based on fits of one-dimensional data ( PRD72(2005)094007 [Erratum:PRD 72 099903(2005)], EPJ A39 (2009)89)*

*However, in high-z & high-Pt ranges, clear discrepancies are seen.*

PBL 770 (2017)138

# Expected property for the Sivers PDF

*Sivers function is process dependent.*

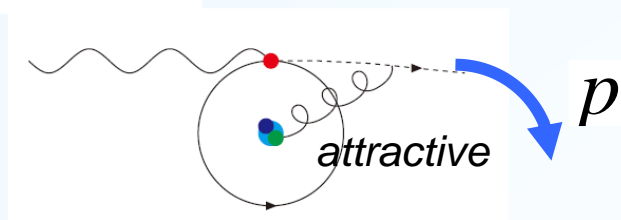
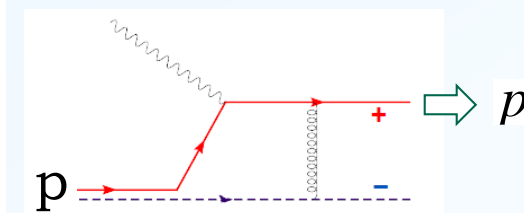
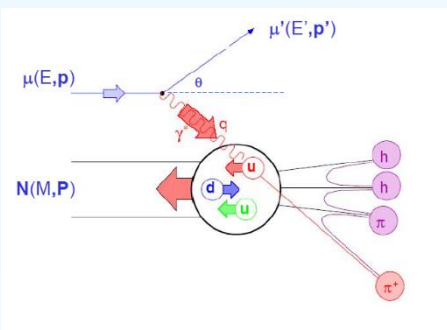
J. Collins, Phys. Lett. B **536**, 43 (2002).

$$f_{1T}^{\perp q}(x, k_{\perp}^2)_{SIDIS} = -f_{1T}^{\perp q}(x, k_{\perp}^2)_{DY}$$

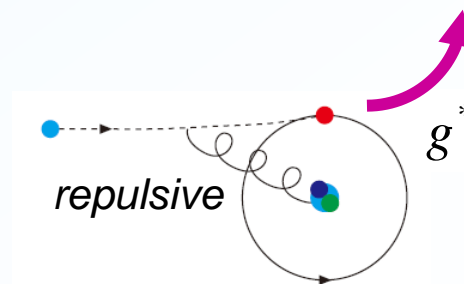
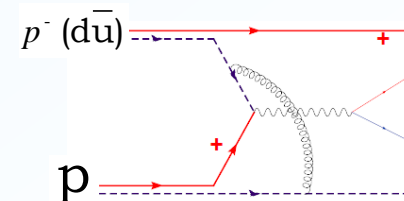
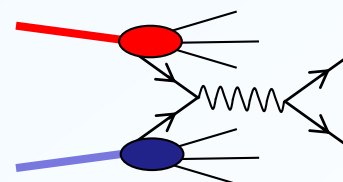
*sign change !*

**SIDIS**: with final state interaction (FSI)

**Drell-Yan**: with initial state interaction (ISI)



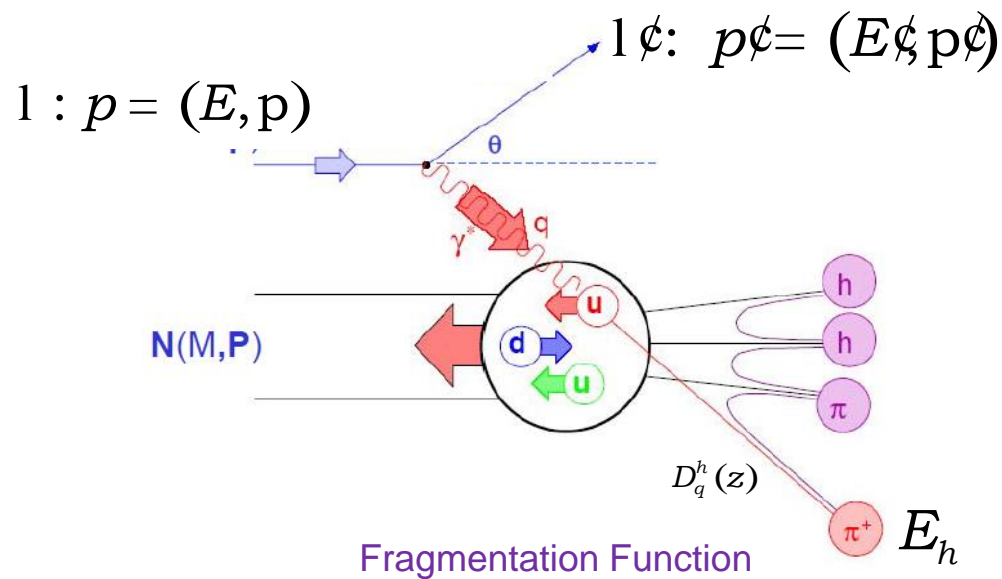
*ejected (red) quark is attracted by the anti-red spectators*



*before annihilating with the red active quark, the approaching anti-quark(anti-red) is repelled by the anti-red spectators*

*This is to be checked in the common kinematical region in COMPASS experimentally.*

# SIDIS Kinematics



$$Q^2 = - (p - p')^2$$

$$n = E - E'$$

$$x = Q^2 / 2Mn$$

Lab.

$$y = n/E$$

$$z = E_h/n$$

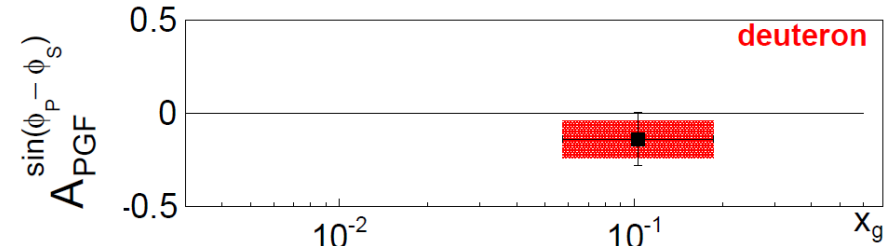
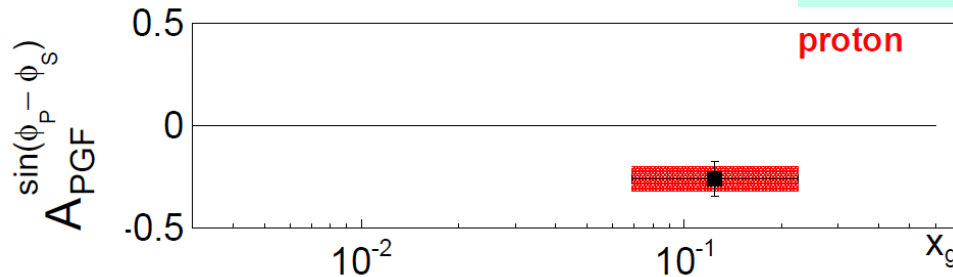
Energy fraction of the hadron



# Gluon Sivers Asymmetry : Results



## Asymmetries for PGF



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## Asymmetries for BG

