



# Transversity and $\Lambda$ polarization at COMPASS

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Andrea Moretti  
on behalf of the COMPASS Collaboration

Department of Physics  
University of Trieste / INFN

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## The physics case

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# Introduction

At leading order in collinear QCD, nucleon structure is described by three PDFs:

***unpolarized PDF***

$$f_1^q(x)$$



very well known

***helicity***

$$g_1^q(x)$$



well known

***transversity***

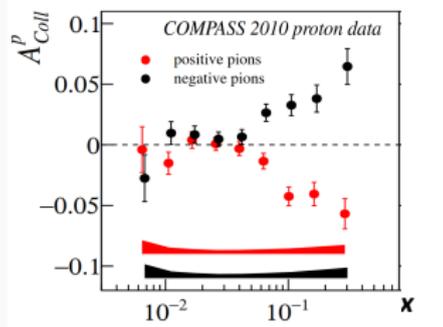
$$h_1^q(x)$$



**NEW!**

**Transversity**, rediscovered in early Nineties,  
accessible in SIDIS looking at:

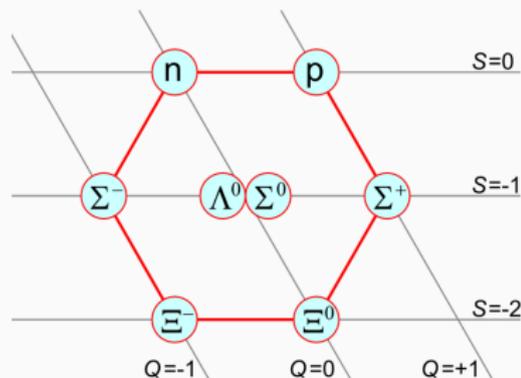
- Collins and dihadron asymmetry  
results from HERMES and COMPASS
- $\Lambda$  polarimetry  
so far only preliminary results from COMPASS



[COMPASS coll., Phys.Lett., B744:250,2015]

[Artru and Mekhfi, 1990] [Jaffe and Ji, 1992] [Baldracchini et al., 1981]

# $\Lambda$ self-analyzing decay



## $\Lambda$ MAIN PROPERTIES

mass  $M_\Lambda = 1115.7 \text{ MeV}/c$

spin-parity  $J^P = \frac{1}{2}^+$

isospin  $I=0$

valence quark content  $uds$

$\Lambda \rightarrow p\pi^-$  (BR 63.9%)

$\tau = (2.632 \pm 0.020) \times 10^{-10} \text{ s}$

$\Lambda$ s reveal their polarization  $P_\Lambda$  through an angular asymmetry in the emission of the decay protons (*self-analyzing decay*):

$$\frac{dN}{d \cos \theta} \propto 1 + \alpha P_\Lambda \cos \theta$$

$\alpha = 0.642 \pm 0.013$  weak decay asymmetry parameter;

$\theta$  angle between  $\Lambda$  spin and proton momentum in  $\Lambda$  rest frame.

## Transversity-transmitted transverse polarization

In the **SIDIS** process  $\ell + p^\uparrow \rightarrow \ell' + \Lambda + X$

- if the target nucleon is transversely polarized, and
- if transversity is different from zero

the quark polarization can be transmitted to the  $\Lambda$  according to the expression:

$$P_\Lambda^{raw}(x, z) = fP_T D_{NN} \frac{\sum_{q(\bar{q})} e_q^2 h_1^{q(\bar{q})}(x) H_1^{\Lambda/q(\bar{q})}(z)}{\sum_{q(\bar{q})} e_q^2 f_1^{q(\bar{q})}(x) D_1^{\Lambda/q(\bar{q})}(z)}$$

(with  $f$  dilution factor,  $P_T$  target polarization and  $D_{NN}$  depolarization factor).

Such  $\Lambda$  transversity-transmitted transverse polarization can be accessed through the angular distribution of the decay proton.

$$P_{\Lambda}^{raw}(x, z) = fP_T D_{NN} \frac{\sum_{q(\bar{q})} e_q^2 h_1^{q(\bar{q})}(x) H_1^{\Lambda/q(\bar{q})}(z)}{\sum_{q(\bar{q})} e_q^2 f_1^{q(\bar{q})}(x) D_1^{\Lambda/q(\bar{q})}(z)}$$

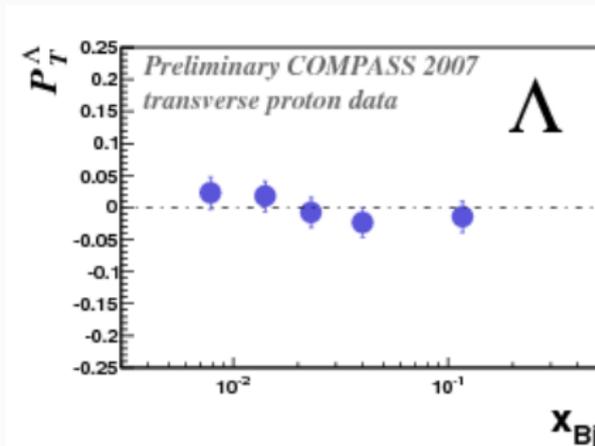
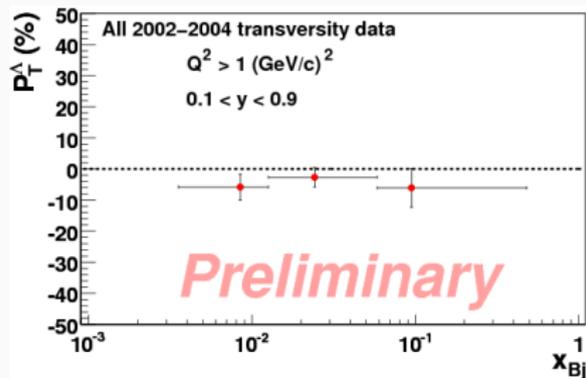
This formula holds true:

- assuming **collinear kinematics** ( $\Lambda$  parallel to  $\gamma$ )
- in the **current fragmentation region**  
our choice:  $z > 0.2$  and  $x_F > 0$

# Transversity-transmitted transverse polarization

It's a statistically limited measurement, but still interesting.

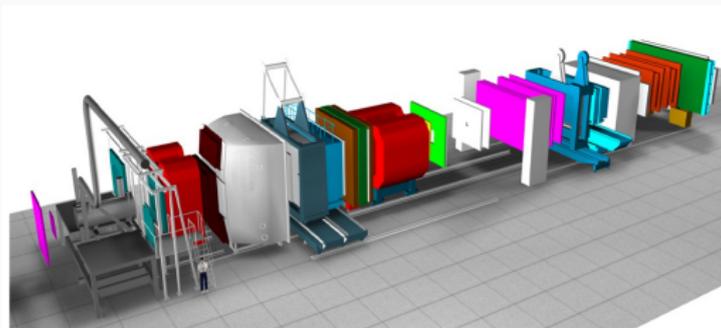
So far, only preliminary results from COMPASS experiment:  
(on deuteron and on proton target - 2007 only, raw asymmetries)



**In this talk:** results from the complete COMPASS proton data set.

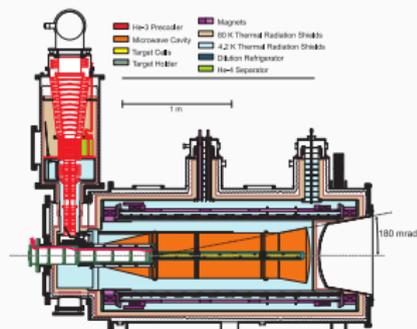
# COMPASS experiment @ CERN

COMPASS is a two-stage, fixed target spectrometer located in CERN North Area, at the end of SPS M2 beamline. Designed for hadron spectroscopy and structure, but very versatile and universal.



## Main features:

1. muon, electron or hadron beams (20-250 GeV),
2. solid state polarized targets as well as liquid hydrogen target,
3. advanced tracking and PID.



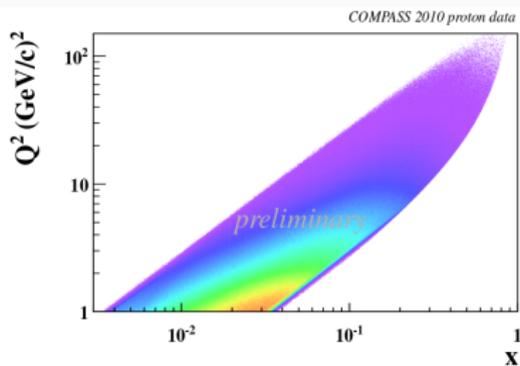
# Data analysis

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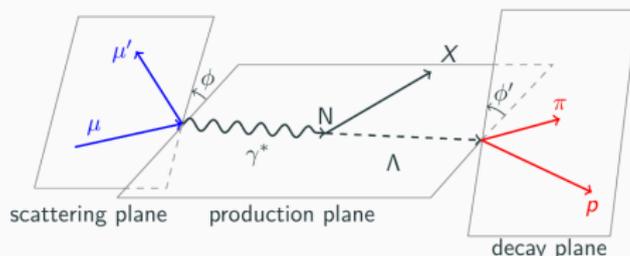
# $\Lambda$ selection procedure

## DIS events

- $Q^2 > 1 \text{ (GeV/c)}^2$ ;
- $x > 0.003$ ;
- $W > 5 \text{ GeV/c}^2$ ;
- $0.1 < y < 0.9$ .



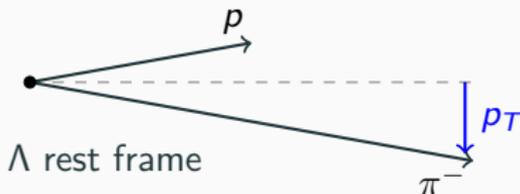
**Final state candidates:** two charged particles from the decay vertex ( $V^0$ s).



# $\Lambda$ selection procedure

To reconstruct the  $V^0$ s, the 2 outgoing particles must have:

- opposite charge;
- momenta larger than 1 GeV/c;
- $p_T > 23$  MeV/c to reject  $e^+e^-$  from  $\gamma$  conversion.

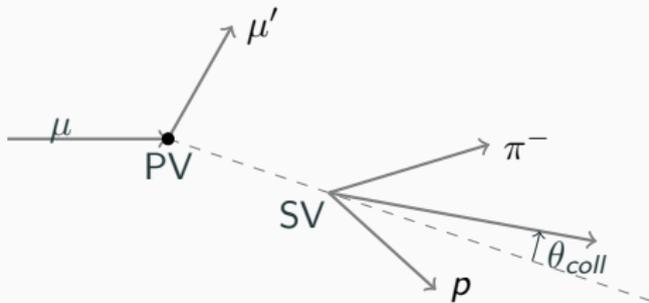


FURTHER REQUESTS:

- collinearity angle  $\theta_{coll} < 7$  mrad;
  - PID with RICH detector.

# $\Lambda$ selection procedure

- To better select the  $\Lambda$ s stemming from the interaction vertex: cut on the **collinearity angle**  $\theta_{coll}$  between the reconstructed  $\Lambda$  direction  $\vec{p}_\Lambda$  and the vector  $\vec{v}$  linking interaction and decay vertices.



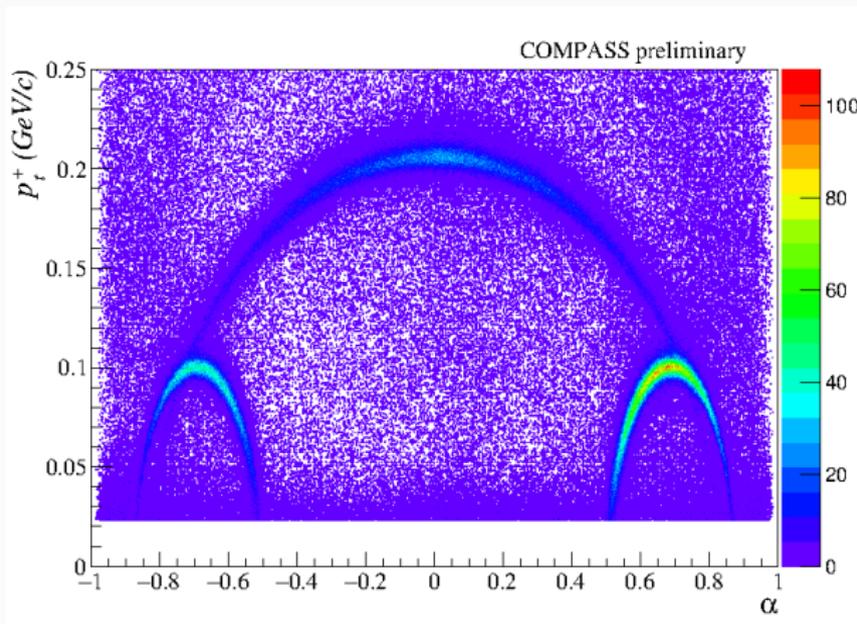
$$\theta_{coll} = \arccos \left( \frac{\vec{p}_\Lambda \cdot \vec{v}}{|\vec{p}_\Lambda| |\vec{v}|} \right) < 7 \text{ mrad}$$

- Particle identification with RICH**
  - for  $\Lambda$ s,  $h^+$  must not be an  $e^+$ ,  $\pi^+$ ,  $K^+$
  - for  $\bar{\Lambda}$ s,  $h^-$  must not be an  $e^-$ ,  $\pi^-$ ,  $K^-$

# Armenteros plot

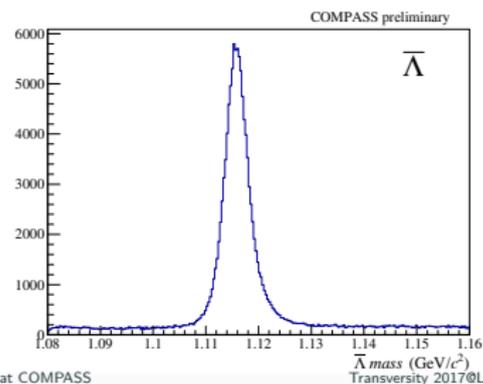
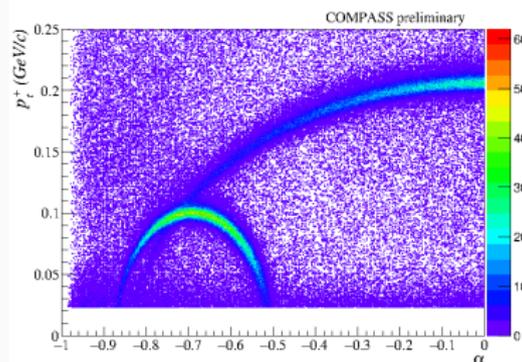
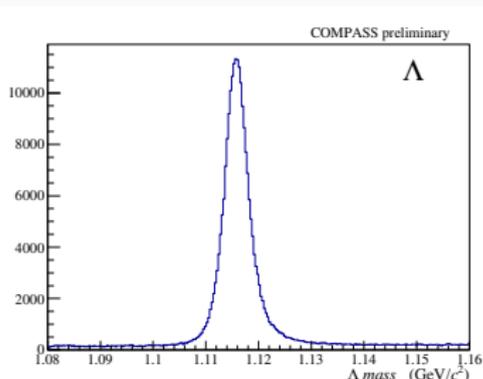
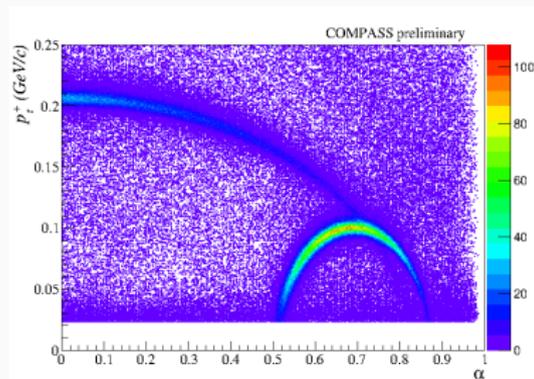
Scatter-plot between the longitudinal momentum asymmetry  $\alpha = \frac{p_L^+ - p_L^-}{p_L^+ + p_L^-}$  and the transverse momentum  $p_T$  of one of the decay particles, in the  $V^0$  system.

$\Lambda$ s on the right,  $\bar{\Lambda}$ s on the left and the leftover  $K_S^0$ s on the largest, symmetric arc.

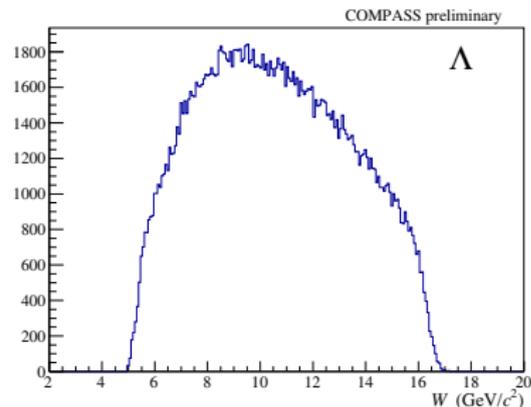
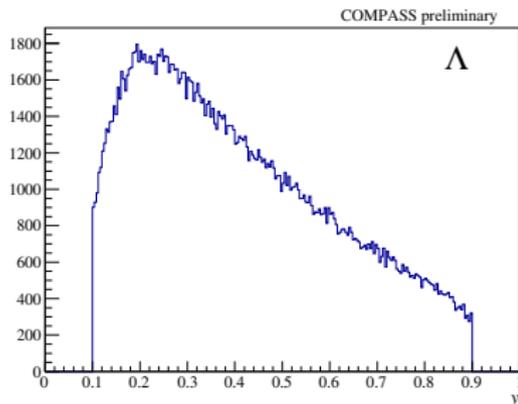
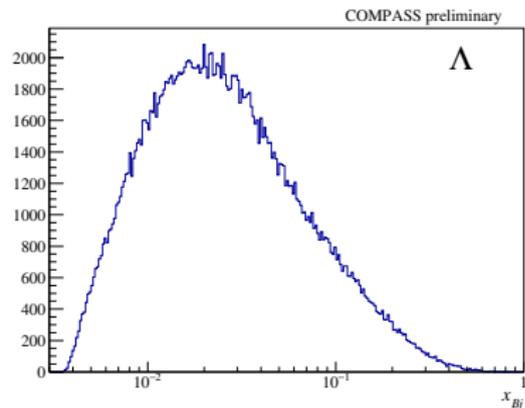
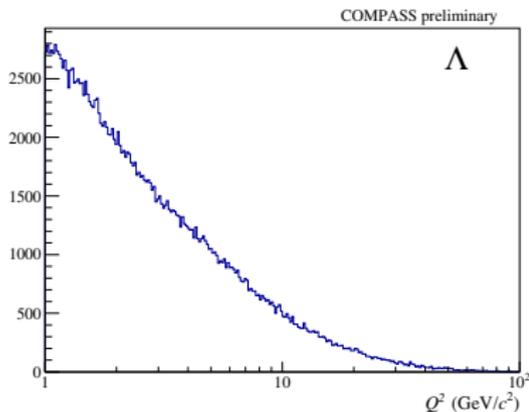


# Final $\Lambda$ - $\bar{\Lambda}$ candidates

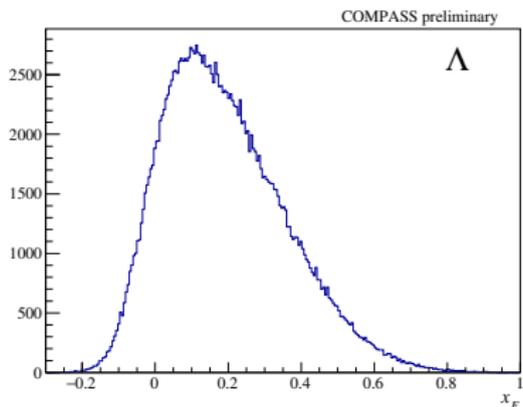
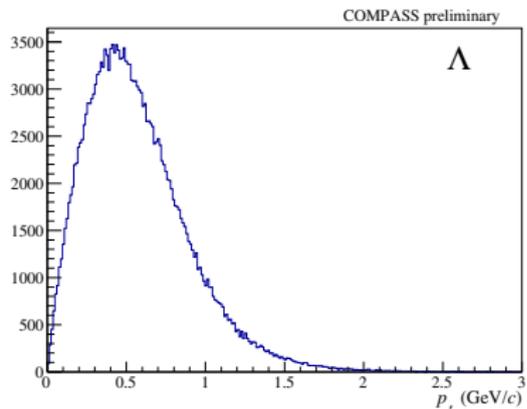
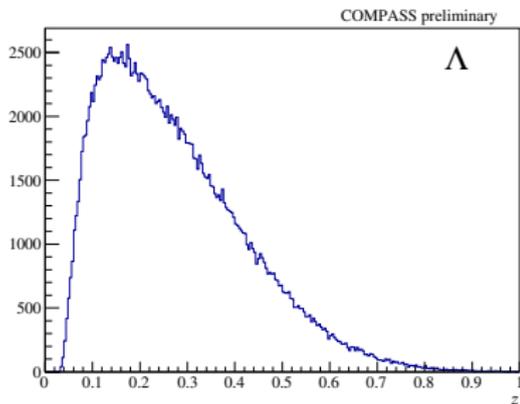
In the mass peak:  $\sim 305 \cdot 10^3 \Lambda$ s,  $\sim 154 \cdot 10^3 \bar{\Lambda}$ s. Very clear signal with low background.



# Kinematic distributions: $Q^2$ , $x$ , $y$ and $W$ for $\Lambda$ s



# Kinematic distributions: $z$ , $p_t$ and $x_F$ for $\Lambda$ s

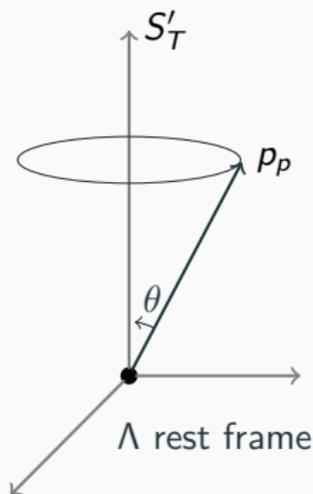
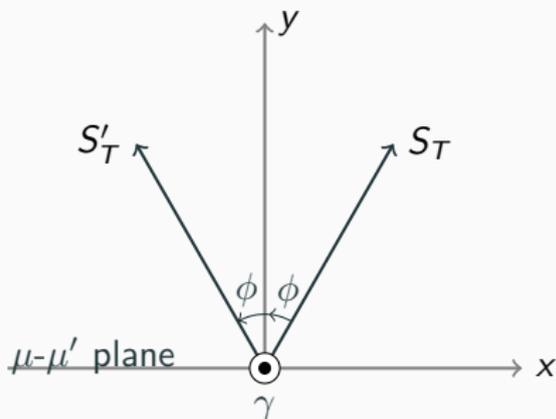


# Extraction of polarization

$P_\Lambda$  has to be measured in the  $\Lambda$  rest frame as an angular asymmetry in the distribution of the proton wrt the outgoing quark spin direction.

[Mulders-Tangerman,1996]

- Initial quark spin  $S_T$  parallel to the target polarization vector (transverse)
- Final quark spin  $S'_T$ : reflection of  $S_T$  wrt the normal to the scattering plane
- Event-by-event procedure

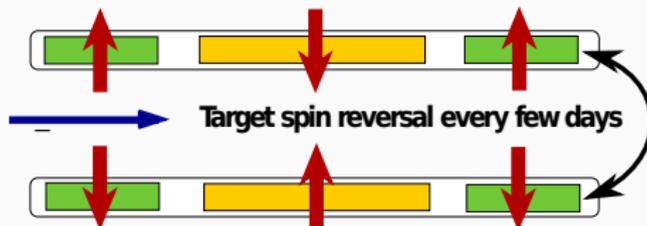


# Extraction of polarization

Polarization extracted using standard COMPASS methods that take advantage of:

- polarized target geometry and
- polarization reversal during data taking

to get rid of the spectrometer acceptance.



Standard studies on systematic effects give  $\sigma_{syst} < 0.8 \sigma_{stat}$ .

# Results

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## Results: all $\Lambda$ s and $\bar{\Lambda}$ s

Polarization has been measured as a function of  $x$ ,  $z$  and  $p_t$  for both  $\Lambda$ s and  $\bar{\Lambda}$ s.

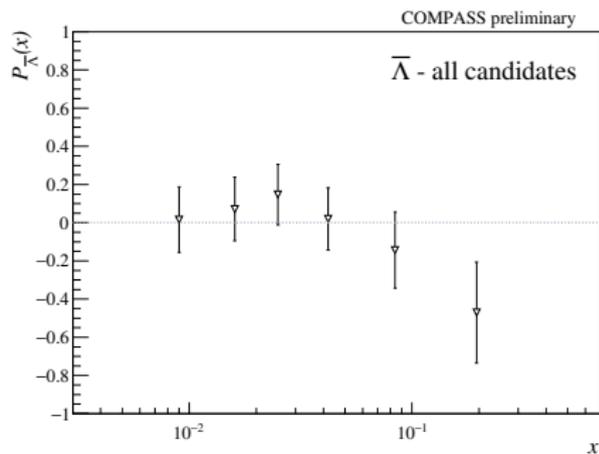
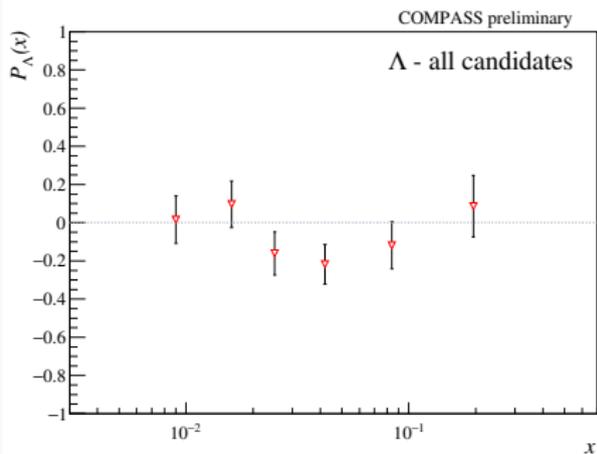
$$P_{\Lambda}^{raw}(x, z) = f P_T D_{NN} \frac{\sum_{q(\bar{q})} e_q^2 h_1^{q(\bar{q})}(x) H_1^{\Lambda/q(\bar{q})}(z)}{\sum_{q(\bar{q})} e_q^2 f_1^{q(\bar{q})}(x) D_1^{\Lambda/q(\bar{q})}(z)}$$

**Note:** Polarization plots are given here divided by  $f$ ,  $P_T$  and  $D_{NN}$  (**spin transfer**).

$$P_{\Lambda}(x, z) = \frac{\sum_{q(\bar{q})} e_q^2 h_1^{q(\bar{q})}(x) H_1^{\Lambda/q(\bar{q})}(z)}{\sum_{q(\bar{q})} e_q^2 f_1^{q(\bar{q})}(x) D_1^{\Lambda/q(\bar{q})}(z)}$$

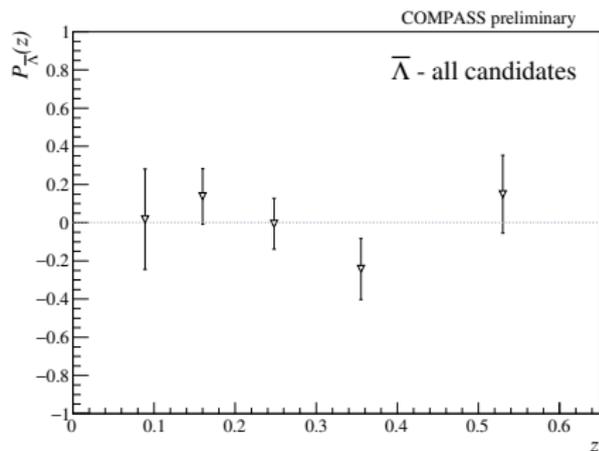
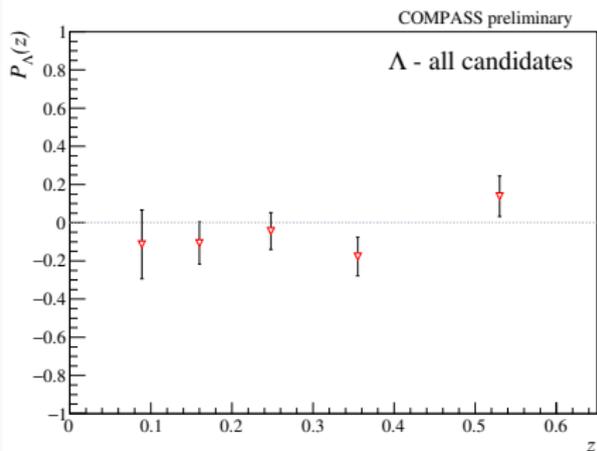
# Results: all $\Lambda$ s and $\bar{\Lambda}$ s

$$P_{\Lambda(\bar{\Lambda})}(x)$$



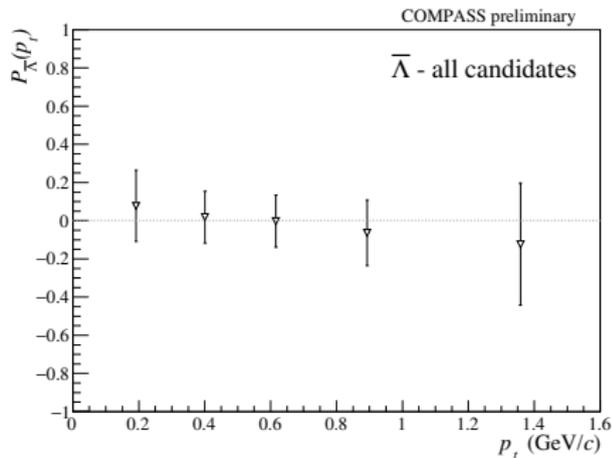
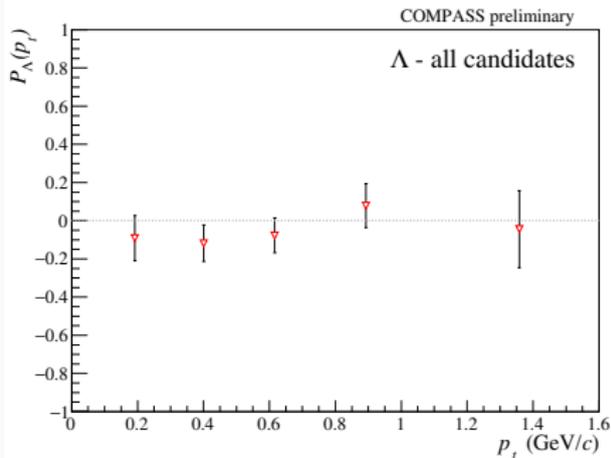
# Results: all $\Lambda$ s and $\bar{\Lambda}$ s

$$P_{\Lambda(\bar{\Lambda})}(z)$$



# Results: all $\Lambda$ s and $\bar{\Lambda}$ s

$$P_{\Lambda(\bar{\Lambda})}(p_t)$$



Polarization has also been measured in six other kinematic regions:

- high  $z$ :  $z > 0.2$  and  $x_F > 0 \leftarrow$  ("current" fragmentation region)
- low  $z$ :  $z < 0.2$  or  $x_F < 0 \leftarrow$  ("target" fragmentation region)
- high  $x$ :  $x > 0.032 \leftarrow$  ( $h_1^u$  different from zero)
- low  $x$ :  $x < 0.032$
- high  $p_t$ :  $p_t > 1 \text{ GeV}/c$
- low  $p_t$ :  $p_t < 1 \text{ GeV}/c$

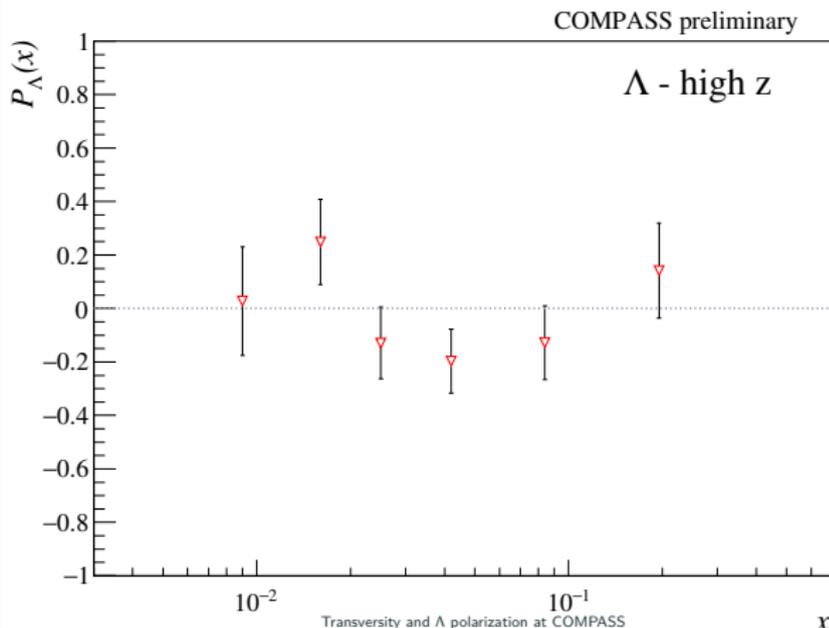
In general, as in the case of all  $\Lambda$  and  $\bar{\Lambda}$  candidates, polarizations are compatible with zero.

# Interpretation

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## Focus on $P_\Lambda(x)$ for high $z$ ( $z > 0.2$ and $x_F > 0$ )

$$P_\Lambda(x, z) = \frac{\sum_{q(\bar{q})} e_q^2 h_1^{q(\bar{q})}(x) H_1^{\Lambda/q(\bar{q})}(z)}{\sum_{q(\bar{q})} e_q^2 f_1^{q(\bar{q})}(x) D_1^{\Lambda/q(\bar{q})}(z)}$$



# What can we learn from our results?

We KNOW that

- $h_1^u(x)$  and  $h_1^d(x)$  are different from zero at large  $x$ ,
- $h_1^{\bar{u}}$  and  $h_1^{\bar{d}}$  compatible with zero.

We ASSUME that

- $h_1^{\bar{s}}(x) \approx 0$ ,
- negligible contribution from  $\bar{q}$  in unpolarized fragmentation process,
- isospin symmetry at work:  $D_1^{\Lambda/u} = D_1^{\Lambda/d}$  and  $H_1^{\Lambda/u} = H_1^{\Lambda/d}$ ,
- $D_1^{\Lambda/s} = c_1 \cdot D_1^{\Lambda/u}$ , with constant  $c_1$ . (Analogously, if  $H_1^{\Lambda/u} \neq 0$ ,  $H_1^{\Lambda/s} = c_2 \cdot H_1^{\Lambda/u}$ )

The quantity  $1/c_1$  usually referred to as *strangeness suppression factor*.

In [J.-J.Yang,Phys.Rev.,D65,2002], e.g., it is put at 0.44.

## Three different hypotheses

With these ingredients we can write a simplified expression for  $P_\Lambda$ :

$$P_\Lambda(x, z) = \frac{[4h_1^u(x) + h_1^d(x)]H_1^{\Lambda/u}(z) + h_1^s(x)H_1^{\Lambda/s}(z)}{[4f_1^u(x) + f_1^d(x) + c_1 \cdot f_1^s(x)]D_1^{\Lambda/u}(z)}.$$

Now, we can interpret the data according to **three different hypotheses**:

1. Transversity is a valence object,
2. Polarization is entirely due to the  $s$  quark ( $\rightarrow$  SU(6)),
3. Quark-diquark model [J.-J. Yang, Nucl.Phys.,A699:562–578,2002]

Note: simplified expression even more interesting on a deuteron target!

$$P_\Lambda^{deut}(x, z) = \frac{5(h_1^u + h_1^d)H_1^{\Lambda/u} + 2h_1^sH_1^{\Lambda/s}}{5(f_1^u + f_1^d)D_1^{\Lambda/u} + 2f_1^sD_1^{\Lambda/s}} \approx \frac{2h_1^sH_1^{\Lambda/s}}{[5f_1^u + 5f_1^d + 2c_1 \cdot f_1^s]D_1^{\Lambda/u}}.$$

## Hypothesis #1: transversity a valence object

If transversity is a valence object, then  $h_1^s \approx 0$  and

$$P_\Lambda(x) = \frac{[4h_1^u(x) + h_1^d(x)]}{[4f_1^u(x) + f_1^d(x) + c_1 \cdot f_1^s(x)]} \frac{\int dz H_1^{\Lambda/u}(z)}{\int dz D_1^{\Lambda/u}(z)}$$

$$\Rightarrow \mathcal{R}(x) = \frac{\int dz H_1^{\Lambda/u}(z)}{\int dz D_1^{\Lambda/u}(z)} = \frac{[4f_1^u(x) + f_1^d(x) + c_1 \cdot f_1^s(x)]}{[4h_1^u(x) + h_1^d(x)]} P_\Lambda(x)$$

$c_1$	$\langle \mathcal{R} \rangle$
2	$-0.39 \pm 0.73$
3	$-0.38 \pm 0.75$
4	$-0.37 \pm 0.76$

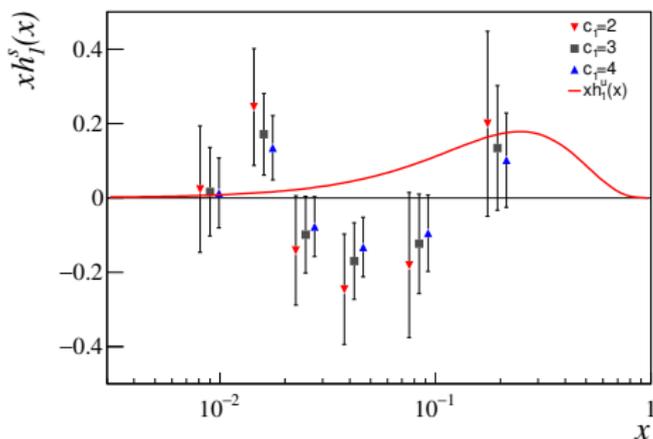
First extraction of  $\mathcal{R}$ , largely compatible with zero, weak dependence on  $c_1$ .

## Hypothesis #2: polarization due to $s$ quark only

If  $P_\Lambda$  is due to  $s$  quark only,  
then  $H_1^{\Lambda/u}(z) = H_1^{\Lambda/d}(z) = 0$ .  
Assuming  $H_1^{\Lambda/s}(z) = D_1^{\Lambda/s}(z)$ ,

$$P_\Lambda(x) = \frac{c_1 \cdot h_1^s(x) D_1^{\Lambda/u}(z)}{[4f_1^u(x) + f_1^d(x) + c_1 \cdot f_1^s(x)] D_1^{\Lambda/u}(z)}$$

$$\Rightarrow h_1^s(x) = \left[ \frac{4f_1^u(x) + f_1^d(x)}{c_1} + f_1^s(x) \right] P_\Lambda(x)$$

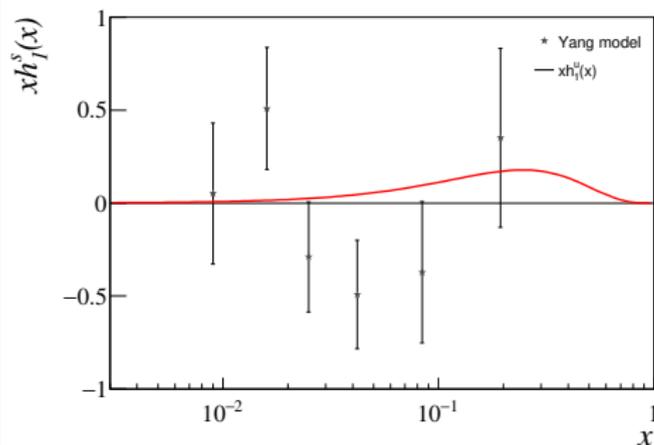


## Hypothesis #3: Quark-diquark Yang model

$P_\Lambda$  is written here in terms of given flavour ( $F$ ) and spin structure functions ( $\hat{W}$ ):

$$P_\Lambda(x, z) = \frac{(4h_1^u(x) + h_1^d(x)) \cdot \frac{1}{4} [\hat{W}_S^{(u)}(z)F_S(z) - \hat{W}_V^{(u)}(z)F_M(z)] + h_1^s(x)\hat{W}_S^{(s)}(z)}{(4f_1^u(x) + f_1^d(x)) \cdot \frac{1}{4} [F_S(z) + 3F_M(z)] + f_1^s(x)}$$

$\Rightarrow h_1^s(x)$  can be accessed.



## Summary

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# Summary

- *Transversity-transmitted transverse* polarization of  $\Lambda$  hyperons in SIDIS measured using the whole COMPASS transversely polarized proton data set.
- $\Lambda$  and  $\bar{\Lambda}$  polarizations evaluated in their rest frame along the outgoing quark spin; measured in seven kinematic regions, generally compatible with zero,
- Three main hypotheses to interpret  $\Lambda$  polarization results:
  1. The **first** (transversity a valence object) gives the integrated ratio of the fragmentation functions  $H_1^{\Lambda/u}$  and  $D_1^{\Lambda/u}$ , compatible with zero,
  2. The **second** (only  $s$  quark counts) allows for an extraction of  $xh_1^s(x)$  dependent on the parameter  $c_1 = D_1^{\Lambda/s}/D_1^{\Lambda/u}$ ,
  3. The **third** (quark-diquark model) again gives  $xh_1^s(x)$ , without assumptions on the fragmentation functions.
- Even if definite conclusions cannot be drawn, due to the statistical uncertainty, this is a fresh contribution to a longstanding issue,
- Ratios of fragmentation functions are extracted here for the first time,
- Much could be studied with more deuteron data.

**thank you**

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