



# Recent experimental results on hadron structure, GPDs and TMDs



G.K. Mallot



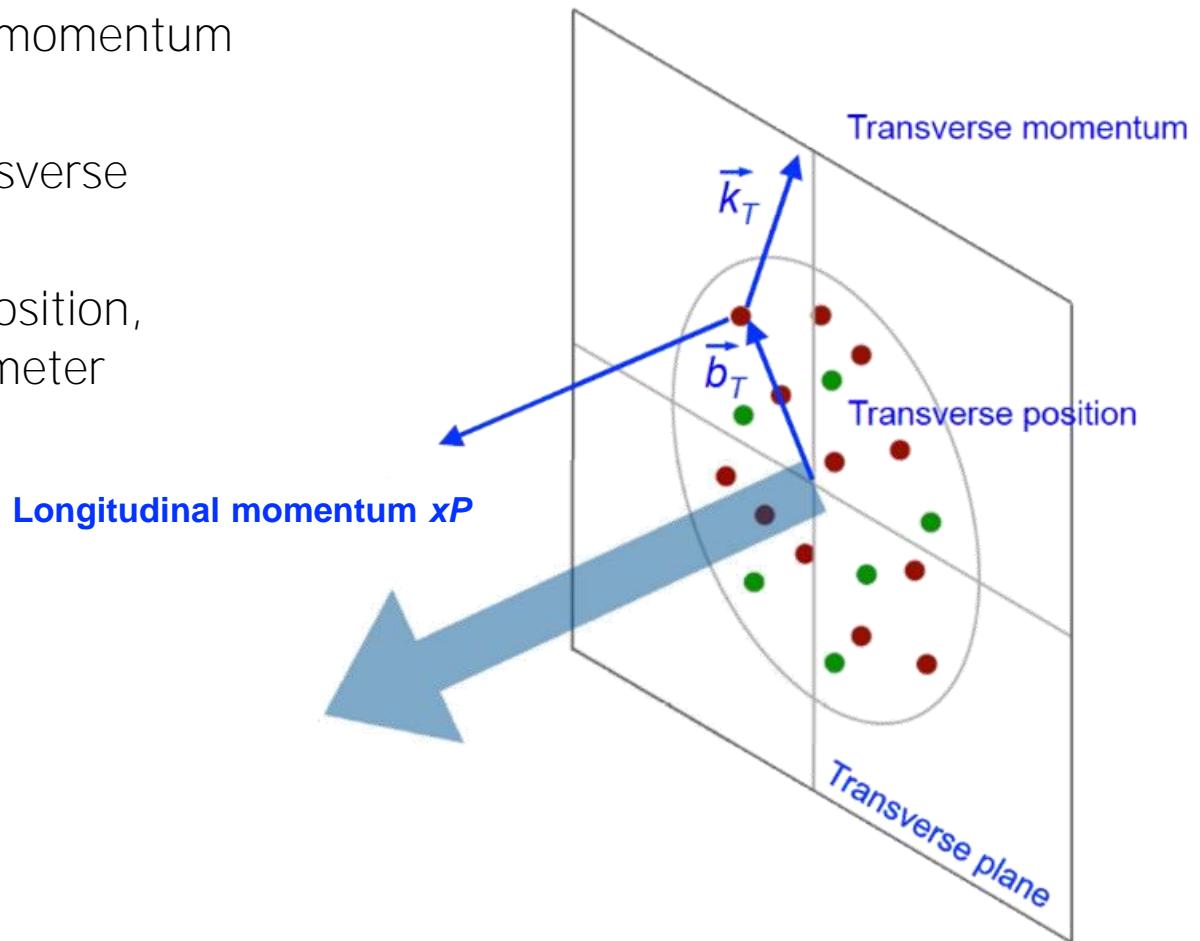
# Outline

- Introduction
- spin-independent PDFs
- spin-dependent PDFs
  - inclusive DIS
  - semi-inclusive DIS
  - gluon polarisation
- TMDPDFs
- GPDs
- Outlook

# A parton in a hadron

Relative position and motion characterised by 5 dimensions

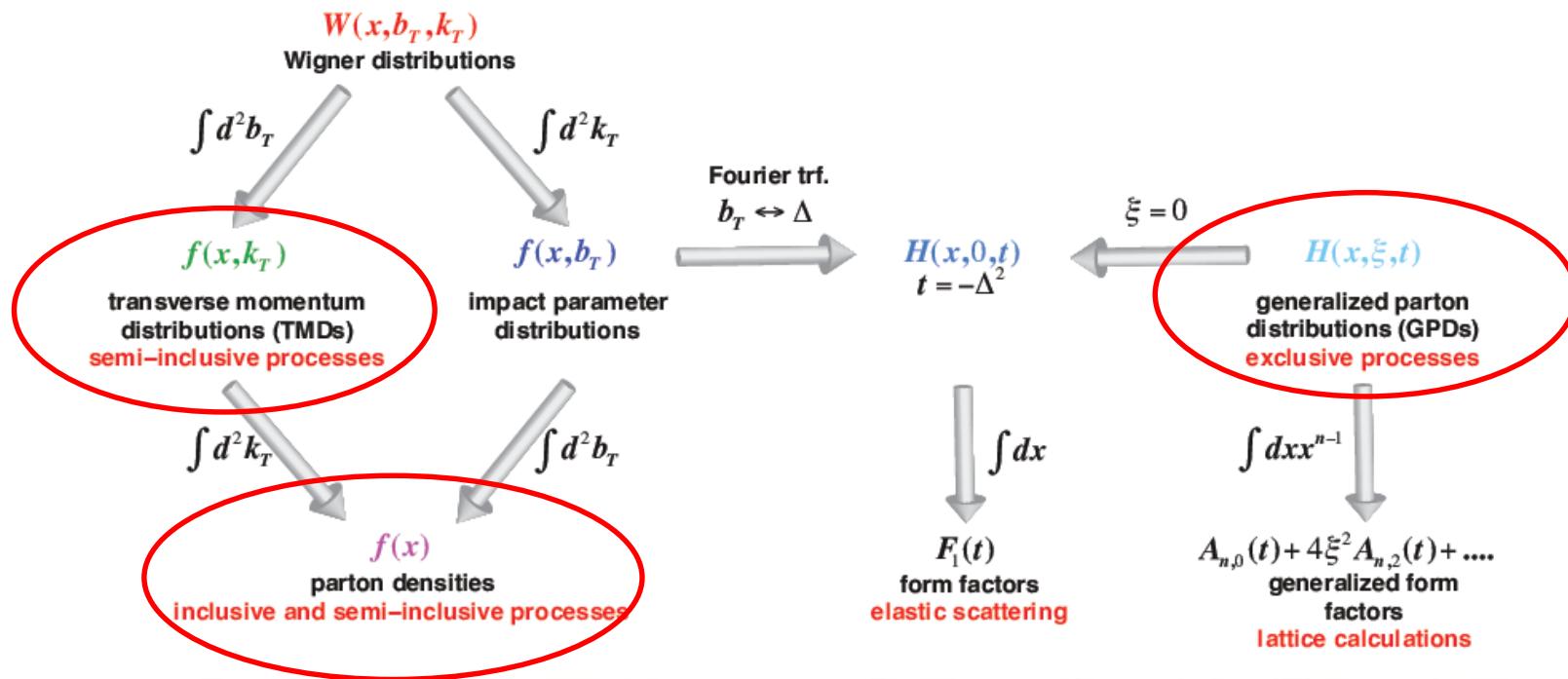
- $x$  longitudinal momentum fraction
- $\vec{k}_T$  intrinsic transverse momentum
- $\vec{b}_T$  transverse position, impact parameter



# Family tree of parton distributions

momentum space

coordinate space



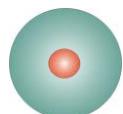
confined motion of a parton in a hadron needs 2 scales:

- soft scale  $k_T$  or  $t$
- hard scale of the probe  $Q^2$

# $k_T$ -integrated Parton Distribution Functions

Three twist-2 PDFs

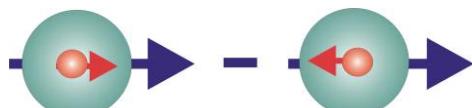
$$\mathbf{q(x)} \\ f_1^q(x)$$



unpolarised PDF

quark/gluon with momentum  $xP$  in a nucleon

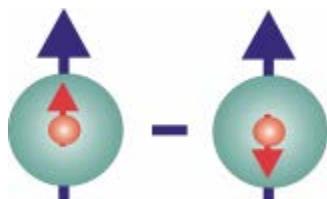
$$\Delta q(x) \\ g_1^q(x)$$



helicity PDF

quark/gluon with spin parallel to the nucleon spin in a longitudinally polarised nucleon

$$\Delta_T q(x) \\ h_1^q(x)$$

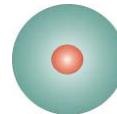


transversity PDF

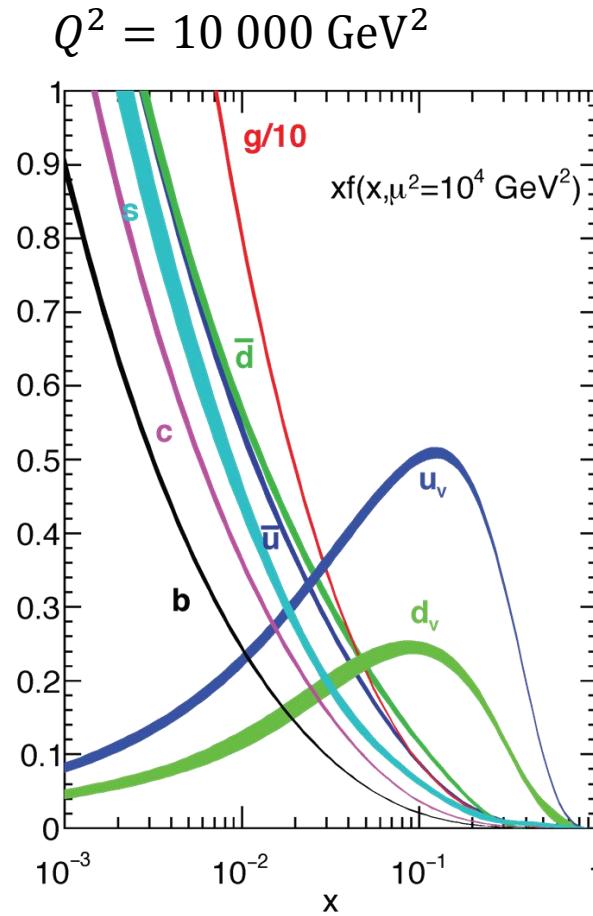
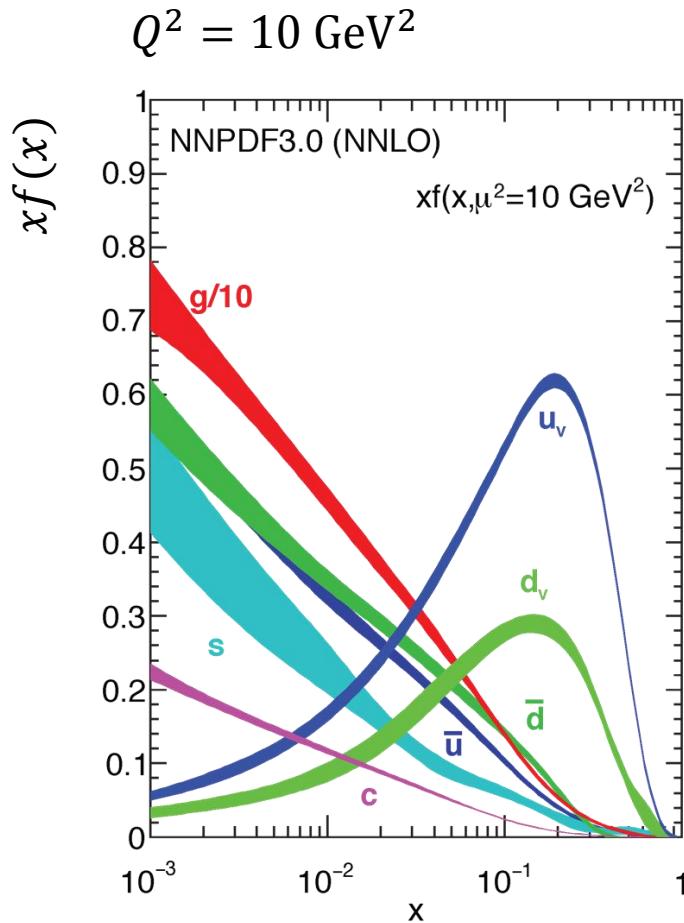
quark with spin parallel to the nucleon spin in a transversely polarised nucleon

# unpolarized PDFs

$$q(x, Q^2) = \vec{q}(x, Q^2) + \overleftarrow{q}(x, Q^2)$$



# PDFs: Example NNPDF3.0

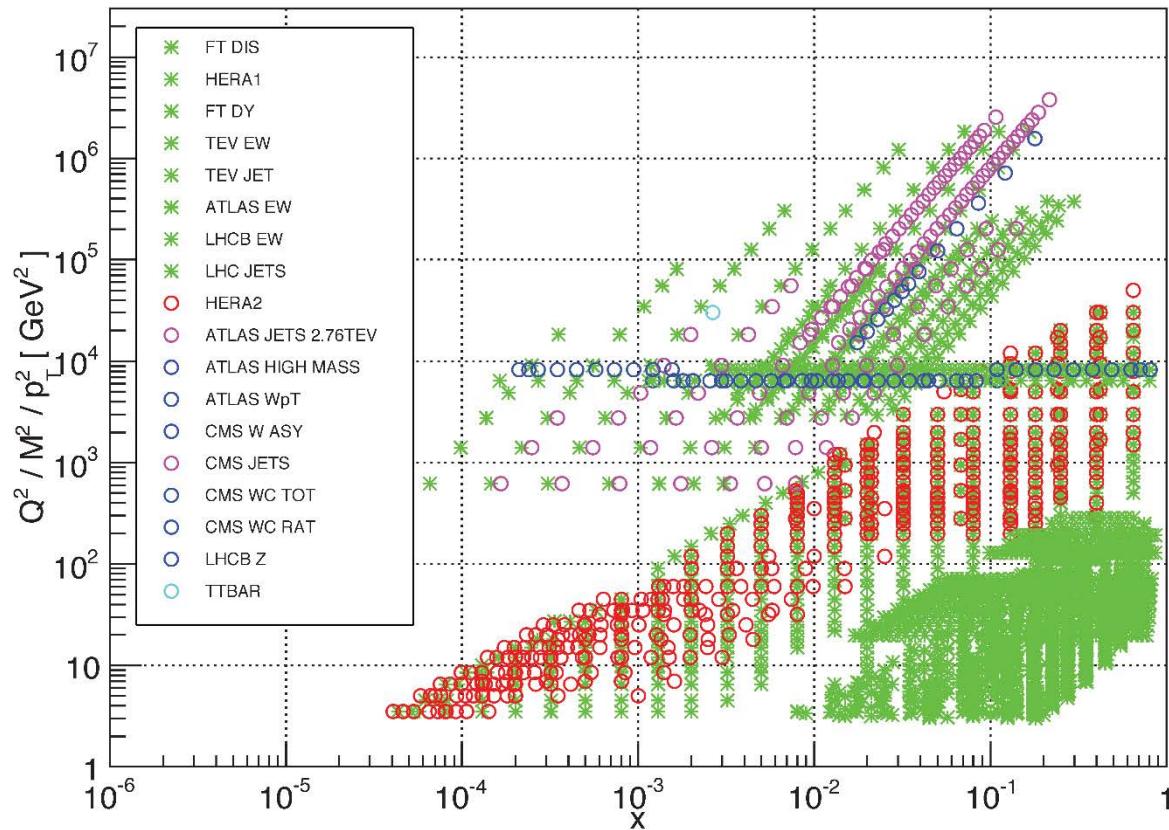


JHEP 04 (2015) 040

- Neural network approach NNLO (also LO, NLO)

# Data used in NNPDF3.0

NNPDF3.0 NLO dataset



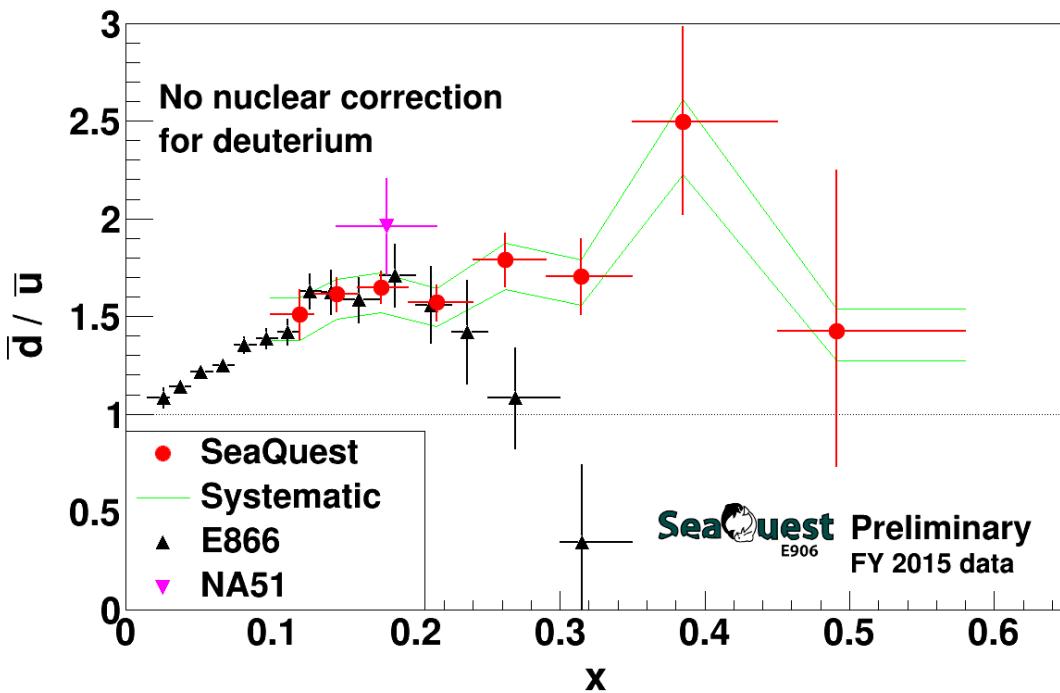
$W^2 \geq 12.5 \text{ GeV}^2$   
 $Q^2 \geq 3.5 \text{ GeV}^2$   
no HT

exp. data:  
NMC, SLAC,  
BCDMS,  
Chorus,  
NuTeV, Zeus,  
H1,  
DY E605/E866,  
CDF, D0,  
Atlas, CMS,  
LHCb

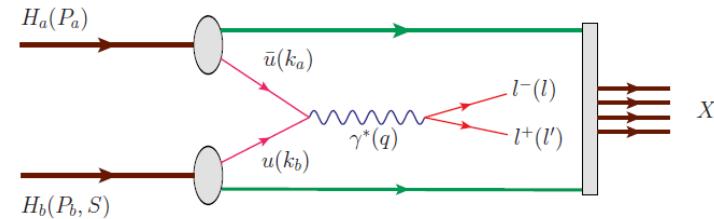
- other PDF sets: ABM12, CJ15, CT14, JR14, HERA-PDF2.0, MMHT14, JAM ( $\rightarrow$  W. Melnitchouk, Mon. 11:30)

# Asymmetry of light quark sea $\bar{d}/\bar{u}$

- first observed 1991 by NMC as violation of Gottfried sum rule
- NA51@CERN, E866@FNAL
- new preliminary results from SeaQuest @FNAL
- ~25% of anticipated data

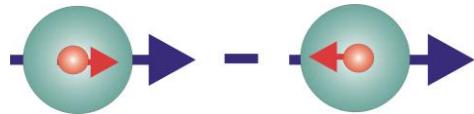


Drell-Yan: 120 GeV  
 $p \rightarrow \text{LH}_2 / \text{LD}_2 / \text{C} / \text{Fe} / \text{W}$

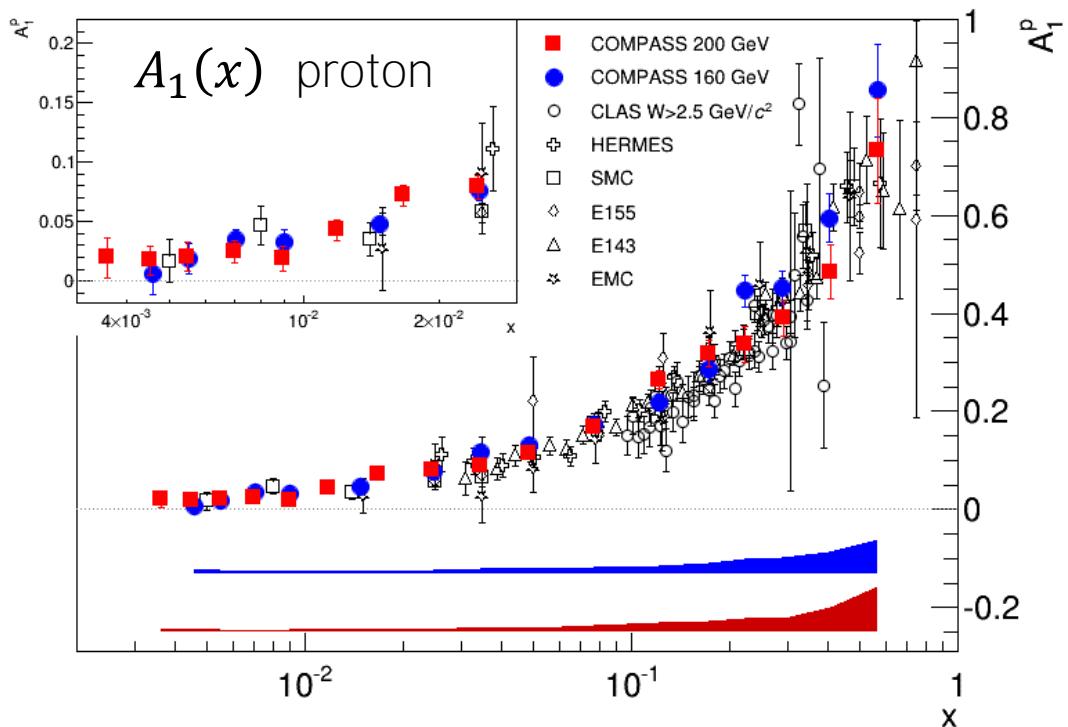


# Helicity distributions

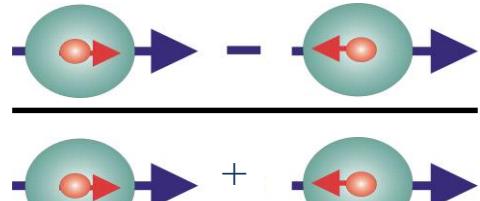
$$\Delta q(x, Q^2) = \vec{q}(x, Q^2) - \overleftarrow{q}(x, Q^2)$$



# World data on proton $A_1$ spin asymmetry



PLB 753 (2016) 18

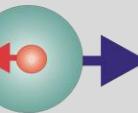
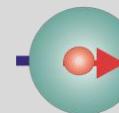


$$A_1(x, Q^2) = \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)} = \frac{g_1(x, Q^2)}{F_1(x, Q^2)}$$

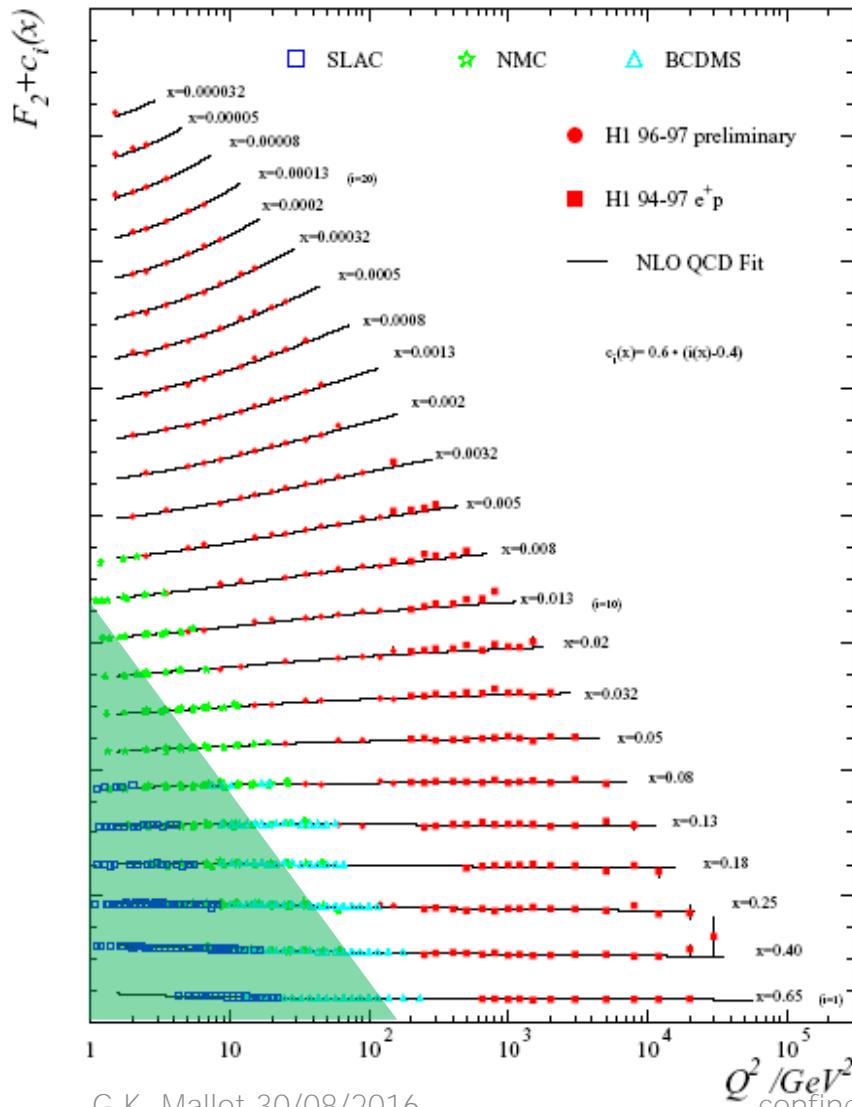
$F_2(x, Q^2)$



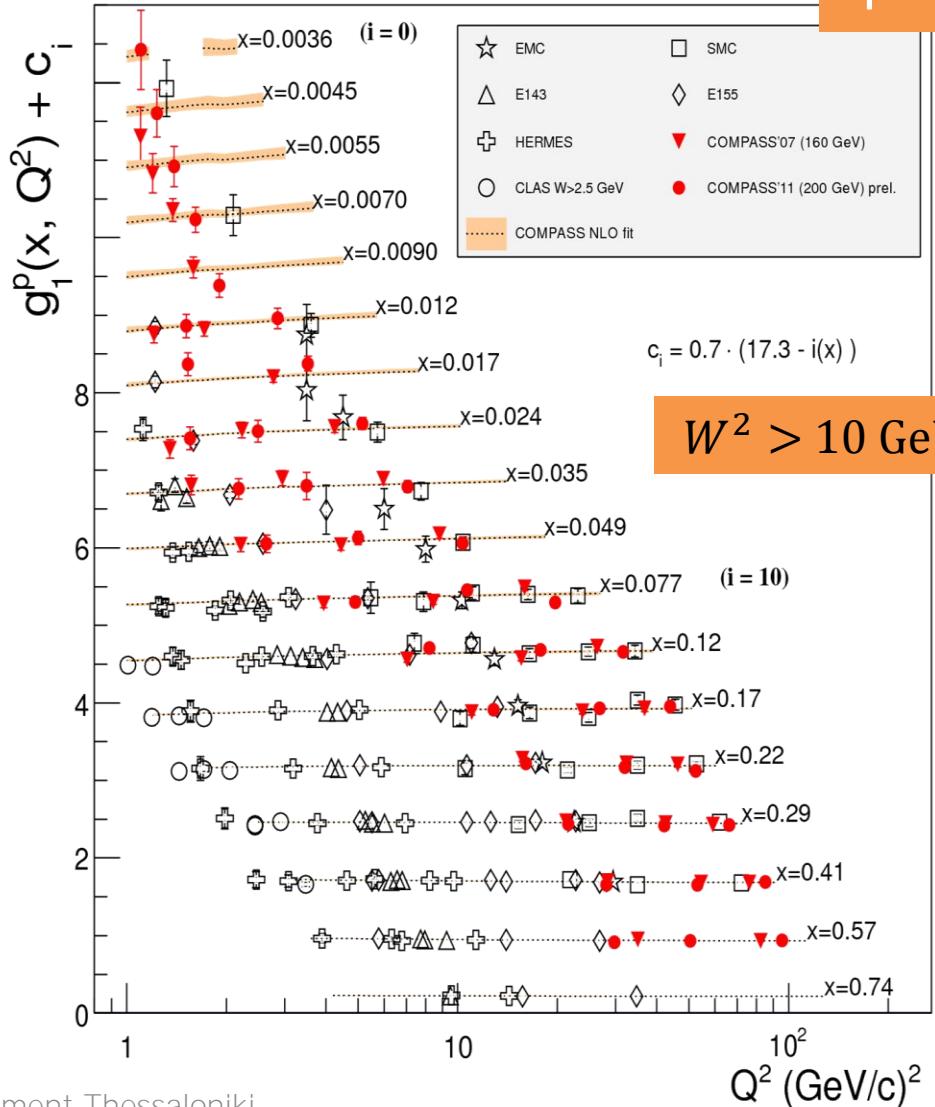
$g_1(x, Q^2)$



p

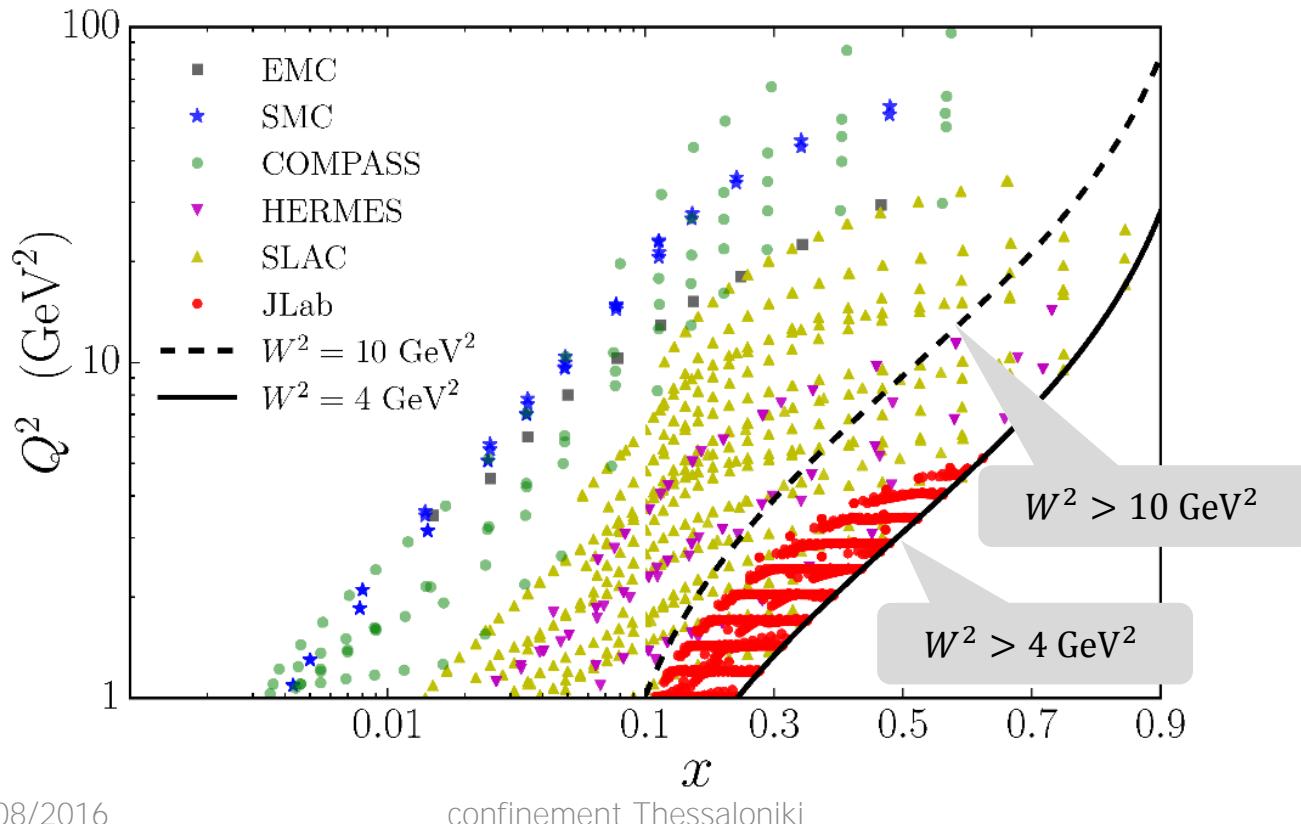


G.K. Mallot 30/08/2016



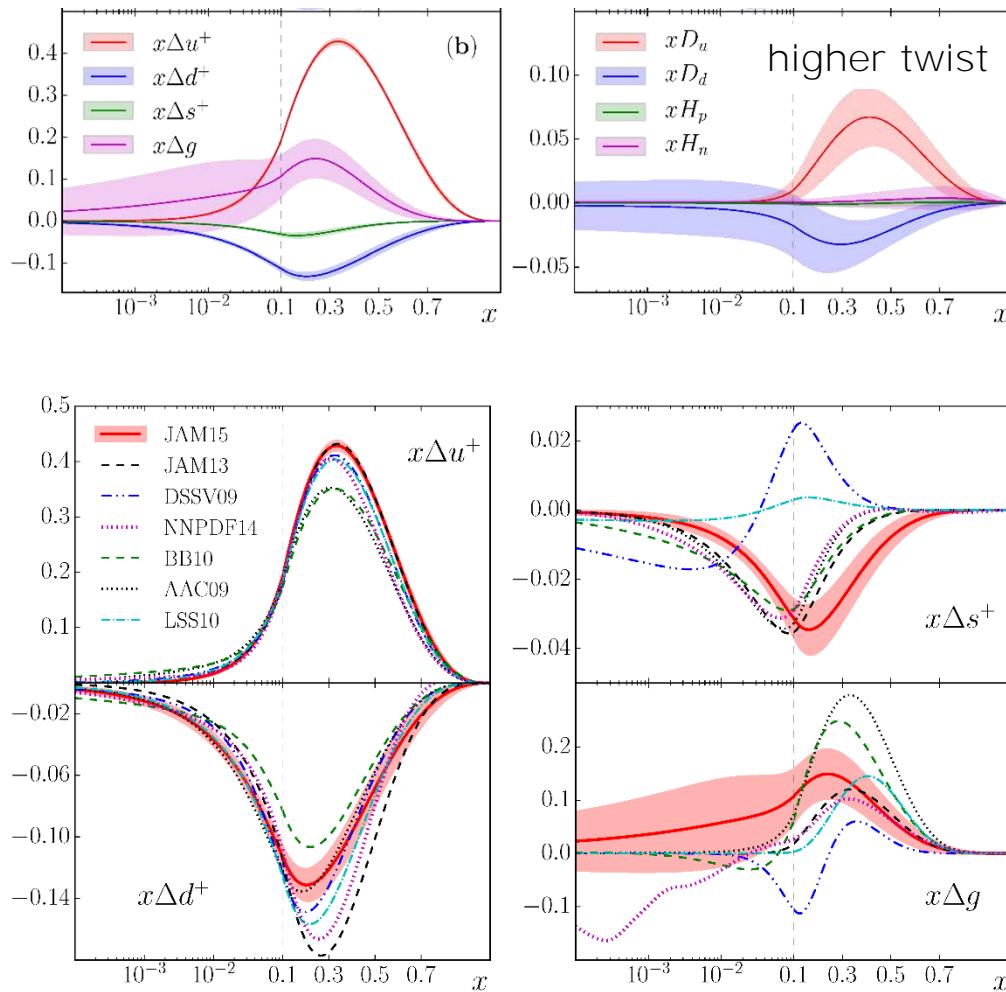
# JAM15 – a new PDF fit to inclusive data

- includes precise low  $W^2 > 4 \text{ GeV}^2$  JLAB data
- only inclusive data, 2515 data points vs 854 for  $W^2 > 10 \text{ GeV}^2$



PRD 93 (2016) 074005

# JAM15 – a new PDF fit to inclusive data



- $q^+ = q + \bar{q}$
- $Q^2 = 1 \text{ GeV}^2$
- very significant higher twist terms
- improvements for strange quarks and gluon at large  $x$

PRD 93 (2016) 074005

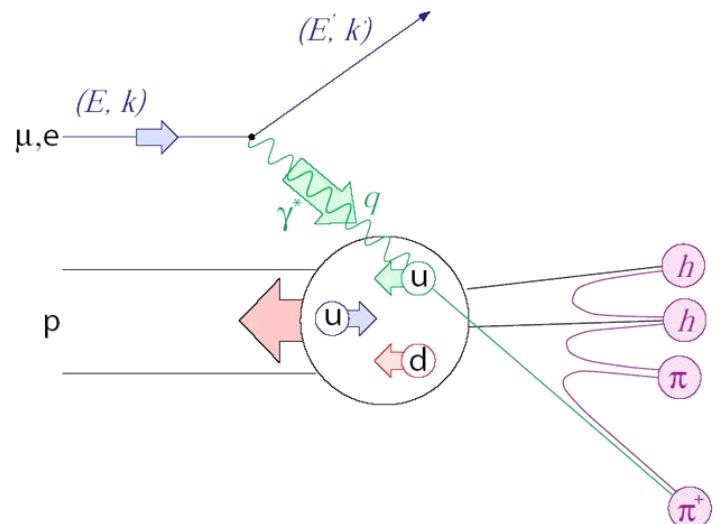
# Beyond inclusive: Semi-inclusive DIS results

- additional hadron observed in FS

$$A_1^h = \frac{\sum_q e_q^2 g_1^q(x, Q^2) D_{1q}^h(z, Q^2)}{\sum_q e_q^2 f_1^q(x, Q^2) D_{1q}^h(z, Q^2)}$$

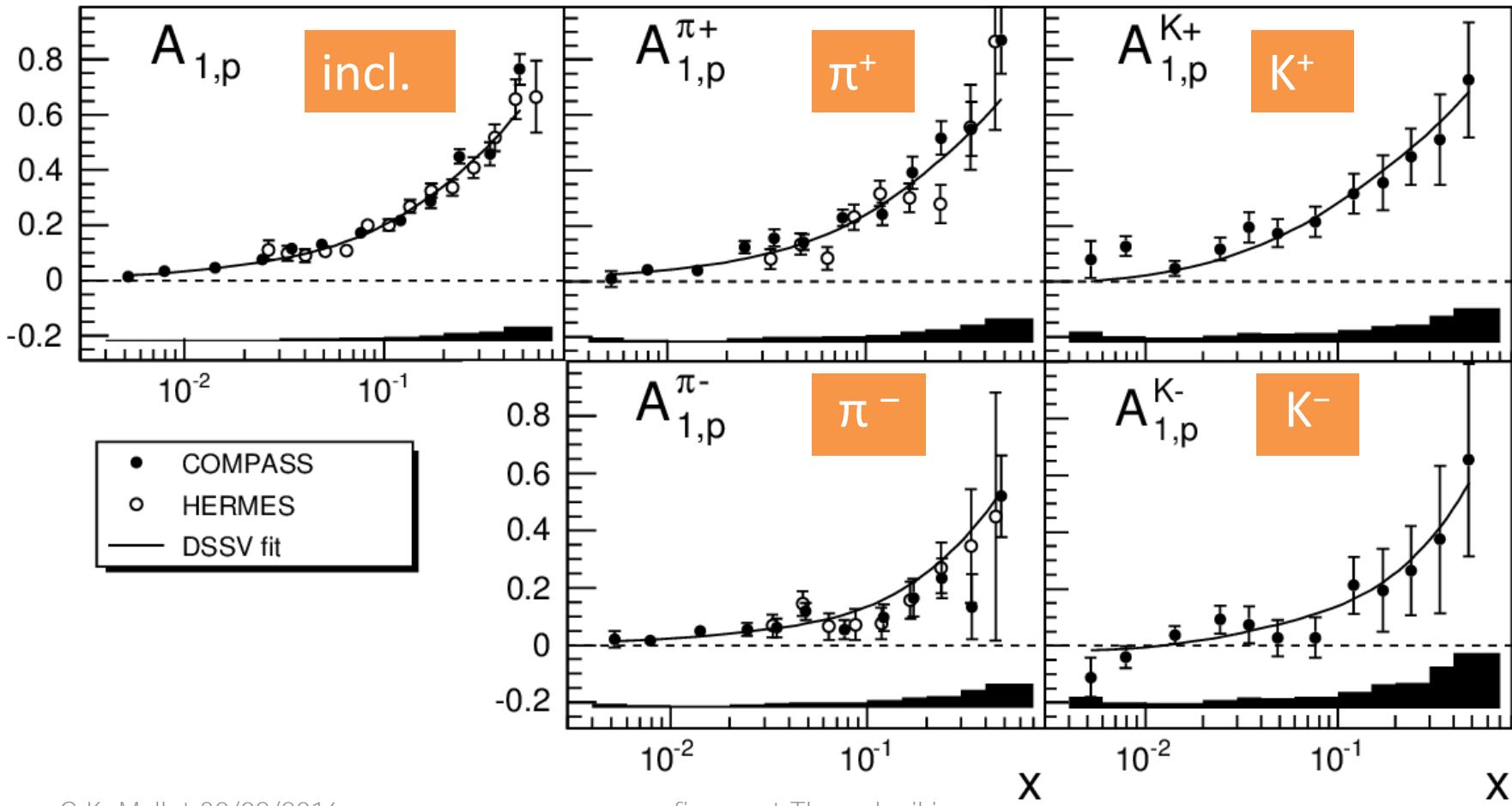
- gives access to flavour information via the fragmentation functions  $D$

$$z = E_h/\nu$$



# Incl. & semi-incl. $A_1$

- Compass and Hermes data for proton
- similar data for deuteron





# Hadron multiplicity data and FF

- Fragmentation functions not well enough known, in particular for the strange quark
- limit the determination of strange PDF
- new charged pion and kaon multiplicity data in 3-dimensional bins ( $x$ ,  $y$ ,  $z$ ) from isoscalar target ( ${}^6\text{Li}$ ) by COMPASS

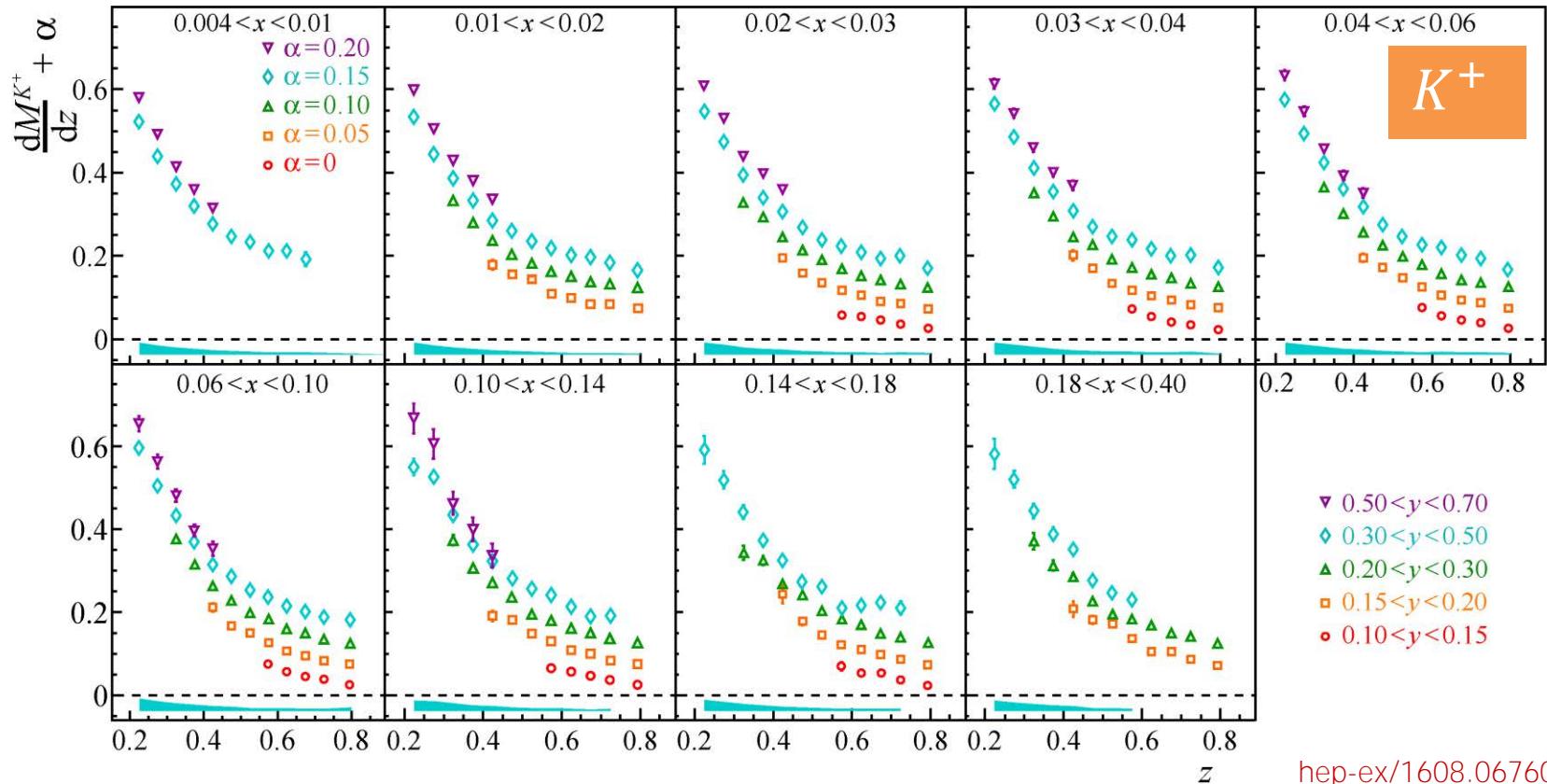
hep-ex/1604.02695  
hep-ex/1608.06760

$$\frac{dM^K(x,y,z)}{dz} = \frac{1}{N^{\text{DIS}}(x,y)} \frac{dN^K(x,y,z)}{dz} \frac{1}{A(x,y,z)}$$

# Charged kaon multiplicities

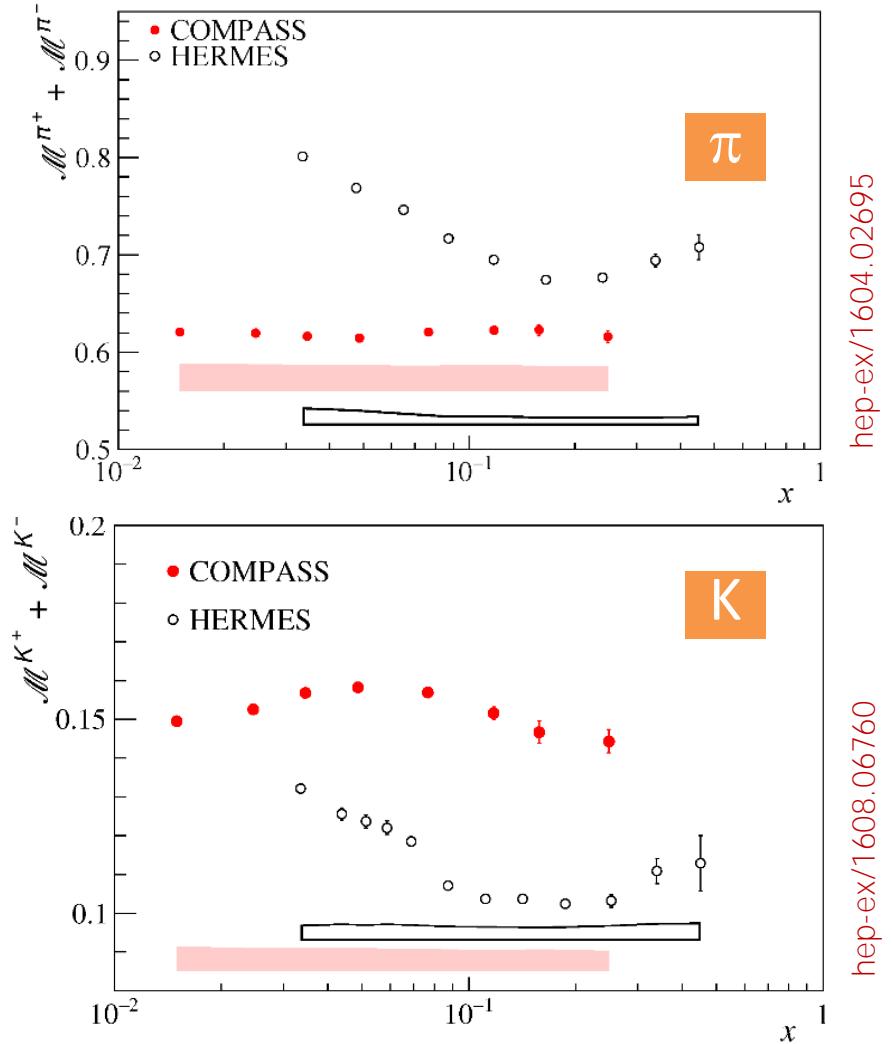


- data for positive and negative pion and kaons



hep-ex/1608.06760

# Pion and kaon multiplicities

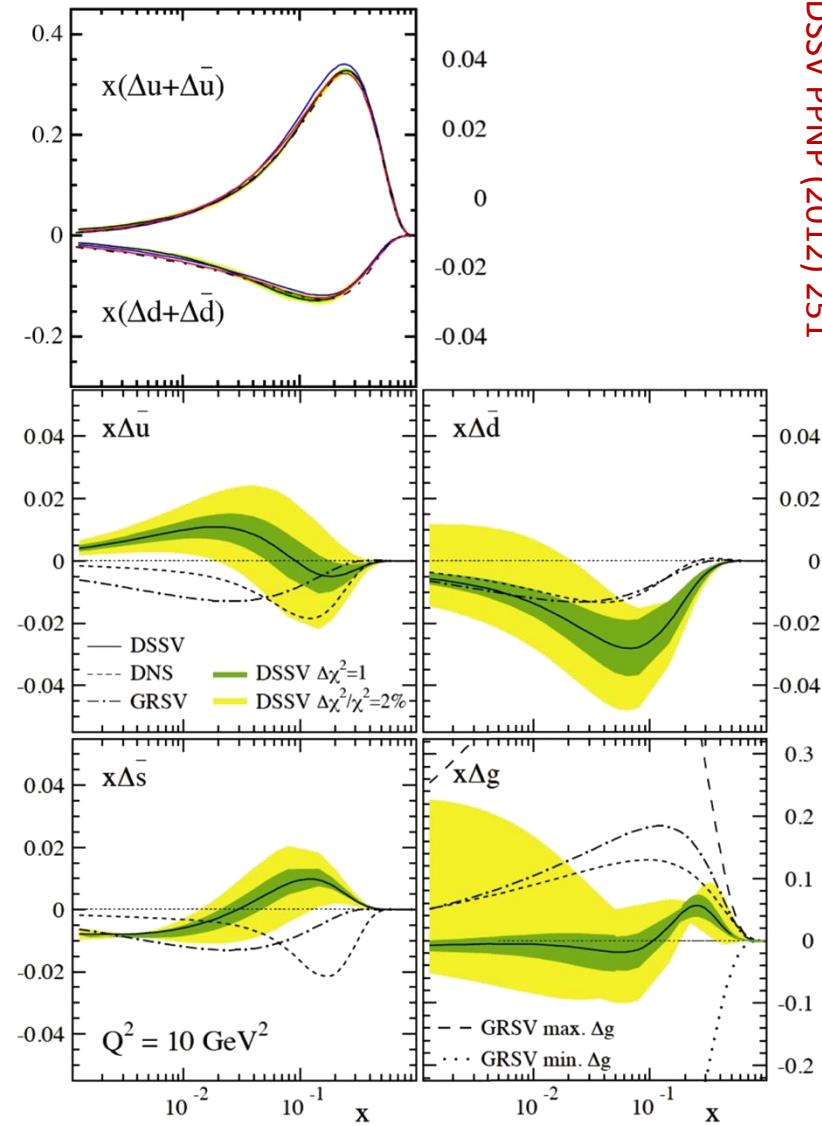


- isoscalar target, int. over  $z, p_T, Q^2$
- discrepancies in multiplicities between Hermes and COMPASS
- similar, but not identical kinematics
- ratio  $\pi^+/\pi^-$  ok,  $K^+/K^-$  differs  $\sim 20\%$
- being investigated
- new data:  
impact on FF  $\rightarrow$  strange PDF  
in next global fits

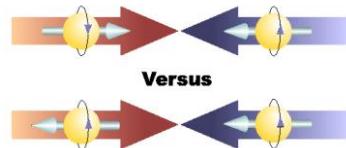
Hermes K: PRD 89 (2014) 097101;  $\pi$ : PRD 87 (2013) 074029

# Status of PDFs: global analyses (e.g. DSSV)

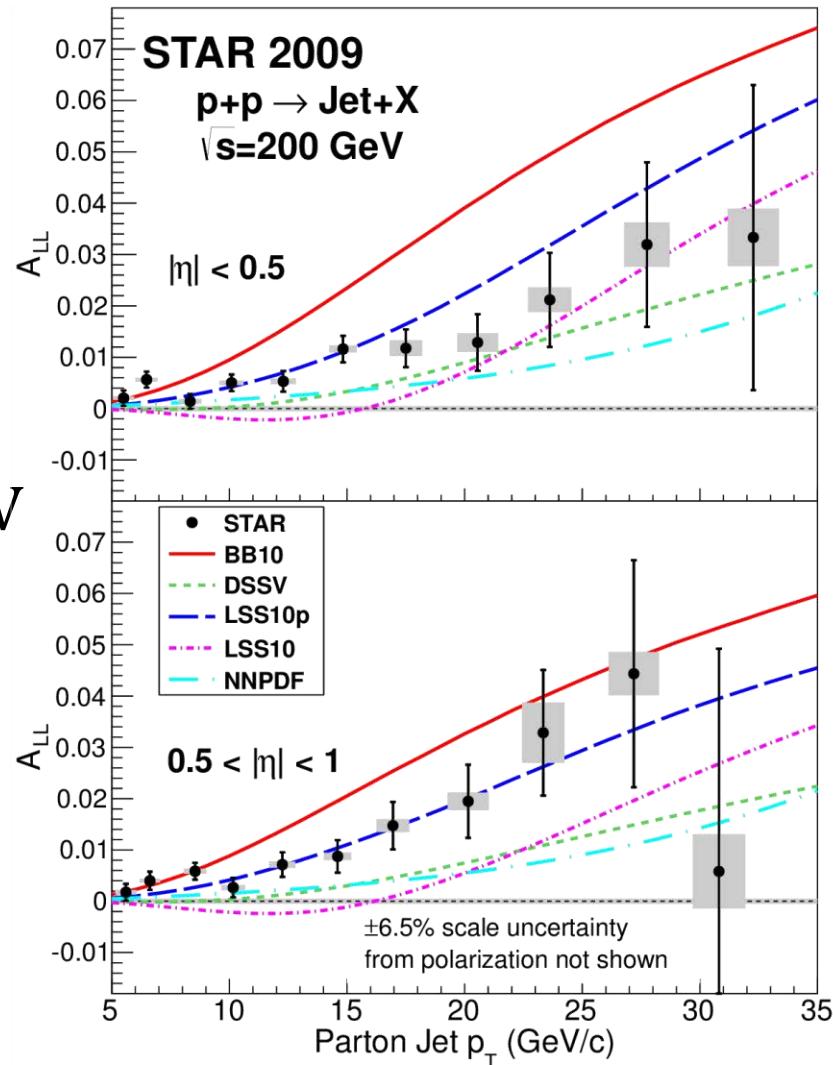
- data: DIS, SIDIS, pp
- latest update DSSV14++ with STAR jet data  
[PRL 113 \(2014\) 012001](#)
- DSS fragmentation functions, new COMPASS data not yet included
- most uncertain:  $\Delta g(x)$



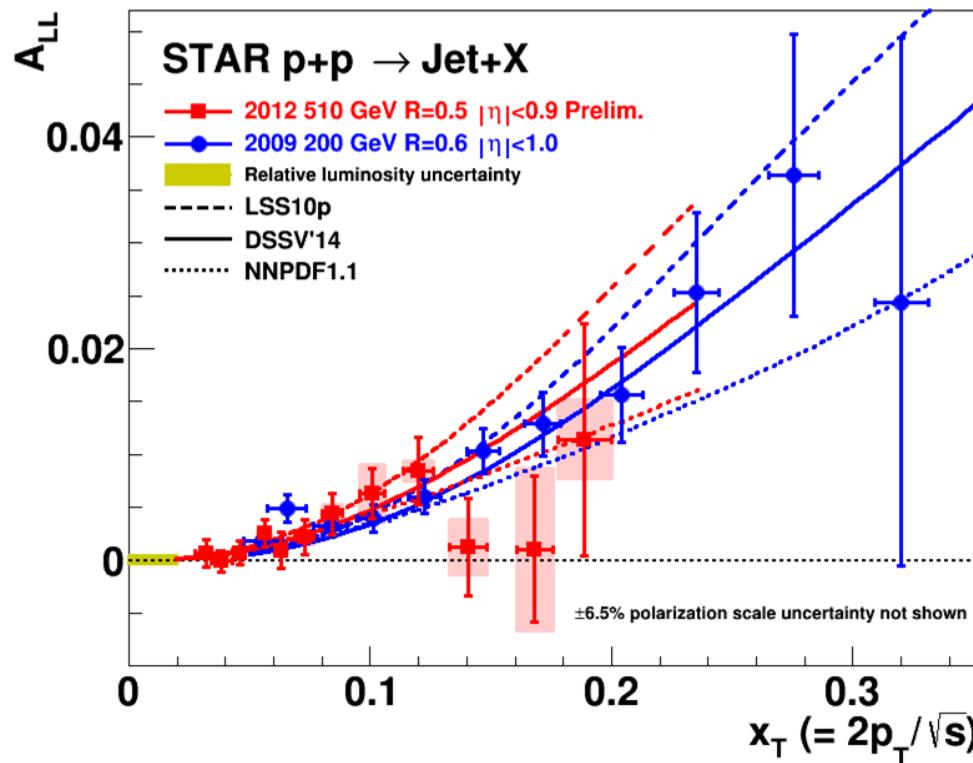
# STAR single jet asymmetry $A_{LL}$



- $A_{LL} \propto \Delta g$
- no fragmentation function
- $p+p \rightarrow \text{jet} + X @ \sqrt{s} = 200 \text{ GeV}$
- 2009 data, mid rapidity
- $A_{LL}$  in good agreement with LSS10p (pos.  $\Delta g$ )

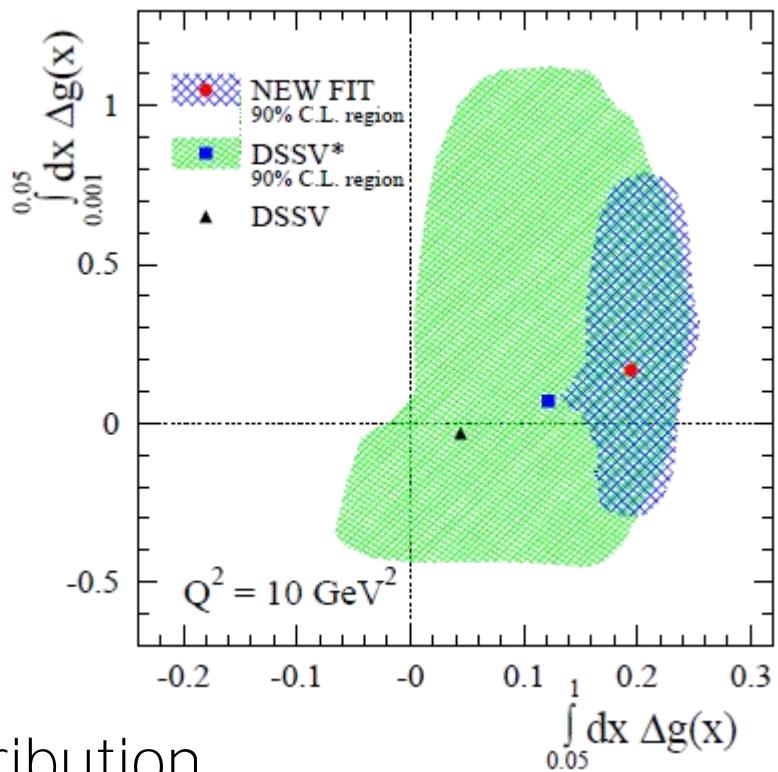
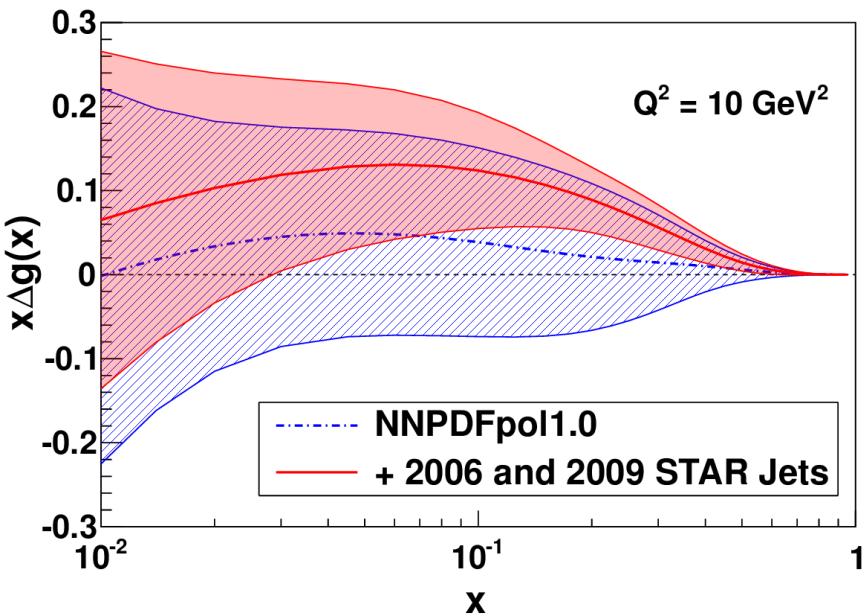


# STAR single jet asymmetry $A_{LL}$



- prelim. 2012 data,  $\sqrt{s} = 510$  GeV, lower  $x$
- agree with 2009 data and LSS10p and DSSV14
- DSSV14 includes 2009 data

# Impact of Star jet data



- big impact on pol. gluon distribution
- $\int_{0.05}^1 \Delta g(x)dx \simeq 0.20$
- need data at small  $x$

# $\Delta g/g$ from single hadron (NLO)



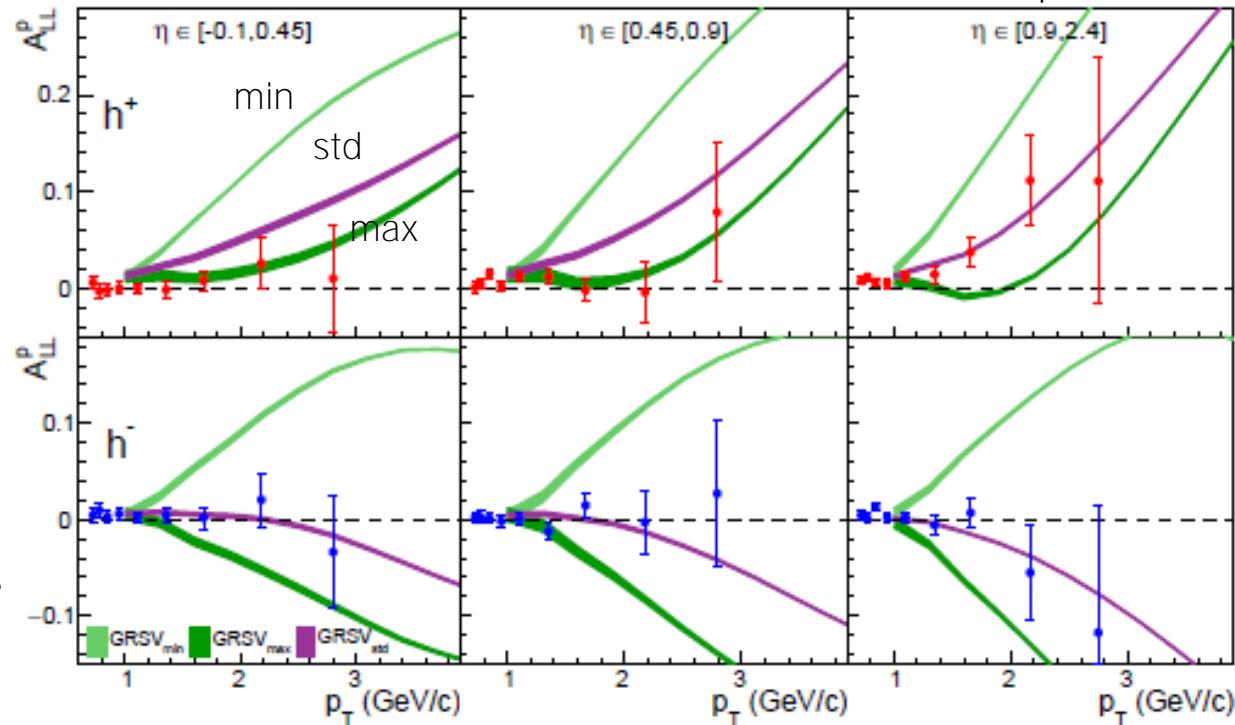
- quasi-real photoproduction of single hadrons, à la RHIC  $\pi^0$  prod.
- calc. by group of Vogelsang, agreement for unpolarised case
- caveat: NNL resummation **missing for polarised case**

$$\eta_{cms} = -\ln\left(\tan\frac{\theta}{2}\right) - \frac{1}{2}\ln\left(\frac{2E}{M}\right)$$

proton

- 3 bins of pseudorapidity  $\eta$
- FF important, using DSS (2015), agree best with meas. multiplicities
- data prefer **positive** gluon polarisation as suggested by recent RHIC data
- same seen in LO analysis of  $Q^2 > 1 \text{ GeV}^2$

[hep-ex/1512.05053](#)

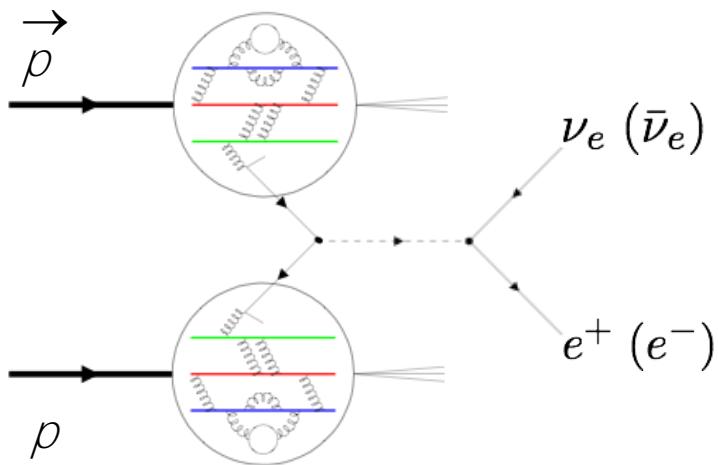


PLB 753 (2016) 573

# W production & antiquark polarisation

- $\vec{p}\bar{p}$  collisions at 250 GeV + 250 GeV
- $u_L \bar{d}_R \rightarrow W^+$  and  $\bar{u}_R d_L \rightarrow W^-$
- parity-violating long. SSA:
- sensitive to antiquark polarisation

$$A_L = \frac{\sigma^+ - \sigma^-}{\sigma^+ + \sigma^-}$$

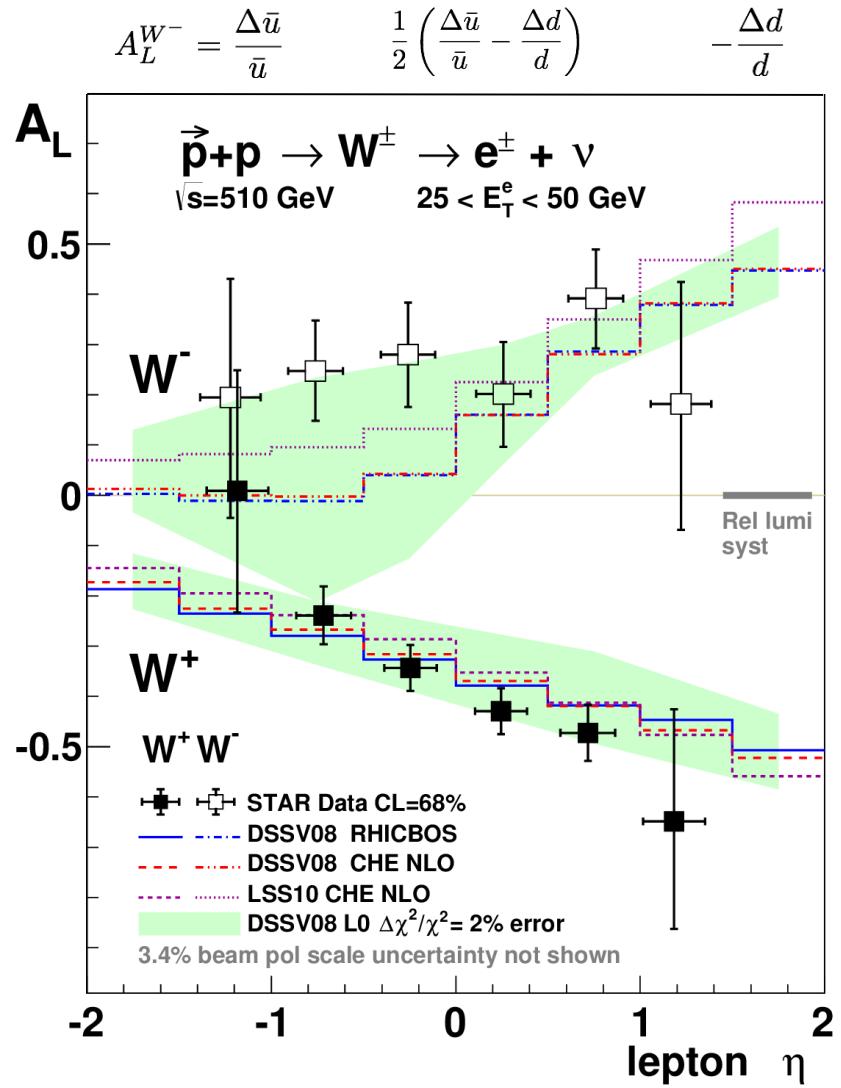


signature: high  $p_T$  lepton  
$$\eta_e = -\ln \left[ \tan \left( \frac{\theta}{2} \right) \right]$$

# W production in $pp$

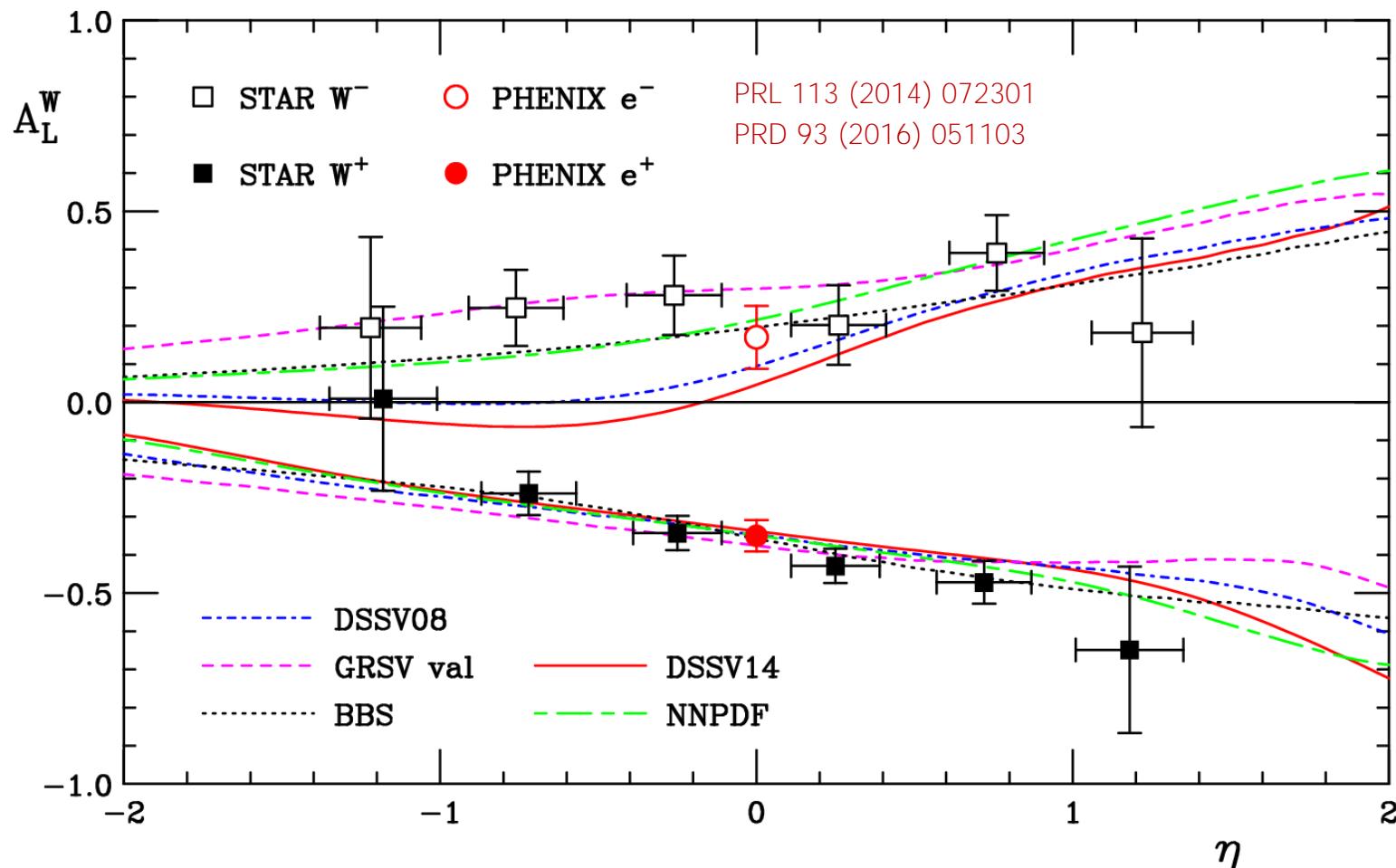
- run 11+12,  $|\eta_e| < 1.2$
- $A_L$  larger than expected for  $W^-$  @  $\eta < 0$
- indication for a sizable, positive up antiquark polarization
- $0.05 < x < 0.2$
- New Phenix W data

PRD 93 (2016) 051103



PRL 113 (2014) 072301

# $W$ production in $pp$



Ringer, Vogelsang: PRD 91 (2015) 094033

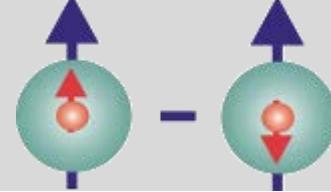
Best agreement for  $W^-$  with GRSV val  
with sizable positive  $\Delta\bar{u}$

# TMD distributions

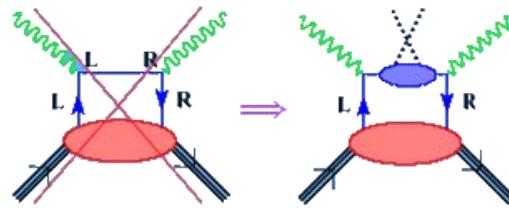
		nucleon polarization		
		U	L	T
quark polarization	U	$f_1$ number density		$f_{1T}^\perp$ <i>Sivers</i>
	L		$g_1$ helicity	$g_{1T}$ <i>worm-gear</i>
T	$h_1^\perp$ <i>Boer-Mulders</i>	$h_{1L}^\perp$ <i>worm-gear</i>	$h_1$ <i>transversity</i>	$h_{1T}^\perp$ <i>Pretzelosity</i>

- 8 TMD PDFs at leading twist
- 3 non-vanishing  $k_T$ -integrated PDFs:  $f_1$ ,  $g_1$ ,  $h_1$
- Azim. asym. with different angular modulations in  $\phi_h$  and  $\phi_s$
- TMDFF

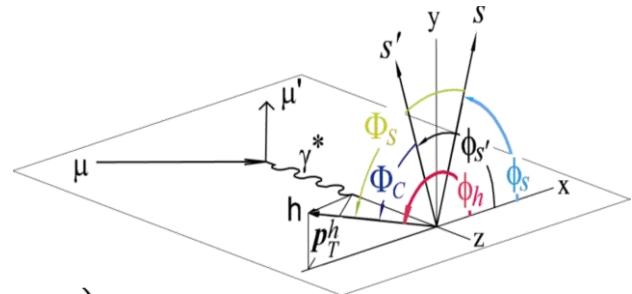
# Transversity $h_1$



- chiral-odd  $\rightarrow$  vanishes in DIS  $\rightarrow$  SIDIS
  - leads to azimuthal modulation in the Collins angle  $\phi_c = \phi_h + \phi_s - \pi$   
 $(-\pi$  used by COMPASS)

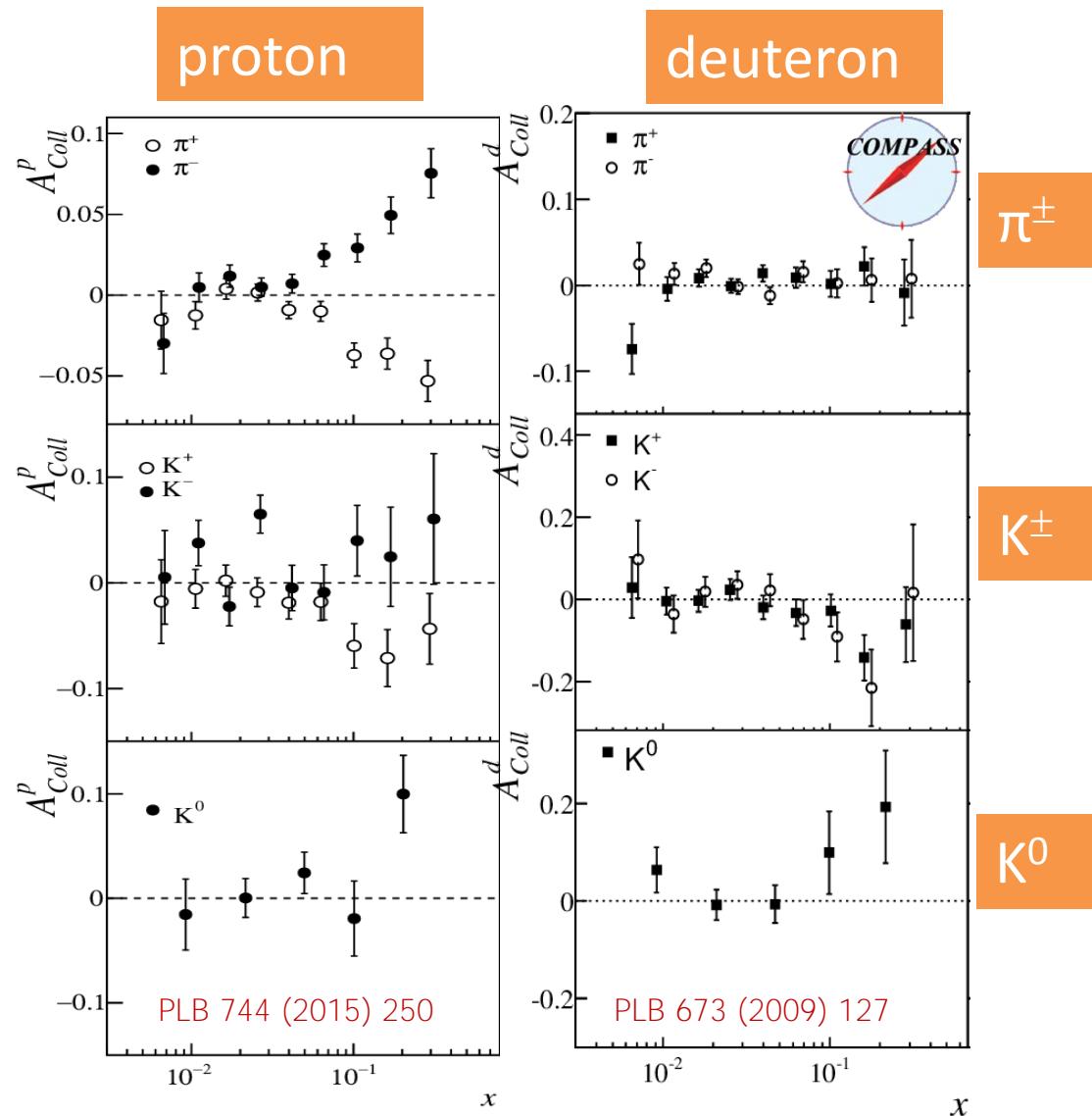


- amplitude
$$A_{\text{Coll}}^h \propto \frac{\sum_q e_q^2 h_1^q(x) \otimes H_1^{\perp h/q}(z, p_T)}{\sum_q e_q^2 f_1^q(x) D^{h/q}(z)}$$
  - Collins fragmentation function  $H_1^\perp(z, p_T)$  from  $e^+e^-$
  - convolution ( $\otimes$ ) over intrinsic transverse momentum  $k_T$
  - product for 2-hadron asymmetries coupled to IFF  $H_1^\star(z, M_h^2)$



# Collins asymmetry for proton & deuteron

- first proton data from Hermes  
[PRL 94 \(2005\) 012002](#)
- sizable for proton
- mirror symmetry  $\pi^+$  and  $\pi^-$
- small for deuteron
- di-hadron asym. are similar, collin. factorisation  $h_1 \times H_1^\Delta$   
[PLB 736 \(2014\) 124](#)

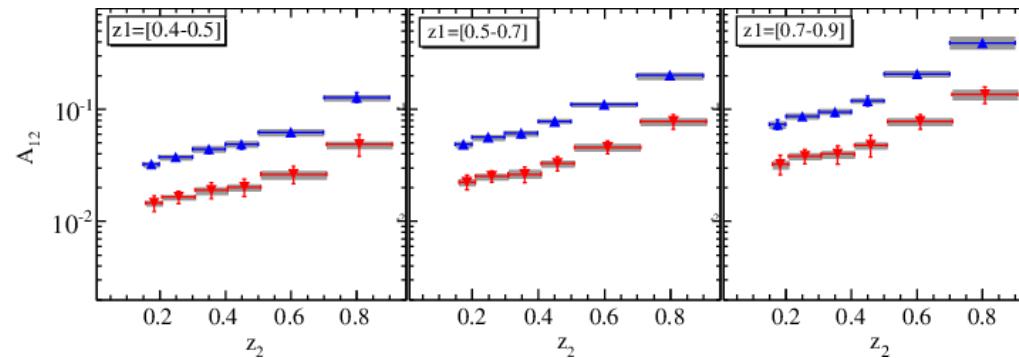
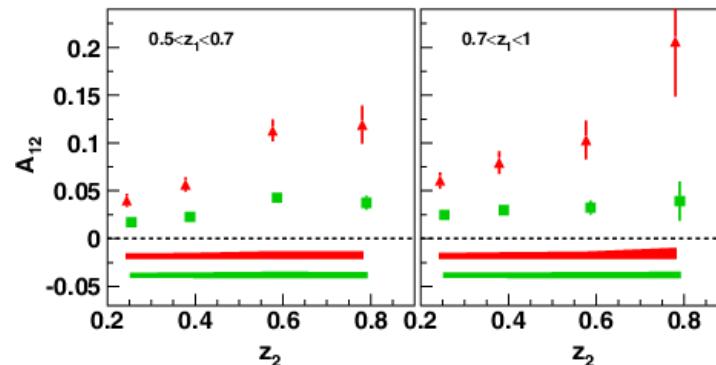
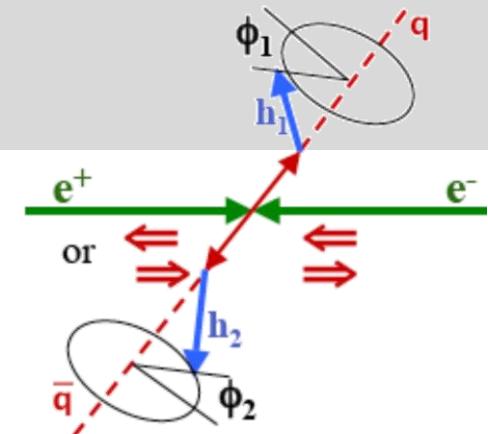


# Collins FF from $e^+e^-$

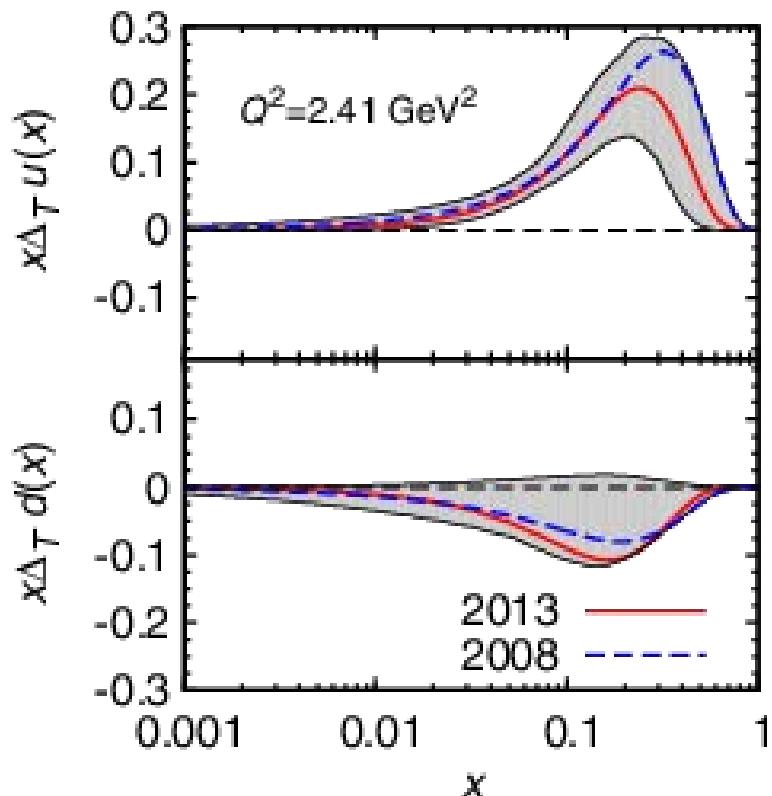
- $\propto H_1^\perp \otimes H_1^\perp$
- Belle, Babar, BESIII

Belle: PRD 90 (2012) 052003  
 Babar: PRD 90 (2014) 052003  
 BESIII: PRL116 (2016) 042001

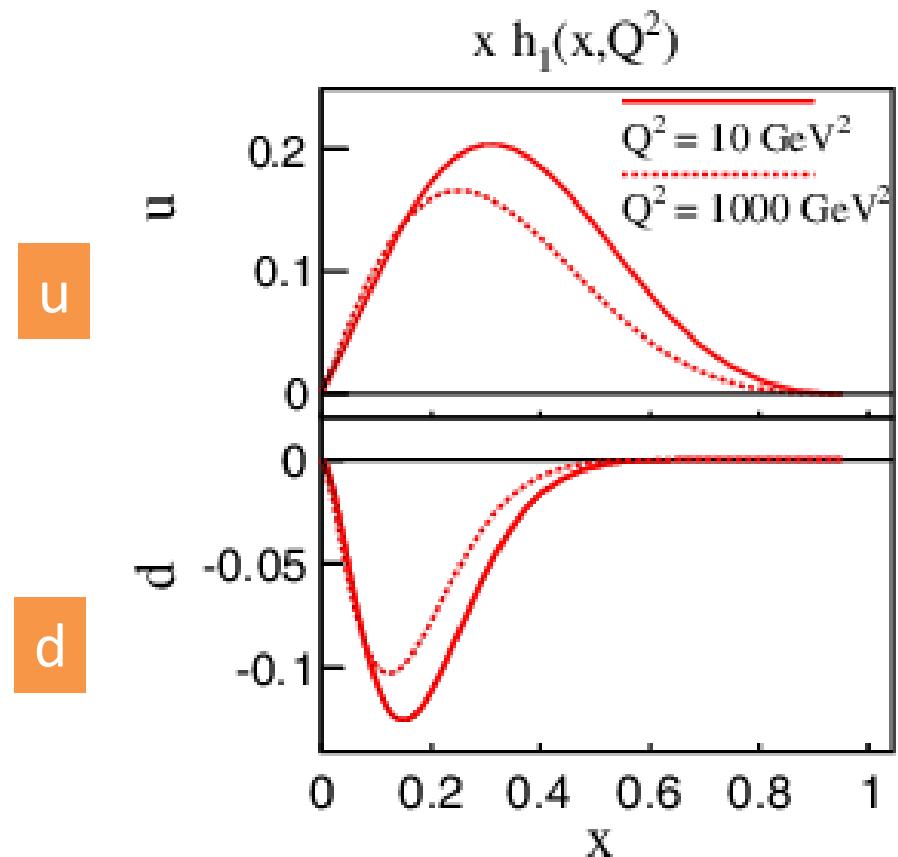
- clear signals



# Determination of $h_1$ from SIDIS & $e^+e^-$

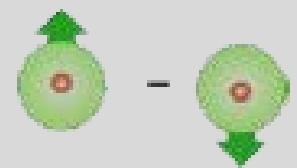


Anselmino et al.,  
PRD 87 (2013) 094019



Kang et al.,  
PRD 91 (2015) 071501(R)

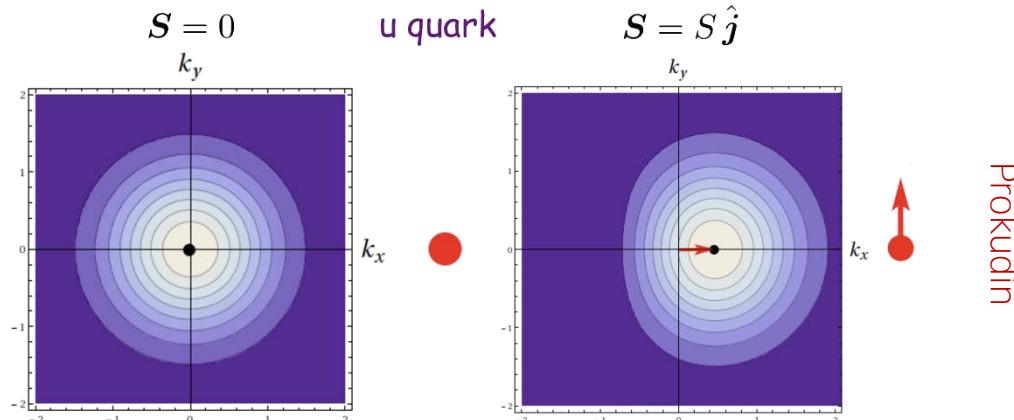
# Sivers TMD $f_{1T}^\perp$



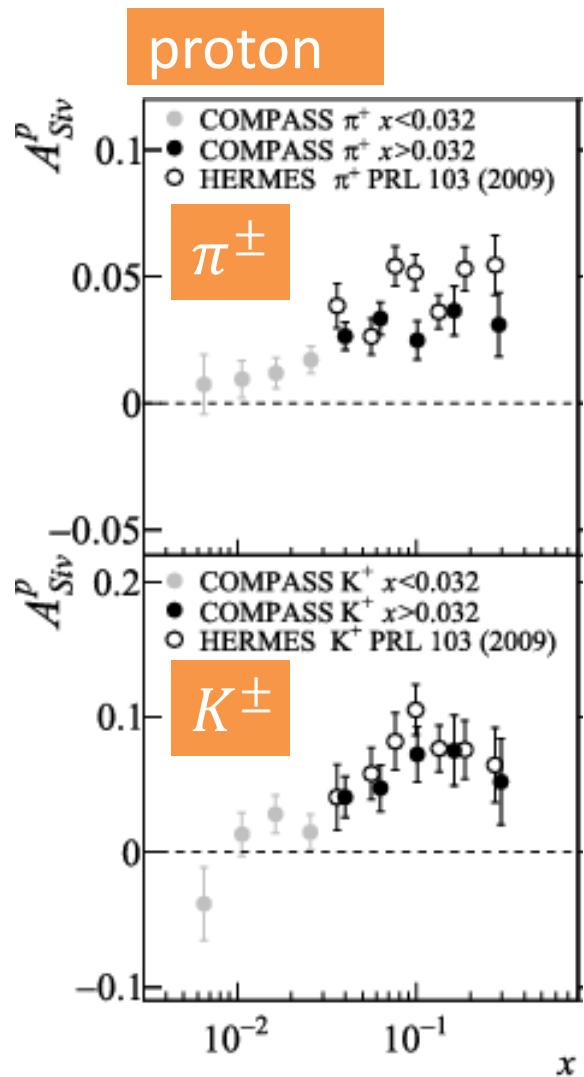
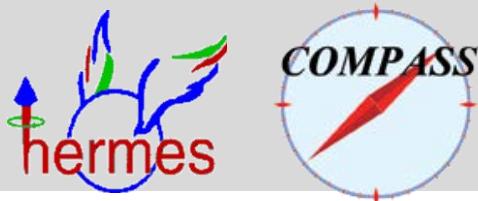
- azim. asym. in  $\phi_{Siv} = \phi_h - \phi_s$

$$A_{Siv}^h \propto \frac{\sum_q e_q^2 f_{1T}^{\perp,q}(x, k_T) \otimes D_1^{h/q}(z, p_T)}{\sum_q e_q^2 f_1^q(x, k_T) \otimes D_1^{h/q}(z, p_T)}$$

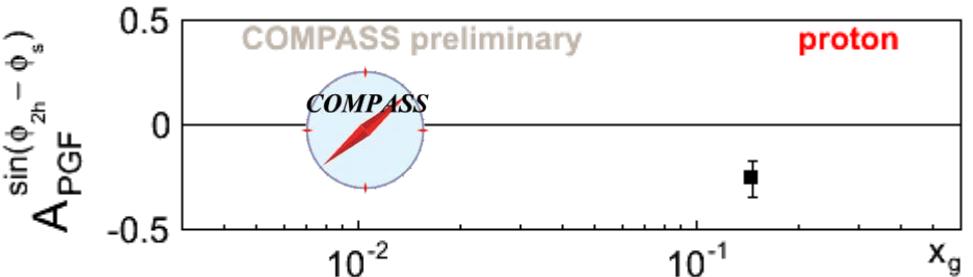
- if non-zero  $\rightarrow$  orbital angular momentum
- induces distortions in the PDF of polarized nucleons



# Sivers asymmetry



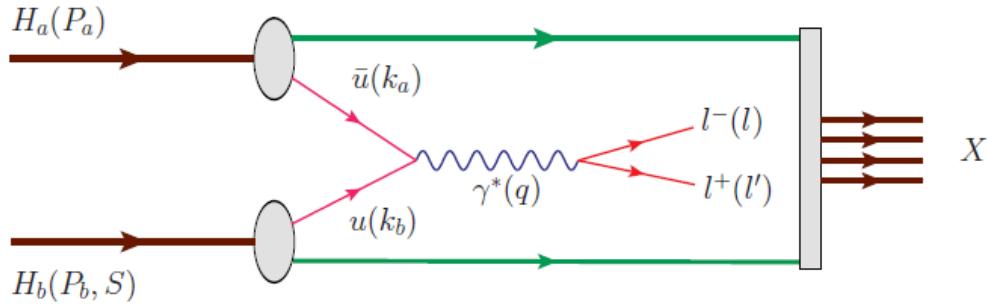
- sizable for proton
- Hermes vs Compass: some  $Q^2$  evolution
- Sivers small for deuteron
- gluon: indication from 2h



A. Szabelski, DIS2016

Hermes: PRL 103 (2009) 152002  
 COMPASS: PLB 744 (2015) 250  
 G.K. Malfot 30/08/2016

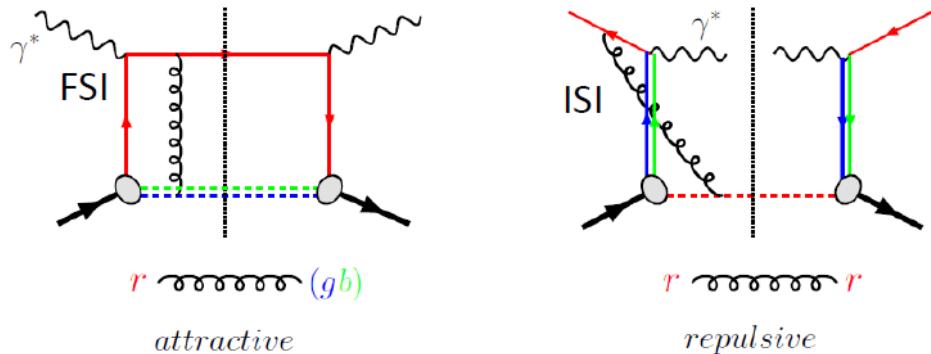
# Restricted universality in SIDIS and pol. DY



T-odd TMDs:

‘gauge link changes sign for T-odd TMD’, restricted universality of T-odd TMDs

J.C. Collins, PLB536 (2002) 43



$$f_{1T}^\perp \Big|_{\text{DIS}} = - f_{1T}^\perp \Big|_{\text{DY}}$$

Sivers

$$h_1^\perp \Big|_{\text{DY}} = - h_1^\perp \Big|_{\text{DIS}}$$

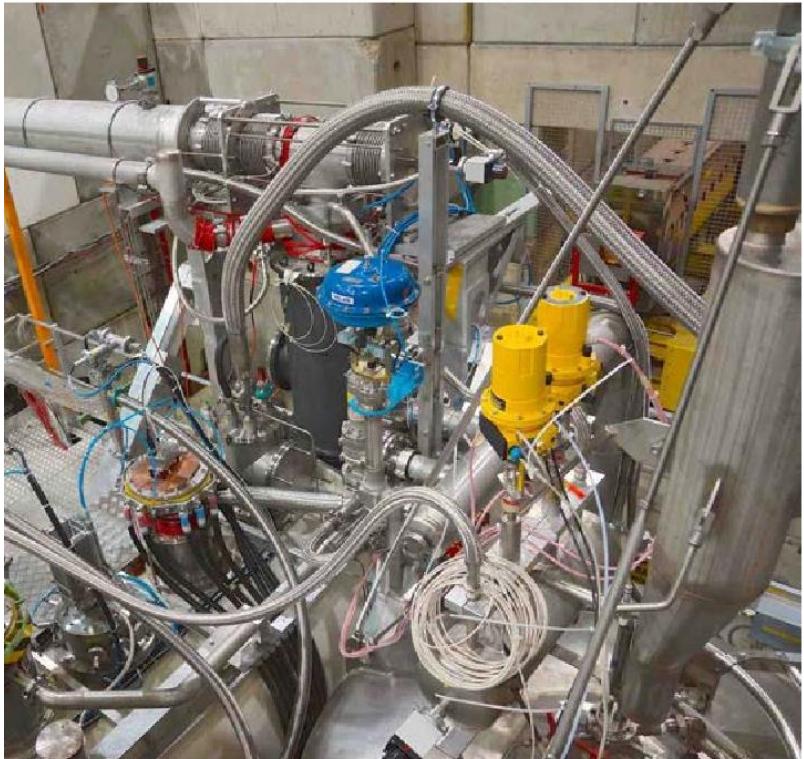
Boer-Mulders

- important prediction, needs to be verified: COMPASS, FNAL, RHIC?

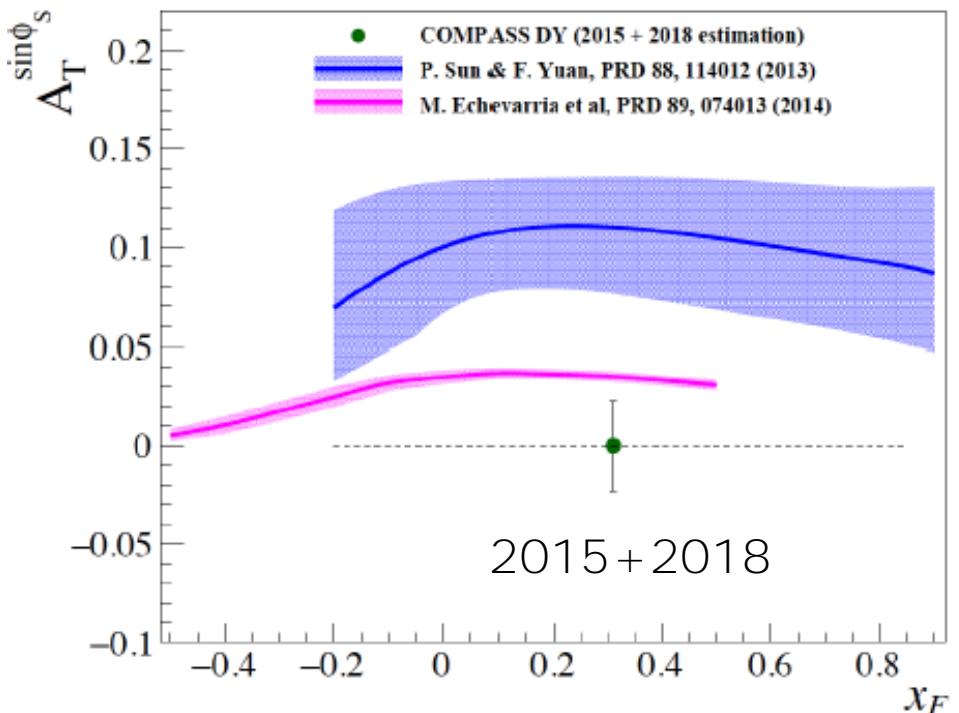
# COMPASS polarized DY



- first results at Spin2016

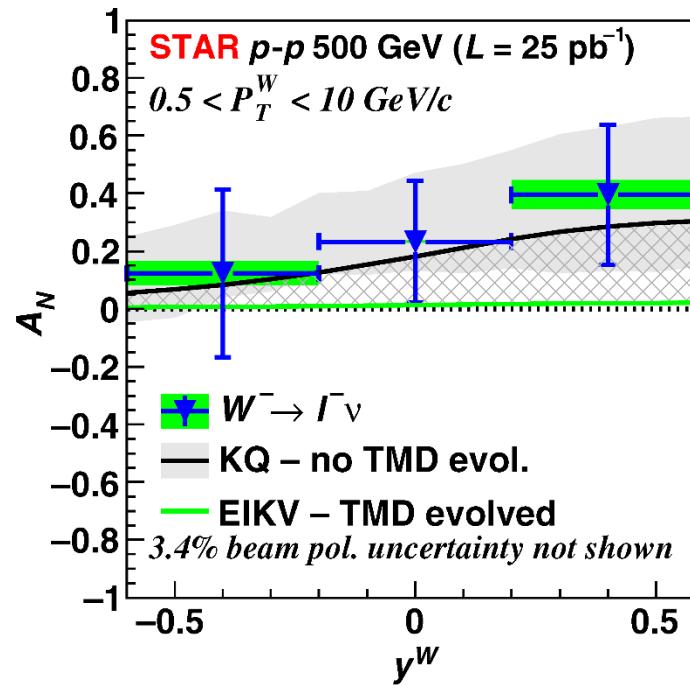
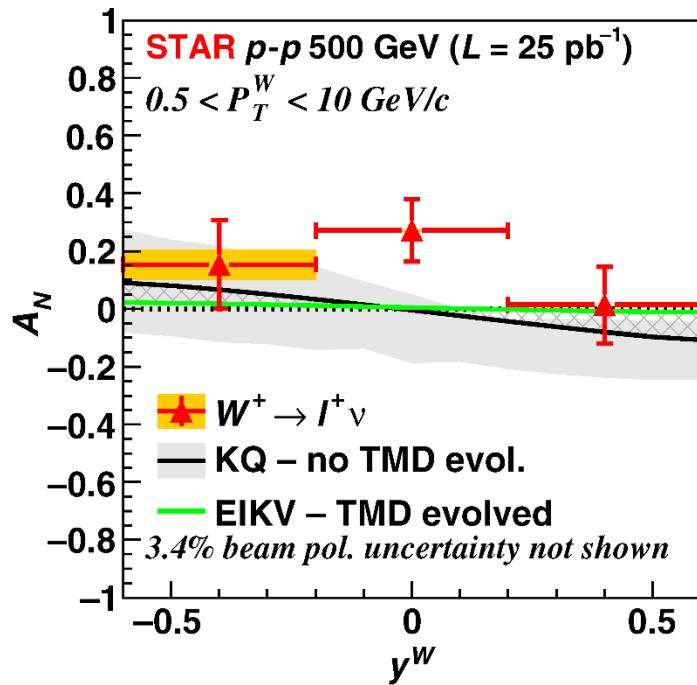


- Predictions vary strongly, due to different TMD evolution
- 2015: uncertainty  $\sim 0.04$   
→ Longo: Thu 17:30



- Fermilab, RHIC, ...

# Sivers in W production



PRL 116, 132301 (2016)

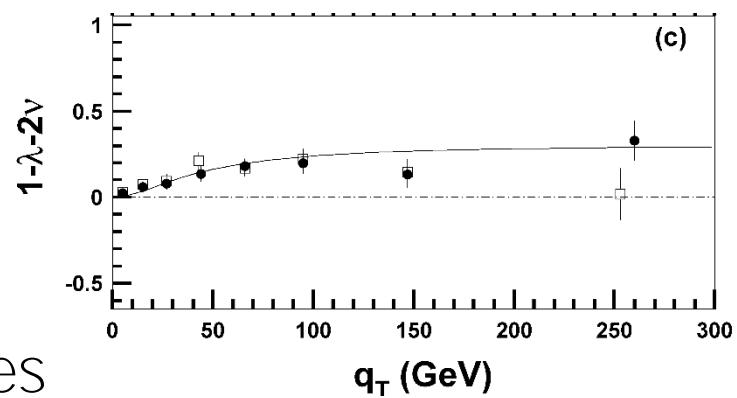
- 2011 data,  $25 \text{ pb}^{-1}$
- no TMD evolution: clear preference for sign change!
- with EIKV TMD evolution: no sizable Sivers effect
- need more data

# Boer-Mulders in Drell-Yan?

$$\frac{dN}{d\Omega} = \frac{3}{4\pi} \frac{1}{\lambda+3} \left[ 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi \right]$$

- Lam-Tung relation  $1 - \lambda - 2\nu = 0$
- new CMS data:  $pp \rightarrow ZX \rightarrow \mu\bar{\mu}X$  violate Lam-Tung relation
- Boer-Mulders TMD  $h_1^\perp$  contr. to  $\cos 2\phi$  modulation?
- misalignment of  $q\bar{q}$  and hadron planes do to gluon emission causes modification of Lam-Tung relation

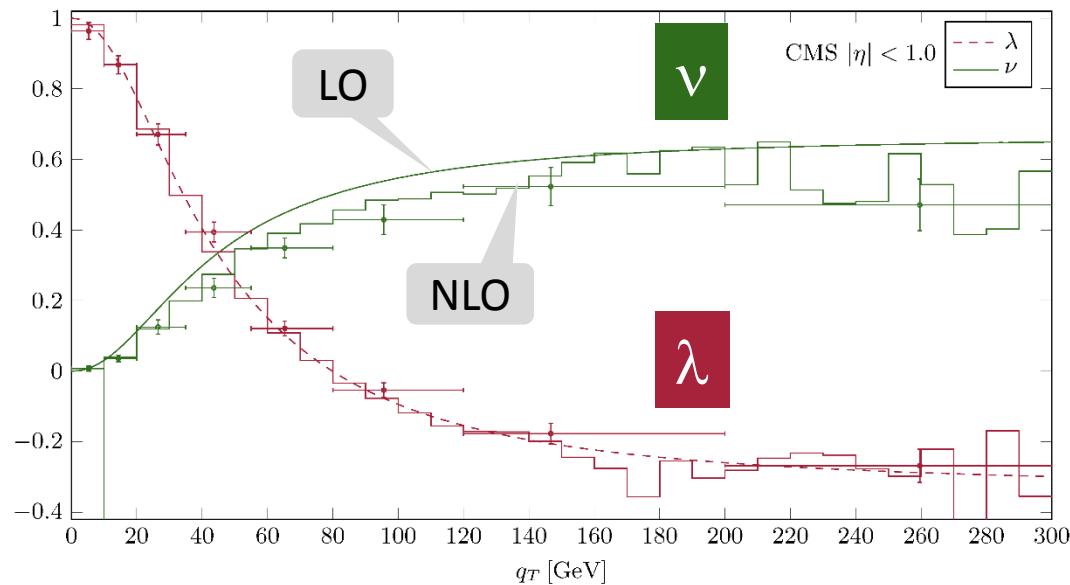
CMS, PLB 750 (2015) 154



Peng et al., PLB 758 (2016) 384

# Boer-Mulders in DY?

- NLO calculations describe DY data well!



Lambertsen, Vogelsang  
PRD 93 (2016) 114013

CMS, PLB 750 (2015) 154

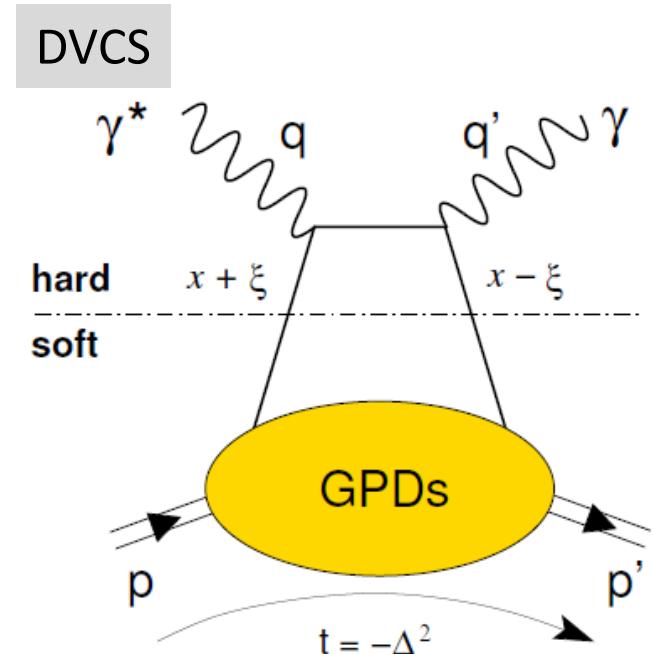
$\sqrt{s} = 8$  TeV  
 $81$  GeV  $< Q < 101$  GeV

- Need more data to establish a possible BM contribution  
→ COMPASS, SeaQuest, LHC, RHIC?

# Generalised parton distributions

# Generalized PDF's

- Correlating **transverse spatial** and **longitudinal momentum** degrees of freedom  
 $H(x, \xi, t, Q^2)$ ;  $Q^2$  large,  $t$  small
- meas. 4 dim diff x-sect:  $x, Q^2, t, \phi$
- DVCS, hard exclusive meson prod.

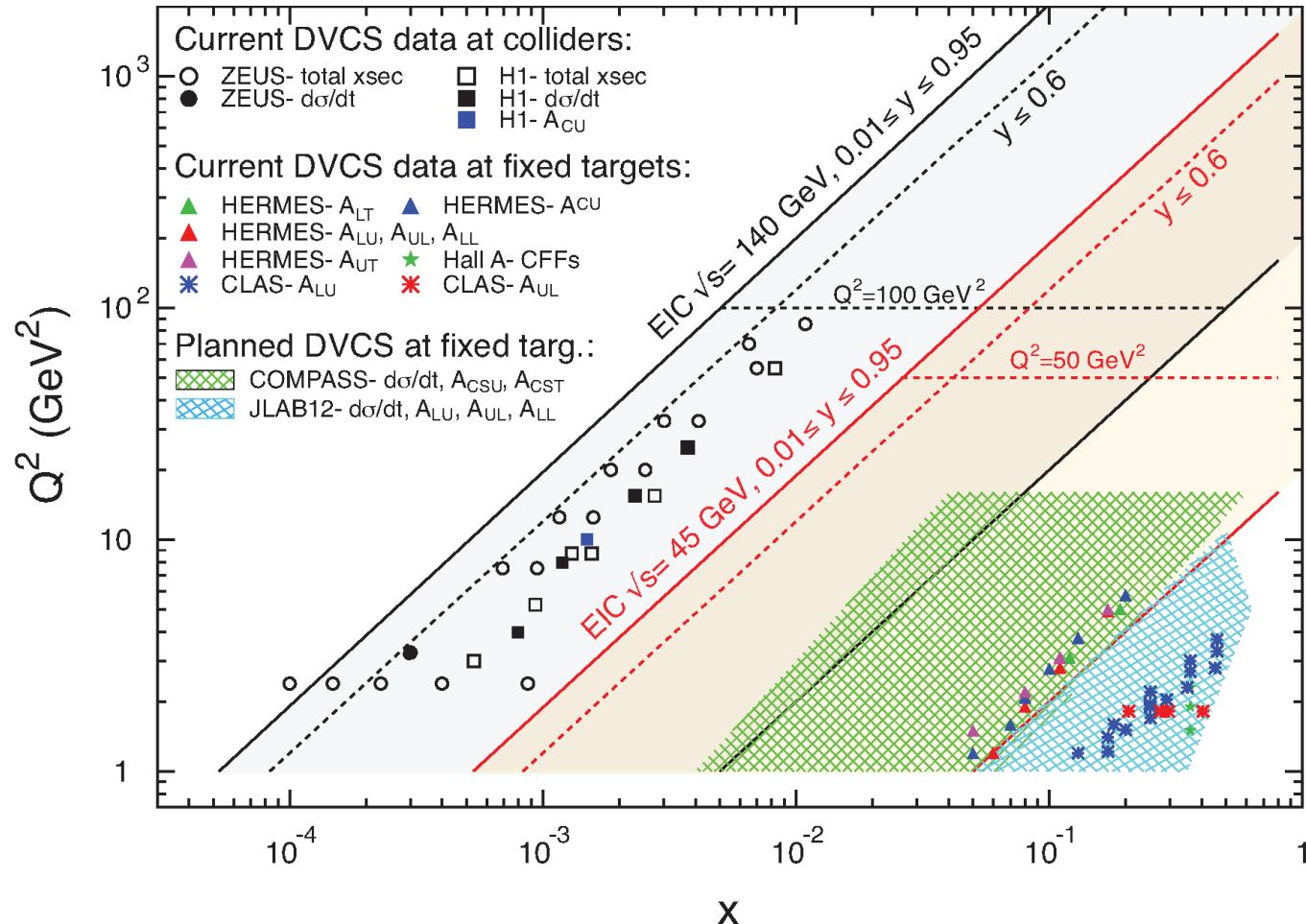


Ji's sum rule for total orbital momentum:

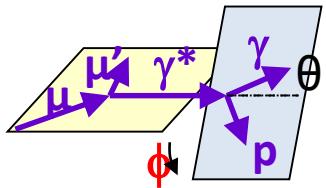
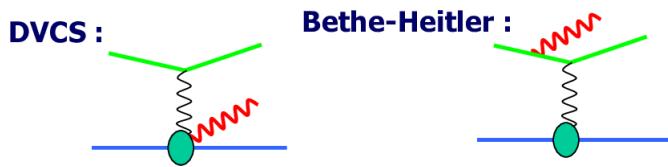
$$J^f(Q^2) = \frac{1}{2} \lim_{t \rightarrow 0} \int_{-1}^1 dx x \left[ H^f(x, \xi, t, Q^2) + E^f(x, \xi, t, Q^2) \right]$$

X.-D. Ji, PRL 78 (1997) 610

# DVCS: experimental kinematic ranges



# Deeply virtual Compton scattering

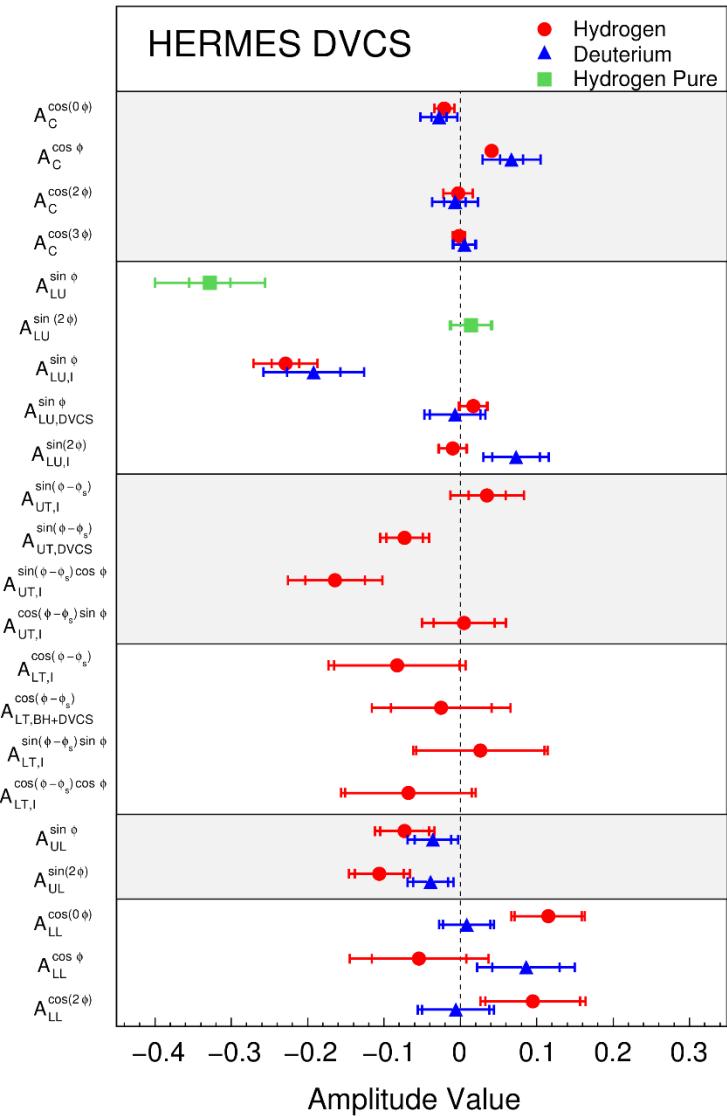


- cross-section depend on lepton charge  $e_\ell$  and pol.  $P_\ell$ , and on target pol.  $S$
- contributions with different azimuthal dependence from:

BH            interference            DVCS

$$d\sigma(\ell p \rightarrow \ell \gamma p) \sim$$

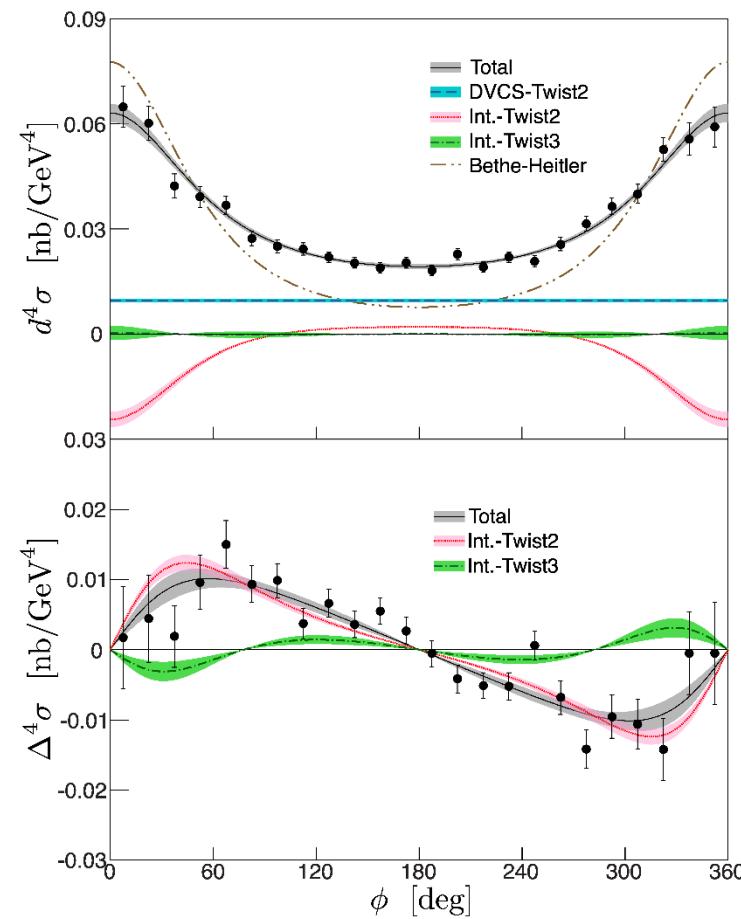
$$\begin{aligned}
 & d\sigma_{UU}^{BH} + e_\ell d\sigma_{UU}^I + d\sigma_{UU}^{DVCS} \\
 & + P_\ell S_L d\sigma_{LL}^{BH} + e_\ell P_\ell S_L d\sigma_{LL}^I + P_\ell S_L d\sigma_{LL}^{DVCS} \\
 & + P_\ell S_T d\sigma_{LT}^{BH} + e_\ell P_\ell S_T d\sigma_{LT}^I + P_\ell S_T d\sigma_{LT}^{DVCS} \\
 & + e_\ell P_\ell d\sigma_{LU}^I + P_\ell d\sigma_{LU}^{DVCS} \\
 & + e_\ell S_L d\sigma_{UL}^I + S_L d\sigma_{UL}^{DVCS} \\
 & + e_\ell S_T d\sigma_{UT}^I + S_T d\sigma_{UT}^{DVCS}
 \end{aligned}$$



# DVCS @ Hall A E00-110



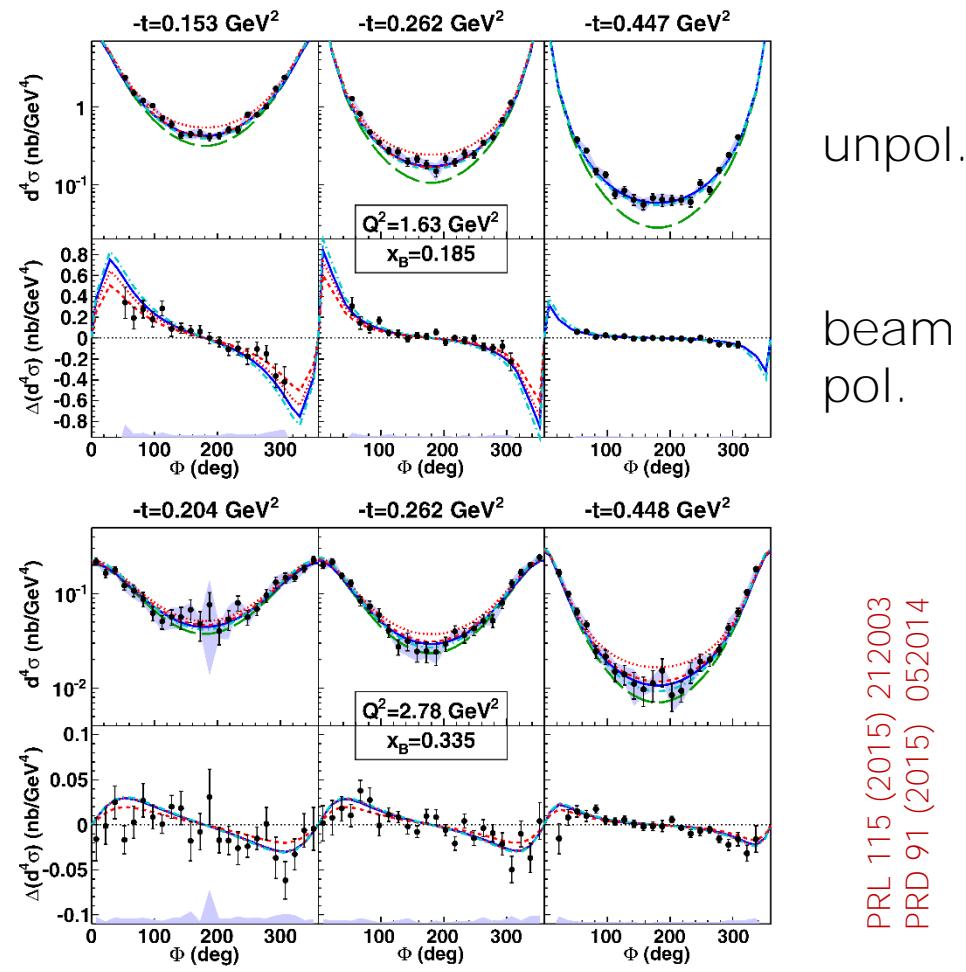
- final results of Hall-A E00-110
- unpol. x-sect and x-sect differences for beam pol.
- 588 bin ( $x_B, Q^2, -t, \phi$ )
- exp.:
  - $t = 0.32 \text{ GeV}^2$ ,  $x=0.36$



# DVCS @ CLAS



- pol. proton ( $\text{NH}_3$ ) target
- x-sect, TSA, BSA, DSA
- 164 bins ( $x_B, Q^2, -t, \phi$ )
- $1.0 < Q^2 < 4.6 \text{ GeV}^2$
- $0.10 < x_B < 0.58$
- $0.09 < -t < 0.52 \text{ GeV}^2$

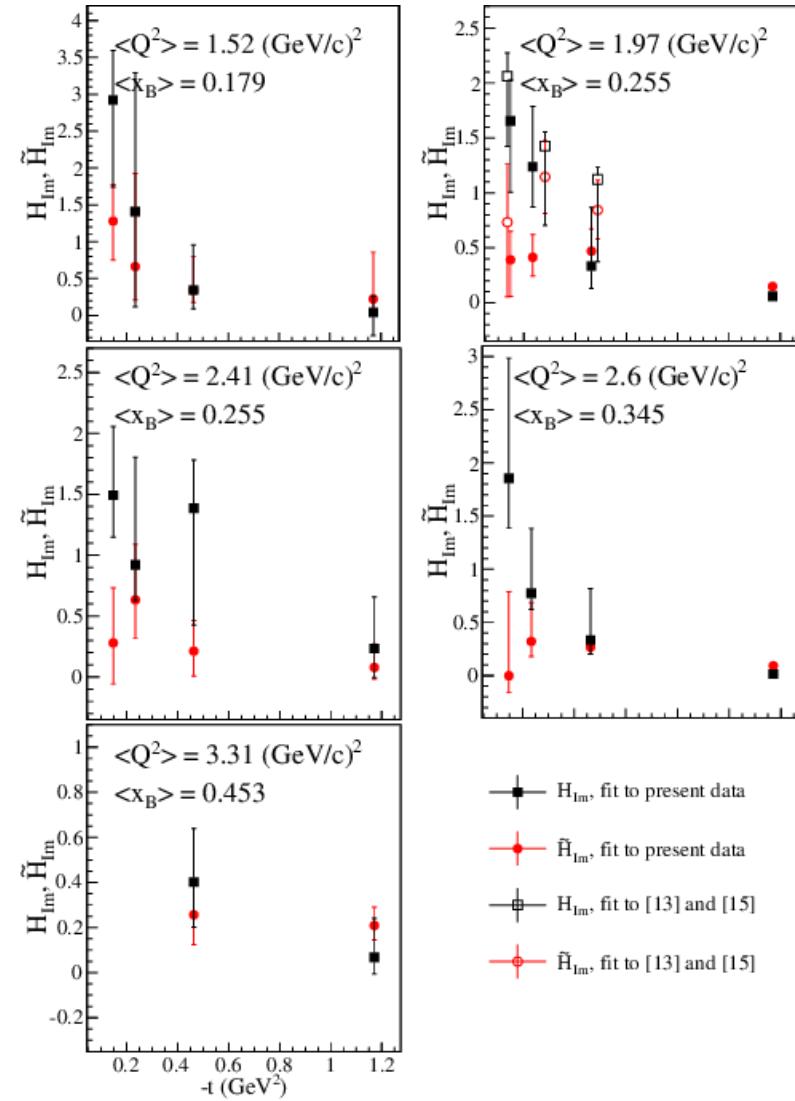


# DVCS @ CLAS



- determine the imaginary part of CFF  $H_{Im}$  and  $\tilde{H}_{Im}$  of GPDs  $\mathcal{H}$  and  $\tilde{\mathcal{H}}$
- most sensitive to  $\tilde{H}_{Im}$

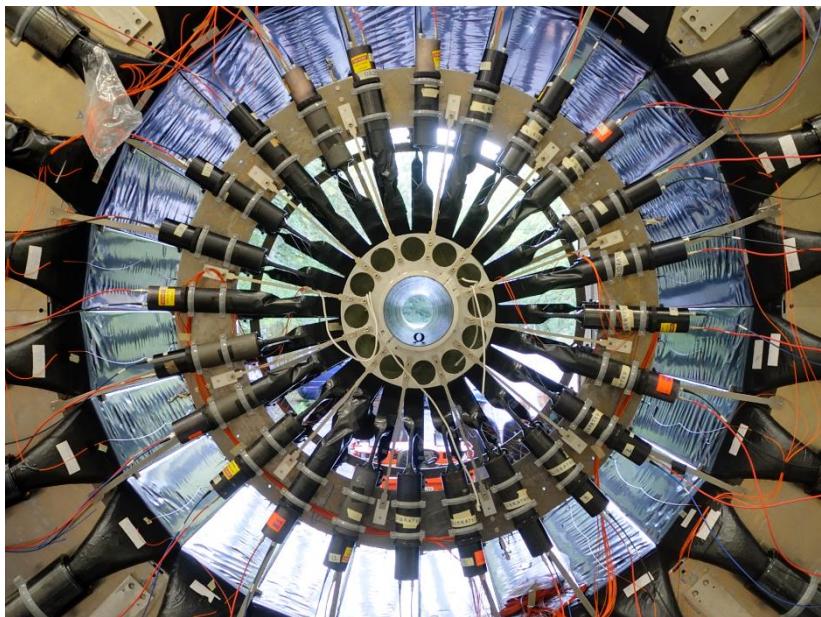
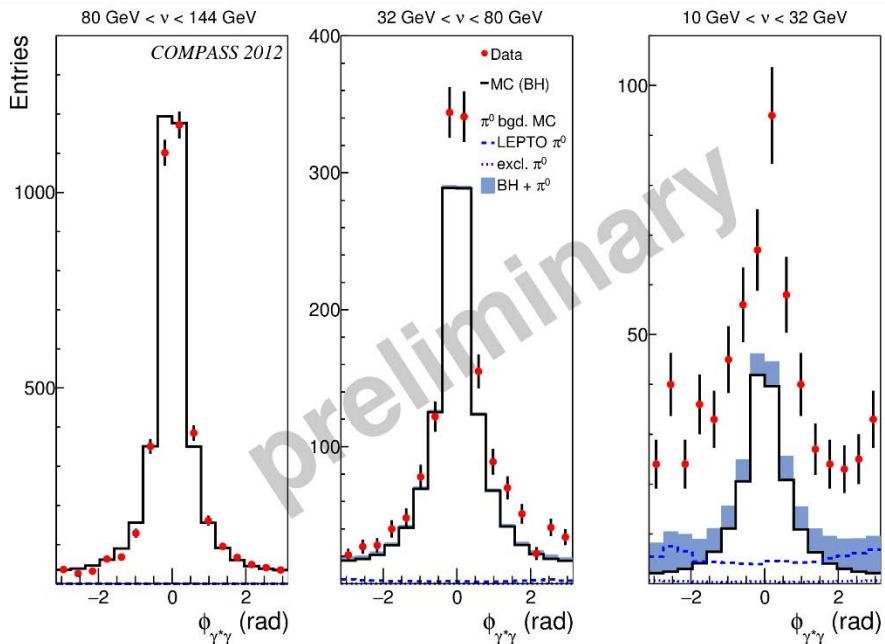
$$F_{Im}(\xi, t) = -\frac{1}{\pi} \Im m \mathcal{F}(\xi, t) = [F(\xi, \xi, t) \mp F(-\xi, \xi, t)]$$



# COMPASS DVCS



- GPD data 2012 (pilot), 2016–2017
- liquid hydrogen target
- 3 bin in  $\nu \sim 1/x$
- 160 GeV muon beam
- CAMERA recoil detector

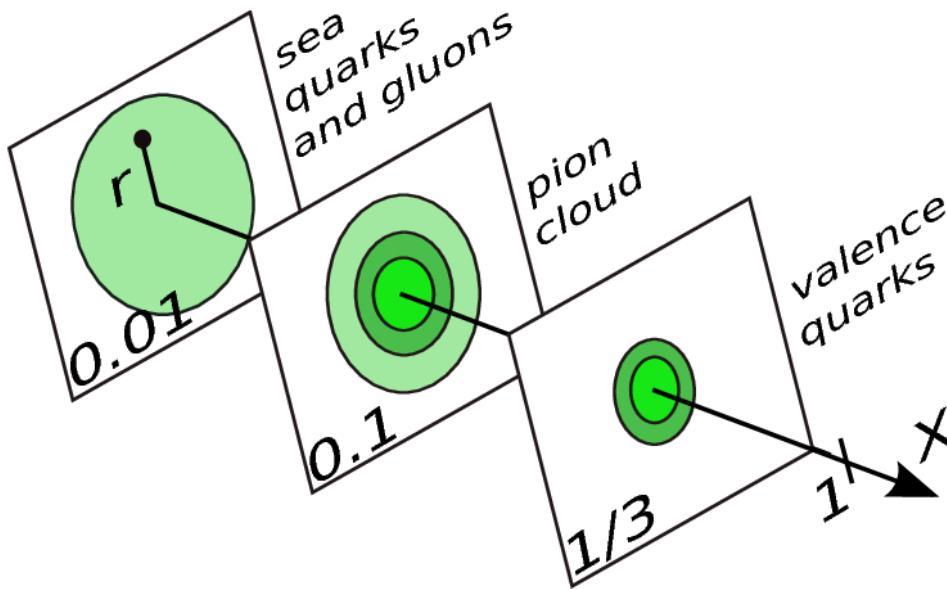


# Transverse proton size

- The distance  $\langle r_{\perp}^2 \rangle$  between struck quark and spectator c.m. given by  $t$ -slope of DVCS cross-section  $\sigma_0$  (as function of  $x_B$ , LO)

$$\frac{d\sigma_0^{\text{DVCS}}}{dt} \propto \exp(-B(x_B)|t|)$$

$$\langle r_{\perp}^2(x_B) \rangle \approx 2B(x_B)$$

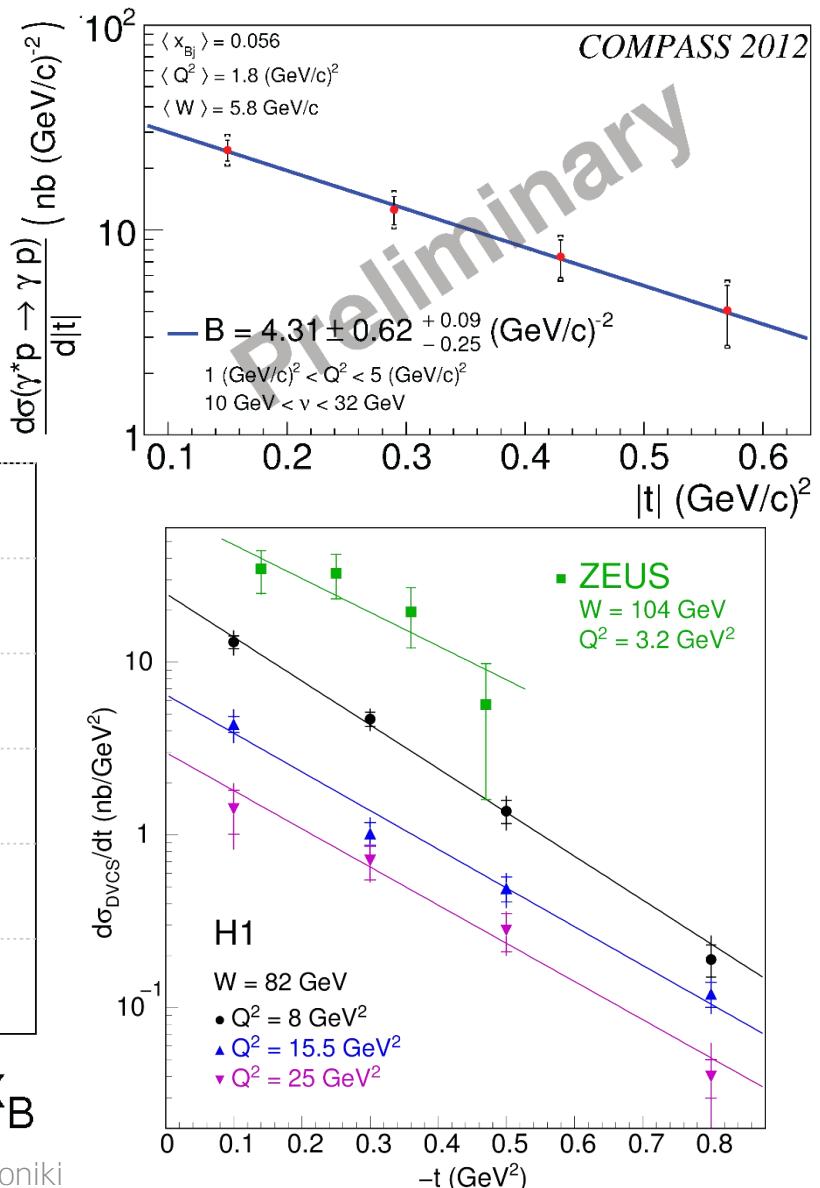
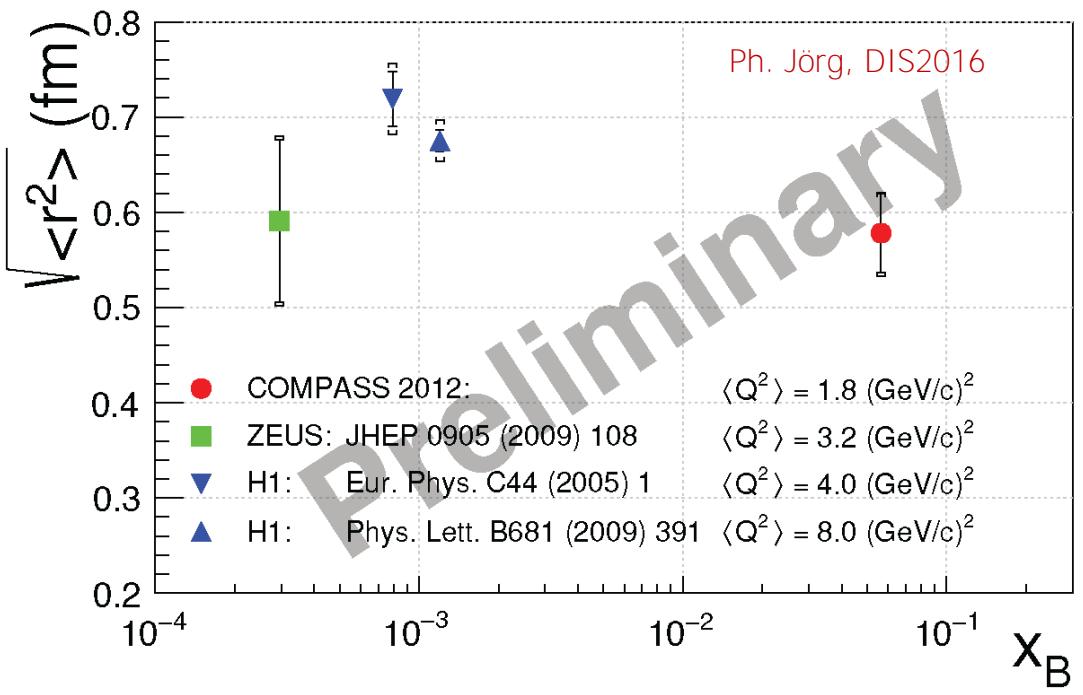


- Transverse size as function of  $x_B$
- Expect log. dependence

# $t$ slope and transverse proton size



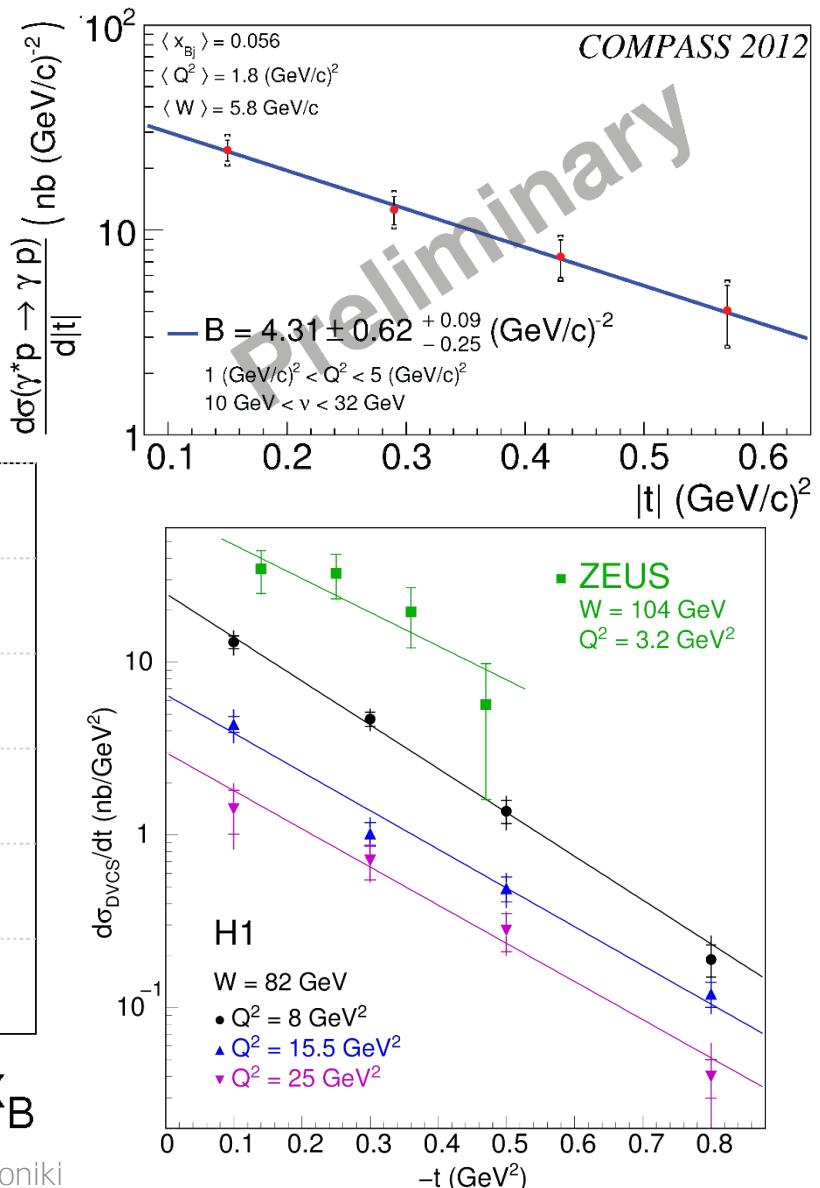
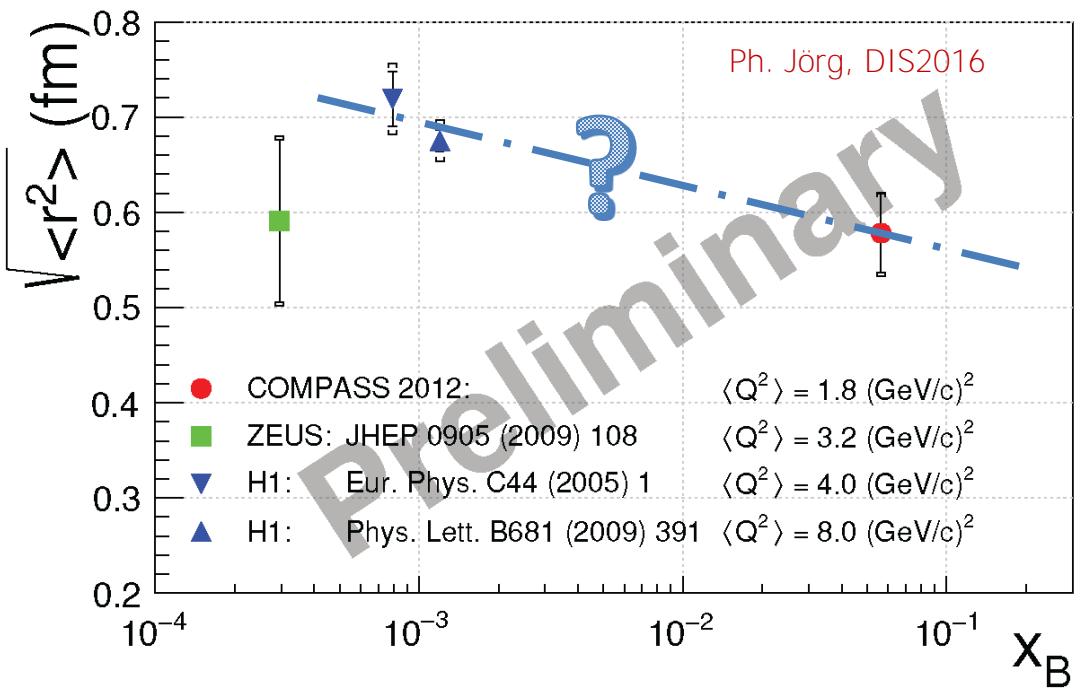
- model independent
- 2012 pilot run data only
- 2016/17 data: several  $x_B$  bins, higher precision



# $t$ slope and transverse proton size



- model independent
- 2012 pilot run data only
- 2016/17 data: several  $x_B$  bins, higher precision



# Outlook

- a wealth of new data
- gluon polarisation likely to be positive at  $x \approx 0.2$ , need low  $x_g$  data
- multiplicities and FF to be clarified, in particular for kaons/strange quarks, impact PDF determination
- measure the sign change of T-odd TMDs in DY and SIDIS!
- new data coming up JLab 6/12, RHIC, COMPASS, JPARC and eventually EIC