

Nucleon structure studies with the COMPASS experiment at CERN

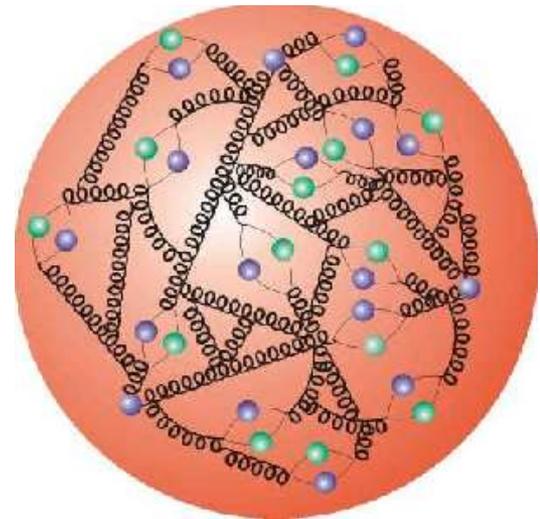


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(for the COMPASS Collaboration)



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Kolymbari, Aug.23-30, 2015



◆ COMPASS I

- Longitudinally polarized DIS and SIDIS
- Transversely polarized SIDIS

◆ COMPASS II

- Deeply-Virtual Compton Scattering (DVCS)
- Massive lepton pairs from Drell-Yan process

◆ Not covered in this talk

- Hadron spectroscopy, etc...
 - ▶ Talk by F. Nerling this afternoon

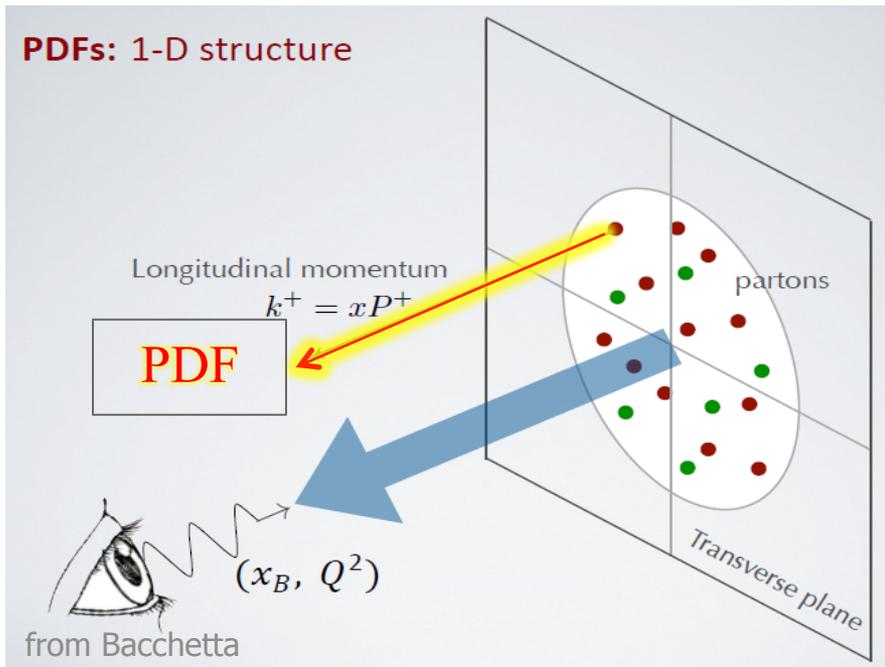


Muon beam

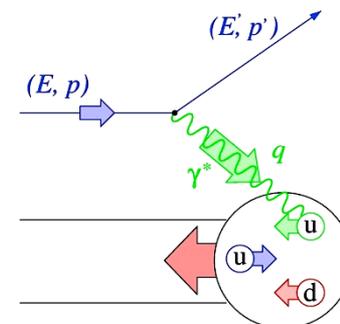


Hadron beams

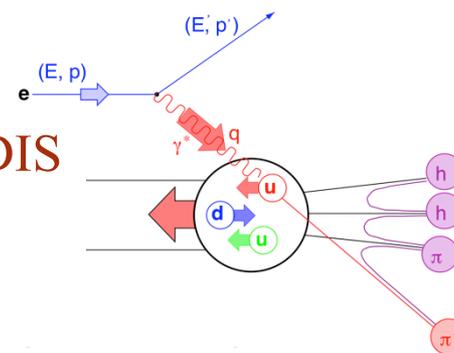
PDFs: 1-D structure



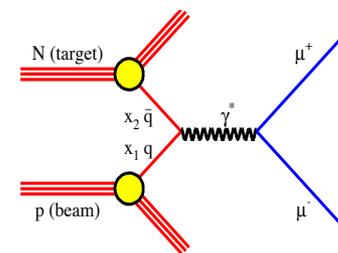
Deep Inelastic Scattering (DIS)



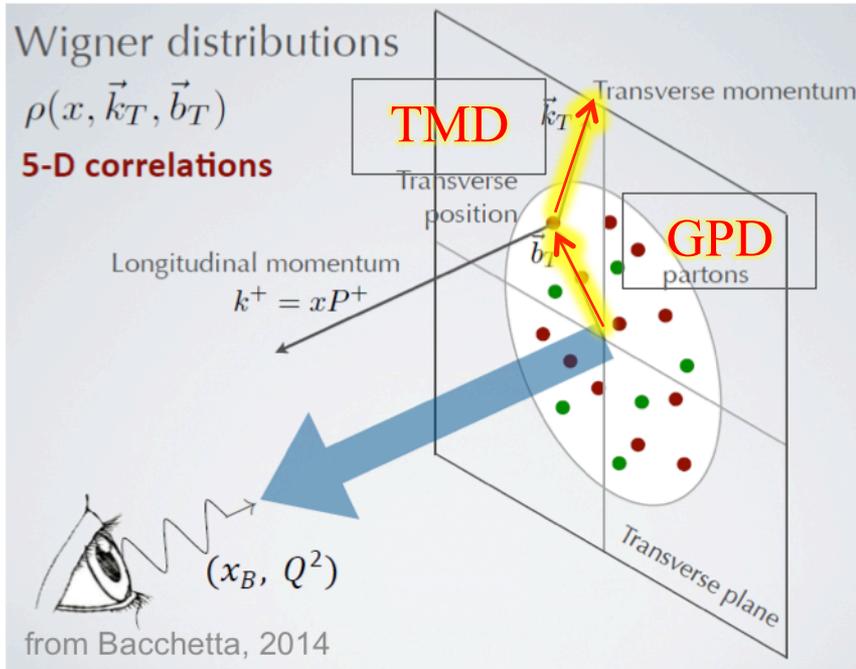
Semi-Inclusive DIS (L or T)



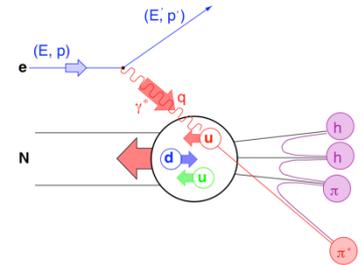
Drell-Yan process



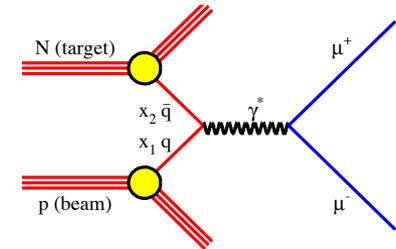
**Fundamental non-perturbative quantities:
Parton Distribution Functions PDF (x)**



Semi-Inclusive DIS



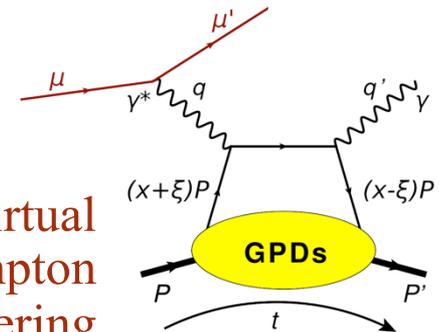
Drell-Yan process



Transversity Momentum Distributions: **TMD** (x, k_T):
 probe the **transverse parton momentum dependence**

Generalized Parton Distributions : **GPD** (x, b_T):
 probe the **transverse parton distance dependence**

Deeply Virtual
 Compton
 Scattering

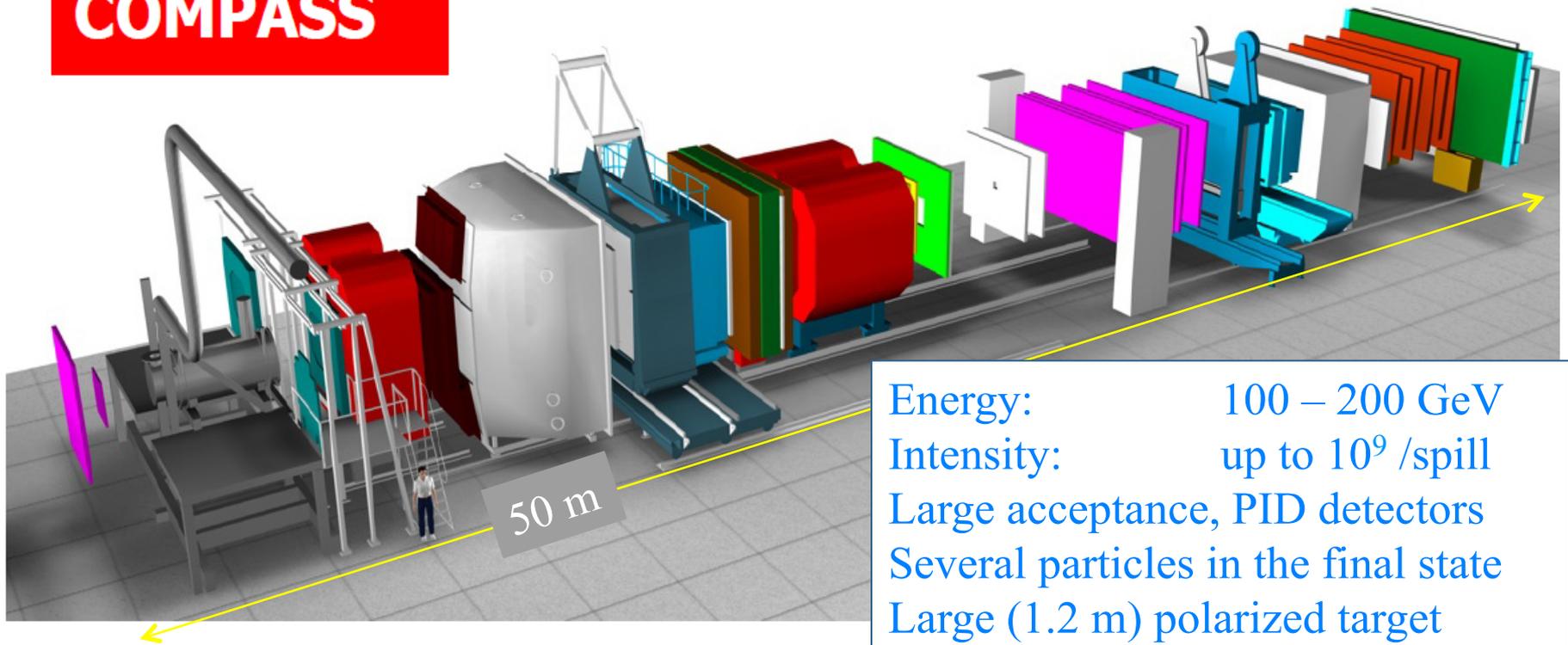


COMPASS explores the multi-dimensional structure of the nucleon

COMPASS – a fixed target experiment at CERN

- A very versatile setup
- Several beams available: μ^+ , μ^- , h^+ , h^- , e^- => Several ways of probing the nucleon structure

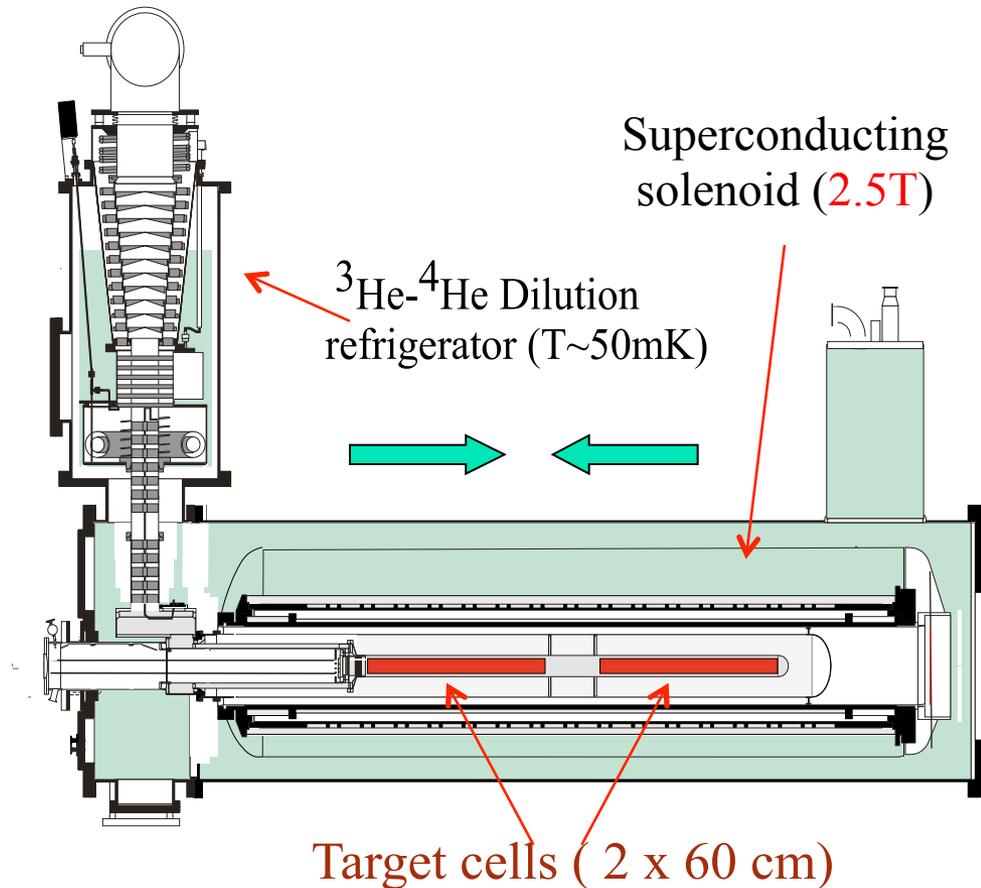
COMPASS



“Minor” changes to the setup – switch between various physics programs

COMPASS – polarized target

- High magnetic field
- High field uniformity
- Very low temperature
- L or T polarization



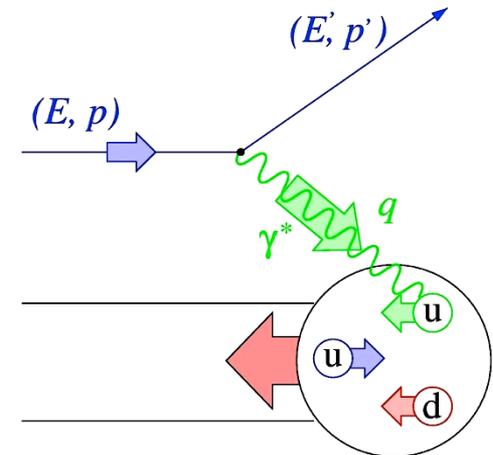
Largest polarized target in the world

Deep-Inelastic Lepton Scattering

- Interaction due to one single photon
- Scattering from nearly free partons

$$\frac{d^2\sigma^{\Rightarrow\Rightarrow}}{d\Omega dE} + \frac{d^2\sigma^{\Leftarrow\Leftarrow}}{d\Omega dE} = \frac{8\alpha^2 E'^2}{Q^4} \left[2W_1 \sin^2 \frac{\theta}{2} + W_2 \cos^2 \frac{\theta}{2} \right]$$

$$\frac{d^2\sigma^{\Rightarrow\Rightarrow}}{d\Omega dE} - \frac{d^2\sigma^{\Leftarrow\Leftarrow}}{d\Omega dE} = \frac{4\alpha^2 E'}{Q^2 E} \left[M(E + E' \cos \theta) G_1 - Q_2 G_2 \right]$$



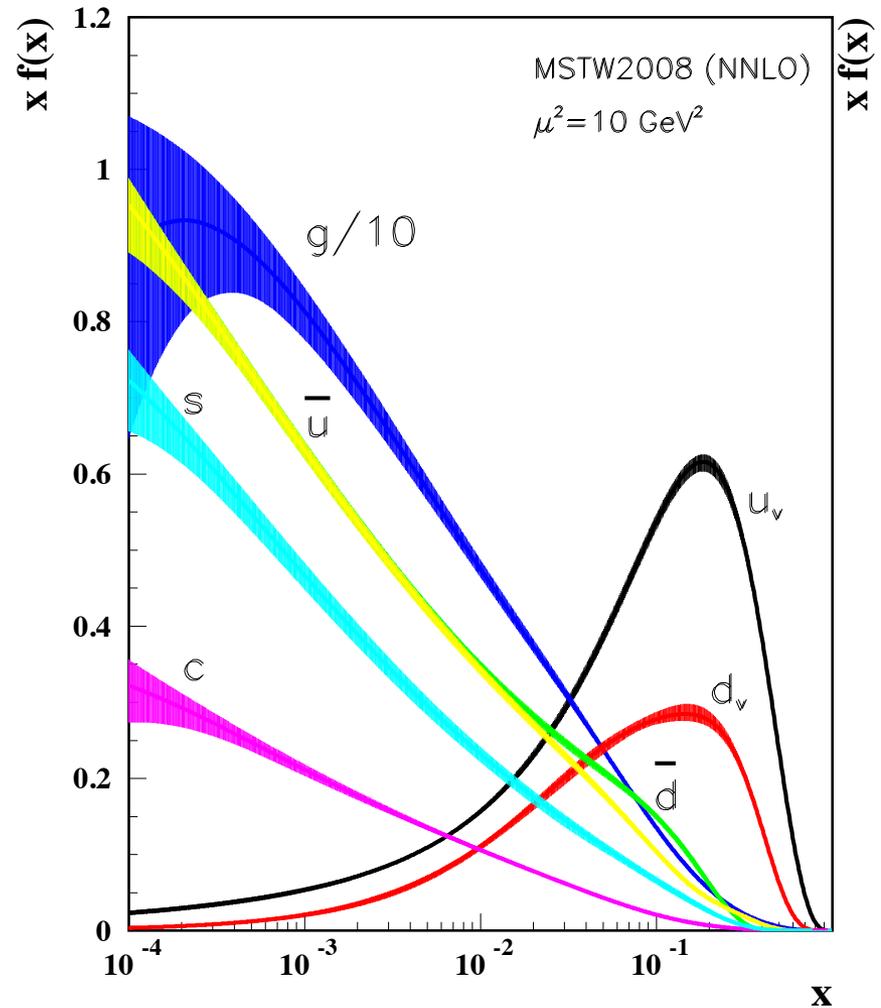
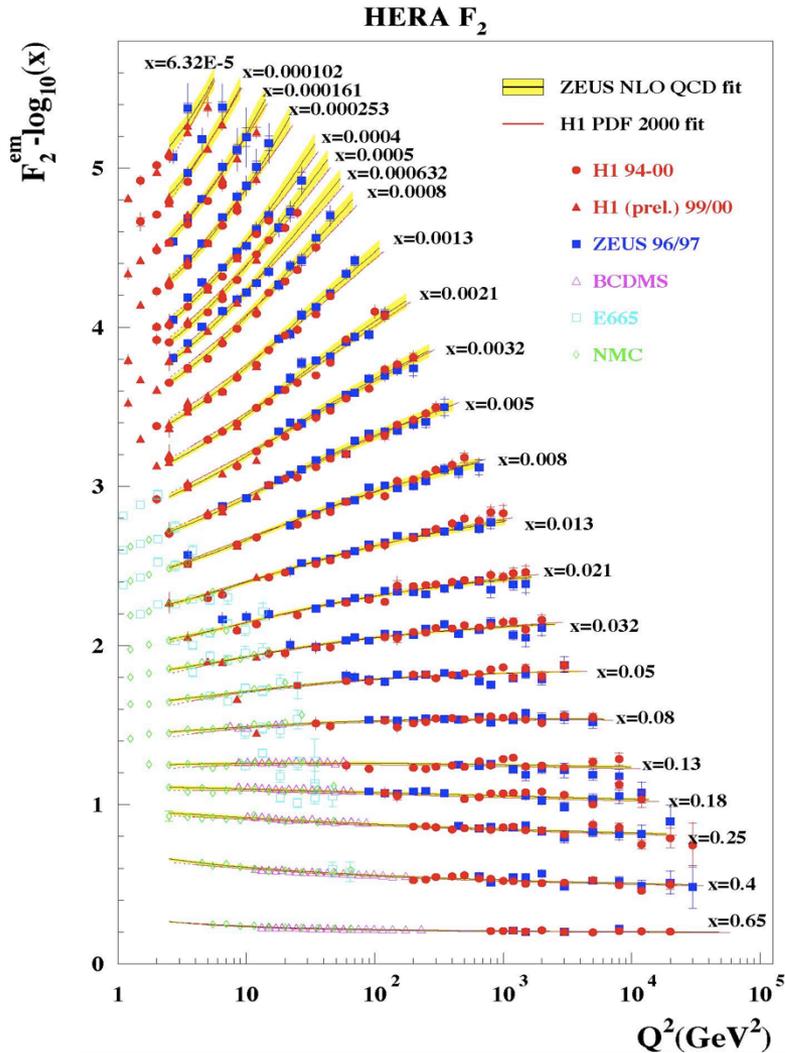
- Structure functions depend on x only (Bjorken, 1968)
 - Q^2 dependence is governed by the QCD evolution

$$\left. \begin{aligned} MW_1(Q^2, \nu) &\rightarrow F_1(x) \\ \nu W_2(Q^2, \nu) &\rightarrow F_2(x) \end{aligned} \right\} \text{Unpol.}$$

$$\left. \begin{aligned} M^2 \nu G_1(Q^2, \nu) &\rightarrow g_1(x) \\ M \nu^2 G_2(Q^2, \nu) &\rightarrow g_2(x) \end{aligned} \right\} \text{Pol.}$$

Measurements of the DIS structure functions give access to the Parton Distribution Functions (PDF)

Unpolarized measurements and QCD fits



Data span over 5 decades of Q^2 ! \rightarrow unpolarized PDFs

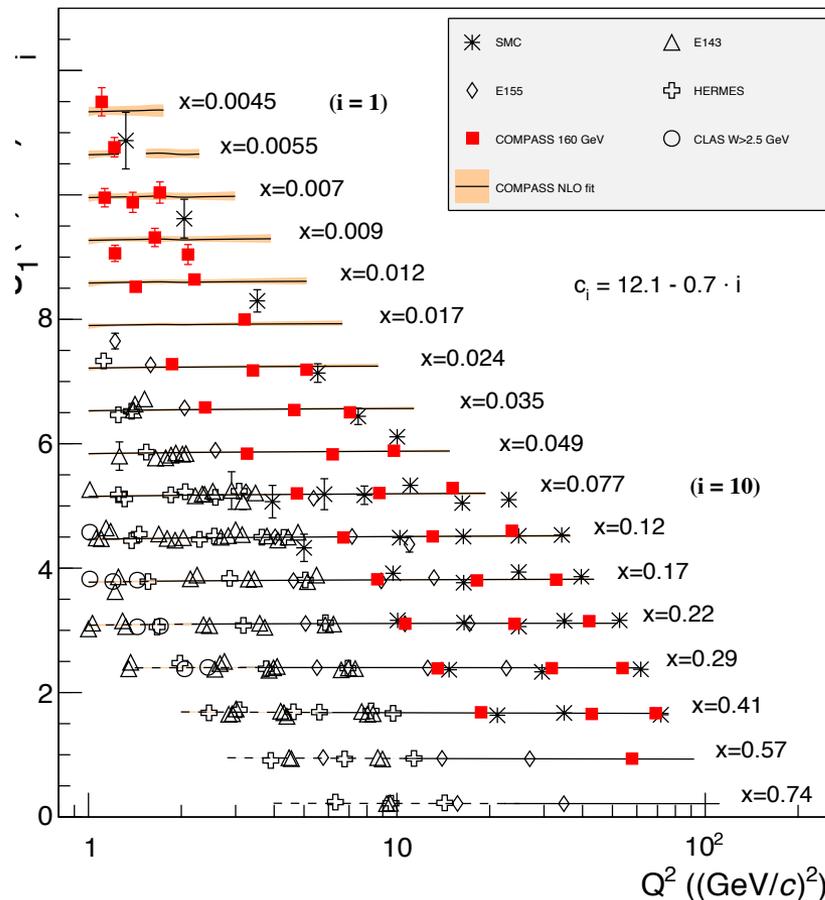
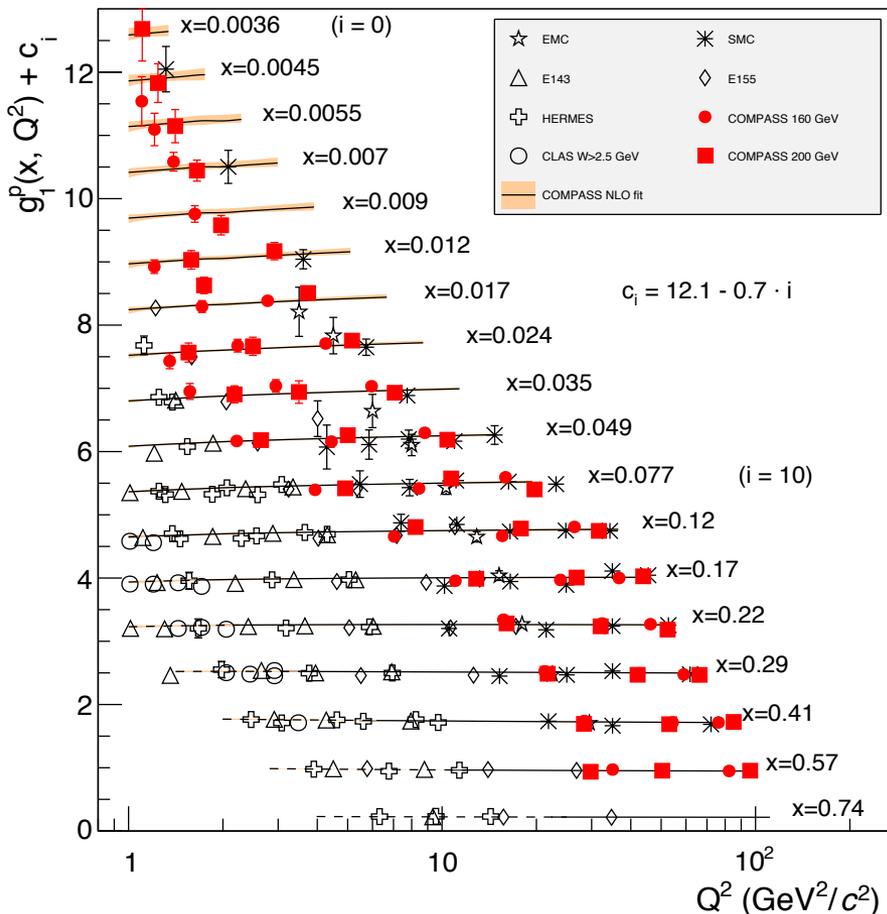
Polarized structure function $g_1(x)$ – world data

Data are used as input to a global QCD fit

$$g_1(x) = A_1(x) \frac{F_2(x)}{2x(1+R)}$$

PROTON DATA

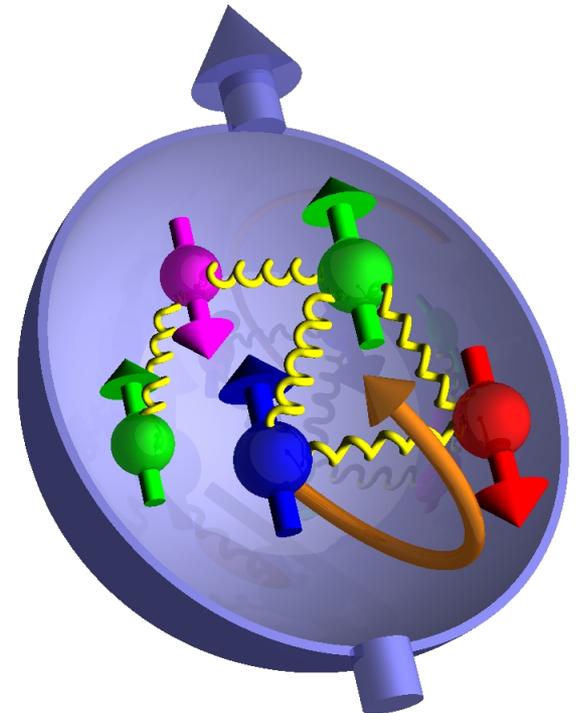
DEUTERON DATA



Reminder: the proton spin problem

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$

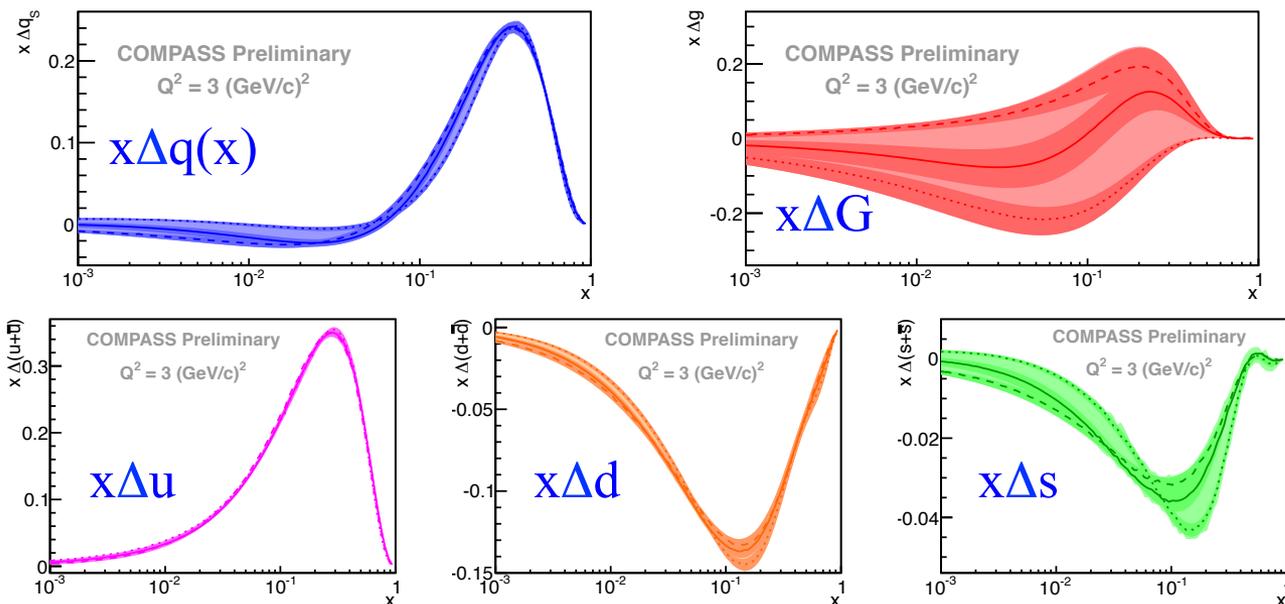
Naive quark model	: $\Delta\Sigma = 1.0$
Relativistic quark model	: $\Delta\Sigma \approx 0.6$
Experiment	: $\Delta\Sigma \approx 0.3$



Physics goals: Improve accuracy on $\Delta\Sigma$, measure ΔG , try to access L

COMPASS NLO pQCD fit to $g_1(x)$

- ◆ Inputs: world data, functional forms, assume SU(3)
 - ▶ ΔG is determined through DGLAP evolution (NLO)



Integral values:

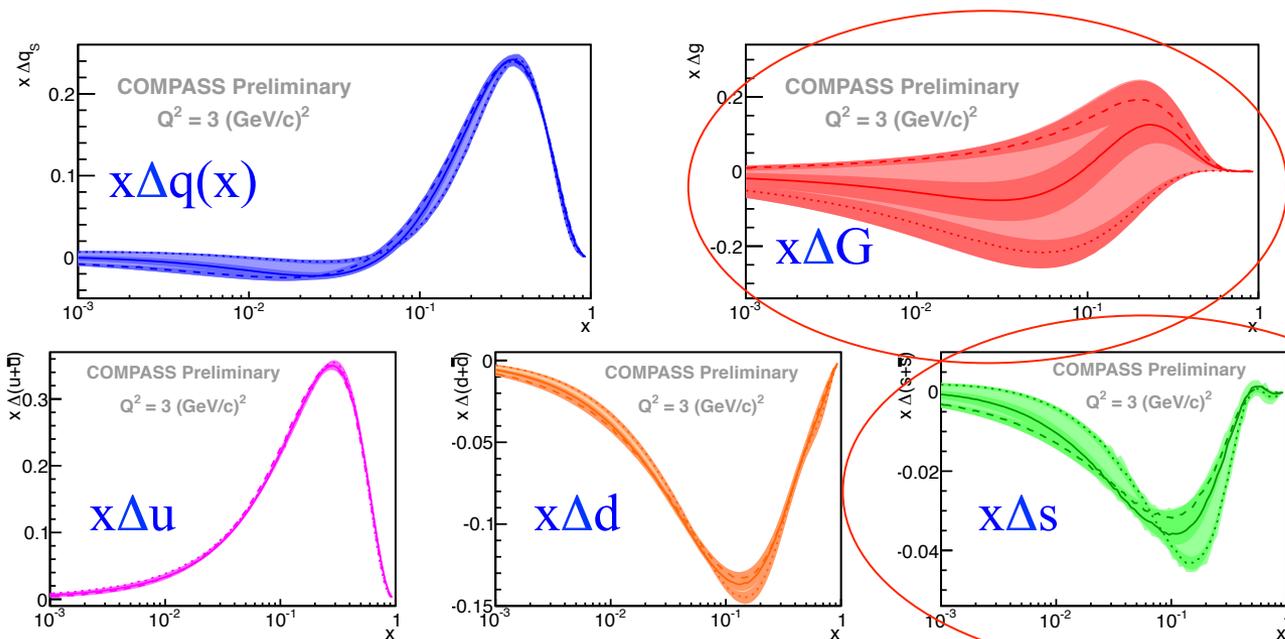
Quark spin contribution $\Delta\Sigma = 0.30 \pm 0.04$

Gluon spin contribution: large uncertainties, even sign not clear!

Strange quark contribution is negative ! ($\Delta s = -0.10$)

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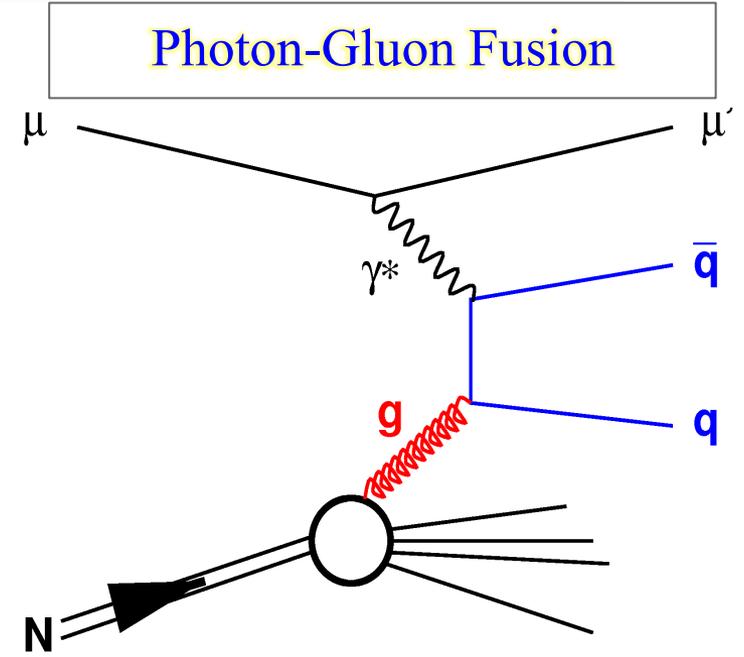
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Contribution of gluons to the nucleon spin

- Gluons: spin 1, no charge
- Tool : Photon-Gluon Fusion (PGF)
- Identify the PGF process?



1. Detect **charmed** quarks: clean signature, but limited statistics
 “Open Charm” method

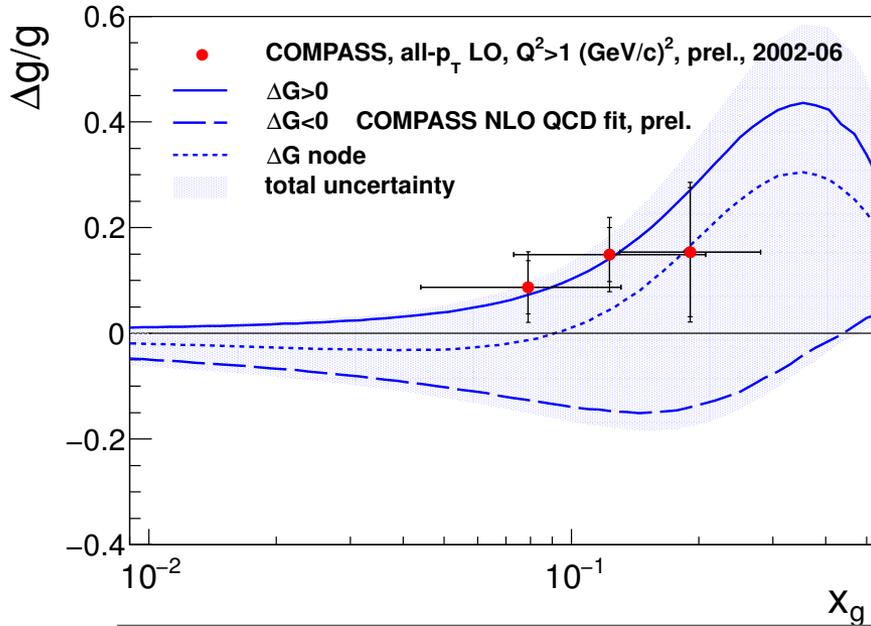


2. Detect **light** quarks: high statistics, but large physical background

“Hadron production” method

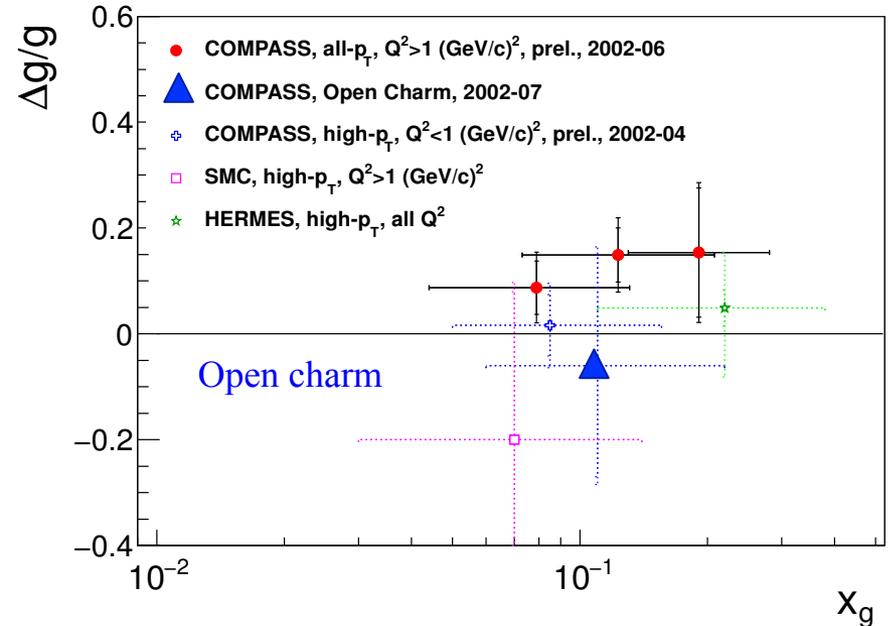
→ Rely on a Monte-Carlo estimate of the background

Hadron production



$$\Delta G/G = 0.113 \pm 0.038 \text{ (stat)} \pm 0.035 \text{ (sys)}$$

World direct $\Delta G/G$ extraction (LO)



Data suggest positive value of $\Delta G/G$ (2σ)
Most precise direct measurements today

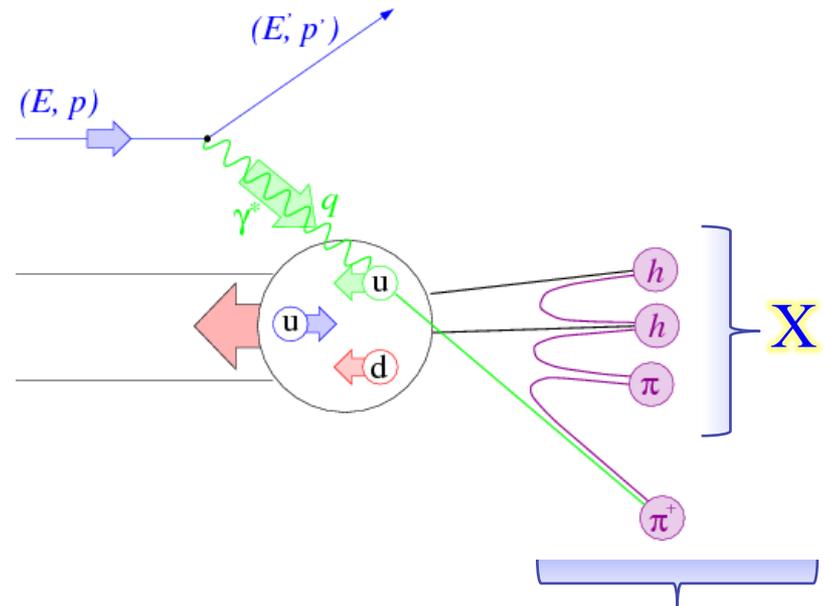
Polarized Semi-Inclusive DIS (SIDIS)

$$A_1^h = \frac{\sum_f e_f^2 \Delta q(x, Q^2) D_{1f}^h(z, Q^2)}{\sum_f e_f^2 q(x, Q^2) D_{1f}^h(z, Q^2)}$$

Polarized PDF

Un-polarized PDF

Fragmentation function: a quark of flavor f becomes a hadron h

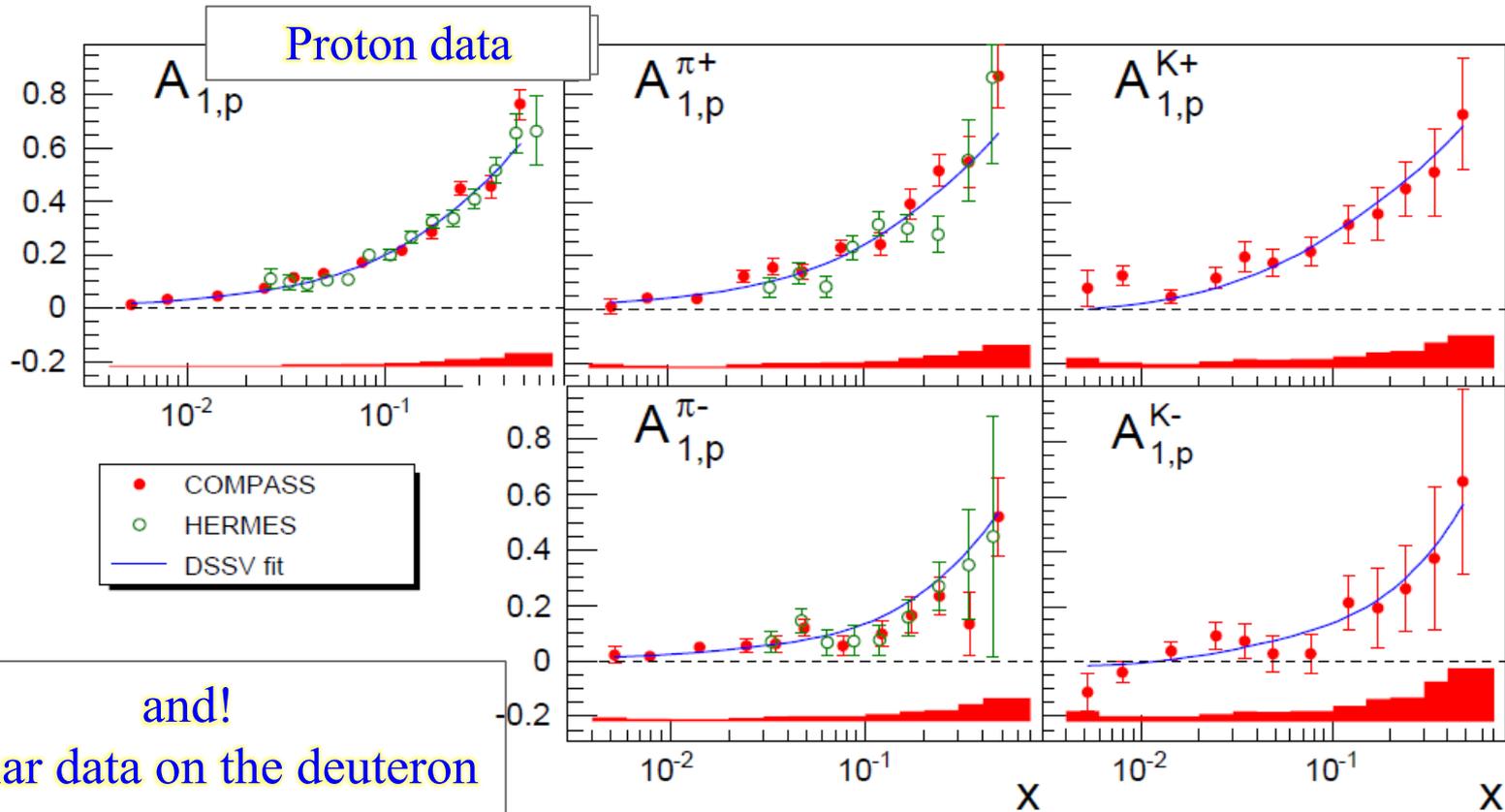


Detected hadron

$$z = \frac{E_h}{E - E'}$$

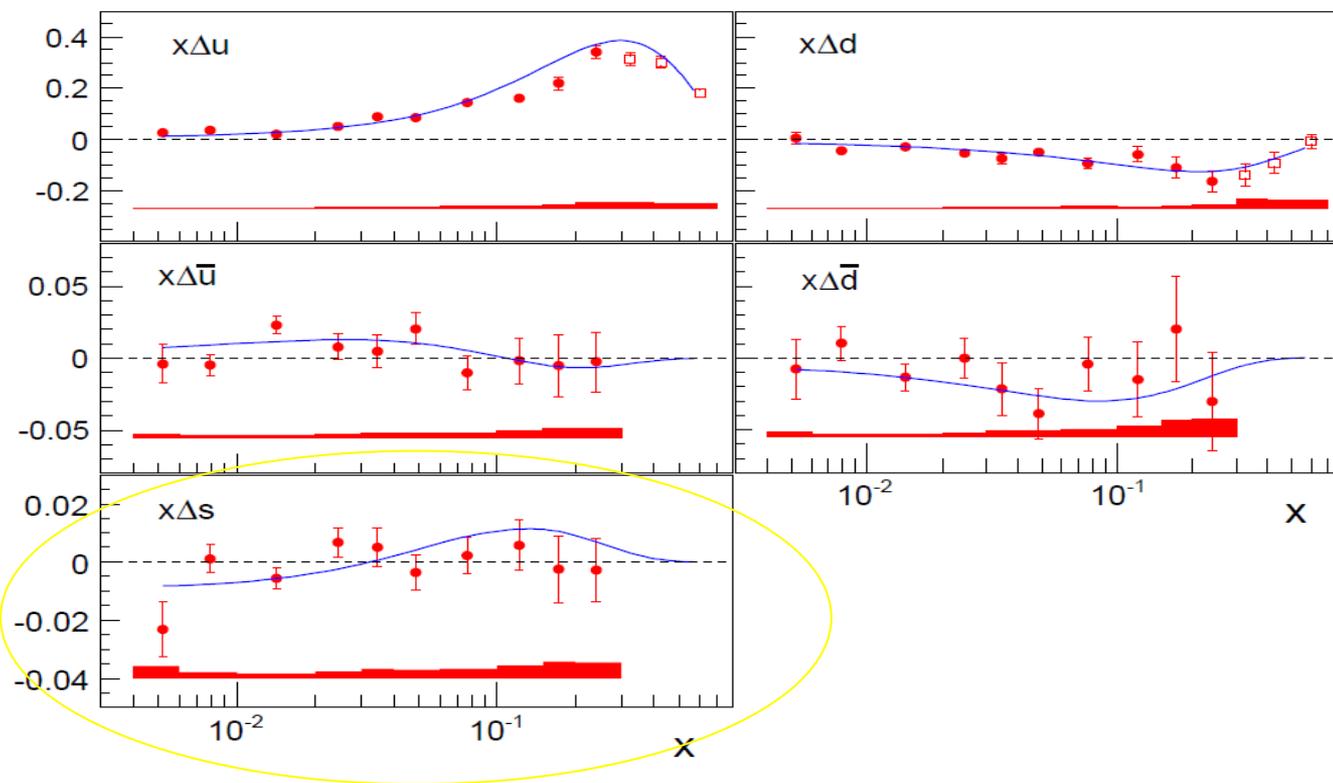
Polarized SIDIS is sensitive to the shape of the polarized PDFs in the nucleon: $\Delta u(x)$, $\Delta d(x)$, $\Delta s(x)$

SIDIS asymmetries: World proton data



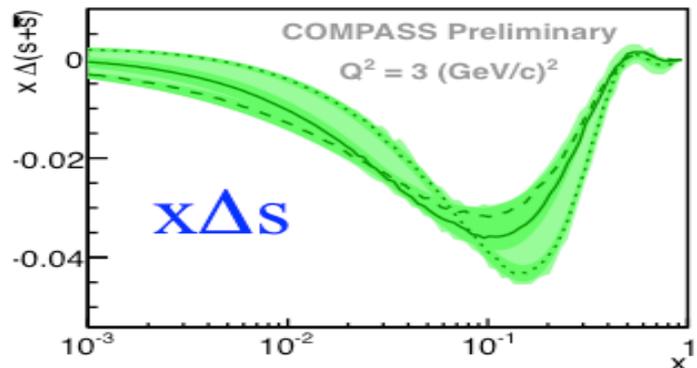
LO QCD fit to all 10 asymmetries \rightarrow simultaneous extraction of : $\Delta u(x)$, $\Delta d(x)$, $\Delta s(x)$ and $\Delta \bar{u}(x)$, $\Delta \bar{d}(x)$, $\Delta \bar{s}(x)$

Polarized PDFs as determined by pSIDIS



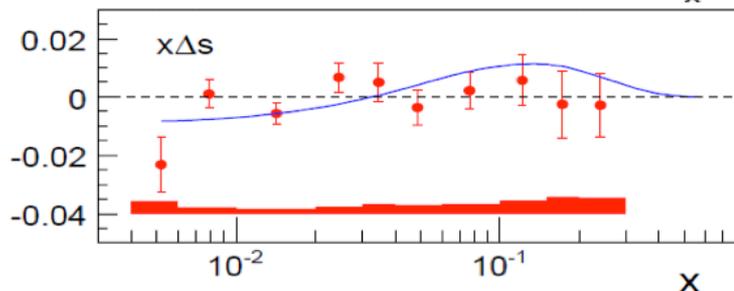
$\Delta u(x)$, $\Delta d(x)$, $\Delta \bar{u}(x)$, $\Delta \bar{d}(x)$: as expected from pDIS
However: $\Delta s(x)$ is compatible with zero !

The strange quark puzzle



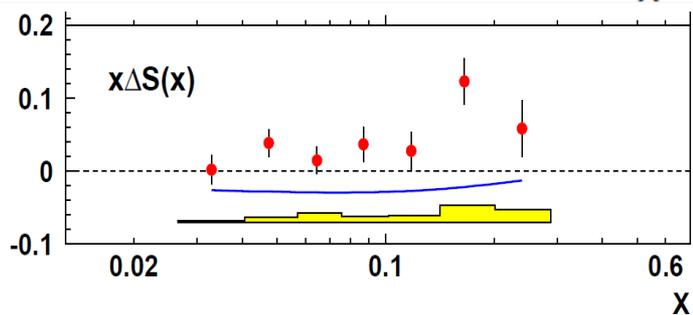
Compass DIS fit

$$\Delta s = -0.09 \pm 0.01 \pm 0.01$$



COMPASS SIDIS

$$\Delta s = -0.01 \pm 0.01 \pm 0.02$$



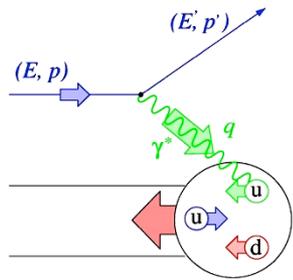
HERMES SIDIS

$$\Delta s = +0.037 \pm 0.019 \pm 0.027$$

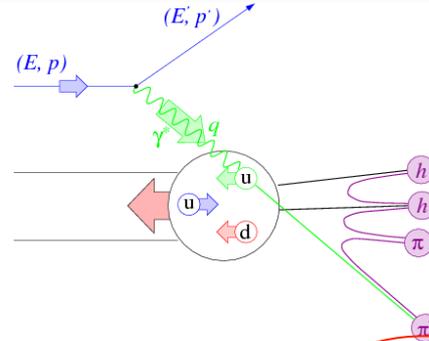
Large disagreement between DIS QCD fits and SIDIS



Δ s puzzle: what about Fragmentation Functions?



DIS



SIDIS

$$A_1 = \frac{\sum_f e_f^2 \Delta q(x, Q^2)}{\sum_f e_f^2 q(x, Q^2)}$$

$$A_1^h = \frac{\sum_f e_f^2 \Delta q(x, Q^2) D_{1f}^h(z, Q^2)}{\sum_f e_f^2 q(x, Q^2) D_{1f}^h(z, Q^2)}$$

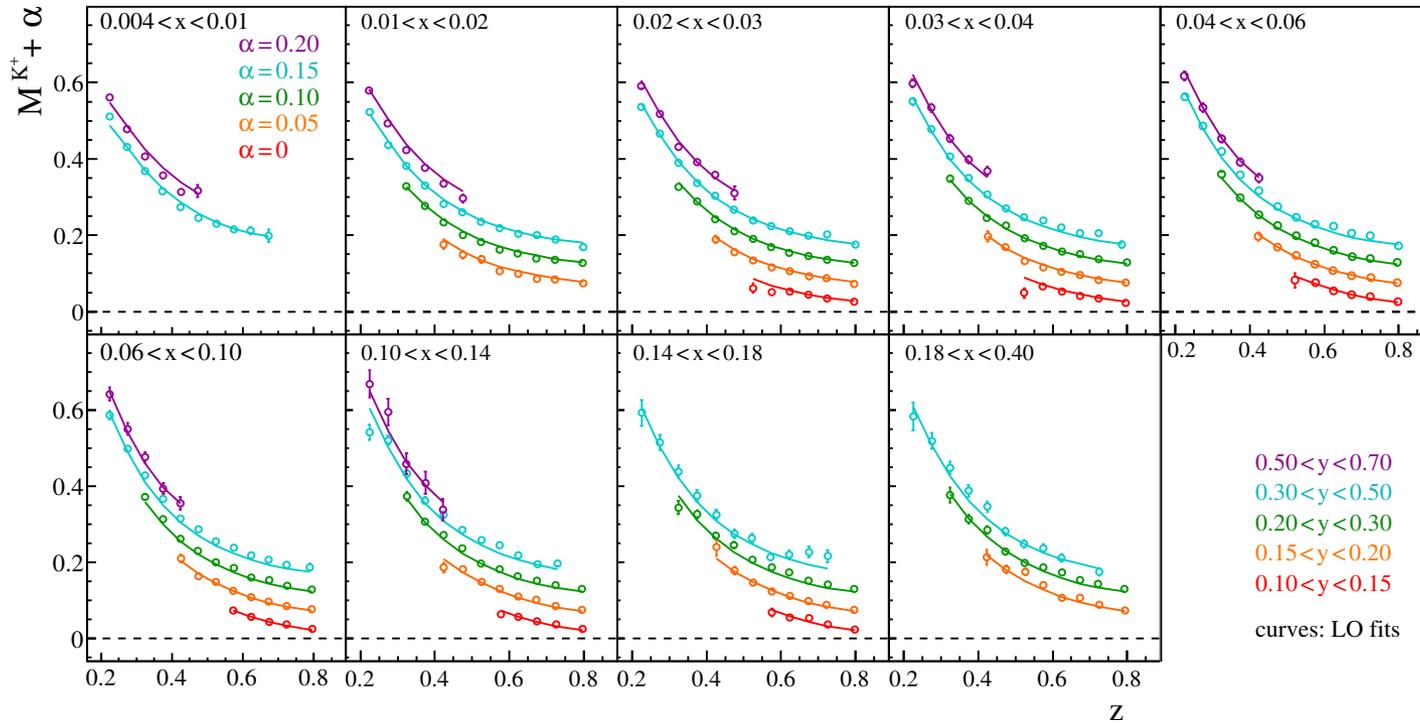
- An independent measurement of $D_1(z, Q^2)$: hadron multiplicities

$$M^K(x, y, z) = \frac{N^K(x, y, z) / \Delta z}{N^{DIS}(x, y)}$$

$$M^K = \frac{\sum_f e_f^2 q(x, Q^2) D_f^K(z, Q^2)}{\sum_f e_f^2 q(x, Q^2)}$$

Fragmentation Functions can be determined through a QCD fit to pion and kaon multiplicities

Kaon (K^+) multiplicities $M^K(z)$: in 9 x bins



$$M^{K^+}(x, z, Q^2) = \frac{2\bar{s}D_{str} + 4(u+d)D_{fav} + (u+d+5(\bar{u}+\bar{d})+2s)D_{unf}}{5(u+d+\bar{u}+\bar{d})+2(s+\bar{s})}$$

$$M^{K^-}(x, z, Q^2) = \frac{2sD_{str} + 4(\bar{u}+\bar{d})D_{fav} + (5(u+d)+\bar{u}+\bar{d}+2\bar{s})D_{unf}}{5(u+d+\bar{u}+\bar{d})+2(s+\bar{s})}$$

$$zD_i(z, Q_0^2) = N_i z^{\alpha_i} (1-z)^{\beta_i} (1+\gamma_i(1-z)^{\delta_i}) \quad i = fav$$

$$zD_i(z, Q_0^2) = N_i z^{\alpha_i} (1-z)^{\beta_i} \quad i = str, unf, glu$$

**Similar results for K^-
 QCD (LO) fit to K^+ and K^- kaon multiplicities \rightarrow FF**

Kaon Fragmentation Function (COMPASS fits)

Favoured $D_{fav}^K = D_{fav}^{K\pm} = D_u^{K+} = D_u^{K-}$

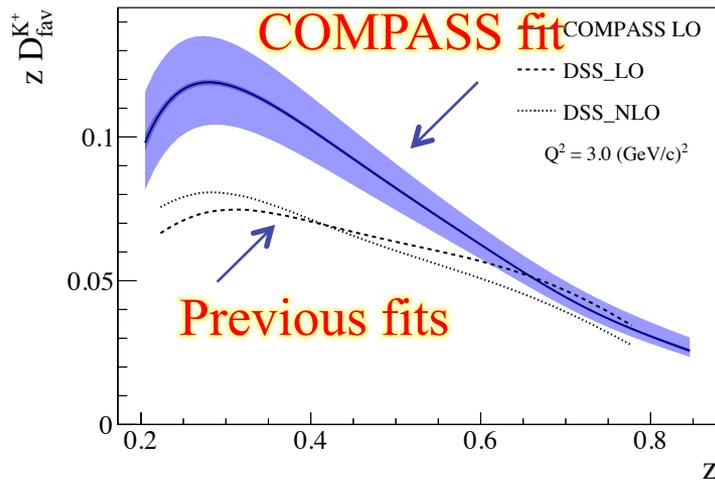
Unfavoured $D_{unf}^K = D_{unf}^{K\pm} = D_u^{K+} = D_s^{K+} = D_u^{K-} = D_s^{K-} = D_d^{K\pm} = D_{\bar{d}}^{K\pm}$

Strange $D_{str}^K = D_{str}^{K\pm} = D_s^{K+} = D_s^{K-}$

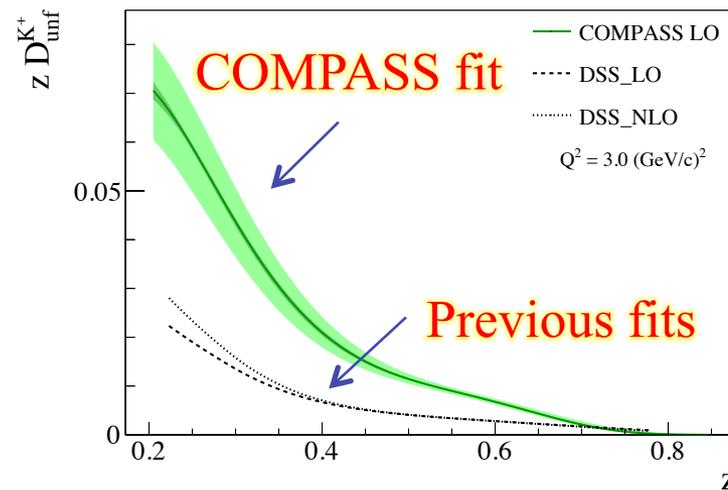
$$K^+ = (u, \bar{s})$$

$$K^- = (\bar{u}, s)$$

Favored FF



Unfavored FF



Favored and unfavored FF are well determined
 Strange FF : to be released in the next weeks

◆ Transversity?

- ▶ Transversely polarized quarks in a transversely polarized nucleon (to the direction of the virtual photon)

■ Third distribution function (leading twist)

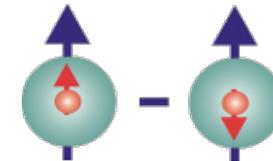
Momentum distribution $F_1(x)$



Helicity distribution $g_1(x)$



Transversity distribution $h_1(x)$



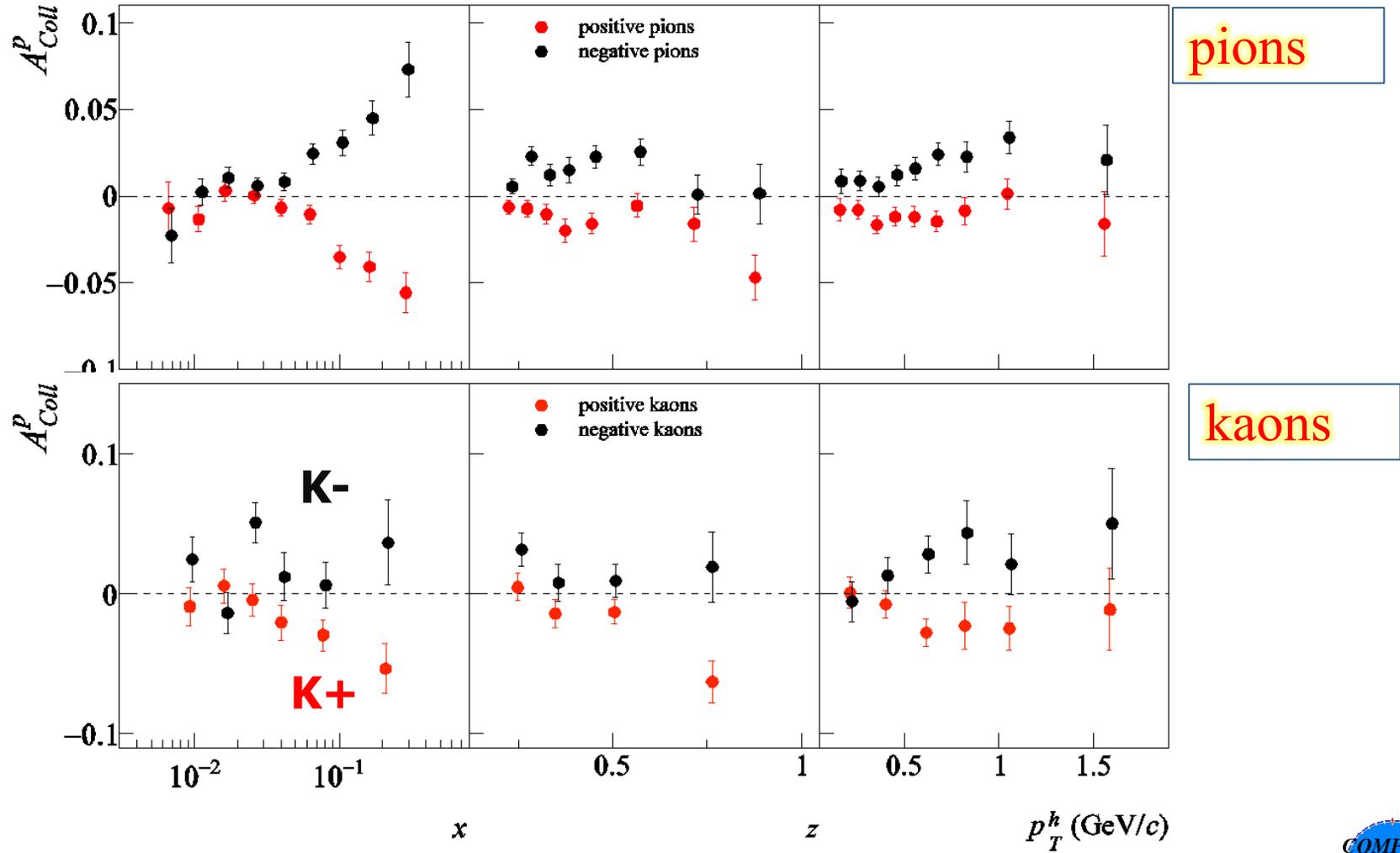
$$A_{\text{Coll}} = \frac{\sum_q e_q^2 \cdot \Delta_T q(x) \cdot \Delta_T^0 D_q^h(z, p_T^h)}{\sum_q e_q^2 \cdot q(x) \cdot D_q^h(z, p_T^h)}$$

The three PDF fully describe the longitudinal momentum and spin structure of the nucleon

Transverse Momentum Dependent PDFs

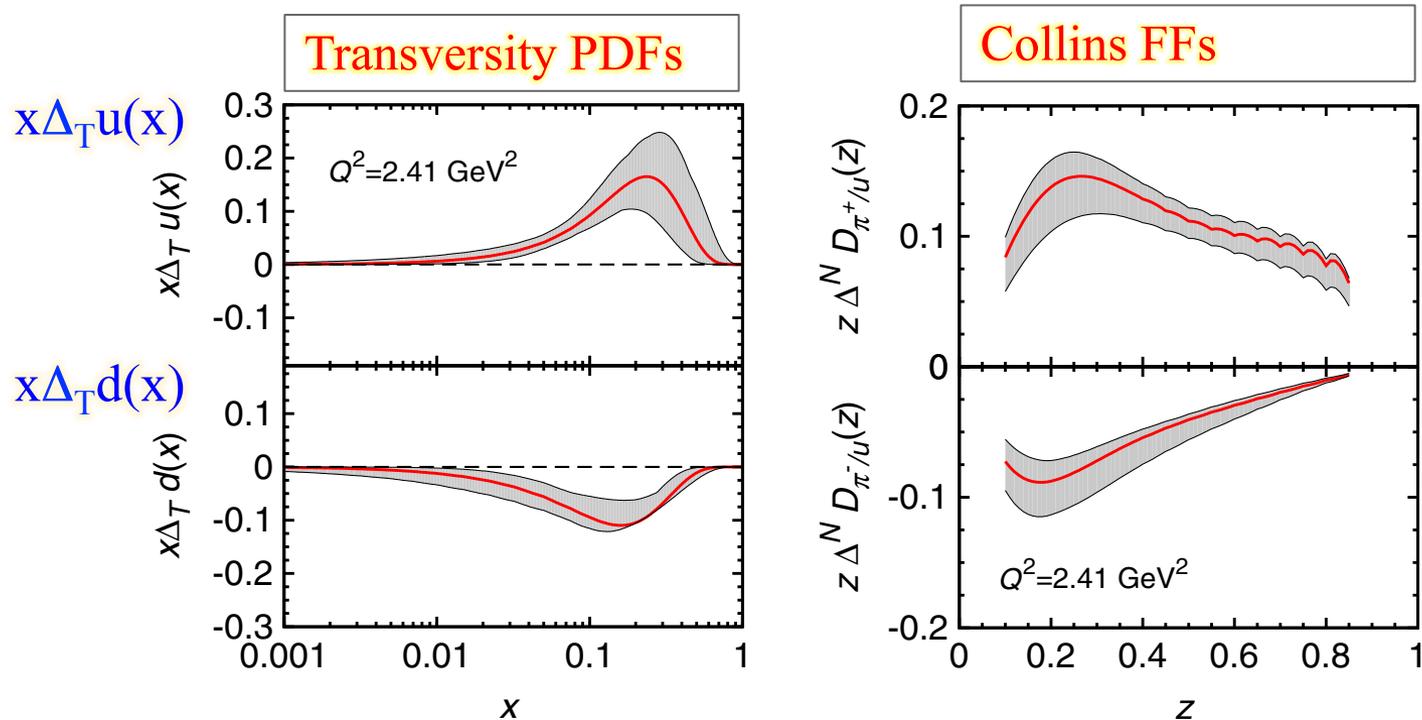
		nucleon polarization		
		U	L	T
quark polarization	U	f_1  <i>number density</i> q		f_{1T}^\perp  -  <i>Sivers</i>
	L		g_1  -  <i>helicity</i> Δq	g_{1T}  - 
	T	h_1^\perp  -  <i>Boer-Mulders</i>	h_{1L}^\perp  - 	h_1  -  <i>transversity</i> h_{1T}^\perp  -  <i>pretzelosity</i>

Transverse (Collins) asymmetries



Transversity QCD fit

Anselmino et al. Phys. Rev. D87 (2013) 094019

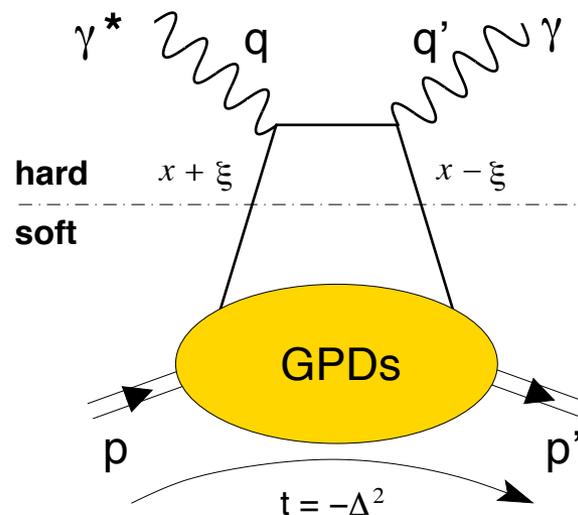


QCD fits : simultaneous extraction of both transversity PDFs and Transversity (Collins) FFs



Generalized Parton Distributions (GPD)

- GPD: correlation between the long. momentum x and the transverse position b_T
- Measured in exclusive reactions
- 4 GPDs:
 - H, \tilde{H} conserve nucleon helicity
 - E, \tilde{E} flip nucleon helicity
- Unpolarized target: GPD H
- Polarized target: GPD E



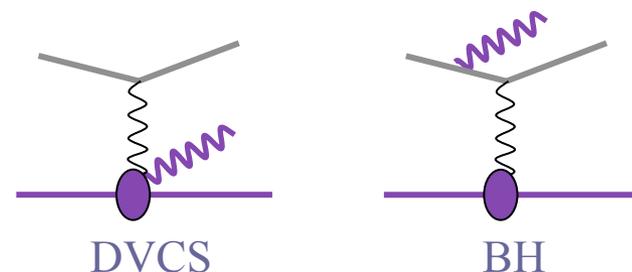
Depend on 3 variables:
 x (not x_B), ξ , t

Measurement of H probes the transverse size of the nucleon as a function of the parton longitudinal momentum

DVCS and BH cross section for μ^+ and μ^-

Cross section for $\mu p \rightarrow \mu p \gamma$

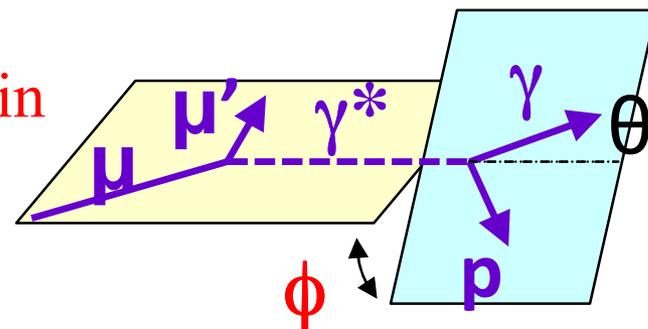
DVCS and BH (known) processes:



$$d\sigma = d\sigma^{BH} + d\sigma_{unpol}^{DVCS} + P_\mu d\sigma_{pol}^{DVCS} + e_\mu a^{BH} \text{Re} A^{DVCS} + e_\mu P_\mu a^{BH} \text{Im} A^{DVCS}$$

◆ COMPASS beams: **opposite charge/spin**

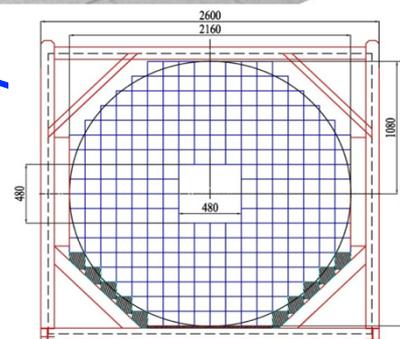
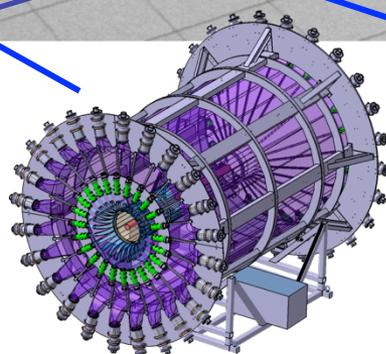
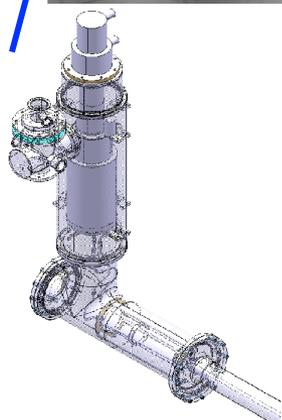
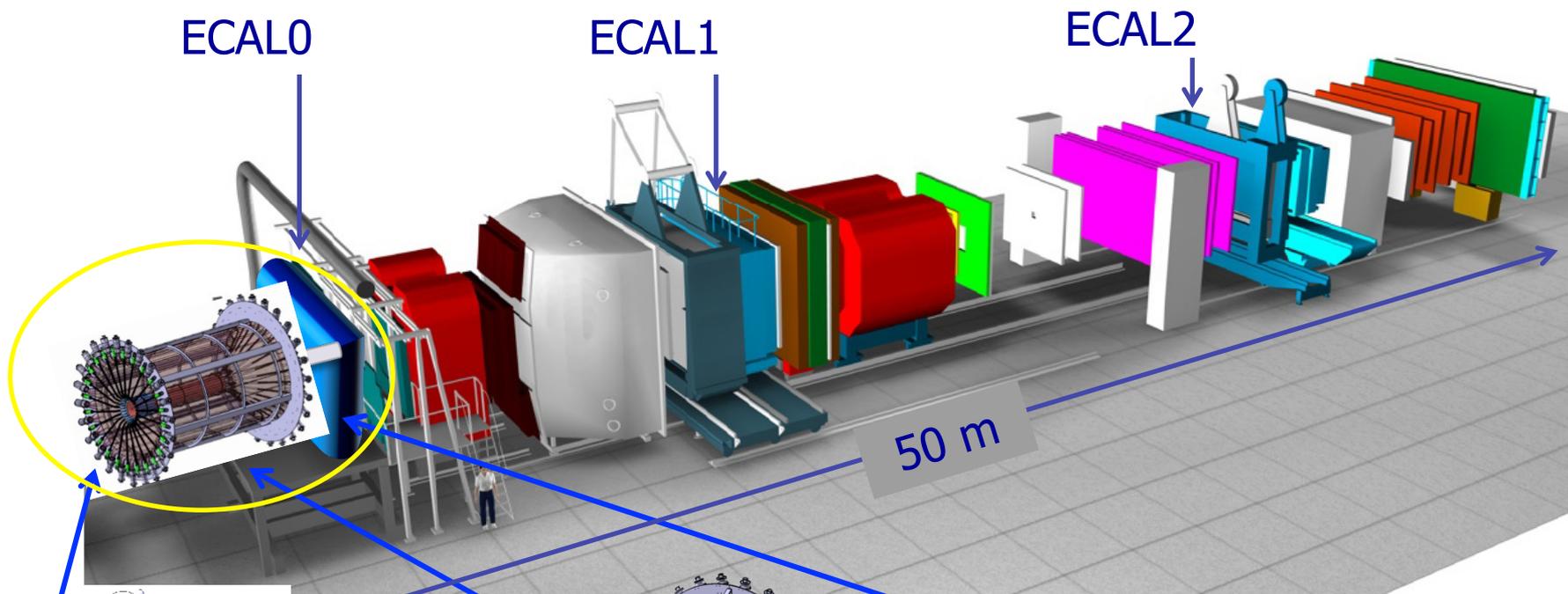
- Charge-and-Spin Sum
- Charge-and-Spin Difference



Access both $\text{Re}(\mathbf{H})$ and $\text{Im}(\mathbf{H})$ by measuring the Sum and the Difference

S

DVCS run – main new equipment

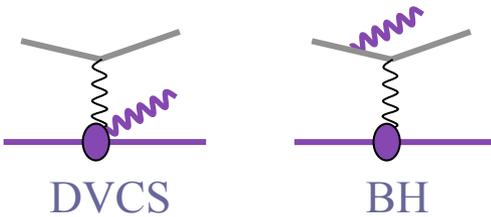
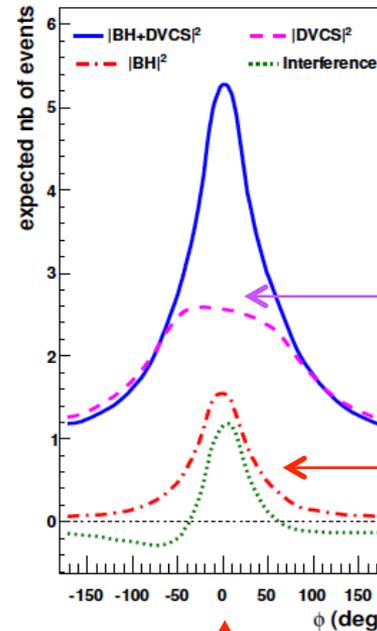
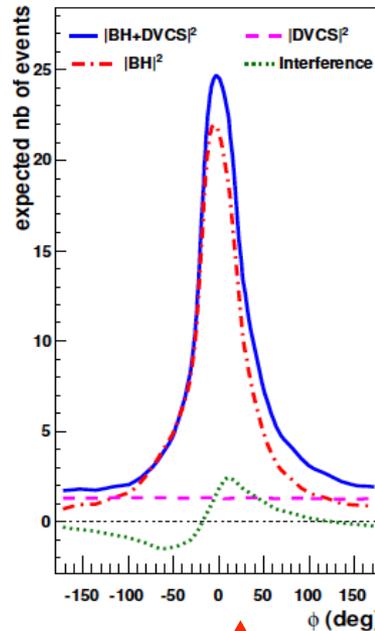
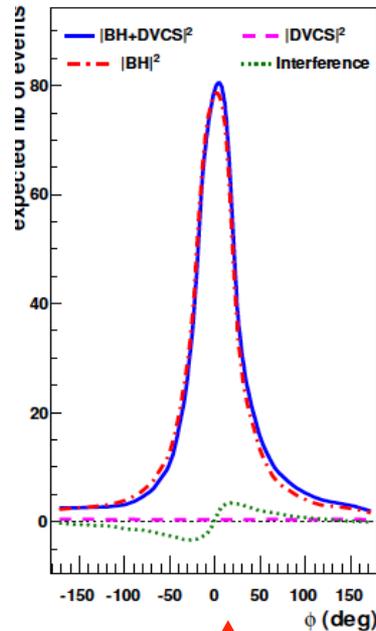


DVCS – the COMPASS x_B regions – SIMULATION

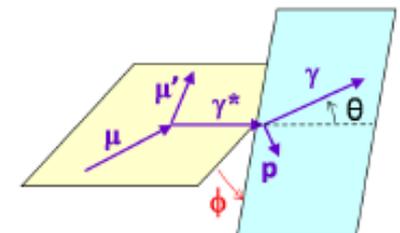
$0.005 < x_B < 0.01$

$0.01 < x_B < 0.03$

$x_B > 0.03$



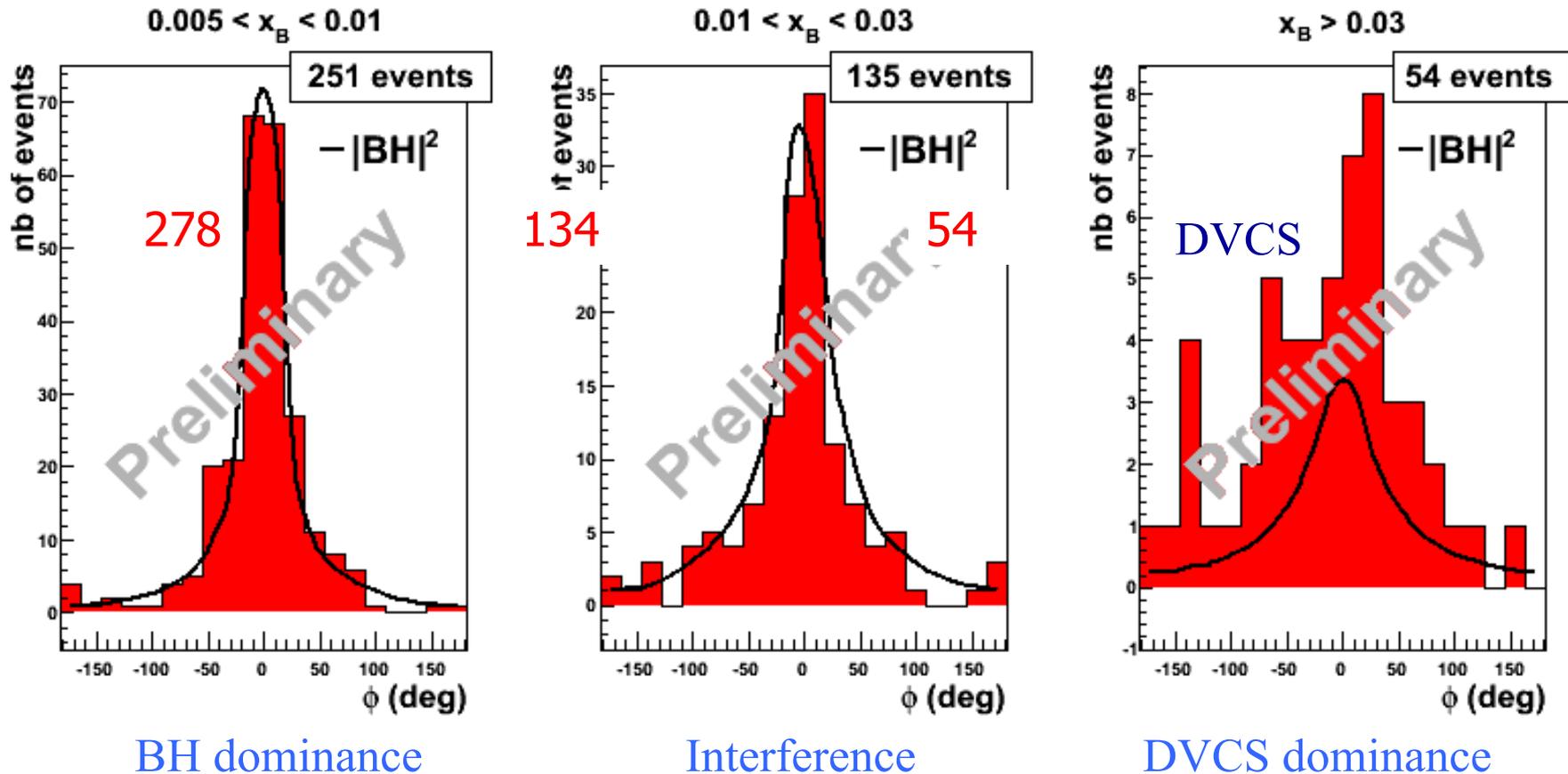
$$d\sigma \propto \left| A^{BH} \right|^2 + \text{Interference} + \left| A^{DVCS} \right|^2$$



Large relative amplitude variation as a function of x

- Test run – 4 days with a 40 cm long H_2 target

2009 data



Successful feasibility measurement

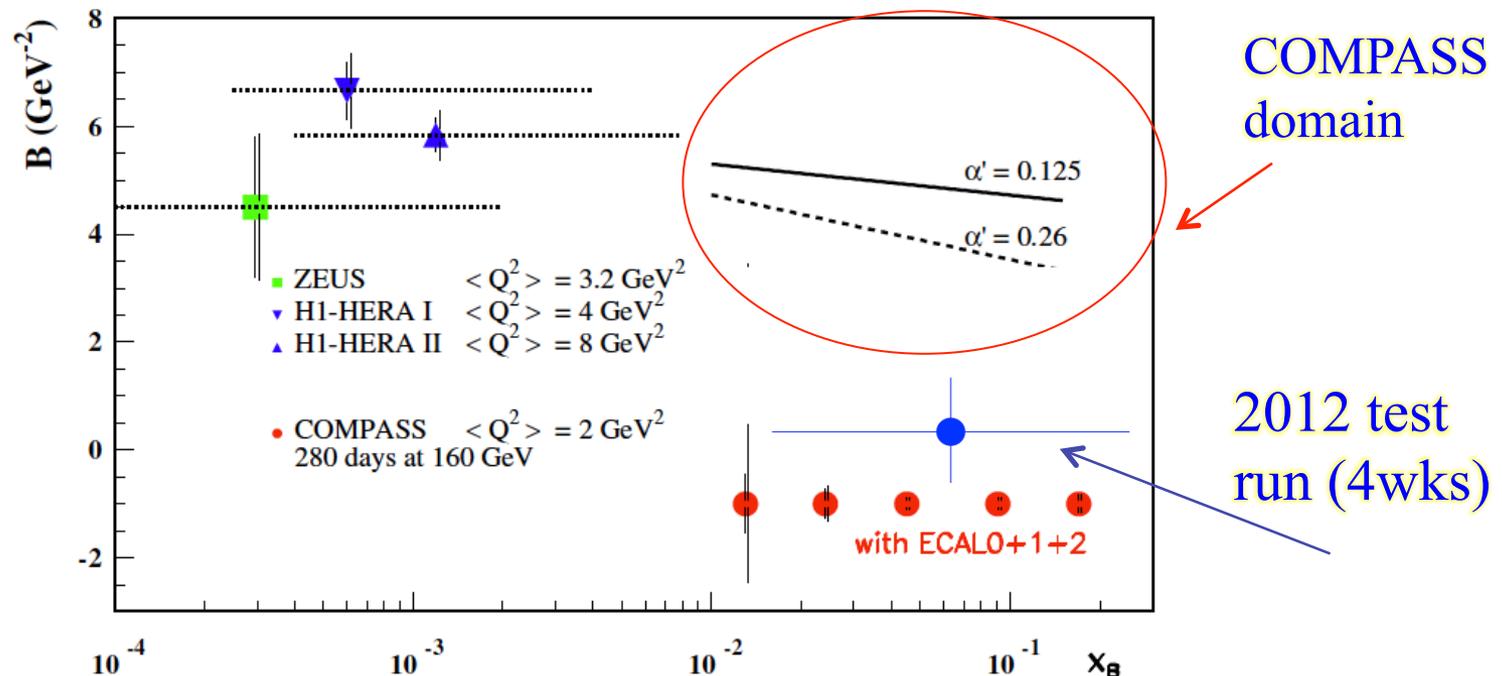
DVCS – SUM of μ^+ and μ^- cross sections

$$S_{CS,U} \equiv d\sigma(\mu^{+\leftarrow}) + d\sigma(\mu^{-\rightarrow}) \propto d\sigma^{BH} + d\sigma_{unpol}^{DVCS} + Ks_1^{Int} \sin \phi$$

Integration over ϕ and BH subtraction $\rightarrow d\sigma^{DVCS}/dt \sim \exp(-B|t|)$

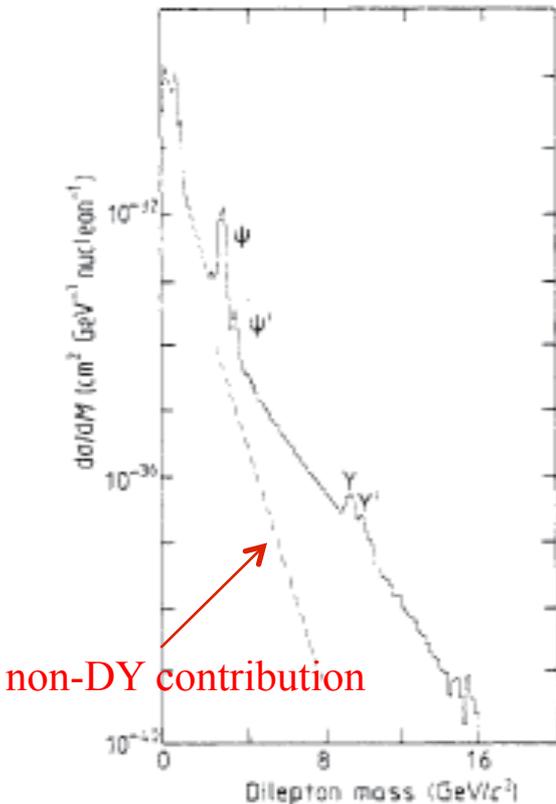
$$r_{\perp}^2(x_B) = 2B(x_B)$$

Expected statistics in 2x6 months of data taking



Measurements of GPD: nucleon “tomography”

Ito et al. PRD 23(1981)604.
 (from Kenyon, RPP, 1982)
 FERMILAB:



◆ Drell-Yan cross section:

$$\frac{d^2\sigma}{dM^2 dx_F} = \frac{4\pi\alpha^2}{9M^4} \frac{x_1 x_2}{x_1 + x_2} \sum_a e_a^2 [q_a(x_1)\bar{q}_a(x_2) + \bar{q}_a(x_1)q_a(x_2)]$$

◆ Features (parton model):

- Cross section depends on $\tau = M^2/s$
- Convolution of quark and antiquark PDFs
- Can be used to determine PDFs in π, K, \bar{p}
- Transverse momentum of $\mu\mu$ pair is small
- No fragmentation process

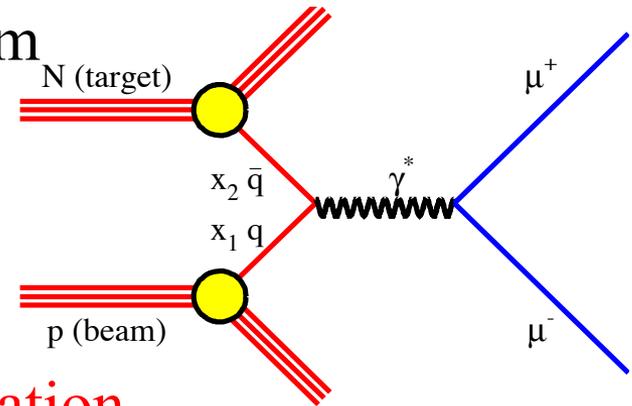
◆ Confirmed in QCD

- Assumptions: factorization

Tung-Mow Yan (SLAC, 1998): “The process has been so well understood that it has become a powerful tool for precision measurements and new physics”

COMPASS exclusive setup advantages

- Hadron (pion + kaon + antiproton) beam
- Transversely polarized NH_3 target
- Large muon angular acceptance



- With a negative pion beam: \bar{u}/u annihilation

$$\pi^- \vec{p} \rightarrow \mu^+ \mu^- X$$

- COMPASS acceptance
 - Dominated by valence quarks ($x \geq 0.1$)

COMPASS: only place in the world with valence antiquark beams

DY (polarized) cross section expansion

- ◆ Full formalism for two spin $\frac{1}{2}$ hadrons
- ◆ COMPASS: access 4 TMDs:
 - Boer-Mulders, Sivers, Pretzelosity, Transversity
- ◆ Access 4 TMDs – asymmetry modulations:

Arnold, Metz and Schlegel,
Phys. Rev. D79 (2009) 034005.

Boer-Mulders $A_U^{\cos 2\phi} \propto 1 + \bar{h}_1^\perp \otimes h_1^\perp \cos 2\phi$

Sivers $A_T^{\sin \phi} \propto S_T \left[\bar{f}_1 \otimes f_{1T}^\perp \sin \phi_s \right]$

Pretzelosity $A_T^{\sin(2\phi+\phi_s)} \propto S_T \left[\bar{h}_1^\perp \otimes h_{1T}^\perp \sin(2\phi + \phi_s) \right]$

Transversity $A_T^{\sin(2\phi-\phi_s)} \propto S_T \left[\bar{h}_1^\perp \otimes h_1 \sin(2\phi - \phi_s) \right]$

Worm-Gear Not possible: needs double polarization

All four TMDs are also measured in SIDIS

Transverse Momentum Dependent PDFs

		nucleon polarization		
		U	L	T
quark polarization	U	f_1  number density q		f_{1T}^\perp  -  Sivers
	L		g_1  -  helicity Δq	g_{1T}  - 
	T	h_1^\perp  -  Boer-Mulders	h_{1L}^\perp  - 	h_1  -  transversity h_{1T}^\perp  -  pretzelosity

- **Sivers**: correlation between the quark transverse momentum and the nucleon transverse spin (polarized nucleon)
- **Boer-Mulders**: correlation between the quark transverse spin and transverse momentum (unpolarized nucleon)

◆ SIDIS vs TMD

- SIDIS: TMD and FF
- Drell-Yan: two TMDs

$$\sigma^{SIDIS} \propto TMD_p(x, k_T) \otimes D_f^h(z, Q^2)$$

$$\sigma^{DY} \propto TMD_\pi \otimes TMD_p$$

◆ Factorization

Collins, Soper, Sterman, Adv. Ser. High En Phys. 5, 1988.

- TMDs (unlike PDFs) can be process dependent (“non-universality”)
- **Opposite sign** in SIDIS and DY processes:

Sivers:

$$f_{1T}^\perp(SIDIS) = -f_{1T}^\perp(DY)$$

Boer-Mulders:

$$h_1^\perp(SIDIS) = -h_1^\perp(DY)$$

Crucial test of the QCD factorization approach

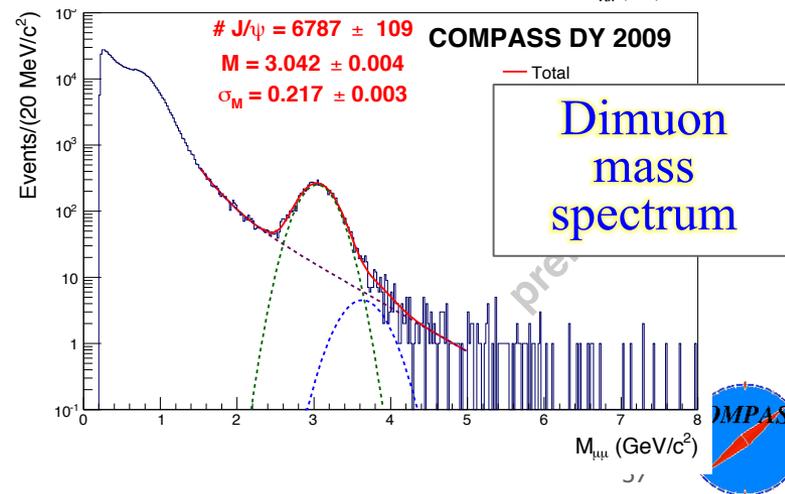
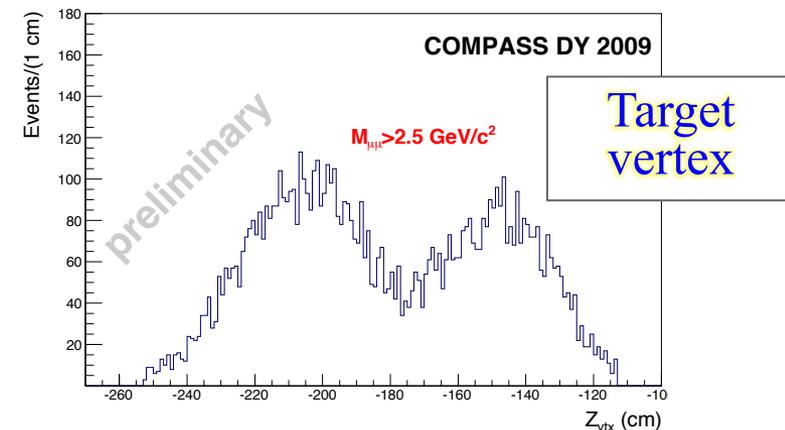


Drell-Yan – test data taking

- ◆ Test setup (3 days in 2009)
 - 190 GeV negative pion beam, $I \leq 1.5 \times 10^7/s$ (instead of $10^8/s$)
 - “poor-man” hadron absorber (concrete and steel)
 - two polyethylene target cells
 - preliminary DY trigger

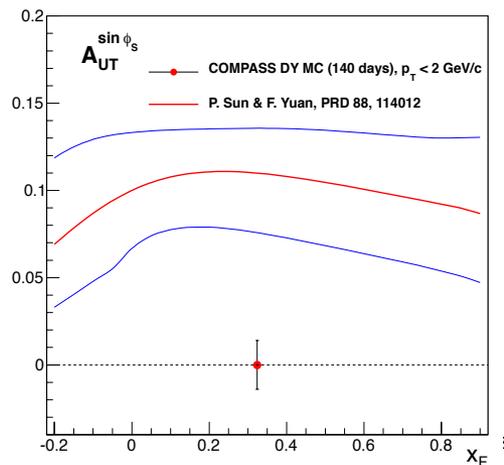
◆ Results

- Count rate confirmed
- Mass resolution as expected
- Good vertex resolution
- Low background at high masses

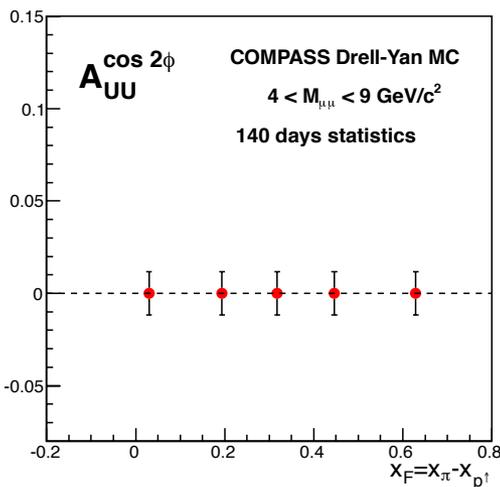


Polarized Drell-Yan – expected results

Sivers



Boer-Mulders



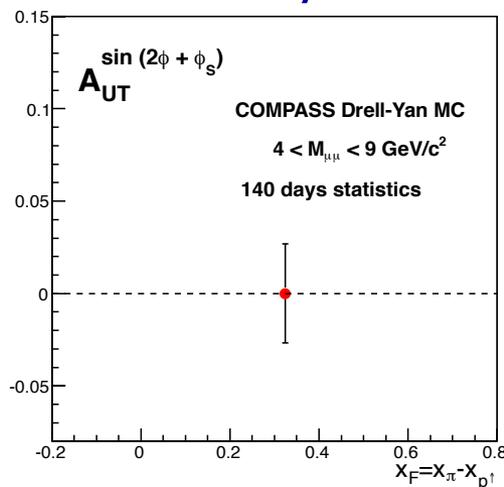
140 days of data

$6 \cdot 10^8$ pions/spill

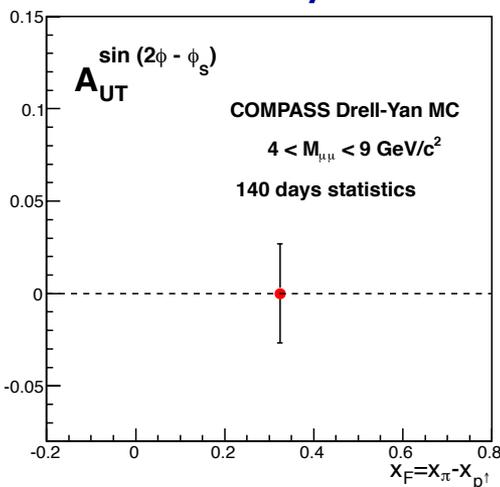
2 x 55 cm NH_3 target

$4 < M_{\text{min}} < 9 \text{ GeV}$

Pretzelosity



Transversity



- ◆ COMPASS is the **largest fixed-target experiment** at CERN
- ◆ Unique combination of hadron and muon beams of **both polarities**
- ◆ COMPASS has a very versatile experimental setup

- ◆ Rich physics program dedicated to both **nucleon structure and hadron spectroscopy studies**

- ◆ Present schedule
 - 2015 : Drell - Yan data taking (1st “year” \approx 140 days)
 - 2016 : DVCS data taking
 - 2017 : DVCS data taking
 - 2018 : Drell-Yan data taking (2nd year)

