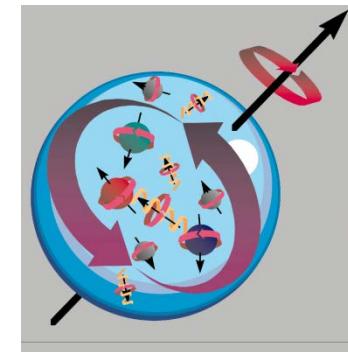


Nucleon Spin Structure Experimental overview

Fabienne KUNNE
CEA /IRFU Saclay, France

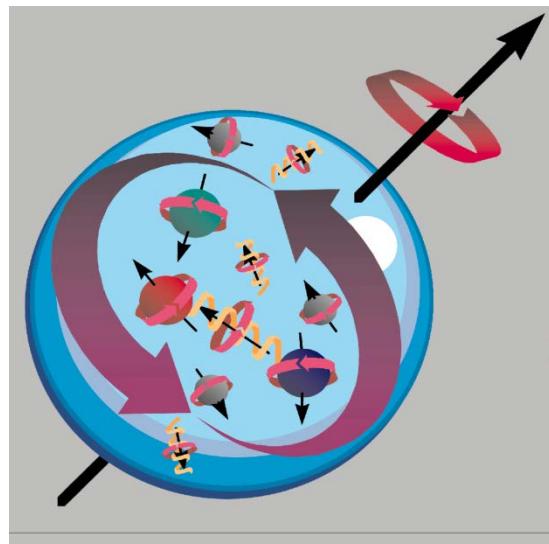


- **Longitudinal spin : Gluon and quark helicities**
- **Transverse spin**
- **Outlook**

Measurements at RHIC, COMPASS, HERMES, JLab

Nucleon spin structure

- Nucleon Almost all visible matter
- Spin Fundamental quantum number
Pauli principle
Important for symmetry tests: parity /time reversal



Nucleon contains
quarks, anti quarks, gluons

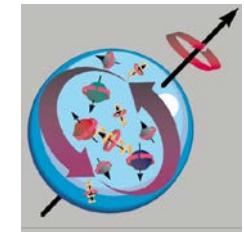
How is spin distributed in the nucleon?

Nucleon spin

How is the nucleon spin distributed among its constituents?

Nucleon Spin $\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L$

quark gluon orbital momentum



$\Delta\Sigma$: sum over u, d, s, \bar{u} , \bar{d} , \bar{s}
can take any value: superposition of several states

$\Delta q = \overrightarrow{q} - \overleftarrow{q}$
Parton spin parallel or anti parallel to nucleon spin

Past:

Theory: QPM estimations, with relativistic effects $\Delta\Sigma \sim 0.6$

Experiment: “Spin crisis” in 1988, when EMC measured $a_0 = \Delta\Sigma = 0.12 \pm 0.17$
MS scheme

Today:

Precise world data on polarized DIS $g_1 + SU_f(3)$ $a_0 = \Delta\Sigma \sim 0.3$

First results from Lattice QCD on $\Delta\Sigma_{u,d}$ and $L_{u,d}$

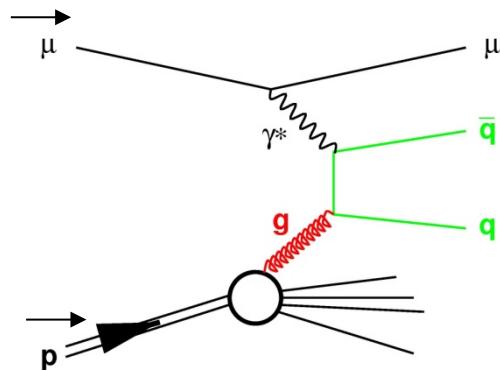
Large experimental effort on ΔG measurement

also because $a_0 = \Delta\Sigma - n_f (\alpha_s/2\pi) \Delta G$ (AB scheme)

Three ways to study gluon contribution ΔG

1. Lepton Nucleon

Photon Gluon Fusion

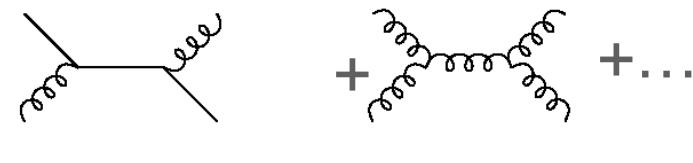


$$\Delta G/G(x)$$

SMC, HERMES, COMPASS

2. Proton Proton collisions

Gluon-Quark + Gluon-Gluon +...



$$\frac{\Delta G}{G} \times \frac{\Delta q}{q}$$

$$+ \frac{\Delta G}{G} \times \frac{\Delta G}{G} + \dots$$

$$A_{LL}(p_T)$$

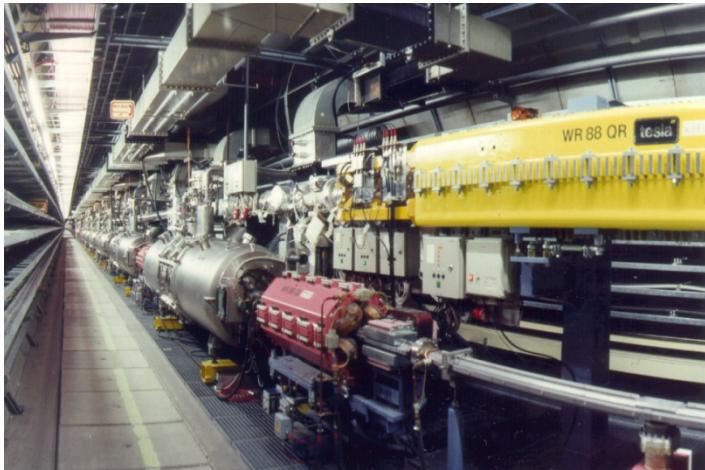
RHIC : PHENIX & STAR

3. QCD Q^2 evolution of spin structure function $g_1(x, Q^2)$:

Indirect determination assuming a functional form $\Delta G(x)$.

Global fits include polarized DIS, SIDIS and pp data

HERMES at DESY



HERA e^+ & e^- 27 GeV

longitudinally polarized ~ 54%

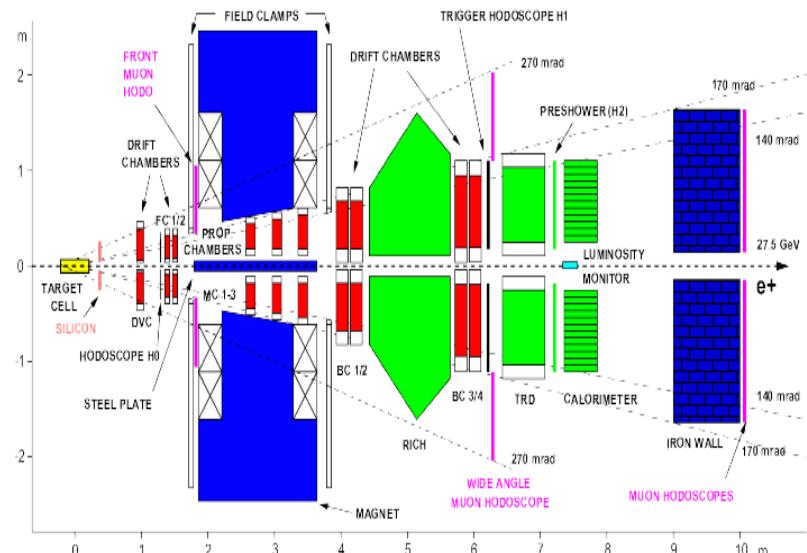
Gaseous polarized target

Longit. Polar. 85% H, D, He

Transv. Polar H

Unpol H, D, Ne, Kr

1995 to 2007



COMPASS at CERN

Fixed target

Secondary beams from SPS

Nucleon spin structure

Meson spectroscopy

Polarized muon beam:

160-200 GeV μ , $P_B=80\%$

Solid polarized target:

d (${}^6\text{LiD}$) 2002 to 2006

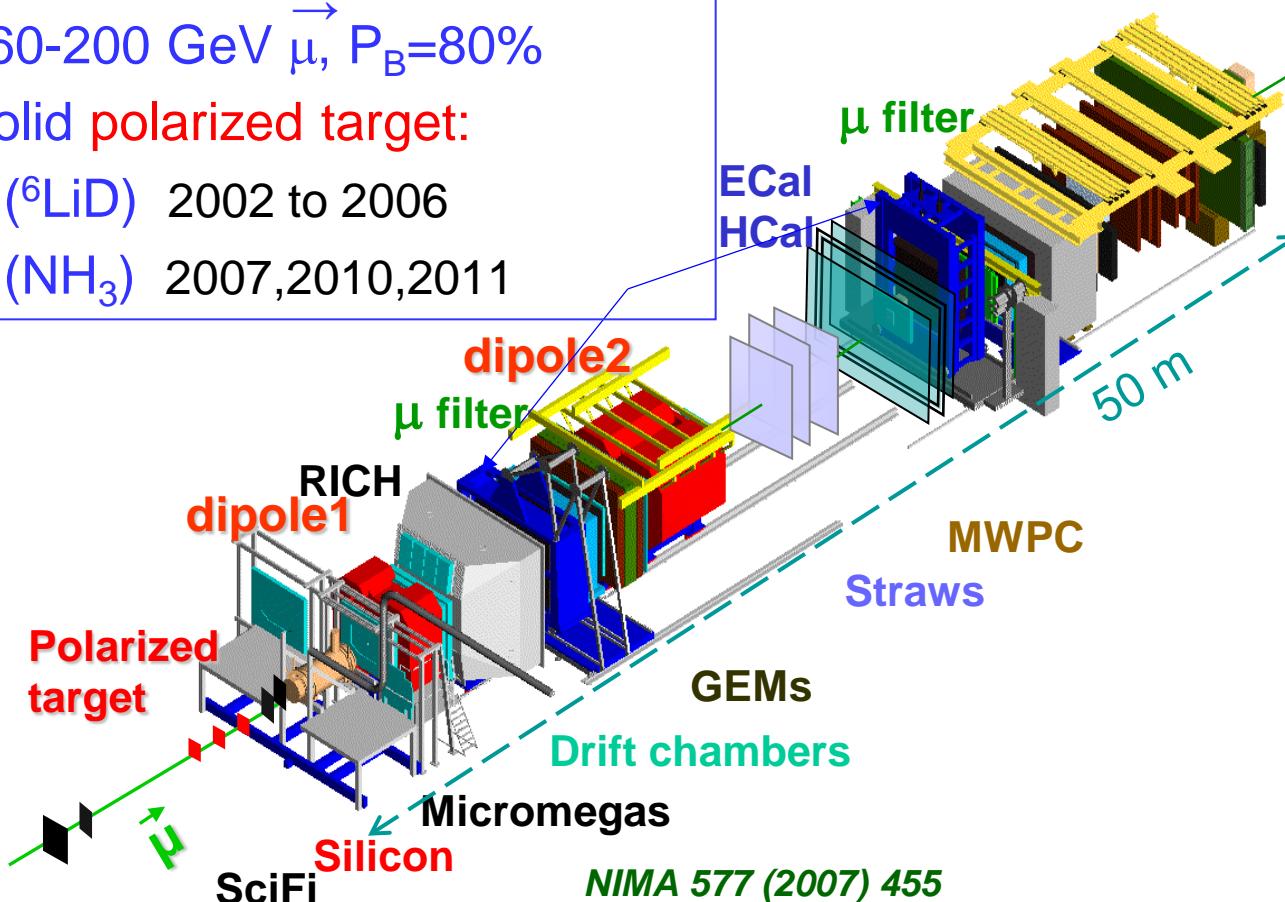
p (NH_3) 2007,2010,2011

Hadron beam :

190 GeV π / p

LH_2 2008-2009-

2012



NIMA 577 (2007) 455

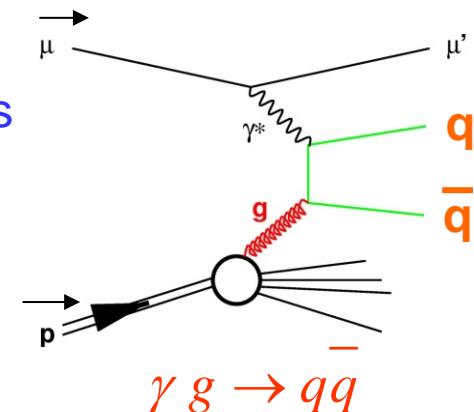
1. $\Delta G/G$ from $\overrightarrow{\text{lepton}} \overrightarrow{N}$ scattering

Photon Gluon Fusion (PGF) process

Asymmetry of cross sections for longitudinal polarizations
of beam and target, parallel and antiparallel

$$A_{LL} = R_{\text{PGF}} \langle a_{LL} \rangle \langle \Delta G/G \rangle + A_{\text{background}}$$

Fraction of Analyzing power
process



Two signatures for PGF:

1/ $q=c$ open charm $c \rightarrow D^0 \rightarrow K \pi$

Clean signature of PGF

Limited statistics & large combinatorial background

COMPASS : 1 point

2/ $q=u,d,s$ high p_T hadron pair $q \bar{q} \rightarrow h h$

High statistics

Physical background

COMPASS : 4 points
+ HERMES & SMC

$\Delta G/G$ at LO : SMC, HERMES and COMPASS

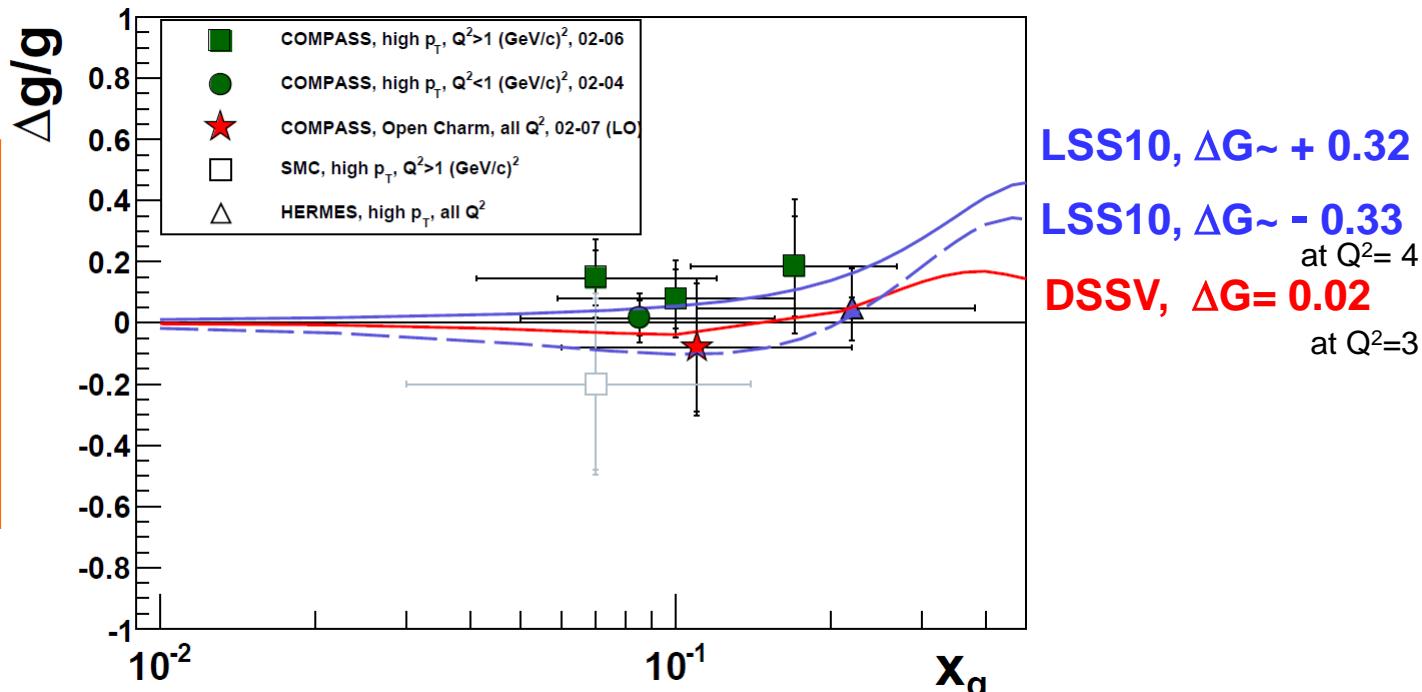
High p_T hadrons:

$Q^2 \sim 3$

with model for physical background

Open charm:

$Q^2 = 13$



- All direct measurements compatible with 0
- ΔG measured for $0.05 < x < 0.3$
- Results disfavour value of the integral $> \sim \pm 0.3$
i.e. $\pm 60\%$ of the $\frac{1}{2}$ nucleon spin
- Contribution to $\langle \Delta G \rangle$ outside measured x range not excluded

Note that these data are NOT included in global fits of LSS and DSSV

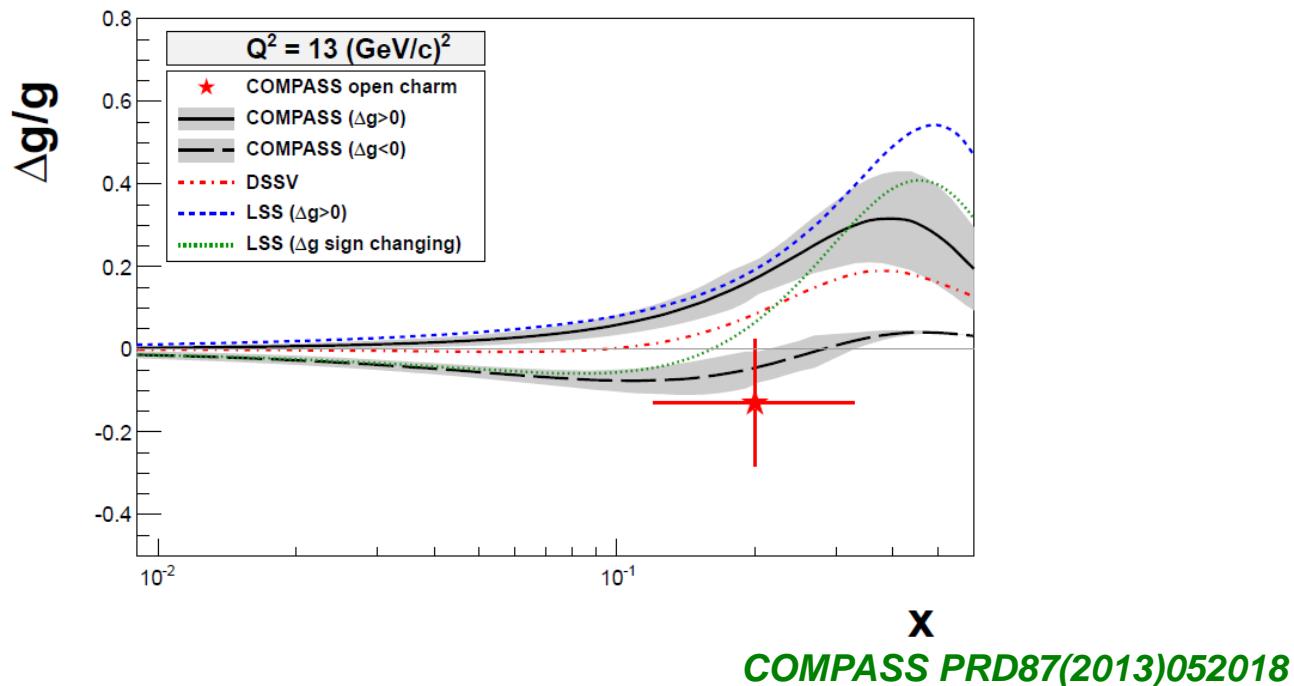
De Florian, Sassot, Stratmann, Vogelsang

Leader, Sidorov, Stamenov

$\Delta G/G$ at NLO : charm channel

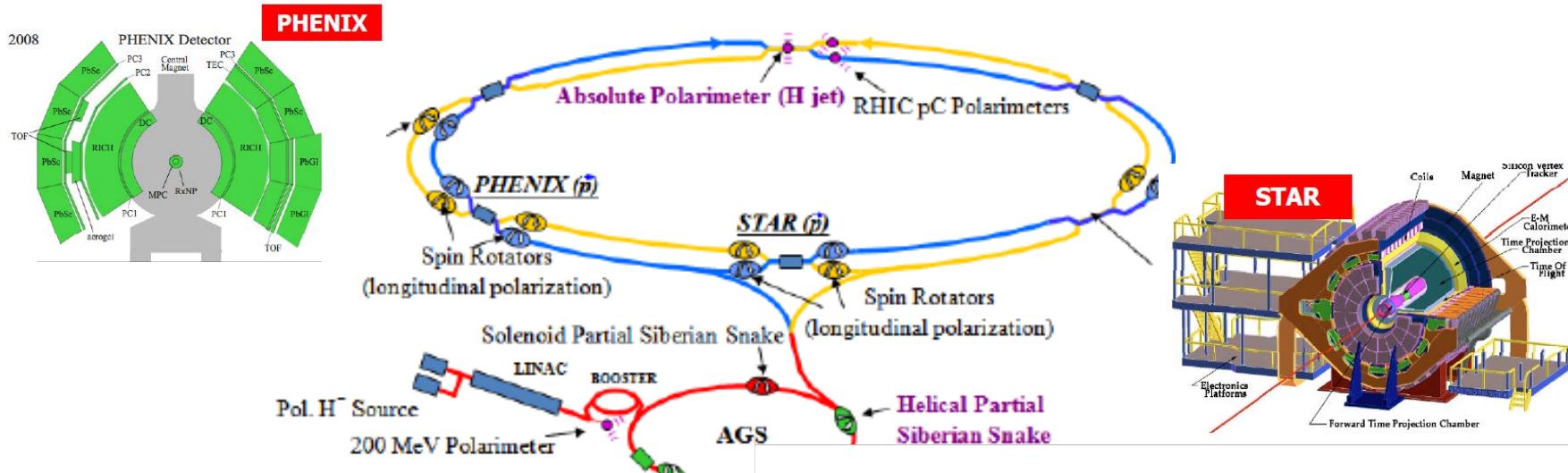
The only channel for which the analyzing power a_{LL} is calculated at NLO.

a_{LL} distribution shifted in $x \rightarrow$ Induces a change in $\langle \Delta G \rangle$, but also in the relative weight of events, hence a change in $\langle x \rangle$

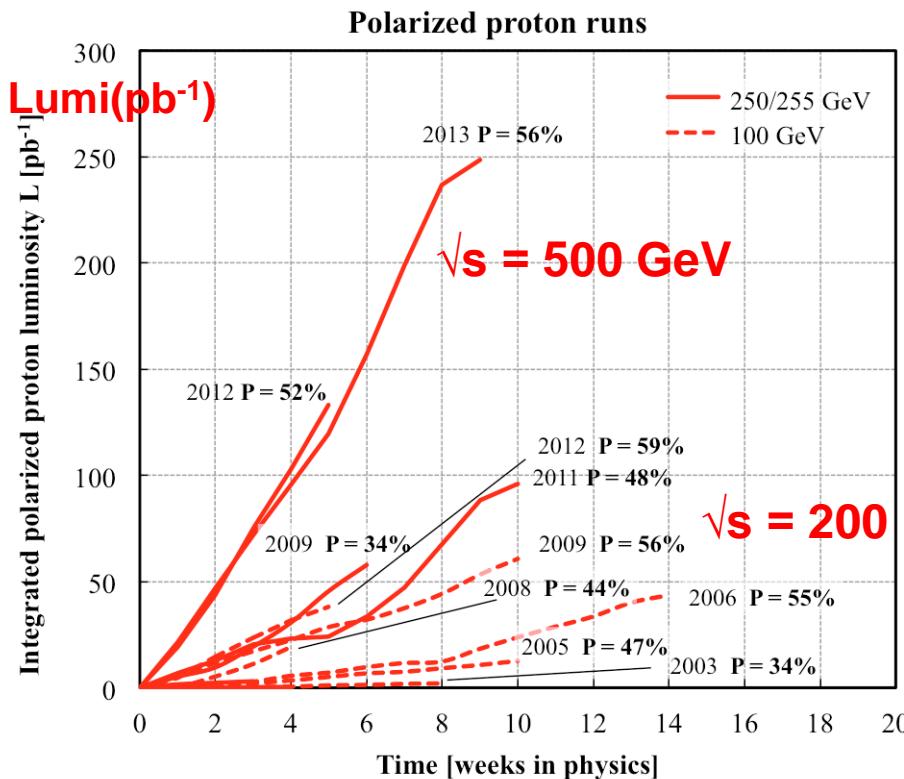


Value of ΔG still compatible with zero; higher $\langle x \rangle$ measured

2. $p\bar{p}$ collisions at RHIC



- **Longitudinal spin**
 - hadron production for $\langle \Delta G \rangle$
 - W production for $\langle \Delta q \rangle$
- **Transverse spin**



2. $\vec{p} \vec{p}$ collisions at RHIC, channels for ΔG

- More abundant channels

$p p \rightarrow \pi^0 X$ PHENIX

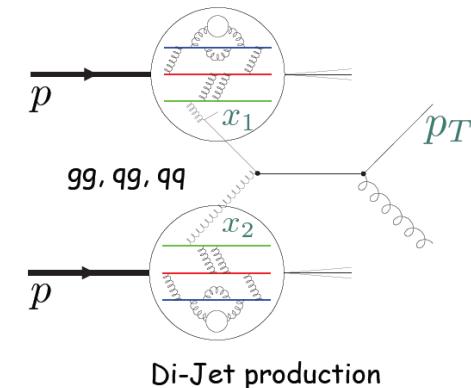
$p p \rightarrow \text{jet } X$ STAR

3 processes contribute gg, qg, qq

$$\begin{cases} \Delta G(x_1) \cdot \Delta G(x_2) \\ \Delta G(x_1) \cdot \Delta q(x_2) \\ \Delta q(x_1) \cdot \Delta q(x_2) \end{cases}$$

- Other channels

$p p \rightarrow \text{jet jet}$ 500 GeV, low x



$p p \rightarrow \gamma \text{ jet}$

1 single process $qg \rightarrow$ cleaner

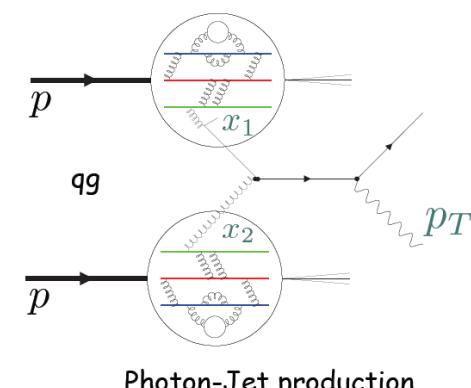
Full kinematics reconstructed

Low statistics

$\Delta G(x_1) \cdot \Delta q(x_2)$

$p p \rightarrow \gamma X$

- Other channels: $\pi^+, \pi^-, \eta, \dots$

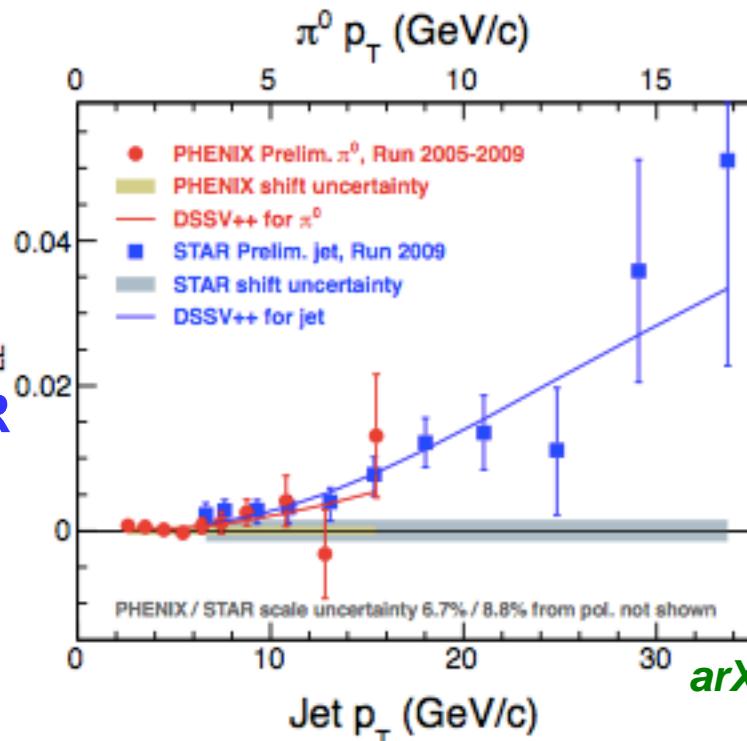


High potential for ΔG from various channels, various kinematics

\overrightarrow{pp} collisions at RHIC: jet at STAR, π^0 at PHENIX

$p p \rightarrow \text{jet/ } \pi^0 + X$

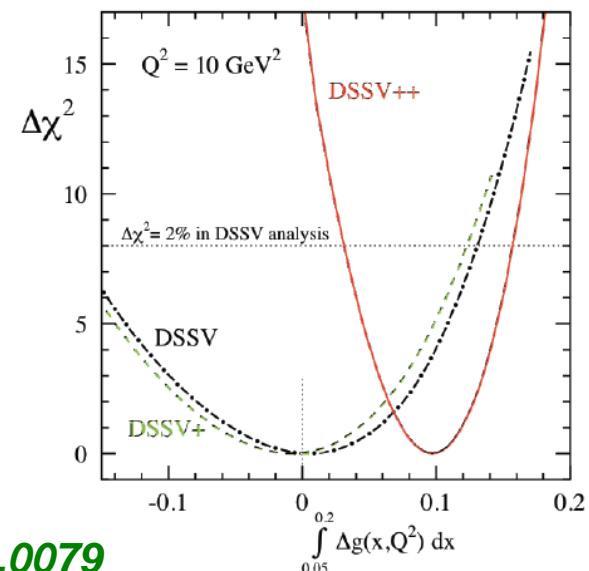
Measure double spin asymmetry $A_{LL}(p_T)$
Compare data to global fits with a given $\Delta G(x)$ parameterization



arXiv:1304.0079

Strong constraint on ΔG in measured range giving
 ΔG positive ~ 0.1 for $0.05 < x < 0.2$

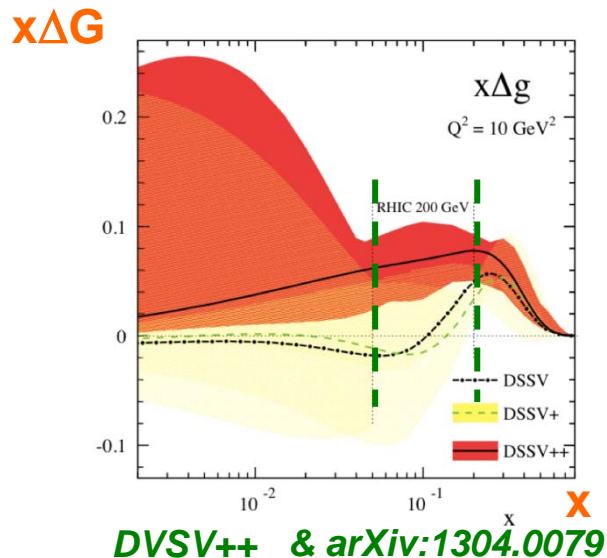
High stat. run 9
→ New fit DSSV++



ΔG – Low x contribution?

Shape of ΔG distribution unknown.

Very large error band at low x , which might bring ΔG to big value.



Lower x ?

RHIC 2012+2013 500 GeV: inclusive jet, dijets,
& expect to double 200 GeV statistics in 2015 run

But **expect very small A_{LL} since $\propto \frac{\Delta G}{G}$**

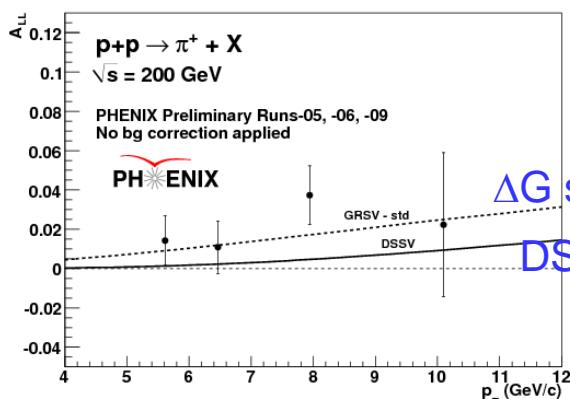
$\rightarrow\rightarrow$ pp collisions at RHIC: other channels

charged pions: different FF for favored or unfavored
 different qg contributions for $\pi^0, +, - \rightarrow$ access sign of ΔG

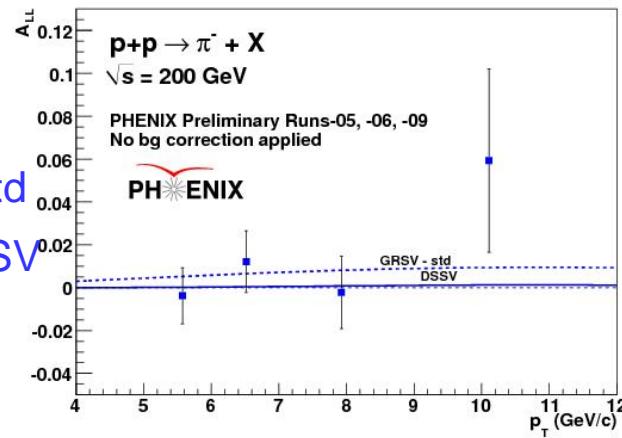
$$A_{LL}^{\pi^+} > A_{LL}^{\pi^0} > A_{LL}^{\pi^-} \Rightarrow \Delta G > 0$$

$$A_{LL}^{\pi^+} < A_{LL}^{\pi^0} < A_{LL}^{\pi^-} \Rightarrow \Delta G < 0$$

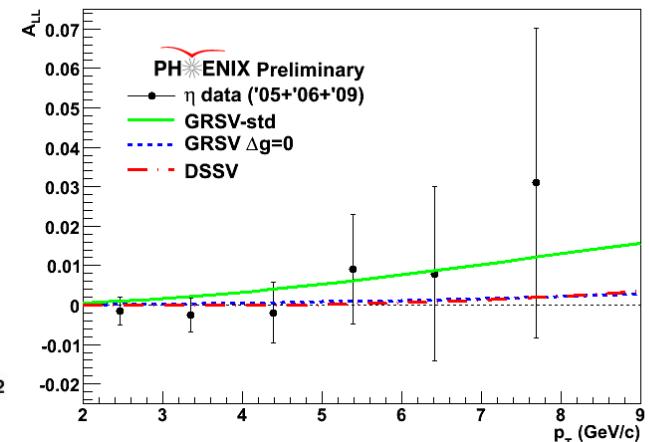
π^+



π^-



η



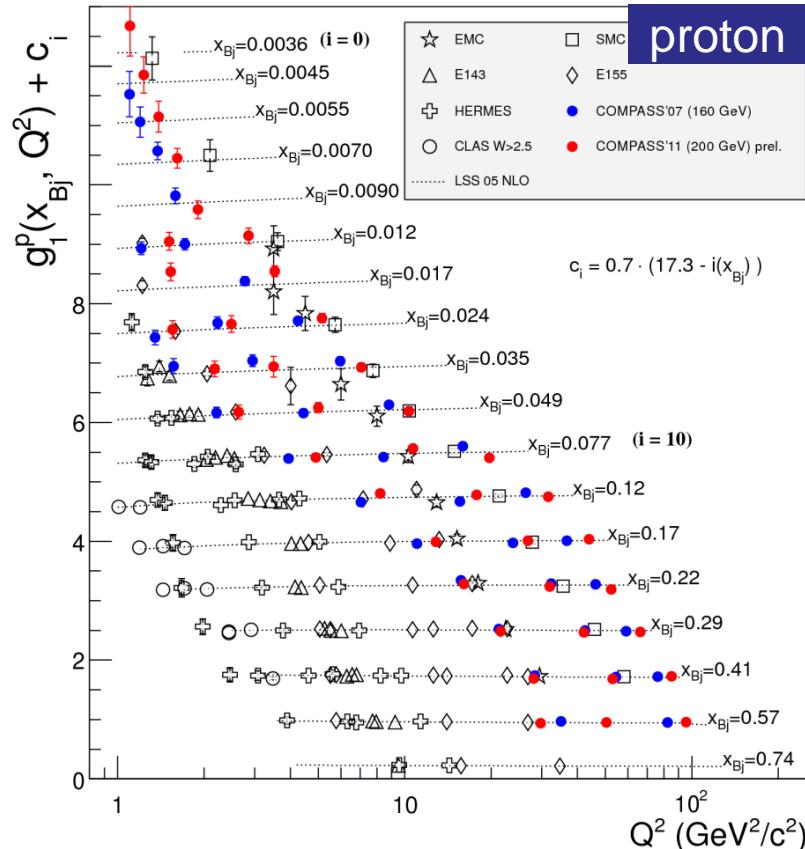
Sensitive to s fragmentation

Promising channels when more statistics available

3. ΔG from Q^2 evolution of g_1 . Global QCD fits

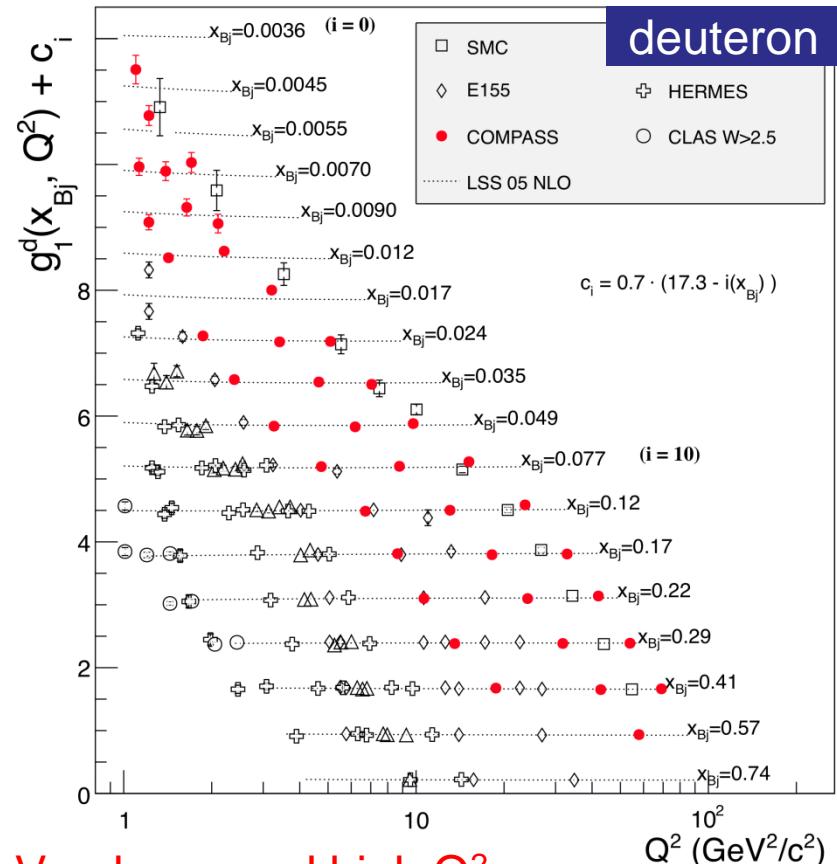
Pol. deep Inelastic Scattering
 → spin structure functions g_1

$$\frac{d g_1}{d \log(Q^2)} \propto -\Delta g(x, Q^2)$$



$$A_1^{DIS} \propto g_1(x) \propto \frac{1}{2} \sum e_q^2 (\Delta q(x) + \Delta \bar{q}(x))$$

→ g_1 as input to global QCD fits for extraction of $\Delta q_f(x)$ and $\Delta G(x)$



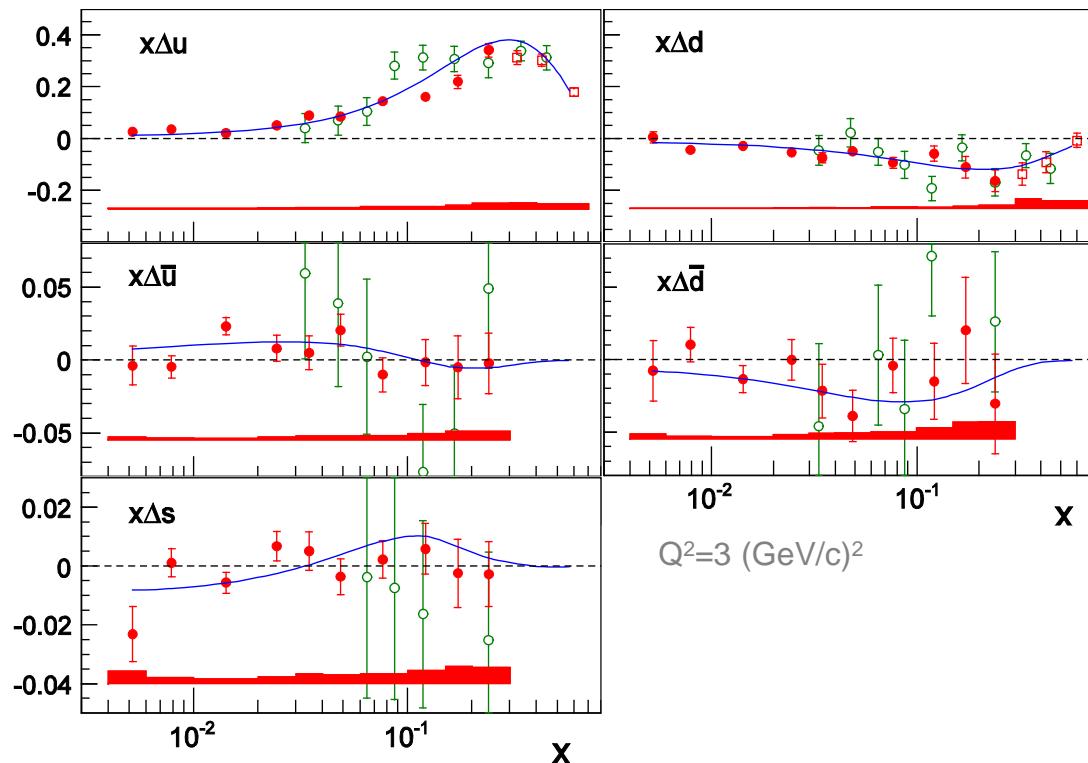
New data from COMPASS 200 GeV : low x and high Q^2

However x and Q^2 coverage not yet sufficient for precise ΔG
 Use also constraint from pp data (DSSV)

Quark helicities from semi-inclusive DIS

$$l^- p^+ \rightarrow l^- h^{+/-} X$$

Hadron tags quark flavor
(quark fragmentation functions)



- **COMPASS**
PLB693(2010)227, using DSS FF
- **HERMES**
PRD71(2005)012003
- **DSSV**

- Full flavour separation $\rightarrow x \sim 0.004$
- Sea quark distributions \sim zero
- Good agreement with global fits

Strange quark polarization – Δs puzzle

- **DIS data:** Integral of Δs is extracted from the integral of g_1 , using two other inputs (n and hyperon decay) & SU(3)

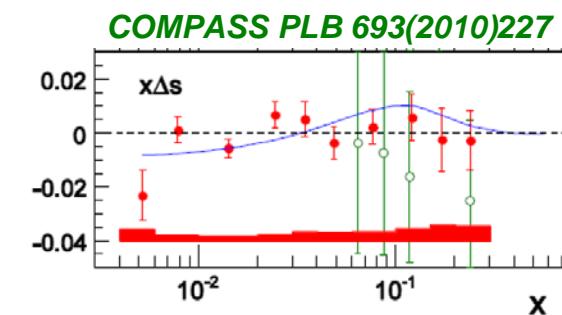
$$\rightarrow \int \Delta s + \bar{\Delta s} = -0.08 \pm 0.01 \pm 0.02$$

- **SIDIS data:** $\Delta s(x)$ measured from **kaon** spin asymmetries, using quark fragmentation functions, in particular Ds^K , (s quark fragmentation into K)

$$\rightarrow \Delta s(x) \approx 0$$

Several possible explanations to the discrepancy :

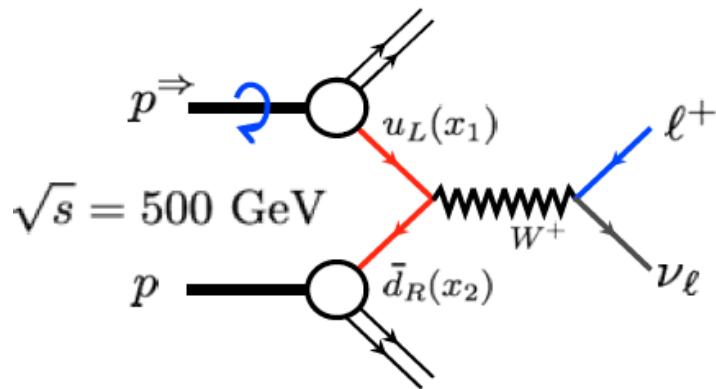
- Uncertainty on Ds^K
- Global fits (DSSV, LSS) suggest negative Δs at low x reconciles the two approaches
- Assume SU(3) violation a_8 from 0.58 to 0.42
 $\rightarrow \Delta s = -0.02$ *Bass & Thomas, PLB 684(2010)216*



Recent data on quark fragmentation functions HERMES/COMPASS

Recent data on Δs at low x COMPASS 200 GeV

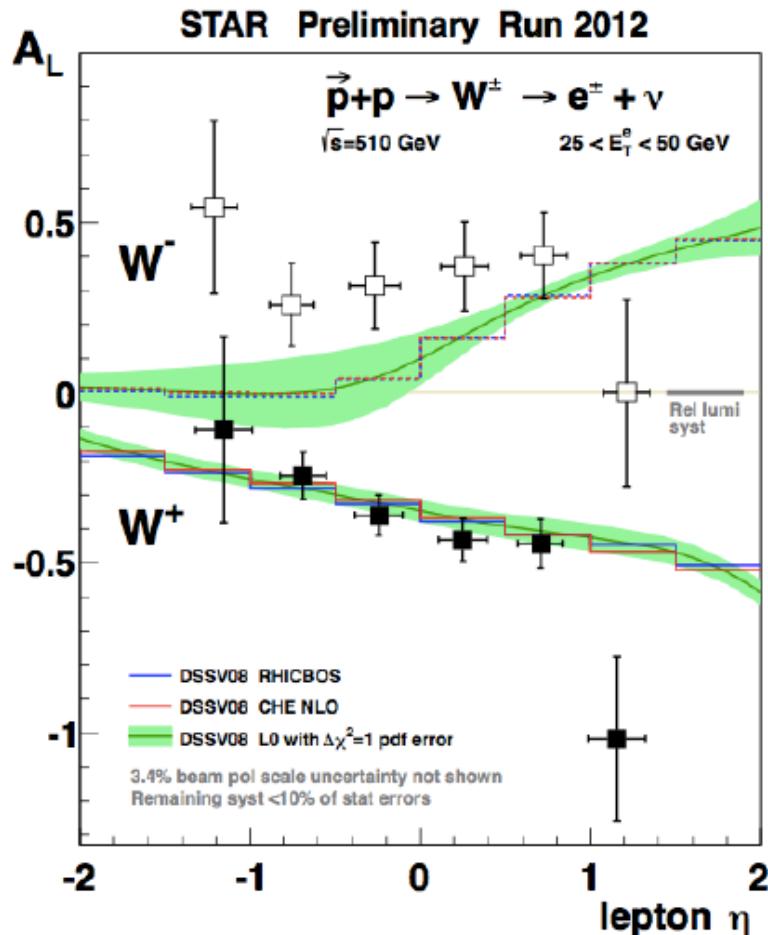
Quark helicities from W production in $\vec{p}\vec{p}$



Single spin asymmetry measured at STAR and PHENIX
Parity violating
No quark fragmentation function needed
High energy scale

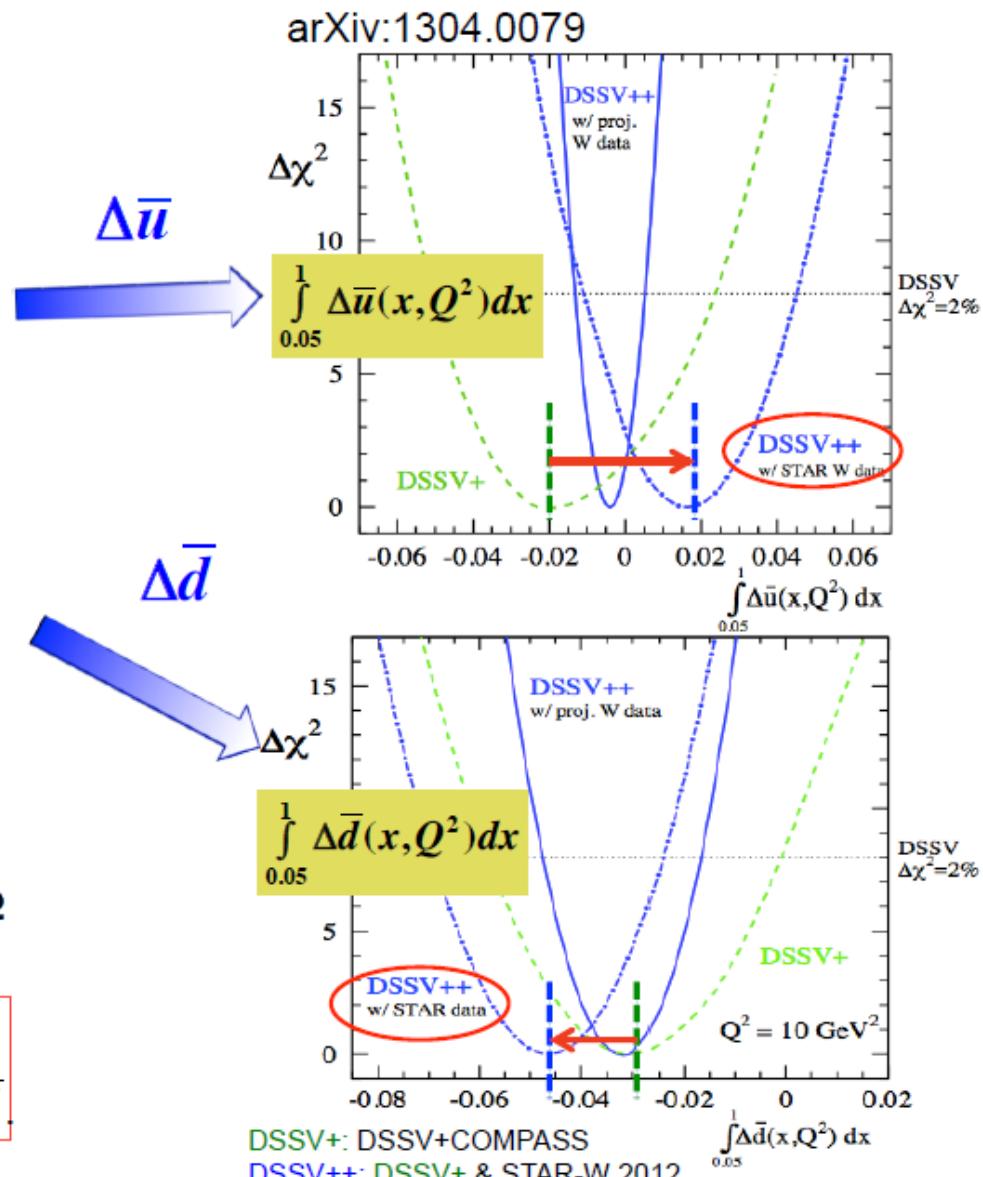
$$\boxed{\begin{aligned} u + \bar{d} &\rightarrow W^+ \rightarrow e^+ + \nu \\ \bar{u} + d &\rightarrow W^- \rightarrow e^- + \bar{\nu} \end{aligned}} \quad \begin{aligned} \rightarrow \bar{\Delta}d \\ \rightarrow \bar{\Delta}u \end{aligned}$$

W results from STAR and global analysis

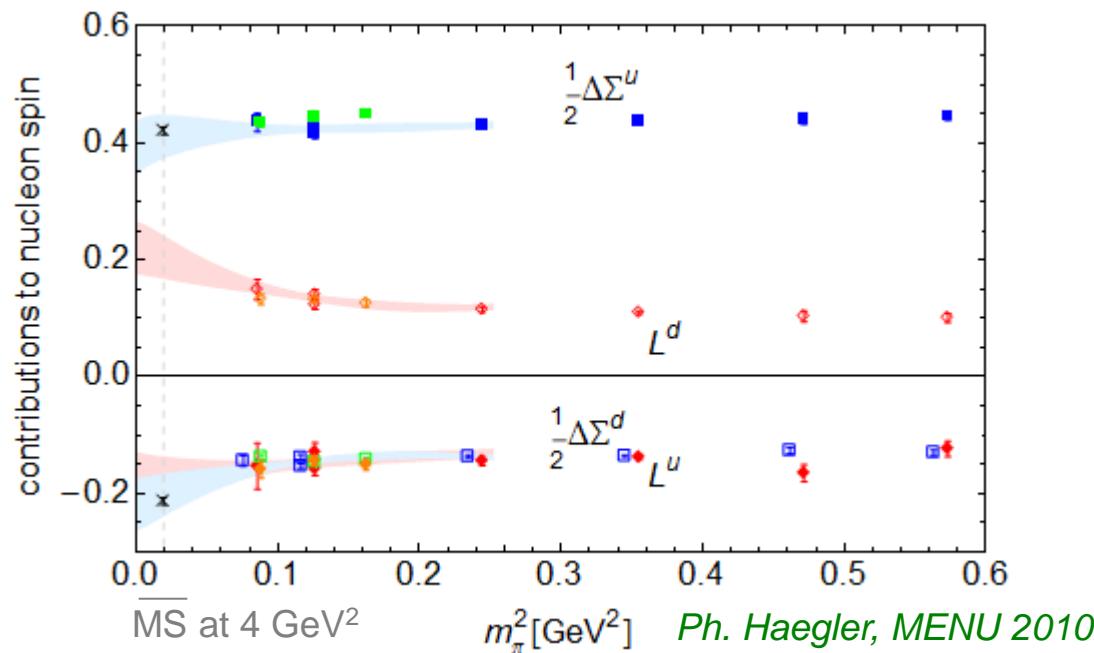


STAR 2012 W results provide significant constraints on $\Delta\bar{u}, \Delta\bar{d}$.

from Qinghua Xu, DSPIN-2013



Lattice : quark spin and angular momentum



- Impressive results from lattice QCD
- Agreement with measurements for quark spin
- Predictions for angular momentum

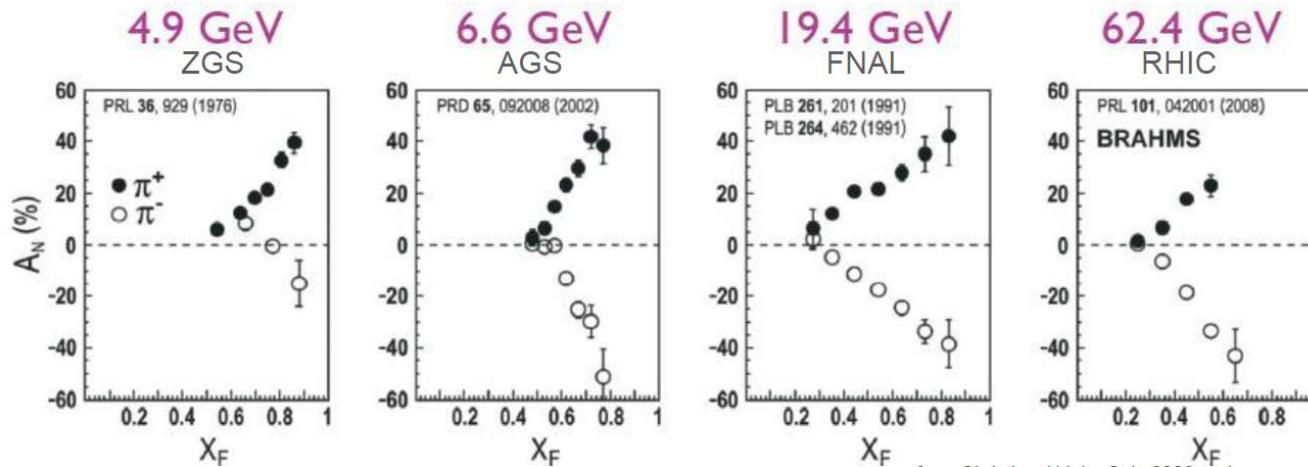
Transverse spin

Where it all started from... (1978)



$$\frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$$

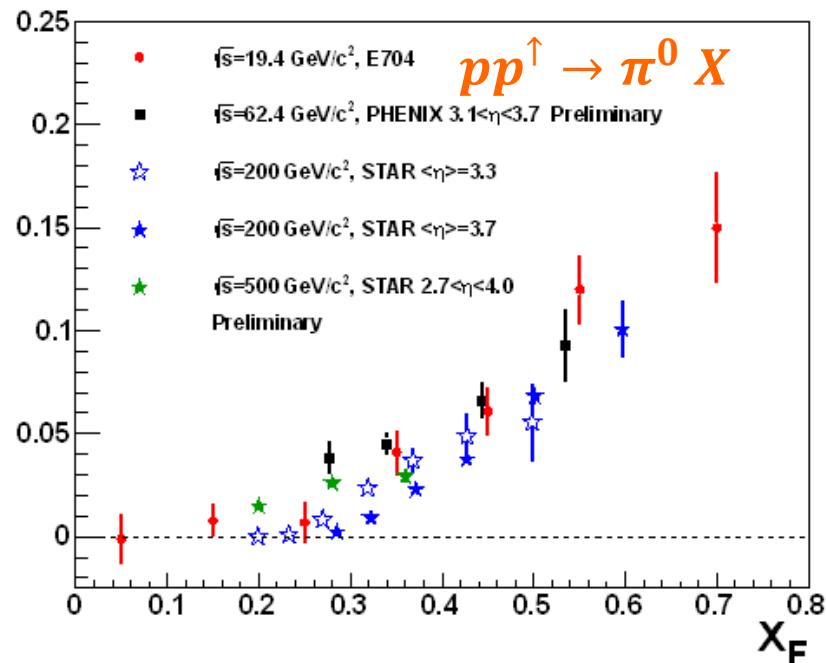
large p_T



Unexpected large single spin asymmetry A_N

Attributed to correlations between nucleon spin, orbital angular momentum, transverse momentum k_T of partons...

E704... and latest RHIC results from PHENIX and STAR on π^0 , following BRAHMS and others.



Transversity

Three distribution functions are necessary to describe the structure of the nucleon at LO in the collinear case:

- $q(x)$: number density or **unpolarised distribution**
- $\Delta q(x) = q\rightarrow - q\leftarrow$: longitudinal polarization or **helicity distribution**
- $\Delta_T q(x) = q\uparrow\uparrow - q\downarrow\uparrow$: transverse polarization or **transversity distribution**

All 3 of equal importance

Further distributions exist, Transverse Momentum Dependent (**TMD**) , revealing correlations between **nucleon spin, quark spin** and **quark transverse momentum k_T** .

All measured simultaneously in SIDIS.

Among them, the **Sivers** function.

Transversity- Collins and Sivers asymmetries

- Access via **SIDIS**, transversely polarized target

$$l^- p^\uparrow \rightarrow l^- p^- h^{+/-}$$

- Measure simultaneously several azimuthal asymmetries, out of which :

{
Collins: Outgoing hadron direction & quark transverse spin
Sivers: Nucleon spin & quark transverse momentum k_T

at LO:

Collins

q transverse spin distr.

$$A_{\text{Coll}} = \frac{\sum_q e_q^2 \Delta_T q \otimes \Delta_T \circ D_q^h}{\sum_q e_q^2 \cdot q \otimes D_q^h}$$

Collins fragmentation function, depends on spin

Sivers

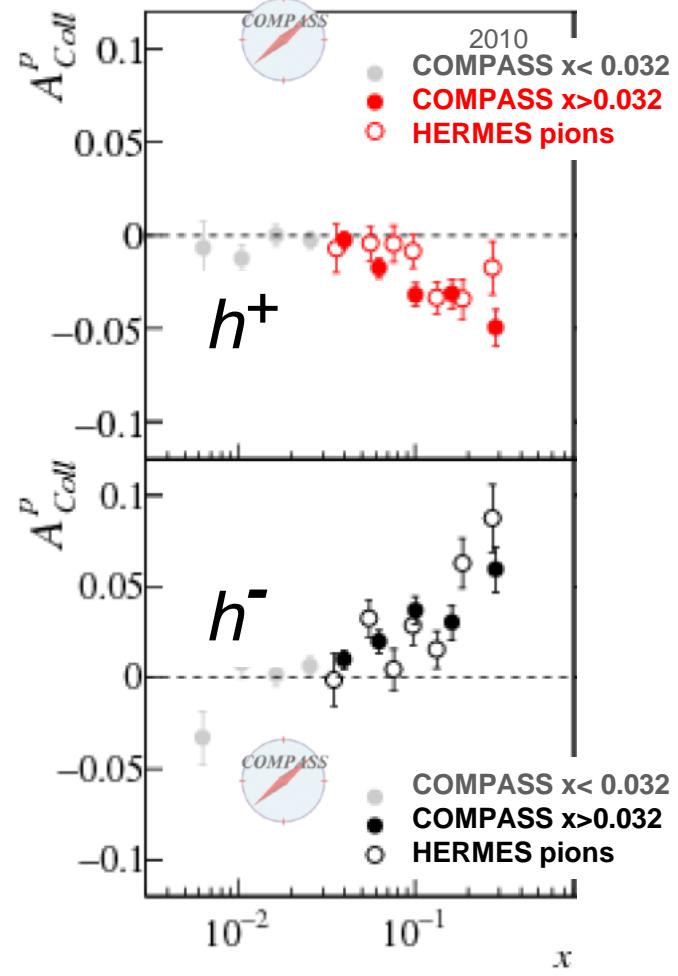
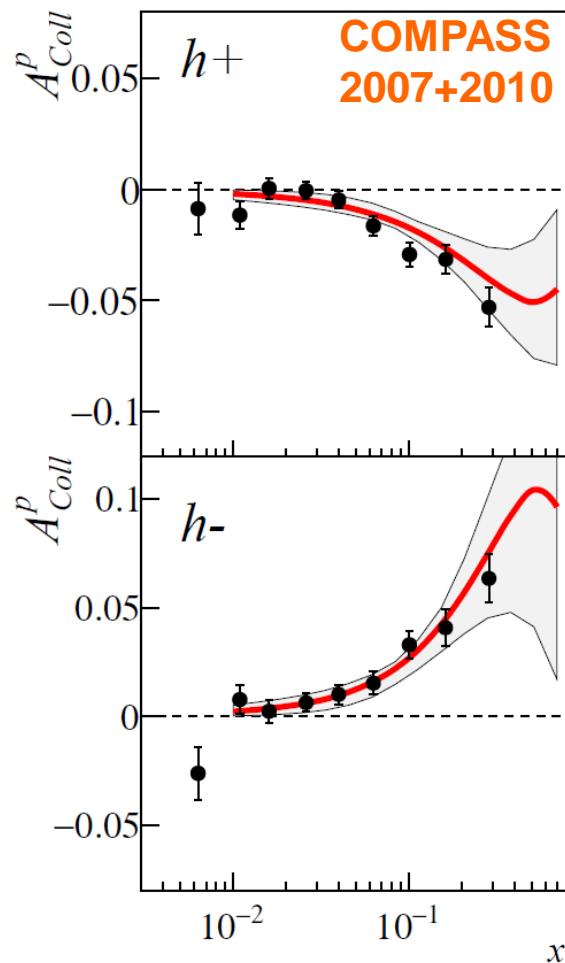
Usual quark fragmentation function

$$A_{\text{Siv}} = \frac{\sum_q e_q^2 \cdot f_{1Tq}^\perp \otimes D_q^h}{\sum_q e_q^2 \cdot q \otimes D_q^h}$$

note: $\Delta_T q$ also measured in SIDIS using
- "Two hadron" fragmentation function
- lambda Transverse Polarization

Also accessed in pp

Collins asymmetry- latest results on proton



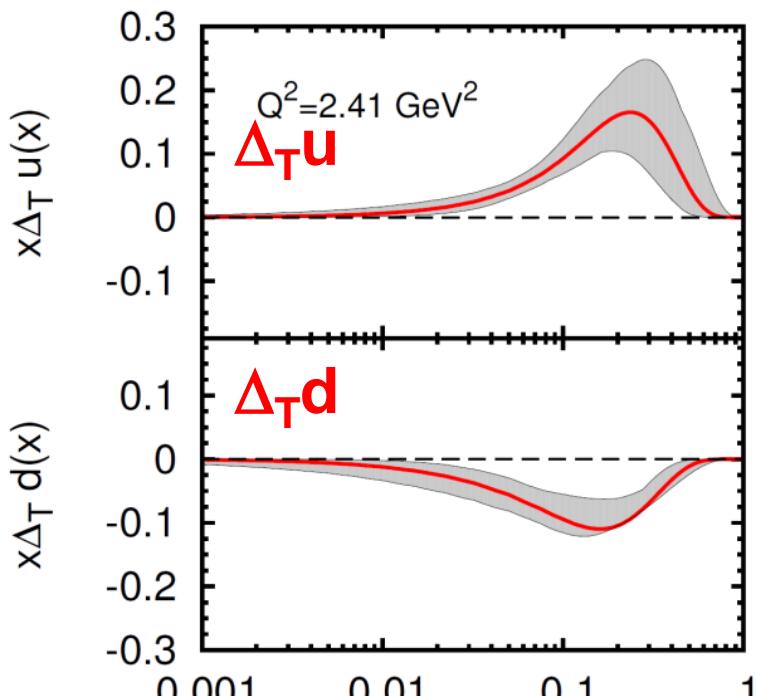
- Large signal with proton target. (Is zero with deuteron target)
- Same signal strength seen by HERMES and COMPASS, although different Q^2 , by factor of ~4. (New)

Transversity – from Collins Asymmetry

Several combined analyses of polarized SIDIS data

HERMES-proton, COMPASS-p and deuteron, and BELLE fragm.fct. data

Flavor separation



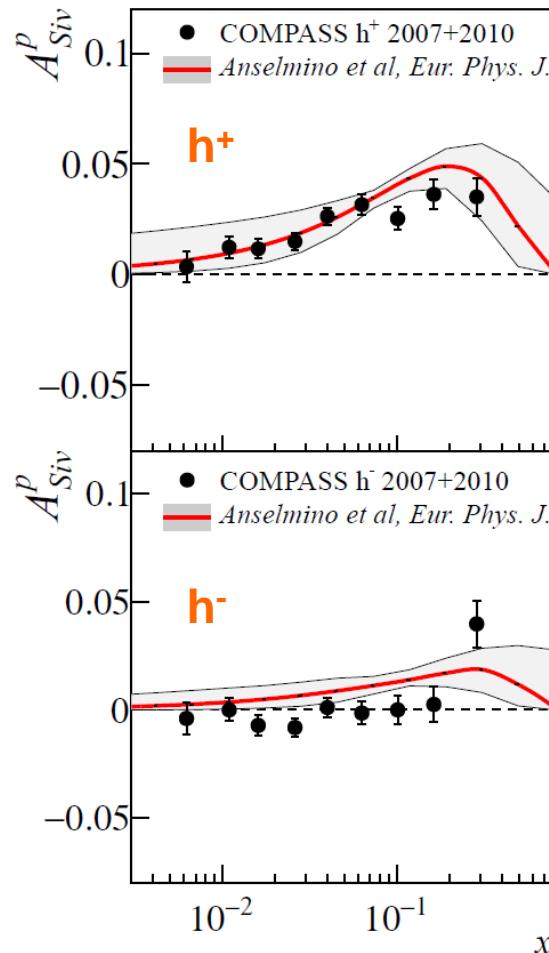
- Cloet, Bentz and Thomas PLB659 (08)
- Bacchetta, Conti, Radici, PRD(09)
- Anselmino et al.
- ...

- $\Delta_T u > 0$ and $\Delta_T d < 0$
- Do not saturate Soffer bound
- Smaller than helicity

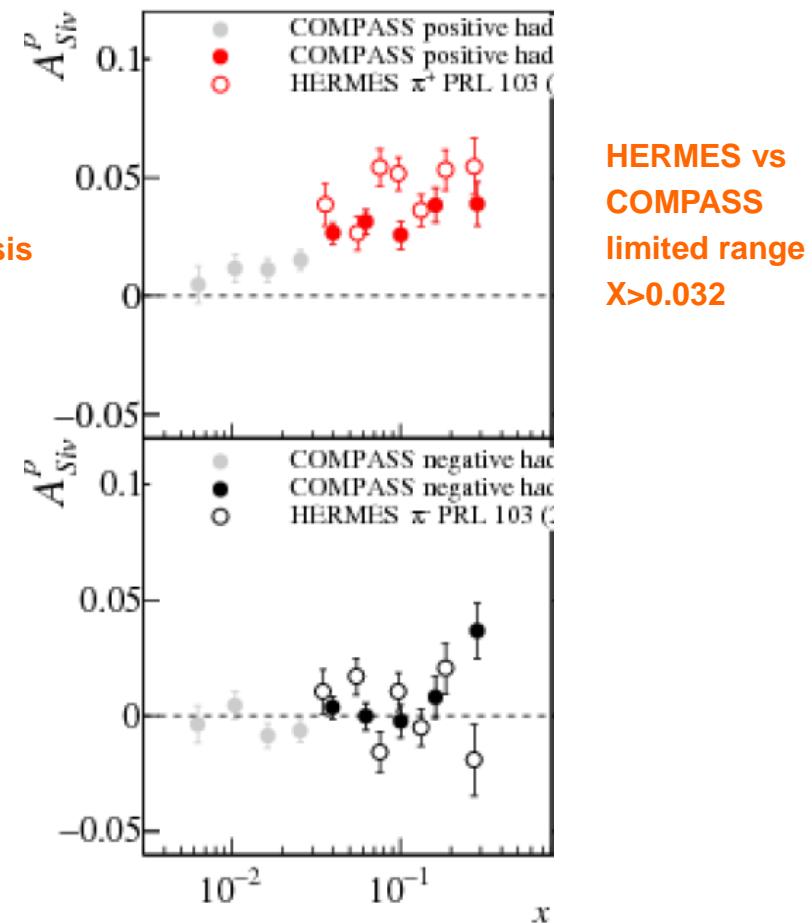
- Also extractions for sea quarks
- More data large/small x, with p, d, n targets needed to map z, Q², p_T dependence

Sivers asymmetry- latest result on proton

Correlation between Nucleon spin & quark transverse momentum k_T



COMPASS
With Anselmino
et al. global analysis



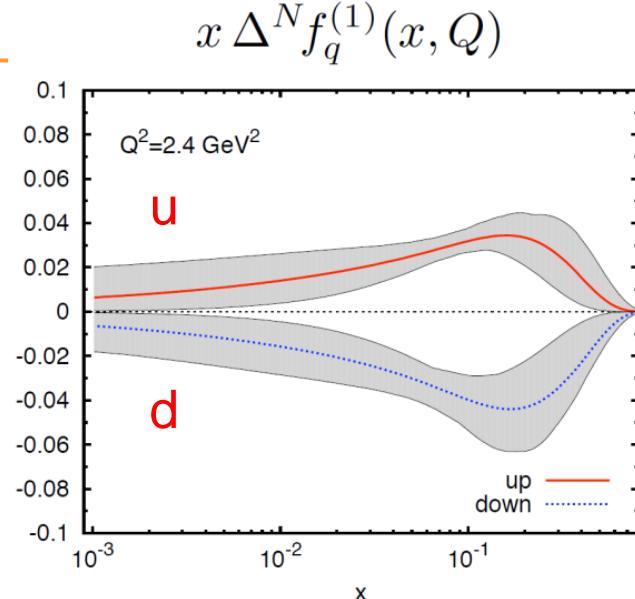
HERMES vs
COMPASS
limited range
 $x > 0.032$

Large signal with proton target and h^+
Was measured to be zero on deuteron
Smaller strength at larger Q^2 (COMPASS).

Sivers function – extraction

using HERMES p
and COMPASS p, d.

→ u and d quark Sivers function opposite



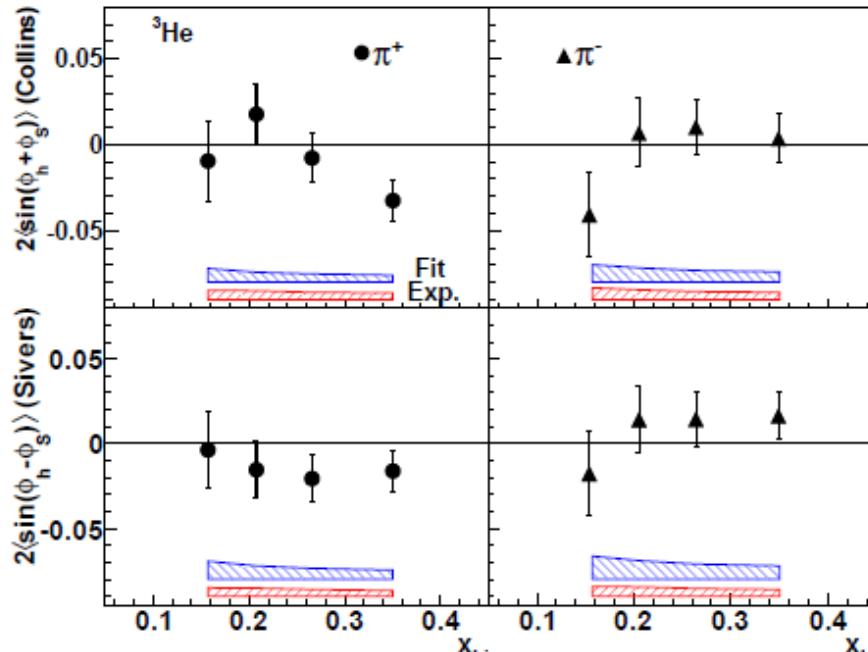
M.Anselmino et al., QNP2012

- Much progress on Sivers (and all TMDs) from polarized SIDIS data
- Still more statistics needed to separate all variables: x, z, p_T, Q^2
- Future:
Polarized Drell-Yan (COMPASS), where Sivers effect is expected, but with opposite sign → test of factorization approach

Collins and Sivers asym. on neutron - JLab

Jlab Hall A, E06-010

$$^3\text{He}^\uparrow(e, e'h), h = \pi^+, \pi^-$$



X. Qian *et al.*, PRL 107:072003(2011)

^3He Collins SSA small,
non-zero at highest x for π^+

^3He Sivers SSA:
negative for π^+ ,

Conclusions

Gluon contribution to nucleon spin

Direct measurements point to zero or small contribution. Strong constraint on fits from RHIC. Only $0.05 < x < 0.2$ probed. Need lower x data.

Quark contribution to nucleon spin

Extraction for all flavours from SIDIS, down to $x \sim 0.004$.

$\Delta\bar{u}$ and $\Delta\bar{d}$ also from W production

Towards agreement with Lattice QCD calculation for $\Delta\Sigma$

$\Delta s(x) \sim 0$ from SIDIS in measured region, and $\int \Delta s < 0$ from DIS:
more data and strange quark fragmentation functions expected soon.

Transversity and TMDs

Precise results on Collins and Sivers

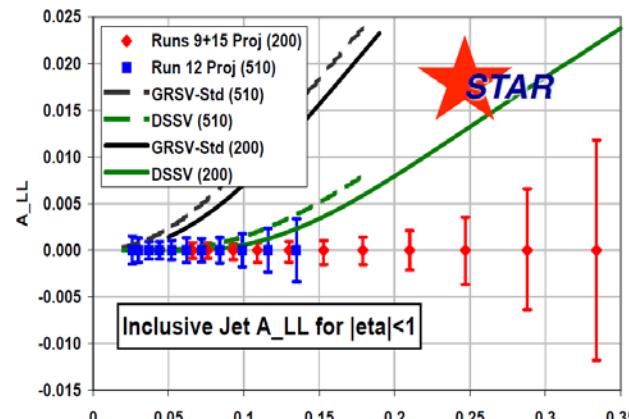
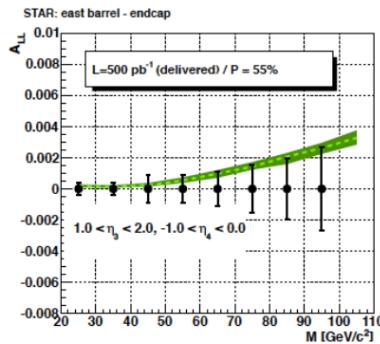
Much progress on all azimuthal asymmetries for TMDs (not shown)

**Exciting future programs in preparation at RHIC,
COMPASS-II & Jlab-12GeV**

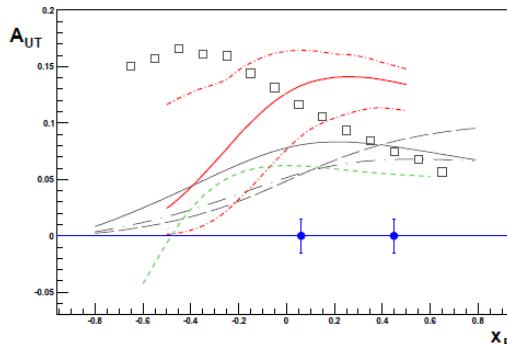
A glance at future prospects

**RHIC projections for 2012+2013 data (and more expected in 2015):
Spin asymmetries A_{LL} for ΔG ;**

[p+p → jet+jet+X at 500 GeV](#)



**COMPASS 2015 Polarized Drell-Yan
 $\pi p^\uparrow \rightarrow \mu^+ \mu^- X$ test sign change of Sivers**



COMPASS 2016-2017:

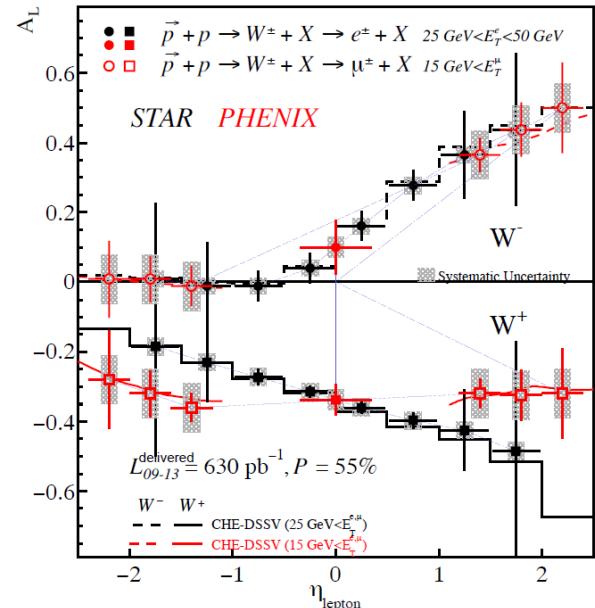
- DVCS
- Polarized SIDIS (TMDs)

+ JLab12 polarized SIDIS, and later EIC...

W for Δu and Δd

arXiv:1304.0079

(pseudo-data randomized around DSSV)



spares

Quark spin contribution $\Delta\Sigma$ from QCD fits

COMPASS $\Delta\Sigma = 0.30 \pm 0.01$ (stat) ± 0.02 (evol)

fit to $g_1^{p,n,d}$ world data, \overline{MS} scheme, $Q^2=3$ (GeV/c) 2 *PLB 647 (2007) 8*

$\Delta s + \Delta \bar{s} = -0.08 \pm 0.01$ (stat) ± 0.02 (evol) COMPASS data only

HERMES $\Delta\Sigma = 0.33 \pm 0.011$ (stat) ± 0.025 (theo) ± 0.028 (evol)

HERMES g_1^d data, \overline{MS} scheme, $Q^2=5$ (GeV/c) 2 , neglecting $x < 0.02$ contrib., *PRD 75 (2007) 012007*

$\Delta s + \Delta \bar{s} = -0.085 \pm 0.013$ (th) ± 0.008 (exp) ± 0.009 (evol)

DSSV

$\Delta\Sigma = 0.24$

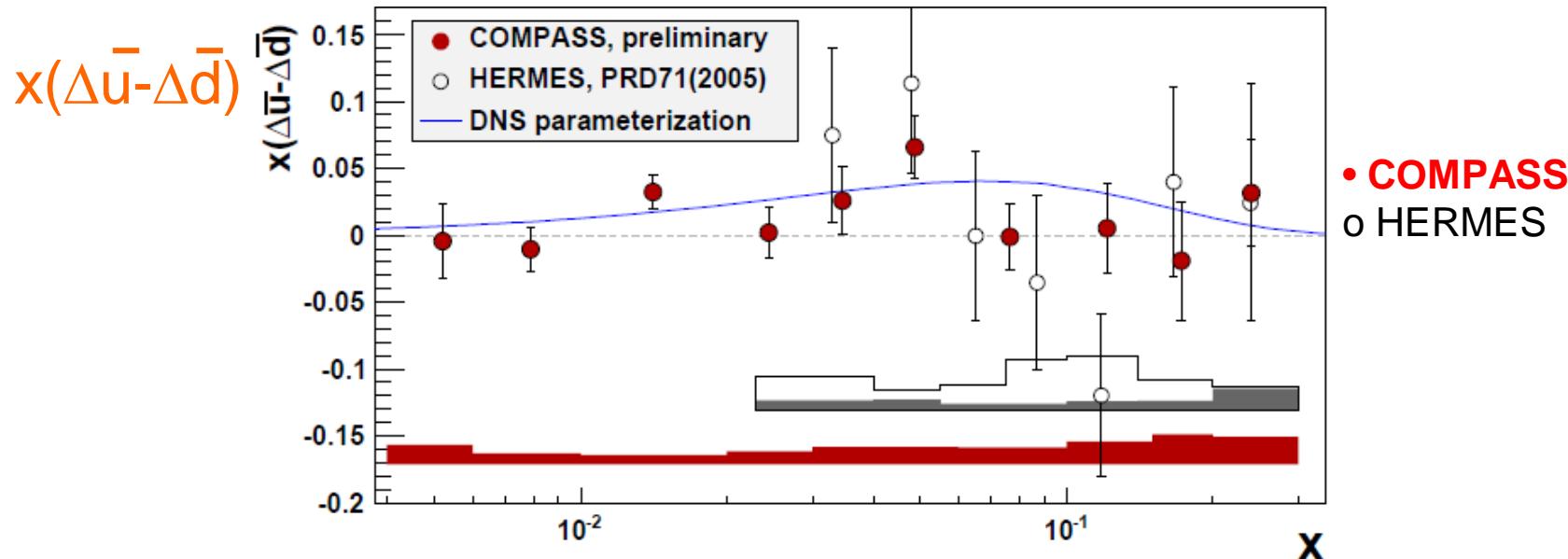
$Q^2=10$ (GeV/c) 2 *arXiv:0804.0422*

LSS '10

$\left\{ \begin{array}{ll} \Delta\Sigma = 0.25 \pm 0.04 & \Delta G \text{ with node} \\ \Delta\Sigma = 0.21 \pm 0.03 & \Delta G > 0 \end{array} \right.$ $Q^2=10$ (GeV/c) 2 ,

Light sea quark polarized distributions

From polarized SIDIS measurements



$$\int_{0.004}^{0.3} (\Delta\bar{u} - \Delta\bar{d}) dx = 0.052 \pm 0.035(\text{stat.}) \pm 0.013(\text{syst.})$$

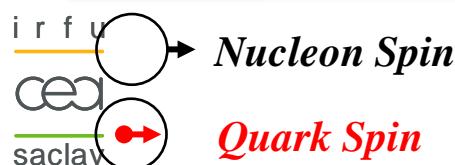
Slightly positive, compatible with zero.

Recall value for unpolarized case: $\int (\bar{d} - \bar{u}) dx = 0.118 \pm 0.012$

More results to come from RHIC

TMD PDFs

| | | Quark polarization | | |
|----------------------|---|--|---|---|
| | | Unpolarized (U) | Longitudinally Polarized (L) | Transversely Polarized (T) |
| Nucleon Polarization | U | $f_1 = \bullet$ | | $h_1^\perp = \bullet - \bullet$ Boer-Mulders |
| | L | | $g_1 = \bullet \rightarrow - \bullet \rightarrow$ Helicity | $h_{1L}^\perp = \bullet \rightarrow - \bullet \rightarrow$ Worm Gear |
| | T | $f_{1T}^\perp = \bullet \uparrow - \bullet \downarrow$ Sivers | $g_{1T} = \bullet \uparrow - \bullet \uparrow$ Worm Gear | $h_1 = \bullet \uparrow - \bullet \uparrow$ Transversity $h_{1T}^\perp = \bullet \uparrow - \bullet \uparrow$ Pretzelosity |

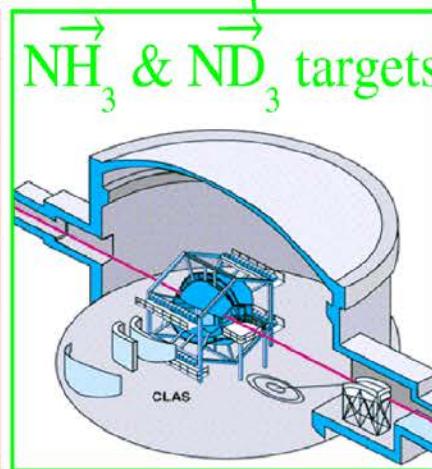
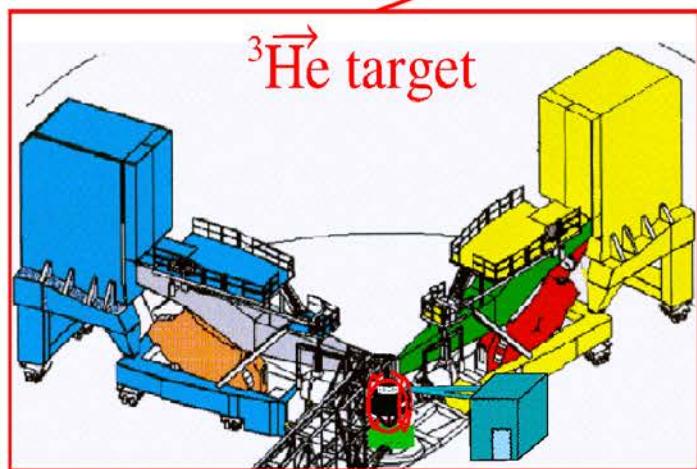

 Nucleon Spin
 Quark Spin

Jlab experimental halls

6 GeV polarized
CW electron beam
Pol=85%, 200 μ A



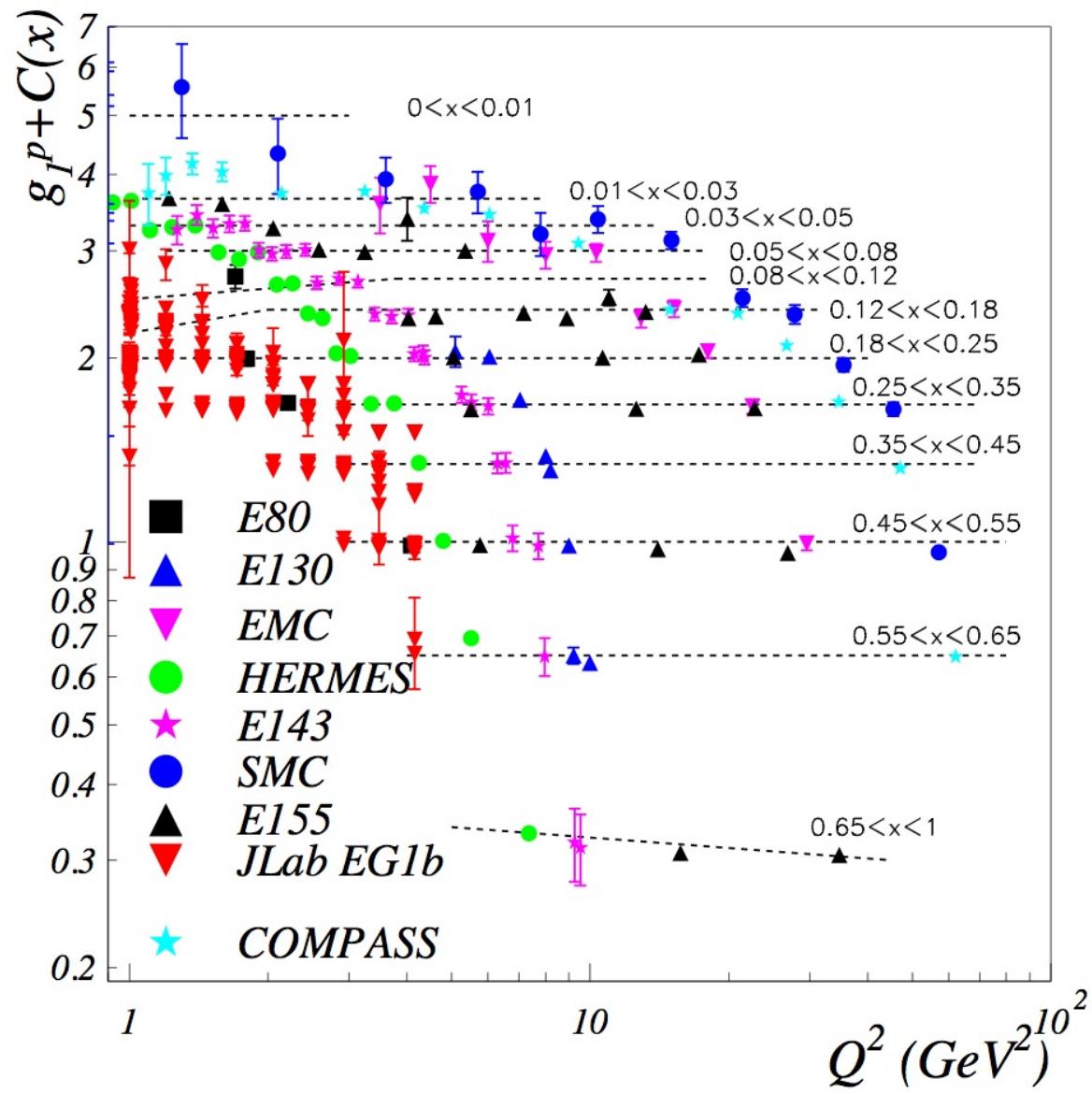
Will be upgraded to
12 GeV by ~2014



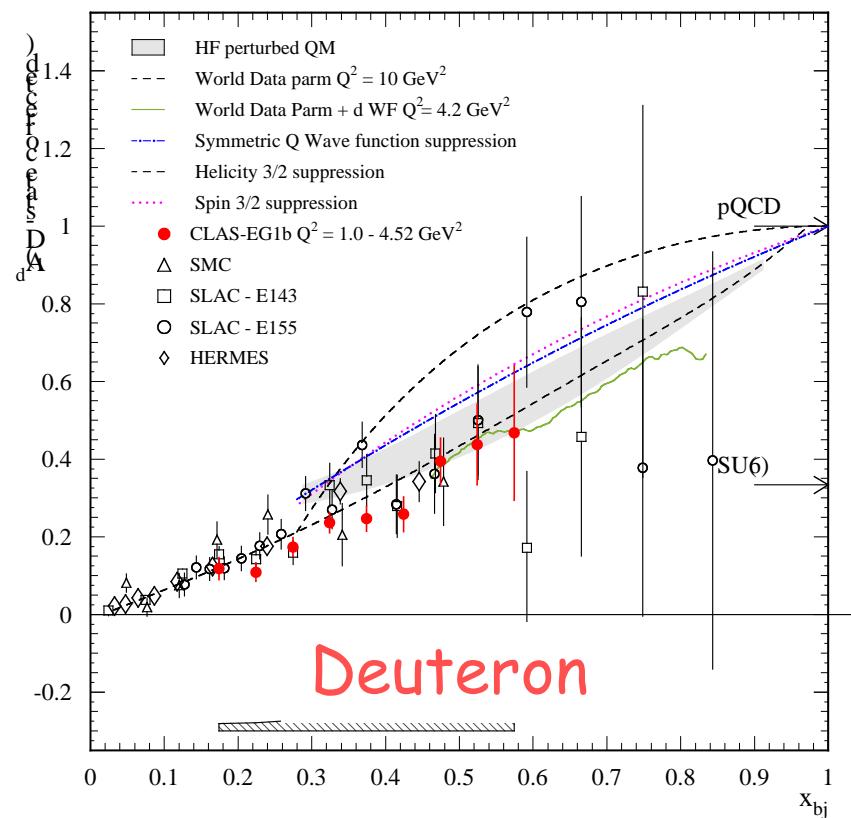
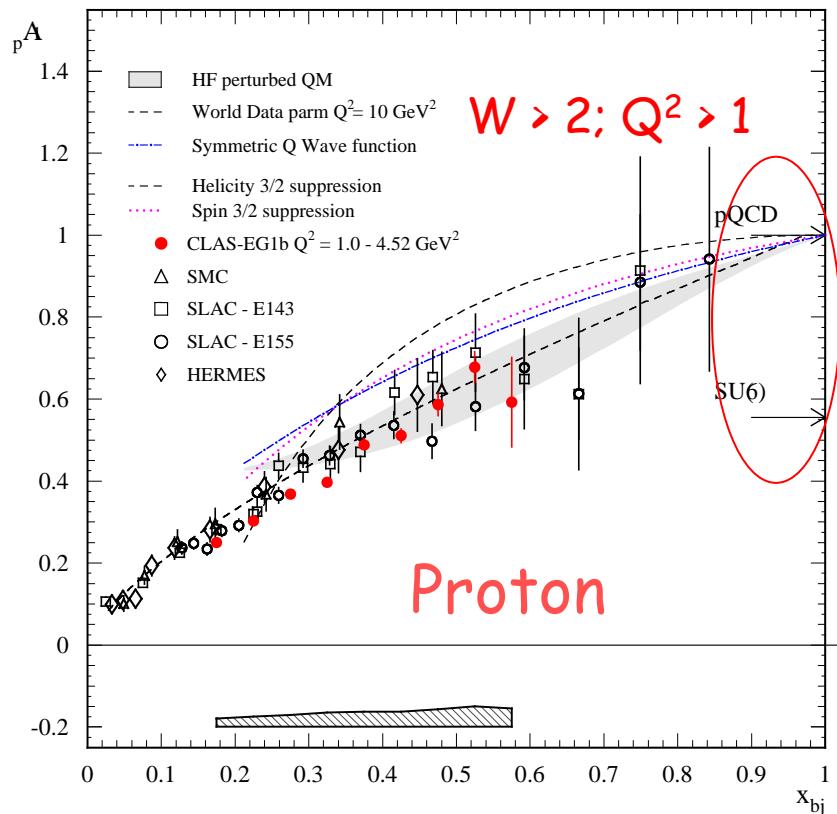
Jlab CLAS- $g_1(x, Q^2)$ for the proton

Jlab/ CLAS - EG1
5.7 GeV e-
Polarized NH₃
(and ND₃) targets

Data included
in LSS fit



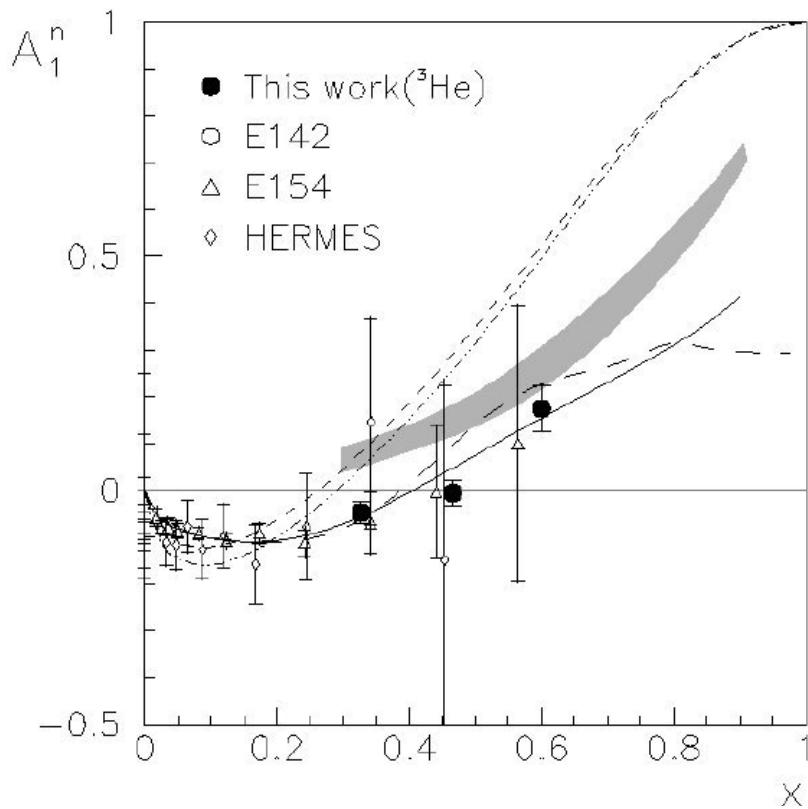
Jlab – CLAS A_1^p A_1^d



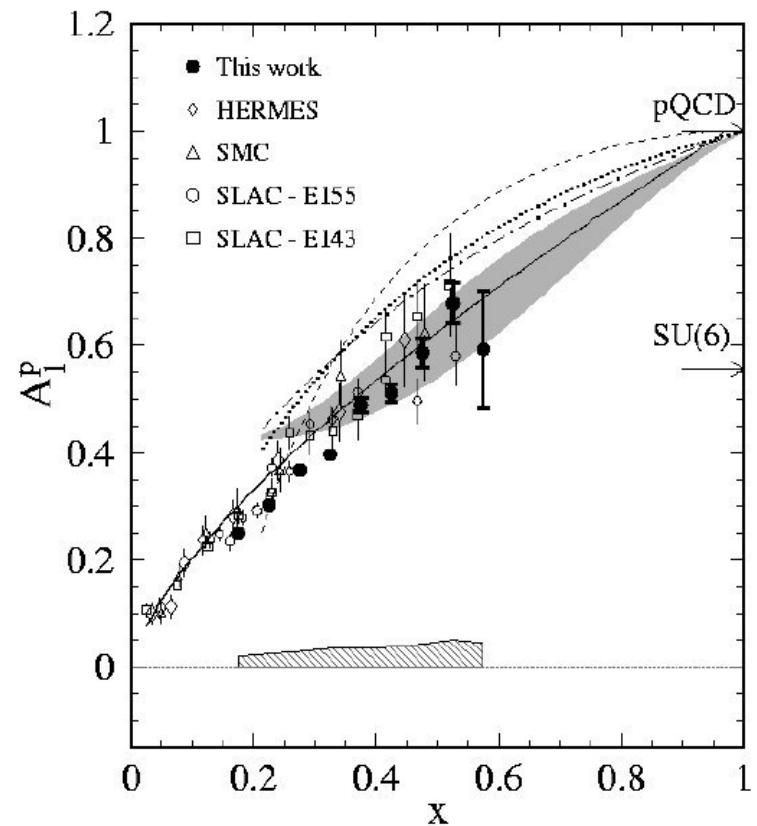
Jlab – A_1^n A_1^p

$W > 2$; $Q^2 > 1$

Neutron



Proton



JLAB, Hall A, PRL 92 (2004) 012004 and JLAB CLAS, PL B 641 (2006)

A_1^n at $x \rightarrow 1$, SU(6) symmetry breaking?

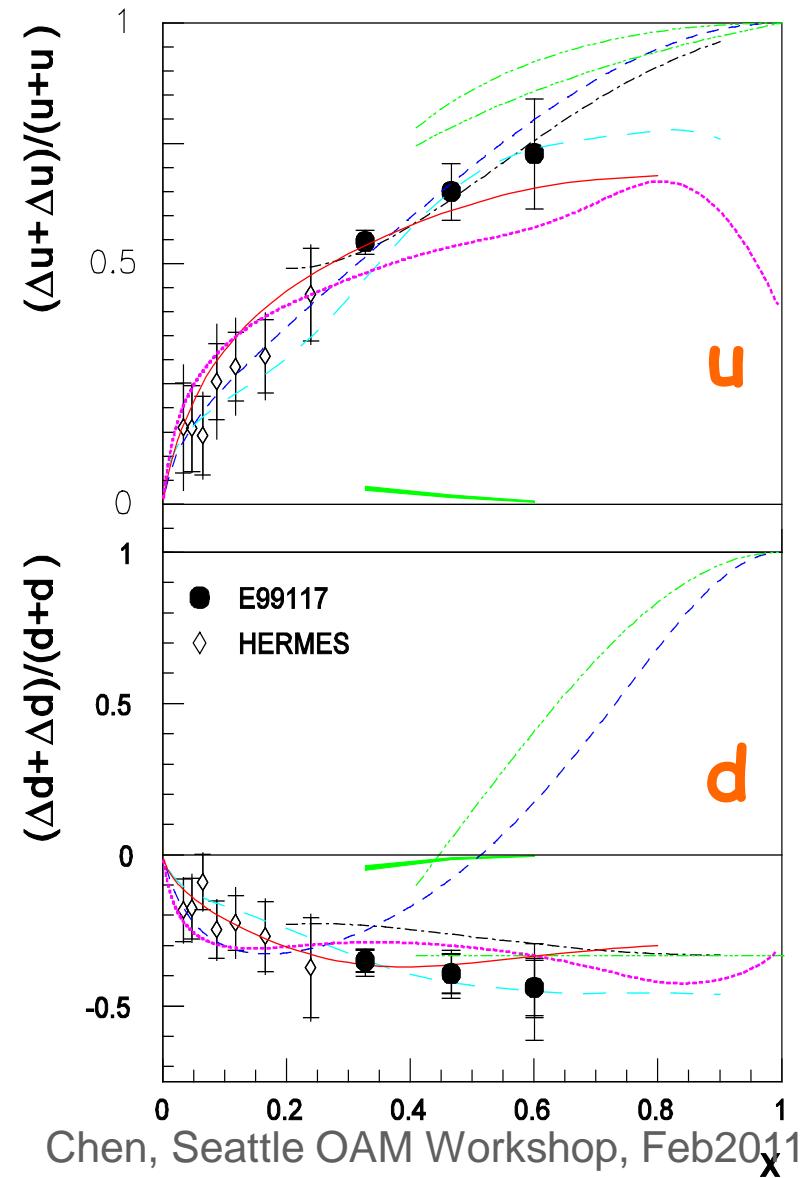
Polarized Quark Distributions- Valence sector

From A_1^n and A_1^P results
u quark spin as expected

d quark spin stays negative

- Disagree with pQCD model calculations assuming HHC (hadron helicity conservation)
- Quark orbital angular momentum

Consistent with valence quark models and pQCD PDF fits without HHC constraint



Chen, Seattle OAM Workshop, Feb2011