

$\Delta G/G$ results from the Open-Charm production at COMPASS

CHARM2012 – Honolulu



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on behalf of the COMPASS collaboration

Nucleon spin structure

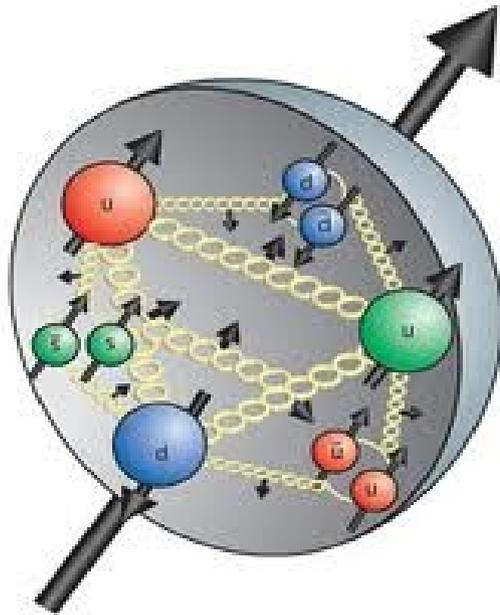
- Nucleon spin \rightarrow

$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + \Delta G + L$$

quarks

gluons

orbital angular momentum (quarks/gluons)



- Assuming the static quark model wave function:

$$|p \uparrow\rangle = \frac{1}{\sqrt{18}} \left[2|u \uparrow u \uparrow d \downarrow\rangle - |u \uparrow u \downarrow d \uparrow\rangle - |u \downarrow u \uparrow d \uparrow\rangle + (u \leftrightarrow d) \right]$$

$$\Delta u = \langle p \uparrow | N_{u \uparrow} - N_{u \downarrow} | p \uparrow \rangle = \frac{3}{18} (10 - 2) = \frac{4}{3}$$

$$\Delta d = \langle p \uparrow | N_{d \uparrow} - N_{d \downarrow} | p \uparrow \rangle = \frac{3}{18} (2 - 4) = -\frac{1}{3}$$

- $\Delta \Sigma = (\Delta u + \Delta d) = 1$

Up and Down quarks carry all the nucleon spin

Spin crisis

- However, **by applying relativistic corrections** (*and assuming SU(3) symmetry*):

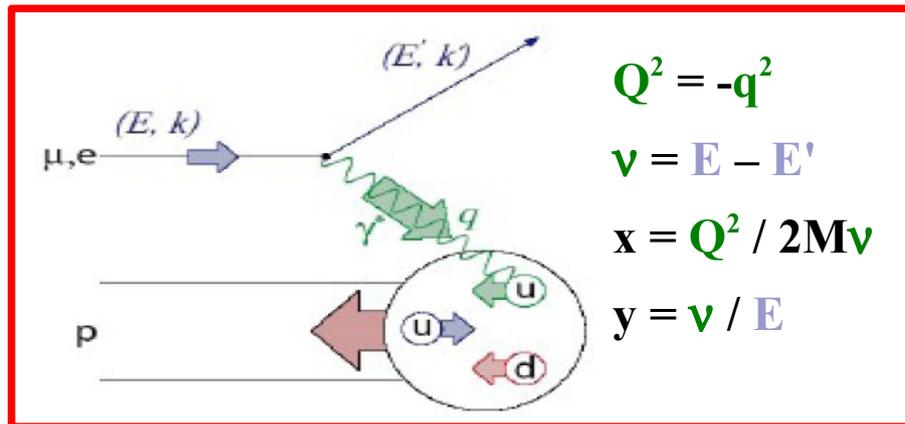
$$\Delta\Sigma \sim 0.60$$

- Where is the remaining part of the nucleon spin? ($\Delta G ? L_{q(g)} ?$)
 - Glucos solved the problem of the missing momentum in the nucleon:

- Will they be the solution too for this missing spin? \Rightarrow **Measure $\Delta G!$**

- **Experimental $\Delta\Sigma$** (from polarised DIS):

Phys. Lett. B447, (2007) 8



$$\Delta\Sigma = 0.30 \pm 0.01 \pm 0.02 \quad (\text{world data})$$

$$@ Q^2 = 3 \text{ (GeV/c)}^2$$

Much smaller than expected...



SPIN CRISIS!!!

- Another reason for measuring the gluon contribution to the nucleon spin:
 - Due to the gluon axial anomaly, a large ΔG could explain why $\Delta\Sigma$ was found so small

The COMPASS Experiment

Common Muon and Proton Apparatus for Structure and Spectroscopy

250 physicists
25 institutes
10 countries + CERN



LHC



Taking data since 2002

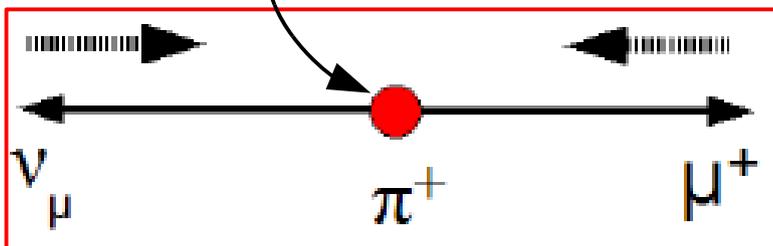
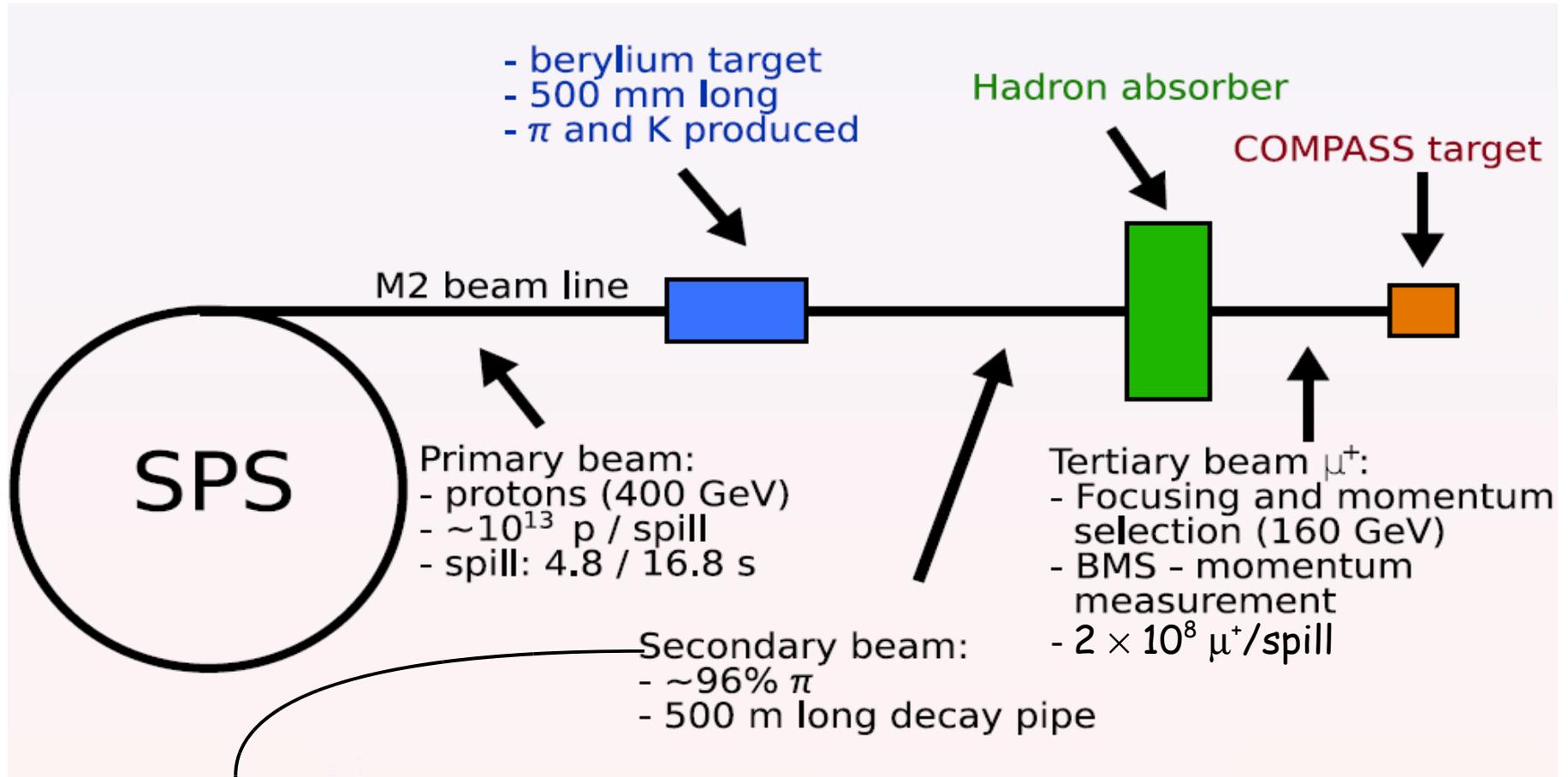
μ^+



SPS

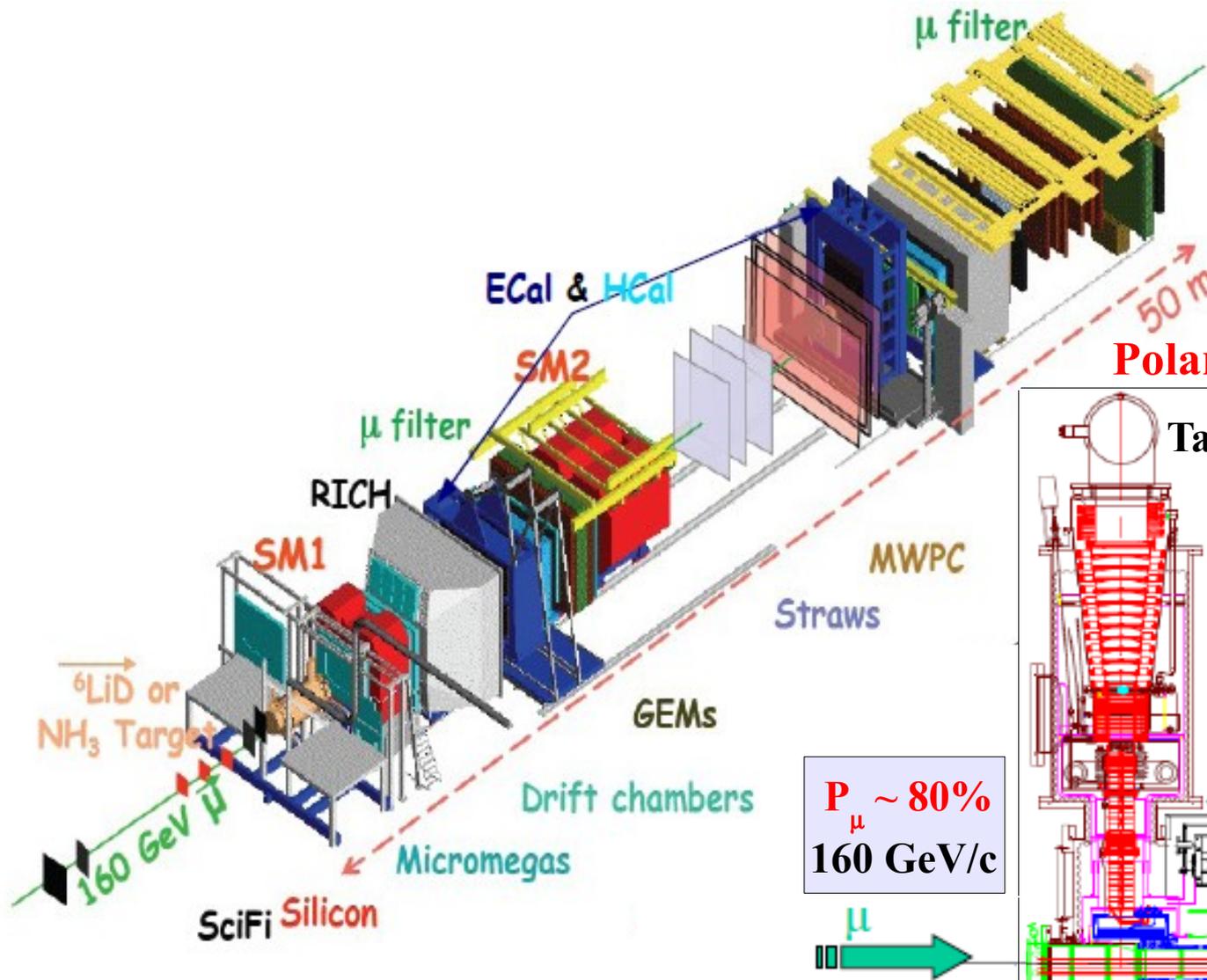


The polarised beam

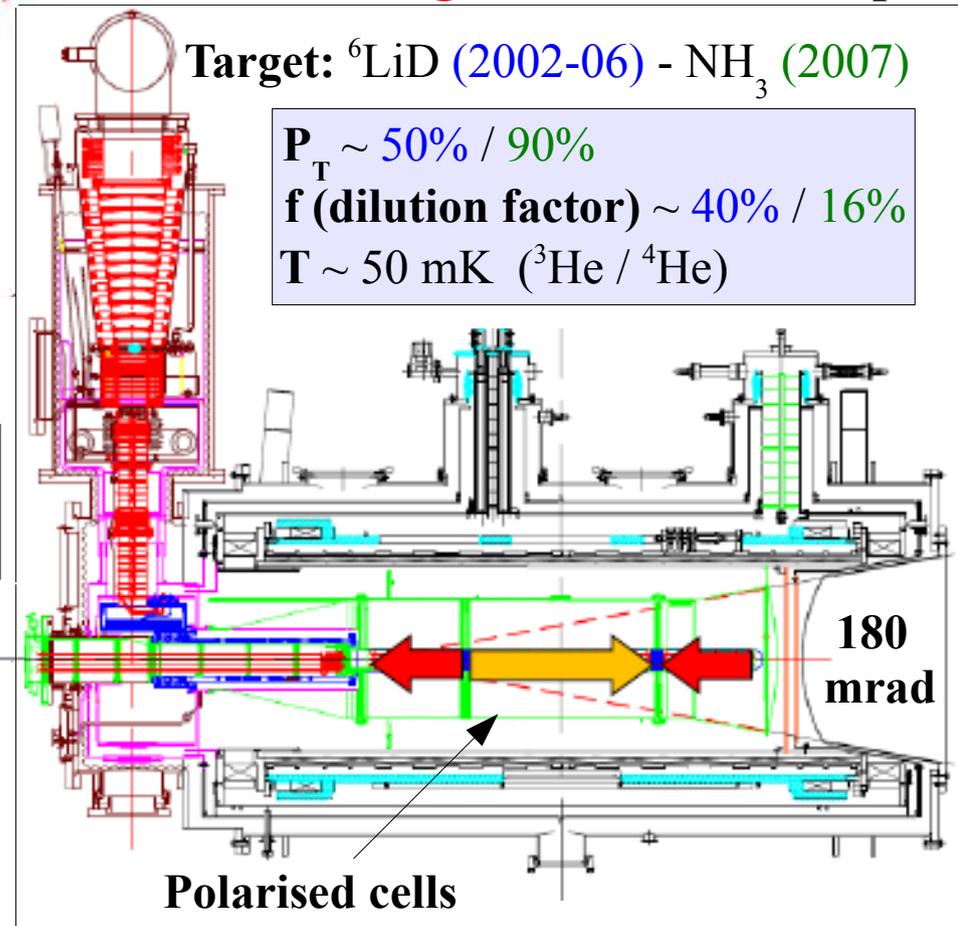


Naturally polarised muon beam: $P_\mu \sim 80\%$

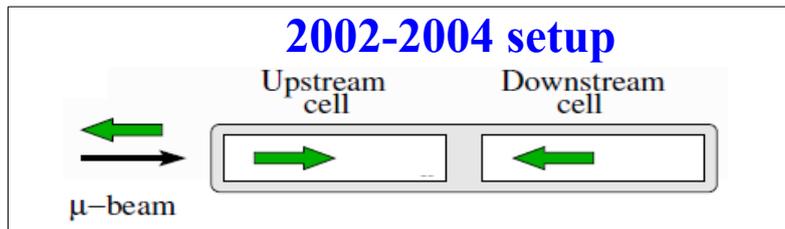
The spectrometer and polarised target



Polarised Target: 2006-2007 setup



$P_\mu \sim 80\%$
160 GeV/c

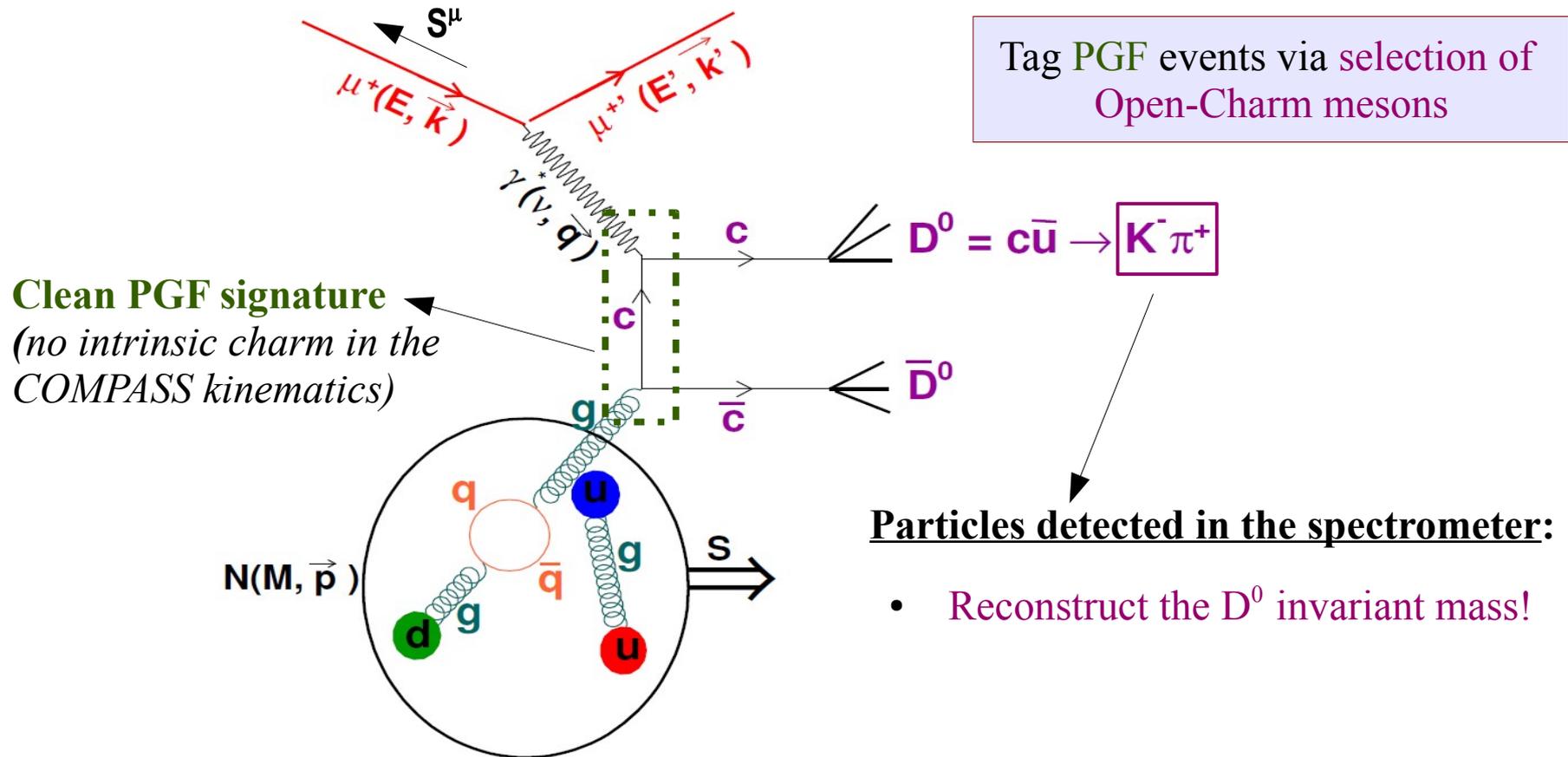


The Open-Charm analysis

How to tag a polarised gluon?

- In COMPASS, we can probe directly the gluons using the following interaction:

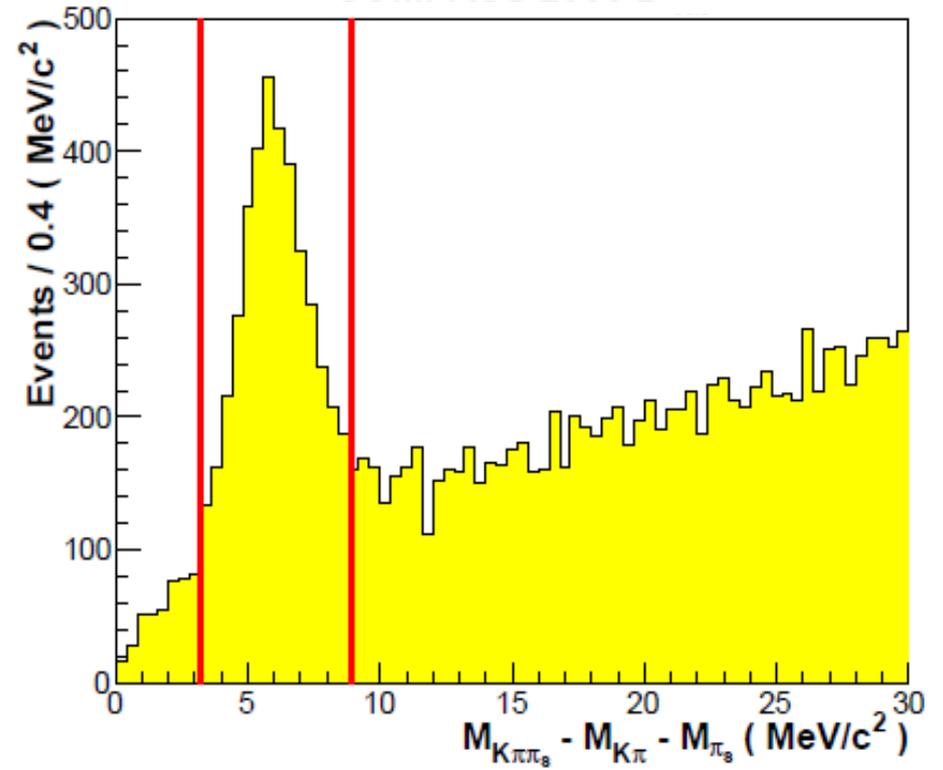
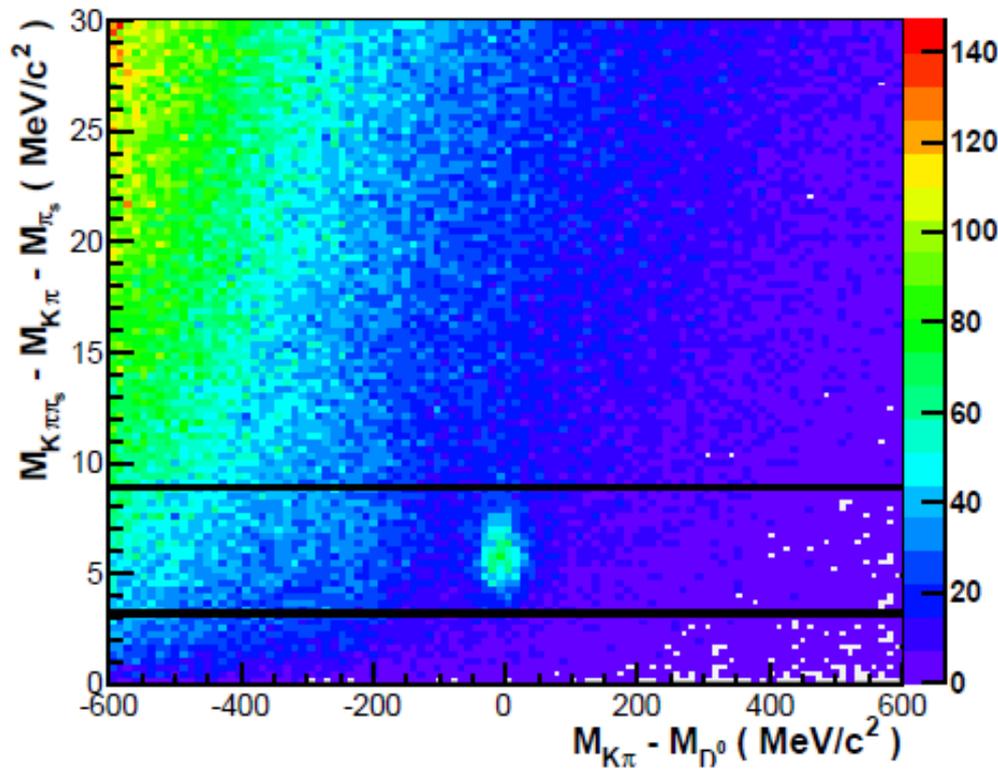
The photon-gluon fusion process (*LO-PGF*)



Reconstruction of Open-Charm mesons

- **Events considered** (resulting from the c -quarks fragmentation):
 - $D^0 \rightarrow K\pi$ (BR: 4%)
 - $D^* \rightarrow D^0\pi_{\text{slow}}$ (30% of D^0 are tagged with a D^*)
 - $D^0 \rightarrow K\pi$
 - $D^0 \rightarrow K\pi\pi^0$ (BR: 13%) \rightarrow **not directly reconstructed**
 - $D^0 \rightarrow K\pi\pi\pi$ (BR: 7.5%)
 - $D^0 \rightarrow K_{\text{sub}}\pi$ \longrightarrow **no RICH ID for kaons** ($p(K) < 9 \text{ GeV}/c$)
- **Selection to reduce the combinatorial background:**
 - **Kinematic cuts:** Z_{D^0} ($= E_{D^0} / E_{\gamma^*}$) and polar angle of kaon in the D^0 center-of-mass (to reject collinear events with the γ^* direction), K and π momentum
 - **RICH identification:** K and π ID + rejection of electrons from the π_{slow} sample
 - Mass cut for the D^* tagged channels ($M^{\text{rec}}[K\pi\pi_{\text{slow}}] - M^{\text{rec}}[K\pi] - M[\pi]$)
 - Use of a Neural Network to improve the purity of the D^0 mass spectra

The mass cut for the D^* tagged channels

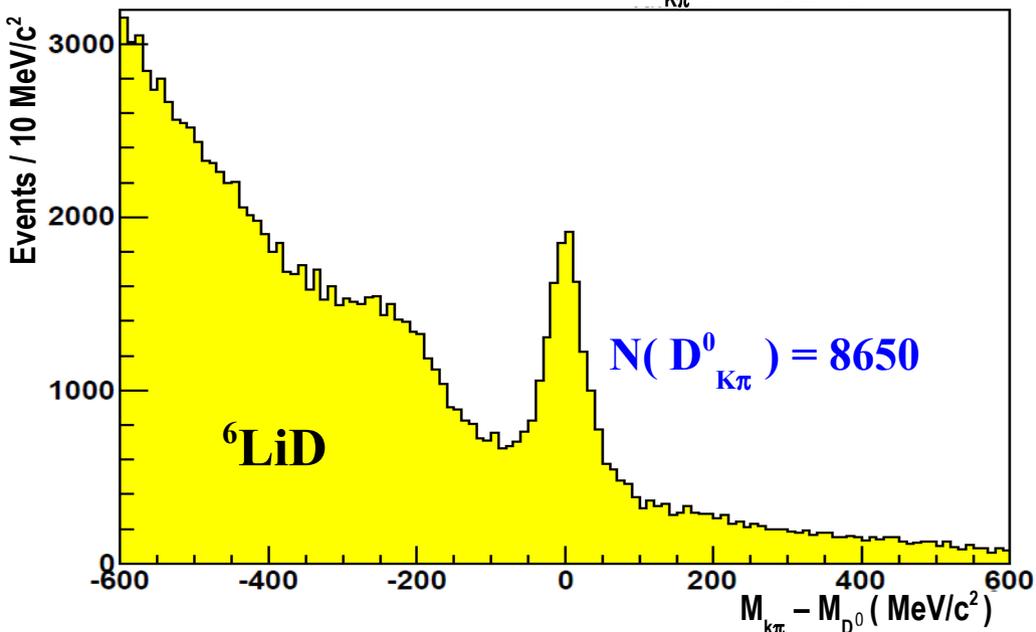


$$3.2 \text{ MeV}/c^2 < (M^{\text{rec}}[\text{K}\pi\pi_{\text{slow}}] - M^{\text{rec}}[\text{K}\pi] - M[\pi]) < 8.9 \text{ MeV}/c^2$$

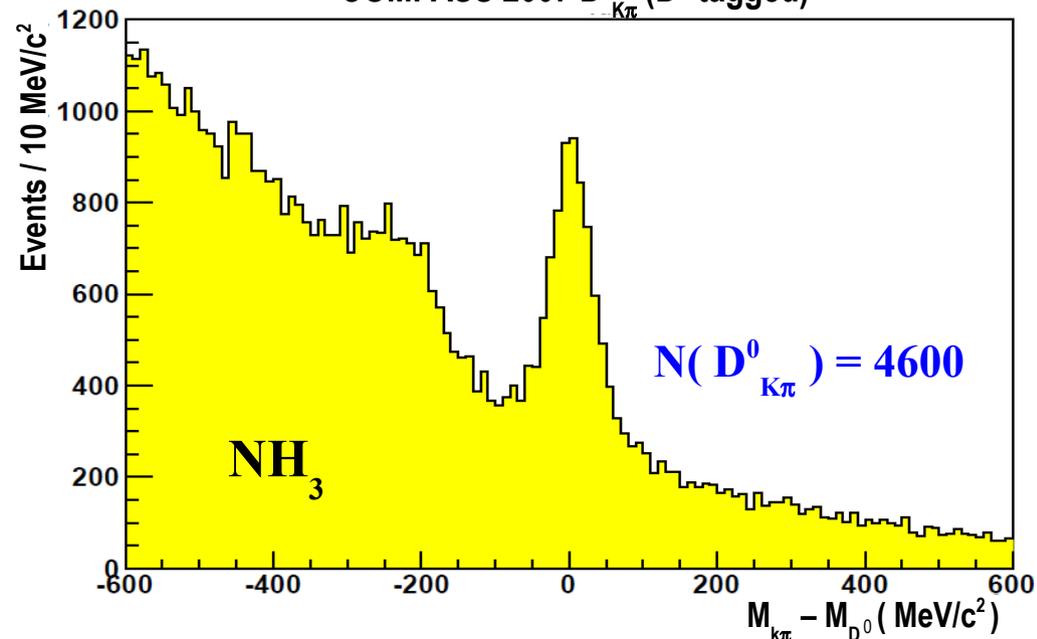
- Improves the Figure-of-Merit ($\text{FOM} = N_{D^0}^2 / N_{\text{tot}}$) of the $D^0_{K\pi}$ resonance by a factor of 3!
- Allows us to reconstruct low-purity channels of low statistics: $D^0_{K\pi\pi^0}$, $D^0_{K\pi\pi\pi}$ and $D^0_{K_{\text{sub}}\pi}$

Invariant mass spectrum: $D^0_{K\pi}$ (D^* tagged and untagged channels)

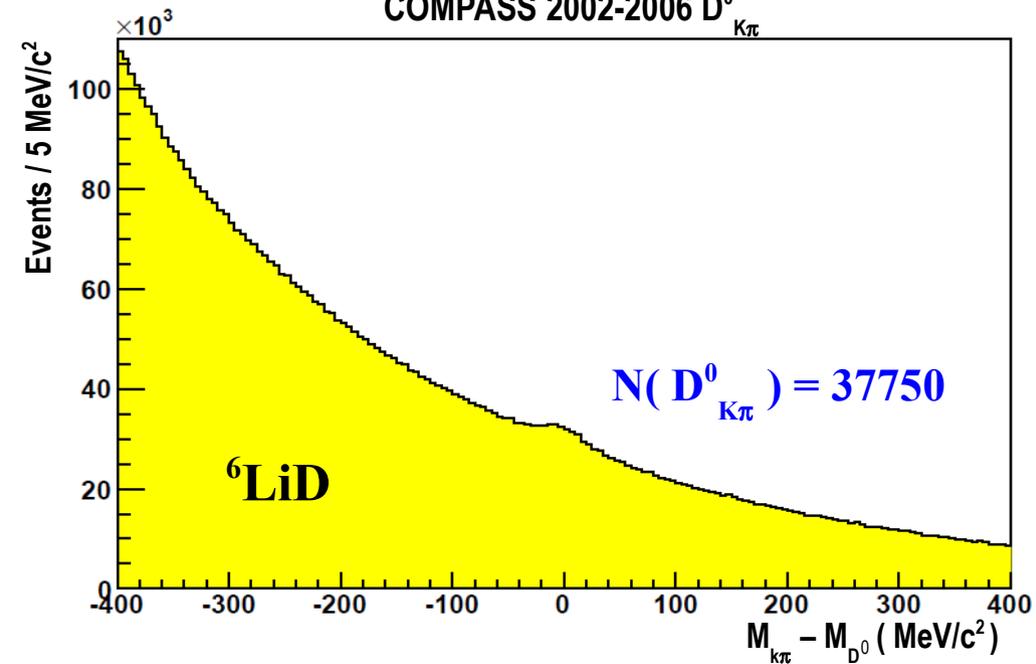
COMPASS 2002-2006 $D^0_{K\pi}$ (D^* tagged)



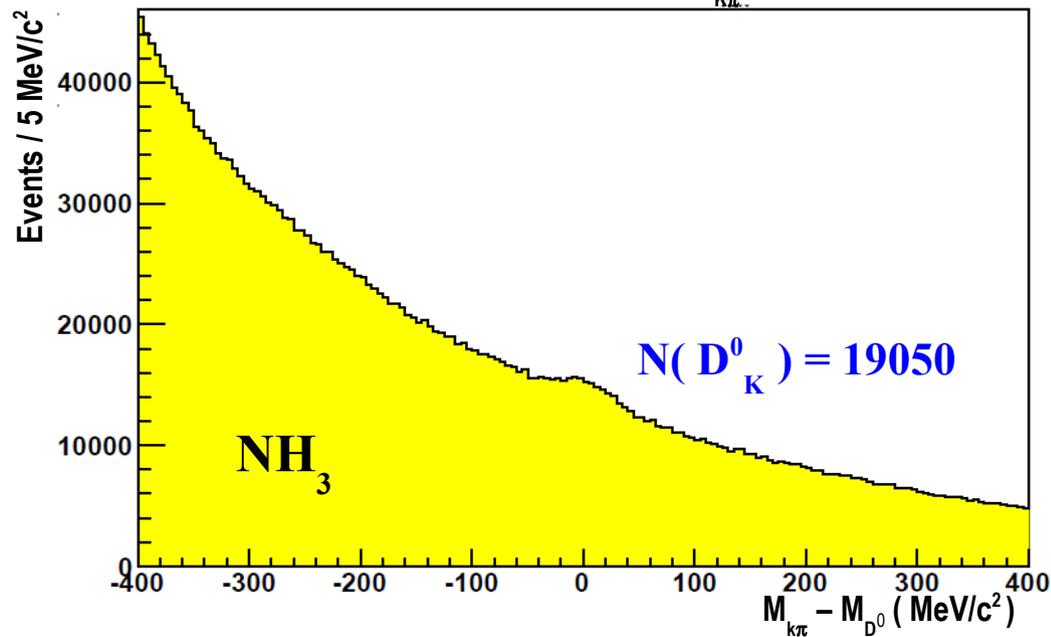
COMPASS 2007 $D^0_{K\pi}$ (D^* tagged)



COMPASS 2002-2006 $D^0_{K\pi}$

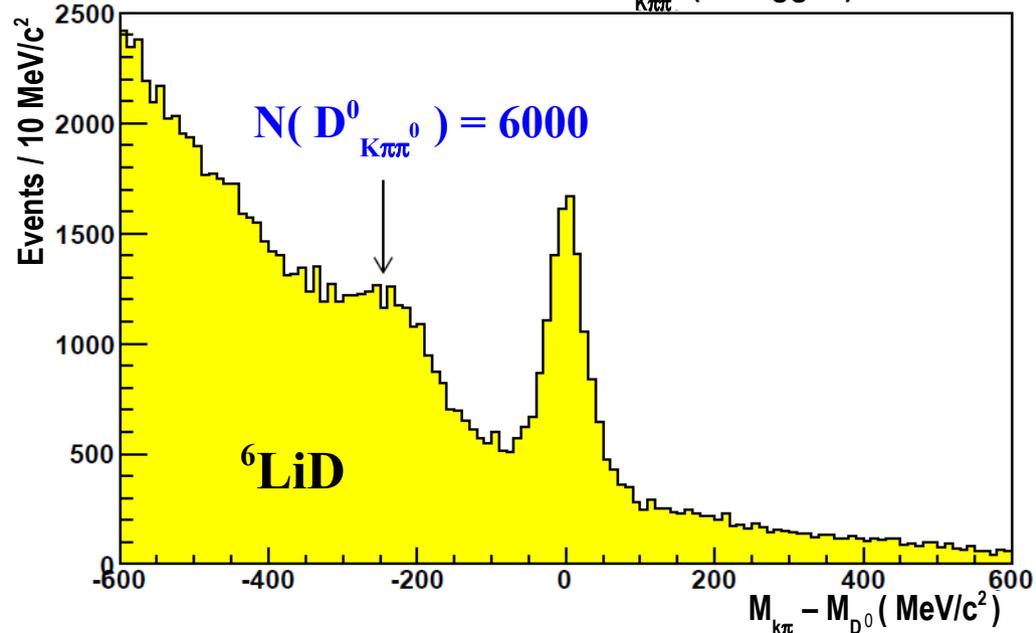


COMPASS 2007 $D^0_{K\pi}$

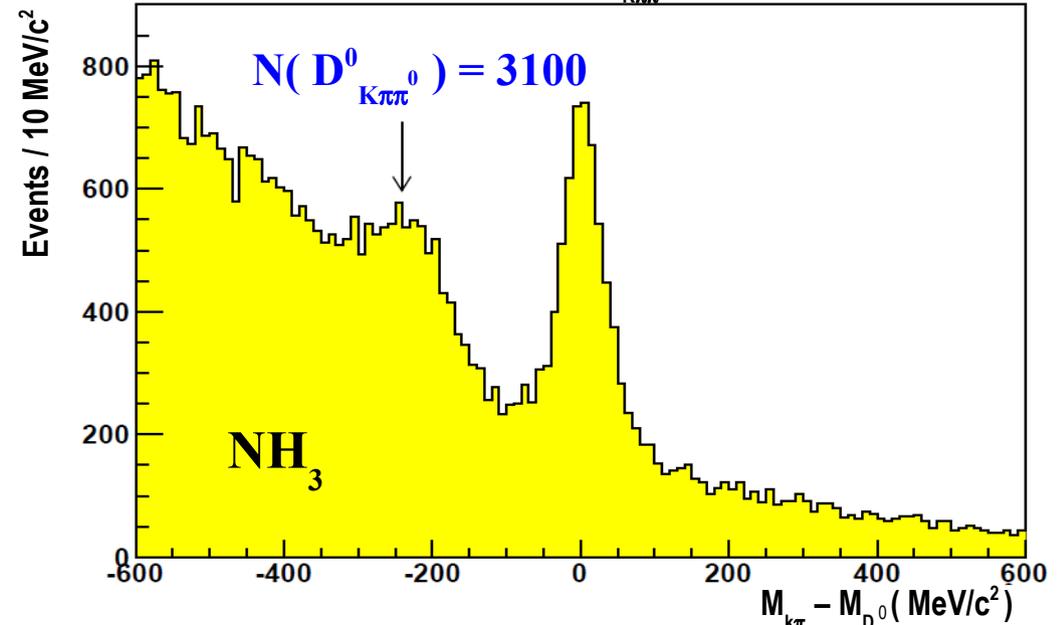


Invariant mass spectrum: $D^0_{K\pi\pi^0}$ and $D^0_{K_{sub}\pi}$ (D^* tagged channels)

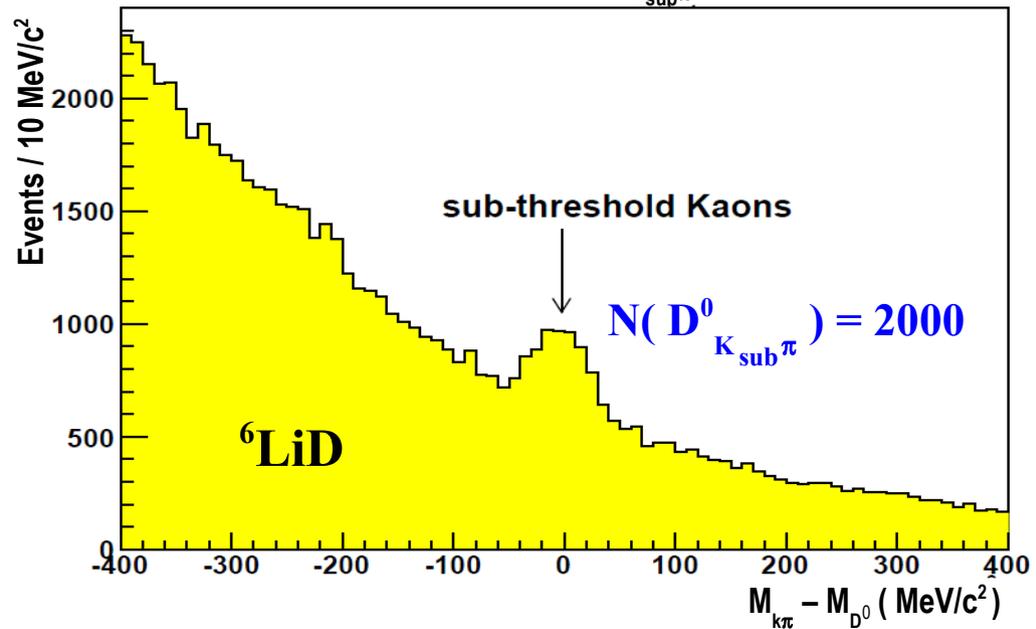
COMPASS 2002–2006 $D^0_{K\pi\pi^0}$ (D^* tagged)



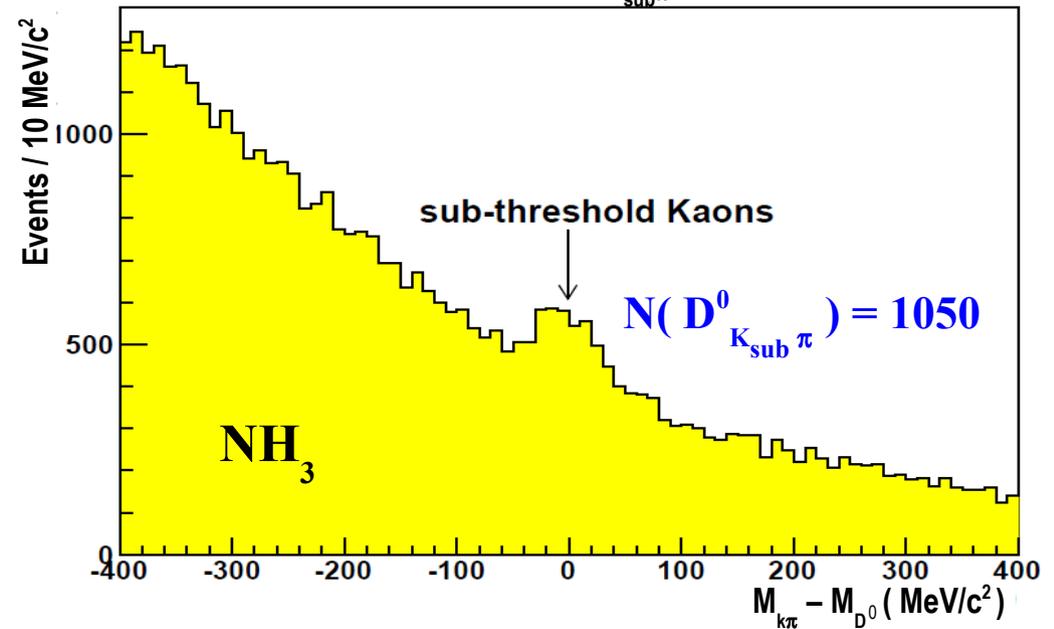
COMPASS 2007 $D^0_{K\pi\pi^0}$ (D^* tagged)



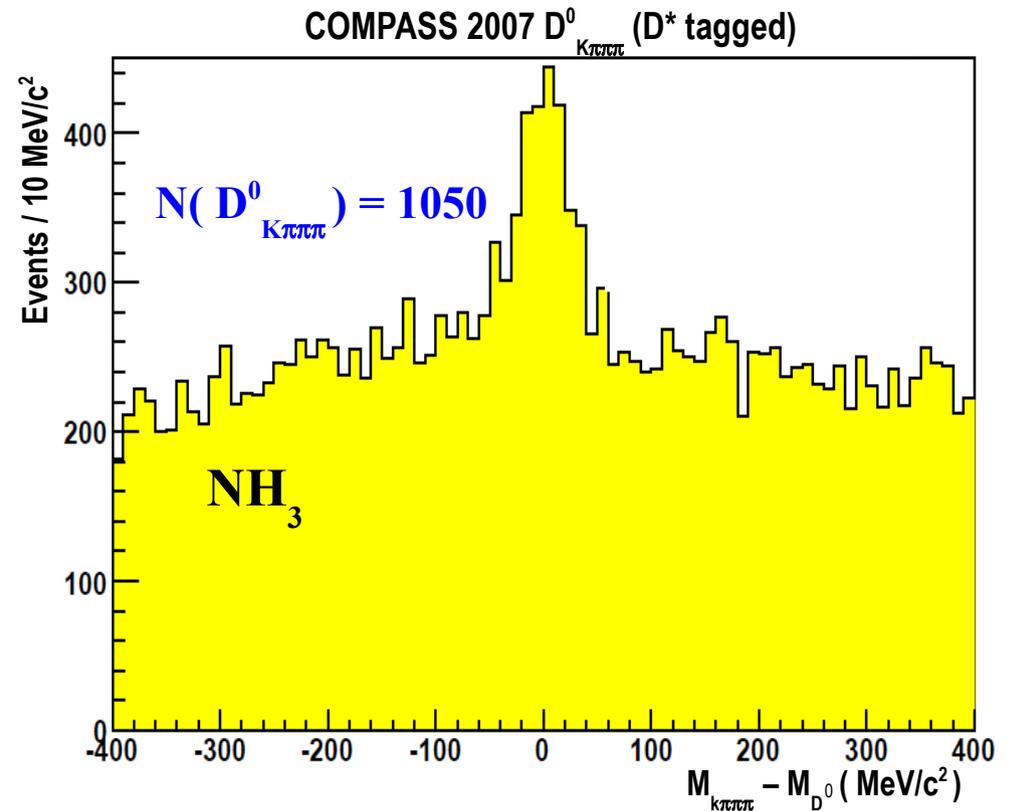
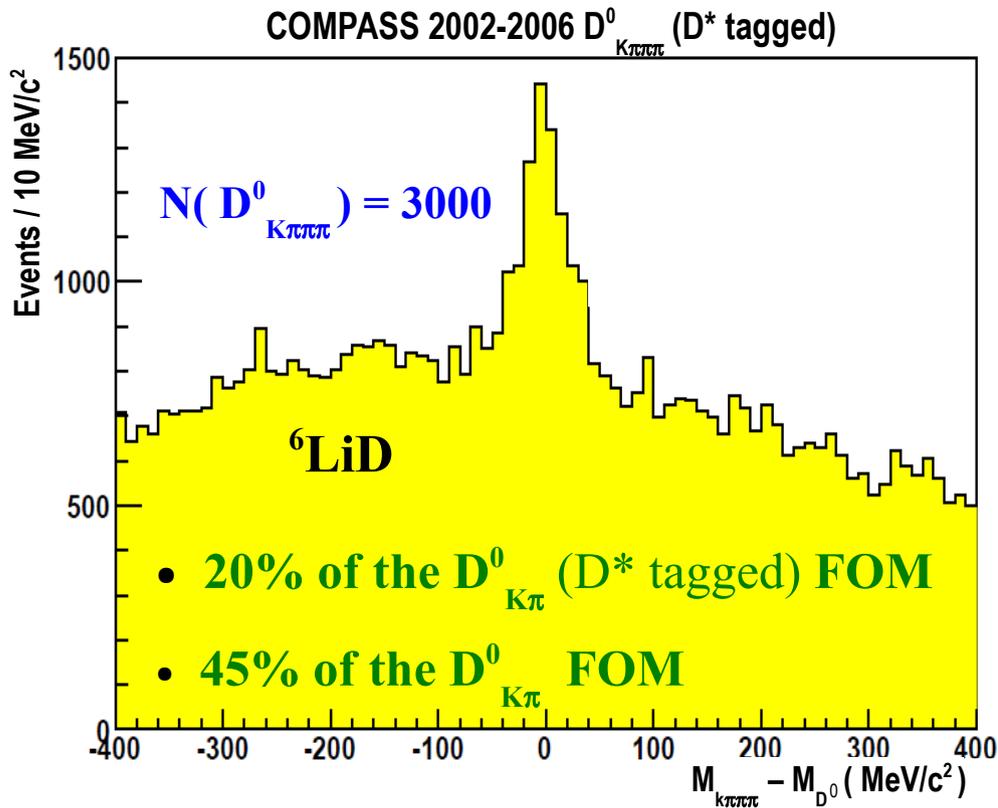
COMPASS 2002–2006 $D^0_{K_{sub}\pi}$ (D^* tagged)



COMPASS 2007 $D^0_{K_{sub}\pi}$ (D^* tagged)



Invariant mass spectrum: $D^0_{K\pi\pi\pi}$ (D^* tagged)



Total Number of D^0 :

- ${}^6\text{LiD} \rightarrow 57400$
 - $\text{NH}_3 \rightarrow 28850$
- 86250**

Measuring D^0 asymmetries to extract ΔG

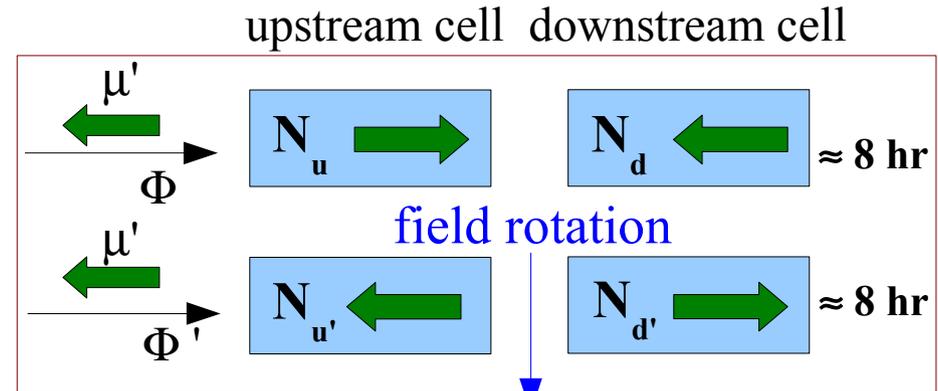
- The number of reconstructed D^0 inside each spin configuration of the target, N_t ($t = u, d, u', d'$), can be used to extract an Open-Charm asymmetry from the PGF interaction:

Considering $A^{bg} = 0$

$$A^{\text{exp}} = \frac{1}{2} \left(\frac{N_u - N_d}{N_u + N_d} + \frac{N_{d'} - N_{u'}}{N_{u'} + N_{d'}} \right)$$

$$= f \cdot P_\mu \cdot P_T \cdot \frac{S}{S+B} \cdot A^{\mu, N}$$

Probability of an event to be a D^0



equal acceptance for both spin configurations

- In LO-QCD, we have for $A^{\mu, N}$: $A^{\mu, N} = \langle \hat{a}_{LL} \rangle \frac{\Delta G}{G}$; $\hat{a}_{LL} \equiv \left(\frac{\Delta \hat{\sigma}_{\mu g}}{\hat{\sigma}_{\mu g}} \right) = \frac{\hat{\sigma}_{\mu g}^{\rightarrow\leftarrow} - \hat{\sigma}_{\mu g}^{\leftarrow\rightarrow}}{\hat{\sigma}_{\mu g}^{\rightarrow\rightarrow} + \hat{\sigma}_{\mu g}^{\leftarrow\leftarrow}}$

- Weighting each event with $\omega = [f P_\mu \frac{S}{(S+B)} a_{LL}]$: \rightarrow **needed for every event**

$$\frac{\Delta G}{G} = \frac{1}{2P_T} \left(\frac{\sum_{i=0}^{N_u} \omega_i - \sum_{i=0}^{N_d} \omega_i}{\sum_{i=0}^{N_u} \omega_i^2 + \sum_{i=0}^{N_d} \omega_i^2} + \frac{\sum_{i=0}^{N_{u'}} \omega_i - \sum_{i=0}^{N_{d'}} \omega_i}{\sum_{i=0}^{N_{u'}} \omega_i^2 + \sum_{i=0}^{N_{d'}} \omega_i^2} \right)$$

statistical gain: $\frac{\langle \sum_{i=0}^{N_{\text{tot}}} \omega_i^2 \rangle}{\langle \sum_{i=0}^{N_{\text{tot}}} \omega_i \rangle^2}$

Open-Charm analysis: Simultaneous extraction of $\Delta G/G$ and A^{bg}

- The relation between the number of reconstructed D^0 and $\Delta G/G$ is given by (for each spin configuration of the target cells):

depolarisation factor

$$N_t = a \phi n (S+B) \left(1 + f P_T P_\mu \left[a_{LL} \frac{S}{S+B} \frac{\Delta G}{G} + D \frac{B}{S+B} A^{bg} \right] \right), \quad t=(u, d, u', d')$$

acceptance, muon flux, number of target nucleons probability of an event to be a D^0

- Each event contributing to one of 4 equations is weighted with a signal weight, $\omega_S = [f P_\mu a_{LL} S/(S+B)]$, and thereafter the weighted sums of events are taken. This procedure is repeated using a background weight, $\omega_B = [f P_\mu D B/(S+B)]$, thereby giving rise to a system of:

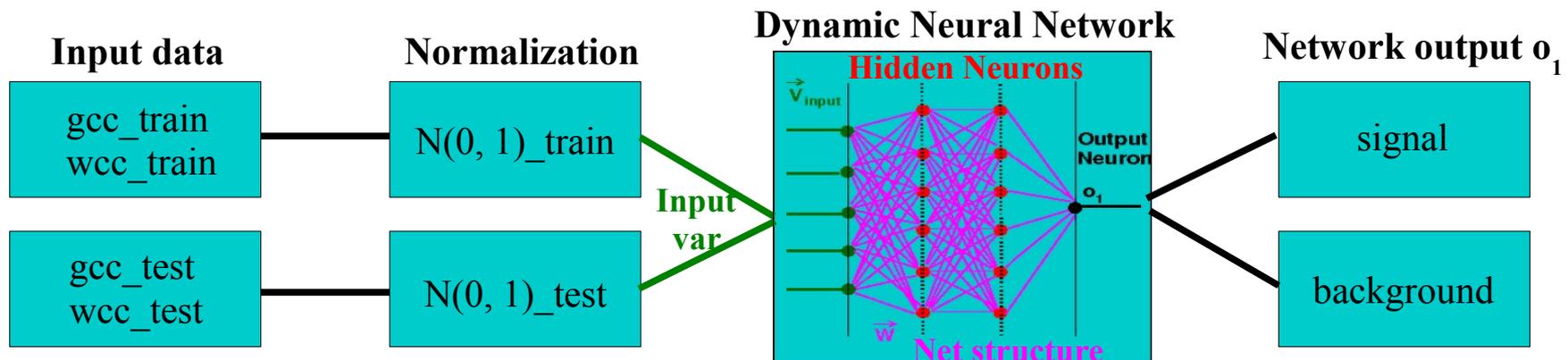
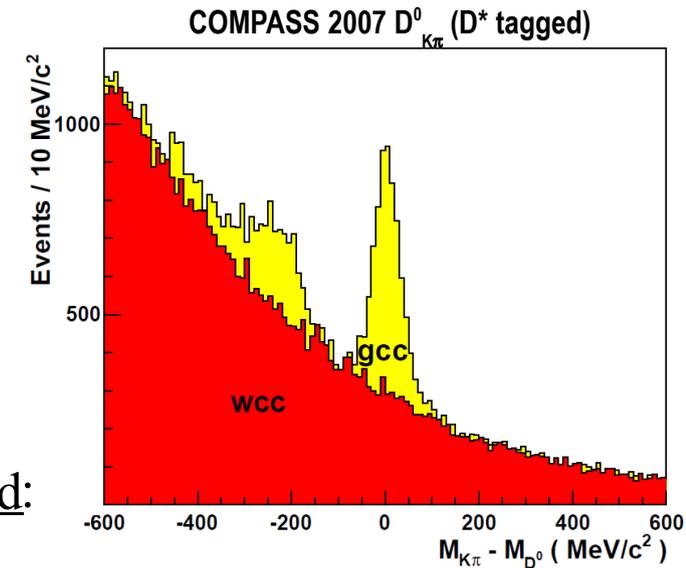
8 equations with 7 unknowns: $\Delta G/G$, A^{bg} + 5 independent $\alpha = (a\phi n)$ factors

The system is solved by a χ^2 minimisation

Determination of $S/(S+B)$

$s/(s+b)_{\text{NN}}$: Neural Network (NN) parameterisation

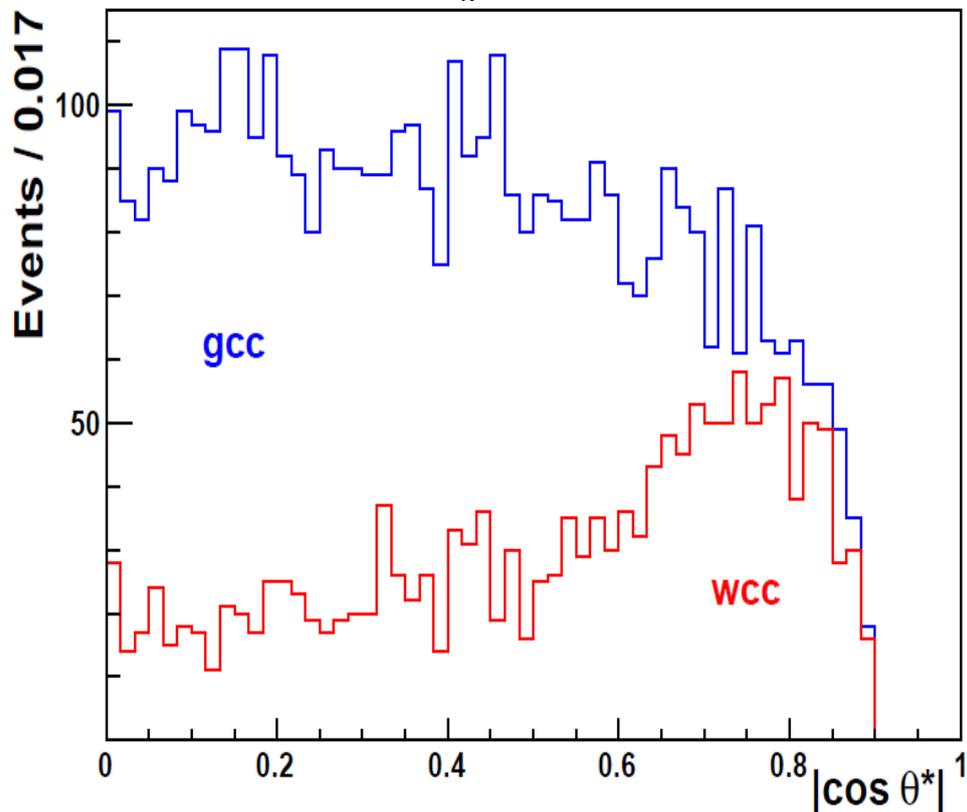
- Two real data samples are compared by a NN, using some kinematic variables as a learning vector:
 - Signal model** \rightarrow $\text{gcc} = \mathbf{K}^+ \pi^- \pi_s^- + \mathbf{K}^- \pi^+ \pi_s^+$ (D^0 spectrum)
 - Background model** \rightarrow $\text{wcc} = \mathbf{K}^+ \pi^+ \pi_s^- + \mathbf{K}^- \pi^- \pi_s^+$
- To ensure an unbiased parameterisation, 2 data sets are used:
 - If the minimisation of errors in the train & test (control sample) sets begin to diverge during the learning process, the NN changes its strategy: **some neurons can be killed and others can be born**
- D^0 probabilities are computed, for every gcc event, using the resulting multidimensional parameterization (*weights of each variable-neuron connection*): $\mathbf{f}(\mathbf{o}_1) = [s/(s+b)]_{\text{NN}}$



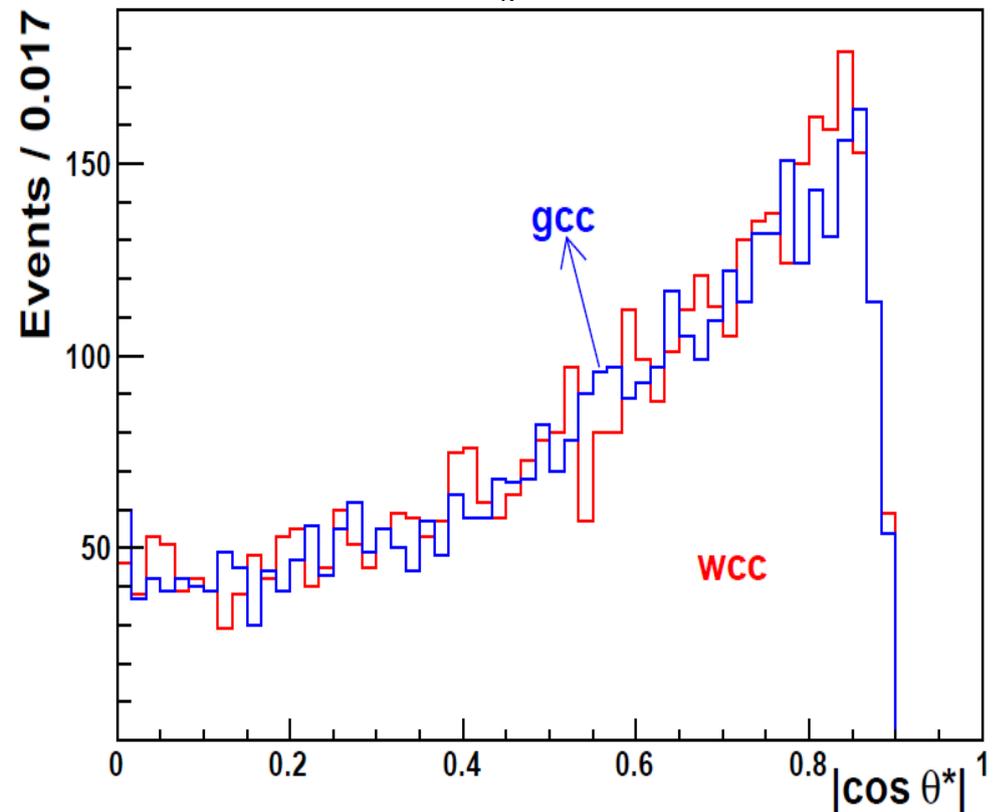
Example of a good kinematic variable to use in the training of the Neural Network

- Cosine of the polar angle of kaon in the D^0 center-of-mass relative to the D^0 momentum:

COMPASS 2006 $D^0_{K\pi}$ (D^* tagged): signal region

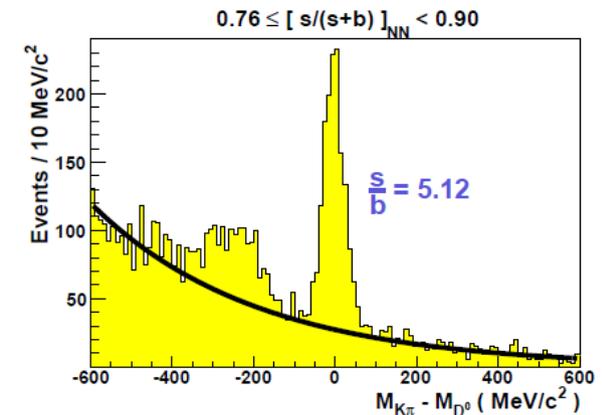
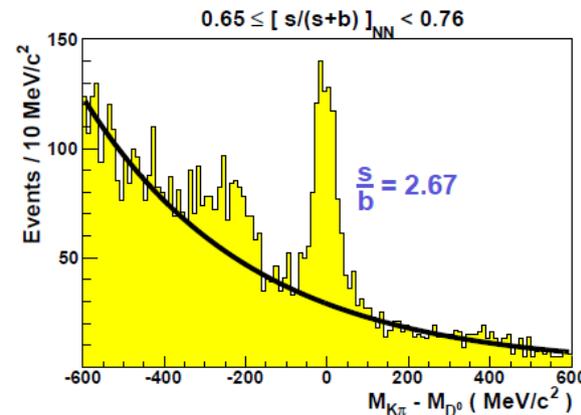
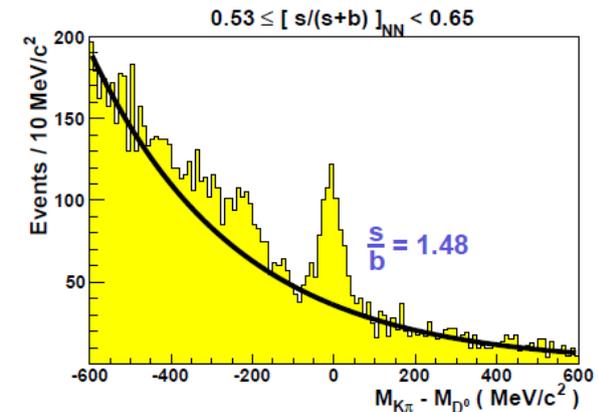
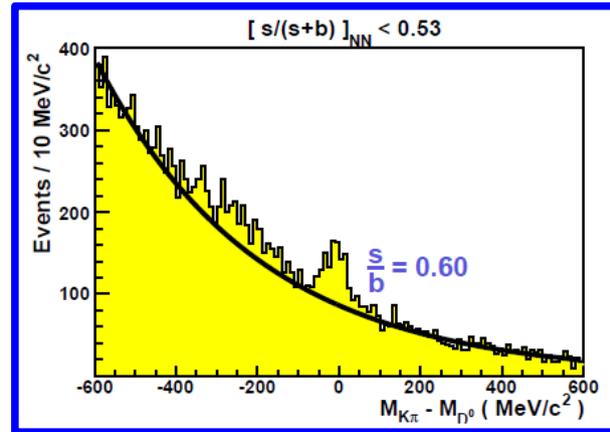


COMPASS 2006 $D^0_{K\pi}$ (D^* tagged): sidebands

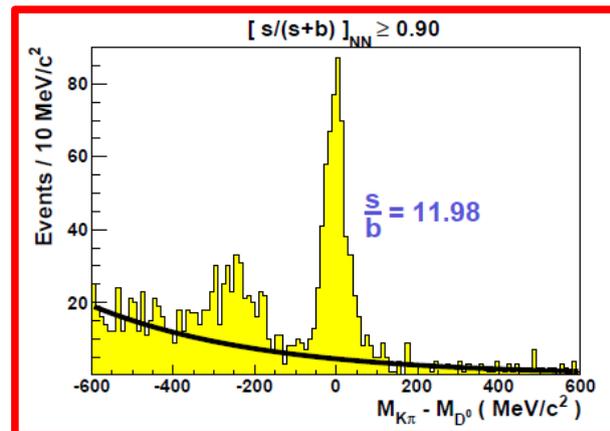


$s/(s+b)$: Obtaining final probabilities for a D^0 candidate

- Events with small $[s/(s+b)]_{NN}$
 - Mostly combinatorial background is selected



$s/(s+b)$ is obtained from a fit to these spectra (correcting all events with the corresponding values of $[s/(s+b)]_{NN}$)



- Events with large $[s/(s+b)]_{NN}$
 - Mostly Open-Charm events are selected

$$\delta\left(\frac{\Delta G}{G}\right) = \frac{1}{\text{FOM}}$$

Determination of a_{LL} at LO in QCD

The muon-gluon analysing power

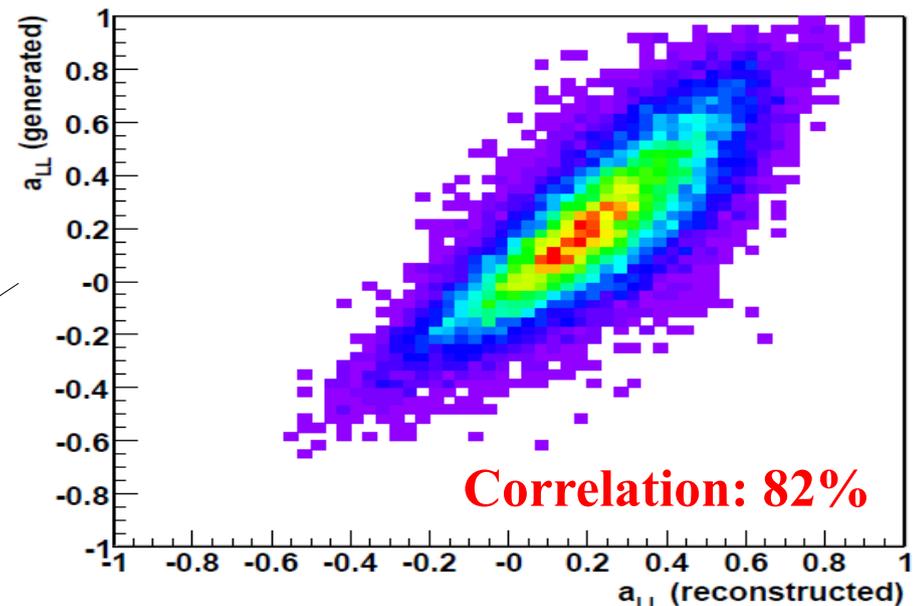
- a_{LL} is dependent on the full knowledge of the partonic kinematics:

$$a_{LL} = \left\langle \frac{\Delta \hat{\sigma}_{\mu g}}{\hat{\sigma}_{\mu g}}(y, Q^2, x_g, z_C, \phi) \right\rangle$$

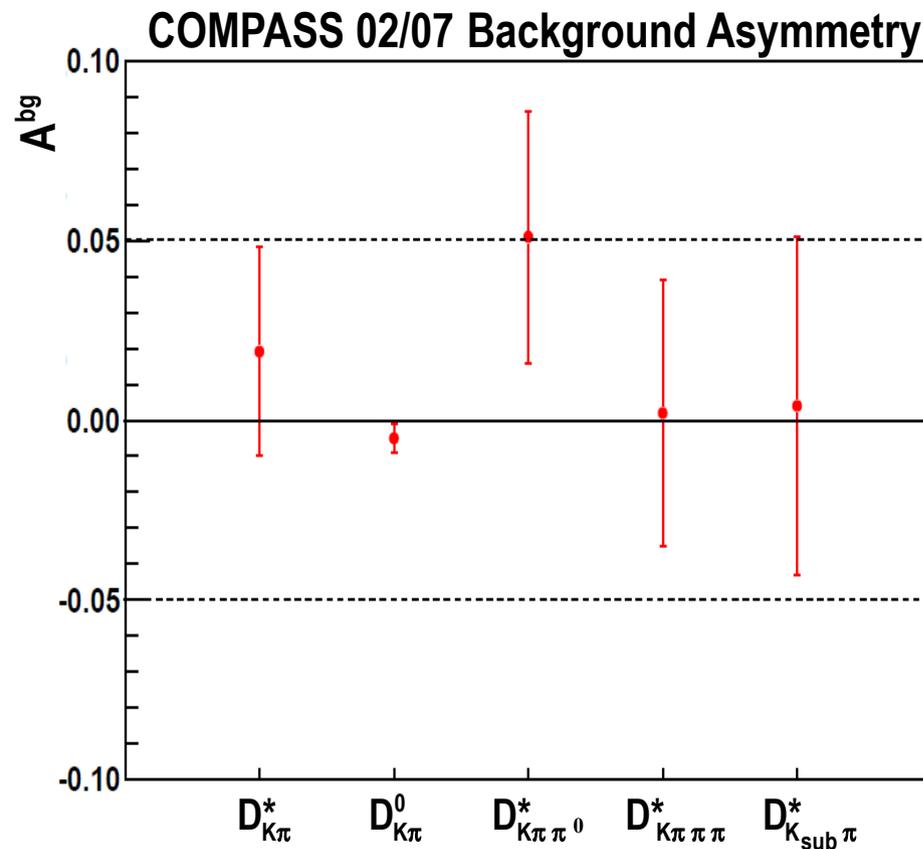
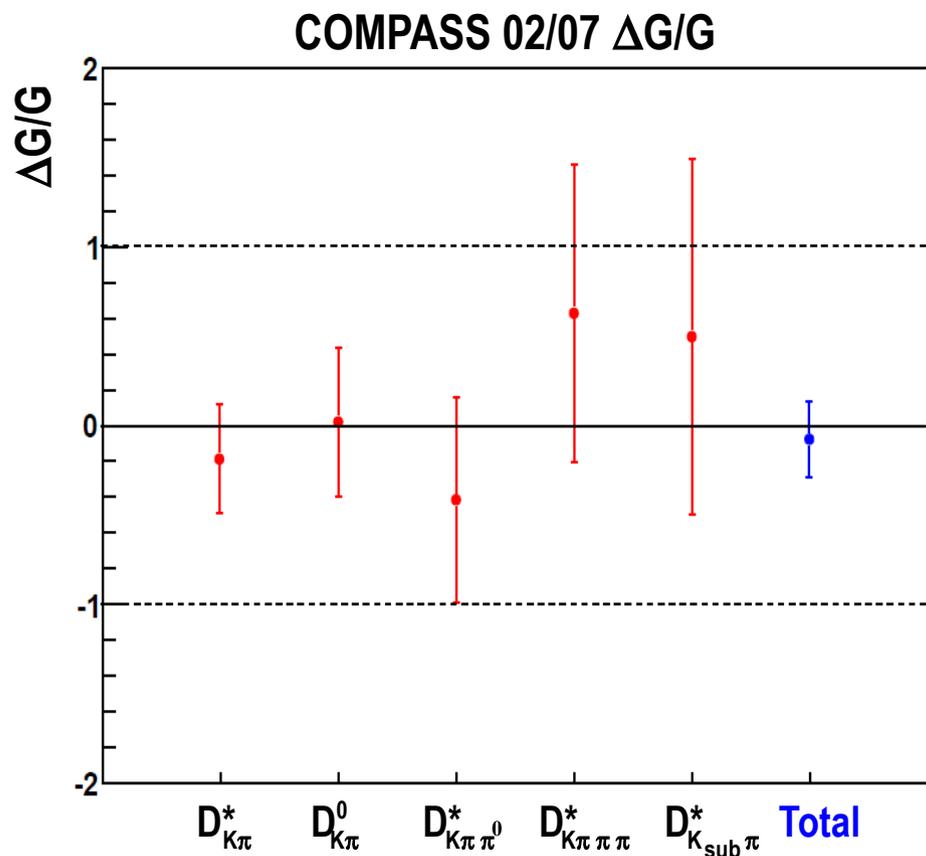
↓
Can't be experimentally obtained: only one charmed meson is reconstructed

- a_{LL} is determined from a **Monte-Carlo simulation of the Open-Charm production in the COMPASS experiment**, using the AROMA generator without parton-showers. Thereafter, the obtained values are used as an input for a **NN parameterisation** on some experimentally accessible kinematical variables: y , x_{Bj} , Q^2 , z_D and p_T

Parameterised a_{LL} shows a strong correlation with the generated one



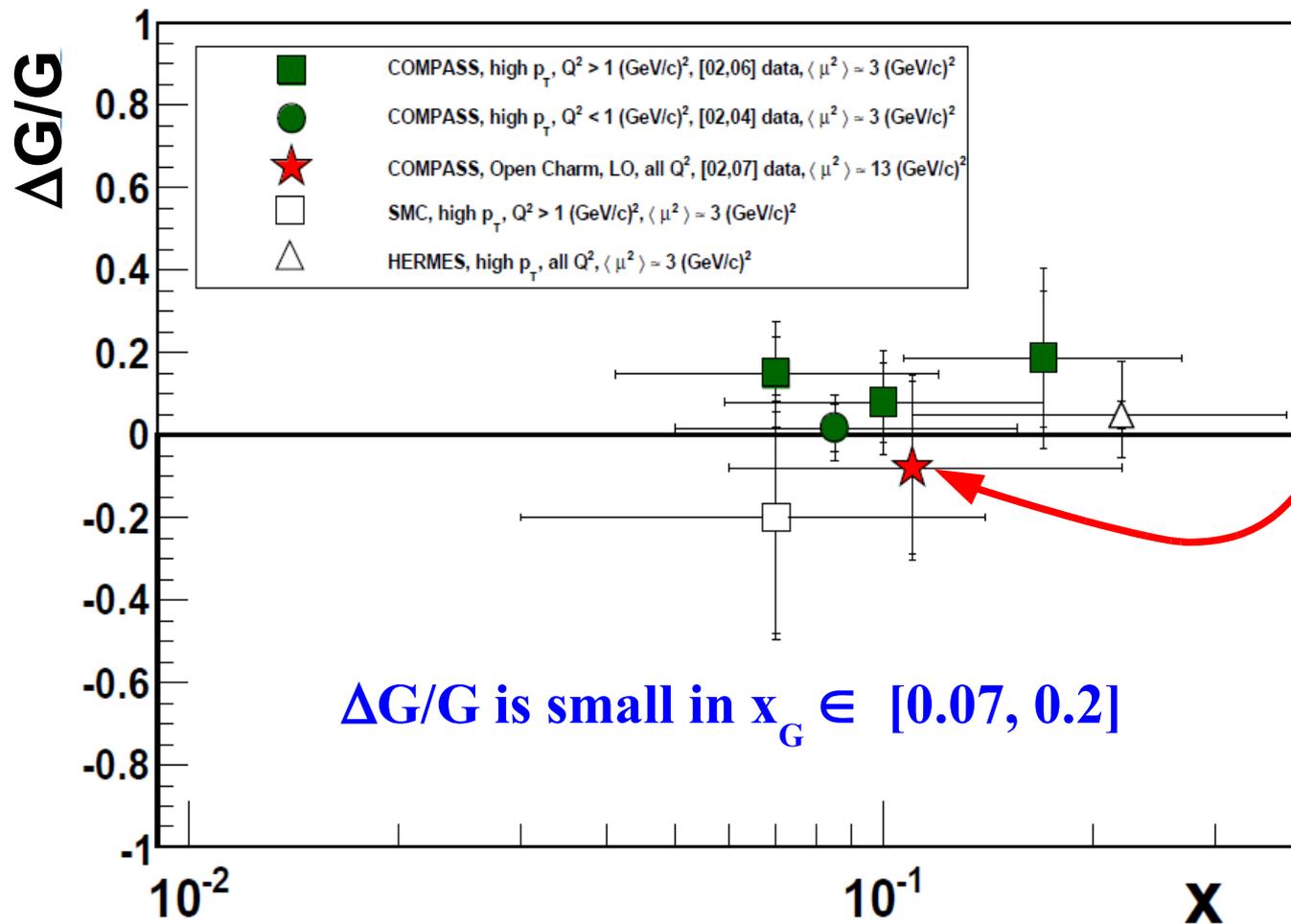
Open-Charm results at LO in QCD



$$\frac{\Delta G}{G} = -0.08 \pm 0.21(\text{stat}) \pm 0.09(\text{syst}) \quad @ \langle \mathbf{x}_g \rangle = 0.1 \stackrel{+0.11}{-0.05}, \quad \langle \mu^2 \rangle = 13(\text{GeV}/c)^2$$

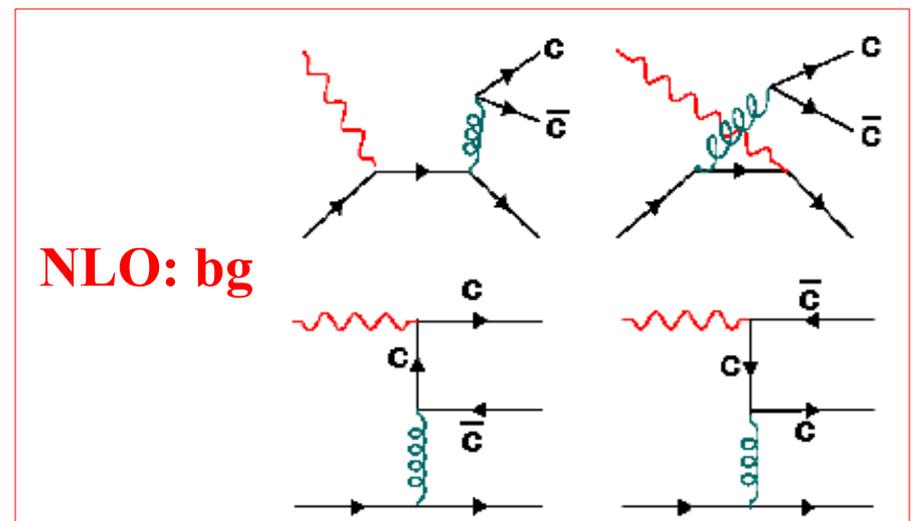
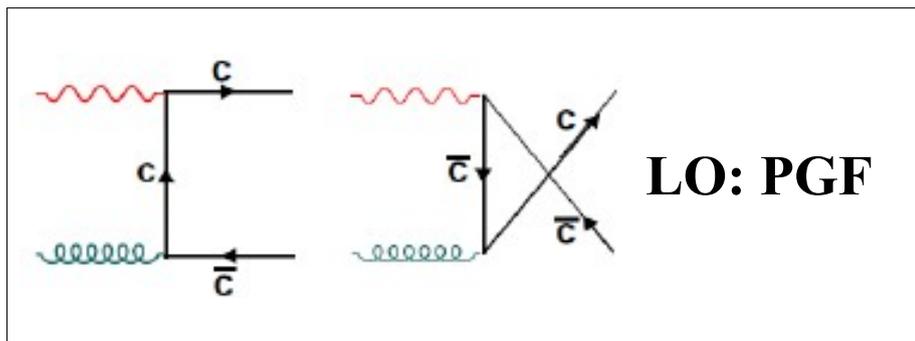
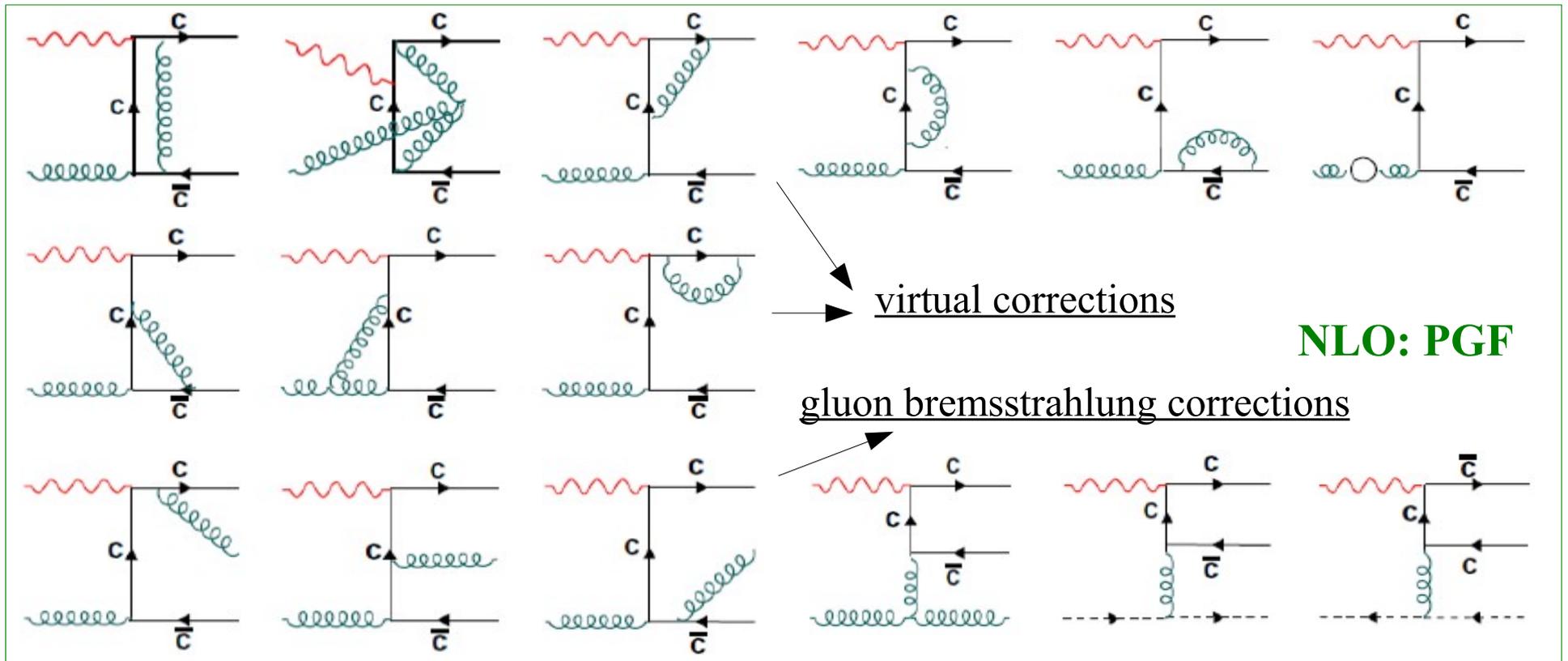
World measurements of $\Delta G/G$ at LO in QCD

- The gluon polarisation was obtained directly from the data, **at LO**, and was found to be compatible with zero



Determination of $\Delta G/G$ at NLO in QCD

NLO corrections to the analysing power a_{LL}



Procedure for NLO calculations

- The **AROMA** generator with **parton-shower-on** (PS-on) describes the COMPASS data very well. Therefore, the concept of PS was used to simulate the needed phase space for NLO corrections:
 - The energy of parton-showers defines the upper limit of integration over the energy of the unobserved gluon/quark, in the NLO emission process

This procedure guarantees a correct infra-red divergence cancellation. Consequently, a_{LL} is calculated event-by-event from theoretical formulas (as in LO case)

- The following photon-nucleon asymmetries were used to determine $\Delta G/G$:

$$A^{\gamma N} = \left(\frac{a_{LL}^{PGF}(\text{NLO})}{D} \frac{\Delta G}{G} + \frac{a_{LL}^q(\text{NLO})}{D} A_1 \right)$$

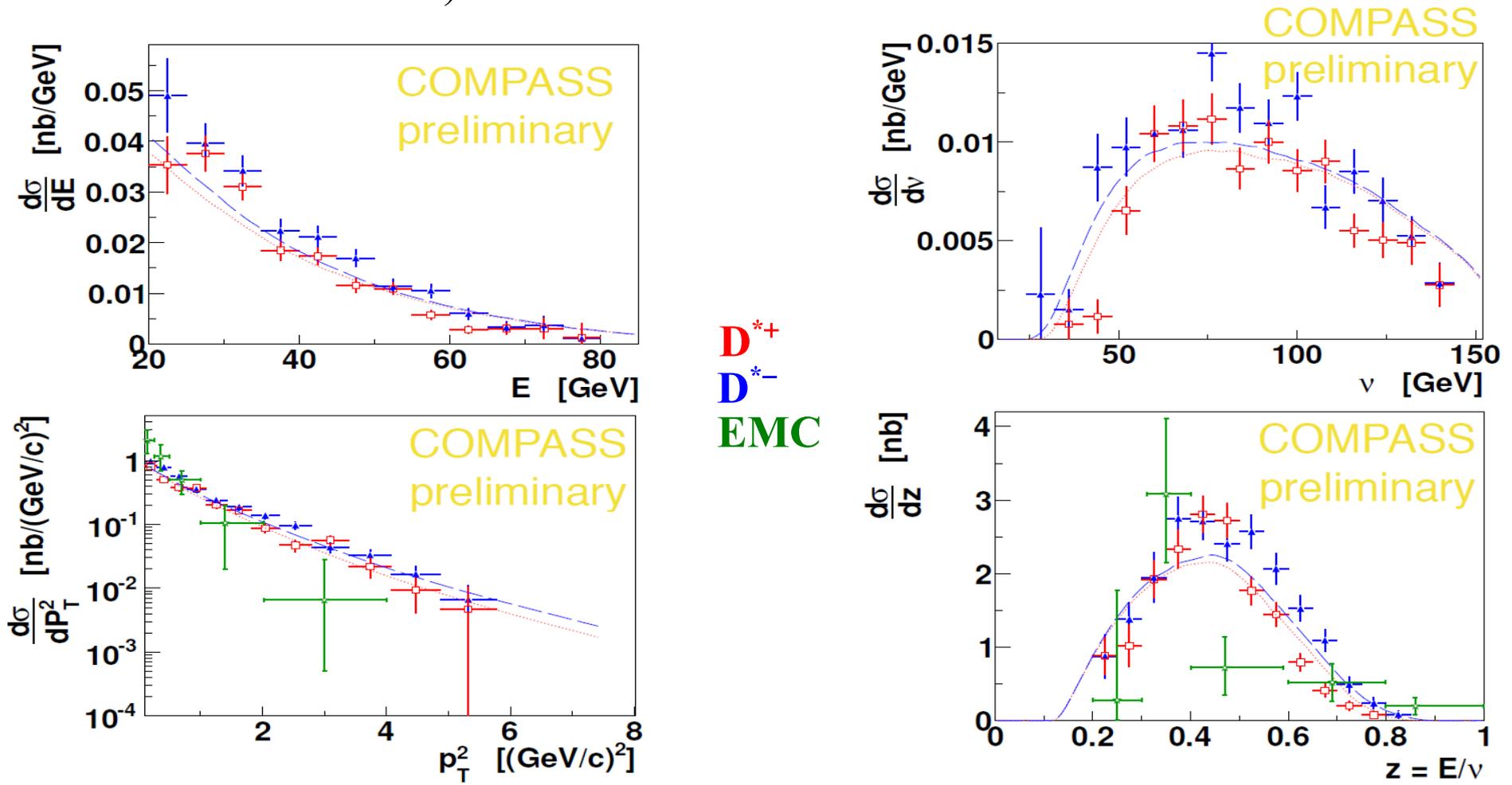
The replacement of a_{LL} by D in ω_s implies the extraction of $A^{\gamma N}$ instead of $\Delta G/G$

→ **Independent of theoretical interpretations** → good for global fits of ΔG

- The quantity A_1 belonging to the light-quark correction, A_{corr} , is taken directly from data

AROMA with PS-ON versus COMPASS data

- Differential cross section for D^* meson production ($D^0_{K\pi}$ (2004) from D^{*+} and D^{*-} COMPASS data):

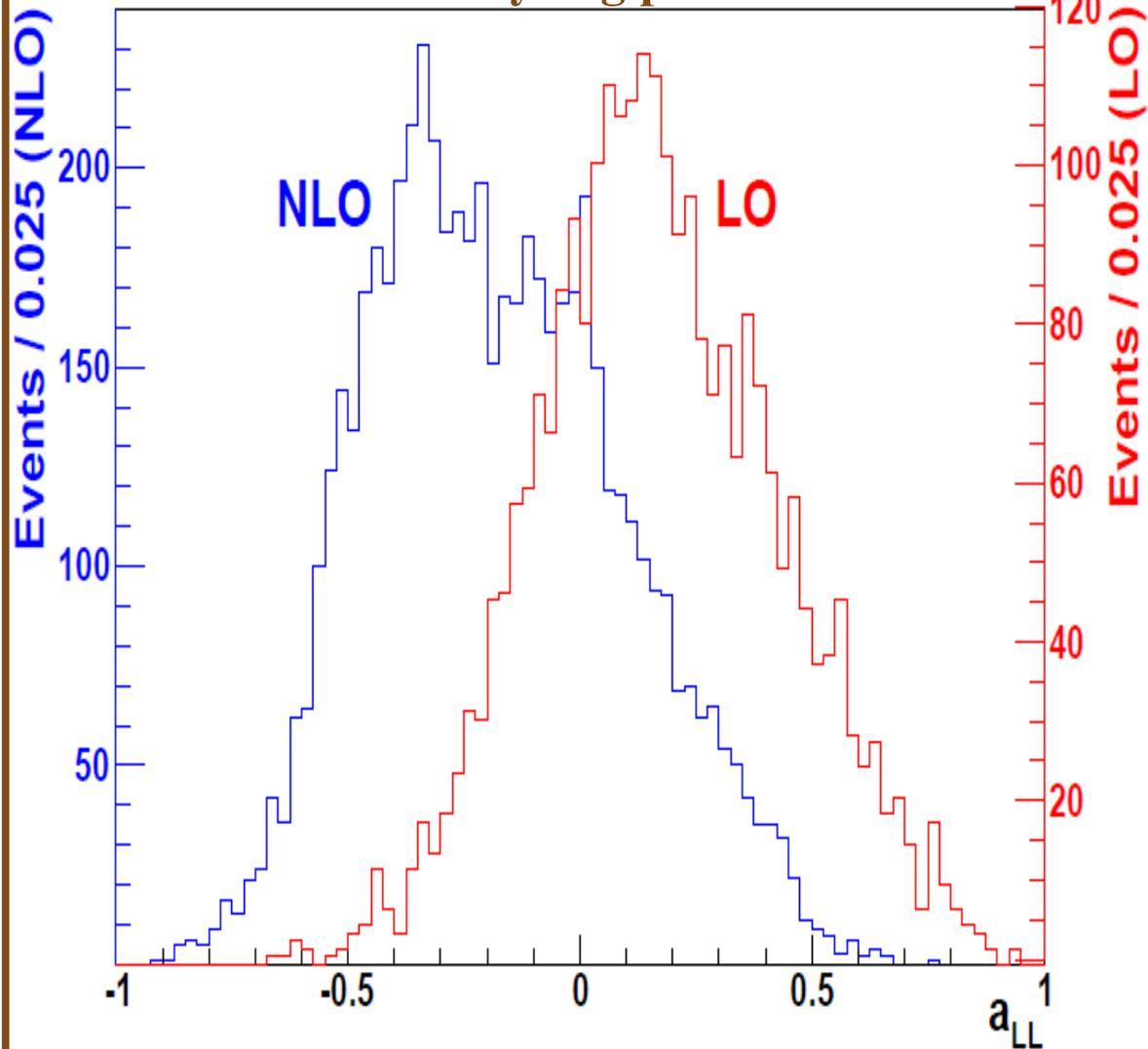


$$\sigma(D^{*\pm}) = 1.8 \pm 0.4 \text{ nb}$$

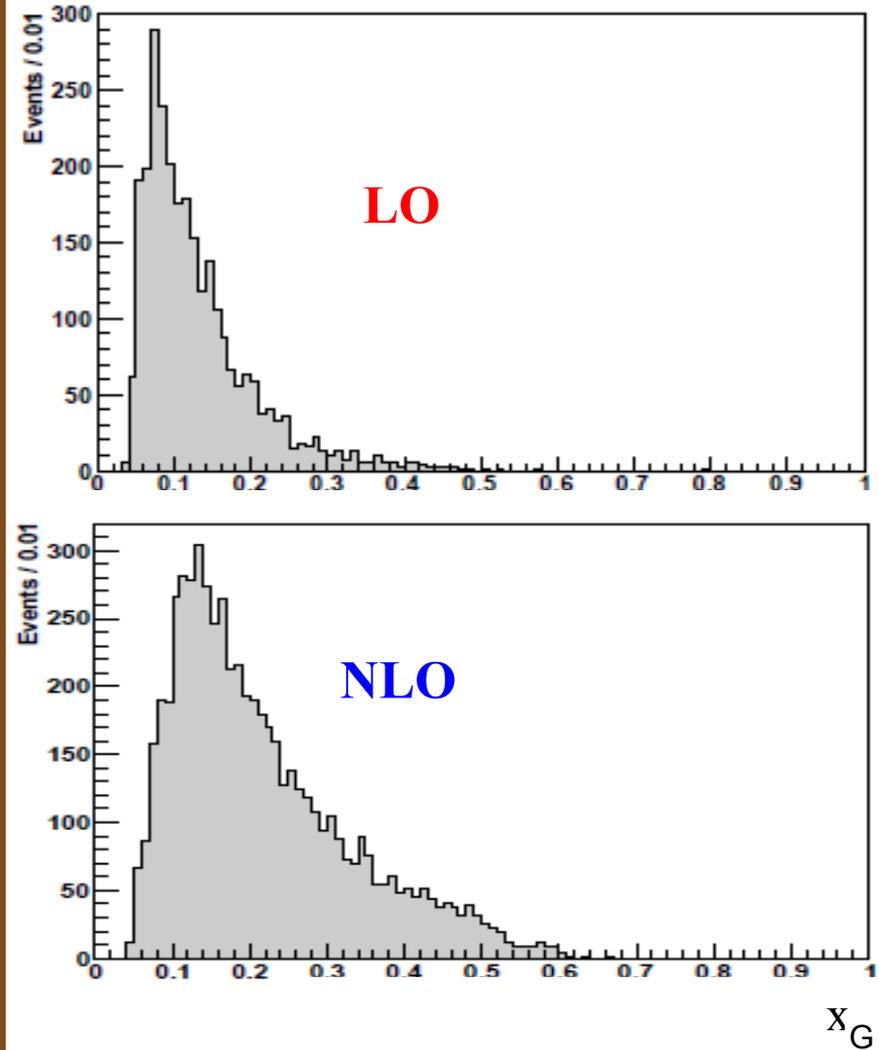
within $20 \text{ GeV} < E_D < 80 \text{ GeV}$

Distributions of a_{LL} and x_G at LO and NLO in QCD

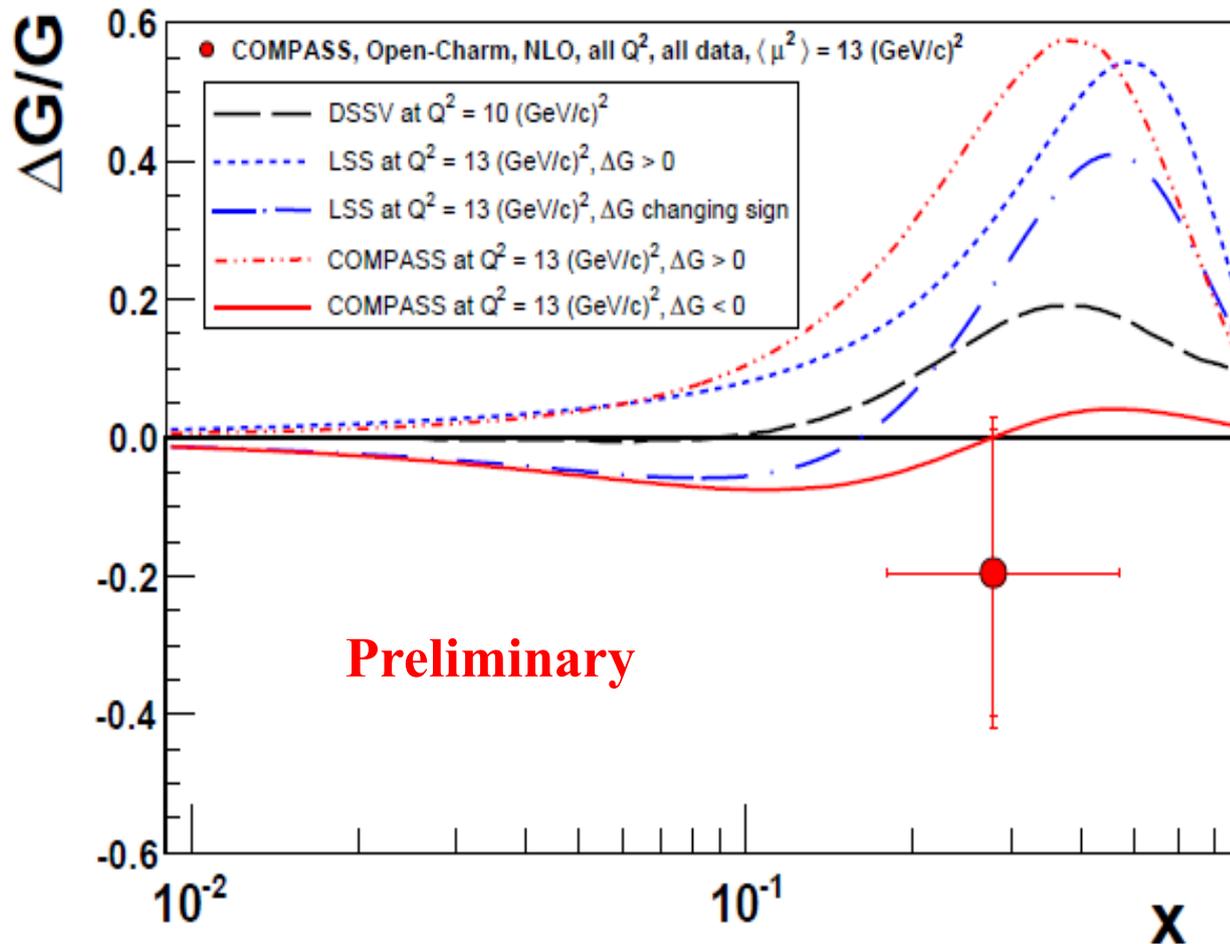
Analysing power



Gluon momentum fraction



$\Delta G/G$ result at NLO in QCD \rightarrow first world measurement

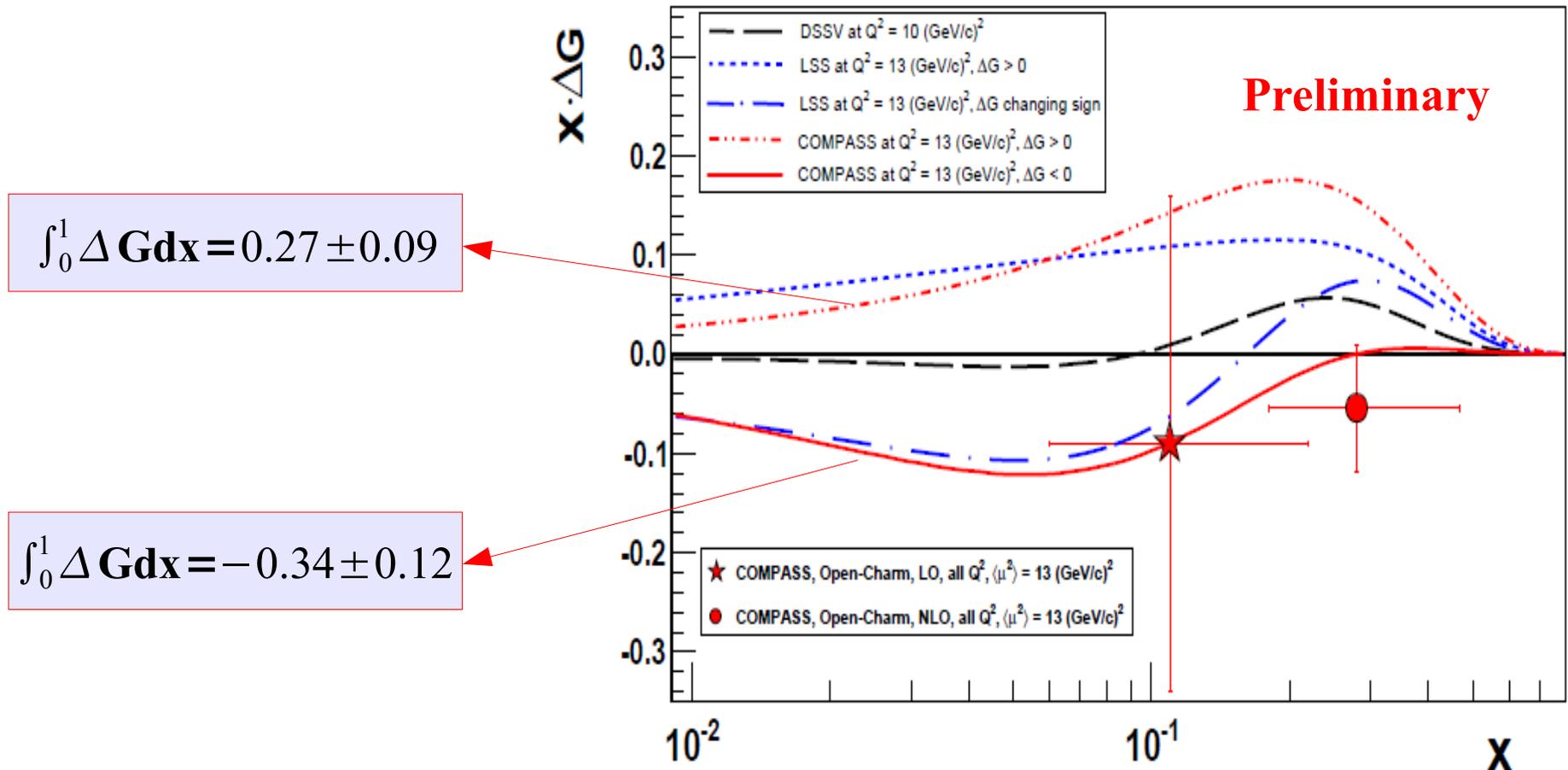


$$\frac{\Delta G}{G} = -0.20 \pm 0.21 \text{ (stat)} \pm 0.09 \text{ (syst)} \quad @ \langle x_G \rangle = 0.28_{-0.10}^{+0.19}, \quad \langle \mu^2 \rangle = 13 (\text{GeV}/c)^2$$

Only experimental: theoretical uncertainties associated with a_{LL} are still under study!

Open-Charm results for $x\Delta G$

- Using the LO and NLO parameterisations of xG corresponding to the ones used in the calculations of a_{LL} , we obtain the following results from $\Delta G/G$ (the comparison of the LO point with the QCD fits is justified by $xG(\text{LO}) \approx xG(\text{NLO})$):



SPARES

Systematic errors

What has been checked?

- $S/(S+B)$
- a_{LL} (*Monte Carlo + NN stability*)
- Beam Polarisation
- Target Polarisation
- Dilution Factor
- False Asymmetries (*FA*)
- Assumption on $\left\langle \frac{\Delta G}{G} \right\rangle^{\omega_S} \approx \left\langle \frac{\Delta G}{G} \right\rangle^{\omega_B}$

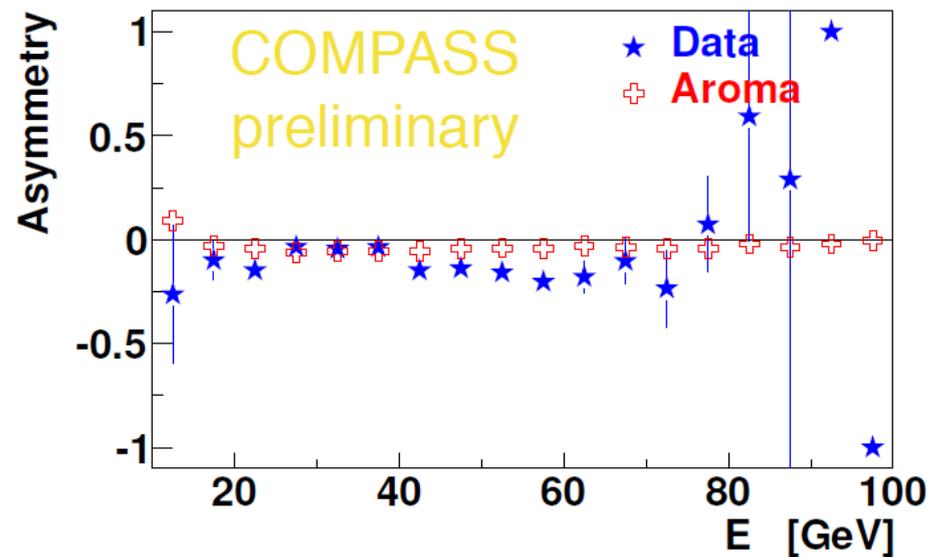
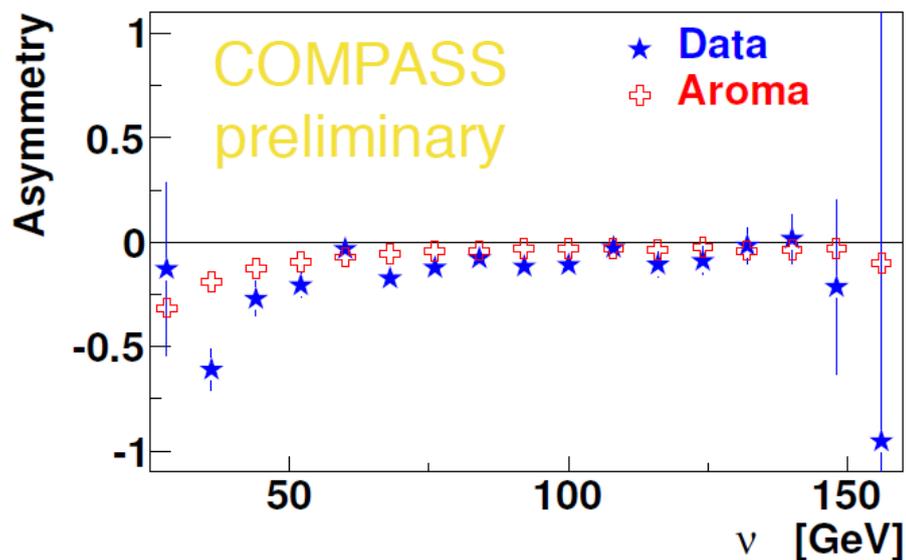
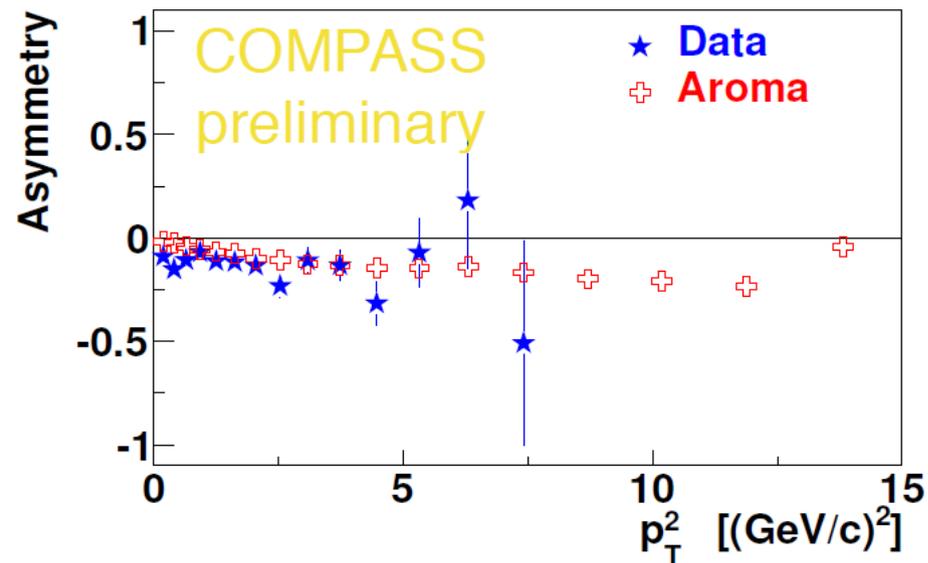
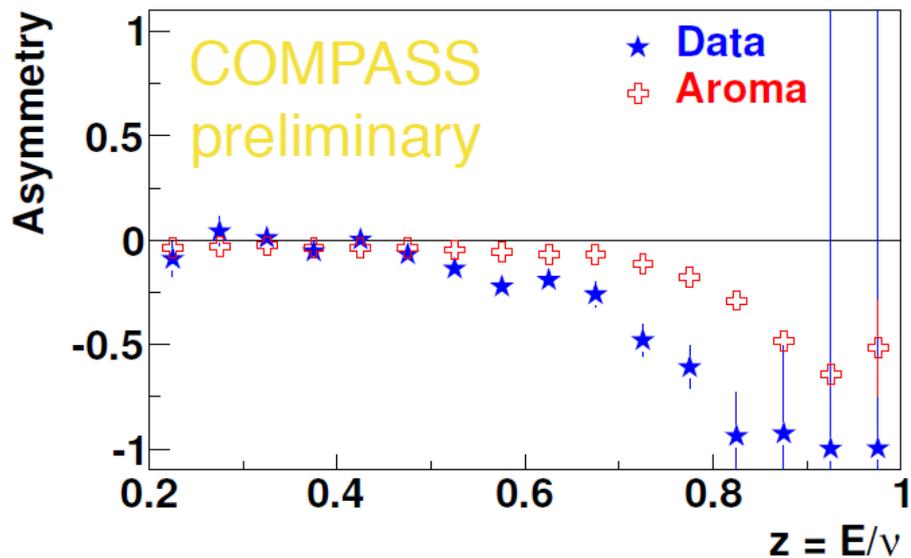
	LO	NLO
$\delta(\Delta G/G)_{S/(S+B)}$	0.022	0.031
$\delta(\Delta G/G)_{a_{LL}}$	0.025	???
$\delta(\Delta G/G)_{P_\mu}$	0.015	0.021
$\delta(\Delta G/G)_{P_t}$	0.015	0.021
$\delta(\Delta G/G)_f$	0.006	0.008
$\delta(\Delta G/G)_{FA}$	0.080	0.080
$\delta(\Delta G/G)_{A_S^{\omega_S} = A_S^{\omega_B}}$	0.025	0.025
Total	0.094	???

Results for $A^{\gamma N}(\text{PGF})$

Bins		$D^0 \rightarrow K\pi$ samples			$D^0 \rightarrow K\pi\pi^0$ sample			$D^0 \rightarrow K\pi\pi\pi$ sample		
$p_T(D^0)$ (GeV/c)	$E(D^0)$ (GeV)	$A^{\gamma N}$	$a_{LL}^{\text{PGF}/D}$	A_{corr}	$A^{\gamma N}$	$a_{LL}^{\text{PGF}/D}$	A_{corr}	$A^{\gamma N}$	$a_{LL}^{\text{PGF}/D}$	A_{corr}
	[0, 30[-0.90±0.63	0.00	0.01	-0.63±1.29	-0.11	0.01	7.03±4.74	-0.09	0.01
[0, 0.3[[30, 50[-0.19±0.48	-0.06	0.01	0.27±1.17	-0.08	0.01	-2.05±1.10	-0.08	0.01
	> 50	0.07±0.68	-0.12	0.02	-2.55±2.00	-0.11	0.02	0.17±1.83	-0.09	0.01
	[0, 30[-0.18±0.37	-0.08	0.01	-0.24±0.80	-0.17	0.01	-0.59±1.74	-0.10	0.02
[0.3,0.7[[30, 50[0.10±0.26	-0.19	0.02	0.49±0.69	-0.23	0.02	1.00±0.54	-0.20	0.02
	> 50	-0.04±0.36	-0.22	0.02	-1.28±1.03	-0.18	0.02	-1.75±0.84	-0.21	0.02
	[0, 30[-0.42±0.44	-0.26	0.01	0.55±0.95	-0.29	0.02	2.91±2.61	-0.19	0.01
[0.7,1.0[[30, 50[-0.36±0.29	-0.29	0.01	-0.53±0.76	-0.32	0.02	1.42±0.57	-0.31	0.02
	> 50	1.49±0.42	-0.33	0.03	-0.17±1.00	-0.36	0.03	1.69±0.81	-0.32	0.03
	[0, 30[-0.30±0.35	-0.35	0.01	1.35±0.86	-0.40	0.02	-1.89±2.64	-0.36	0.02
[1.0,1.5[[30, 50[0.13±0.23	-0.40	0.02	-0.11±0.51	-0.44	0.03	-0.45±0.51	-0.41	0.02
	> 50	-0.20±0.33	-0.43	0.03	-0.05±0.78	-0.42	0.04	1.06±0.66	-0.45	0.03
	[0, 30[0.38±0.49	-0.49	0.02	-0.19±1.14	-0.52	0.02	1.64±3.52	-0.49	0.03
> 1.5	[30, 50[-0.00±0.25	-0.53	0.03	-0.23±0.51	-0.50	0.04	0.44±0.68	-0.54	0.03
	> 50	0.36±0.33	-0.53	0.04	0.26±0.90	-0.49	0.05	0.08±0.63	-0.54	0.05

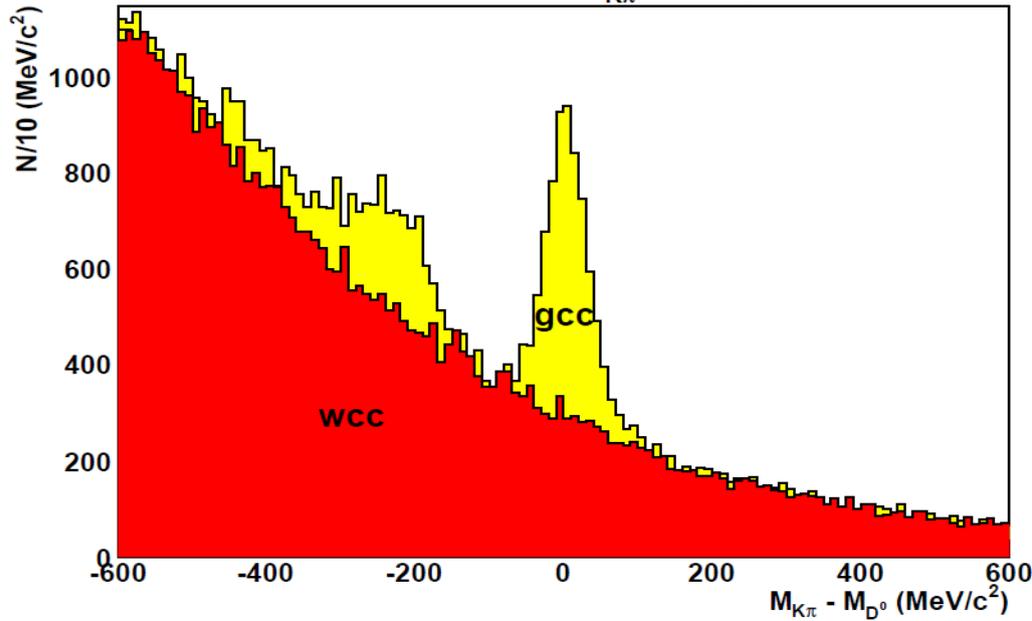
D^{*+}/D^{*-} asymmetry:

$$A(X) = \frac{d\sigma^{D^{*+}}(X) - d\sigma^{D^{*-}}(X)}{d\sigma^{D^{*+}}(X) + d\sigma^{D^{*-}}(X)}$$

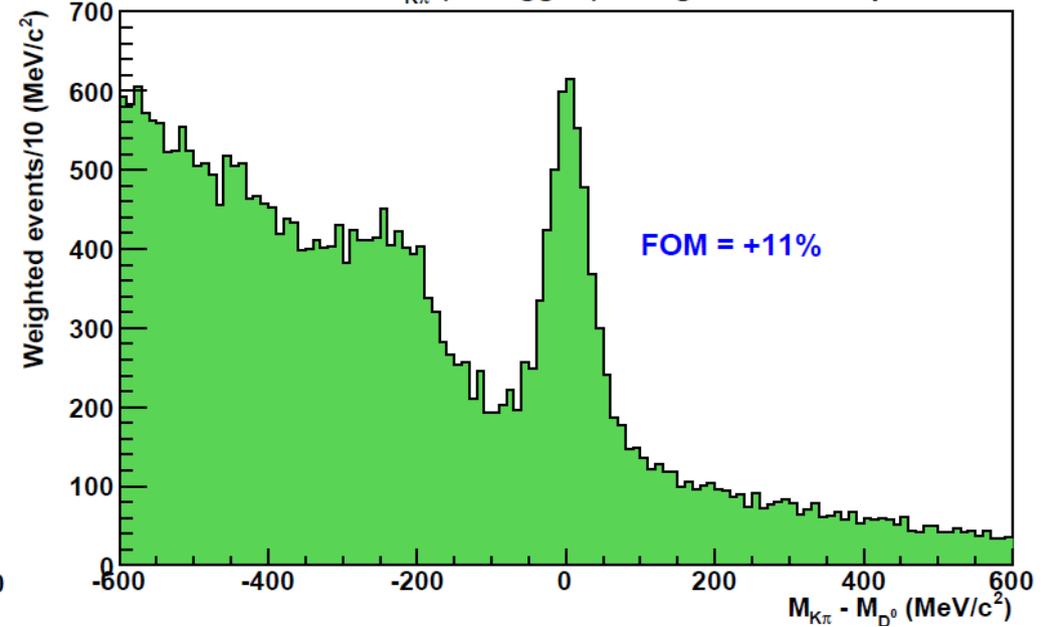


S/(S+B) parameterisation: FOM improvement (*main channels*)

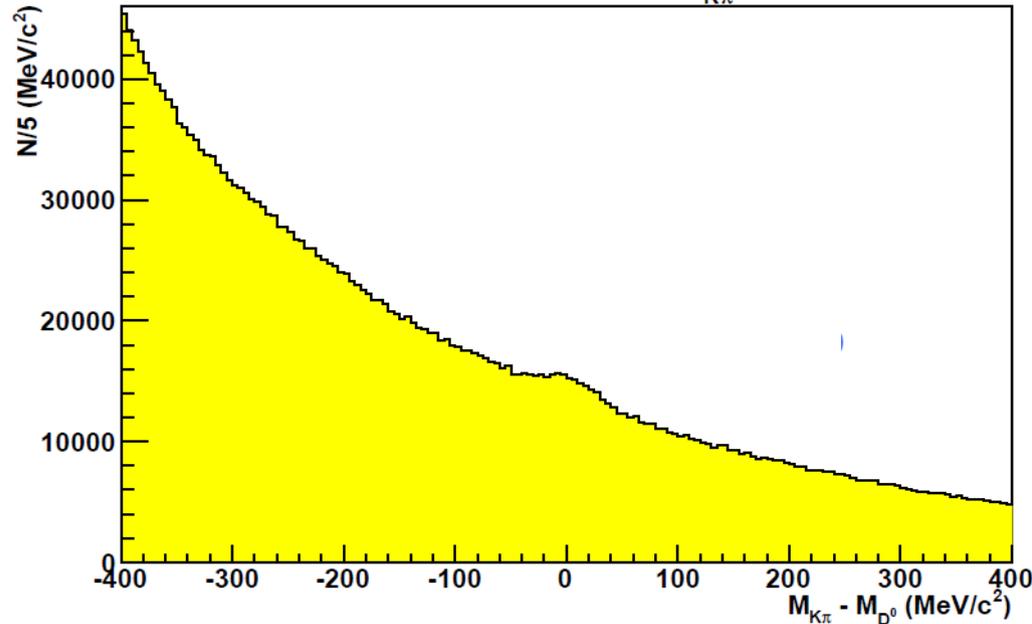
COMPASS 2007 $D_{K\pi}^0$ (D^* tagged)



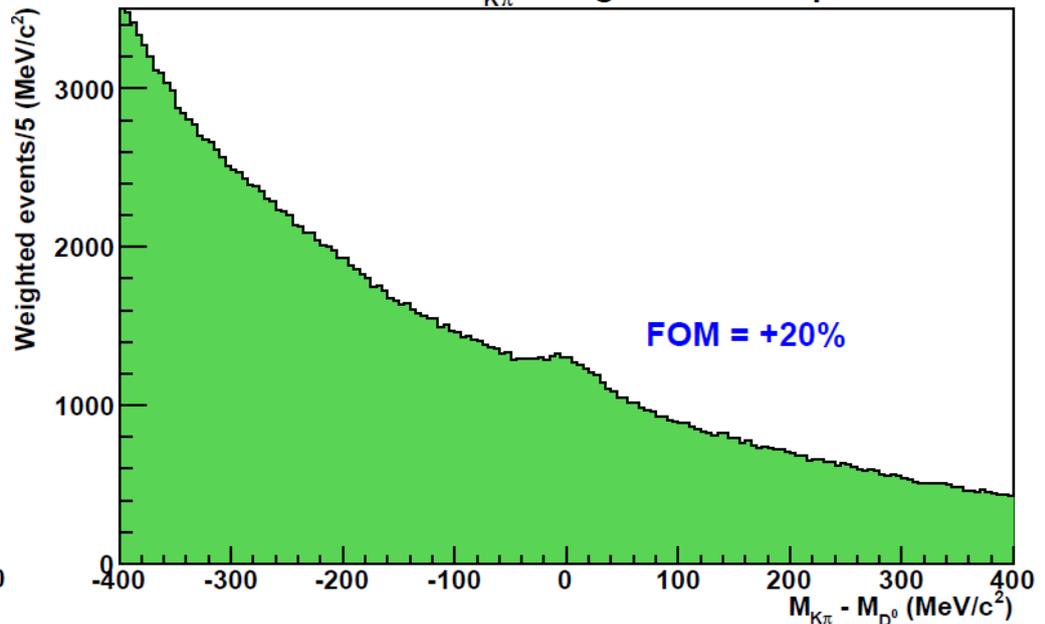
COMPASS 2007 $D_{K\pi}^0$ (D^* tagged): Weighted Mass Spectrum



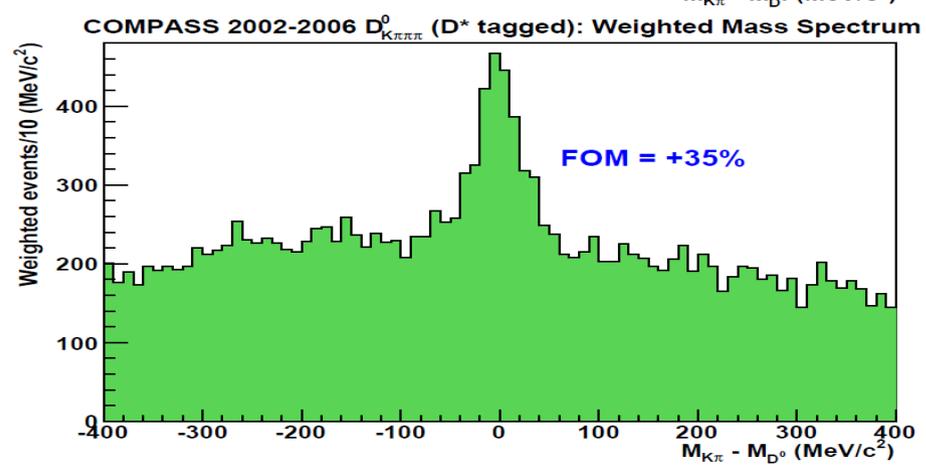
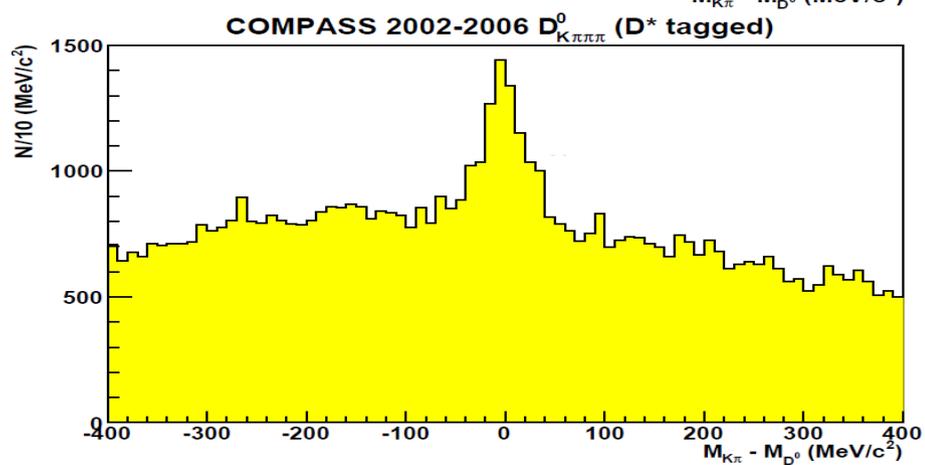
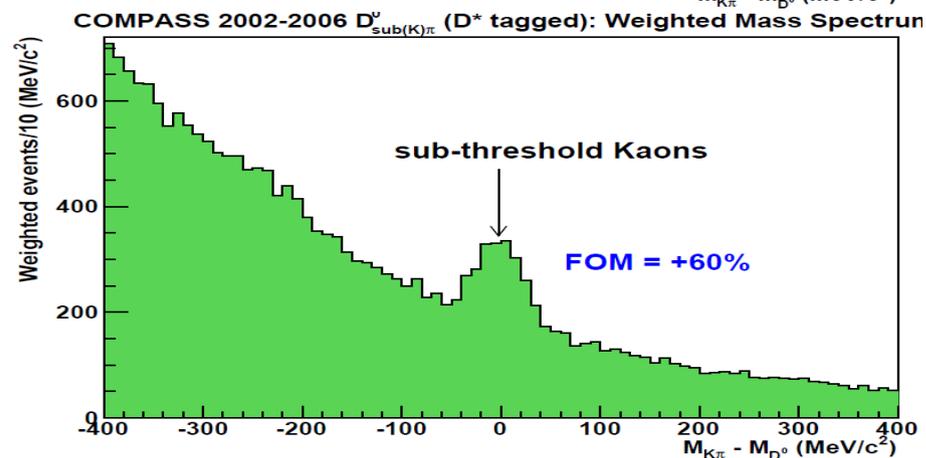
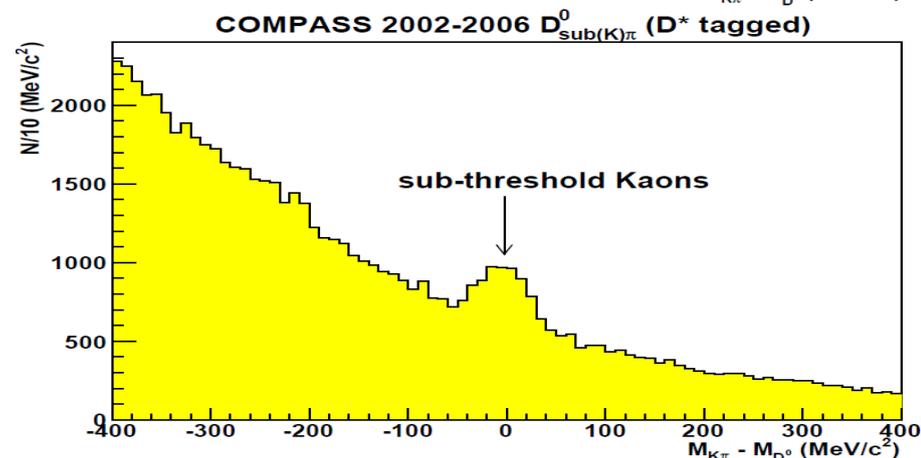
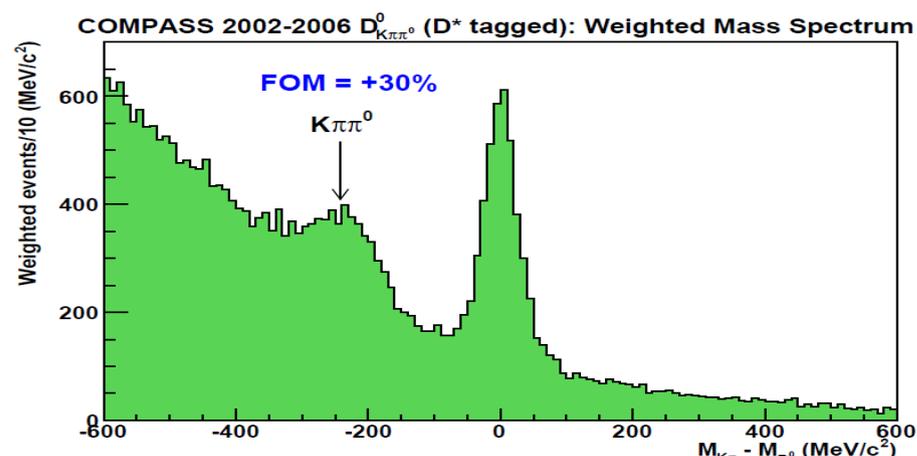
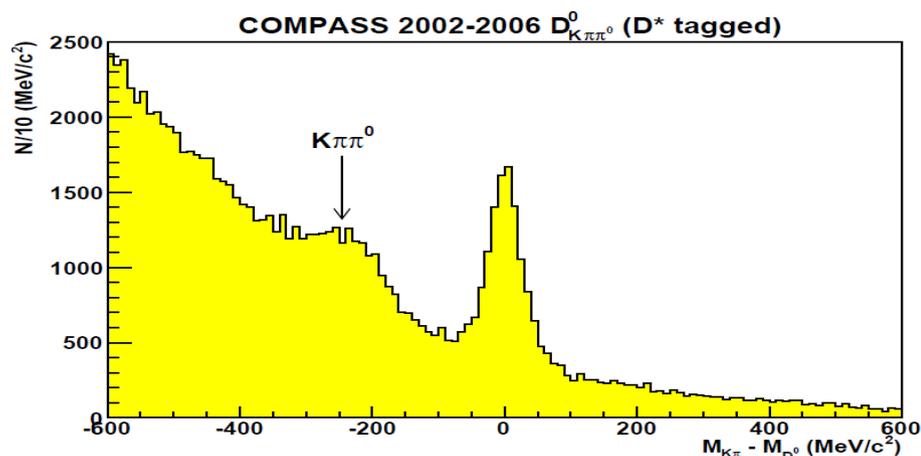
COMPASS 2007 $D_{K\pi}^u$

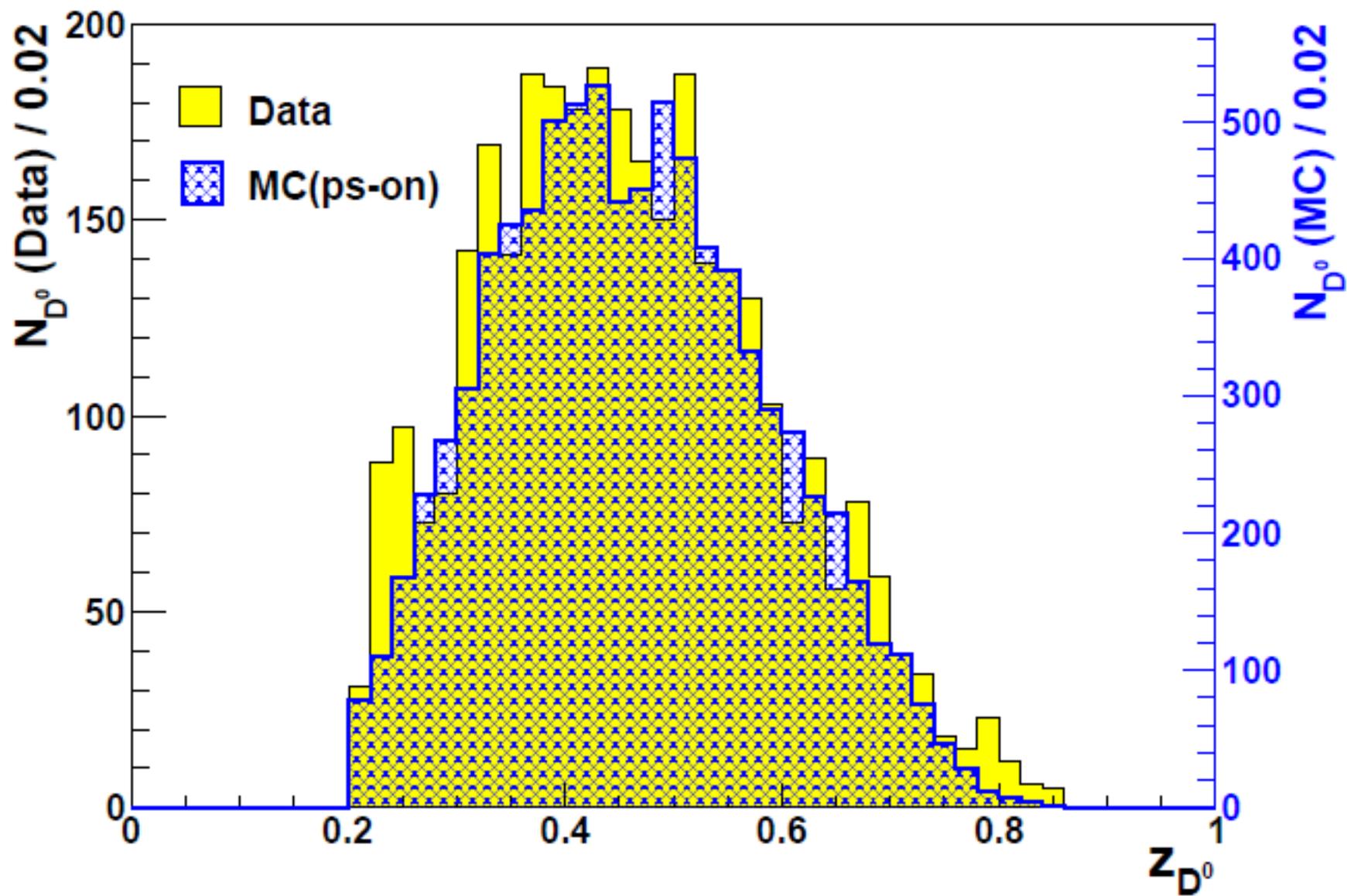


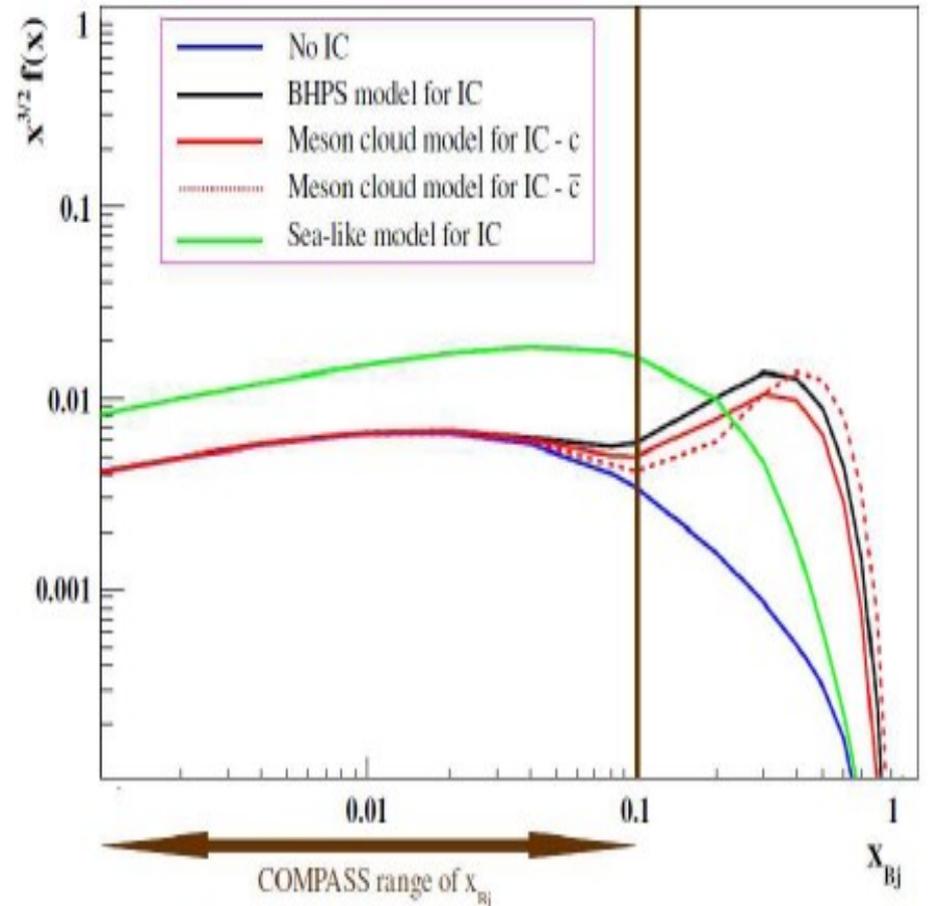
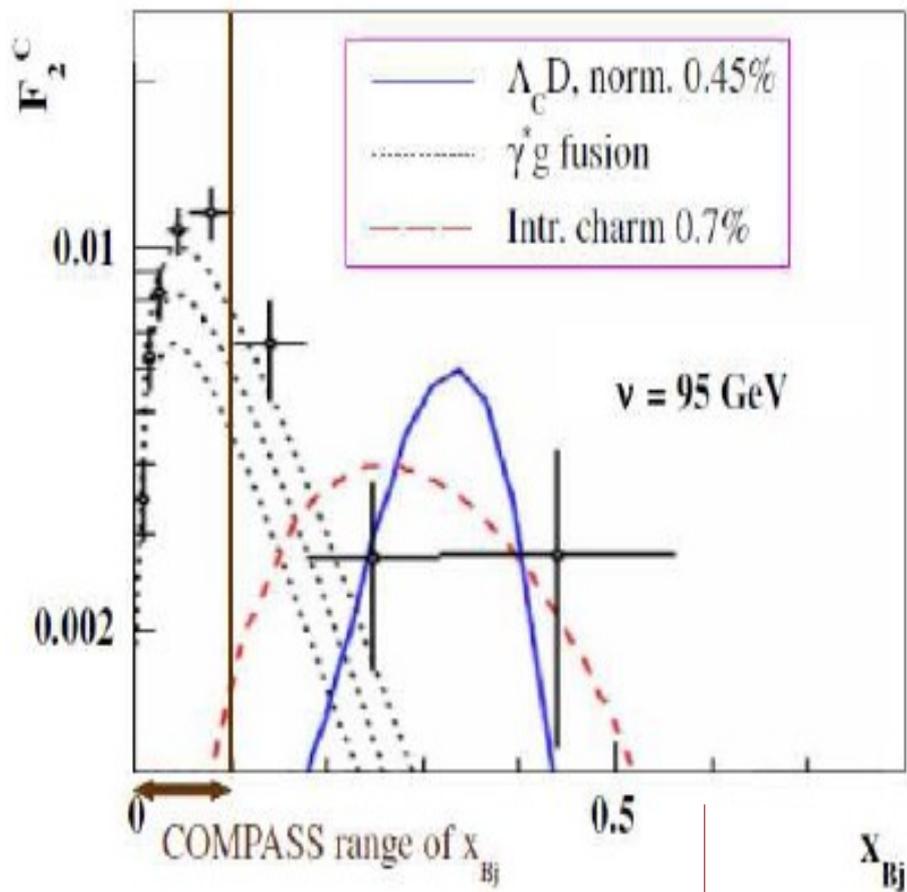
COMPASS 2007 $D_{K\pi}^0$: Weighted Mass Spectrum



S/(S+B) parameterisation: FOM improvement (*low purity channels*)







Ref. [Hep-ph/0508126](#) and [hep-ph/9508403](#)
 Phys. Lett. B93 (1980) 451
 Data from EMC: Nucl. Phys. B213, 31(1983)

