

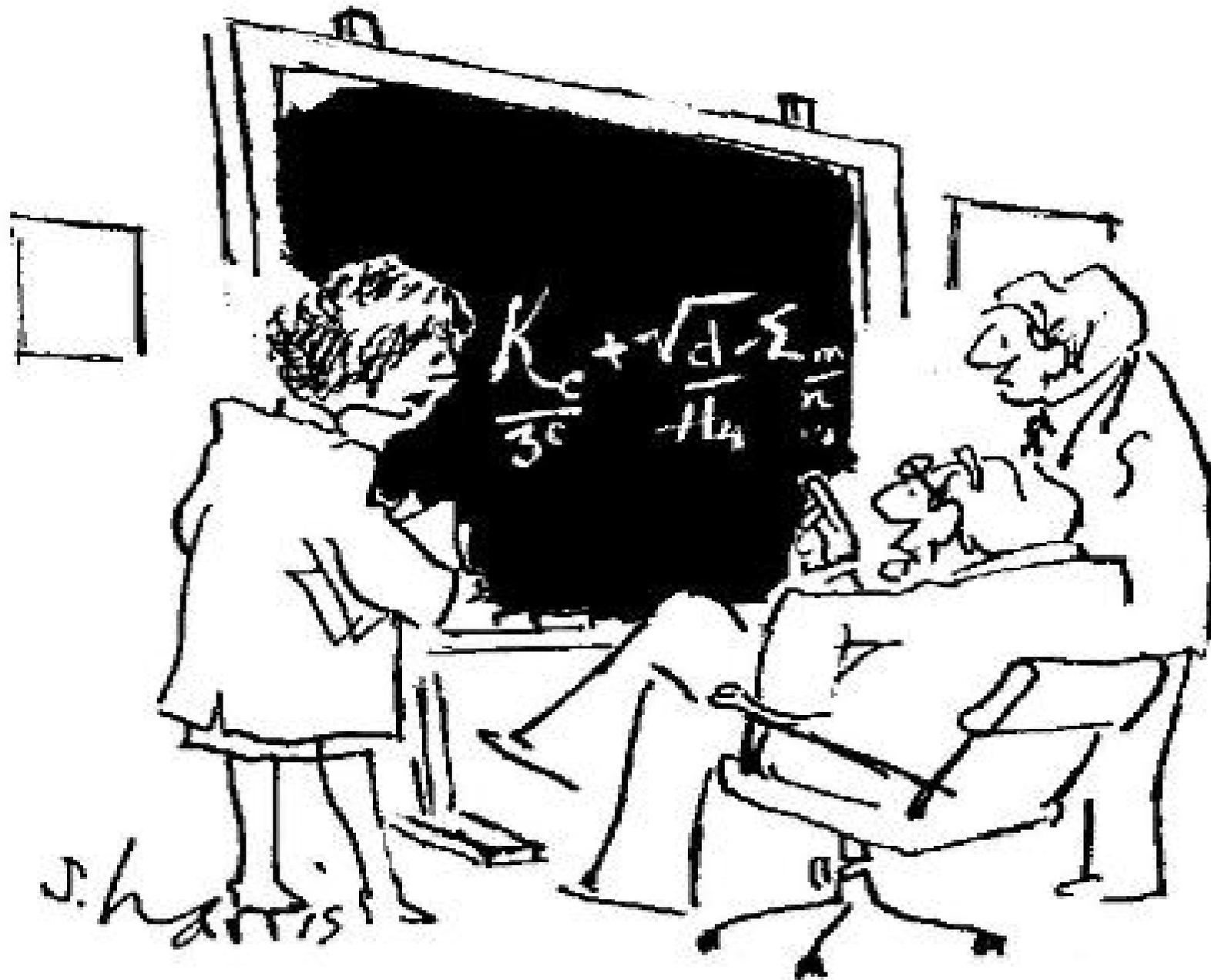
# Review of Spin Physics in DIS - A Personal Selection -

**DIS 2010**

**Florence, April 2010**

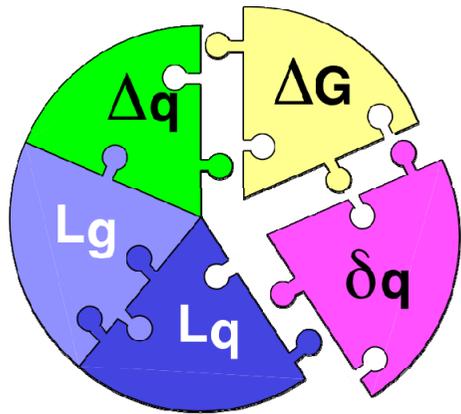
**Horst Fischer**

**ALU Freiburg**



"LET'S SEE IF WE COULD PUT A SPIN ON IT  
AND GET THE PUBLIC INTERESTED."

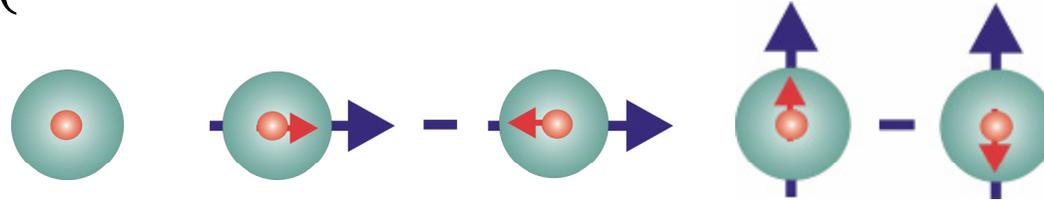
# The Spin of the Nucleon



$$\frac{S_z^N}{\hbar} = \frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_z^q + \Delta G + L_z^g$$

All started with EMC (88):  
 $\Delta\Sigma = 0.12 \pm 0.17$

$$\Phi_{\text{Corr}}^{\text{Tw2}}(x) = \frac{1}{2} \left\{ f_1(x) + S_L g_1(x) \gamma_5 + h_1(x) \gamma_5 \gamma^1 S_T \right\} n^+$$



## OUTLINE:

● Helicity distribution of quarks

$$\Delta\Sigma = \Delta u_v + \Delta d_v + \Delta q_s$$

● ... and gluons

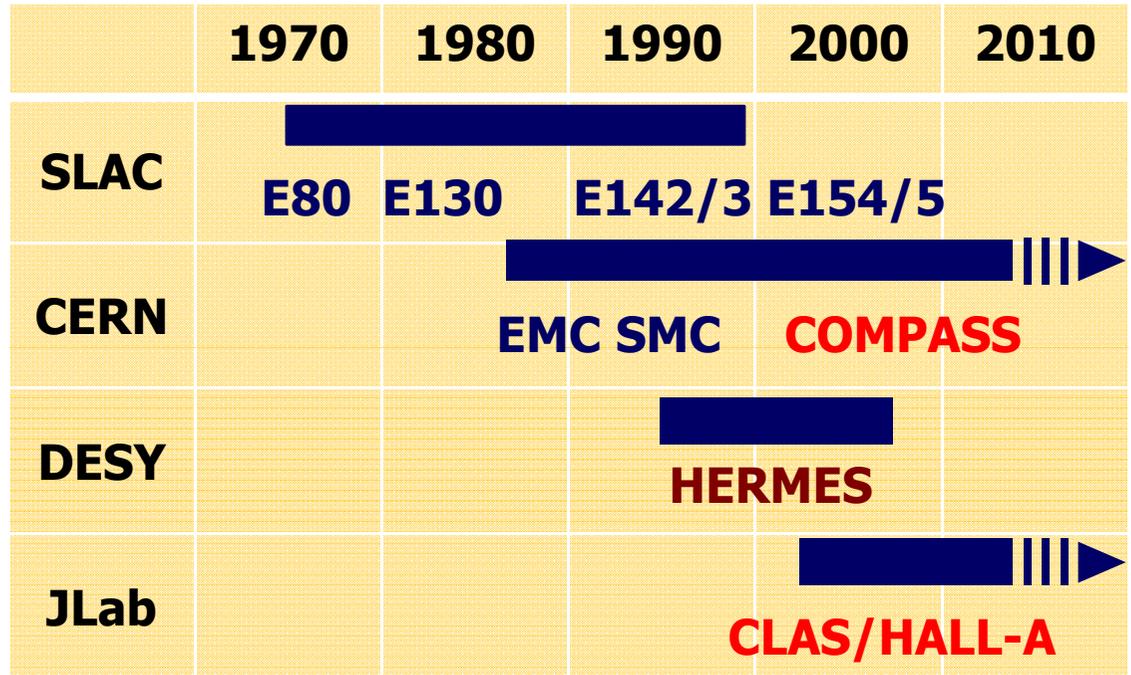
$$\Delta G$$

● Transverse spin phenomena

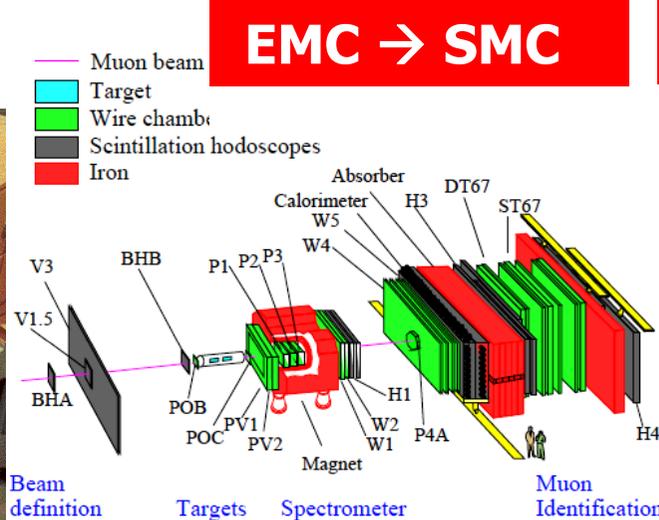
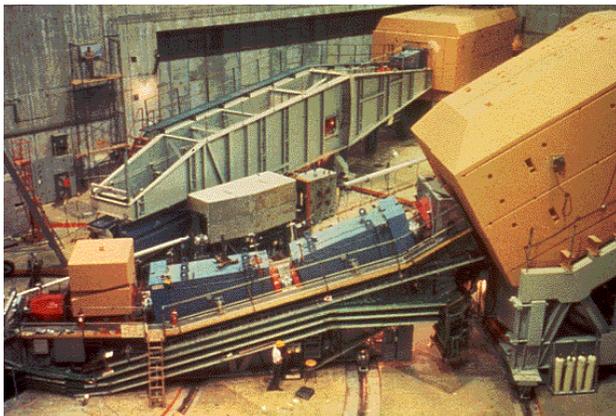
$$h_1, \dots$$

# Experiments

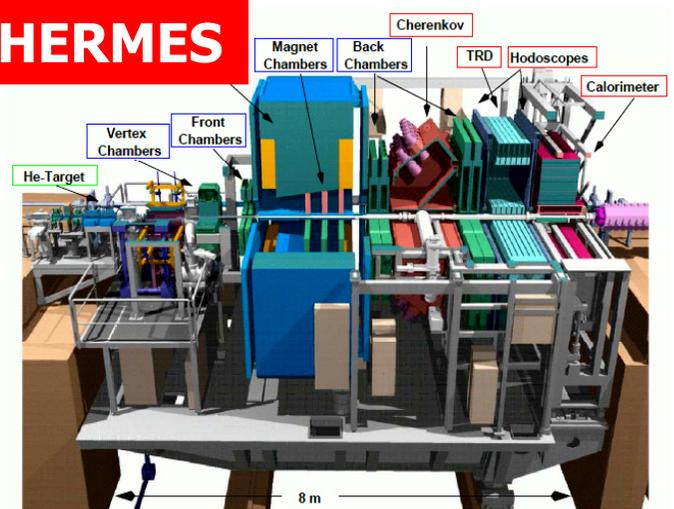
E80, E130	$\bar{e} \bar{p}$	$\leq 20$ GeV
EMC	$\bar{\mu} \bar{p}$	100–200 GeV
E142, 143	$\bar{e} \bar{p}, \bar{n}, \bar{d}$	$\leq 28$ GeV
SMC	$\bar{\mu} \bar{p}, \bar{d}$	100, 190 GeV
E154, 155	$\bar{e} \bar{p}, \bar{n}, \bar{d}$	$\leq 50$ GeV
HERMES	$\bar{e} \bar{p}, \bar{n}, \bar{d}$	27.5 GeV
COMPASS	$\bar{\mu} \bar{p}, \bar{d}$	160 GeV
HALL A	$\bar{e} \bar{n}$	6 GeV
CLAS	$\bar{e} \bar{p}, \bar{d}$	6 GeV



## SLAC - End Station A



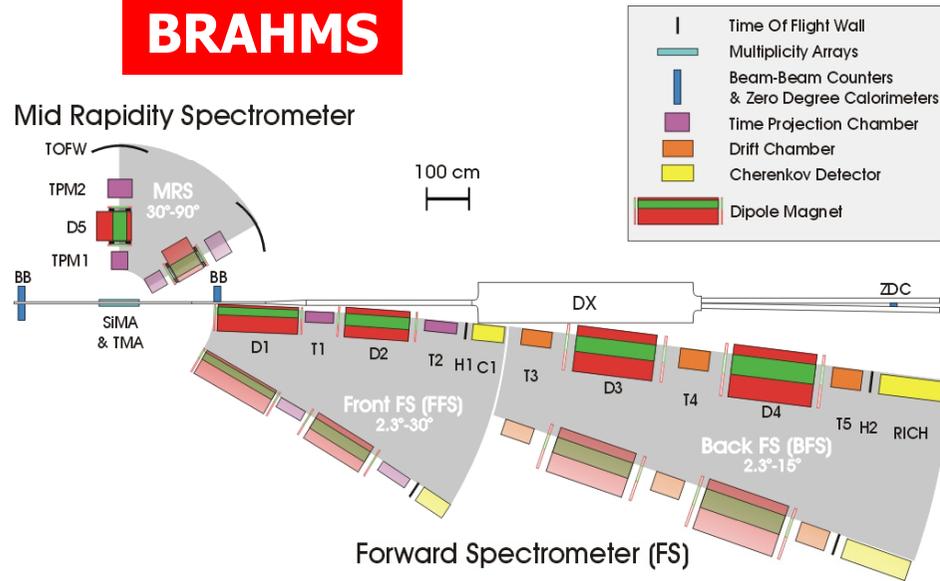
## HERMES



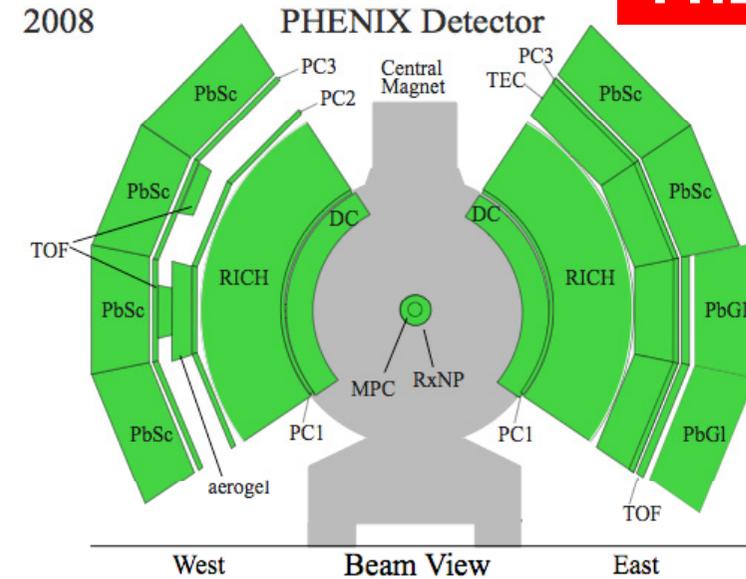


# Proton-Proton Scattering Experiments

## BRAHMS



## PHENIX



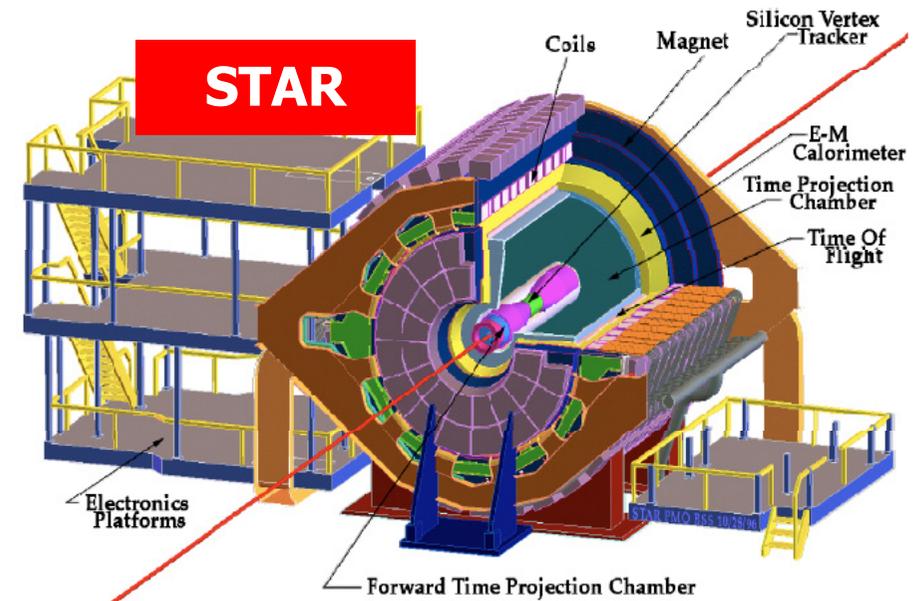
**RHIC @ BNL: Proton-Proton**

**$\sqrt{s}=200 / 500$  GeV**

**$\sim 50\%$  polarization**

**Lumi: L/T 48/18 pb<sup>-1</sup>**

## STAR



# Longitudinal Spin Structure



# Spin Structure Function $g_1(x, Q^2)$

$$\sigma_{DIS}^{\text{inclusive}} \propto g_1(x) \propto \frac{1}{2} \sum_q e_q^2 \{ \Delta q(x) + \Delta \bar{q}(x) \}$$

- Very precise data
- Good agreement among Experiments
- Large  $x \rightarrow$  extra talk: *Dave Gaskell*

## Deuteron data:

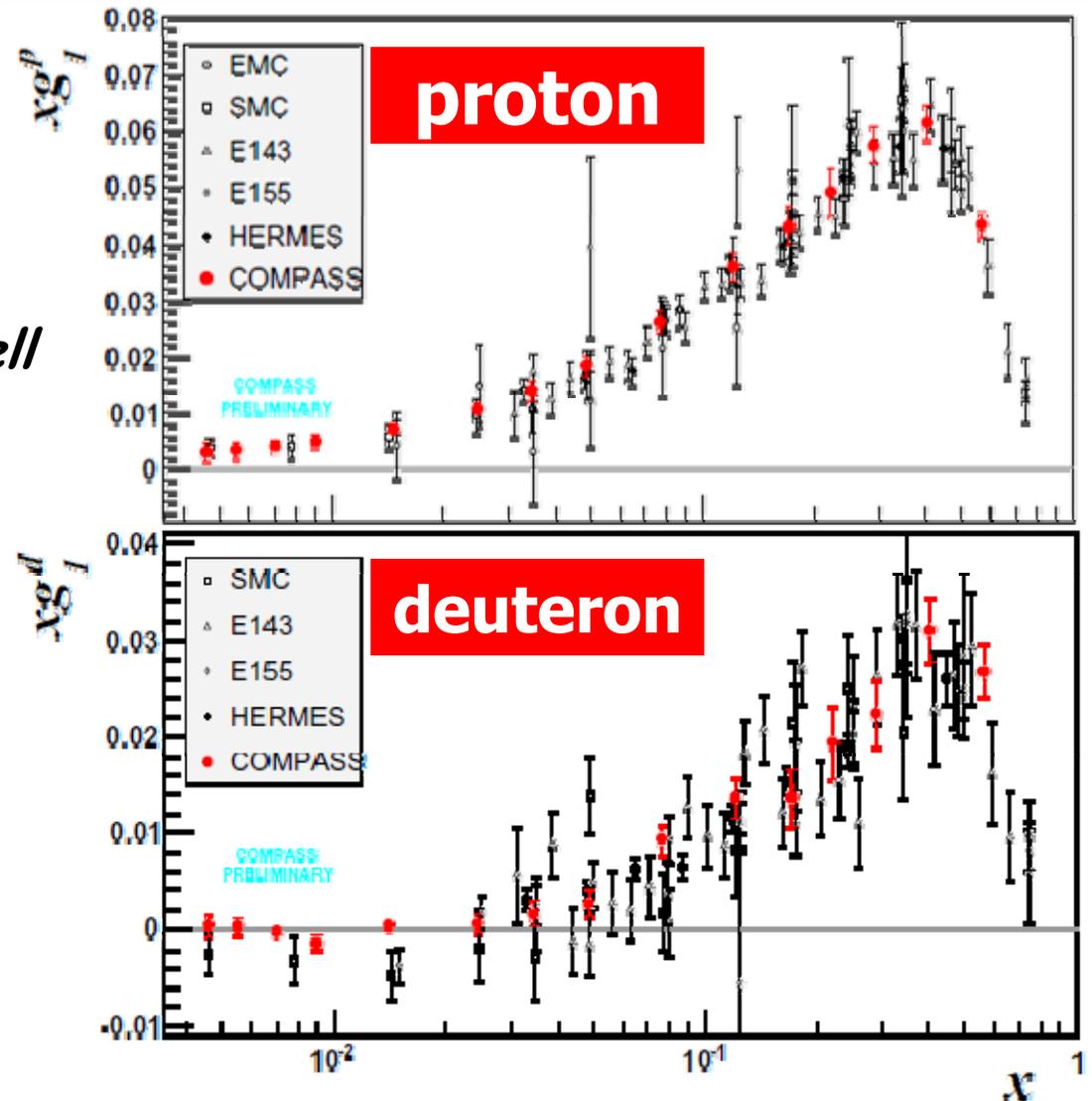
From  $\Gamma^1$  @  $Q^2 \rightarrow \infty$

### COMPASS:

- $a_0 = 0.33 \pm 0.03 \pm 0.05$
- $\Delta s + \Delta \bar{s} = 1/3(a_0 - a_8)$   
 $= -0.08 \pm 0.01 \pm 0.02$

### HERMES:

- $a_0 = 0.330 \pm 0.025 \pm 0.011 \pm 0.028$



# Structure Function $g_1(x, Q^2)$

$$\sigma_{DIS}^{inclusive} \propto \{ \dots + \Delta\bar{q}(x) \}$$

- Very small
- Good
- Large  $x$

## Deuteron data:

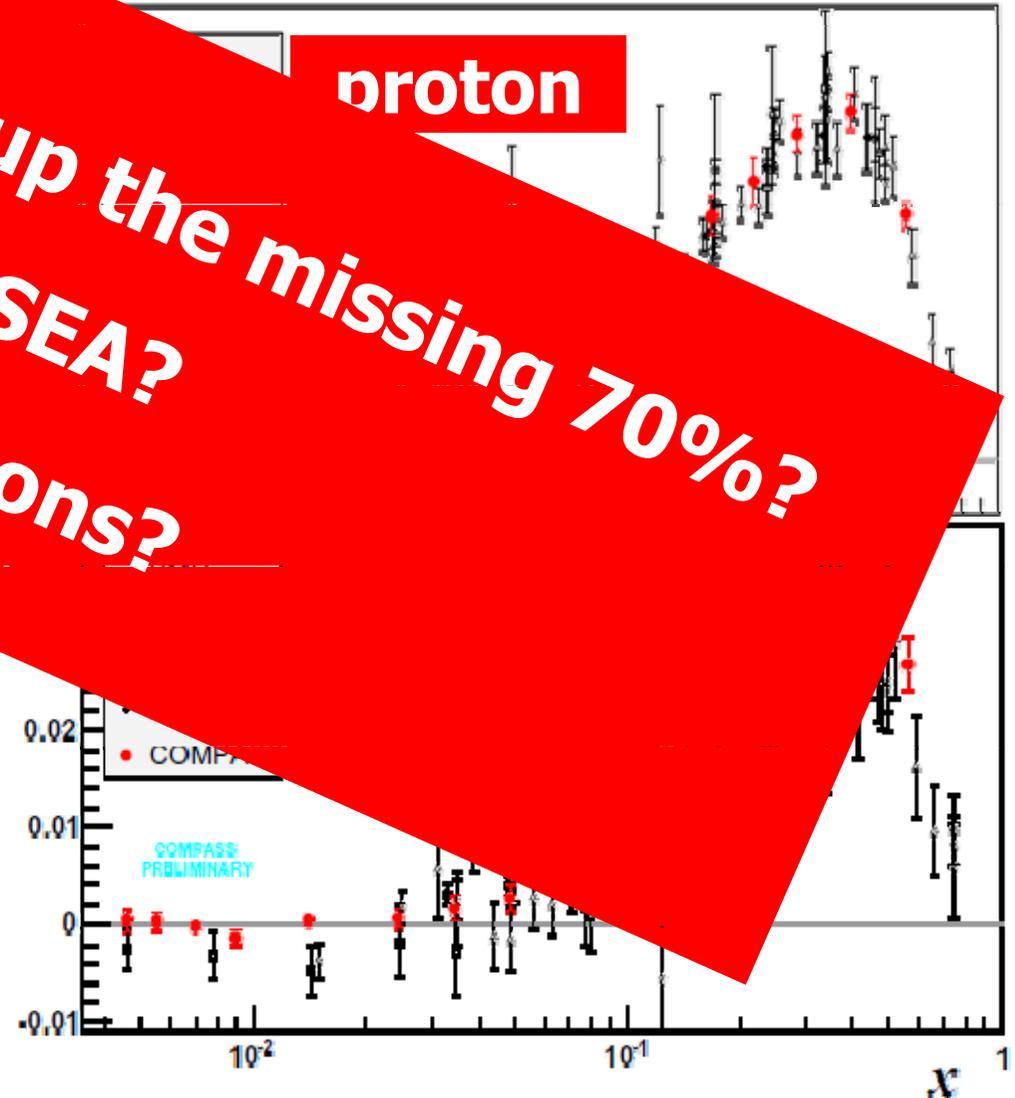
From  $\Gamma^1$  @  $Q^2 \rightarrow \infty$

### COMPASS:

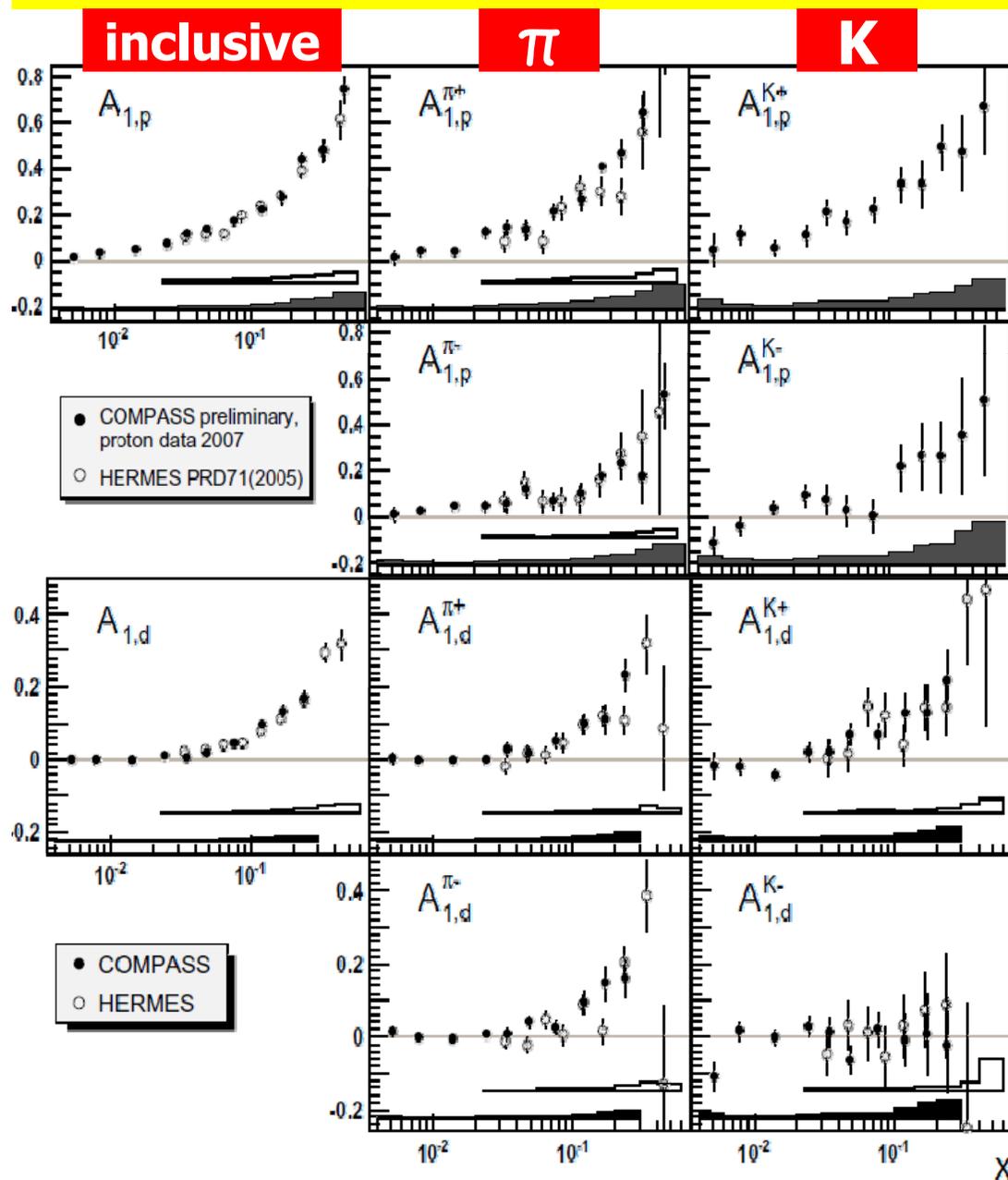
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 $= -0.08 \pm 0.01 \pm 0.02$

### HERMES:

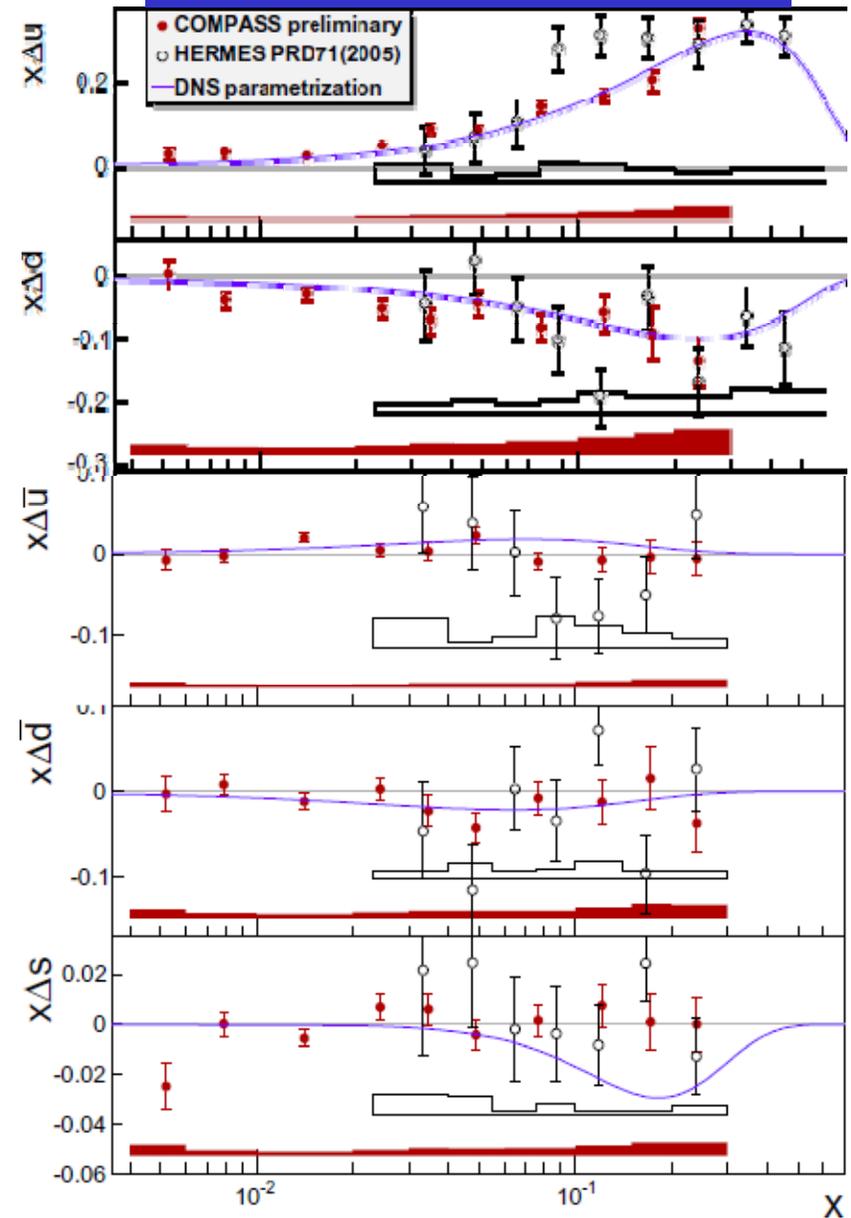
- $a_0 = 0.330 \pm 0.025 \pm 0.011 \pm 0.028$



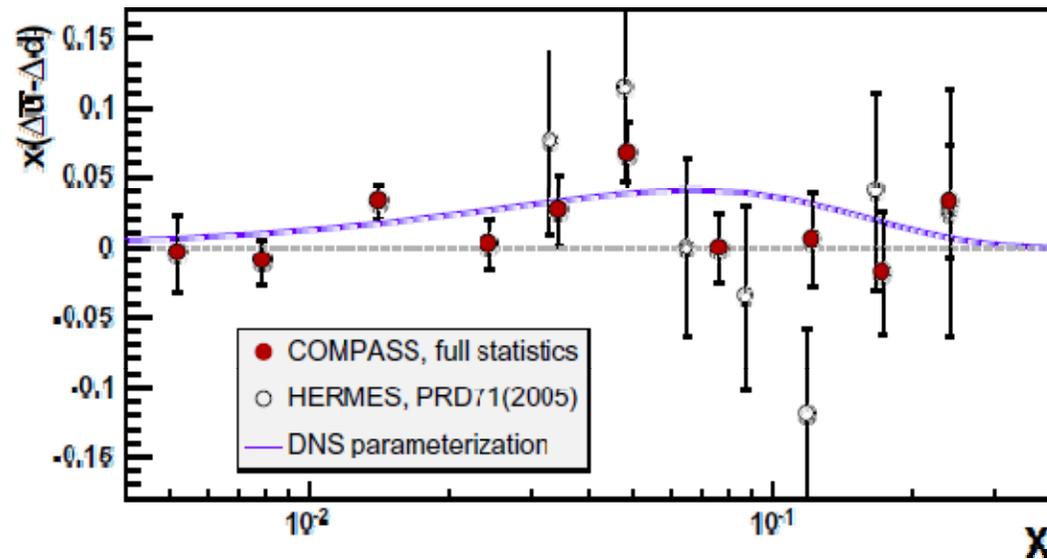
# SIDIS Photon-Nucleon Asymmetries



## Leading order analysis



# Flavor symmetry breaking $\Delta\bar{u} - \Delta\bar{d}$



COMPASS @  $Q^2=3(\text{GeV}/c)^2$  :  $\int_{0.004}^{0.3} (\Delta\bar{u} - \Delta\bar{d}) dx = 0.052 \pm 0.035(\text{stat}) \pm 0.013(\text{syst})$

HERMES @  $Q^2=2.5(\text{GeV}/c)^2$  :  $\int_{0.023}^{0.6} (\Delta\bar{u} - \Delta\bar{d}) dx = 0.048 \pm 0.057(\text{stat}) \pm 0.028(\text{syst})$

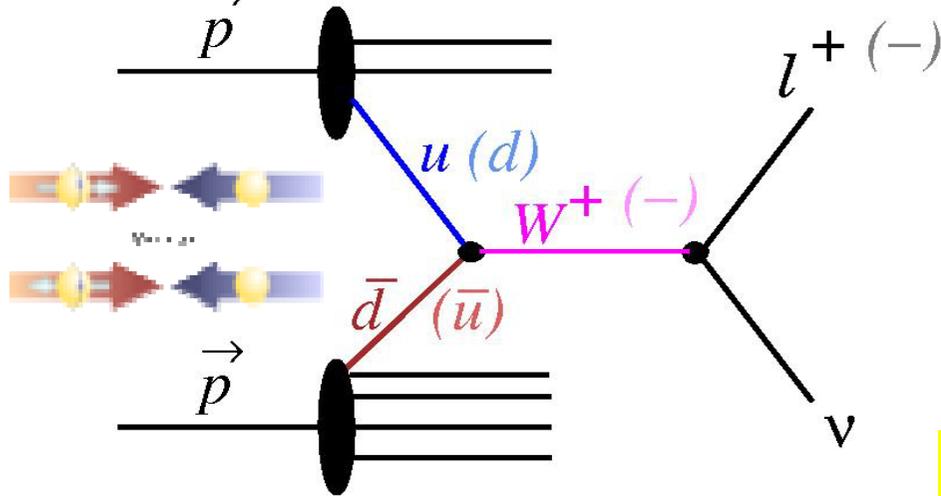
- Presently only accessible in SIDIS
- Considerable asymmetry in the unpolarized case

$$\int (\bar{u} - \bar{d}) dx = -0.118 \pm 0.012$$

- Model predicts naturally symmetry breaking for polarized case

# W Production at STAR

Bernd Surrow  
Jan Balewski



STAR measures  $W^\pm$   
through their  $e^\pm$  decays:

$$u + \bar{d} \rightarrow W^+ \rightarrow e^+ + \nu$$

$$\bar{u} + d \rightarrow W^- \rightarrow e^- + \bar{\nu}$$

• **No fragmentation uncertainty**

• Measure the parity-violating, single-spin helicity asymmetry

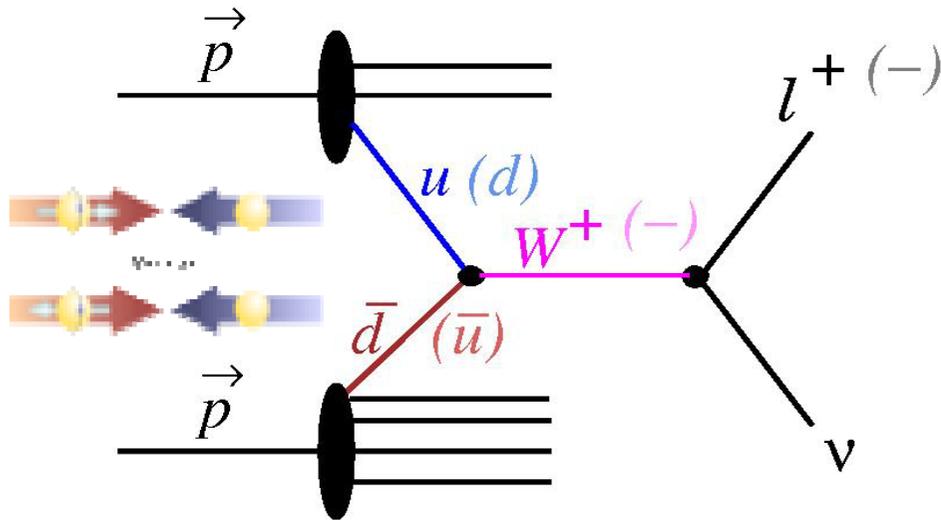
$$A_L = (\sigma^+ - \sigma^-) / (\sigma^+ + \sigma^-)$$

• At LO:  $A_L^{W^+} \propto -\Delta u(x_1)\bar{d}(x_2) + \Delta\bar{d}(x_1)u(x_2)$  **< 0**  
 $A_L^{W^-} \propto -\Delta d(x_1)\bar{u}(x_2) + \Delta\bar{u}(x_1)d(x_2)$  **> 0**

Expectations:

using pol. PDFs (DSSV)  
& universality

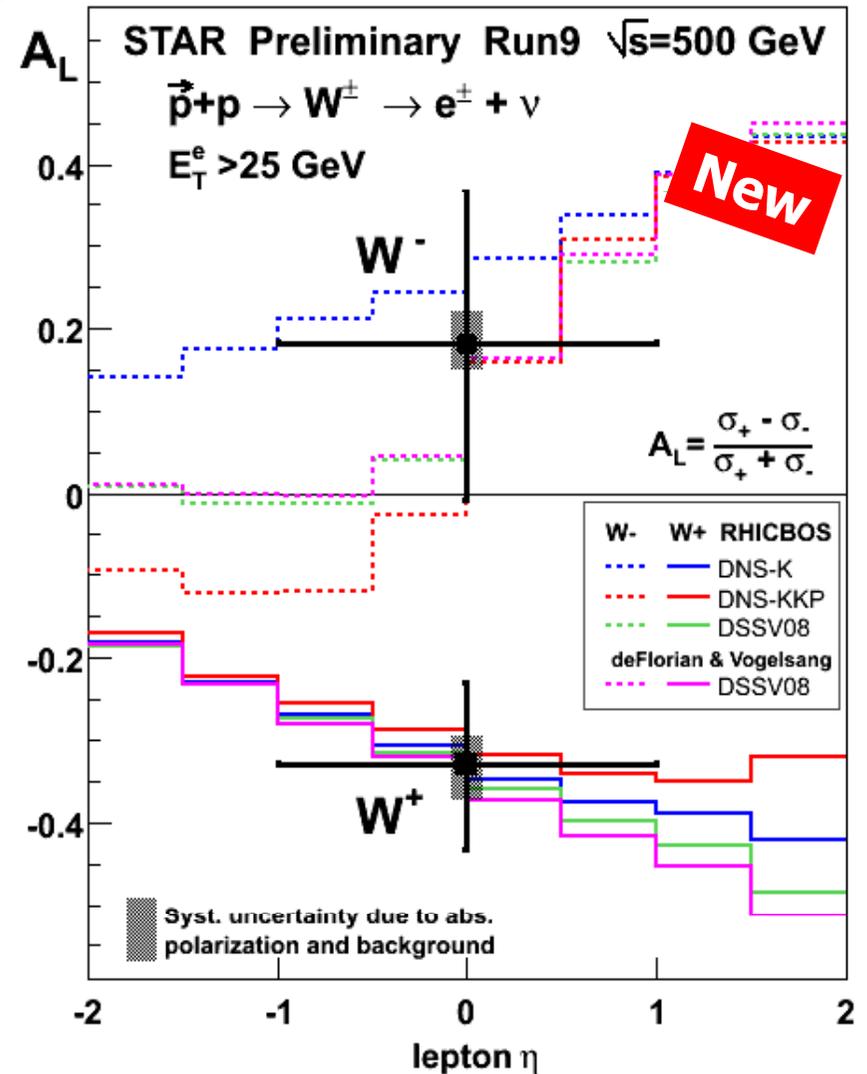
# W Production at STAR - Asymmetries



STAR Preliminary Run 9 ( $p+p \sqrt{s}=500 \text{ GeV}$ )

$$A_L(W^+) = -0.33 \pm 0.10(\text{stat.}) \pm 0.04(\text{syst.})$$

$$A_L(W^-) = 0.18 \pm 0.19(\text{stat.}) \begin{matrix} +0.04 \\ -0.03 \end{matrix}(\text{syst.})$$

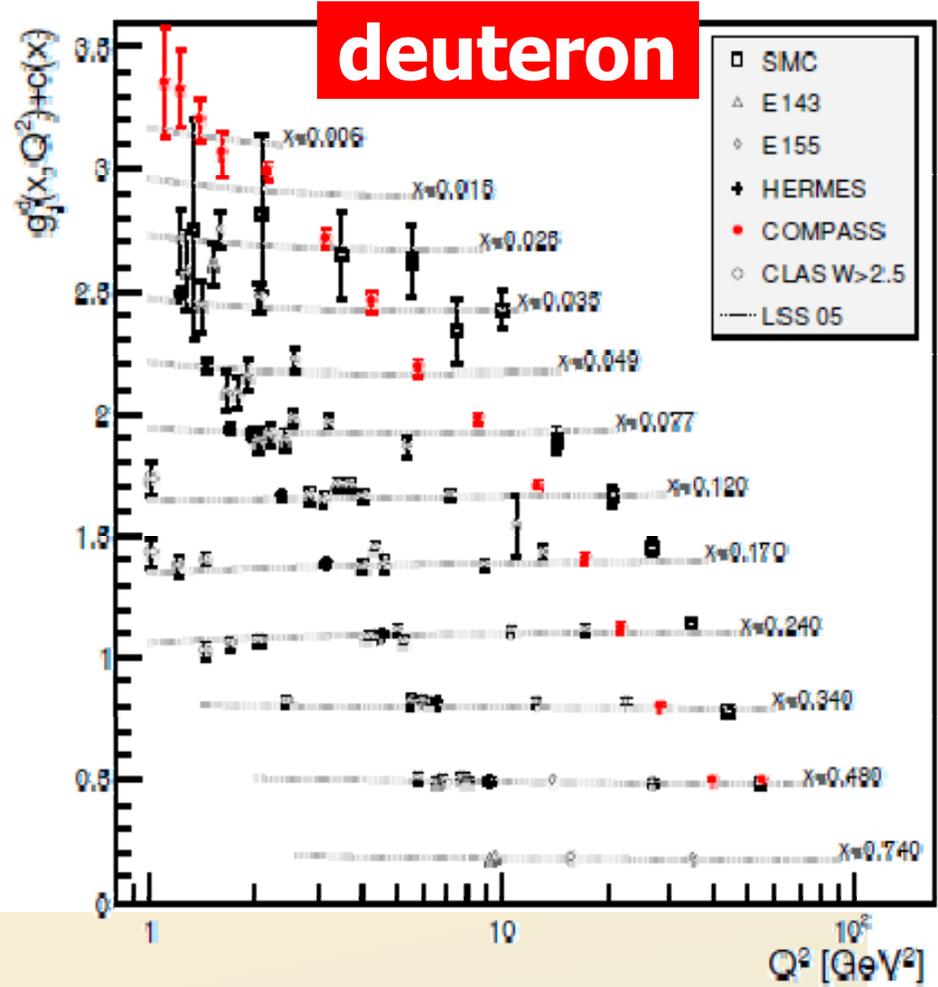
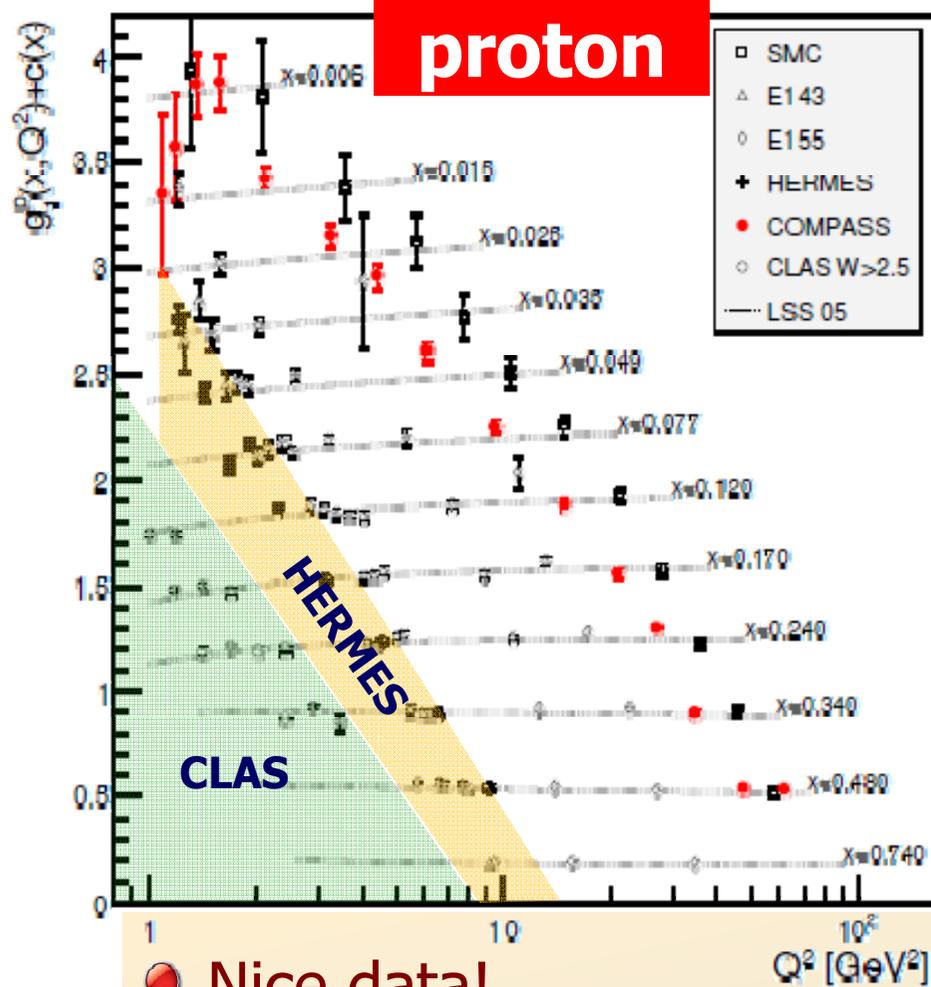


$$A_L^{W^+} \propto -\Delta u(x_1)\bar{d}(x_2) + \Delta\bar{d}(x_1)u(x_2) < 0 \quad (3.3 \sigma)$$

$$A_L^{W^-} \propto -\Delta d(x_1)\bar{u}(x_2) + \Delta\bar{u}(x_1)d(x_2) > 0$$

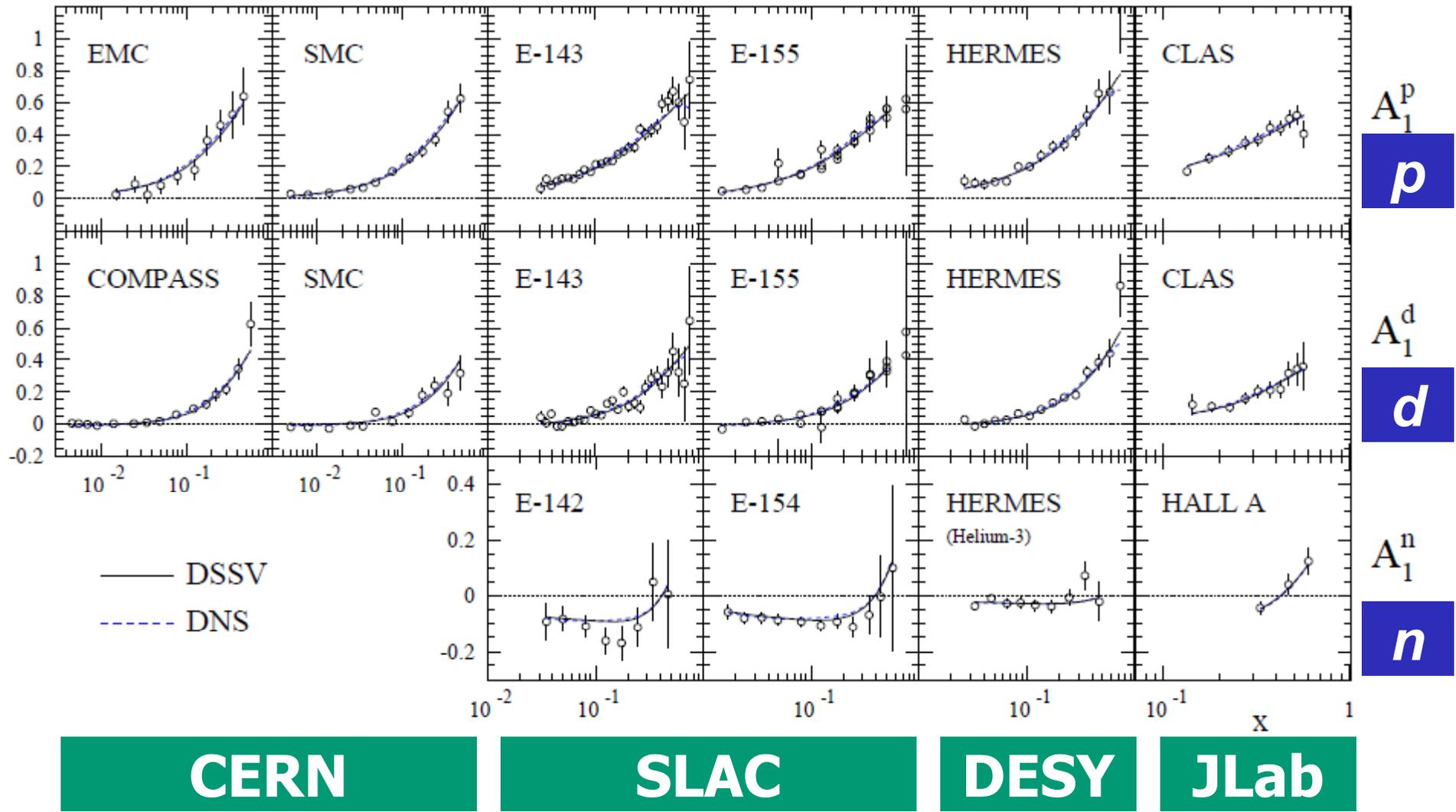
Bernd Surrow  
Jan Balewski

# Global NLO QCD Analysis

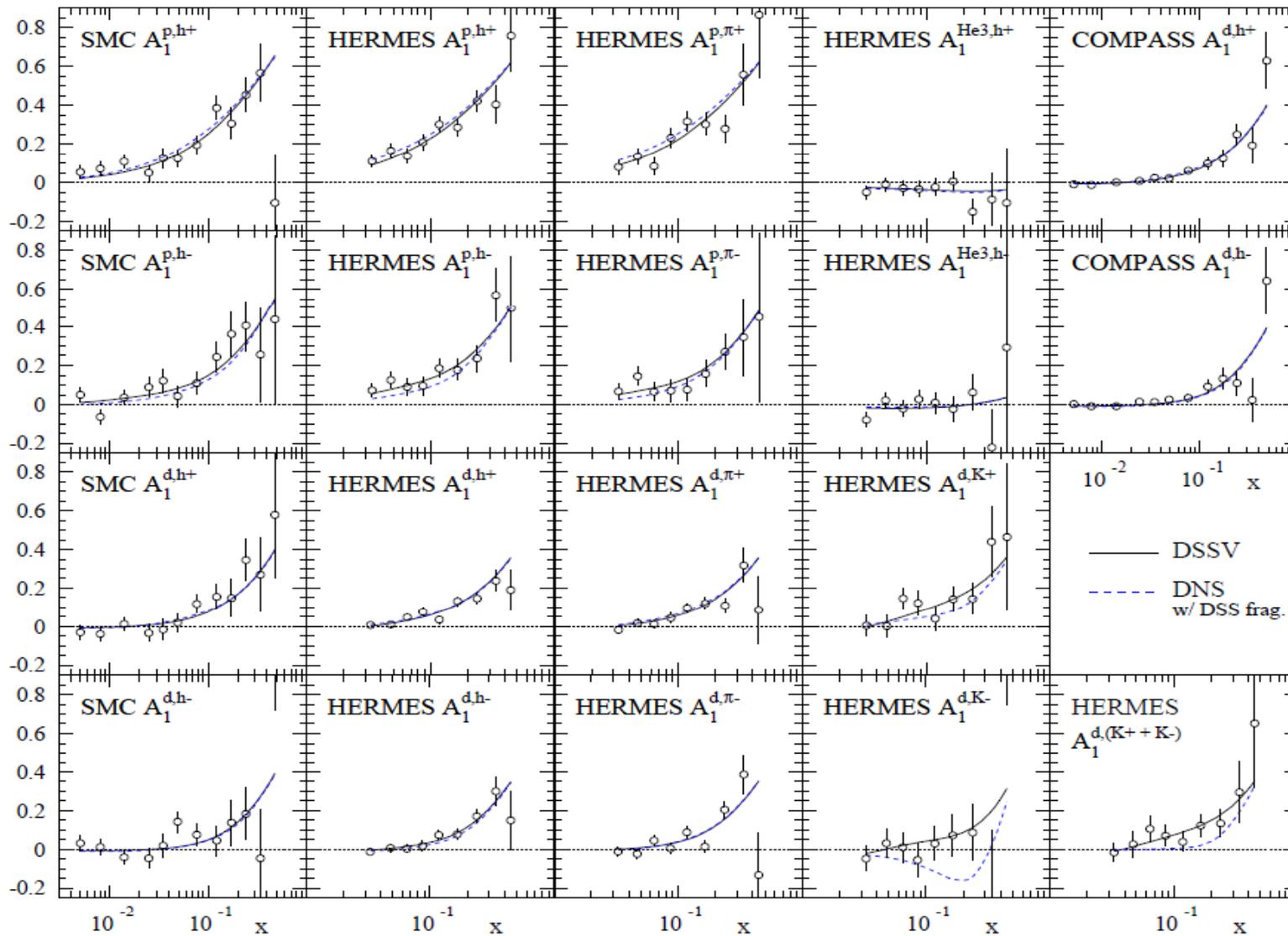


- Nice data!
- $Q^2$  dependence of  $g_1$  data described in QCD
- Limited kinematic range (c.f. Collider)

# Inclusive World data



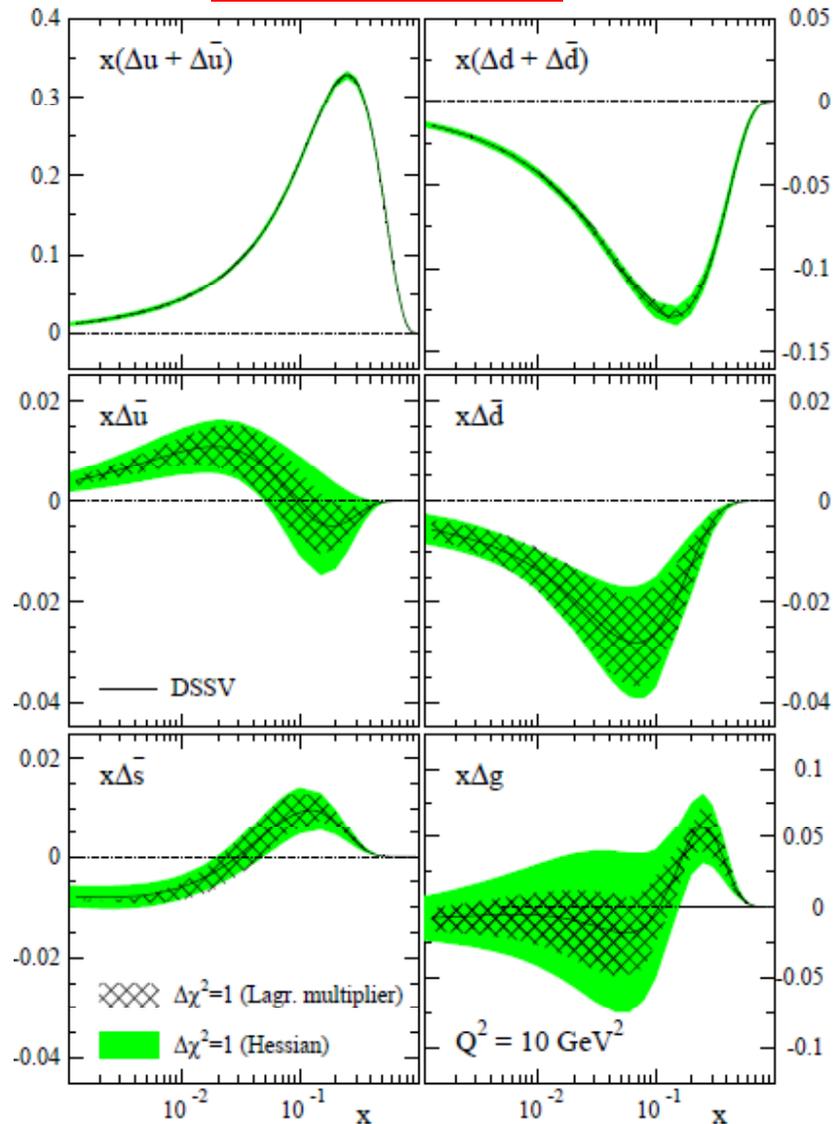
# Semi-Inclusive World Data



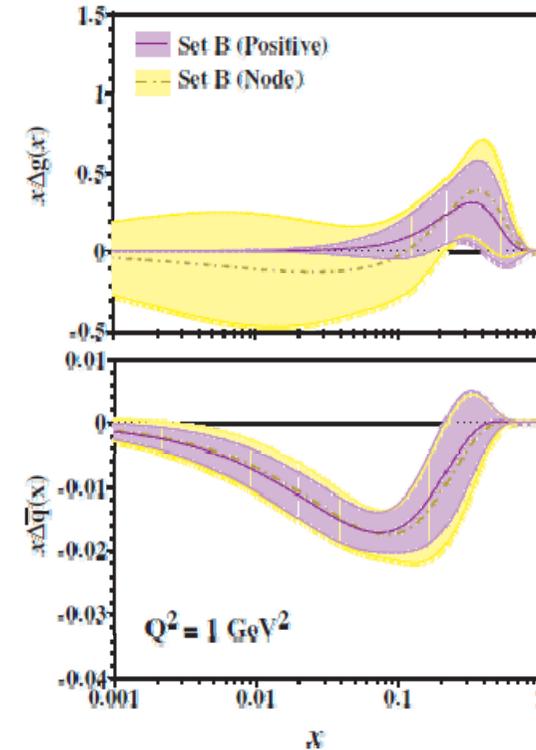
CERN & DESY

# Selected Results from two Global Fits

**DSSV**

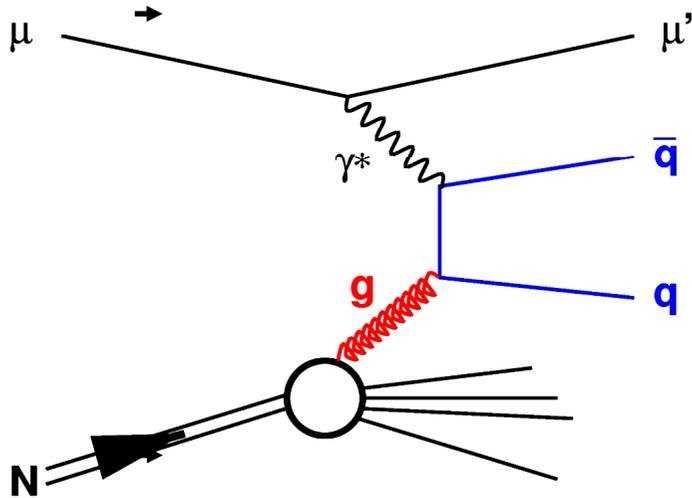


**Hirai, Kumano, Saito**



- Quark distributions well determined
- Possibility of a node @  $x=0.1$  in  $\Delta g(x)$
- SIDIS prefer  $\Delta s > 0$  ( $x > 0.01$ )  
 Incl. data require  $\Delta s < 0$  (SU3)  
 Fits give indication for a node

# Direct Access to the Gluon Polarization (SIDIS)



## Strategies to suppress background:

●  $q = c$

charm fragmentation:

- $D^0, D^*$  (60%)
- $D^+$  (20%)
- $D^+_{s'}, \Lambda^+_c$  (10% each)

● Hadrons with large  $p_T$

$q = u, d, s$

● Direct measurement of  $\Delta G/G$  in **Photon-Gluon-Fusion**

$$A_{||} = R_{pgf} \langle \hat{a}_{pdf} \rangle \left\langle \frac{\Delta g}{g} \right\rangle$$

➡ single charmed meson



- quasi-real photons
- AROMA, RAPGAP

➡ high- $p_T$  hadron pairs (no ID of  $\pi, K$ )

- $Q^2 > 1 \text{ GeV}^2$
- LEPTO
- $Q^2 < 1 \text{ GeV}^2$  / unmeas.
- PYTHIA

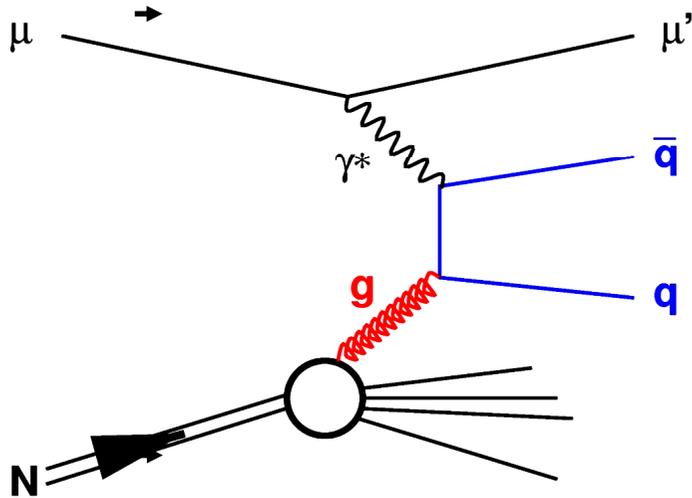


➡ high- $p_T$  single hadron

- small  $Q^2$  / unmeasured
- PYTHIA



# Direct Access to the Gluon Polarization (SIDIS)

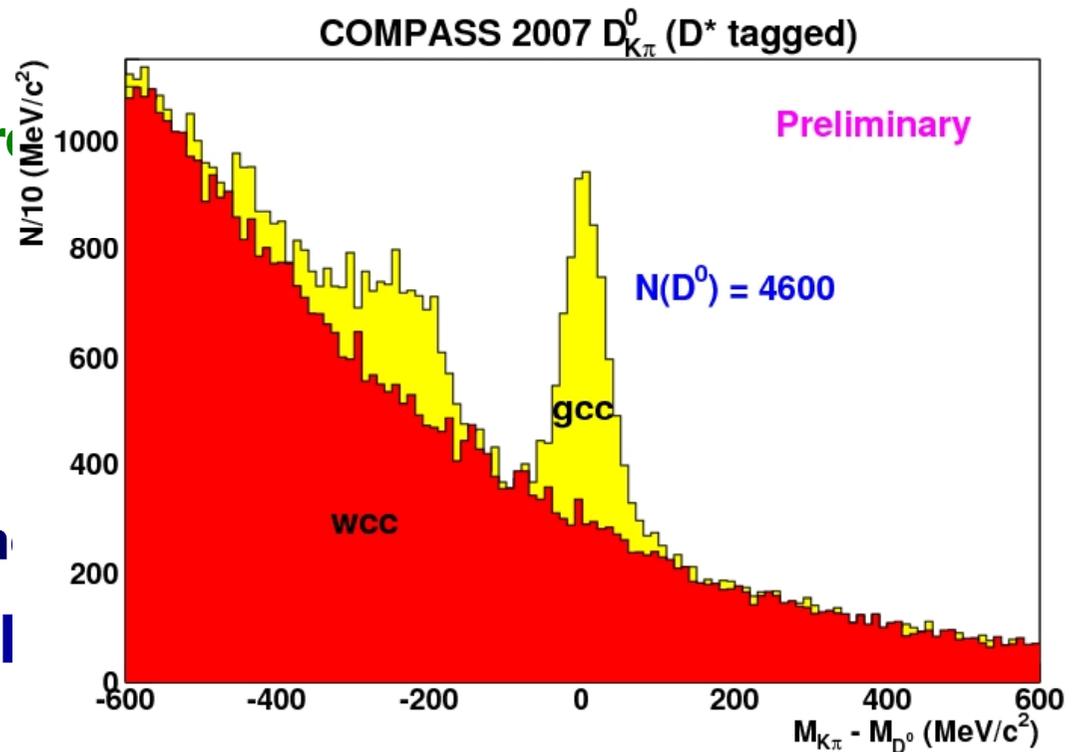


- Direct measurement of  $\Delta G/G$  in **Photon-Gluon-Fusion**

$$A_{||} = R_{pgf} \langle \hat{a}_{pdf} \rangle \left\langle \frac{\Delta g}{g} \right\rangle$$

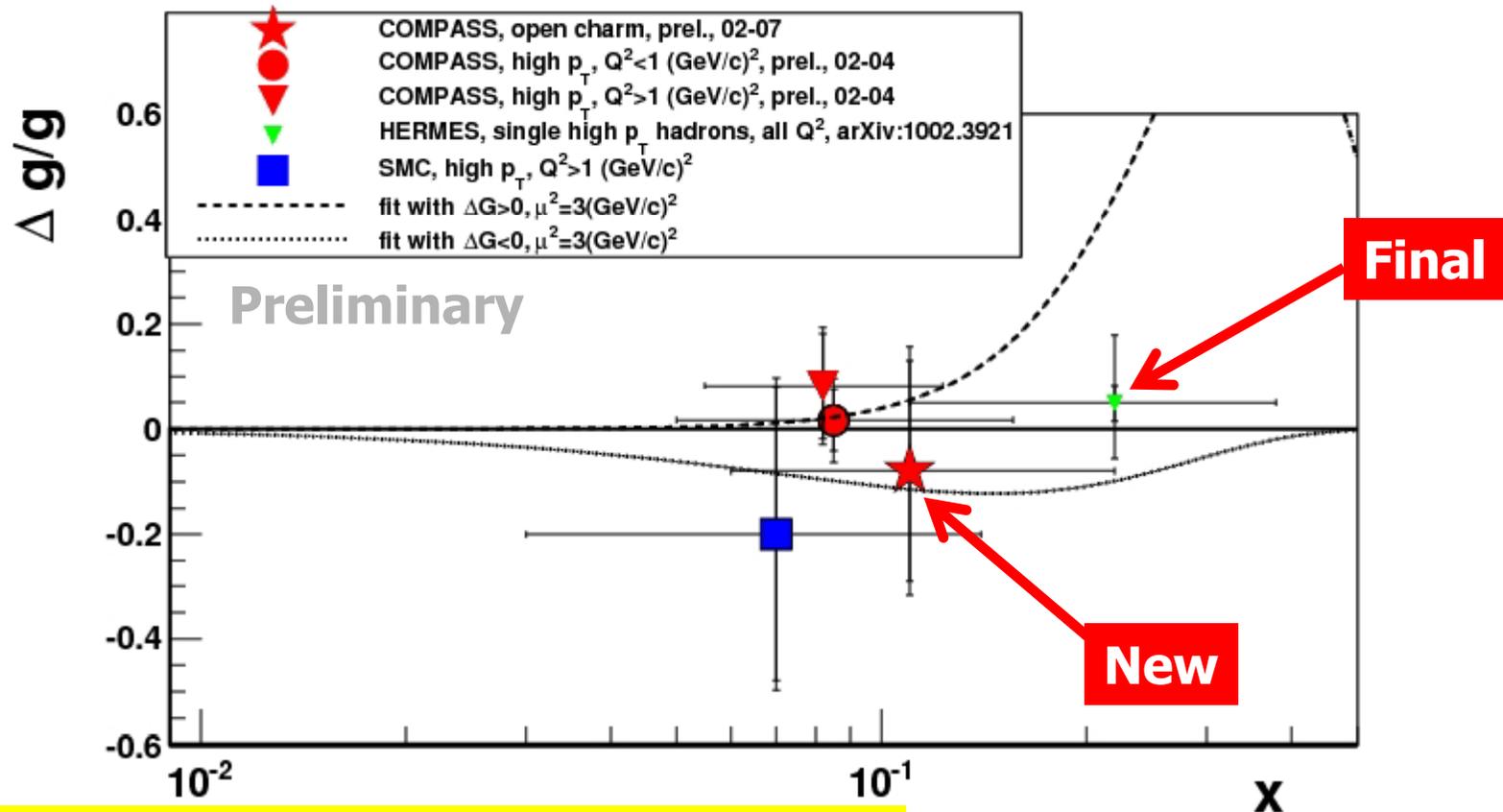
## Strategies to suppress background

- $q = c$   
charm fragmentation:
  - $D^0, D^*$  (60%)
  - $D^+$  (20%)
  - $D^+_s, \Lambda^+_c$  (10% ea)
- Hadrons with large  $|x_q|$   
 $q = u, d, s$



# Summary Gluon Polarization

Presently all Analysis in LO only



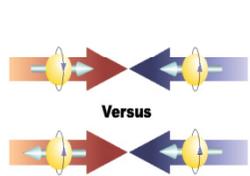
**COMPASS Open Charm:**

**$\Delta G/G = -0.08 \pm 0.21(\text{stat}) \pm 0.11(\text{sys.})$**   
**(Systematic error still under investigations)**

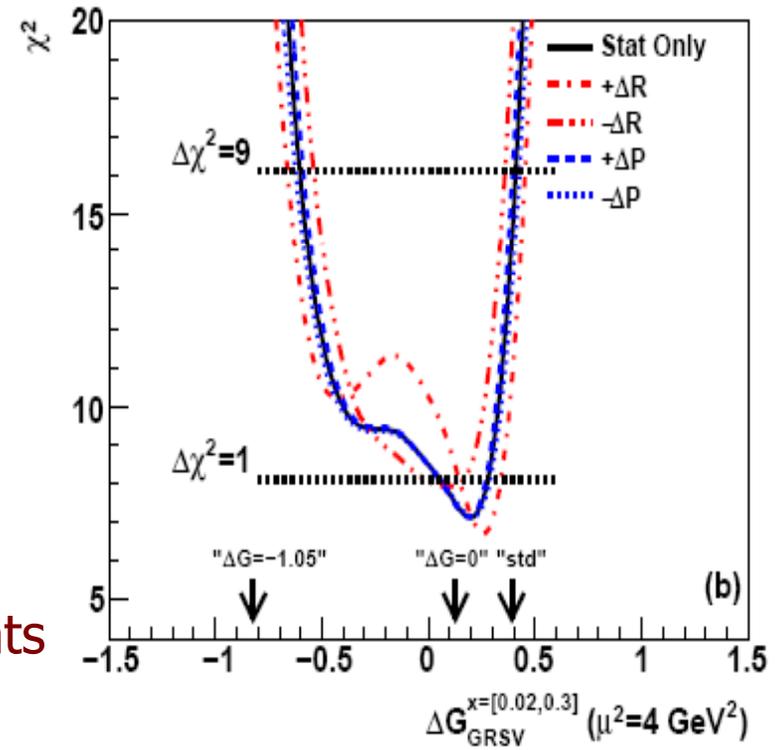
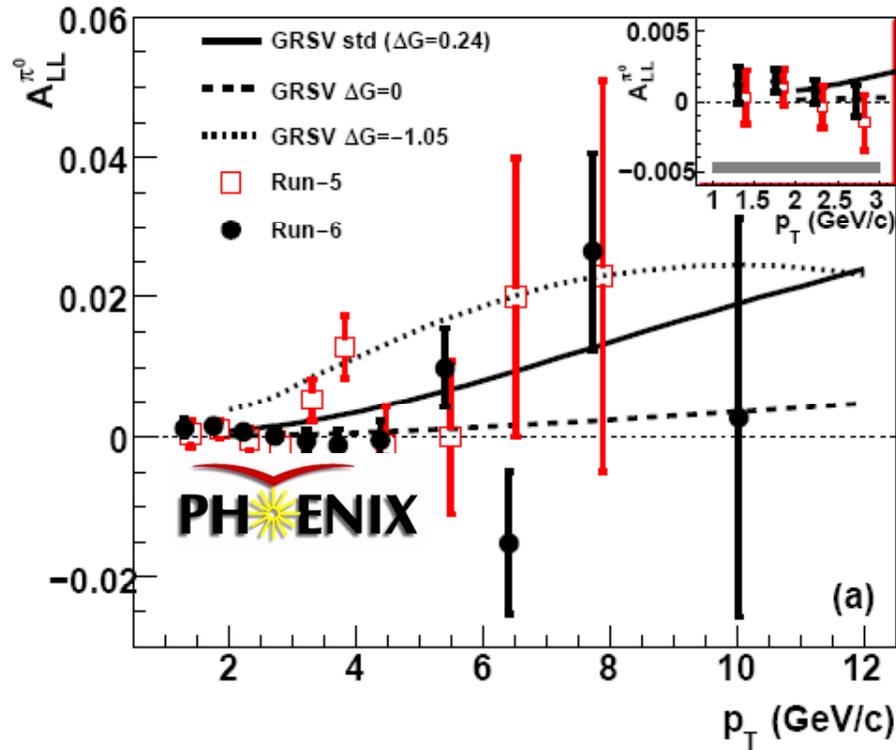
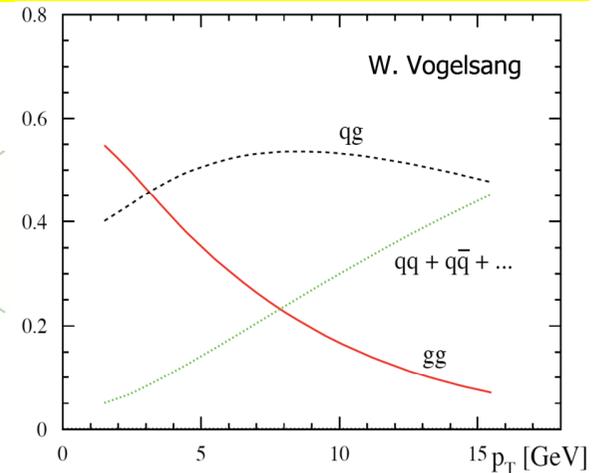
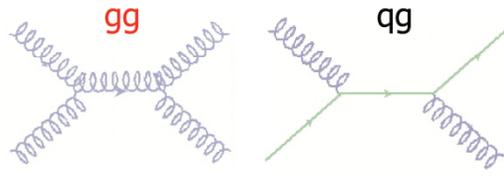
**(Value supersedes previous publication)**

# Gluon Polarization from RHIC

## One example from PHENIX & STAR:



$$p^\uparrow p^\uparrow \rightarrow \pi^0 X$$



- Confirmation of lepton scattering experiments
- Impact on extraction of  $\Delta g(x)$  in QCD-fits

# Transverse Spin Structure



# Single Hadron Production Cross Section

$$\begin{aligned}
 \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h-}^2} = & \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\
 & + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + P_{beam} \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} \\
 & + P_L \left[ \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] \\
 & + P_L P_{beam} \left[ \sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
 & + |P_T| \left[ \sin(\phi_h - \phi_S) \left( F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
 & + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \\
 & \left. + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right] \\
 & + |P_T| P_{beam} \left[ \sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right. \\
 & \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \left. \right\},
 \end{aligned}$$

● **General expression**

● **Valid for**

☑ **SIDIS**

☑ **exclusive reactions**

● **for entire phase space  
(TFR, CFR)**

A.Kotzinian NPB 441 (1995) 234  
Bacchetta et al, JHEP 0702:093,2007

# Transverse Momentum Dependent PDFs

Mulders and Tangerman,  
Nucl. Phys. B 461 (1996) 197  
A. Bacchetta et al.,  
JHEP 0702 (2007)

		quark			
		U	L	T	
n c i o n	U	$f_1$		$h_1^\perp$	Boer-Mulders DF*#
	L		$g_1$	$h_{1L}^\perp$	'worm-gear 1' DF #
	T	$f_{1T}^\perp$	$g_{1T}^\perp$	$h_1$	Transversity DF #
				$h_{1T}^\perp$	Prezelocity DF #

**Sivers DF \***      **'worm-gear 2' DF**

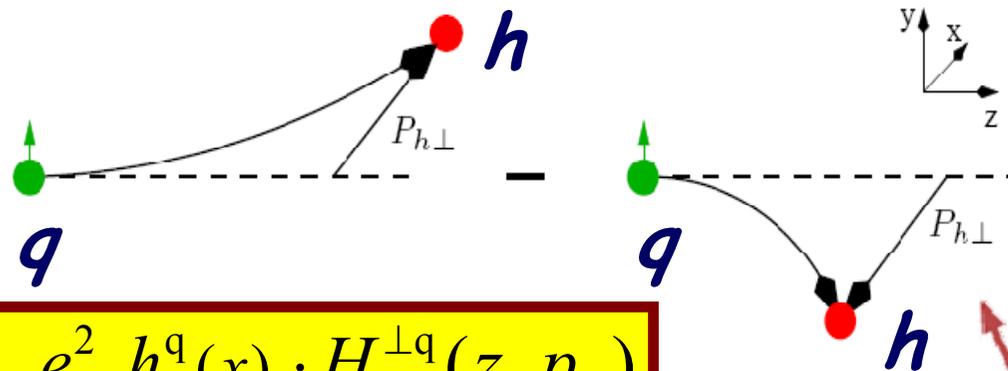
\* T-odd  
# chiral-odd

- Only  $f_1$  and  $g_1$  measurable in inclusive DIS, all others in SIDIS

# Transversity DF & Collins FF

- The Collins FF  $H_1^{\perp q}(z, p_T)$  correlates the transverse spin of the fragmenting quark and the transverse momentum  $P_{h\perp}$  of the produced hadron  $h$

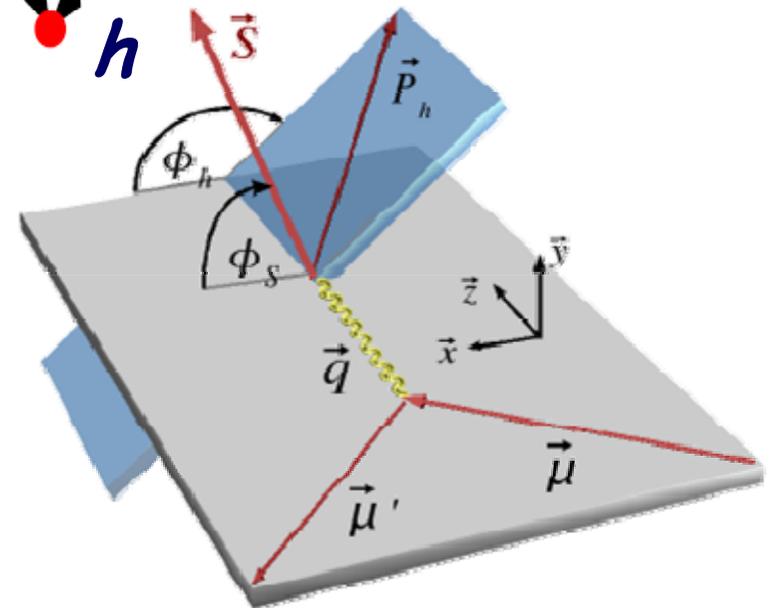
N/q	U	L	T
U	$f_1$		$h_1^\perp$
L		$g_1$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_1$ $h_{1T}^\perp$



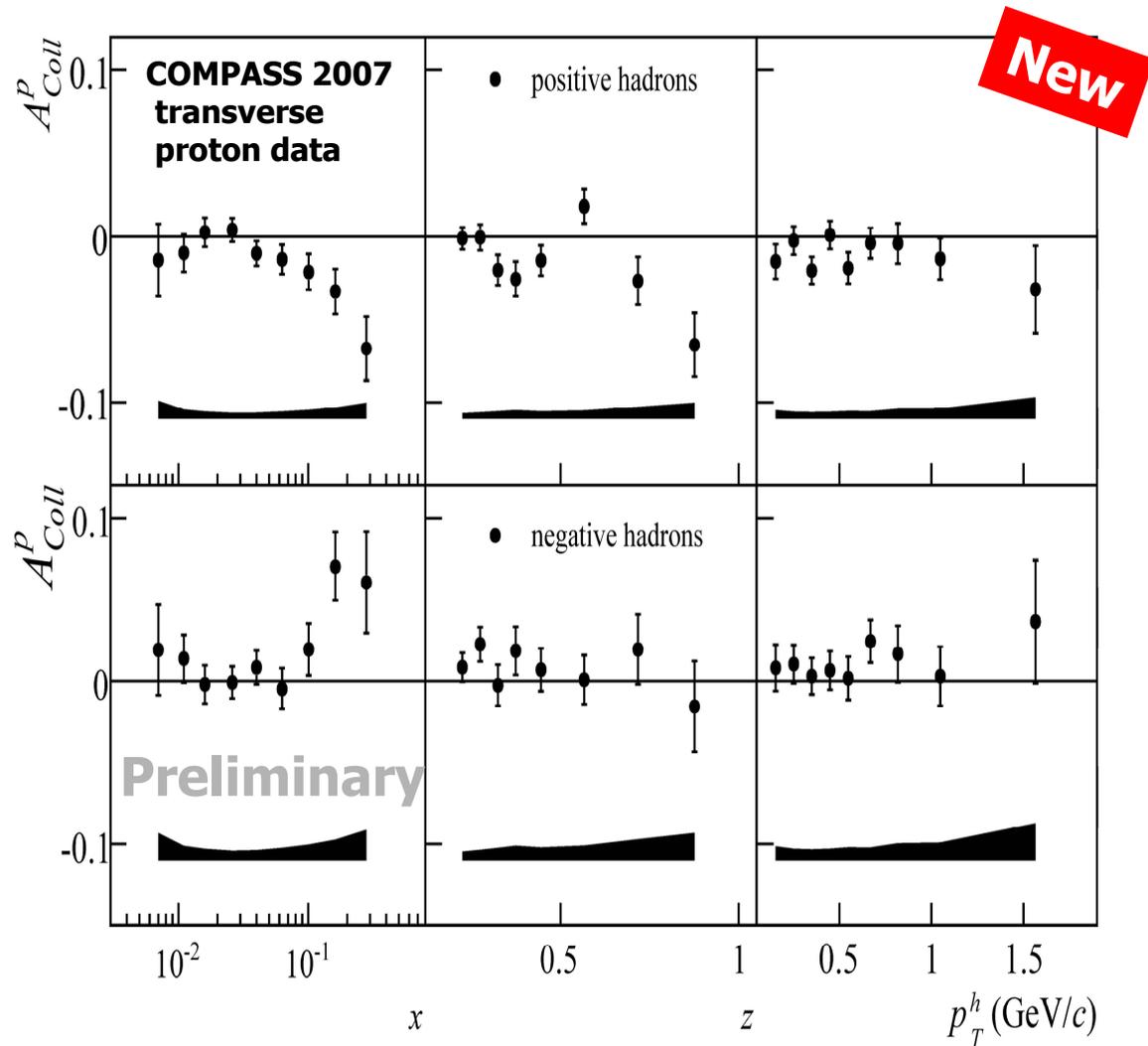
$$A_{\text{Coll}} \propto \frac{\sum_q e_q^2 h_1^q(x) \cdot H_1^{\perp q}(z, p_T)}{\sum_q e_q^2 f^q(x) \cdot D_q^h(z)}$$

$$A_{\text{Coll}} = \frac{A_{UT}^{\sin \phi}}{D_{NN} \cdot f \cdot P}$$

$$\Phi_C = \phi_h - \phi_s - \pi$$



# Transversity



● Collins FF and Transversity distribution function are sizeable

●  $\pi^-$  asymmetries unexpectedly large

● Indication for large contribution from unfavoured FF

$$H_{1\ unf}^{\perp q} \approx -H_{1\ fav}^{\perp q}$$

# Two Hadron Interference FF

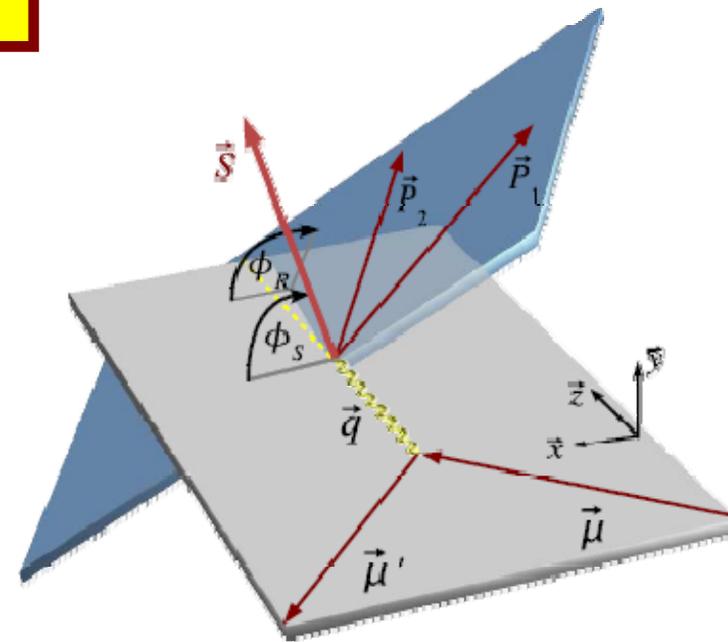
Alternative: couple  $h_1^q(x)$  to chiral odd 2-hadron interference FF  $H_1^{\perp q}$

$$A_{\text{Coll}} \propto \frac{\sum_q e_q^2 h_1^q(x) \cdot H_1^{\perp q}(z, M_T^2)}{\sum_q e_q^2 f^q(x) \cdot D_q^h(z, M_T^2)}$$

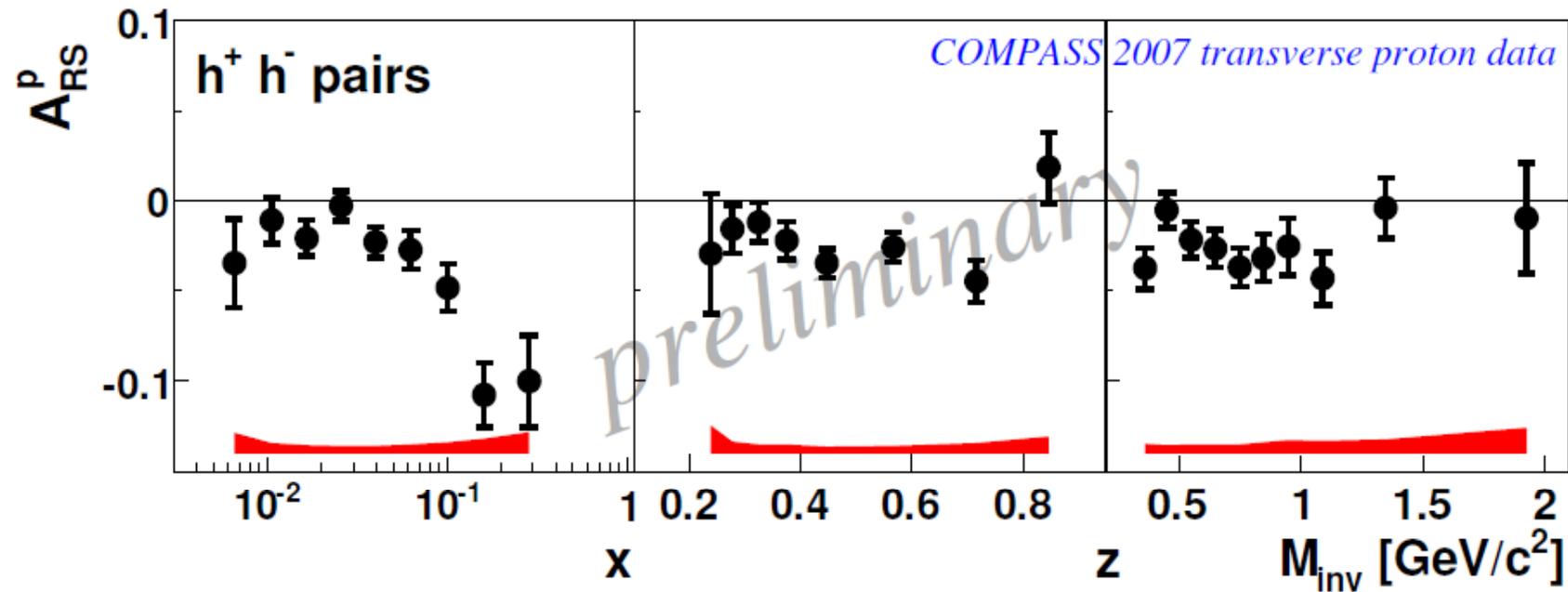
**cross-section asymmetry:**

$$\frac{\Delta\sigma}{\sigma} \propto A_{RS} \sin\phi_{RS} \sin\theta$$

$$\phi_{RS} = \phi_R + \phi_S - \pi; \quad \sin\theta \simeq 1$$



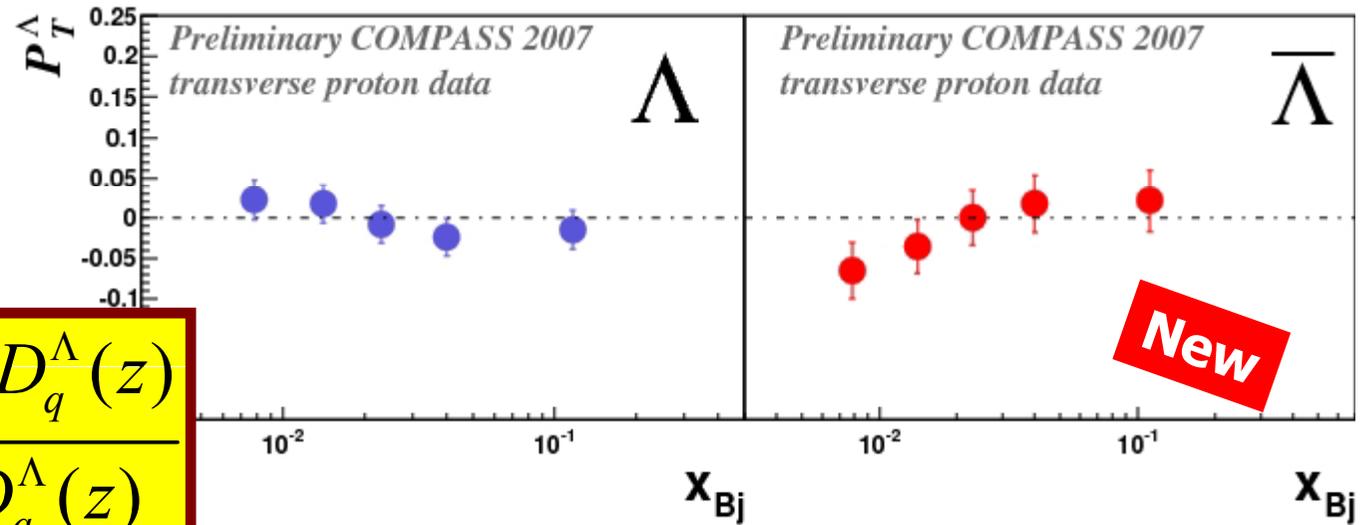
# Two Hadron Interference FF



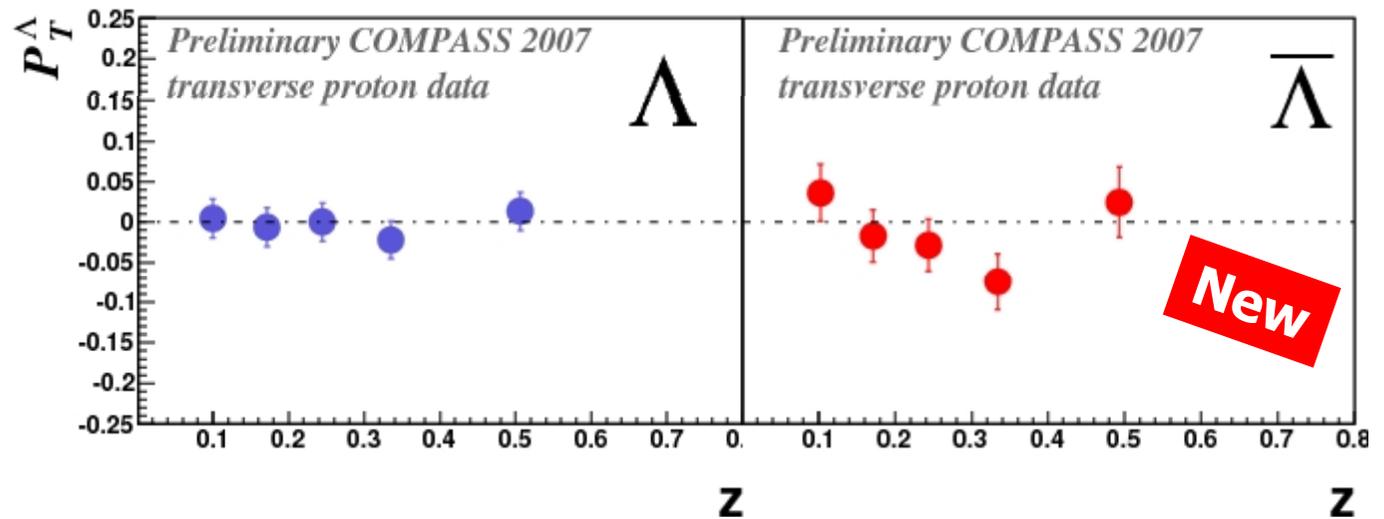
- large asymmetries
- interference FF and transversity sizable

# Transversity from $\Lambda$ polarization

$$P_{\Lambda} \propto \frac{\sum_q e_q^2 h_1^q(x) \Delta_T D_q^{\Lambda}(z)}{\sum_q e_q^2 f^q(x) D_q^{\Lambda}(z)}$$

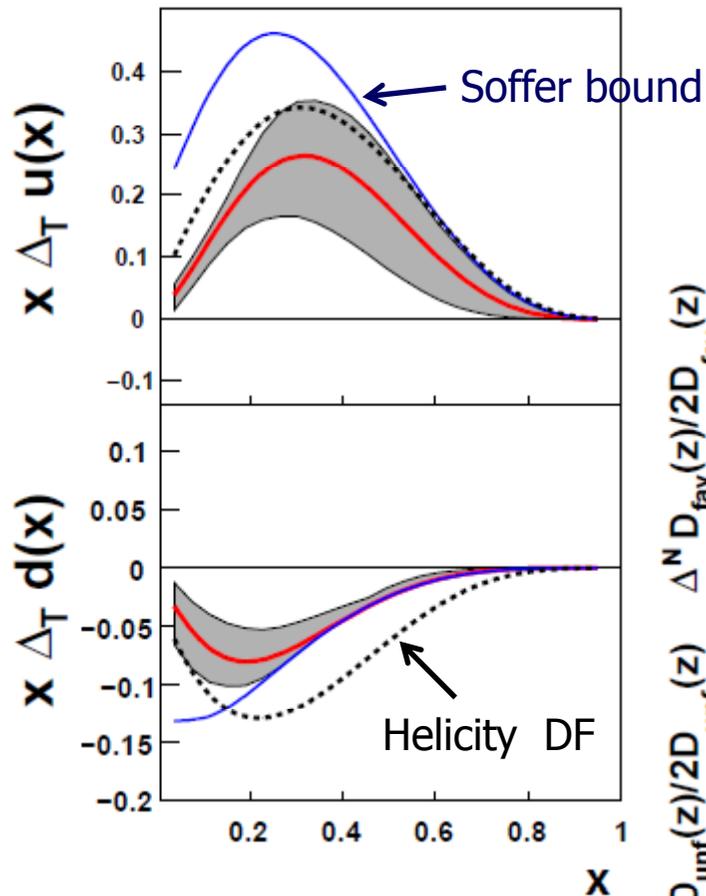


- Indication that  $\Delta_T D_q^{\Lambda}(z)$  might be small



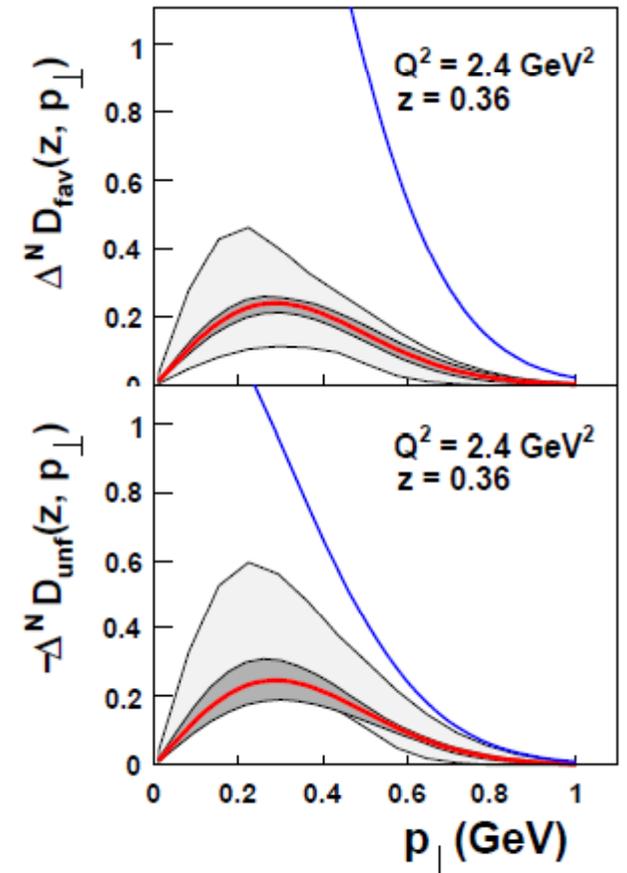
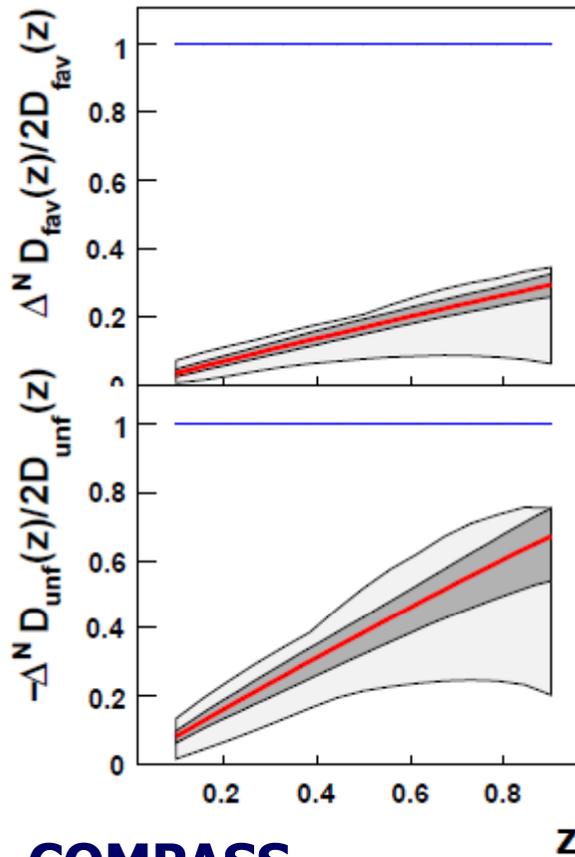
Systematic error:  $\sigma_{sys.} \leq 0.74 \sigma_{stat.}$

# Transversity DF and Collins FF from a Global Fit



● Indication for large contribution from unfavoured FF

$$H_{1 \text{ unf}}^{\perp q} \approx -H_{1 \text{ fav}}^{\perp q}$$



● Extraction from Belle, COMPASS, HERMES data @  $Q^2=2.4 \text{ (GeV/c)}^2$

Anselmino et al.

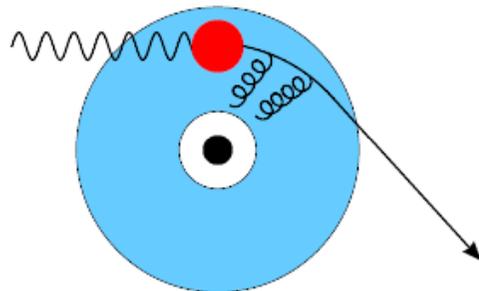
# Sivers

$$A_{Siv} \propto \frac{\sum_q e_q^2 f_{1T}^{\perp q}(x, p_T^h/z) \cdot D_q^h(z)}{\sum_q e_q^2 f^q(x, p_T^h/z) \cdot D_q^h(z)}$$

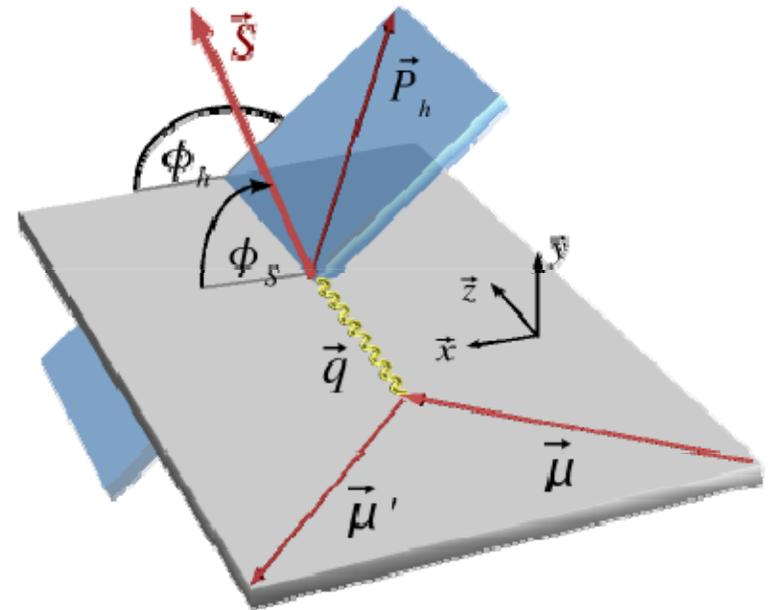
N/q	U	L	T
U	$f_1$		$h_1^\perp$
L		$g_1$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_1$ $h_{1T}^\perp$

$$\frac{\Delta\sigma}{\sigma} \propto A_{Siv} \sin \Phi_S$$

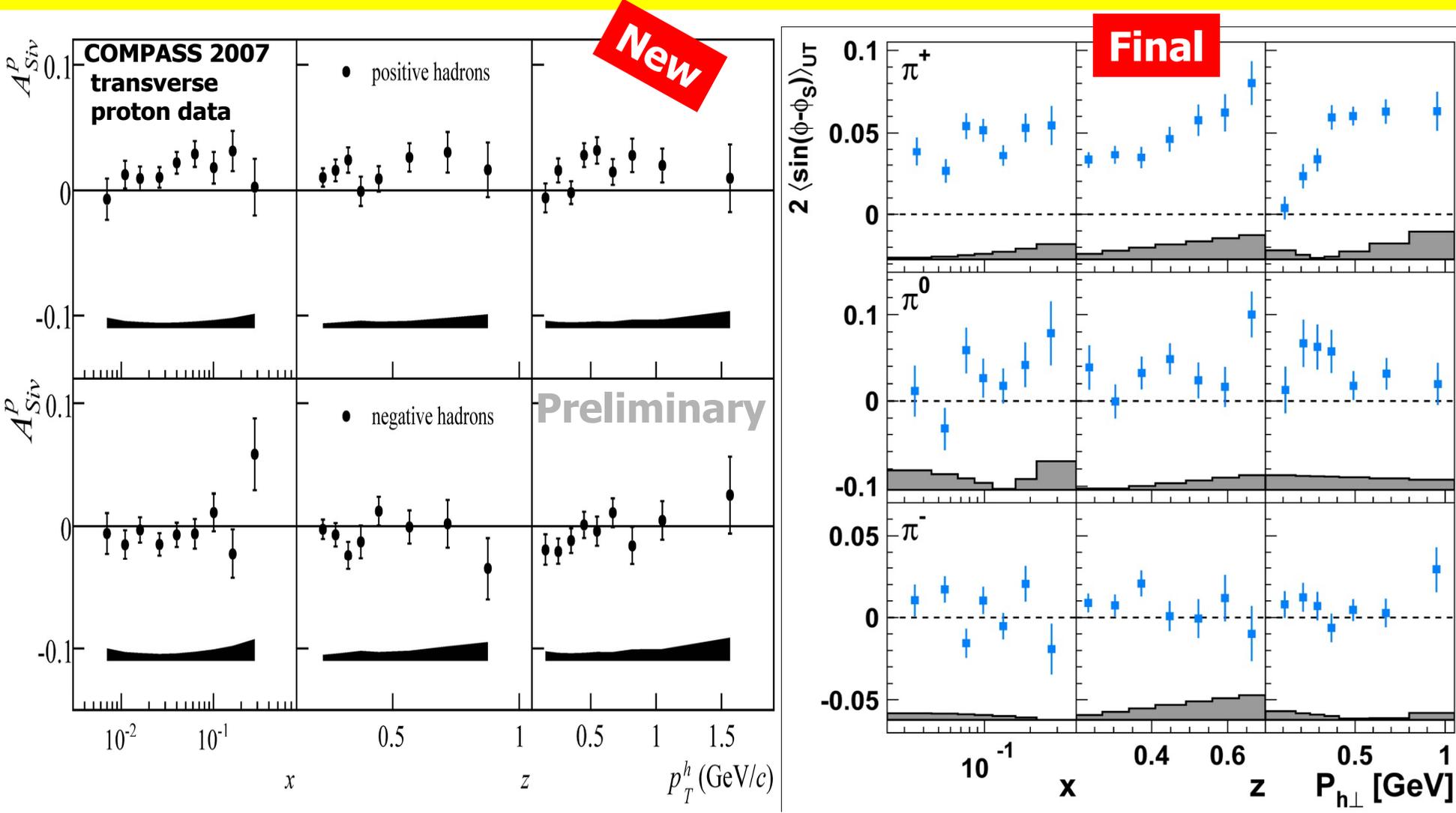
$$\Phi_S = \phi_h - \phi_S$$



● proton spin

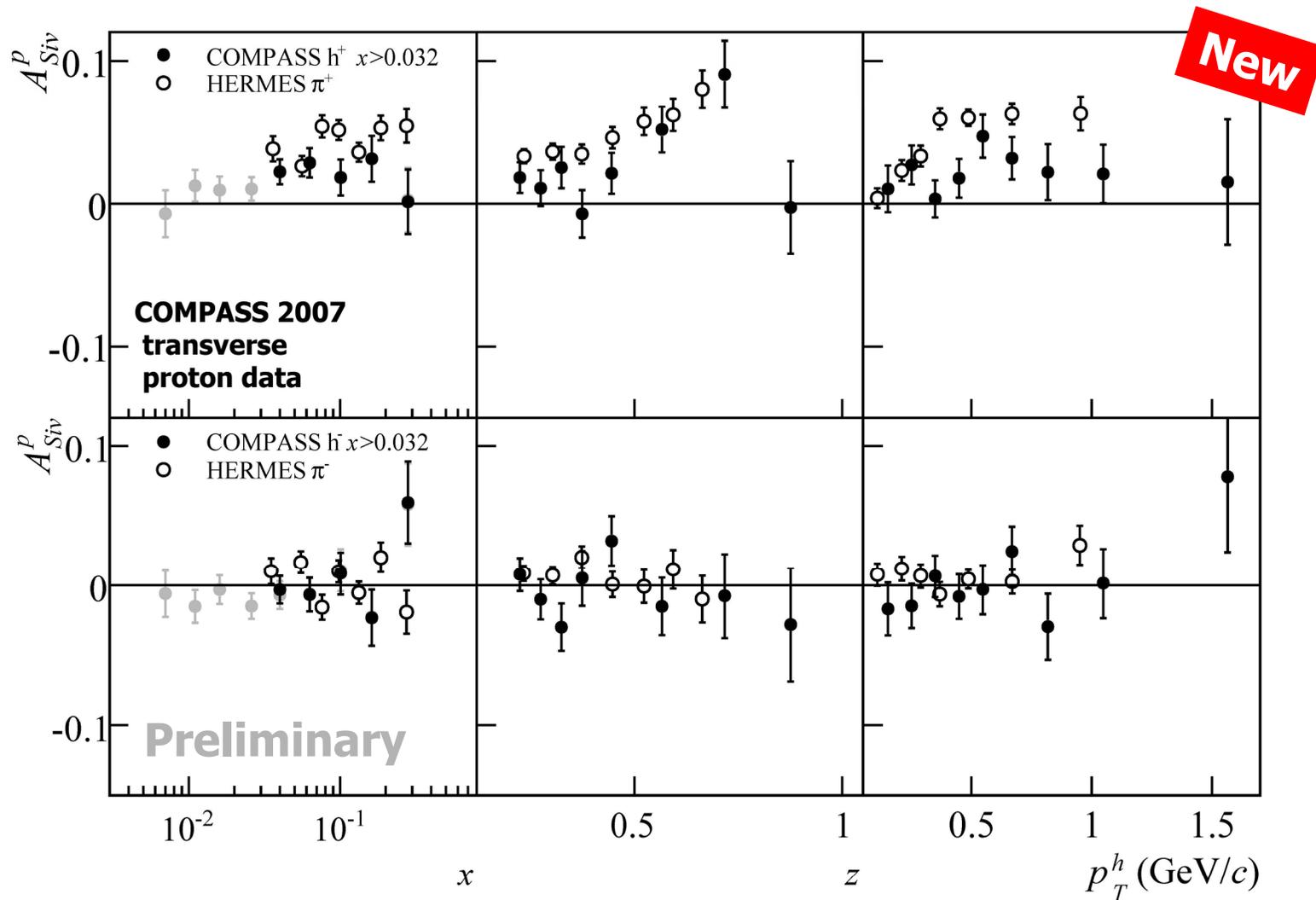


# Sivers Asmmetries



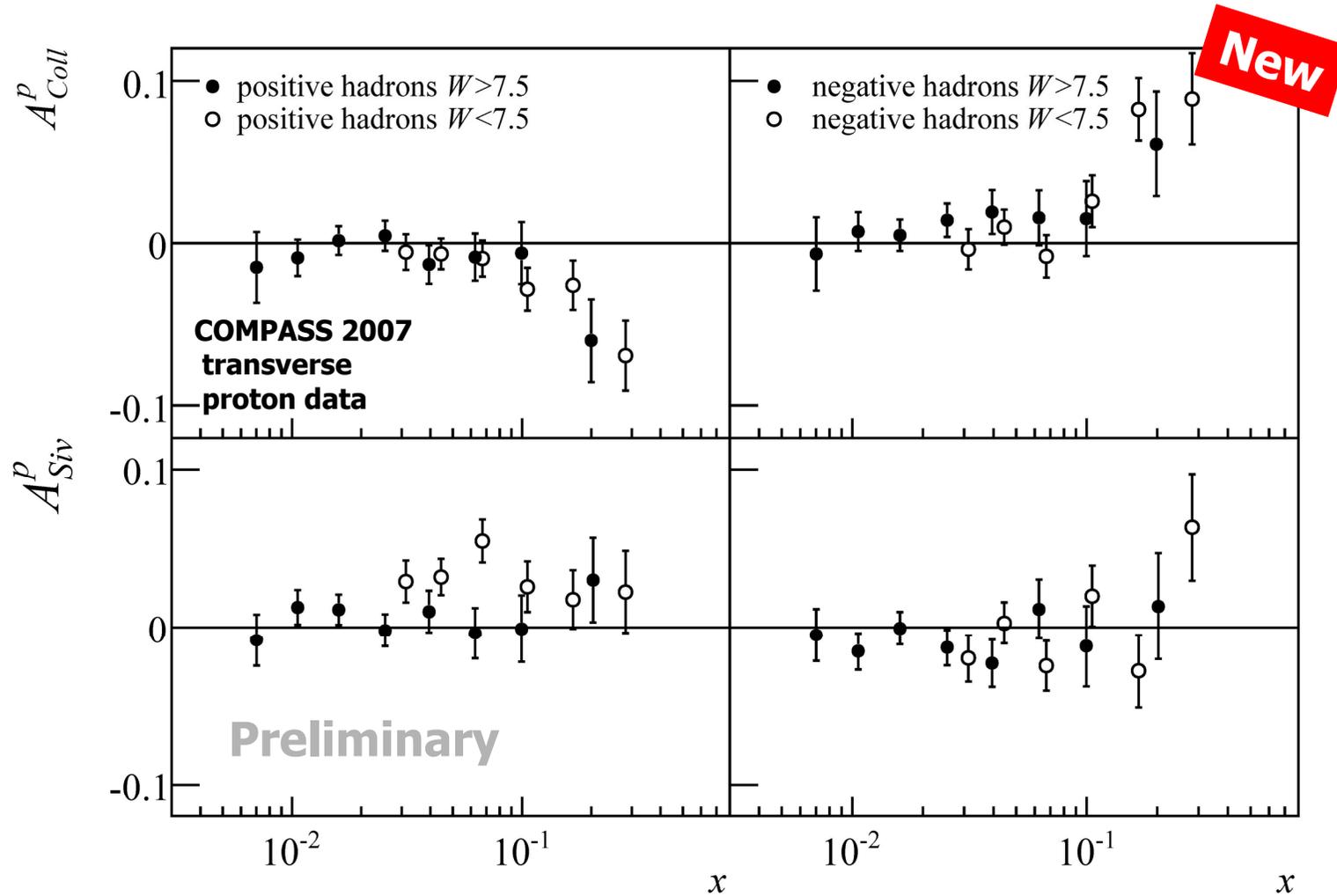
● Experimental evidence for orbital momentum of the quarks

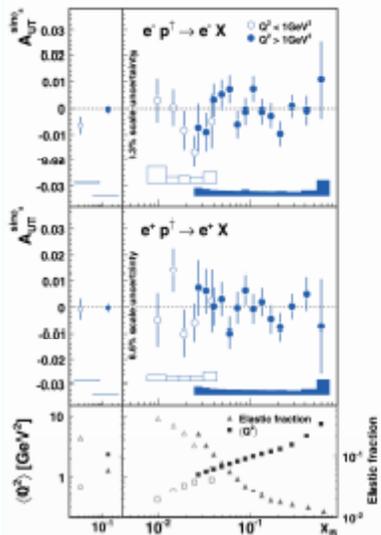
# Sivers Asymmetries



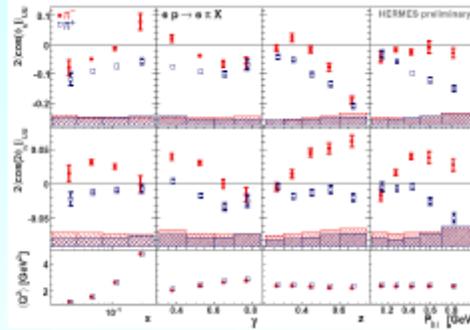
Systematic error -  $h^+ : \sigma = 0.8 \sigma_{stat}$  ;  $h^- : \sigma = 0.4 \sigma_{stat}$  ;  $\pm 0.01$  scale (abs)

# Kinematic Effects





2- $\gamma$  exchange

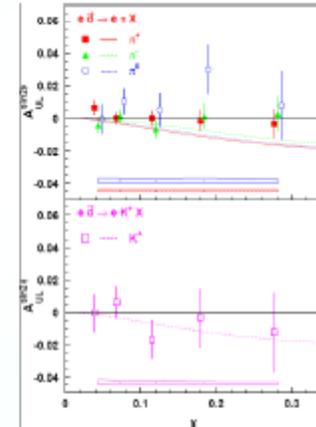


Boer-Mulders DF Cahn

		quark			
		U	L	T	
nucleon	U	$f_1$		$h_{1T}^\perp$	Boer-Mulders DF*#
	L		$g_{1T}$	$h_{1T}^\perp$	'worm-gear 1' DF #
	T	$f_{1T}^\perp$	$g_{1T}^\perp$	$h_{1T}^\perp$	Transversity DF #
				$h_{1T}^\perp$	Prezelocity DF #

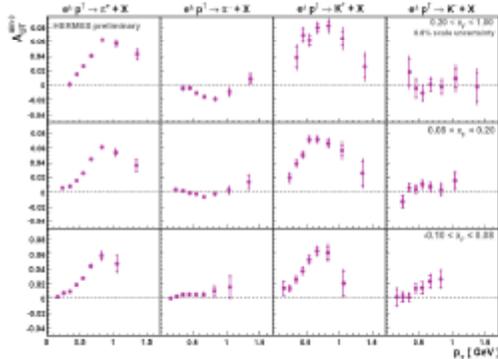
Sivers DF\*# 'worm-gear 2' DF

Mulders and Tangerman,  
Nucl. Phys. B 461 (1996) 197  
A. Bacchetta et al.,  
JHEP 0702 (2007)

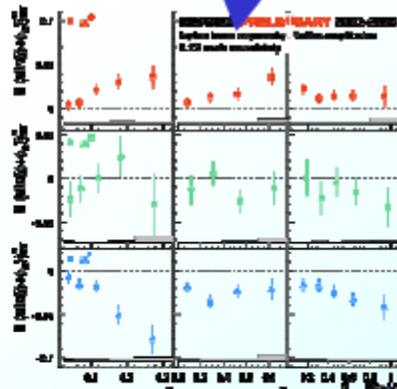


Worm-gear DF

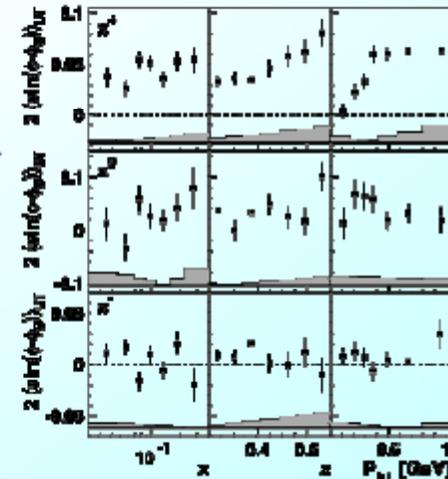
hermes



Inclusive hadron TSA



transversity DF



Sivers DF

# Outlook

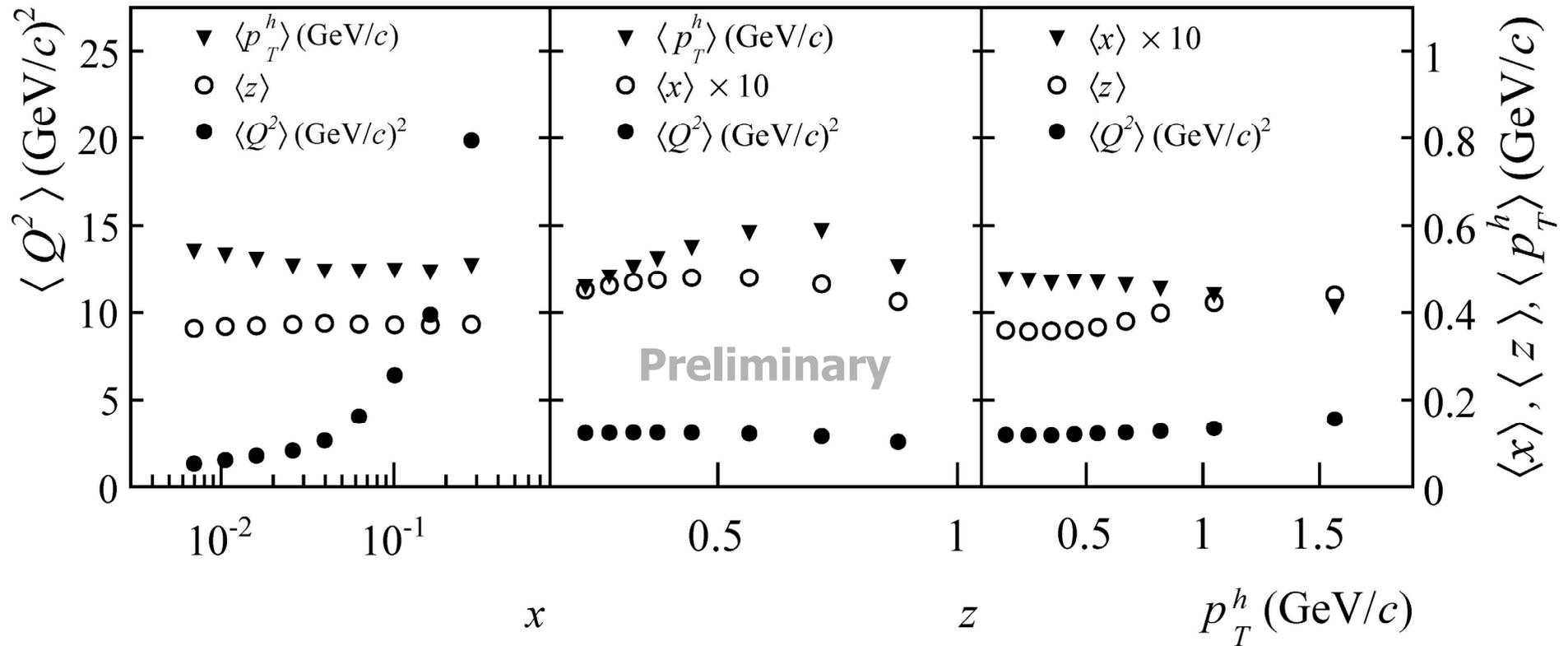
- Goals: Precise determination of  $\Delta g(x)$   
Generalized parton distributions (GPD)  
Orbital angular momentum
- Experimental prospects:
  - Short term: More lepton data from COMPASS & Jlab  
More hadron data from RHIC
  - Longer term: COMPASS GPD & DY programme  
RHIC upgrade  
JLab 12 GeV
  - Long term: Electron-Ion Colliders: eRHIC, ELIC, ENC

**... now you may practice during lunch break**



# Backup

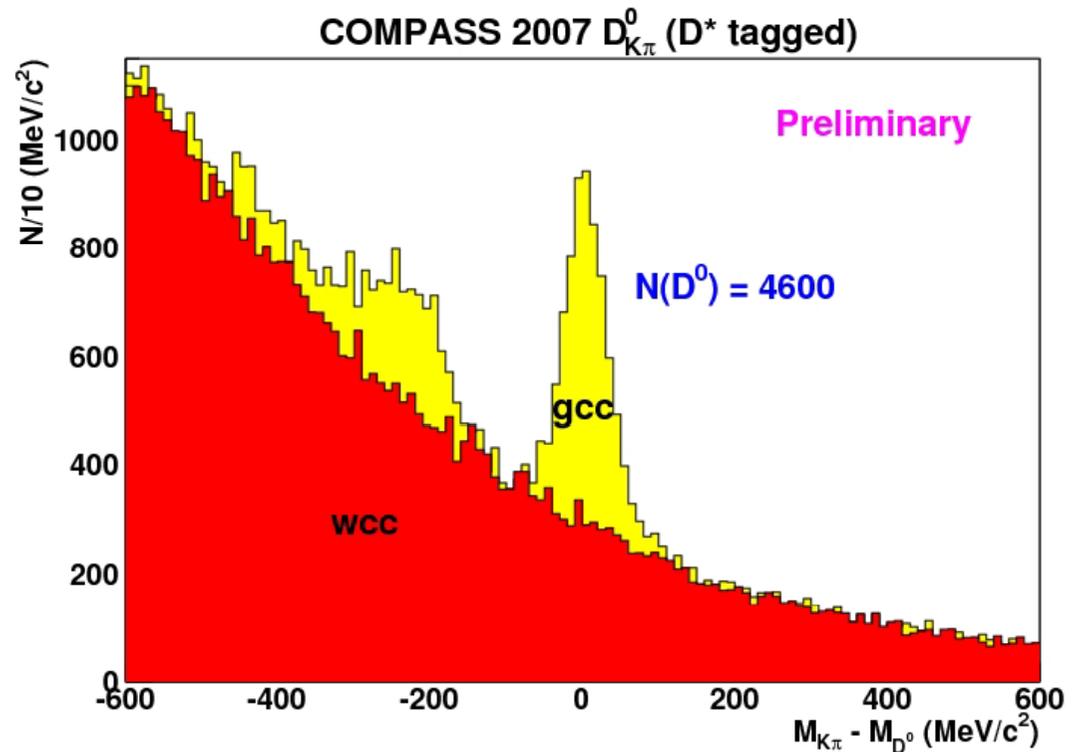
# Kinematic Correlation in Sivers Data@ COMPASS



COMPASS 2007  
transverse  
proton data

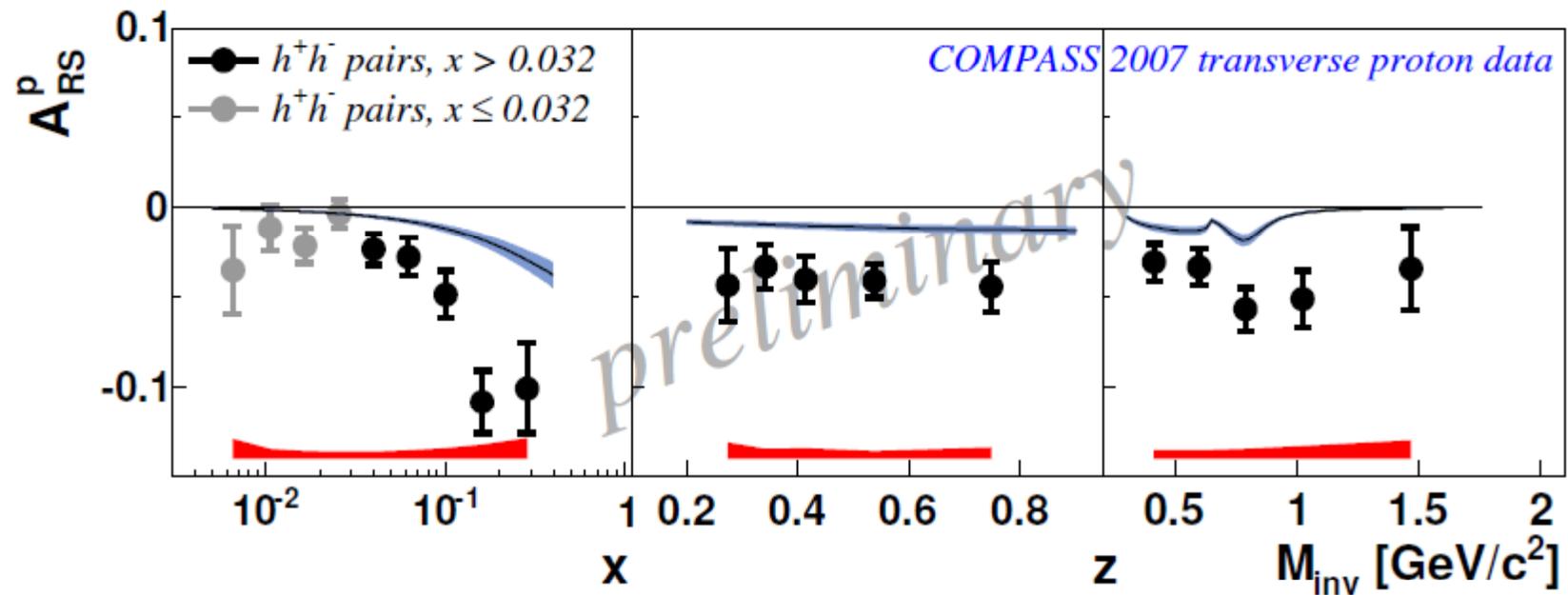
# Open Charm

- Little physics background (LO, QCDC)
- Statistics limited,  $D \rightarrow \pi K$  (BR  $\sim 4\%$ )
- Large combinatorial background, drastically reduced in  $D^*$  channel with slow  $\pi_s$
- All **deuteron** data
- new channels in  $D^*$  sample
  - sub-threshold kaons
  - 3-body decay with non-observed  $\pi^0$  (bump)



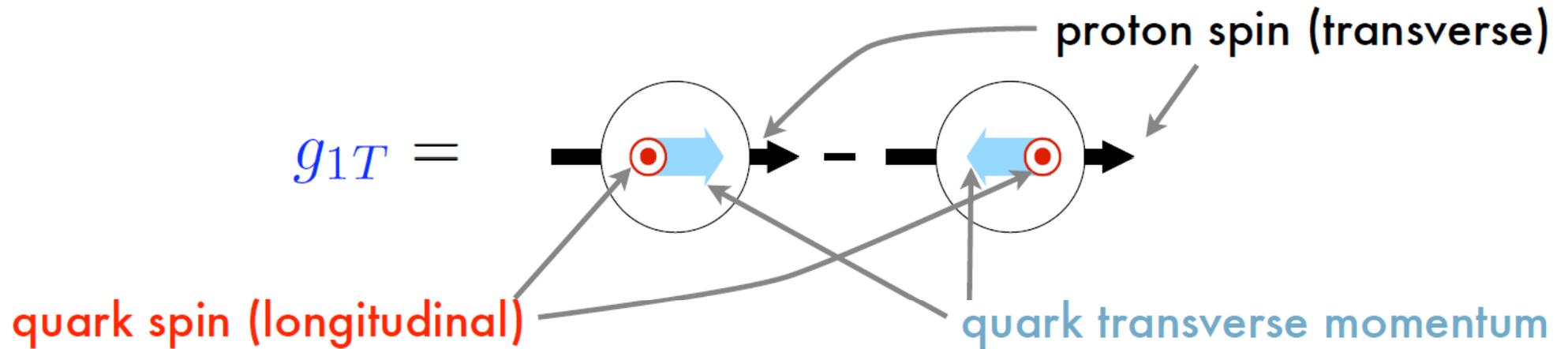
# Two Hadron Interference

- Recent fit (dominated by HERMES, COMPASS  $p$  not yet in)

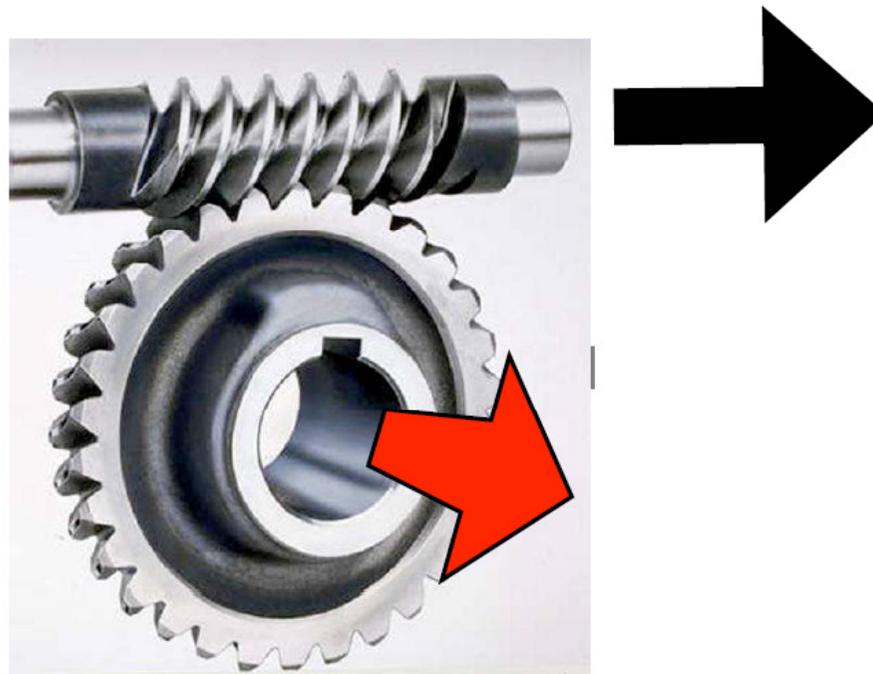


Very recent prediction (Bacchetta, Radici Phys.Rev.D79:034029,2009)

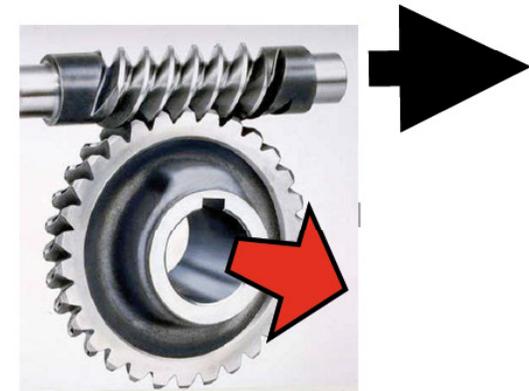
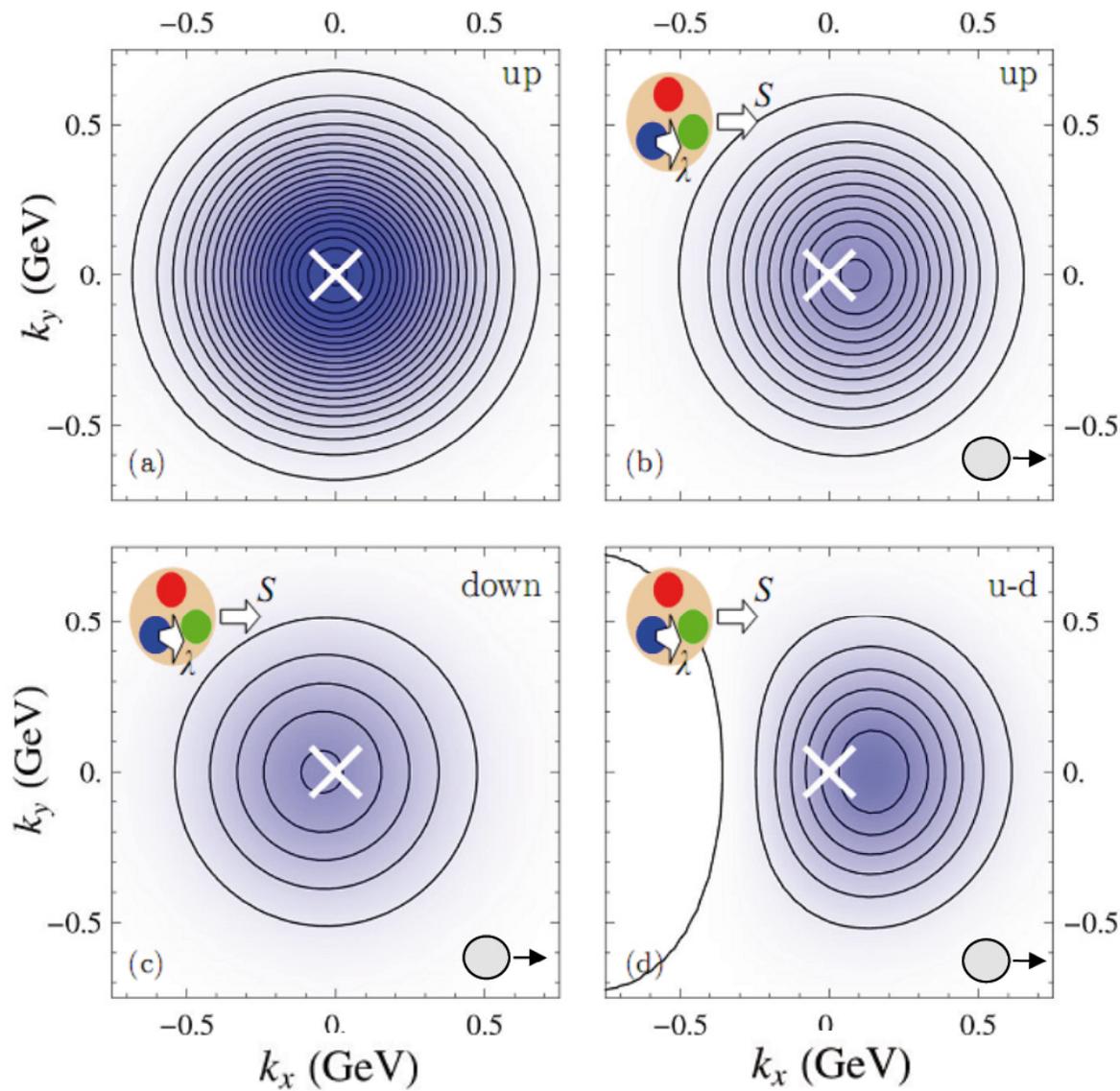
# Worm Gear on the Lattice



A worm gear



# Worm Gear on the Lattice



Caveat: gauge link!

