

# $\Delta G/G$ Open-Charms results from COMPASS

**SYMMETRIES AND SPIN** (*SPIN - PRAHA - 2008*)



**Celso Franco** (*LIP – Lisboa*)  
*on behalf of the COMPASS collaboration*

# Outline

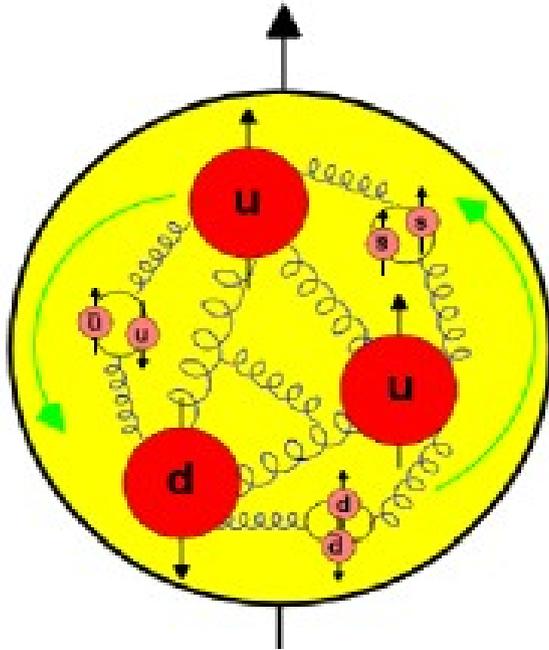
- **Nucleon spin structure:**
  - Physics motivation
- **The COMPASS experiment:**
  - Spectrometer + polarised target
  - Ring Imaging Cherenkov (*RICH*) for particle identification
- **COMPASS analysis and results on  $\Delta G/G$ :**
  - Open-Charmed channel

# Nucleon spin structure

- Nucleon spin

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$

quarks gluons orbital angular momentum (quarks/gluons)



- Assuming the static quark model wave function:

$$|p \uparrow\rangle = \frac{1}{\sqrt{18}} \left\{ 2|u \uparrow u \uparrow d \downarrow\rangle - |u \uparrow u \downarrow d \uparrow\rangle - |u \downarrow u \uparrow d \uparrow\rangle + (u \leftrightarrow d) \right\}$$

$$\Delta u = \langle p \uparrow | N_{u \uparrow} - N_{u \downarrow} | p \uparrow \rangle = \frac{3}{18} (10 - 2) = \frac{4}{3}$$

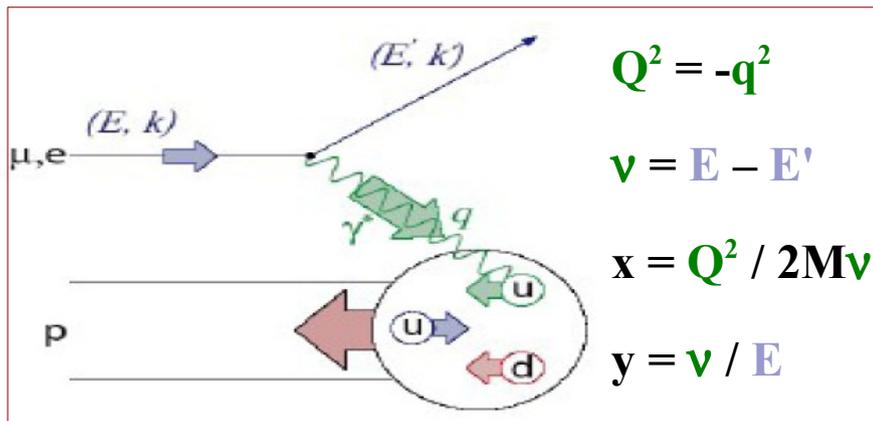
$$\Delta d = \langle p \uparrow | N_{d \uparrow} - N_{d \downarrow} | p \uparrow \rangle = \frac{3}{18} (2 - 4) = -\frac{1}{3}$$

- $\Delta\Sigma = \Delta u + \Delta d = 1$

$\Rightarrow$  Up and down quarks carry all the nucleon spin

# Spin crisis

- However, **applying relativistic corrections** (*and assuming SU(3) symmetry*):
  - $\Delta\Sigma \sim 0.60$
- **Where is the remaining part of the nucleon spin?** ( $\Delta G? L_{q(G)}?$ )
  - Gluons solved the nucleon missing momentum problem:
    - Will they be the solution too for this missing spin?  $\Rightarrow$  **Measure  $\Delta G!$**
- **Experimental  $\Delta\Sigma$**  (*from polarised DIS*):



Phys. Lett. B447, (2007) 8

$$\Delta\Sigma = 0.30 \pm 0.01 \pm 0.02 \quad (\text{world data})$$

@  $Q^2 = 3 \text{ (GeV/c)}^2$

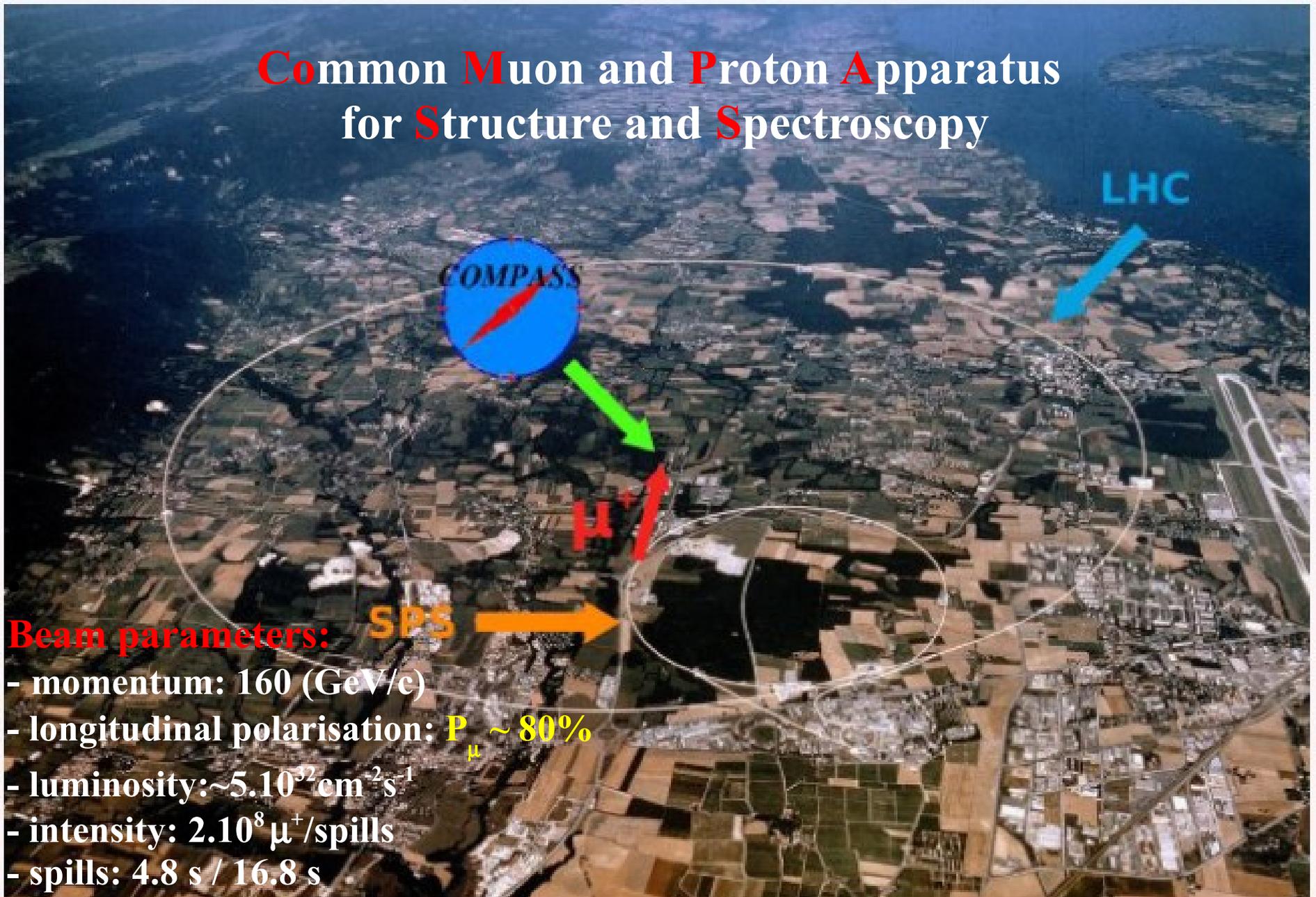
Much smaller than expected...

$\Downarrow$   
**SPIN CRISIS!!!**

- **Another reason for measuring gluon spin contribution:**
  - Due to gluon axial anomaly, if  $\Delta G$  is large ( $\sim 2.5$ ), it could explain why  $\Delta\Sigma$  was found so small

# The COMPASS experiment at CERN

Common Muon and Proton Apparatus  
for Structure and Spectroscopy

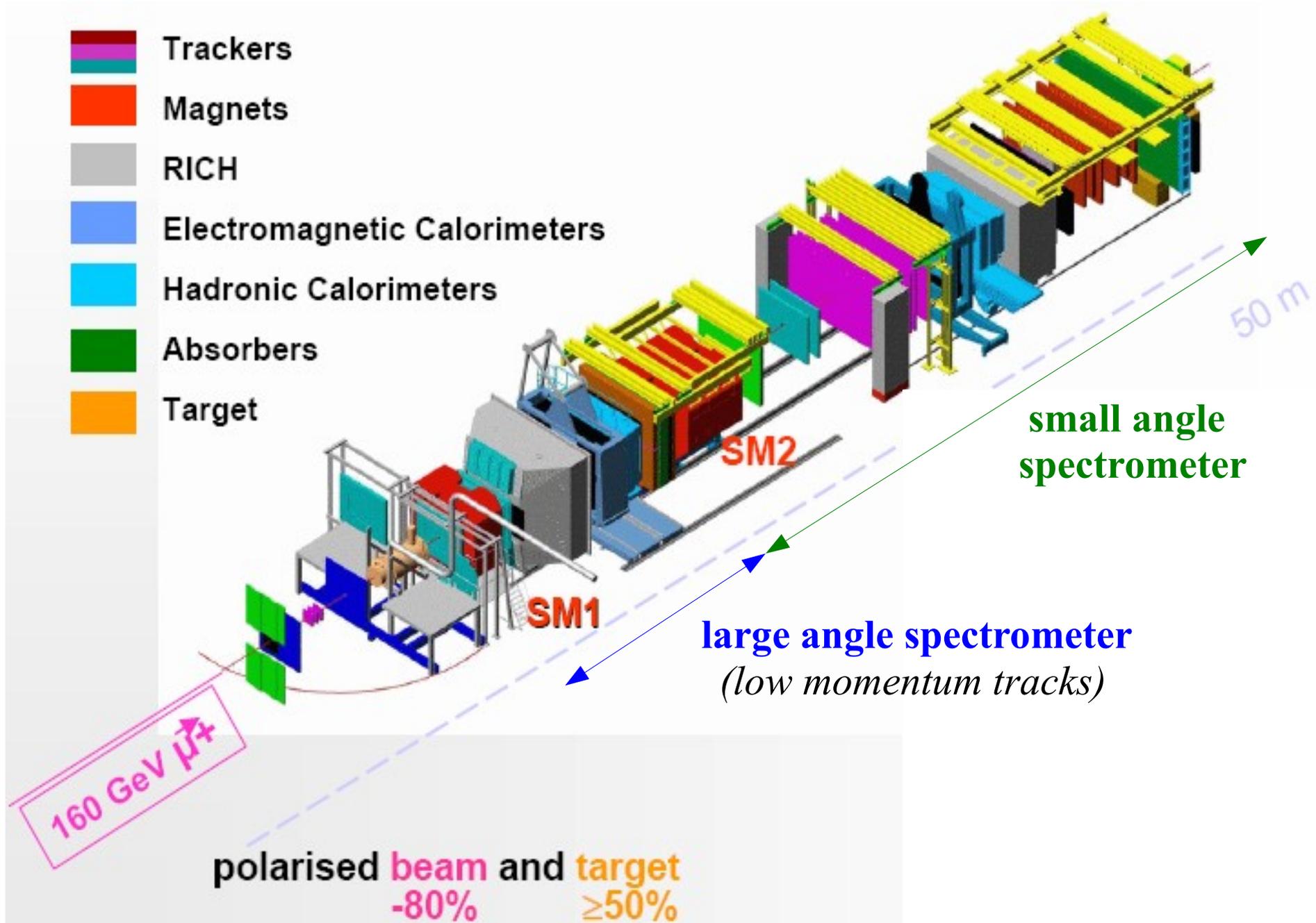


## Beam parameters:

- momentum: 160 (GeV/c)
- longitudinal polarisation:  $P_{\mu} \sim 80\%$
- luminosity:  $\sim 5 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$
- intensity:  $2 \cdot 10^8 \mu^+ / \text{spills}$
- spills: 4.8 s / 16.8 s

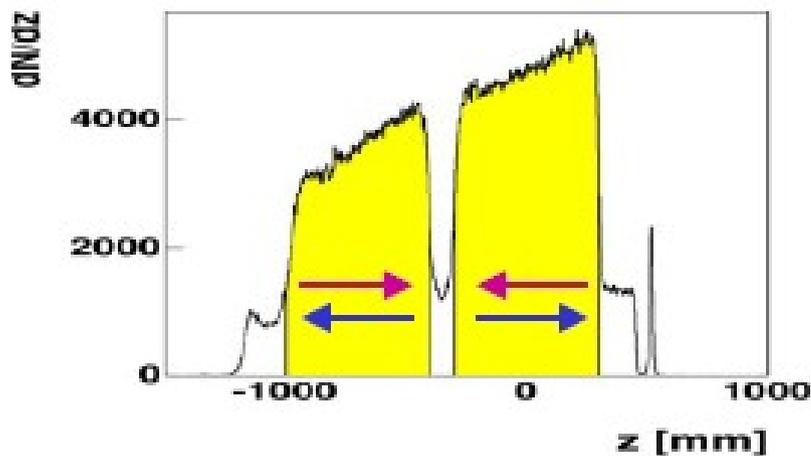
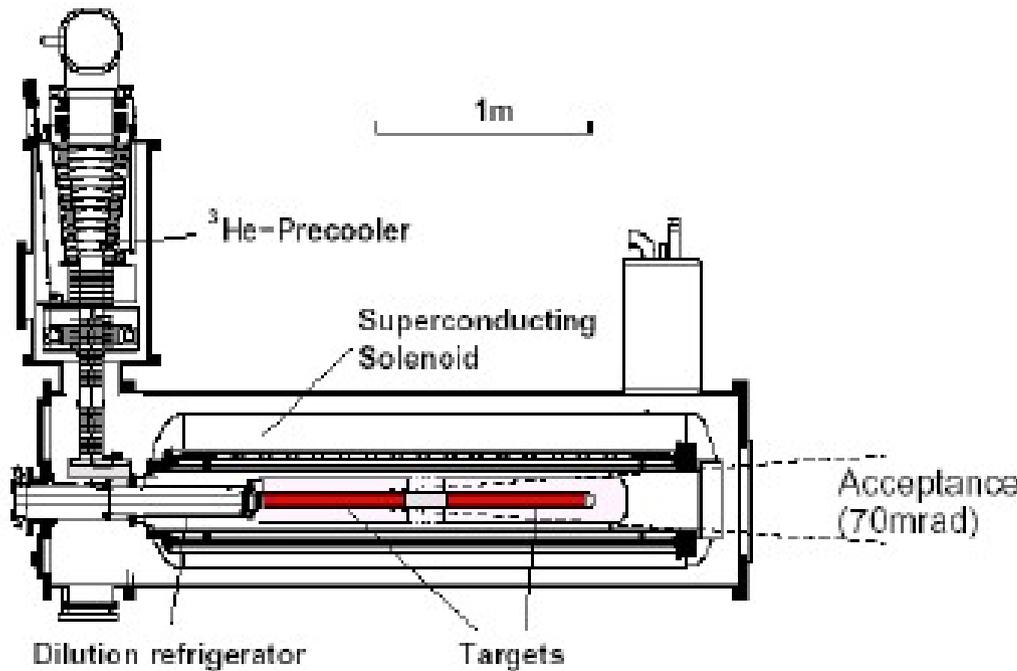


# The COMPASS spectrometer



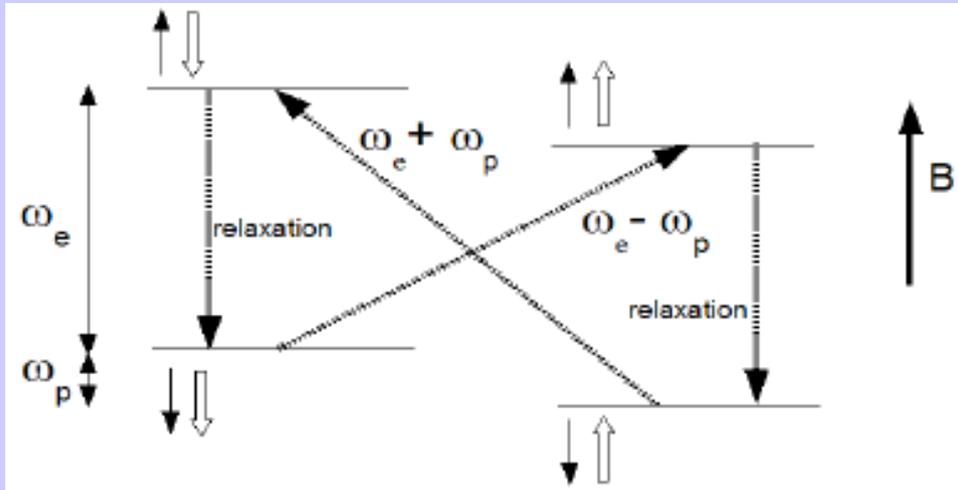
# Polarised target (2002-2004)

- Target material:  ${}^6\text{LiD}$
- Solenoid field:  $2.5\text{ T}$
- Dilution factor:  $f \sim 0.4$
- Polarisation:  $P_T > 50\%$
- ${}^3\text{He}/{}^4\text{He}$ :  $T_{\min} \sim 50\text{ mK}$



## Dynamic nuclear polarisation:

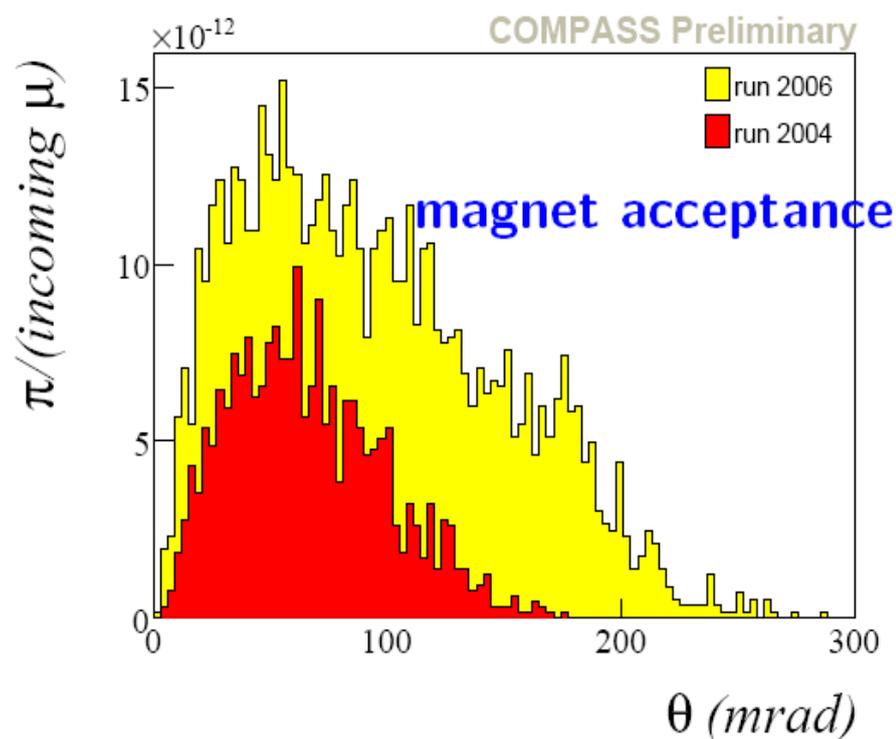
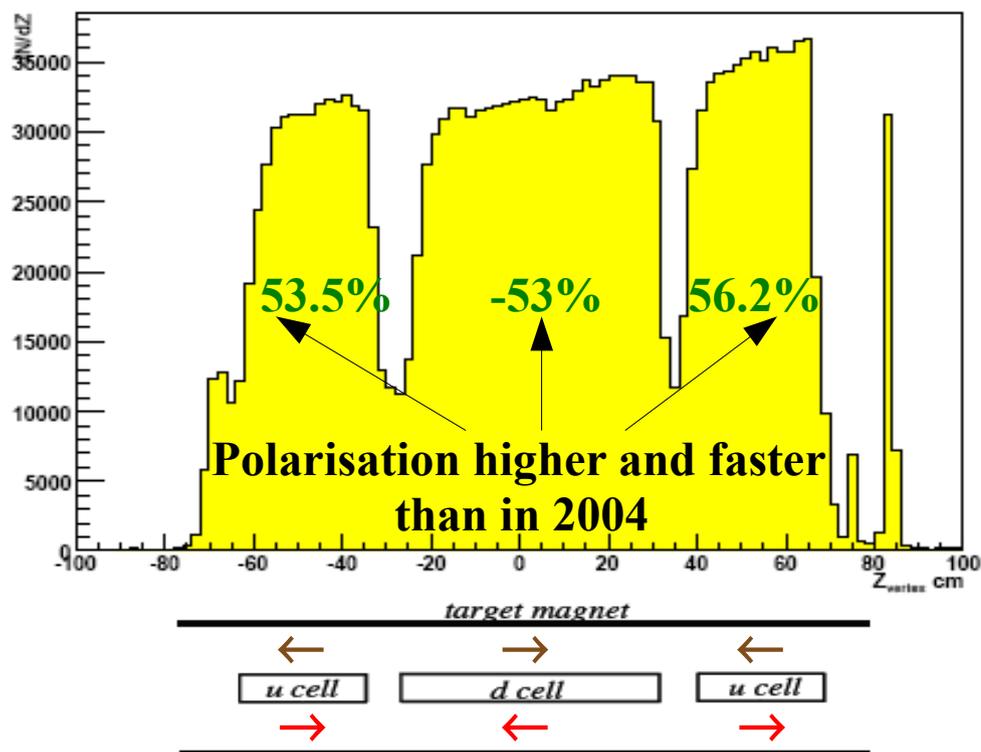
- High electron polarisation (*high magnetic moment*)
- Microwave irradiation of material, for simultaneous flip of electron and nucleon spin
- After spin flip, electron relaxates to lower energy state
- Nucleon has long relaxation time (*low magnetic moment*)



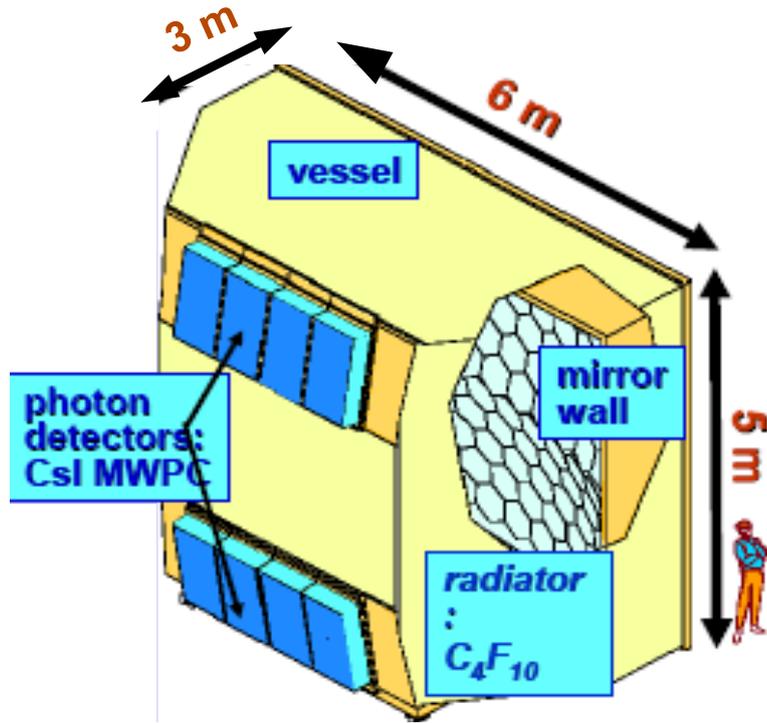
# Target upgrade for 2006 data

- Larger solenoid acceptance
  - 70 mrad  $\Rightarrow$  180 mrad
- 3 target cell for false asymmetries reduction
  - $\Rightarrow$  “same” acceptance (*u and d cells*) for asymmetry extraction

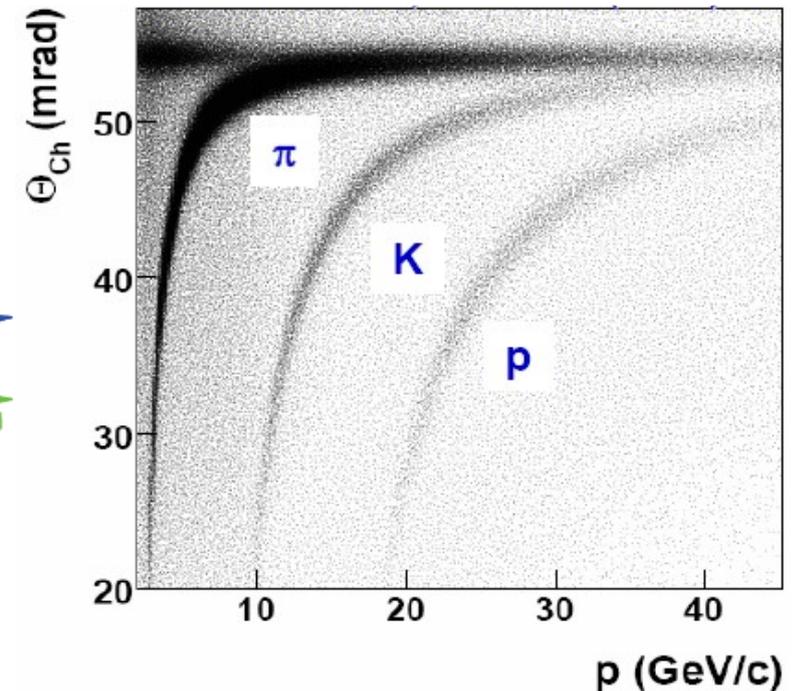
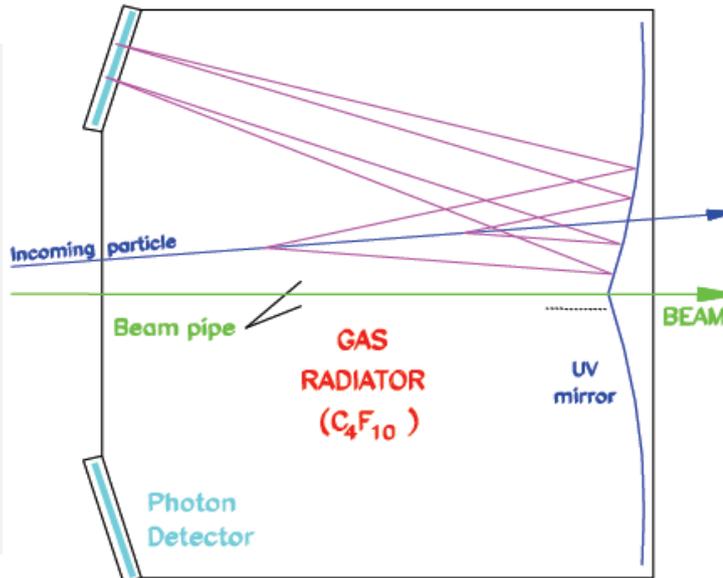
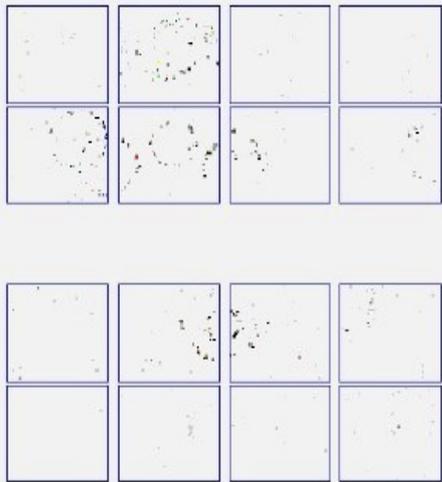
Hadron triggers



# Particles identification: RICH (2002-2004)



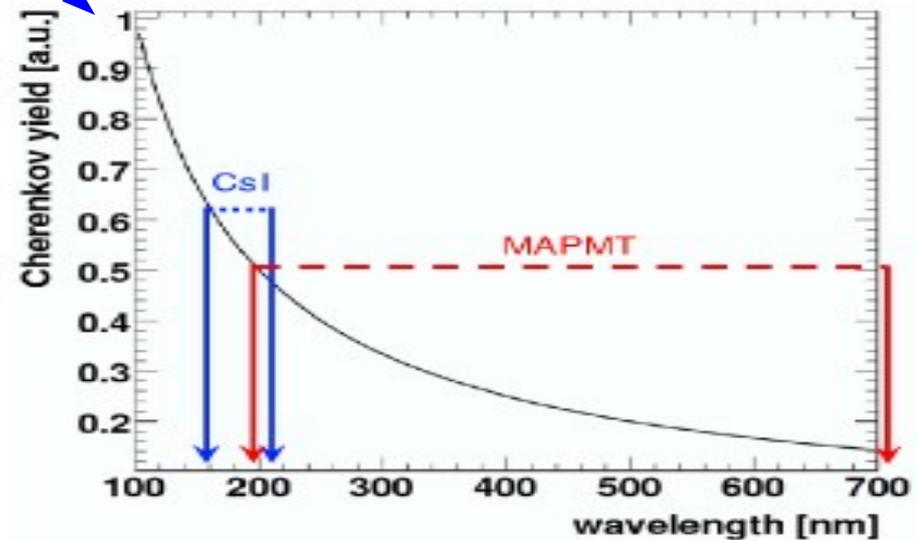
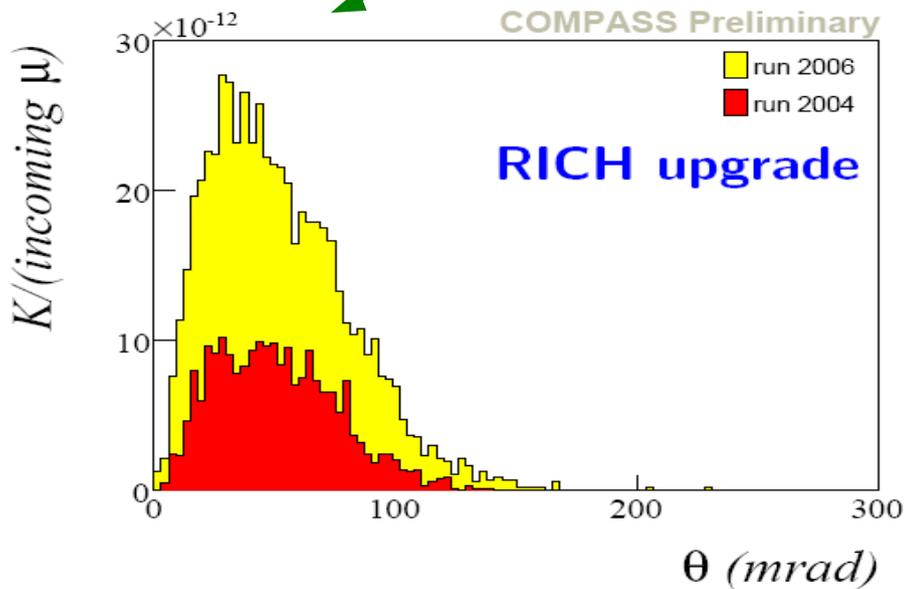
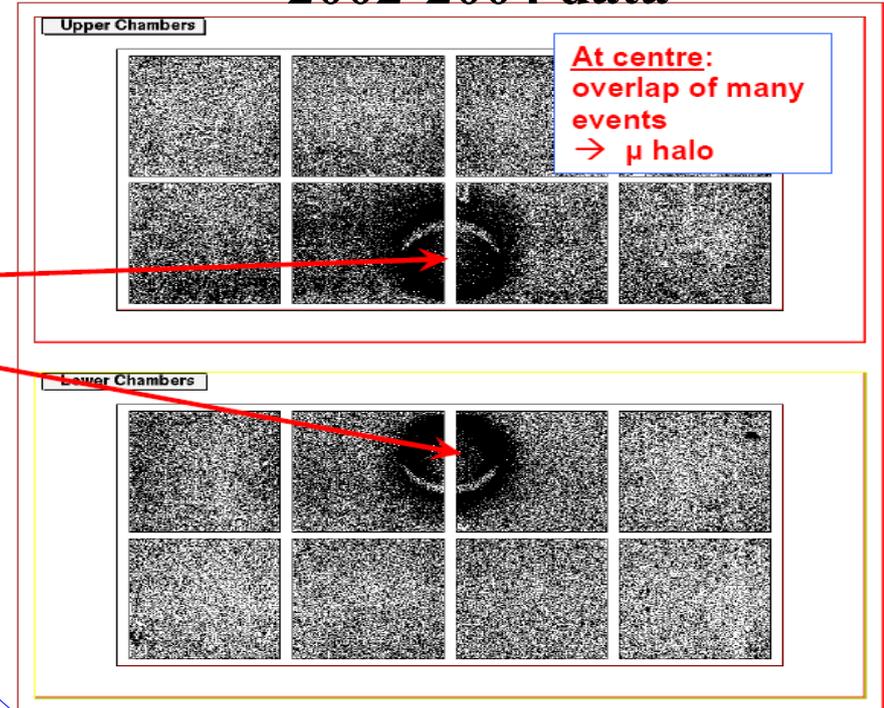
- $\pi$ , K separation until  $\sim 50$  (GeV/c)
- $80 \text{ m}^3$  filled with  $C_4F_{10}$ :  $n = 1.00153$
- 116 VUV spherical mirrors ( $21 \text{ m}^3$ )
- $5.3 \text{ m}^2$  photodetectors: 82944 pixels



# RICH upgrade for 2006 data

- **Electronic system refurbished:**
  - Faster photon detection
- **Central photon detector replaced by MAPMTs**
  - UV transparent + large number of photons detected (*increased wavelength range*)
  - Better efficiency of reconstruction

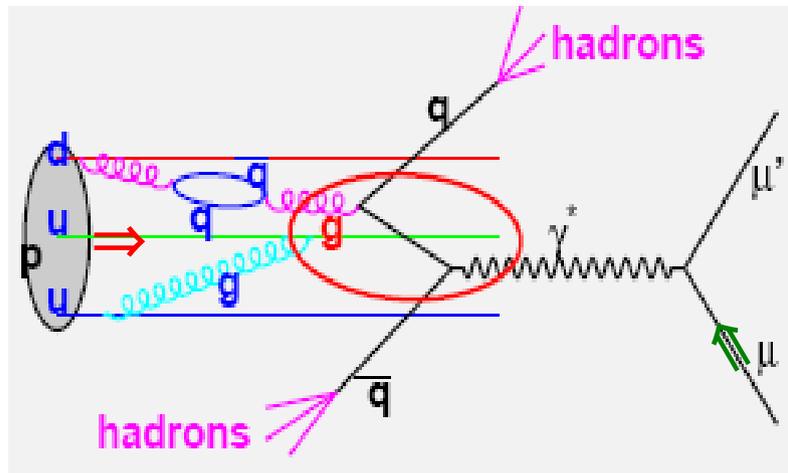
2002-2004 data



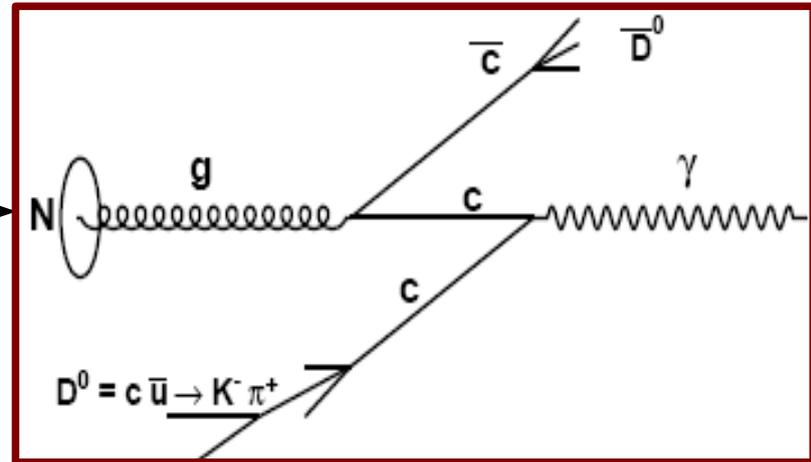
**Open-Charm DIS production**  
**The photon-gluon fusion process**  
***(PGF)***

# How to measure $\Delta G$ ?

- Polarised collision in DIS:



tag  $\gamma^* g \rightarrow q\bar{q}$   
via Open-Charm  
production



- After gluon tag (*reconstructing charmed mesons*):
  - Measure raw asymmetries for gluon spin information!

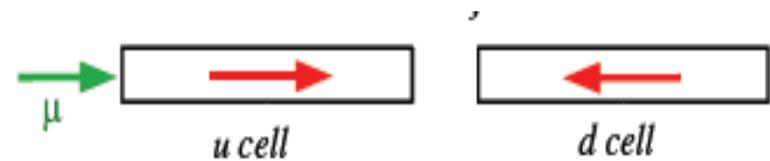
$$A^{\text{exp}} = \frac{N^u - N^d}{N^u + N^d} = f \cdot P_\mu \cdot P_T \cdot A^{\mu, T} + A^{\text{bg}}$$

Number of events

Depolarization from lepton to virtual photon

$$A^{\mu, T} = D \cdot A_1 = D \cdot \frac{\sigma_{\gamma, T}^{\rightarrow\leftarrow} - \sigma_{\gamma, T}^{\rightarrow\rightarrow}}{\sigma_{\gamma, T}^{\rightarrow\leftarrow} + \sigma_{\gamma, T}^{\rightarrow\rightarrow}}$$

Photon-target asymmetry



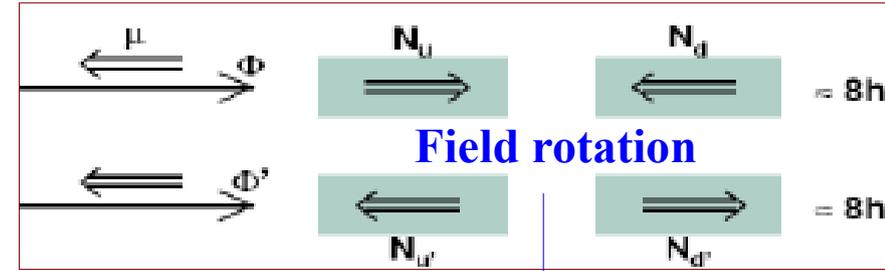
Why asymmetries for  $\Delta G$  ?



# Gluon polarisation from Open-Charm channel

Considering  $A_B = 0$

$$\frac{\Delta G}{G} = \frac{1}{2P_T P_\mu f a_{LL} \frac{S}{S+B}} \times \left( \frac{N^u - N^d}{N^u + N^d} + \frac{N^{u'} - N^{d'}}{N^{u'} + N^{d'}} \right)$$



photon-gluon asymmetry

event weight

signal strength of Open-Charm events

equal acceptance for both cells

- **Using**  $A_1^{\text{LO}} = \langle a_{LL} \rangle \frac{\Delta G}{G}$  with  $a_{LL} = \frac{\Delta \sigma^{\text{PGF}}}{\sigma^{\text{PGF}}}$

asymmetries are less sensitive to experimental changes than cross section differences

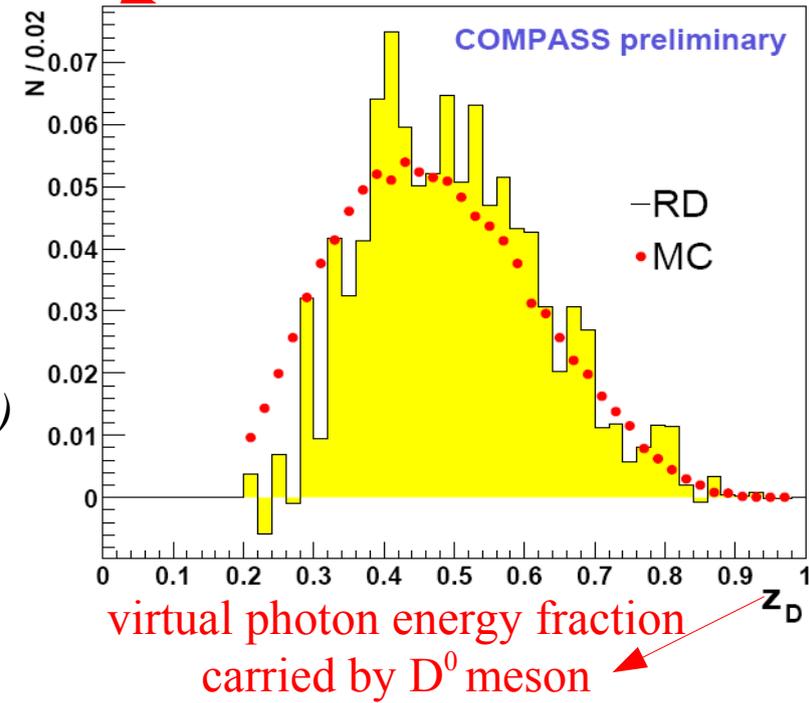
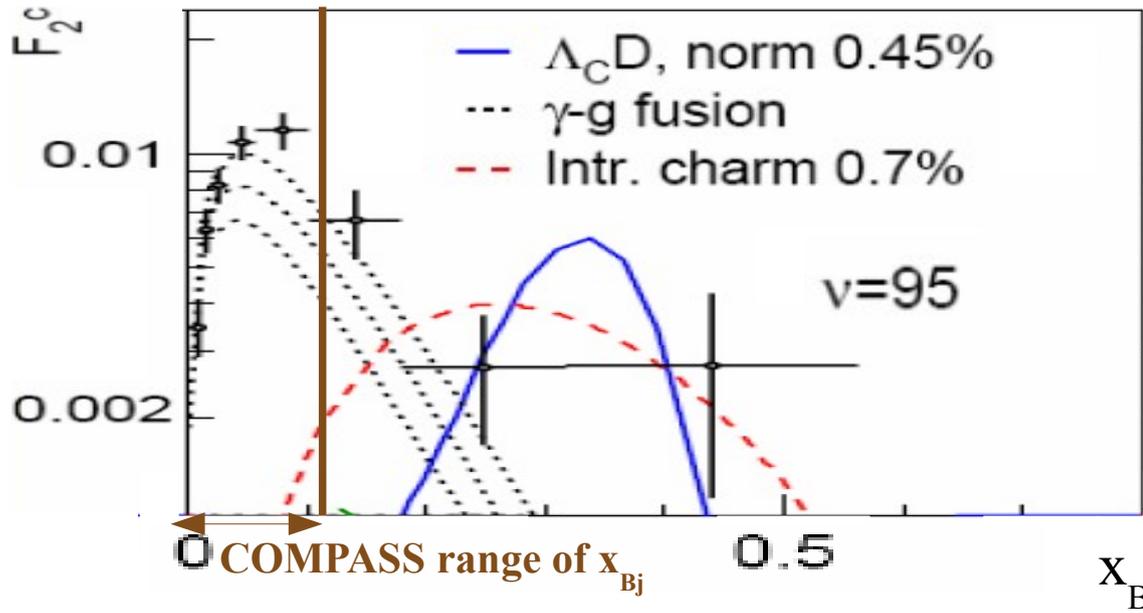
- **Events with small  $(P_\mu \cdot P_T \cdot f \cdot a_{LL} \cdot (S/S+B))$  factors contain less information about the asymmetry:**

- **Weighting the events with the option chosen minimizes de statistical error**

$$\frac{\Delta G}{G} = \frac{1}{2P_T} \times \left( \frac{\omega_u - \omega_d}{\omega_u^2 + \omega_d^2} + \frac{\omega_{u'} - \omega_{d'}}{\omega_{u'}^2 + \omega_{d'}^2} \right) \text{ with a statistical gain: } \frac{\langle \omega^2 \rangle}{\langle \omega \rangle^2}$$

# Why measure gluon spin from Open-Charm?

- $c\bar{c}$  production is dominated by the PGF process, and free from physical background (*ideal for probing gluon polarisation*)
  - In our center of mass energy, the contribution from intrinsic charm (*c quarks not coming from hard gluons*) in the nucleon is negligible
  - Perturbative scale set by charm mass  $4m_c^2$
  - Nonperturbative sea models predict at most 0.7% for intrinsic charm contribution
    - Expected at high  $x_{Bj}$  (*compass  $x_{Bj} < 0.1$* )
  - $c\bar{c}$  suppressed during fragmentation (*at our energies*)

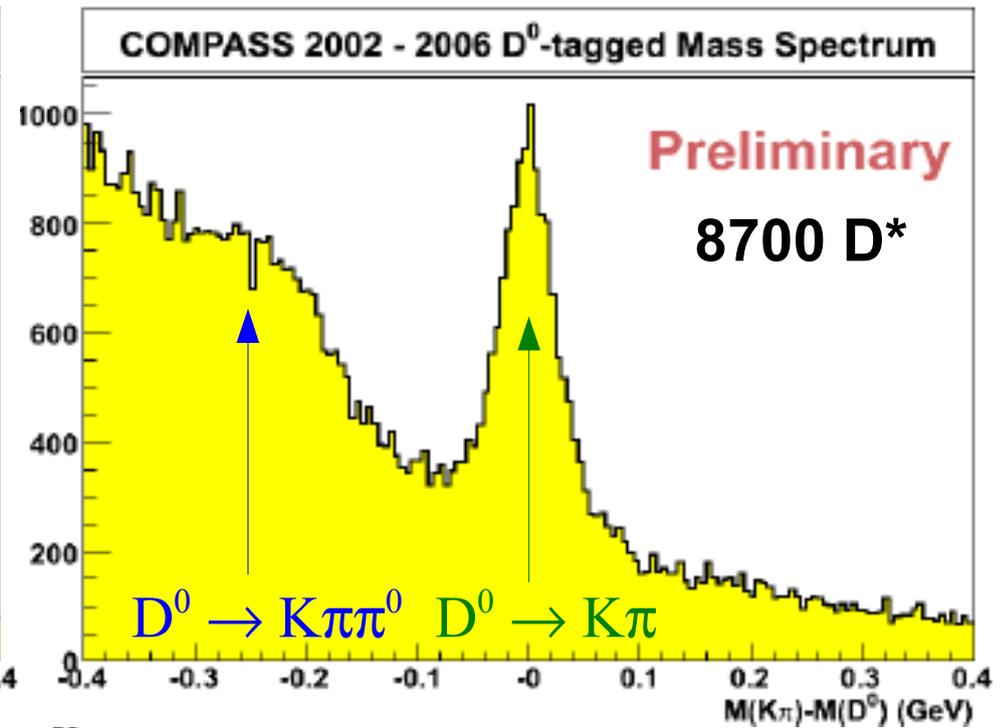
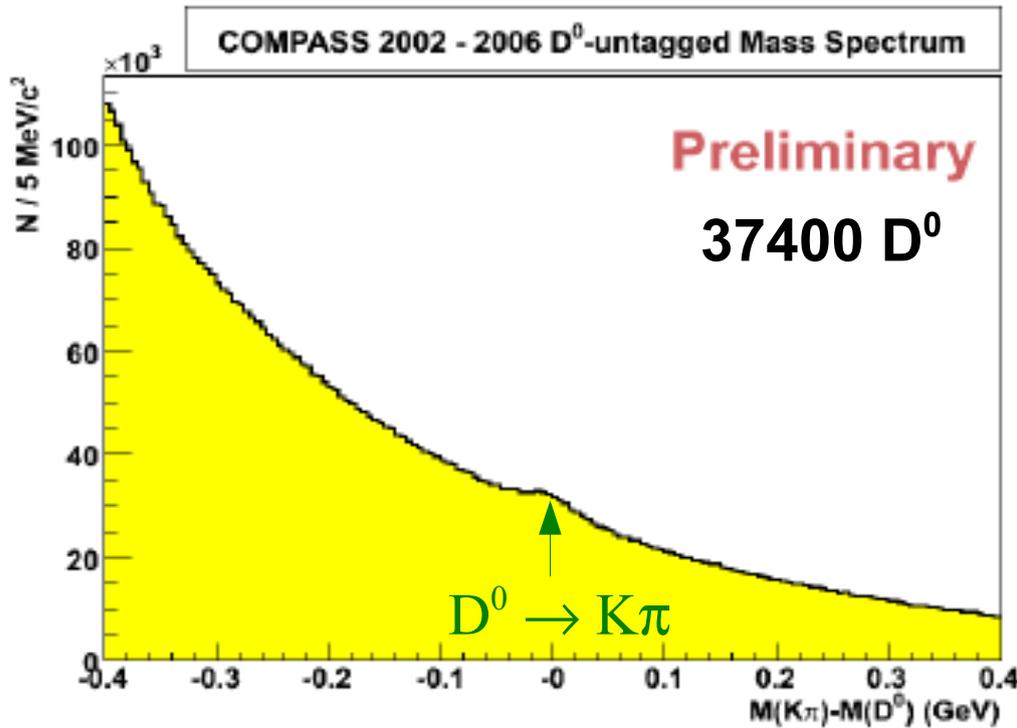


Ref. Hep-ph/0508126 and hep-ph/9508403  
 Phys. Lett. B93 (1980) 451  
 Data from EMC:Nucl.Phys.B213, 31(1983)

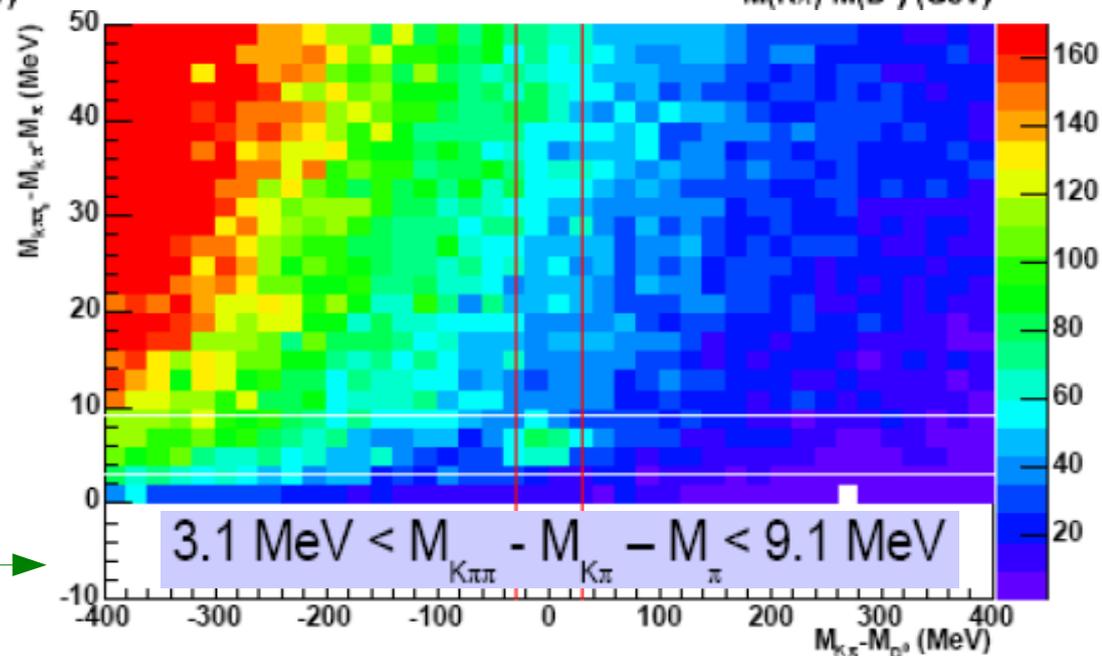
# Open-Charm basic selection

- **Open-Charm events considered** (*from  $c$  quarks fragmentation*):
  - $D^0 \rightarrow K\pi$  (*BR: 4%*)
  - $D^* \rightarrow D^0\pi_s \rightarrow K\pi\pi_s$  (*30%  $D^0$  tagged with  $D^*$* )
- **K and  $\pi$  are identified by RICH**  $\Rightarrow$   $D^0$  invariant mass reconstructed!
- **In case of  $D^*$ ,  $\pi_s$  sample is cleaned** (*slow pions:  $p < 8$  (GeV/c)*):  
 $\Rightarrow$  **Rejecting electrons with RICH enhances S/B**
- **Basic kinematic cuts for combinatorial background suppression:**
  - **Reject colinear events with virtual photon** (*meson angular cut*)
  - **Reject events with very small  $z_D$**  (*expected to be  $\sim 0.5$  in PGF*)
- **After selecting events** (*counting  $N_{cell}$  events*)
  - What is missing for  $\Delta G/G$ ?  $\Rightarrow$  **S/S+B** and  $a_{LL}$

# Invariant mass spectra on 2002-2006 data



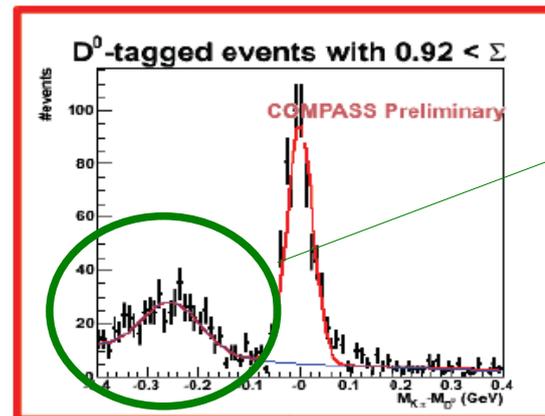
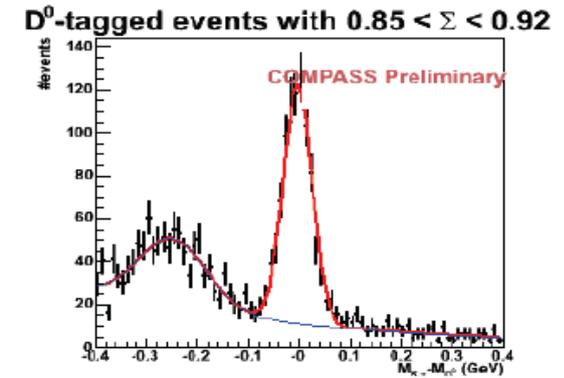
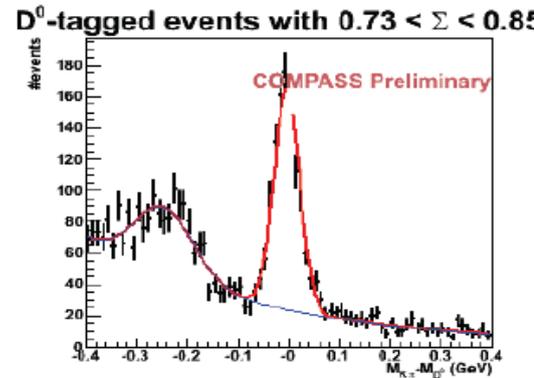
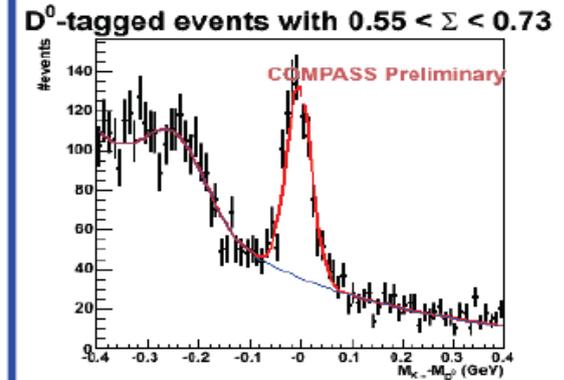
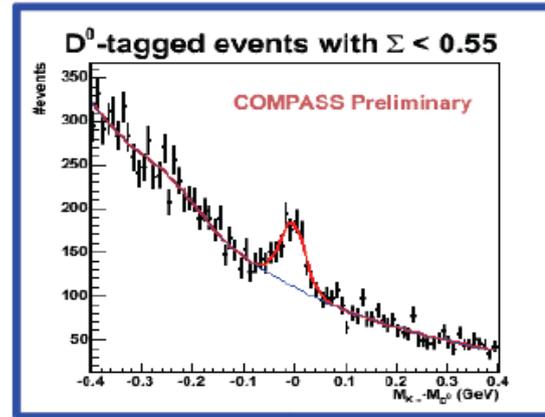
- $(S/S+B)$  from fit to mass spectra
- **Problem:** difficult  $c\bar{c}$  production in PGF  $\Rightarrow$  low statistics!
- $D^0 \rightarrow$  big combinatorial background
- $D^* \rightarrow$  very good S/B, due to optimal mass reconstruction  $\longrightarrow$



# $\Sigma$ ( $=S/S+B$ ) as an Open-Charm event probability

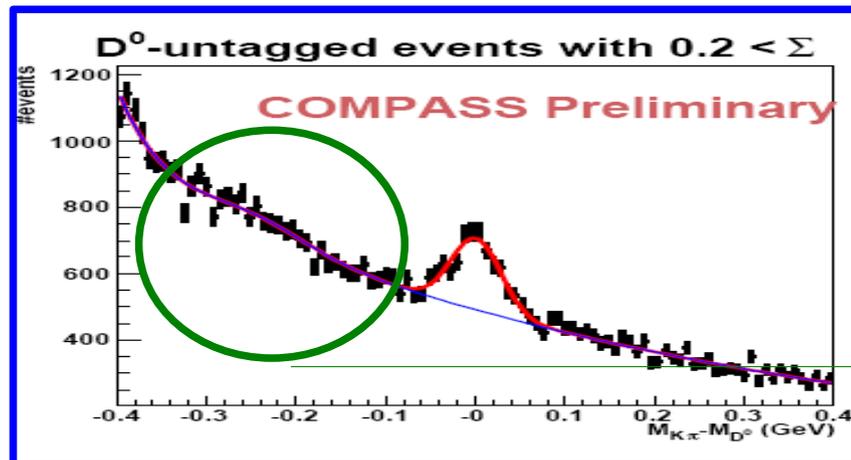
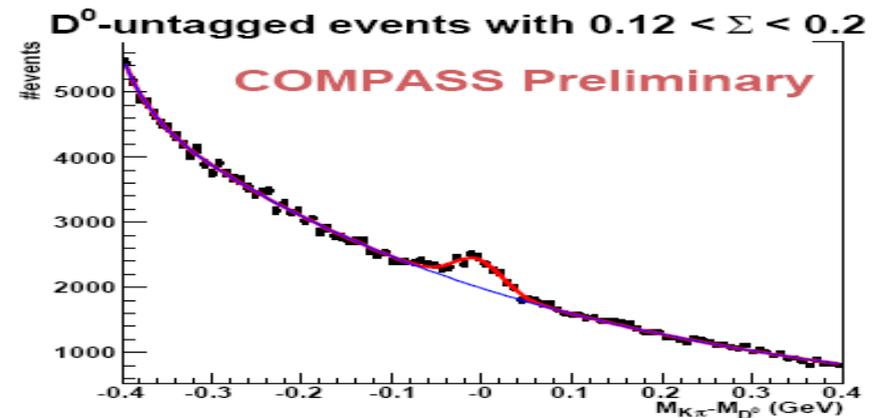
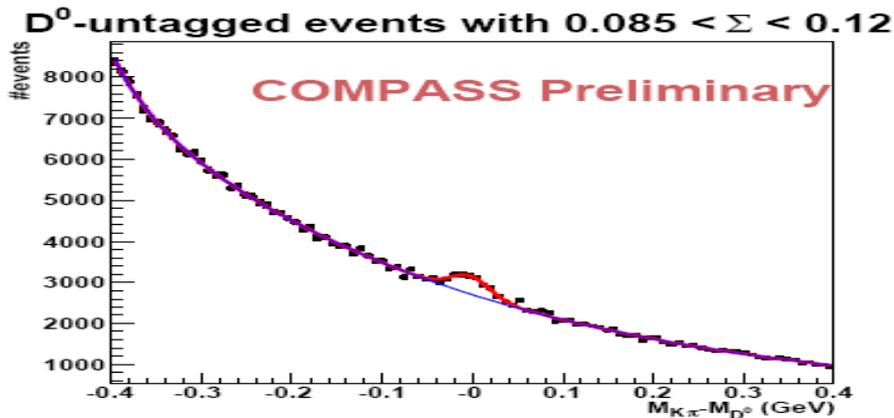
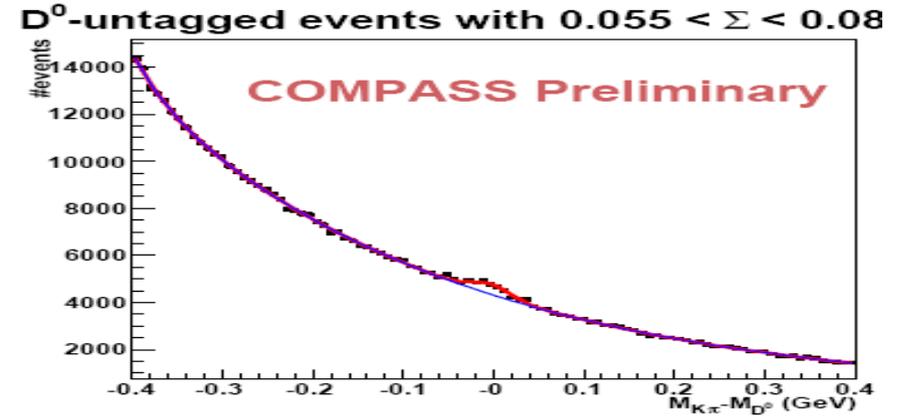
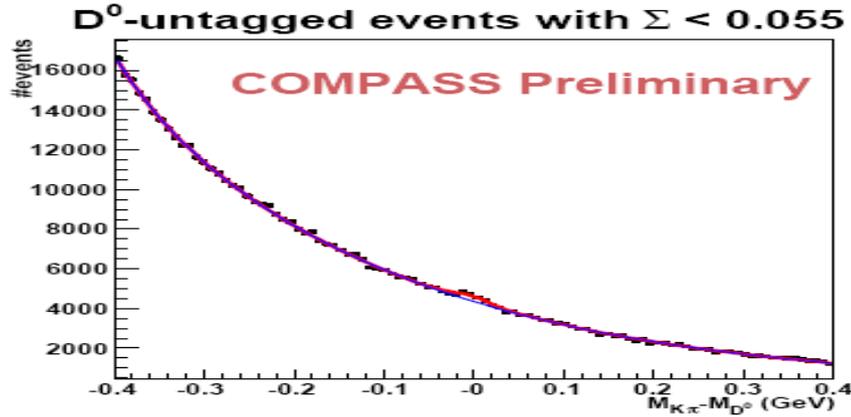
Why is better to have  $(S/S+B)$  for every event?

- Events with small  $\Sigma \Rightarrow$  low weight
  - Mostly combinatorial background selected
- With  $\Sigma$  in the weight, the kinematical cuts can be loose:
  - More background events
  - Preserve signal events
- Events with large  $\Sigma \Rightarrow$  high weight
  - Mostly Open-Charm events selected



Possibility to include a new Open-Charm channel in the analysis for statistical error improvement

# $\Sigma (=S/S+B)$ effect in $D^0$ mass spectra

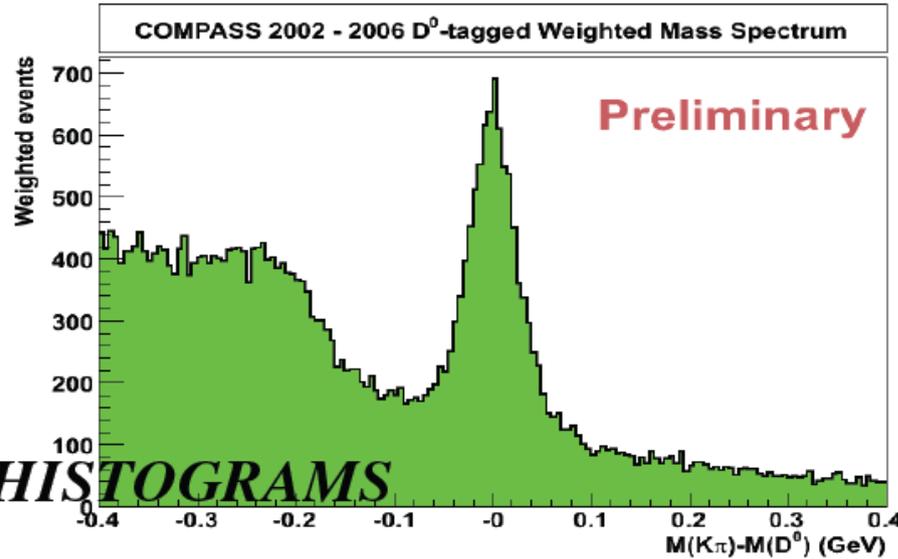
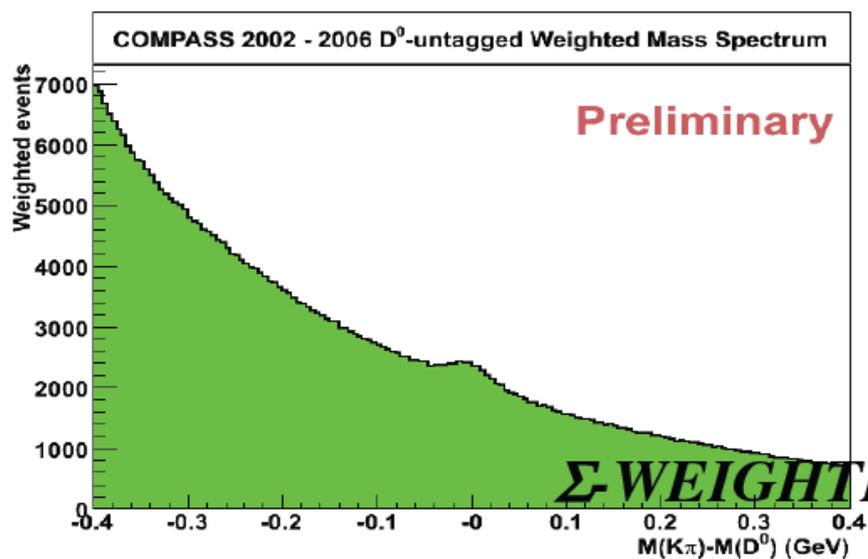
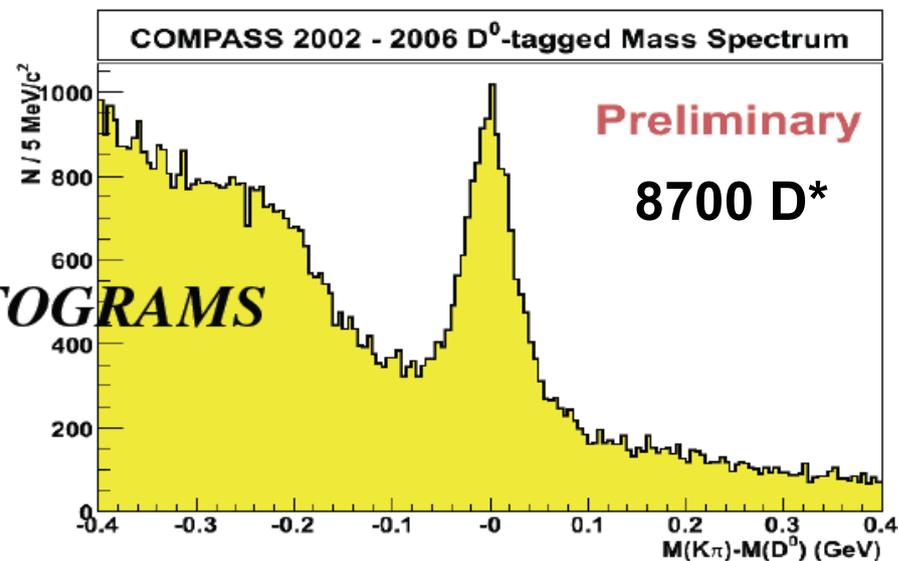
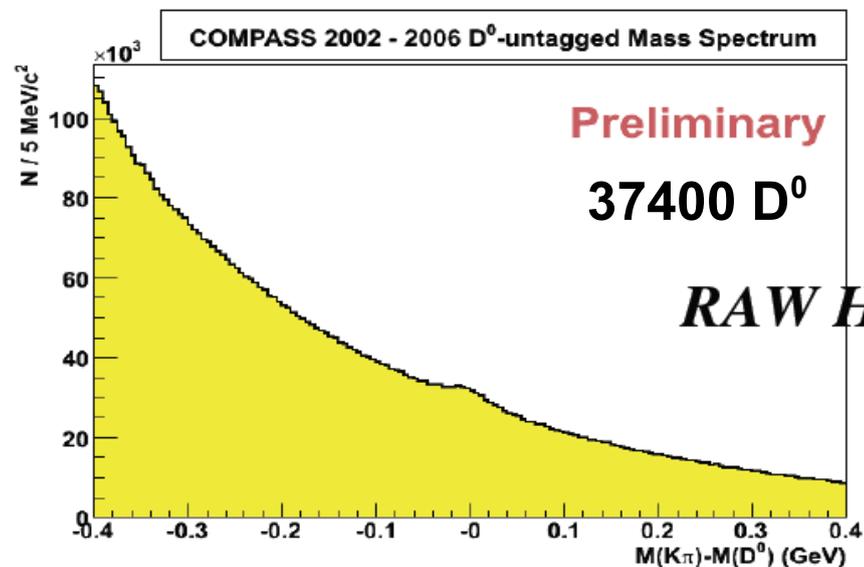


- Improved S/B for  $D^0$  not tagged
  - For high  $\Sigma$  events, combinatorial background is reduced significantly
  - $\pi^0$  reflection is seen for high  $\Sigma$

# How to parameterize $\Sigma$ ?

- $\Sigma_p = S/B$  is defined and parameterized for every event:
  - $\Sigma_p$  is built (*iteratively*) over some kinematic variables and RICH response:
    - $(\Sigma_p)_{\text{initial}} = 1$
    - Each variable is divided in mass bins (*binning needed for statistical gain*)
    - Fit all  $D^0$  and  $D^*$  mass spectra inside each bin of each variable
    - $\Sigma_p$  is adjusted (*for every event inside each bin*) to  $(S/B)_{\text{fit}}$
  - After convergence, parameterization is checked:
    - No artificial peak produced in wrong charge mass spectra
  - Mass dependence  $\Rightarrow$  Included in  $\Sigma$  after convergence of  $\Sigma_p$ 
    - $(\Sigma = \Sigma_p / \Sigma_p + 1)$  in the weight  $\longrightarrow$  **probability for a given event to be background or Open-Charm**

# S/B improvement with the parameterization

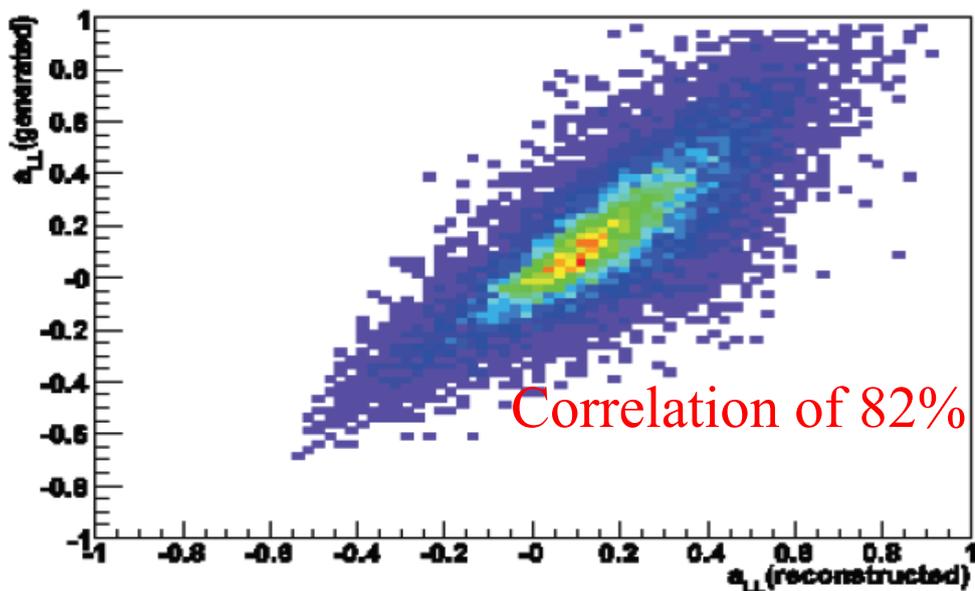


# Partonic (*photon-gluon*) asymmetry $a_{LL}$

- $a_{LL}$  is dependent on full knowledge of partonic kinematics

$$a_{LL} = \frac{\Delta \sigma^{\text{PGF}}}{\sigma_{\text{PGF}}} (y, Q^2, x_g, z_C, \phi)$$

- Can't be experimentally obtained!  $\Rightarrow$  only one charmed meson is reconstructed
- $a_{LL}$  is obtained from Monte-Carlo (*in LO*), to serve as input for a Neural Network parameterization on reconstructed kinematical variables:  $y$ ,  $x_{B_j}$ ,  $q^2$ ,  $z_D$  and  $p_{T,D}$
- $\Delta G/G$  is obtained event by event also in LO



Parameterized  $a_{LL}$  (by NN), shows a strong correlation with the generated one (comparison with generated  $a_{LL}$  using AROMA)

# Method for $\Delta G/G$ and polarised $A_B$ extraction

- The number of events comes from asymmetries in the following way:

$$N_{u,d} = a \phi n (S+B) \left( 1 + P_T P_\mu f \left( a_{LL} \frac{S}{S+B} \frac{\Delta G}{G} + a_{LL}^B \frac{B}{S+B} A_B \right) \right)$$

$a$  = acceptance,  $\phi$  = muon flux,  $n$  = number of target nucleons

- We have 4 cell configurations (2 cells oppositely polarised + field reversal for acceptance normalization):

- Weight the 4  $N_{u,d}$  equations by  $\omega_s$  and by  $\omega_B = P_\mu \cdot f \cdot D(y) \cdot (B/S+B)$

$$\langle \sum_{k=1}^{N_{\text{cell}}} \omega_i^k \rangle = \hat{a}_{\text{cell},i} \left( 1 + (\langle \beta_{\text{cell},S} \rangle \omega_i) A_S + (\langle \beta_{\text{cell},B} \rangle \omega_i) A_B \right) = f_{\text{cell},i}$$

(cell = u, d, u', d')

( $\Delta G/G$ )

(i = S, B)

$$\hat{a} = a \phi n \sigma = a \phi n (\sigma_{\text{PGF}} + \sigma_B) = a \phi n (S+B)$$

$$\beta_S = P_B P_T f a_{LL} \frac{S}{S+B} \quad \beta_B = P_B P_T f D \frac{B}{S+B}$$

**8 eq. with 10 unknowns**

# How to solve equations for simultaneous $\Delta G/G$ and $A_B$ extraction?

- Possible acceptance changes with time are the same for both cells (*also the muon flux is the same for both cells*):

10  $\Rightarrow$  8 unknowns: 6  $\hat{a}$ ,  $A_S$  and  $A_B$

$$\frac{\hat{a}_{u,S} \hat{a}_{d',S}}{\hat{a}_{u',S} \hat{a}_{d,S}} = 1, \quad \frac{\hat{a}_{u,B} \hat{a}_{d',B}}{\hat{a}_{u',B} \hat{a}_{d,B}} = 1$$

- Signal and background events are affected in same way before and after a field reversal:

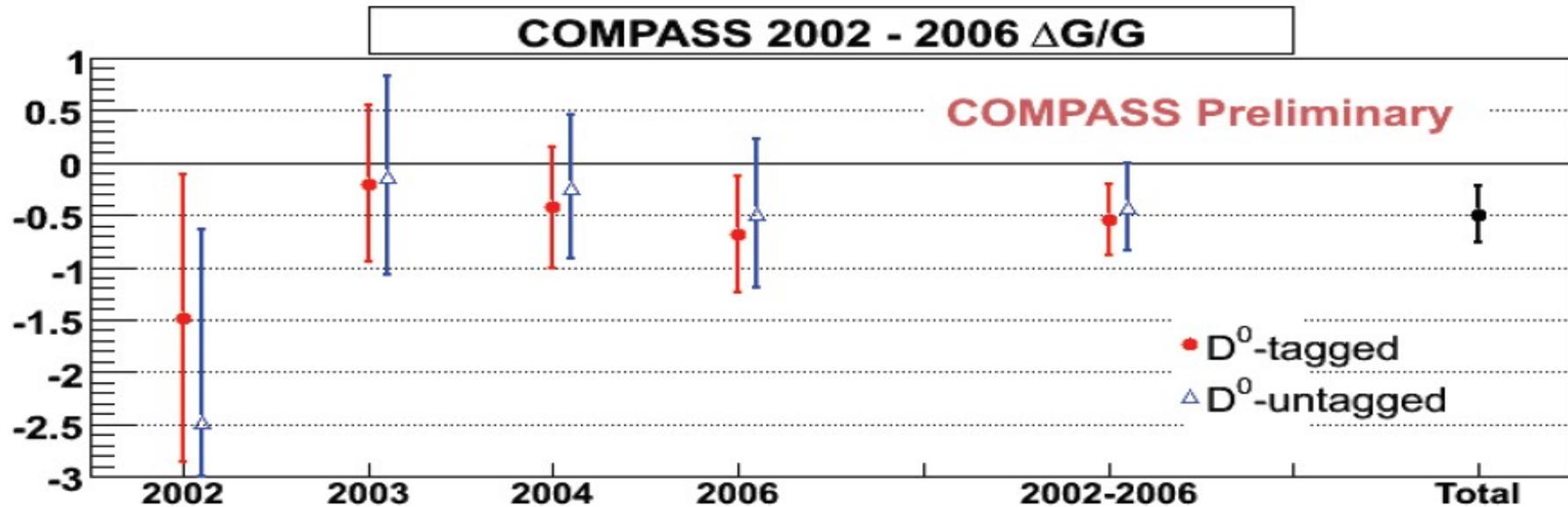
8  $\Rightarrow$  7 unknowns: 5  $\hat{a}$ ,  $A_S$  and  $A_B$

$$\frac{\hat{a}_{u,S}}{\hat{a}_{u,B}} = \frac{\hat{a}_{u',S}}{\hat{a}_{u',B}}, \quad \frac{\hat{a}_{d,S}}{\hat{a}_{d,B}} = \frac{\hat{a}_{d',S}}{\hat{a}_{d',B}}$$

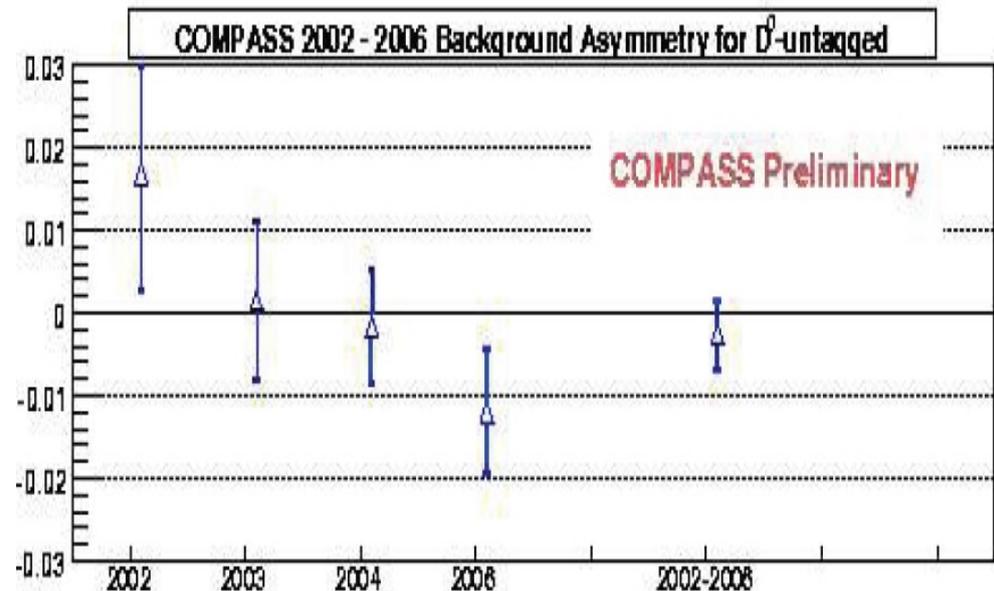
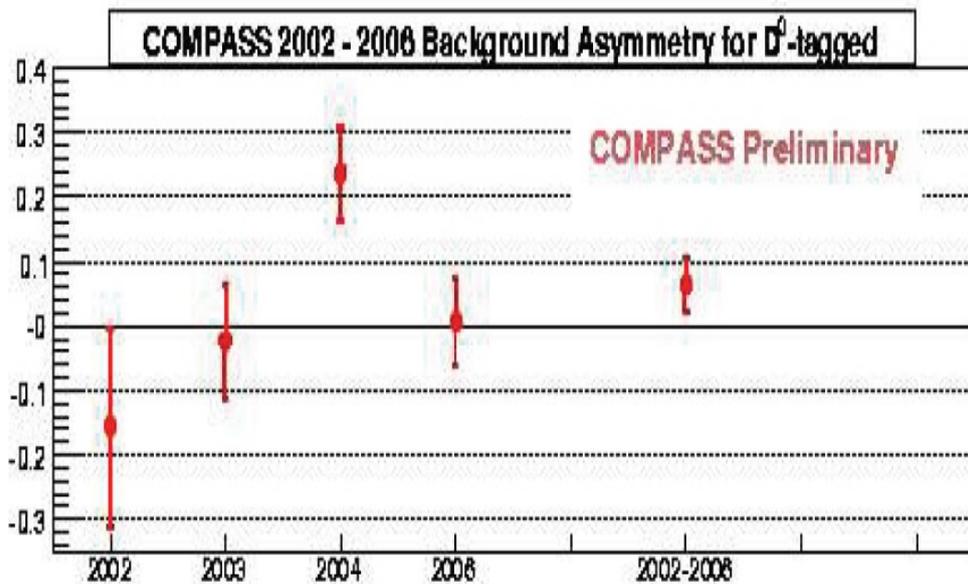
- Unknowns are obtained by a  $\chi^2$  minimization:

$$\chi^2 = (\vec{N} - \vec{f})^T \text{Cov}^{-1} (\vec{N} - \vec{f})$$

# Results



$$\frac{\Delta G}{G} = -0.49 \pm 0.27 \text{ (stat)} \pm 0.11 \text{ (sys)} \quad \langle x_g \rangle = 0.11, \quad \langle \mu^2 \rangle = 13 \text{ GeV}^2$$



# Systematic errors

- Possible errors of experimental systematics (*false asymmetries*),  $\Sigma$  and  $a_{LL}$  in weights definitions:
  - Results in an error which is proportional to  $\Delta G/G$
- $\Sigma$  was obtained in different mass windows (*around the peak*), different fit functions were used, different order for the variables on which the parameterization is applied, and different number of iterations
- $a_{LL}$  was estimated with different values for the charm quark mass and different pdf
  - For a nominal analysis with weight  $w^0$ , and uncertainty in the weight  $w^i$ , the spread in  $\Delta G/G$  is given by the spread of:  $\langle w^0 w^i \rangle / \langle (w^0)^2 \rangle$

All systematic contributions  
for  $\Delta G/G$   $\longrightarrow$

Source	$D^0$	$D^*$
Beam polarisation	0.025	0.025
Target polarisation	0.025	0.025
Dilution factor	0.025	0.025
False asymmetry	0.05	0.05
$\Sigma$	0.07	0.01
$a_{LL}$	0.05	0.03
<b>Total</b>	<b>0.11</b>	0.07

# Conclusions

- Our new  $\Delta G/G$  measurement shows a **significant statistical improvement** as compared with our previous result:

- $(\Delta G/G)_{\text{new}}^{\text{preliminary}} = -0.49 \pm 0.27 \pm 0.11$

@  $\langle x \rangle = 0.11$   
 $\langle \mu_s^2 \rangle = 13 \text{ (GeV/c)}^2$

- $(\Delta G/G)_{\text{old}} = -0.47 \pm 0.44 \pm 0.15$

- **Main reasons for this new result:**

- 2006 data included
- New cut cleaning electrons from  $D^*$   
( $\pi_s$  more pure for  $p < 8 \text{ (GeV/c)}$ )
- Parameterization of signal strength
- Improved tracking

- **Glucopolarisation is obtained directly from data in a model independent way!**

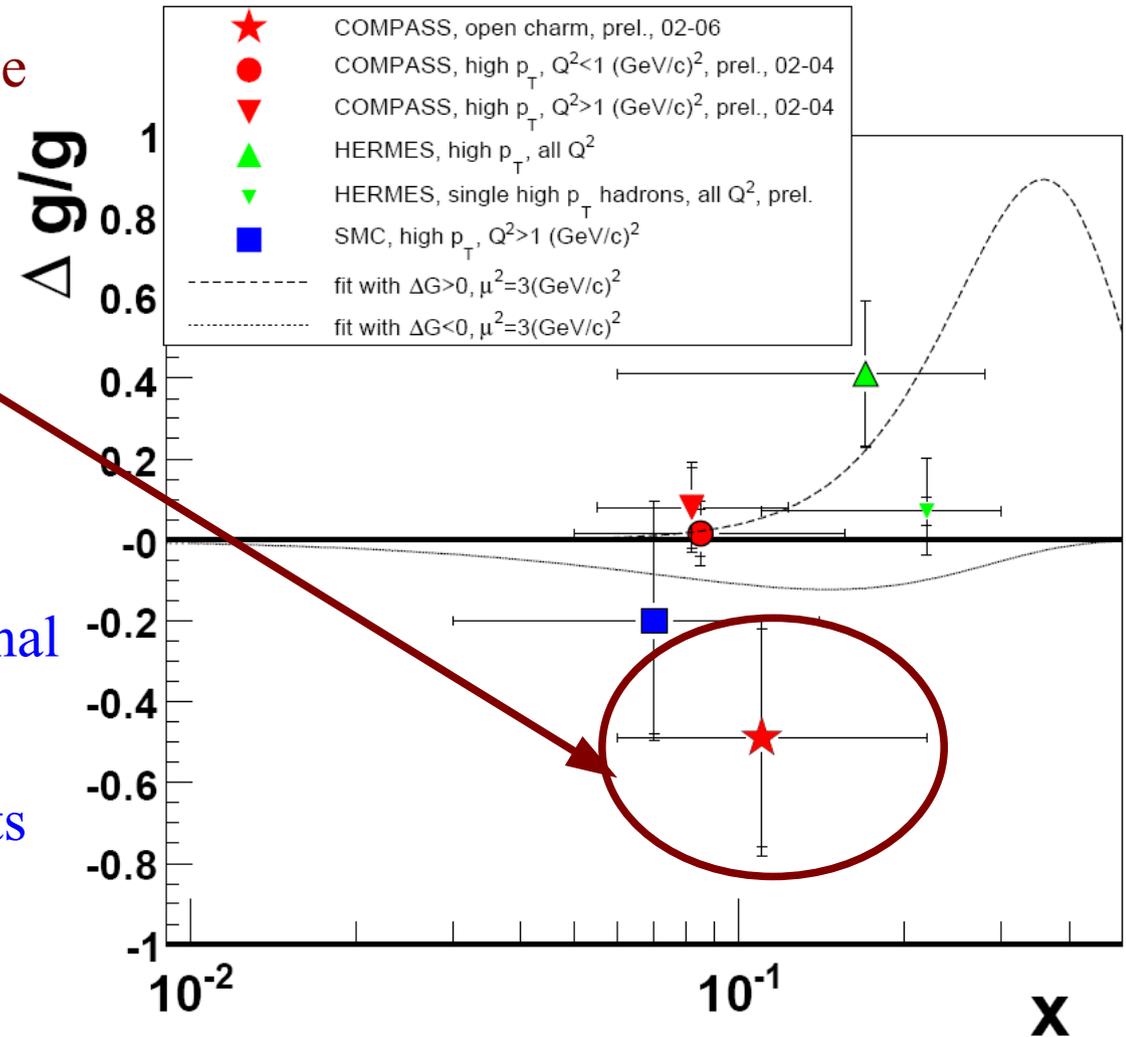
# Conclusions II

- **Small values of  $\Delta G$  are preferred!**

- Gluon polarisation compatible with zero within  $2\sigma$

- **Future studies:**

- 2007 data
- NLO analysis
- Neural Network event selection, with multidimensional parameterization of  $\Sigma_p$
- RICH sub-threshold  $D^0$  events recovery
- $D^*$  bump events recovery



# SPARES

**QCD analysis of the world data on  
structure function  $g_1$**

# Method

- In NLO QCD:

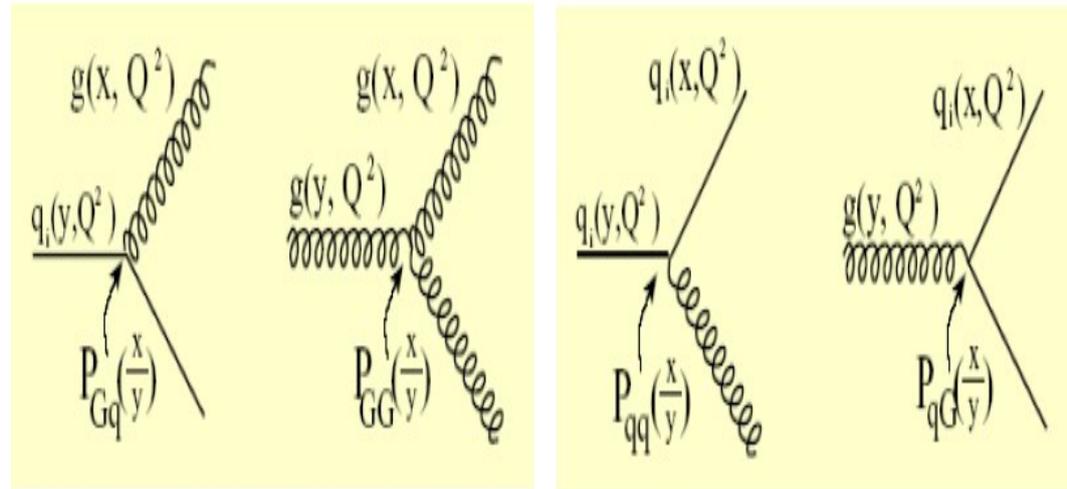
$$g_1(x, Q^2) = \frac{1}{2} \langle e^2 \rangle [C_q^S \otimes \Delta \Sigma + C_q^{NS} \otimes \Delta q^{NS} + 2n_f C_G \otimes \Delta G]$$

- DGLAP evolution equations:

$$\frac{d}{dt} \Delta q^{NS} = \frac{\alpha_s(t)}{2\pi} P_{qq}^{NS} \otimes \Delta q^{NS}$$

$$\frac{d}{dt} \begin{pmatrix} \Delta \Sigma \\ \Delta G \end{pmatrix} = \frac{\alpha_s(t)}{2\pi} \begin{pmatrix} P_{qq}^S & 2n_f P_{qG}^S \\ P_{Gq}^S & P_{GG}^S \end{pmatrix} \otimes \begin{pmatrix} \Delta \Sigma \\ \Delta G \end{pmatrix}$$

$$\Delta q^{NS}(x, Q^2) = \sum_i \left( \frac{e_i^2}{\langle e_i^2 \rangle} - 1 \right) \Delta q_i(x, Q^2)$$



- Parton distributions are parameterized at  $Q_0^2$

$$(\Delta \Sigma, \Delta q_3, \Delta q_8, \Delta G) = \eta \frac{x^\alpha (1-x)^\beta (1+\gamma x)}{\int_0^1 x^\alpha (1-x)^\beta (1+\gamma x) dx}$$

- DGLAP evolution until measured  $Q^2$

- Fit all data together

$$\chi^2 = \sum_{i=1}^N \frac{[g_1^{\text{calc}}(x, Q^2) - g_1^{\text{exp}}(x, Q^2)]^2}{[\sigma_{\text{stat}}^{\text{exp}}(x, Q^2)]^2}$$

# $\Delta G$ from QCD fits

- 230 points from 9 experiments: 43 from COMPASS

2 equally good solutions:

- $\Delta G > 0$  preferred!
- $\Delta G < 0$  preferred by small  $x$  points

$$\Delta\Sigma \approx 0.30 \pm 0.01 \text{ (stat)} \pm 0.02 \text{ (evol)}$$
$$|\Delta G| \approx 0.2 \longleftrightarrow 0.3$$

