

# Low $x$ spin physics

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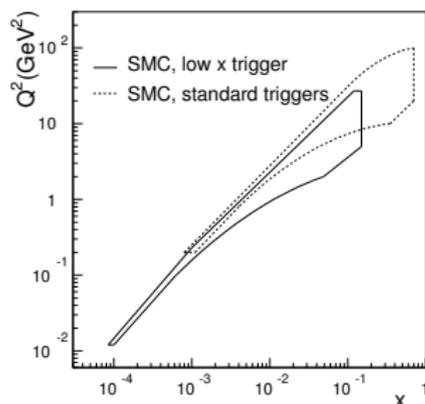
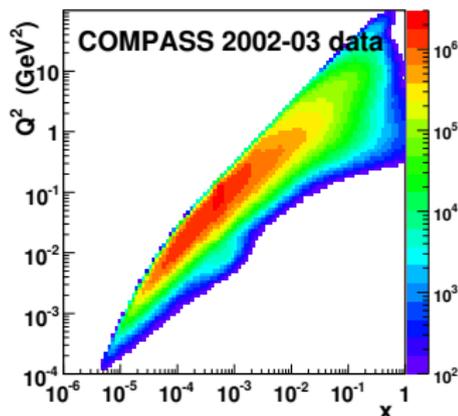
Low  $x$  Workshop 2007  
Helsinki

- 1 High energy DIS spin experiments
  - Acceptance
  - Observables
  - Measurements
- 2 Low  $x$  physics in 2007 and beyond (COMPASS)
  - Inclusive  $g_1$  measurements in 2007
  - Semi-inclusive  $g_1$  measurements in 2007
  - Transversity measurements in 2007
  - Muoproduction of  $\rho^0$  and the DVCS
- 3 Regge model predictions for  $g_1$
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# Acceptance

- 1 E142, E143, E154, E155, E155X at SLAC; electrons of  $< 50$  GeV, targets: protons, deuterons, helium-3;
- 2 EMC, SMC, COMPASS at CERN; muons of 90 – 280 GeV, targets: protons, deuterons;
- 3 HERMES at DESY; electrons of 30 GeV, targets: protons, deuterons, (helium-3);
- 4 STAR, PHENIX at BNL;  $pp$  collider,  $\sqrt{s} = 200$  GeV;
- 5 Kinematic variables from incident and scattered leptons in 1, 2, 3; hadrons from target fragmentation often also measured and – in case of HERMES, COMPASS – identified if momenta larger than 1 and 2.5 GeV respectively;
- 6 background due to  $\mu e$  scattering (at  $x = 0.000545$ ) in 2 (SMC, COMPASS);



- “Low  $x$ ” means  $x \lesssim 0.001$ ; reached by COMPASS but at the expense of  $Q^2 < 1 \text{ GeV}^2$ !
- Other fixed target experiments (HERMES, JLAB programme) reach higher  $x$ .
- Results from RHICspin only indirectly connected to low  $x$ .
- Thus the bulk of the reviewed results is from COMPASS and concerns the deuteron.
- Observe that the gluon polarisation will not be mentioned even if it indirectly does refer to the low  $x$  region too!

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- A direct observable,  $\mu$ - $d$  spin-dependent cross section asymmetry  $A^{\mu d}$ :

$$A^{\mu d} = \frac{1}{fP_T P_B} \left( \frac{N^{\leftrightarrow} - N^{\leftarrow}}{N^{\leftrightarrow} + N^{\leftarrow}} \right); \quad f \sim 0.4, \quad P_T \sim 0.5, \quad P_B \sim -0.8$$

- is related to the longitudinal and transverse  $\gamma^*$   $d$  asymmetries:

$$\frac{A^{\mu d}}{D} = A_1^d + \eta A_2^d$$

- In the COMPASS kinematics  $\eta$  is small; also the SLAC and SMC measurements show that:

$$|\eta A_2^d| \ll |A_1^d| \quad \text{so that:} \quad \frac{A^{\mu d}}{D} \approx A_1^d = \frac{\sigma_0^T - \sigma_2^T}{\sigma_0^T + \sigma_2^T}$$

- Longitudinal spin-dependent structure function:

$$g_1^d(x, Q^2) \approx A_1^d(x, Q^2) \frac{F_2^d(x, Q^2)}{2x(1 + R(x, Q^2))}$$

- 

$$\text{Here: } g_1^d = g_1^N \left(1 - \frac{3}{2} \omega_D\right) = \frac{g_1^p + g_1^n}{2} \left(1 - \frac{3}{2} \omega_D\right) \quad \text{and} \quad \omega_D = 0.05 \pm 0.01$$

# Observables: transversity

Slide from F. Bradamante, ECT 2007

## Transversity Distribution Function

three quark distribution functions (DF) are necessary to describe the structure of the nucleon at LO

$q(x)$

$f_1^q(x)$



### unpolarised DF

DF of a quark with momentum  $xP$  in a nucleon

*well known – unpolarised DIS*

$\Delta q(x)$

$g_1^q(x)$



### helicity DF

difference of DF of quarks with spin parallel or anti parallel to the nucleon spin in a longitudinally polarised nucleon

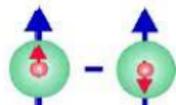
*known – polarised DIS*

$\Delta_T q(x) = q^{\uparrow\uparrow}(x) - q^{\uparrow\downarrow}(x)$

$h_1^q(x)$ ,

$\delta q(x)$ ,

$\delta_T q(x)$



### transversity DF

difference of DF of quarks with spin parallel or anti parallel to the nucleon spin in a transversely polarised nucleon

*largely unknown*

**ALL 3 OF EQUAL IMPORTANCE**

ECT\*, June 11, 2007

F. Bradamante

# Observables: transversity...cont'd

## Properties of $\Delta_T q(x)$ :

- is chiral-odd  $\rightarrow$  hadron(s) in final state needed to be observed
- simple QCD evolution since no gluons involved
- related to GPD
- sum rule for transverse spin
- first moment gives “tensor charge” (now being studied on the lattice)

**Asymmetry measured** e.g. via the Collin's asymmetry (asymmetry in the distribution of hadrons):

$$N_h^\pm(\phi_c) = N_h^0 [1 \pm p_T D_{NN} A_{Coll} \sin \phi_c]$$

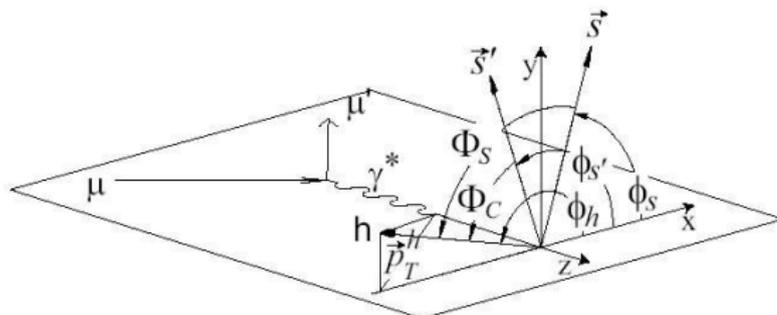
which in turn gives:

$$A_{Coll} \sim \frac{\sum_q e_q^2 \cdot \Delta_T q \cdot \Delta_T^0 D_q^h}{\sum_q e_q^2 \cdot q \cdot D_q^h}$$

But hadrons in the final state mean that

**transverse fragmentation functions  $\Delta_T^0 D_q^h$  needed to extract  $\Delta_T q(x)$  from the Collin's asymmetry!** Recently those FF measured by BELLE.

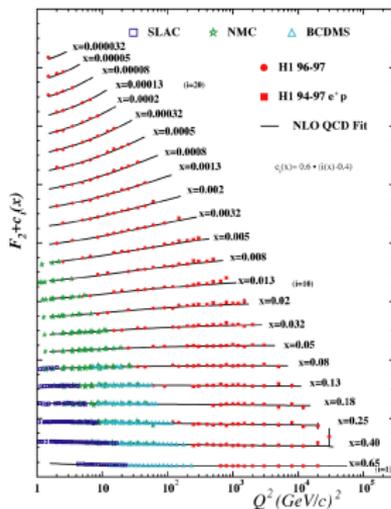
Definition of  $\phi_C$ :



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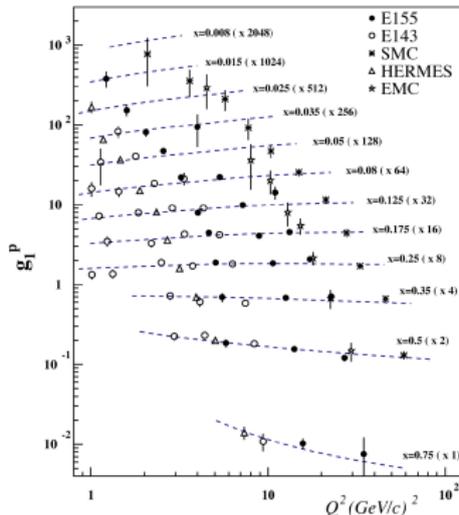
# Measurements; inclusive $g_1^p$

World data on  $F_2^p$



→ 50% of momentum  
carried by gluons

World data on  $g_1^p$



→ 20% of proton spin  
carried by quark spin

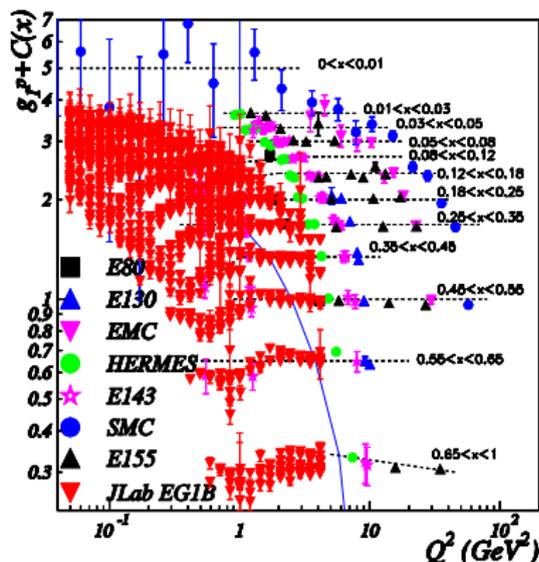
Figure from R.Ent, DIS2006

Scaling violation in  $g_1(x, Q^2)$  is weak.  
For  $g_1$ ,  $Q^2$  becomes  $> 1 \text{ GeV}^2$  at  $x \gtrsim 0.003$  for SMC, 0.03 for HERMES and for COMPASS.

## World data on polarized structure function $g_{1p}(x, Q^2)$

*CLAS provides a large body of precise  $g_1$  data in the DIS and transition regions that can be used to improve knowledge of twist-2 PDFs.*

Phys. Rev.C75:035203, 2007  
Phys. Lett. B 641, 11 (2006)



Blue line:  $W = 2\text{GeV}$  and  $Q^2 = 1\text{ GeV}^2$  limit

Figure courtesy of V. Burkert (JLAB)

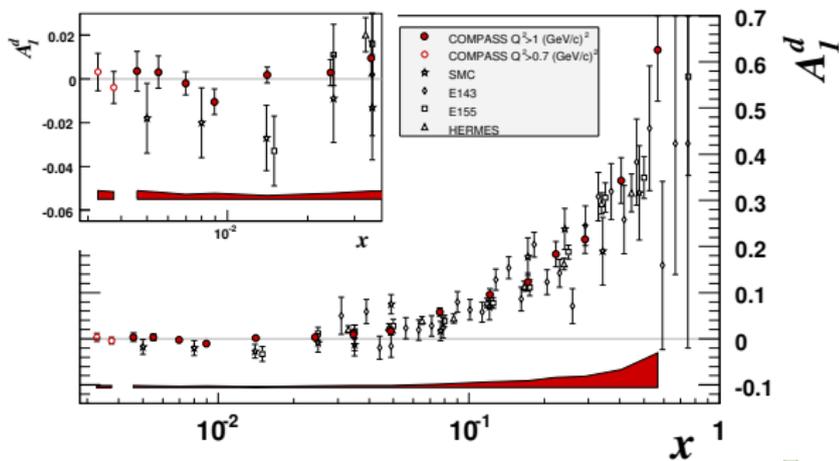
# Measurements and QCD analysis of inclusive $g_1^d$

V.Yu. Alexakhin (COMPASS) *et al.* Phys Lett B647 (2007) 8

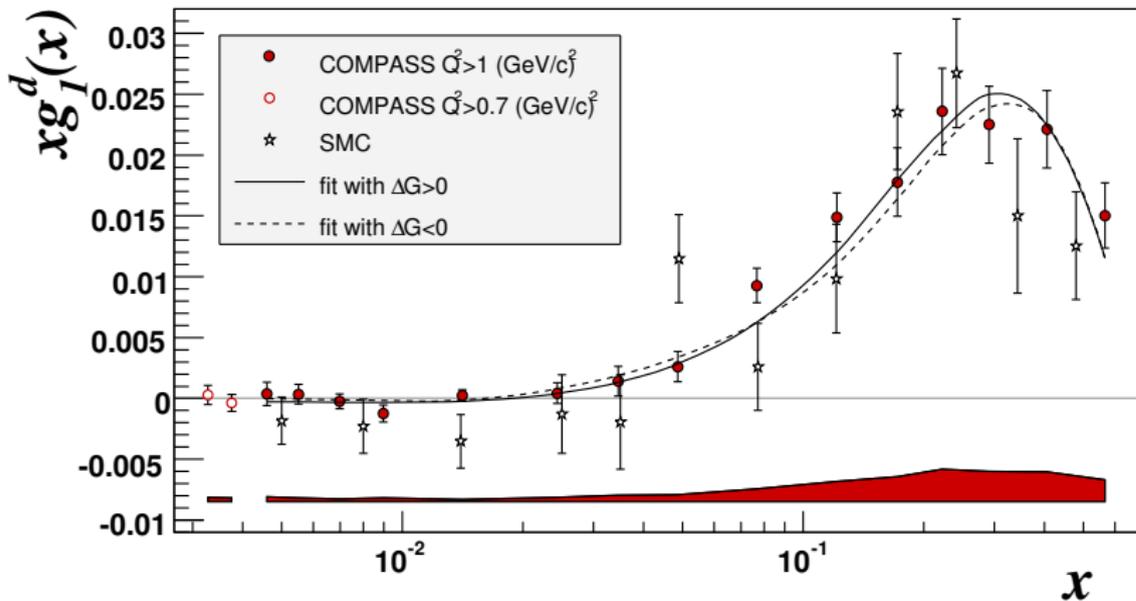
- Two programs: DGLAP evolution of structure functions and evolutions of moments
- NLO  $\overline{MS}$  scheme
- World data: 9 experiments, 230 data points (43 from COMPASS)
- 

$$g_1(x, Q_0^2) = g_1(x, Q_i^2) + \left( g_1^{fit}(x, Q_0^2) - g_1^{fit}(x, Q_i^2) \right)$$

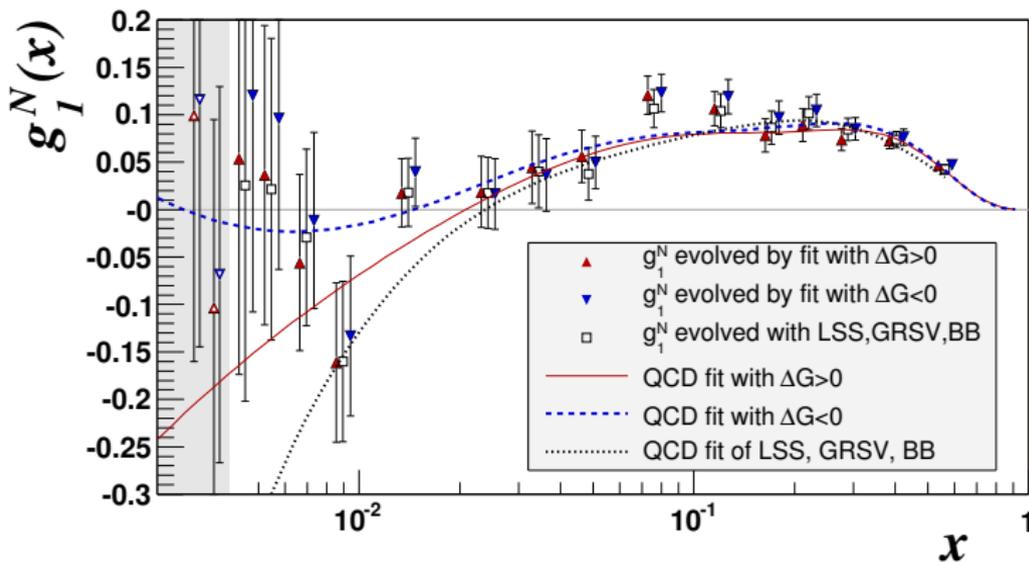
- Two solutions,  $\Delta G > 0$  and  $\Delta G < 0$  describe data equally well.



# Measurements and QCD analysis of $g_1^d$ ...cont'd



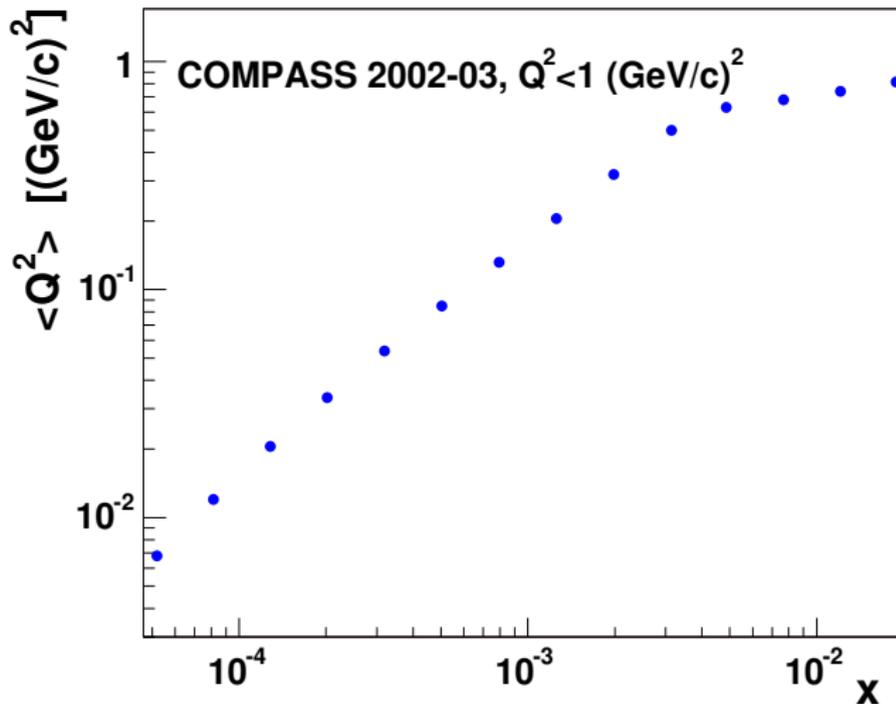
# Measurements and QCD analysis of $g_1^d$ ...cont'd



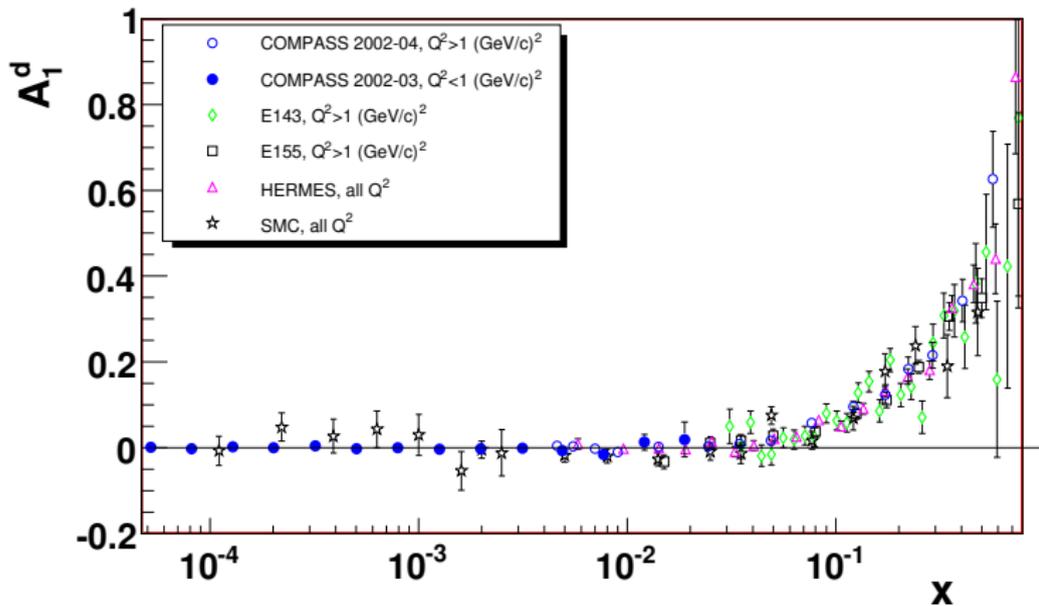
COMPASS  $g_1^N$  evolved to  $Q^2 = 3 \text{ GeV}^2$ ; NLO fits to world data (2006).  
Low  $x$  data prefer  $\Delta G < 0$ ? Sign not fixed by the  $\Delta G$  measurements...

# Measurements; $A_1^d$ and $g_1^d$ at small $Q^2$

V.Yu. Alexakhin (COMPASS) *et al.* Phys. Lett. B **647** (2007) 330

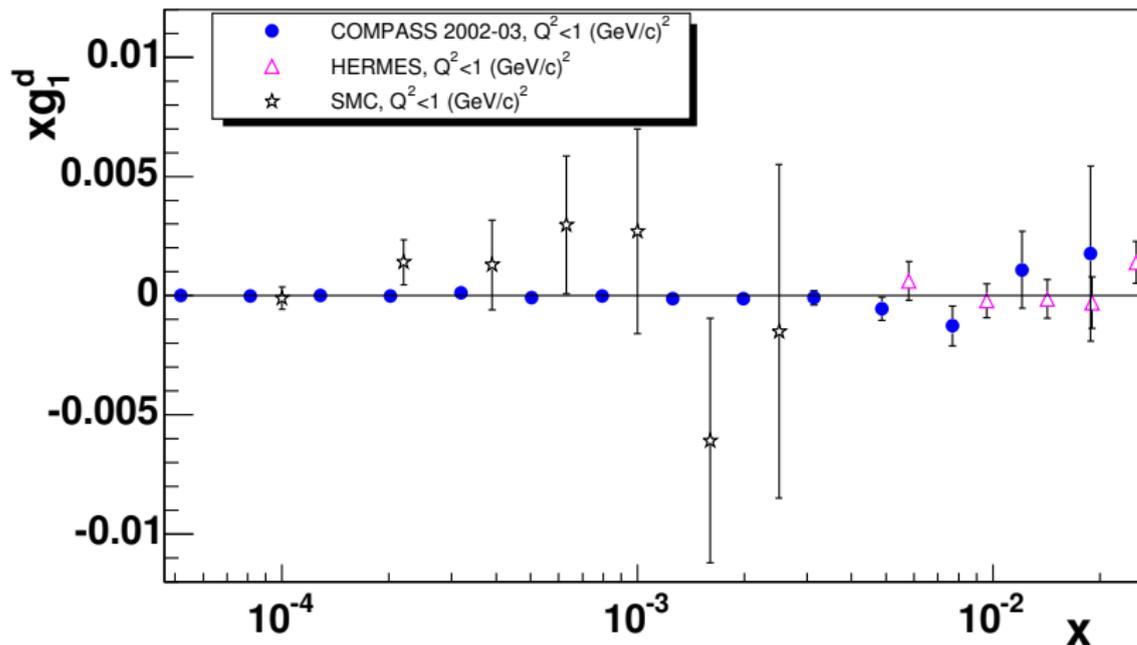


# Measurements; $A_1^d$ and $g_1^d$ at small $Q^2$ ...cont'd

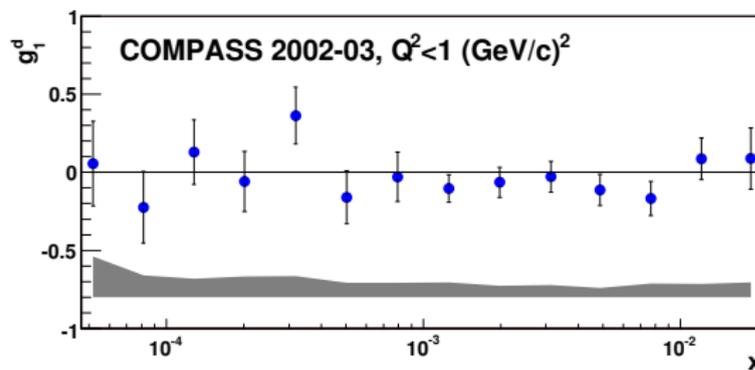
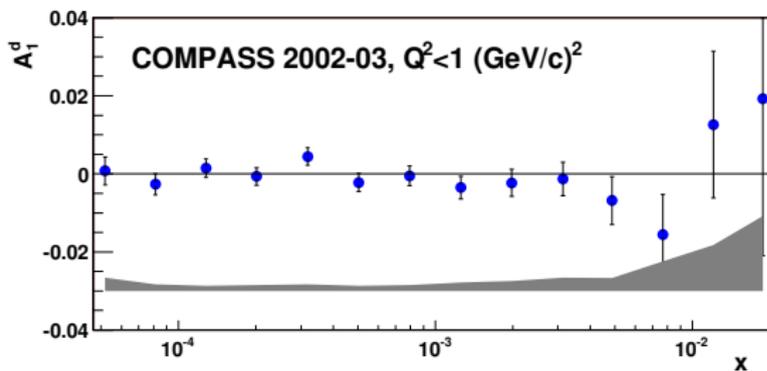


Spin effects in  $g_1^d$  at low  $x$  and  $Q^2$  absent ?

# Measurements; $A_1^d$ and $g_1^d$ at small $Q^2$ ...cont'd



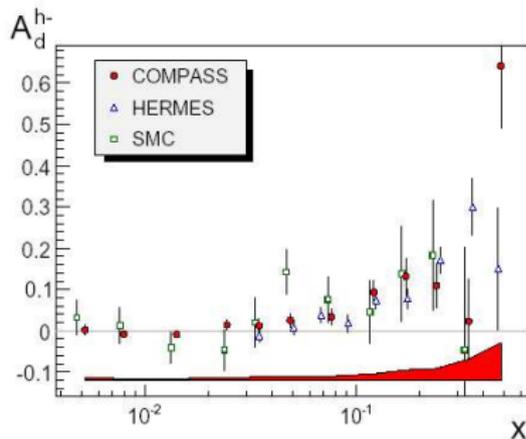
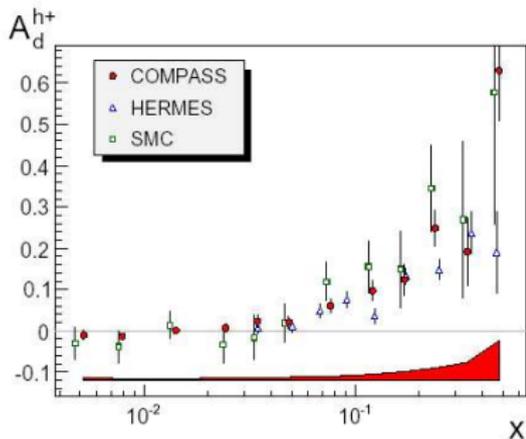
# Measurements; $A_1^d$ and $g_1^d$ at small $Q^2$ ...cont'd



# Measurements; semi-inclusive asymmetries

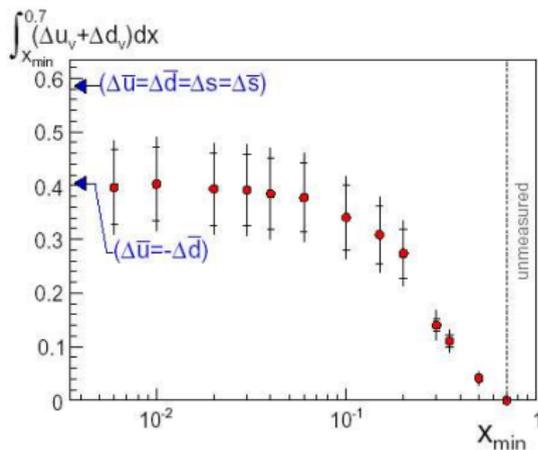
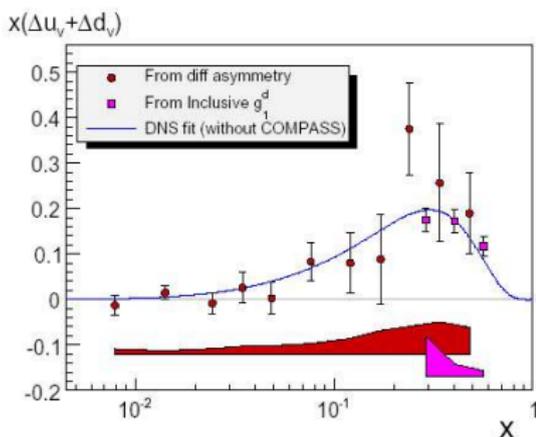
M. Alekseev *et al.* (COMPASS) hep-ex/0707.4077

Now apart of the scattered muon also a hadron (hadrons) observed in the final state.



# Measurements; semi-inclusive asymmetries...cont'd

- Difference asymmetry:  $A^{h^+ - h^-}$
- Fragmentation functions drop out

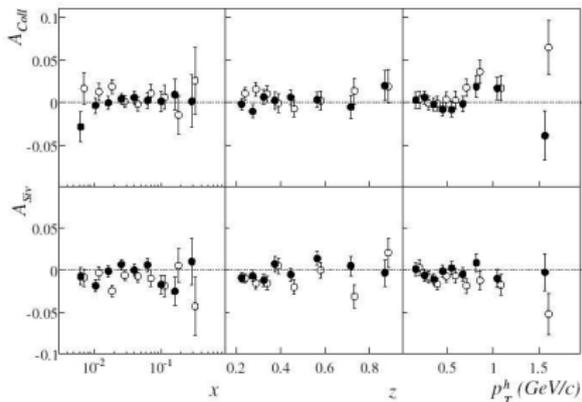


$$\int_{0.006}^{0.7} (\Delta u_v + \Delta d_v) dx = 0.40 \pm 0.07 \pm 0.05$$

Unmeasured regions contribute negligibly. **Non-symmetric sea preferred ?**

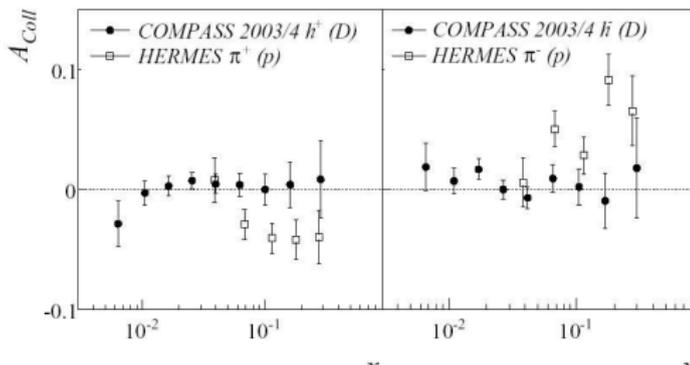
M. Alekseev *et al.* (COMPASS) hep-ex/0707.4077

# Measurements; transversity



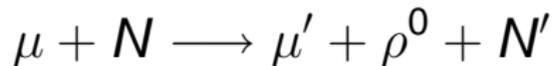
All hadrons; positive and negative

E.S. Ageev *et al.* (COMPASS) Nucl.Phys.B 765(2007) 31

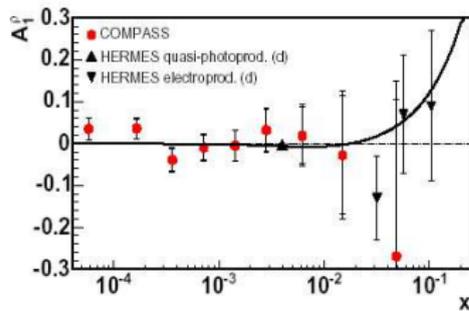
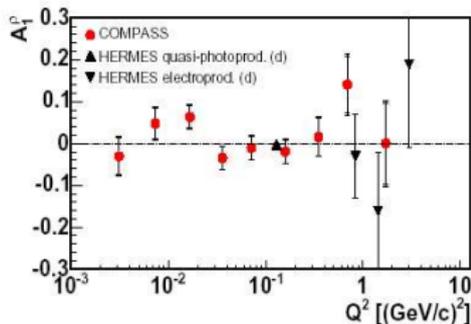


# Measurements: exclusive $\rho^0$ muoproduction

Longitudinal double spin asymmetry  $A_1^p$ :



related to spin dependent Generalised Parton Distributions.



At  $x \lesssim 0.01$  contribution of unnatural parity exchanges in the exclusive  $\rho^0$  production small

Figure from M. Alekseev *et al.* (COMPASS) hep-ex/0704.1863, accepted EPJC

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# Inclusive $g_1^p$ measurements in 2007

- Shape of  $g_1^p$  at low  $x$  interesting but unknown! Shape of  $g_1^{ns}$  at low  $x$  sensitive to  $\ln(1/x)$  effects!
- Good accuracy needed ( $\rightarrow$  SMC errors reduced  $\sim 3$  times).

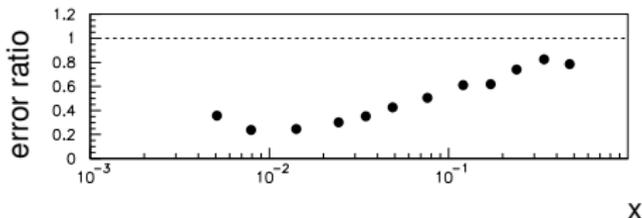
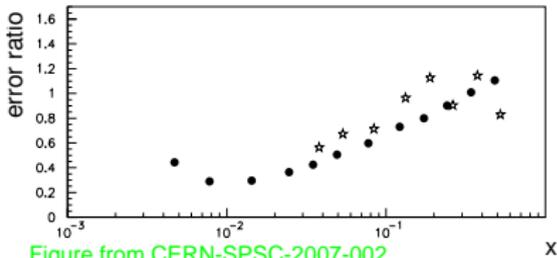
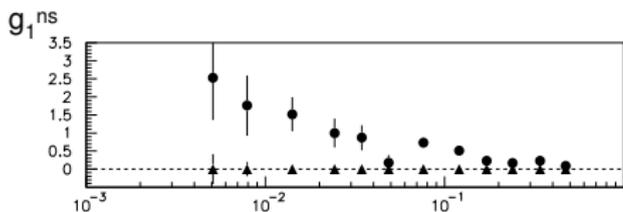
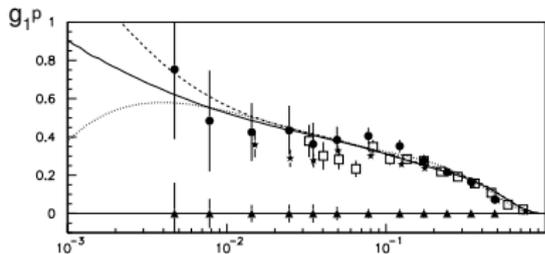


Figure from CERN-SPSC-2007-002

X

X

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# Semi-inclusive $g_1^p$ measurements in 2007

- What is the sign of  $\int dx \Delta s(x)$  (it is  $> 0$  HERMES semi-incl. and  $<$  incl. data)?
- The 2007 proton run + 2002–2006 deuteron data will give:

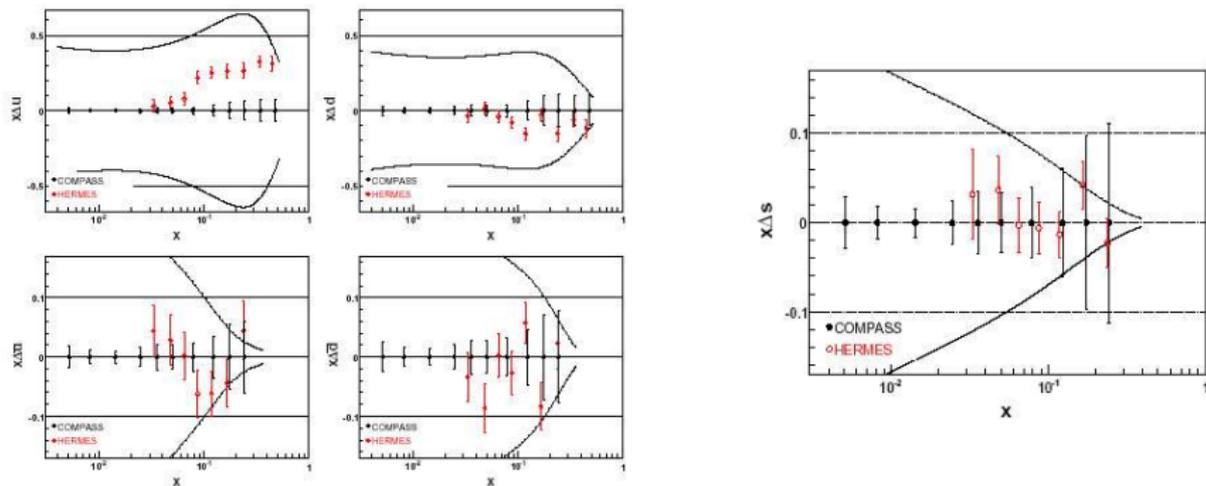


Figure from CERN-SPSC-2007-002

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# Transversity measurements in 2007

- Presently only HERMES data on the proton (but lower  $Q^2$ ). **Non zero?** COMPASS proton results needed.
- Transversity PDFs,  $\Delta_T q(x)$ , to be extracted.

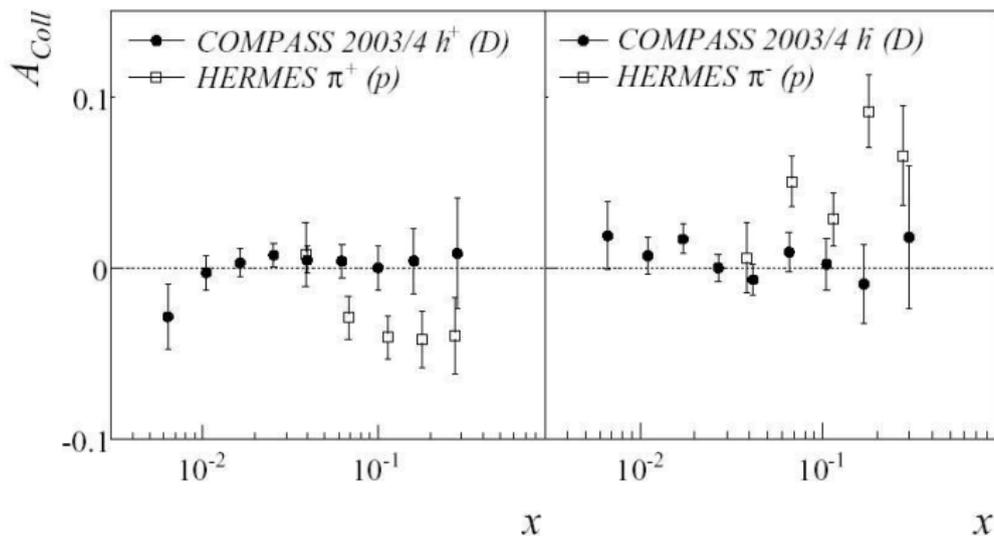


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## 5 Longer term projects

Other lines of research are presently under consideration as already mentioned in a document submitted January 15, 2006 to the CERN Council Strategy Group:

- The measurements of Generalised Parton Distribution functions with muon beams will, in particular, give access to the orbital momentum contribution to the nucleon spin, as described in the Expression Of Interest submitted (SPSC-2005-007, SPSC-EOI-005).
- The transverse spin effects were unveiled only recently and measurements are very preliminary. The next decade could cover systematic studies.
- The measurements of single spin observables in Drell–Yan processes with hadron beams will allow to check fundamental predictions of QCD. An EOI is being prepared.
- The double charm production with hadron beams will be accessible once a more refined vertex reconstruction is achieved.

From CERN-SPSC-2007-002

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# Regge model predictions for $g_1$

- Regge gives for  $x \rightarrow 0$  (i.e.  $Q^2 \ll W^2$ ):

$$g_1^i(x, Q^2) \sim \beta(Q^2)x^{-\alpha_i(0)} \quad (1)$$

where  $i$  = singlet (s), nonsinglet (ns):  $g_1^s = g_1^p + g_1^n$ ,  $g_1^{ns} = g_1^p - g_1^n$ .

- Possible trajectories:  $l=0$  ( $g_1^s$ ;  $f_1$  trajectory) and  $l=1$  ( $g_1^{ns}$ ;  $a_1$  trajectory).  
Expectations:  $\alpha_{s,ns}(0) \lesssim 0$  and  $\alpha_s(0) \approx \alpha_{ns}(0)$ .
- Consequence: for  $Q^2 \rightarrow 0$ ,  $g_1(W^2) \sim W^{2\alpha(0)}$ .
- At large  $Q^2$ : the DGLAP evolution and resummation of  $\ln^2(1/x)$  generate more singular  $x$  dependence than that implied by eq.(1) for  $\alpha_{s,ns}(0) \lesssim 0$ .
- Other Regge isosinglet contributions to  $g_1$  at low  $x$ :
  - a term  $\sim x \ln x$ ;
  - a term  $\sim 2 \ln(1/x) - 1$ ;
  - a perverse term  $\sim 1/(x \ln^2 x)$  got invalidated.

**Perturbative QCD effects might modify the Regge expectations.** In case of  $g_1$  it creates a more singular low  $x$  behaviour than the (nonperturbative) Regge expectations.

# Regge model predictions for $g_1 \dots$ cont'd

Testing Regge behaviour of  $g_1$  through its  $x$  dependence:

- choose high  $W^2$ ;
- choose low  $x$  (i.e.  $Q^2 \ll W^2$  but not necessarily low  $Q^2$ );
- choose a bin of  $Q^2$  (i.e.  $Q^2 = \text{const}$ );
- fit the  $x$  dependence of  $g_1$ .

For the SMC:

- Testing not possible

For COMPASS:

- Testing not possible either
- **Observe:** assuming  $g_1 \sim x^0$  to get  $x \rightarrow 0$  extrapolation of  $g_1$  to extract  $g_1$  moments is **not correct!** Evolve  $g_1$  to a common  $Q^2$  before extrapolation!

Brodsky: colour coherence, or at  $x \rightarrow 0$

$$\frac{\Delta G(x)}{G(x)} \sim x$$

(spin analogue of hard pomeron with intercept  $\sim 0.5$  ???)

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# Low $x$ phenomenology

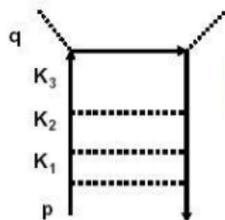
- Small  $x$  behaviour of both  $g_1^S$  and  $g_1^{NS}$  is controlled by terms corresponding to powers of  $\alpha_s \ln^2(1/x)$   
Bartels, Ermolaev, Ryskin, Z.Phys. C70 (1996) 273; Z.Phys. C72 (1996) 627.
- These terms generate the leading small  $x$  behaviour of  $g_1$ .
- They go **beyond** the standard QCD evolution of spin dependent parton densities which does not generate the double but only the single  $\ln(1/x)$  terms (due to strong DGLAP ( $k_T^2$ ) ordering).
- They **may be included** in the QCD evolution; one of the methods: a formalism based on unintegrated parton distributions,  $f(x, k^2)$ , where the conventional parton distributions  $p(x, Q^2)$  are

$$p(x, Q^2) = \int^{Q^2} \frac{dk^2}{k^2} f(x, k^2)$$

and  $k^2$  is a transverse momentum squared of the partons

# Low x phenomenology...cont'd

(B.I. Ermolaev, M. Greco and S.I. Troyan, Eur. Phys. J. C50 (2007) 823)



DGLAP -ordering:

$$\mu^2 < k_{1\perp}^2 < k_{2\perp}^2 < k_{3\perp}^2 < Q^2$$

good approximation for large  $x$  when logs of  $x$  can be neglected. At  $x \ll 1$  the ordering has to be lifted

DGLAP small- $x$  asymptotics of  $g_1$  is well-known:

$$g_1 \sim \exp[\ln(1/x) \ln \ln(Q^2/\Lambda_{\text{QCD}}^2)]^{1/2}$$

when the initial parton densities are not singular functions of  $x$

When the DGLAP -ordering is lifted and all double logarithms of  $x$  are accounted for, the asymptotics is different:

$$g_1 \sim (1/x)^\Delta (Q^2/\mu^2)^{\Delta/2}$$

intercept

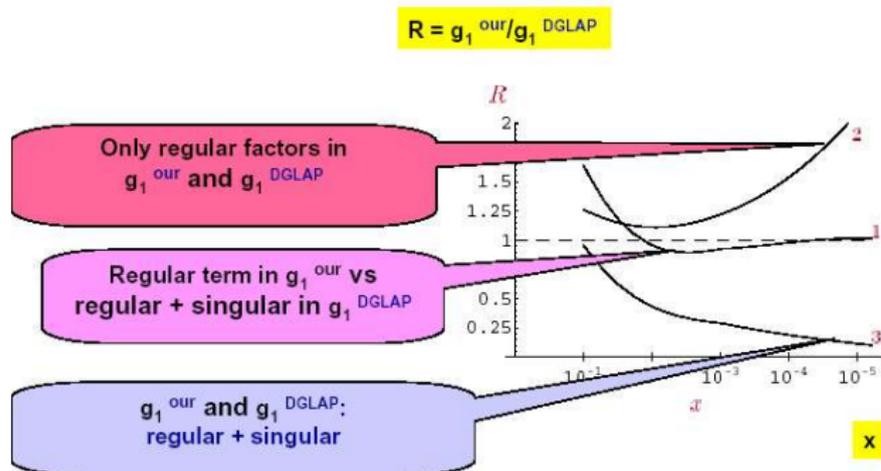
Bartels- Ermolaev-  
Manaenkov-Ryskin

Slide from M. Greco, COMPASS Collaboration Meeting, 10 .XI. 2006

# Low $x$ phenomenology...cont'd

Alternatively DGLAP fails at  $x < 0.05$  when the simplest, bare quark fit is used.

Let us numerically compare DGLAP with our approach at finite  $x$ , using the same DGLAP fit for initial quark density.

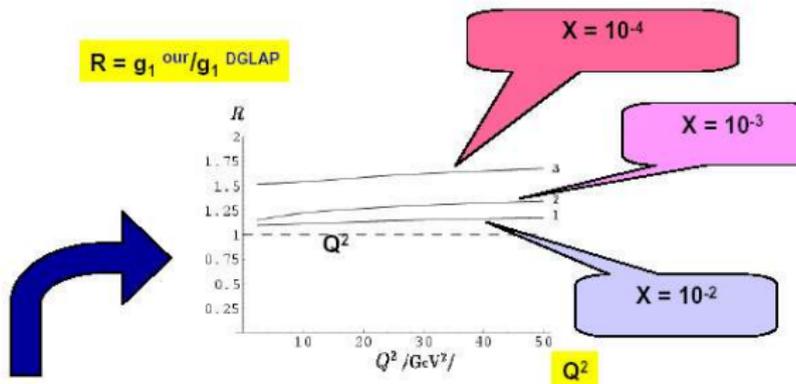


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# Low x phenomenology...cont'd

$R = g_1^{\text{our}}/g_1^{\text{DGLAP}}$  as function of  $Q^2$  at different x

$R = g_1^{\text{our}}/g_1^{\text{DGLAP}}$



$Q^2$ -dependence of  $R$  is flatter than the  $x$ -dependence

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# Low $x$ phenomenology...cont'd

## Comparison between DGLAP and our approach at small $x$

DGLAP

Coeff. functions and anom. dimensions are calculated with two-loop accuracy

To ensure Regge behaviour, singular terms in  $x$  are used in the initial partonic densities

Equivalent to inserting a phenomenological asymptotic factor into expressions for  $g_1$



our approach

Coeff. functions and anom. dimensions sum DL and SL terms to all orders

Regge behaviour is achieved automatically, even when the initial densities are regular in  $x$

Asymptotics of  $g_1$  are never used in expressions for  $g_1$  at finite  $x$

**Warning: asymptotic formulae for  $g_1$  are unreliable at  $x > 10^{-5}$**

# Low $x$ phenomenology...cont'd

## Comparison between DGLAP and our approach at any $x$

DGLAP

Good at large  $x$  because includes exact two-loop calculations but bad at small  $x$  as lacks the total resummation of  $\ln(x)$

our approach

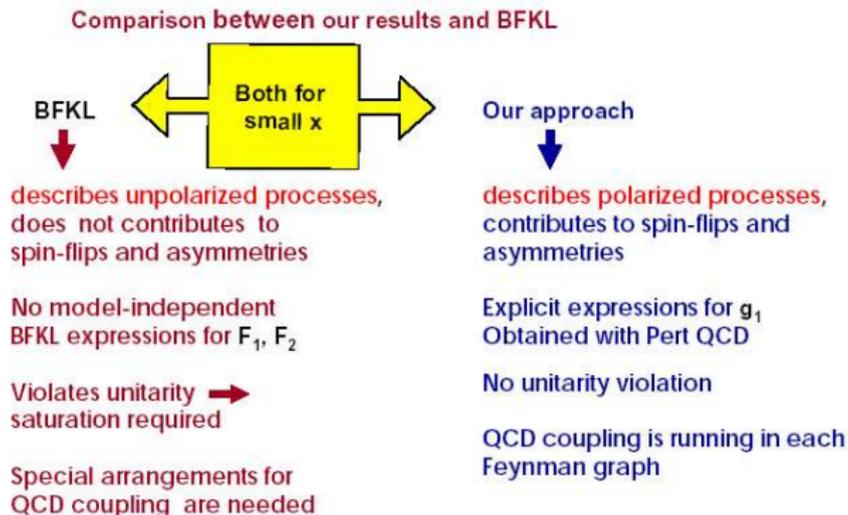
Good at small  $x$ , includes the total resummation of  $\ln(x)$  but bad at large  $x$  because neglects some contributions essential in this region

## WAY OUT – merging of our approach and DGLAP

1. Expand our formulae for coeff. functions and anom. dimensions into a series in the QCD coupling
2. Replace the first- and second- loop terms of the expansion by corresponding DGLAP –expressions

New, "synthetic" formulae have the advantage of the both approaches and are equally good at large and small  $x$ .  
Only regular terms in  $x$  for the init. part. densities are required

# Low x phenomenology...cont'd



Slide from M. Greco, COMPASS Collaboration Meeting, 10 .XI. 2006

# Low x phenomenology...cont'd

COMPASS operates with small  $Q^2$  and small  $x$

In order to generalize our results to the region of small  $Q^2$ , one should remember that  $\ln(Q^2/\mu^2)$  is the result of the integration

$$\int_{\mu^2}^{Q^2} \frac{dk_{\perp}^2}{k_{\perp}^2}$$

However it is valid for large  $Q^2$  only. For arbitrary  $Q^2$ :

$$\int_{\mu^2}^{Q^2} \frac{dk_{\perp}^2}{k_{\perp}^2} \rightarrow \int_0^{Q^2} \frac{dk_{\perp}^2}{k_{\perp}^2 + \mu^2} = \ln\left(\frac{Q^2 + \mu^2}{\mu^2}\right)$$

Introduced as a "mass" of virtual quarks and gluons to regulate infrared singularities

## Conclusions I

Total resummation of the double- and single- logarithmic contributions

 New anomalous dimensions and coefficient functions.

At  $x \rightarrow 0$ , asymptotics of  $g_1$  is power-like in  $x$  and  $Q^2$

New scaling:

$$g_1 \sim (Q^2 / x^2)^{\Delta/2}$$



With init. densities regular in  $x$ , DGLAP becomes unreliable at  $x=0.05$  approximately.

Singular terms in the DGLAP initial parton densities ensure a steep rise of  $g_1$  at small  $x$  and mimic the resummation of logs of  $x$ . With the resummation accounted for, they can be dropped.

$x$ -dependent terms in the regular factors can also be dropped at  $x \ll 1$ , so the fits can be reduced down to a constant

DGLAP init. dens. are expected to describe non-Pert QCD. Instead, they basically correspond to Pert QCD.  $\rightarrow$  Non-Pert effects are surprisingly small at  $x \ll 1$

## Conclusions II

The region of small  $Q^2$  is also beyond the reach of SA. We predict that  $g_1$  at small  $Q^2$  is almost independent of  $x$ , even at  $x \ll 1$ . Instead, it depends on  $2p_q$  only. At a certain relation between the initial quark and gluon densities,  $g_1$  can be pretty close to zero in the range of  $2p_q$  investigated now experimentally by COMPASS.

- 1 High energy DIS spin experiments
  - Acceptance
  - Observables
  - Measurements
- 2 Low  $x$  physics in 2007 and beyond (COMPASS)
  - Inclusive  $g_1$  measurements in 2007
  - Semi-inclusive  $g_1$  measurements in 2007
  - Transversity measurements in 2007
  - Muoproduction of  $\rho^0$  and the DVCS
- 3 Regge model predictions for  $g_1$
- 4 Low  $x$  phenomenology
- 5 Summary

# Summary

- For the spin data at high energies, low  $Q^2$  region correlated with low  $x$ ; COMPASS the only source of data.
- Understanding the low  $x$  behaviour of the nucleon spin structure, even at low values of  $Q^2$ , is very important *per se* but also for practical purposes. ‘
- Spin effects seem to approach 0 when  $x \rightarrow 0$ , **at least for  $Q^2 \lesssim 1 \text{ GeV}^2$** . This is true for inclusive, semi-inclusive, exclusive  $\rho^0$ , and transverse results.
- Phenomenology of  $g_1$  at low  $x$  (full resummation of  $\log^2(1/x)$  logarithms also extended to low  $Q^2$ ) quantifies the inclusive results. Also suggests that the inclusive low  $x$ , low  $Q^2$  results carry an information about the spin content of the nucleon. **But what about nonperturbative effects?** Analysis of the SMC results shows there is a room for them.
- COMPASS has a rich programme for both the proton and deuteron targets; muon–proton data taking will continue at least to the end of 2007.

# COMPASS history: 2002 – 2007 and beyond

- 2002 160 GeV polarised  $\mu$  beam &  $^6\text{LiD}$  long/transv polarisations (time sharing:  $\sim 80/20$ )
- 2003 *idem*
- 2004 *idem*
- 2004 hadron beam
- 2005 no SPS beam (several upgrades)
- 2006 160 GeV polarised  $\mu$  beam &  $^6\text{LiD}$  long. polarisation
- 2007 160 GeV polarised  $\mu$  beam &  $\text{NH}_3$  transverse and longitudinal polarisation
- 2008 (plans) hadron beam (?)