

Seminar at COMPASS Collaboration Meeting CERN: July 27, 2017.

"DIHADRON CORRELATIONS IN POLARIZED QUARK HADRONIZATION."

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Outlook

Introduction and Motivation.

Quark-jet framework for hadronization.

Dihadron FFs: longitudinally and transversely polarised quark.

Conclusions.

Hadronization: $e^-e^+ \rightarrow hX$

• The conjecture of <u>Confinement</u>:

•NO free quarks or gluons have been directly observed: only HADRONS.



 Hadronization: describes the process where colored quarks and gluons form colourless hadrons (in deep inelastic scattering).

Fragmentation Functions

The non-perturbative, universal functions encoding parton hadronization are the: <u>Fragmentation Functions (FF)</u>.

$$\frac{1}{\sigma}\frac{d}{dz}\sigma(e^-e^+ \to hX) = \sum_i \mathcal{C}_i(z,Q^2) \otimes D_i^h(z,Q^2)$$

Unpolarized FF is the number density for parton i to produce hadron h with LC momentum fraction z.



 $z = \frac{p^-}{k^-} \approx z_h = \frac{2E_h}{Q}$

> z is the light-cone mom. fraction of the parton carried by the hadron

 $a^{\pm} = \frac{1}{\sqrt{2}}(a^0 \pm a^3)$

FACTORIZATION AND UNIVERSALITY





MEASURING PDFS WITH TRANSVERSE MOMENTUM DEPENDENCE

 Measurement of the <u>transverse momentum</u> of the produced hadron in SIDIS provides access to <u>TMD PDFs/FFs</u>.

• SIDIS Process with TM of hadron measured.



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TMD FFs and Collins Fragmentation Function

• Unpolarized TMD FF: number density for quark q to produce unpolarized hadron h carrying LC fraction z and TM P_{\perp} .



 Collins Effect: Azimuthal Modulation of Transversely Polarized Quark' FF.
 Fragmenting quark's transverse spin couples with produced hadron's TM!



$$\begin{split} D_{h/q^{\uparrow}}(z,P_{\perp}^{2},\varphi) &= D_{1}^{h/q}(z,P_{\perp}^{2}) - H_{1}^{\perp h/q}(z,P_{\perp}^{2}) \frac{P_{\perp}S_{q}}{zm_{h}} \sin(\varphi) \\ \\ \textbf{Unpolarized} \qquad \textbf{Collins} \end{split}$$

Collin FF is Chiral-ODD: Should to be coupled with another chiral-odd PDF/FF in observables.

TMD PDFs with Two-Hadron FFs

 Measuring <u>two-hadron</u> semi-inclusive DIS: an additional method for accessing TMD PDFs.

SIDIS Process with TM of hadrons measured.



h



TWO HADRON CORRELATIONS: DIHADRON FRAGMENTATION FUNCTIONS

Two-Hadron Kinematics

- Total and Relative TM of hadron pair.
 - $P = P_1 + P_2$ $z = z_1 + z_2$

$$R = \frac{1}{2}(P_1 - P_2) \quad \xi = \frac{z_1}{z} = 1 - \frac{z_2}{z}$$

- Two Coordinate systems:
 - \perp : modelling hadronization



- Lorentz Boost:
 - $\boldsymbol{P}_{1T} = \boldsymbol{P}_{1\perp} + z_1 \boldsymbol{k}_T$

$$oldsymbol{P}_{2T} = oldsymbol{P}_{2\perp} + z_2 oldsymbol{k}_T$$
 $oldsymbol{k}_T = -rac{oldsymbol{P}_{\perp}}{z}$

A. Bianconi et al: PRD 62, 034008 (2000).



• T: field-theoretical definition of DiFFs



Relative TM in two systems

$$\boldsymbol{R}_{\perp} = rac{1}{2} (\boldsymbol{P}_{1\perp} - \boldsymbol{P}_{2\perp})$$

$$oldsymbol{R}_T = rac{z_2 oldsymbol{P}_{1\perp} - z_1 oldsymbol{P}_{2\perp}}{z}$$

Field-Theoretical Definitions

• The quark-quark correlator.

$$\Delta_{ij}(k;P_1,P_2) = \sum_X \int d^4 \zeta e^{ik \cdot \zeta} \langle 0 | \psi_i(\zeta) | P_1 P_2, X \rangle \langle P_1 P_2, X | \bar{\psi}_j(0) | 0 \rangle$$

$$\Delta^{\Gamma}(z,\xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \boldsymbol{k}_T \cdot \boldsymbol{R}_T) = \frac{1}{4z} \int dk^+ \operatorname{Tr}[\Gamma \Delta(k, P_1, P_2)]|_{k^- = P_h^-/z}$$

• The definitions of DiFFs from the correlator.

$$\Delta^{[\gamma^{-}]} = D_1(z, \xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \boldsymbol{k}_T \cdot \boldsymbol{R}_T)$$

$$\Delta^{[\gamma^{-}\gamma_5]} = \frac{\epsilon_T^{ij} R_{Ti} k_{Tj}}{M_1 M_2} G_1^{\perp}(z, \xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \boldsymbol{k}_T \cdot \boldsymbol{R}_T)$$

$$\Delta^{[i\sigma^{i-}\gamma_5]} = \frac{\epsilon_T^{ij}R_{Tj}}{M_1 + M_2} H_1^{\triangleleft}(z,\xi,\boldsymbol{k}_T^2,\boldsymbol{R}_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$

$$+ \frac{\epsilon_T^{ij} k_{Tj}}{M_1 + M_2} H_1^{\perp}(z,\xi,\boldsymbol{k}_T^2,\boldsymbol{R}_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$



Number Densities

• The full number density:

$$F(z,\xi,\boldsymbol{k}_{T},\boldsymbol{R}_{T};\boldsymbol{s}) = D_{1}(z,\xi,\boldsymbol{k}_{T}^{2},\boldsymbol{R}_{T}^{2},\boldsymbol{k}_{T}\cdot\boldsymbol{R}_{T})$$

$$+ s_{L}\frac{(\boldsymbol{R}_{T}\times\boldsymbol{k}_{T})\cdot\hat{\boldsymbol{z}}}{M_{1}M_{2}}G_{1}^{\perp}(z,\xi,\boldsymbol{k}_{T}^{2},\boldsymbol{R}_{T}^{2},\boldsymbol{k}_{T}\cdot\boldsymbol{R}_{T})$$

$$+ \frac{(\boldsymbol{s}_{T}\times\boldsymbol{R}_{T})\cdot\hat{\boldsymbol{z}}}{M_{1}+M_{2}}H_{1}^{\triangleleft}(z,\xi,\boldsymbol{k}_{T}^{2},\boldsymbol{R}_{T}^{2},\boldsymbol{k}_{T}\cdot\boldsymbol{R}_{T})$$

$$+ \frac{(\boldsymbol{s}_{T}\times\boldsymbol{k}_{T})\cdot\hat{\boldsymbol{z}}}{M_{1}+M_{2}}H_{1}^{\perp}(z,\xi,\boldsymbol{k}_{T}^{2},\boldsymbol{R}_{T}^{2},\boldsymbol{k}_{T}\cdot\boldsymbol{R}_{T})$$

• The differential number of hadron pairs:

$$dN_q^{h_1h_2} = F_q^{h_1h_2}(z, \xi, k_T, R_T; s) \ dz \ d\xi \ d^2k_T \ d^2R_T$$

Back-to-back two hadron pairs in e⁺e⁻

D. Boer et al: PRD 67, 094003 (2003).

• Can access both helicity and transverse pol. dependent DiFFs:



$$A^{\cos(\varphi_R + \varphi_{\bar{R}})} \sim \frac{H_1^{\triangleleft}(z, M_h^2) \bar{H}_1^{\triangleleft}(\bar{z}, M_{\bar{h}}^2)}{D_1(z, M_h^2) \ \bar{D}_1(\bar{z}, M_{\bar{h}}^2)}$$

$$A^{\cos(2(\varphi_R - \varphi_{\bar{R}}))} \sim \frac{G_1^{\perp}(z, M_h^2)\bar{G}_1^{\perp}(\bar{z}, M_{\bar{h}}^2)}{D_1(z, M_h^2) \ \bar{D}_1(\bar{z}, M_{\bar{h}}^2)}$$

BELLE results.





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BELLE results.





Moments of DiFFs in e⁺e⁻

• Entering the integrated cross-section expressions.

$$D_1(z, M_h^2) = \int d\xi \int d\varphi_R \int d^2 \boldsymbol{k}_T \ D_1(z, \xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \boldsymbol{k}_T \cdot \boldsymbol{R}_T)$$

$$G_1^{\perp}(z, M_h^2) = \int d\xi \int d\varphi_R \int d^2 \boldsymbol{k}_T \, \left(\boldsymbol{k}_T \cdot \boldsymbol{R}_T \right) \, G_1^{\perp}(z, \xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \boldsymbol{k}_T \cdot \boldsymbol{R}_T)$$

$$H_{1,e^+e^-}^{\triangleleft}(z,M_h^2) = \int d\xi \int d\varphi_R \int d^2 \boldsymbol{k}_T \ |\boldsymbol{R}_T| H_1^{\triangleleft}(z_h,\xi,k_T^2,R_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$

$$H_{1,e^+e^-}^{\perp}(z,M_h^2) = \int d\xi \int d\varphi_R \int d^2 \boldsymbol{k}_T \ |\boldsymbol{k}_T| H_1^{\perp}(z_h,\xi,k_T^2,R_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$

Moments of DiFFs in e⁺e⁻

• Entering the integrated cross-section expressions.

$$D_{1}(z, M_{h}^{2}) = \int d\xi \int d\varphi_{R} \int d^{2}\boldsymbol{k}_{T} \ D_{1}(z, \xi, \boldsymbol{k}_{T}^{2}, \boldsymbol{R}_{T}^{2}, \boldsymbol{k}_{T} \cdot \boldsymbol{R}_{T})$$

$$Cos(\varphi_{R} - \varphi_{k}) \text{moment}$$

$$G_{1}^{\perp}(z, M_{h}^{2}) = \int d\xi \int d\varphi_{R} \int d^{2}\boldsymbol{k}_{T} (\boldsymbol{k}_{T} \cdot \boldsymbol{R}_{T}) G_{1}^{\perp}(z, \xi, \boldsymbol{k}_{T}^{2}, \boldsymbol{R}_{T}^{2}, \boldsymbol{k}_{T} \cdot \boldsymbol{R}_{T})$$

$$H_{1,e^{+}e^{-}}^{\triangleleft}(z, M_{h}^{2}) = \int d\xi \int d\varphi_{R} \int d^{2}\boldsymbol{k}_{T} \ |\boldsymbol{R}_{T}| H_{1}^{\triangleleft}(z_{h}, \xi, \boldsymbol{k}_{T}^{2}, \boldsymbol{R}_{T}^{2}, \boldsymbol{k}_{T} \cdot \boldsymbol{R}_{T})$$

$$H_{1,e^+e^-}^{\perp}(z,M_h^2) = \int d\xi \int d\varphi_R \int d^2 \boldsymbol{k}_T \ |\boldsymbol{k}_T| H_1^{\perp}(z_h,\xi,k_T^2,R_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$

Access Transversity PDF using DiFFs in SIDIS

M. Radici, et al: PRD 65, 074031 (2002).

- In two hadron production from polarized target the cross section factorizes collinearly - no TMD!
- Allows clean access to transversity.
- Unpolarized and Interference Dihadron FFs are needed!



$$\frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} \propto \sin(\phi_R + \phi_S) \frac{\sum_q e_q^2 h_1^q(x)/x \ H_1^{\triangleleft q}(z, M_h^2)}{\sum_q e_q^2 \ f_1^q(x)/x \ D_1^q(z, M_h^2)}$$

• Empirical Model for D_1^q has been fitted to PYTHIA simulations.

A. Bacchetta and M. Radici, PRD 74, 114007 (2006).





Experiments: BELLE, HERMES, COMPASS.

Moments of DiFFs in SIDIS

A. Bacchetta, M. Radici: PRD 69, 074026 (2004).

• Here transversely polarised DiFFs are admixture of cos Fourier moments of both unintegrated DiFFs:

$$H_{1,SIDIS}^{\triangleleft}(z, M_H^2) = \left[H_1^{\triangleleft[0]} + H_1^{\perp[1]}\right]$$
$$H_{1,SIDIS}^{\perp}(z, M_H^2) = \left[H_1^{\perp[0]} + H_1^{\triangleleft[1]}\right]$$

• Generated by $\cos(\varphi_{RK})$ dependences of unintegrated DiFFs: $\varphi_{RK} \equiv \varphi_R - \varphi_k$

$$H_1^{\triangleleft}(z,\xi,\boldsymbol{k}_T^2,\boldsymbol{R}_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$
$$H_1^{\perp}(z,\xi,\boldsymbol{k}_T^2,\boldsymbol{R}_T^2,\boldsymbol{k}_T\cdot\boldsymbol{R}_T)$$

• Might be differences with those measured in e⁺e⁻ !

Current Challenges

I) Phenomenological Extractions of DiFFs.

- Unpolarised DiFFs from PYTHIA
- Still Large Uncertainties.
- Simplistic Approximations.
- Limited kinematic region.



2) Full Event Generators:

- No Mainstream MC generator includes spin in Full Hadronization yet: PYTHIA, HERWIG, SHERPA...
- MC generators are needed to support mapping of the 3D structure of nucleon at JLab 12, BELLE II, EIC.



The Quark-jet Framework

THE QUARK JET MODEL

Field, Feynman, Nucl.Phys.B136:1,1978.

Assumptions:

- Number Density interpretation
- No re-absorption
- ▶ ∞ hadron emissions



$$D_q^h(z) = \hat{d}_q^h(z) + \int_z^1 \hat{d}_q^Q(y) dy \cdot D_Q^h(\frac{z}{y}) \frac{1}{y}$$
$$\hat{d}_q^h(z) = \hat{d}_q^{Q'}(1-z)|_{h=\bar{Q'}q}$$

THE QUARK JET MODEL

Field, Feynman, Nucl.Phys.B136:1,1978.

Assumptions:

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Probability of finding hadron h with mom.
frac. [z, z+dz] in a jet of quark qThe probability scales
with mom. fraction $D_q^h(z)dz = \hat{d}_q^h(z)dz + \int_z^1 \hat{d}_q^Q(y)dy \cdot D_Q^h(\frac{z}{y})\frac{dz}{y}$ Prob. of emitting at step IProb. of emitting at step IProb. of mom. [y, y+dy] is
transferred to jet at step I.

ELEMENTARY SPLITTINGS

H.M., Thomas, Bentz, PRD. 83:07400; PRD.83:114010, 2011.

• Quark-quark correlator:

 $\Delta_{ij}(z,p_{\perp}) = \frac{1}{2N_c \ z} \sum_X \int \frac{d\xi^+ d^2 \boldsymbol{\xi}_{\perp}}{(2\pi)^3} \ e^{ip \cdot \boldsymbol{\xi}} \ \times \langle 0 | \mathcal{U}_{(\infty,\xi)} \psi_i(\xi) | h, X \rangle_{\text{out out}} \langle h, X | \bar{\psi}_j(0) \mathcal{U}_{(0,\infty)} | 0 \rangle \Big|_{\boldsymbol{\xi}^- = 0}$

One-quark truncation of the wavefunction: q
ightarrow Qh

$$d_q^h(z, p_\perp^2) = \frac{1}{2} \operatorname{Tr}[\Delta_0(z, p_\perp^2)\gamma^+]$$



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Use Nambu--Jona-Lasinio (NJL) Effective quark model:



INCLUDING THE TRANSVERSE MOMENTUM

H.M.,Bentz, Cloet, Thomas, PRD.85:014021, 2012



Conserve transverse momenta at each link.



Calculate the Number Density

$$D_q^h(z, P_\perp^2) \Delta z \ \pi \Delta P_\perp^2 = \frac{\sum_{N_{Sims}} N_q^h(z, z + \Delta z, P_\perp^2, P_\perp^2 + \Delta P_\perp^2)}{N_{Sims}}.$$

UNPOLARIZED DIHADRON FRAGMENTATIONS

H.M. Thomas, Bentz, PRD.88:094022, 2013.



• The probability density for observing two hadrons:

$$P_1 = (z_1k^-, P_1^+, \boldsymbol{P}_{1,\perp}), \ P_1^2 = M_{h1}^2$$

$$P_2 = (z_2k^-, P_2^+, \boldsymbol{P}_{2,\perp}), \ P_2^2 = M_{h2}^2$$

• The corresponding number density:

$$D_q^{h_1h_2}(z, M_h^2) \ \Delta z \ \Delta M_h^2 = \left\langle N_q^{h_1h_2}(z, z + \Delta z; M_h^2, M_h^2 + \Delta M_h^2) \right\rangle$$

$$z = z_1 + z_2$$
 $M_h^2 = (P_1 + P_2)^2$

• Kinematic Constraint.

$$\left[z_1 z_2 M_h^2 - (z_1 + z_2)(z_2 M_{h1}^2 + z_1 M_{h2}^2) \ge 0\right]$$

• In MC simulations record all the pairs in every decay chain.

 \exists Jet exhaust is ignited at the afterburner, producing a second stage of combustion and a stream of powerful yet fuel inefficient thrust. Military combat aircraft use afterburner in short bursts during takeoff, climb, or The afterburner assembly is placed behind the core of combat maneuvers. the jet engine, at the front of the jet pipe. Additional fuel is sprayed into the jet pipe where it mixes with air from the jet engine. The mixture is ignited for combustion. The jet pipe houses jet engine The exhaust nozzle is adjustable for maximum exhaust acceleration and to avoid back-pressure (pressure originating exhaust gasses and the afterburner combustion process. from the rear end of the engine being exerted on forward engine parts).

POLARISATION IN QUARK-JET FRAMEWORK

POLARIZATION IN QUARK-JET FRAMEWORK

H.M., Bentz, Thomas, PRD.86:034025, (2012). H.M., Kotzinian, Thomas, PLB731 208-216 (2014).

• Extend Quark-jet Model to include Spin.



Input Elementary Collins Function: Model or Parametrization

• Calc. Spin of the remnant quark: S' Previously: constant values for spin flip probability: \mathcal{P}_{SF} • Use fit form to extract unpol. and Collins FFs from $D_{h/q^{\uparrow}}$. $F(c_0, c_1) \equiv c_0 - c_1 \sin(\varphi_C)$ $D_{h/q^{\uparrow}}(z, p_{\perp}^2, \varphi) = D^{h/q}(z, p_{\perp}^2) - H^{\perp h/q}(z, p_{\perp}^2) \frac{p_{\perp} s_T}{zm_h} \sin(\varphi_C)$

SPIN TRANSFER



Process probability is the same as transition to unpolarized state. $F^{q \to Q}(z, \mathbf{p}_{\perp}; \mathbf{s}, \mathbf{0}) = \alpha_s$ **REMNANT QUARK'S POLARISATION**

♦ We can express the spin of the remnant quark $S' = \frac{\beta_s}{\alpha_s}$ in terms of quark-to-quark TMD FFs.

$$\begin{aligned} \alpha_{q} \equiv D(z, \boldsymbol{p}_{\perp}^{2}) + (\boldsymbol{p}_{\perp} \times \boldsymbol{s}_{T}) \cdot \hat{\boldsymbol{z}} \frac{1}{z\mathcal{M}} H^{\perp}(z, \boldsymbol{p}_{\perp}^{2}) \\ \beta_{q\parallel} \equiv s_{L} G_{L}(z, \boldsymbol{p}_{\perp}^{2}) - (\boldsymbol{p}_{\perp} \cdot \boldsymbol{s}_{T}) \frac{1}{z\mathcal{M}} H_{L}^{\perp}(z, \boldsymbol{p}_{\perp}^{2}) \\ \beta_{q\perp} \equiv \boldsymbol{p}_{\perp}^{\prime} \frac{1}{z\mathcal{M}} D_{T}^{\perp}(z, \boldsymbol{p}_{\perp}^{2}) - \boldsymbol{p}_{\perp} \frac{1}{z\mathcal{M}} s_{L} G_{T}(z, \boldsymbol{p}_{\perp}^{2}) \\ + \boldsymbol{s}_{T} H_{T}(z, \boldsymbol{p}_{\perp}^{2}) + \boldsymbol{p}_{\perp}(\boldsymbol{p}_{\perp} \cdot \boldsymbol{s}_{T}) \frac{1}{z^{2}\mathcal{M}^{2}} H_{T}^{\perp}(z, \boldsymbol{p}_{\perp}^{2}) \end{aligned}$$



MC SIMULATION OF FULL HADRONIZATION

HM et al, Phys. Rev. D95 04021, (2017)
 We can consider many hadron emissions.



• We can sample the $h, z, p_{\perp}^2, \varphi_h$ using

$$f^{q \to h}(z, p_{\perp}^2, \varphi_h; \mathbf{S}_T)$$

Determine the momenta in the initial frame and calculate

$$\Delta N = \langle N_q^{h_1 h_2}(z, z + \Delta z, \varphi, \varphi + \Delta \varphi, \ldots) \rangle$$

◆ Calculate the remnant quark's spin: S' = $\frac{\beta_s}{\alpha_s}$ ◆ We only need the "elementary" splittings.
 $f^{q \to h}$

Model Calculations of $q \rightarrow Q$ Splittings

E.G. - Meissner et al, PLB 690, 296 (2010). **We can use the same "spectator" type calculations as for pion. T-even** T-odd







Positivity Constraints on TMD FFs:

Bacchetta et al, P.R.L. 85, 712 (2000).

$$(H_L^{\perp[1]})^2 + (D_T^{\perp[1]})^2 \le \frac{p_\perp^2}{4z^2 M^2} (D + G_L) (D - G_L) \le \frac{p_\perp^2}{4z^2 M^2} D^2$$
$$(G_T^{[1]})^2 + (H^{\perp[1]})^2 \le \frac{p_\perp^2}{4z^2 M^2} (D + G_L) (D - G_L) \le \frac{p_\perp^2}{4z^2 M^2} D^2$$

T-odd parts from previous models <u>violate positivity</u>!

$$(\hat{G}_T^{[1]})^2 = (\hat{H}_L^{\perp [1]})^2 = \frac{p_\perp^2}{4z^2 M^2} (\hat{D} + \hat{G}_L) (\hat{D} - \hat{G}_L) \le \frac{p_\perp^2}{4z^2 M^2} \hat{D}^2$$
$$(\hat{H}^{\perp}(z, p_\perp^2) = 0, \quad \hat{D}_T^{\perp}(z, p_\perp^2) = 0.)$$

Model Calculations of $q \rightarrow Q$ Splittings

Simple Model that is <u>positive-definite</u>:

$$\hat{d}(z, p_{\perp}^2) = 1.1 \ \hat{d}_{tree}(z, p_{\perp}^2),$$

Use Collins-ansatz for T-odd

J. C. Collins, NPB 396, 161 (1993)

$$\frac{p_{\perp}}{zM} \frac{\hat{h}^{\perp(q \to h)}(z, p_{\perp}^2)}{\hat{d}^{(q \to h)}(z, p_{\perp}^2)} = 0.4 \frac{2 p_{\perp} M_Q}{p_{\perp}^2 + M_Q^2}$$

$$d_T^{\perp} = -h^{\perp}$$

Ensures the inequalities

$$(H_L^{\perp[1]})^2 + (D_T^{\perp[1]})^2 \le \frac{p_\perp^2}{4z^2 M^2} (D + G_L) (D - G_L) \le \frac{p_\perp^2}{4z^2 M^2} D^2$$
$$(G_T^{[1]})^2 + (H^{\perp[1]})^2 \le \frac{p_\perp^2}{4z^2 M^2} (D + G_L) (D - G_L) \le \frac{p_\perp^2}{4z^2 M^2} D^2$$

*** Also: Evolution - mimicking ansatz**

$$\hat{d}'(z, p_{\perp}^2) = (1-z)^4 \hat{d}(z, p_{\perp}^2)$$

Results for Collins Effect



Results for Collins Effect



• **Opposite sign and similar size** in mid-z range for charged pions. (Similar to empirical extractions).

Dependence on model inputs: can be tuned to data.



Longitudinal Polarisation in DiHadron FFs

DIFFS FROM THE NUMBER DENSITY

HM et al, arXiv:1707.04999, (2017)

Can only calculate number density form MC simulations.

Extract DiFFs from specific angular modulations.

$$F(z, \xi, \boldsymbol{k}_T, \boldsymbol{R}_T; s_L) = D_1(z, \xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \cos(\varphi_{RK}))$$

 $-s_L rac{R_T k_T \sin(\varphi_{RK})}{M_1 M_2} G_1^{\perp}(z, \xi, \boldsymbol{k}_T^2, \boldsymbol{R}_T^2, \cos(\varphi_{RK}))$

♦ Unpolarized DiFF: straight forward integration of number density. $D_1(z, M_h^2) = \int d\xi \int d\varphi_R \int d^2 \mathbf{k}_T \ D_1(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T)$

$$D_1(z, M_h^2) = \int d\xi \int d\varphi_R \int d^2 \mathbf{k}_T \ F(z, \xi, \mathbf{k}_T, \mathbf{R}_T; s_L)$$

♦ Need cot(\(\varphi_{RK}\)) to extract helicity dependent DiFF! $G_1^{\perp}(z, M_h^2) = \int d\xi \int d\varphi_R \int d^2 \mathbf{k}_T (\mathbf{k}_T \cdot \mathbf{R}_T) \ G_1^{\perp}(z, \xi, \mathbf{k}_T^2, \mathbf{R}_T^2, \mathbf{k}_T \cdot \mathbf{R}_T)$

$$G^{\perp}(z, M_h^2) = -\frac{M_1 M_2}{s_L} \int d\xi \int d\varphi_R \int d^2 \boldsymbol{k}_T \ \cot(\varphi_{RK}) F(z, \xi, \boldsymbol{k}_T, \boldsymbol{R}_T; s_L)$$


VALIDATION TESTS

The Total Number of Pion Pairs

♦ Validate MC by analytically calculating the total number of pion pairs produced for given N_L .

$$(\pi^+,\pi^-,\pi^+,...,\pi^0,\pi^0,\pi^0).$$

$$\mathcal{N}^{(\pi^+\pi^-)}(N_L) = \sum_{n_0=0}^{n_0=N_L} C_{N_L}^{n_0} \left(\frac{2}{3}\right)^{N_L}$$

Extraction from DiFFs.

$$\mathcal{N}_{MC}^{(\pi^+\pi^-)}(N_L) = \int_0^1 dz \ D_{1,[N_L]}^{u \to \pi^+\pi^-}(z)$$

✓ MC simulations and Integral Expressions agree very well!

 \checkmark z cuts allow fast convergence with N_L .

$$q$$
 Q Q' Q''

$$D\left(\frac{1}{3}\right)^{n_0} U\left(\frac{N_L - n_0}{2}\right) D\left(\frac{N_L - n_0}{2}\right).$$

N_L	$\mathcal{N}^{(\pi^+\pi^-)}$	$\mathcal{N}_N^{(\pi^+\pi^-)}$	$\mathcal{N}_{MC}^{(\pi^+\pi^-)}$	$\mathcal{N}_{MC,z_{min}}^{(\pi^+\pi^-)}$
2	$\frac{4}{9}$	0.44444	0.4444	0.350175
3	$\frac{28}{27}$	1.03704	1.03694	0.683999
4	$\frac{152}{81}$	1.87654	1.87641	0.959588
Сı	$\frac{712}{243}$	2.93004	2.92992	1.11531
6	$\frac{3068}{729}$	4.2085	4.20882	1.18162
7	$\frac{12484}{2187}$	5.70828	5.70867	1.20282
æ	$\frac{48752}{6561}$	7.43057	7.43047	1.20809

LONGITUDINAL POLARISATION

+ DiFF for longitudinally polarized quark: $s_L \; (m{k}_T imes m{R}_T) \cdot \hat{z}$

$$\tilde{G}_1^{\perp}(z) = -\frac{1}{s_L} \int d\xi \int d^2 \boldsymbol{R}_T \int d^2 \boldsymbol{k}_T \ \cot(\varphi_{RK}) F(z,\xi,\boldsymbol{k}_T,\boldsymbol{R}_T;s_L).$$

• The extraction method works: the angular dependence for $N_L=2$.

(given large enough statistics!)

$$F_E(\varphi_{RK}) = \frac{F(\varphi_{RK}) + F(2\pi - \varphi_{RK})}{2}$$

$$F_O(\varphi_{RK}) = \frac{F(\varphi_{RK}) - F(2\pi - \varphi_{RK})}{2}$$

$$-0.05$$

$$u \to \pi^+ \pi, N_L = 2$$

$$\varphi_{RK}$$

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VALIDATION: 2 PRODUCED HADRONS

Validate MC simulations by comparing to explicit Integral Expressions (IE). Only pions produced in the first two steps!



Collins effect generates helicity dep. two-hadron correlation!





Results for helicity dependant DiFFs

• Results for helicity DiFFs, N_L dependence, various pairs. Cuts: $z_{1,2} \ge 0.1$



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Helicity DiFFs in SIDIS

First two moments from quark-jet SIDIS extraction in COMPASS



First moment is suppressed with respect to the second one.



Transverse Polarisation in DiHadron FFs

TRANSVERSELY POL. DIFFS FROM NUMBER DENSITY

◆ Slightly more complicated procedure: $F(\varphi_R, \varphi_k; s_T) = D_1(\cos(\varphi_{RK})) + a_R \sin(\varphi_R - \varphi_s) H_1^{\triangleleft}(\cos(\varphi_{RK})) + a_K \sin(\varphi_k - \varphi_s) H_1^{\triangleleft}(\cos(\varphi_{RK}))$ $+ a_K \sin(\varphi_k - \varphi_s) H_1^{\perp}(\cos(\varphi_{RK}))$ ◆ e⁺e⁻ DiFFs:

$$H_1^{\triangleleft,e^+e^-}(z) = -\frac{2}{s_T} \left\langle \frac{\cos(\varphi_k - \varphi_s)}{\sin(\varphi_{RK})} F \right\rangle$$
$$H_1^{\perp,e^+e^-}(z) = \frac{2}{s_T} \left\langle \frac{\cos(\varphi_R - \varphi_s)}{\sin(\varphi_{RK})} F \right\rangle$$

SIDIS DiFFs:

$$H_1^{\triangleleft,SIDIS}(z) = \frac{2}{s_T} \left\langle \sin(\varphi_R - \varphi_s)F \right\rangle$$

$$H_1^{\perp,SIDIS}(z) = \frac{2}{s_T} \left\langle \sin(\varphi_k - \varphi_s)F \right\rangle$$

Analysing Power for Longitudinal Spin

Comparing the analysing powers for all polarized DiFFs.



Alternate signs for the two DiFFs.
 Significant differences between SIDIS and e+e- results!
 The e+e- cross section derivations under review!

Analysing powers for DiFFs in e⁺e⁻









The Effect of Vector Mesons (VM) on (unpol) DiFFs

INCLUSION OF VECTOR MESONS AND (STRONG) DECAYS

- A naive assumption:VMs should have modest contribution due to relatively small production probability $P(\pi^+)/P(\rho^+) \approx 1.7$
- But: Combinatorial factors enhance VM contribution significantly!
- Let's consider only two hadron emission

Direct:
$$u \to d + \pi^+ \to u + \pi^- + \pi^+$$

VM: $u \to d + \pi^+ \to u + \rho^- + \pi^+$
 $u \to u + \rho^0 \to u + \rho^0 + \rho^0 \to \pi^+ \pi^-$
 $u \to u + \rho^0 \to u + \rho^0 + \rho^0 \to \pi^+ \pi^-$
 $\pi^+ \pi^-$

2-AND 3-BODY DECAYS

The M_h^2 spectrum of pseudoscalars is strongly affected by VM decays.

- We include only the 2-body decays ho, K^* .
- Both 2- and 3-body decays of ω, ϕ .

Achasov et al. (SND), PRD 68, 052006, (2003).



Effect of VMs on Unpol. DiFFs







Recent BELLE Results

Invariant mass dependence of unroll DiFFs: arXiv:1706.08348



Large z favours large M_h !

Non-resonant channels have no M_h structure, but are amplified!

CONCLUSIONS

- Advision Models are needed to calculate polarised TMD FFs and DiFFs, and study various correlations between them.
- Polarised hadronisation in MC generators: support for future experiments to map the 3D structure of nucleon (COMPASS, JLab I 2, BELLE II, EIC).
- The <u>quark-jet</u> framework describes hadronization of a quark with arbitrary polarization via spin density matrix formalism.
- * <u>All 3 DiHadron spin correlations</u> from single-hadron effects in quark-jet!
- * <u>Naturally small</u> signal for helicity-dependent DiFFs.
- Sizeable differences for IFF in SIDIS and e⁺e⁻ (pending a review the cross section derivations for e⁺e⁻).



BACKUP SLIDES

Number Densities

• The full number density

$$F(z,\xi, \mathbf{k}_{T}, \mathbf{R}_{T}; \mathbf{s}) = D_{1}(z,\xi, \mathbf{k}_{T}^{2}, \mathbf{R}_{T}^{2}, \cos(\varphi_{RK}))$$

$$- s_{L} \frac{R_{T}k_{T}\sin(\varphi_{RK})}{M_{1}M_{2}} G_{1}^{\perp}(z,\xi, \mathbf{k}_{T}^{2}, \mathbf{R}_{T}^{2}, \cos(\varphi_{RK}))$$

$$+ \frac{s_{T}R_{T}\sin(\varphi_{R} - \varphi_{s})}{M_{1} + M_{2}} H_{1}^{\triangleleft}(z,\xi, \mathbf{k}_{T}^{2}, \mathbf{R}_{T}^{2}, \cos(\varphi_{RK}))$$

$$+ \frac{s_{T}k_{T}\sin(\varphi_{k} - \varphi_{s})}{M_{1} + M_{2}} H_{1}^{\perp}(z,\xi, \mathbf{k}_{T}^{2}, \mathbf{R}_{T}^{2}, \cos(\varphi_{RK}))$$

• The differential element

 $dN(z,\xi,\boldsymbol{k}_T,\boldsymbol{R}_T;\boldsymbol{s}) = F(z,\xi,\boldsymbol{k}_T,\boldsymbol{R}_T;\boldsymbol{s})dzd\xi d^2\boldsymbol{R}_T d^2\boldsymbol{k}_T$

Longitudinal Spin

igstarrow FF for longitudinally polarized quark: $({f R} imes {f T}) \cdot {f s}_L$



• Proof of linear dependence on s_L: 9 values of (s_L, \mathbf{s}_T) for $N_L = 6$.





1.6

1.4

Results for unpolarized DiFF

 \bullet Results for unpolarized DiFFs, N_L dependence, various pairs:

No Cuts





 $\blacklozenge z_{1,2} \ge 0.1$ cut brings in convergence with N_L!

PYTHIA SIMULATIONS

- Setup hard process with back to back $q \ ar{q}$ along z axis.
- Only Hadronize. Allow the same resonance decays as NJL-jet.
- Assign hadrons with positive p_z to q fragmentation.

$$E_q = 10 \text{ GeV}$$

Single Hadron





Saturations of FFs with h Rank

FFs vs Rank of produced hadron. NJL Model Evolution-mimicking Ansatz.

 10^{2} *10² R=1* ••••• *R=3 R=1* ••••• *R=3 R=6* ▲ *R=10* **R=6** *R=2* • *R=4* + R=2 - R=4 +**R=8 R=8** 10¹ 10- $D_n^{\pi^+}$ 10-3 10 10⁻⁴ 10^{-4} 10⁻⁵ 10^{-5} 0.1 0.2 0.5 0.1 0.5 0.2 \boldsymbol{z} \boldsymbol{z} 0.6 0.6 **R=1** R=1 *R=2* **R=2** 0.5 0.5 **R=3** R=3*R=4 R=4* 0.4 0.4 **R=6** R=6 $2 H^{\perp (1/2)}$ $2 H^{\perp (1/2)}$ 0.3 0.3 **R=8** *R=8* 0.2 0.2 0.1 0.1 0 -0.1 -0.1 $u \rightarrow \pi^{\dagger}$ $u \rightarrow \pi$ -0.2 L 0.1 -0.2 └ 0.1 0.2 0.5 0.5 0.2 \boldsymbol{z}

✓ Hadrons of Rank > 4 are negligible for FFs at z > 0.1

NAMBU--JONA-LASINIO MODEL

Yoichiro Nambu and Giovanni Jona-Lasinio: "Dynamical Model of Elementary Particles Based on an Analogy with Superconductivity. 1" Phys.Rev. 122, 345 (1961)





Effective Quark model of QCD Effective Quark Lagrangian $\mathcal{L}_{NJL} = \overline{\psi}_q (i\partial \!\!\!/ - m_q)\psi_q + G(\overline{\psi}_q \Gamma \psi_q)^2$ •Low energy chiral effective theory of QCD. Covariant, has the same flavor symmetries as QCD.





•Pion mass and quark-pion coupling from •Pion decay constant t-matrix pole.





Fixing Model Parameters

•Use Lepage-Brodsky Invariant Mass cut-off regularisation scheme.

$$M_{12} \le \Lambda_{12} = \sqrt{\Lambda_3^2 + M_1^2 + \sqrt{\Lambda_3^2 + M_2^2}}$$

• Choose a $M_{u(d)}$ and use physical f_{π} , m_{π} , m_{K} , to fix model parameters Λ_3 , G, M_s and calculate g_{hqQ} .

DEPENDENCE ON NUMBER OF EMITTED HADRONS • Restrict the number of emitted hadrons, NLinks n MC.



We reproduce the splitting function and the full solution perfectly.
The low z region is saturated with just a few emissions.

SOLUTIONS OF THE INTEGRAL EQUATIONS

H.M., Thomas, Bentz, PRD. 83:074003, 2011



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SOLUTIONS OF THE INTEGRAL EQUATIONS

H.M., Thomas, Bentz, PRD. 83:074003, 2011



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Lorentz Transforms of TM 96, 33 (2001)(2015) Boosts from 0 TM frame that preserve "-" component. $\begin{pmatrix} p_{\perp} & y' \\ p_{\perp} & z' \\ p_{\perp} & z' \\ k &$ h $\begin{array}{c|c} \mathcal{L}' & (k'^+, k'^-, \bm{k}_{\perp}' = 0) & (p^+, p^-, \bm{p}_{\perp}) \\ \\ \mathcal{L} & (k^+, k^- = k'^-, \bm{k}_{\perp}) & (P^+, P^- = p^-, \bm{P}_{\perp} = \bm{p}_{\perp} + z \bm{k}_{\perp}) \end{array}$

In case of two (or more) hadrons: same story! $P_{1\perp} = p_{1\perp} + z_1 k_{\perp}$ $P_{2\perp} = p_{2\perp} + z_2 k_{\perp}$

AVERAGE Transverse Momenta vs z

FRAGMENTATION

$$\langle P_{\perp}^2 \rangle_{unf} > \langle P_{\perp}^2 \rangle_f$$

Indications from HERMES
 data: A. Signori, et al: JHEP 1311, 194 (2013)



Multiple hadron emissions: broaden the TM dependence at low z!



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TMD FRAGMENTATION FUNCTIONS

FAVORED

UNFAVORED



 π

K

COMPARISON WITH GAUSSIAN ANSATZ



• Average TM: $\langle P_{\perp}^2 \rangle \equiv \frac{\int d^2 \mathbf{P}_{\perp} P_{\perp}^2 D(z, P_{\perp}^2)}{\int d^2 \mathbf{P}_{\perp} D(z, P_{\perp}^2)}$

• Gaussian ansatz assumes: $D(z, P_{\perp}^2) = D(z) \frac{e^{-P_{\perp}^2/\langle P_{\perp}^2 \rangle}}{\pi/P^2 \vee}$






TMD FFs for Spin-0 and Spin-1/2 Hadrons

* The transverse momentum (TM) of the hadron can couple with both its own spin and the spin of the quark!



TMD Polarized Fragmentation Functions at LO.
Only two for unpolarised final state hadrons.
8 for spin 1/2 final state (including quark). Similar to TMD PDFs.

Field-Theoretical Definitions

• The quark-quark correlator.

$$\begin{aligned} \Delta^{[\Gamma]}(z,\vec{p}_T) &\equiv \frac{1}{4} \int \frac{dp^+}{(2\pi)^4} Tr[\Delta\Gamma]|_{p^- = zk^-} \\ &= \frac{1}{4z} \sum_X \int \frac{d\xi^+ d^2 \vec{\xi}_T}{2(2\pi)^3} e^{i(p^-\xi^+/z - \vec{\xi}_T \cdot \vec{p}_T)} \langle 0|\psi(\xi^+,0,\vec{\xi}_T)|p,S_h,X\rangle \langle p,S_h,X|\bar{\psi}(0)\Gamma|0\rangle \end{aligned}$$

• The definitions of FFs from the quark correlator $\Delta^{[\gamma^+]} = D(z, p_{\perp}^2) - \frac{1}{M} \epsilon^{ij} k_{Ti} S_{Tj} D_T^{\perp}(z, p_{\perp}^2)$ $\Delta^{[\gamma^+\gamma_5]} = S_L G_L(z, p_{\perp}^2) + \frac{\mathbf{k}_T \cdot S_T}{M} G_T(z, p_{\perp}^2)$ $\Delta^{[i\sigma^{i+}\gamma_5]} = S_T^i H_T(z, p_{\perp}^2) + \frac{S_L}{M} k_T^i H_L^{\perp}(z, p_{\perp}^2)$ $+ \frac{k_T^i (\mathbf{k}_T \cdot S_T)}{M^2} H_T^{\perp}(z, p_{\perp}^2) - \frac{\epsilon^{ij} k_{Tj}}{M} H^{\perp}(z, p_{\perp}^2)$

(SOME of the) MODELS FOR FRAGMENTATION

- Lund String Model
 - <u>Very Successful</u> implementation in JETSET, PYTHIA.
 - <u>Highly Tunable.</u>
 - No Spin Effects Formal developments by X. Artru et al, very recent unpublished results.
- Spectator Model
 - Quark model calculations with empirical form factors.
 - No unfavored fragmentations.
 - Need to <u>tune</u> parameters for small z dependence.
- NJL-jet Model
 - <u>Multi-hadron</u> emission framework with effective quark model input.
 - <u>Monte-Carlo framework</u> allows flexibility in including the transverse momentum, spin effects, two-hadron correlations, etc.







Positivity and Polarisation of Quark

Bacchetta et al, PRL 85, 712 (2000).

The probability density is Positive Definite: constraints on FFs.

Leading-order T-Even functions FULLY Saturate these bounds!

♦ For non-vanishing H^{\perp} and D_T^{\perp} , need to calculate T-Even FFs at next order!

Average value of remnant quark's spin.

$$\langle \boldsymbol{S}_T \rangle_Q = \boldsymbol{s}_T \frac{\int dz \left[h_T^{(q \to Q)}(z) + \frac{1}{2z^2 M_Q^2} h_T^{\perp[1](q \to Q)}(z) \right]}{\int dz \ d^{(q \to Q)}(z)}$$

• In spectator model, at leading order: $h_T(z) = -d(z)$

 \bigstar Non-zero h_T^{\perp} means $\langle S_T \rangle_Q \neq -s_T$ (full flip of the spin)!

SPECTATOR MODELS

E.G. - Bacchetta et al, PLB 659:234, 2008

Use Field-theoretical definition of FFs from a Correlator.

$$\Delta(z,k_T) = \frac{1}{2z} \int dk^+ \,\Delta(k,P_h) = \frac{1}{2z} \sum_X \int \frac{d\xi^+ d^2 \xi_T}{(2\pi)^3} e^{ik \cdot \xi} \langle 0 | \mathcal{U}_{(+\infty,\xi)}^{n_+} \psi(\xi) | h, X \rangle \langle h, X | \bar{\psi}(0) \mathcal{U}_{(0,+\infty)}^{n_+} | 0 \rangle \Big|_{\xi^-=0}$$

$$D_1(z, z^2 \vec{k}_T^2) = \operatorname{Tr}[\Delta(z, \vec{k}_T) \gamma^-]. \qquad \qquad \frac{\epsilon_T^{ij} k_{Tj}}{M_h} H_1^{\perp}(z, k_T^2) = \frac{1}{2} \operatorname{Tr}[\Delta(z, k_T) i \sigma^{i-} \gamma_5]$$

Approximate the remnant X as a "spectator" (quark).

Calculate the FFs at leading-order in favourite quark model.



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SPECTATOR MODELS

E.G. - Bacchetta et al, PLB 659:234, 2008

Calculated Collins FF.

 $D_1(z, p_\perp^2)$

 $H_1^{\perp}(z, p_{\perp}^2)$



Issues with ALL the model calculations to date:

Mismatch in orders of calculations : VIOLATION OF POSITIVITY

Bacchetta et al, PRL 85, 712 (2000).

Missing multi-hadron emission effect:
No direct access to unfavored FFs.
Description of small-z region.

RECENT COMPASS RESULTS

COMPASS, PLB736, 124-131 (2014).

+SIDIS with transversely polarized target.

Collins single spin asymmetry:

$$A_{Coll} = \frac{\sum_{q} e_q^2 h_1^q \otimes H_1^{\perp h/q}}{\sum_{q} e_q^2 f_1^q \otimes D_1^{h/q}}$$



Two hadron single spin asymmetry:

$$A_{UT}^{\sin\phi_{RS}} = \frac{|\boldsymbol{p}_1 - \boldsymbol{p}_2|}{2M_{h+h^-}} \frac{\sum_q e_q^2 \cdot h_1^q(x) \cdot H_{1,q}^{\triangleleft}(z, M_{h+h^-}^2, \cos\theta)}{\sum_q e_q^2 \cdot f_1^q(x) \cdot D_{1,q}(z, M_{h+h^-}^2, \cos\theta)}$$

Note the choice of the vector

$$\boldsymbol{R}_{Artru} = \frac{z_2 \boldsymbol{P}_1 - z_1 \boldsymbol{P}_2}{z_1 + z_2}$$

