

# Chiral-odd fragmentation functions at Belle

(see hep-ex/0507063 for details,  
submitted to PRL)

## Compass Seminar

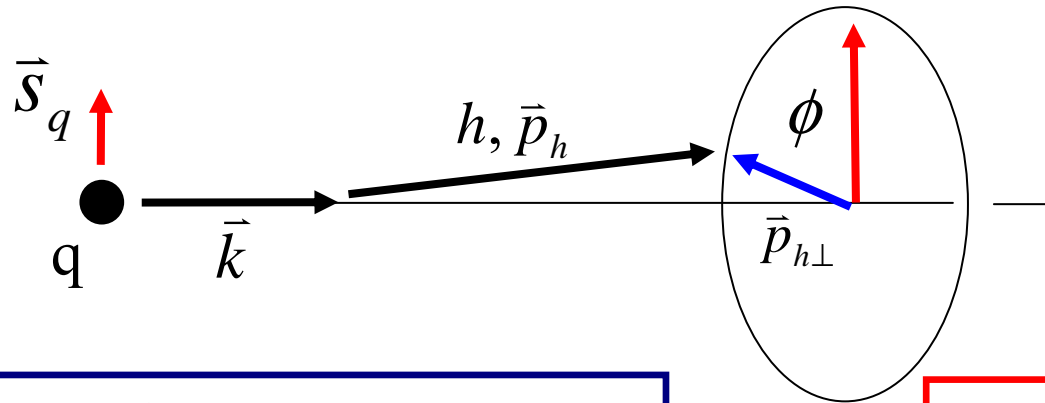
March 20<sup>th</sup>, CERN

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# Collins Effect in Quark Fragmentation

J.C. Collins, Nucl. Phys. B396, 161(1993)



$\vec{k}$  : quark momentum  
 $\vec{s}_q$  : quark spin  
 $\vec{p}_h$  : hadron momentum  
 $\vec{p}_{h\perp}$  : transverse hadron momentum  
 $z_h = E_h / E_q$   
 $= 2 E_h / \sqrt{s}$  : relative hadron momentum

## **Collins Effect:**

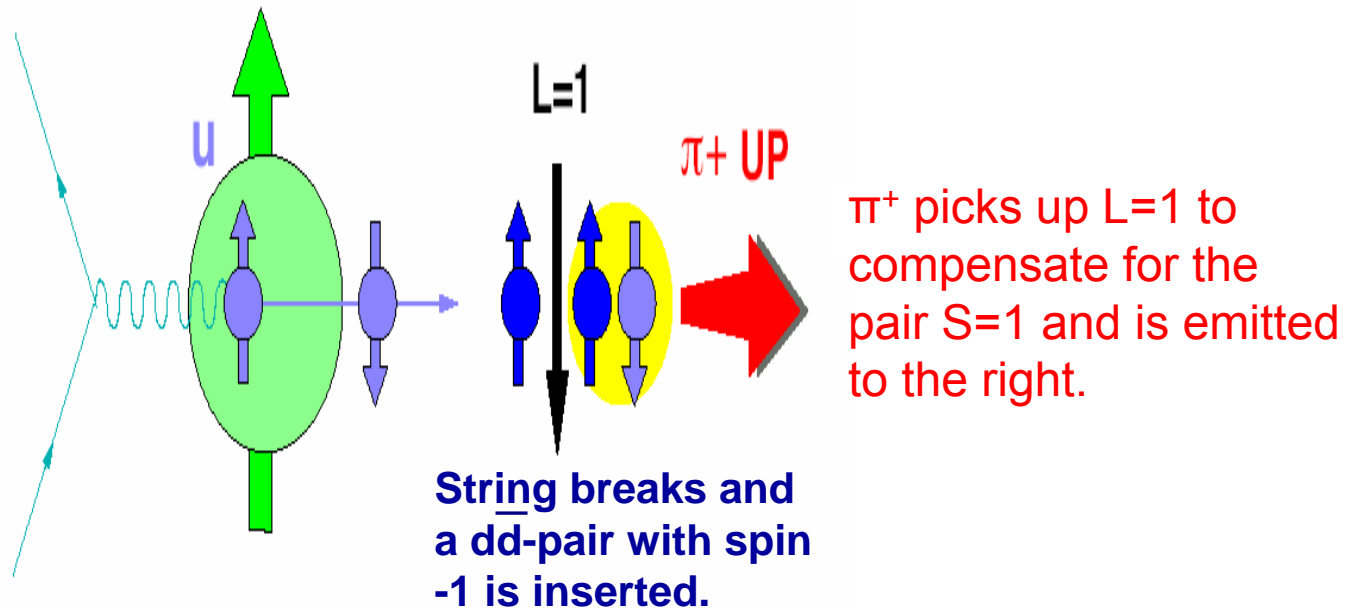
Fragmentation of a transversely polarized quark  $q$  into spin-less hadron  $h$  carries an azimuthal dependence:

$$\propto (\vec{k} \times \vec{p}_{h\perp}) \cdot \vec{s}_q$$

$$\propto \sin \phi$$

# The Collins Effect in the Artru Fragmentation Model

A simple model to illustrate that spin-orbital angular momentum coupling can lead to left right asymmetries in spin-dependent fragmentation:



# Motivation: Global Transversity Analysis

SIDIS experiments (HERMES and COMPASS) measure  $\delta q(x)$  together with either Collins Fragmentation function  $H_1^\perp(z)$  or Interference Fragmentation function

RHIC measures the same combinations of quark Distribution (DF) and Fragmentation Functions (FF) plus unpolarized DF  $q(x)$

There are always 2 unknown functions involved which cannot be measured independently

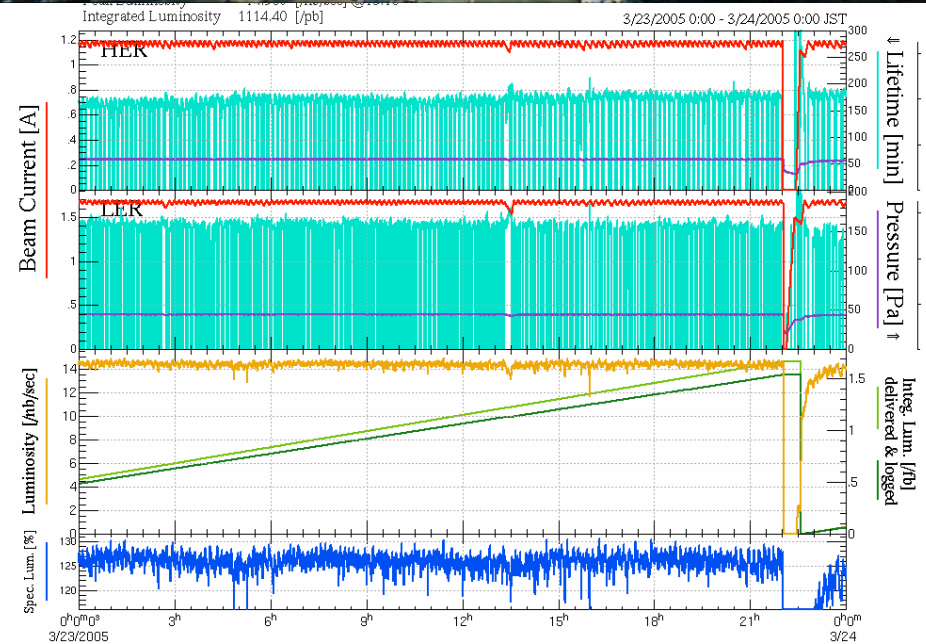
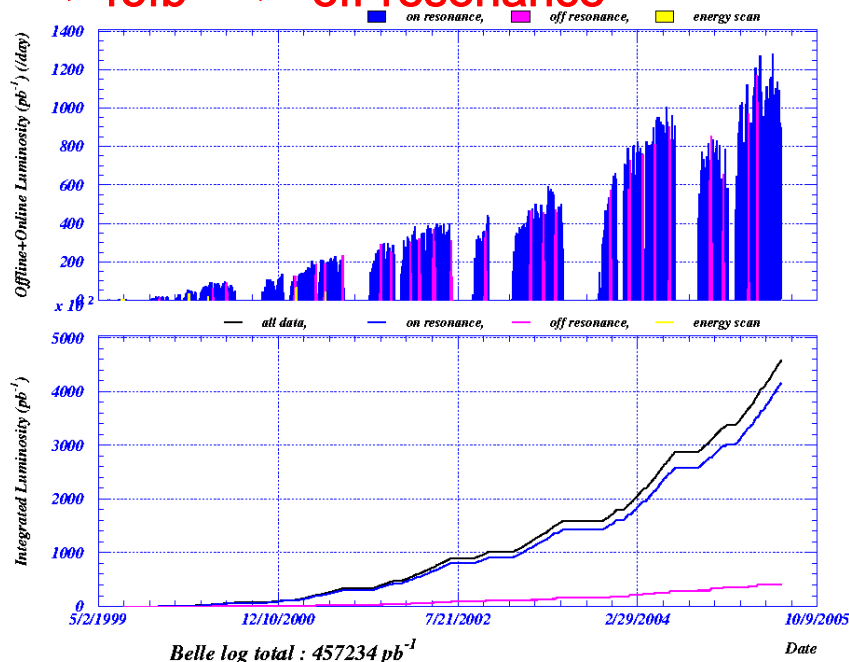
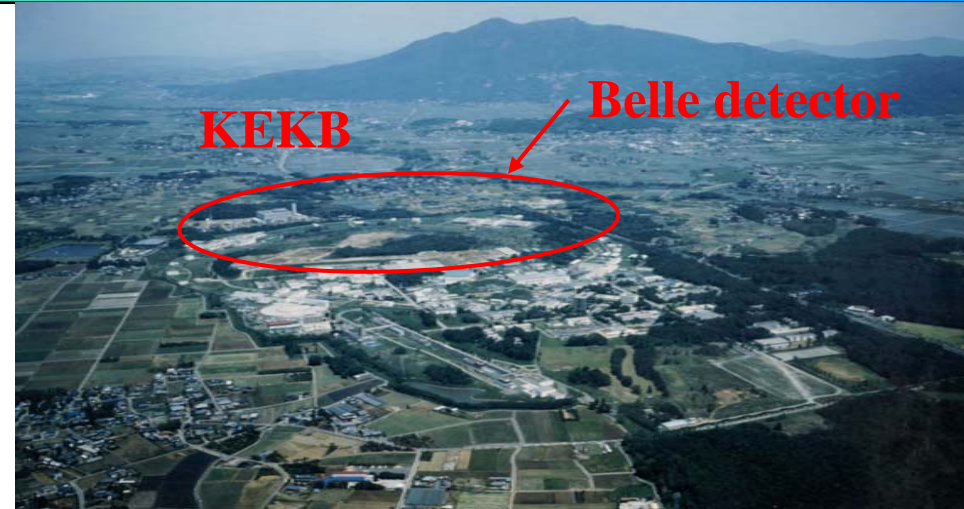
Universality appears to be proven in LO by Collins and Metz:  
[PRL93:(2004)252001]

The Spin dependent Fragmentation function analysis yields information on the Collins and the Interference Fragmentation function !



KEKB:  $L > 1.5 \times 10^{34} \text{cm}^{-2}\text{s}^{-1} !!$

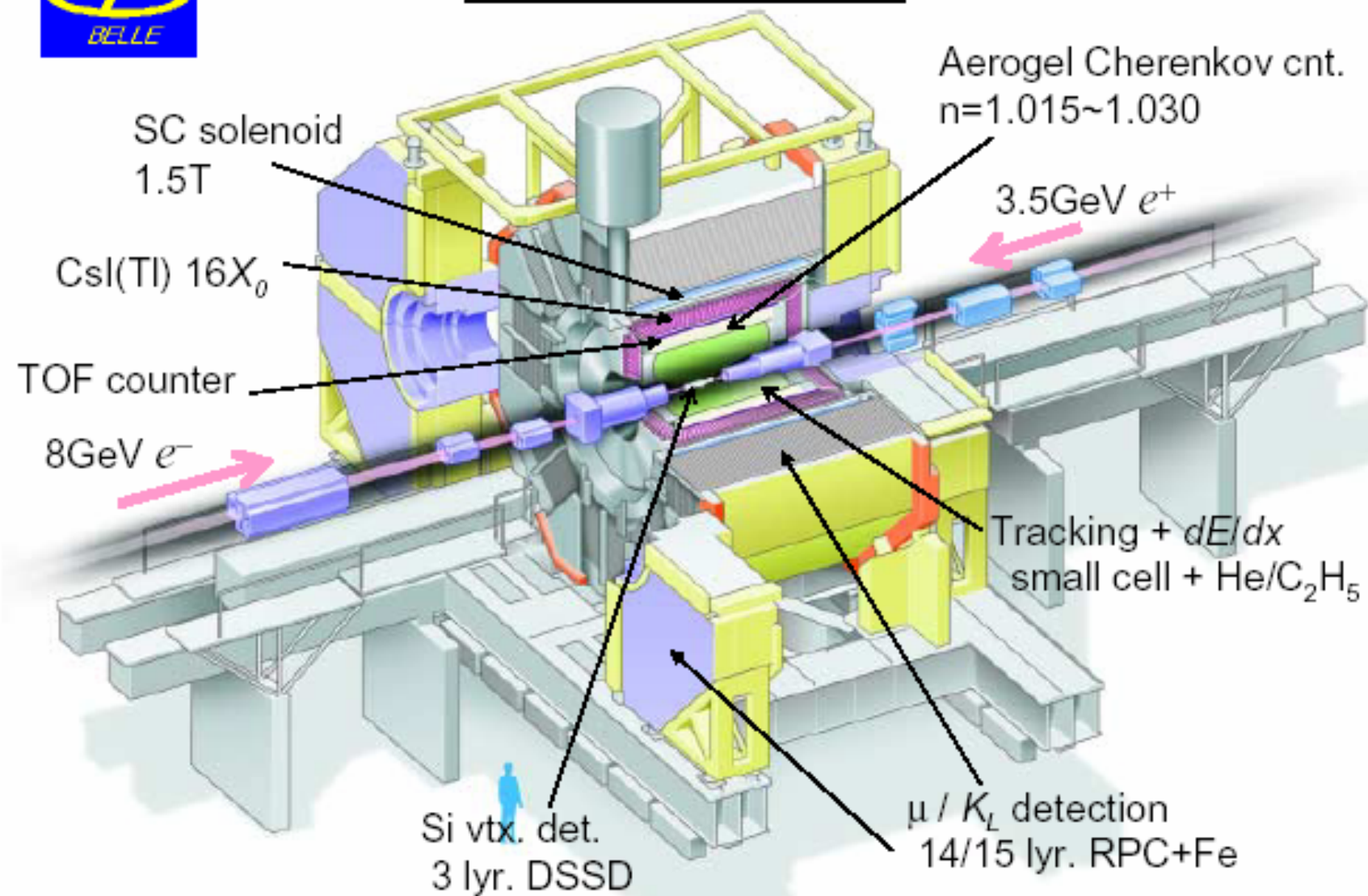
- Asymmetric collider
- $8\text{GeV } e^- + 3.5\text{GeV } e^+$
- $\sqrt{s} = 10.58\text{GeV } (Y(4S))$
- $e^+e^- \rightarrow Y(4S) \rightarrow B \bar{B}$
- Off-resonance:  $10.52 \text{ GeV}$
- $e^+e^- \rightarrow q \bar{q} \text{ (u,d,s,c)}$
- Integrated Luminosity:  $>500 \text{ fb}^{-1}$
- $>45\text{fb}^{-1} \Rightarrow$  off-resonance



Id fragmentation functions at BELLE



# Belle Detector



**Good tracking and particle identification!**

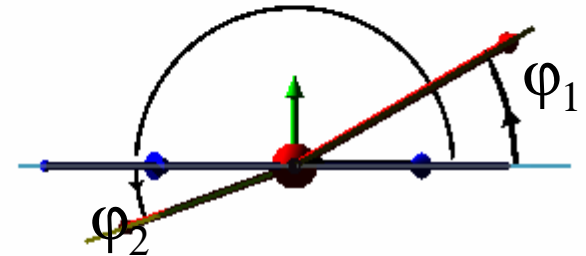
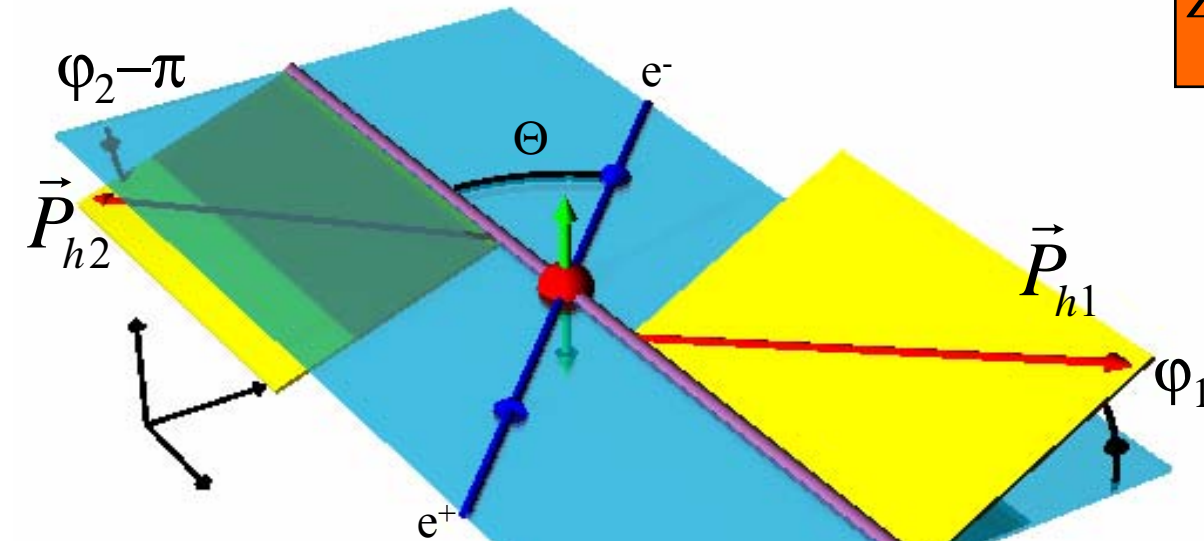




# Collins fragmentation in $e^+e^-$ : Angles and Cross section $\cos(\phi_1 + \phi_2)$ method

$e^+e^-$  CMS frame:

$$z = \frac{2E_h}{\sqrt{s}}, \quad \sqrt{s} = 10.52 \text{ GeV}$$



[D.Boer: PhD thesis(1998)]

2-hadron inclusive transverse momentum dependent cross section:

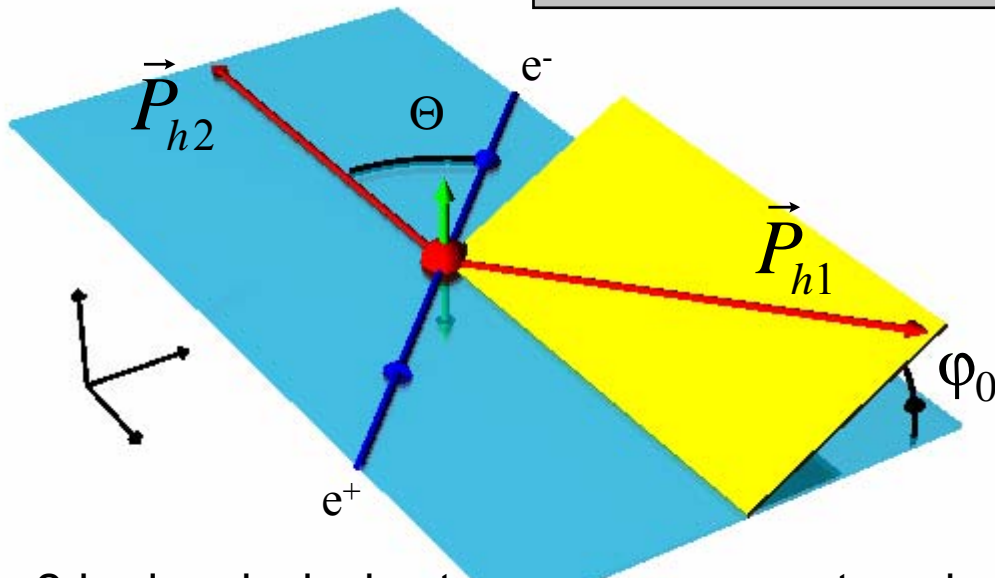
$$\frac{d\sigma(e^+e^- \rightarrow h_1 h_2 X)}{d\Omega dz_1 dz_2 d^2q_T} = \dots B(y) \cos(\phi_1 + \phi_2) H_1^{\perp[1]}(z_1) \bar{H}_1^{\perp[1]}(z_2)$$

$$B(y) = y(1-y)^{\text{cm}} = \frac{1}{4} \sin^2 \Theta$$

Net (anti-)alignment of  
transverse quark spins

# Collins fragmentation in $e^+e^-$ : Angles and Cross section $\cos(2\phi_0)$ method

$e^+e^-$  CMS frame:



- Independent of thrust-axis
- Convolution integral  $I$  over transverse momenta involved

[Boer, Jakob, Mulders:  
NPB504(1997)345]

2-hadron inclusive transverse momentum dependent cross section:

$$\frac{d\sigma(e^+e^- \rightarrow h_1 h_2 X)}{d\Omega dz_1 dz_2 d^2\mathbf{q}_T} = \cdots B(y) \cos(2\phi_0) I \left[ (2\hat{\mathbf{h}} \cdot \mathbf{k}_T \hat{\mathbf{h}} \cdot \mathbf{p}_T - \mathbf{k}_T \cdot \mathbf{p}_T) \frac{H_1^\perp \bar{H}_1^\perp}{M_1 M_2} \right]$$

$$B(y) = y(1-y) \stackrel{\text{cm}}{=} \frac{1}{4} \sin^2 \Theta$$

Net (anti-)alignment of  
transverse quark spins



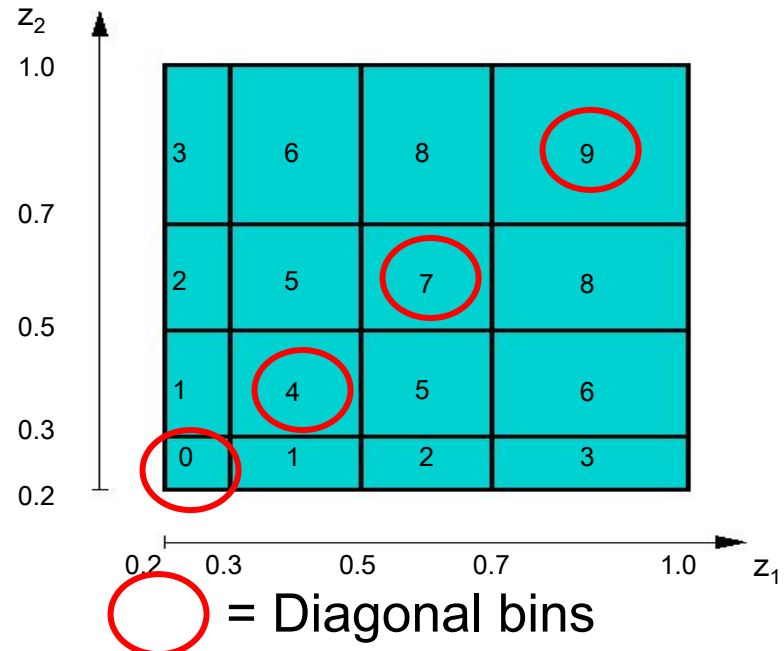
# Applied cuts, binning

- Off-resonance data
  - 60 MeV below Y(S) resonance
  - 29.1 fb<sup>-1</sup>
- Track selection:
  - pT > 0.1 GeV
  - vertex cut: dr < 2 cm, |dz| < 4 cm
- Acceptance cut
  - -0.6 < cosθ<sub>i</sub> < 0.9
- Event selection:
  - Ntrack ≥ 3
  - Thrust > 0.8
  - Z<sub>1</sub>, Z<sub>2</sub> > 0.2

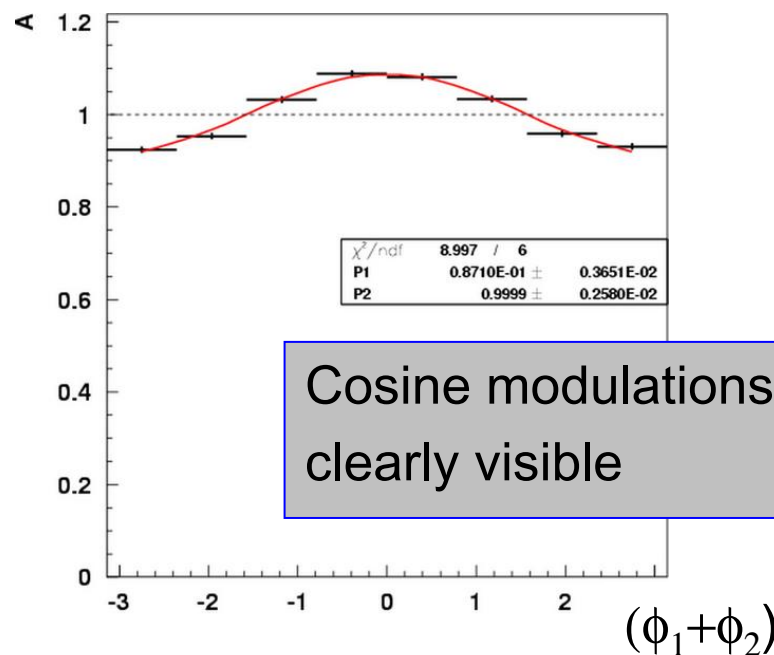
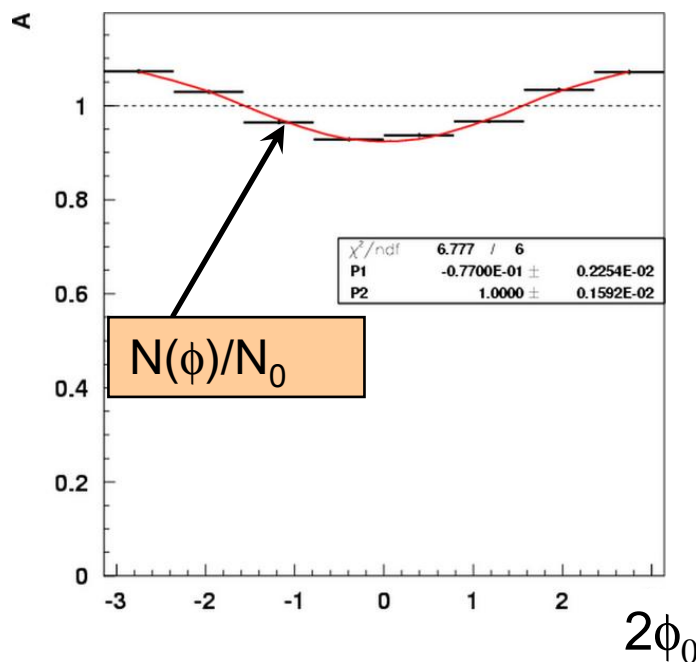
- Hemisphere cut

$$(P_{h2} \cdot \hat{n}) \hat{n} \cdot (P_{h1} \cdot \hat{n}) \hat{n} < 0$$

- Q<sub>T</sub> < 3.5 GeV



# Examples of fits to azimuthal asymmetries



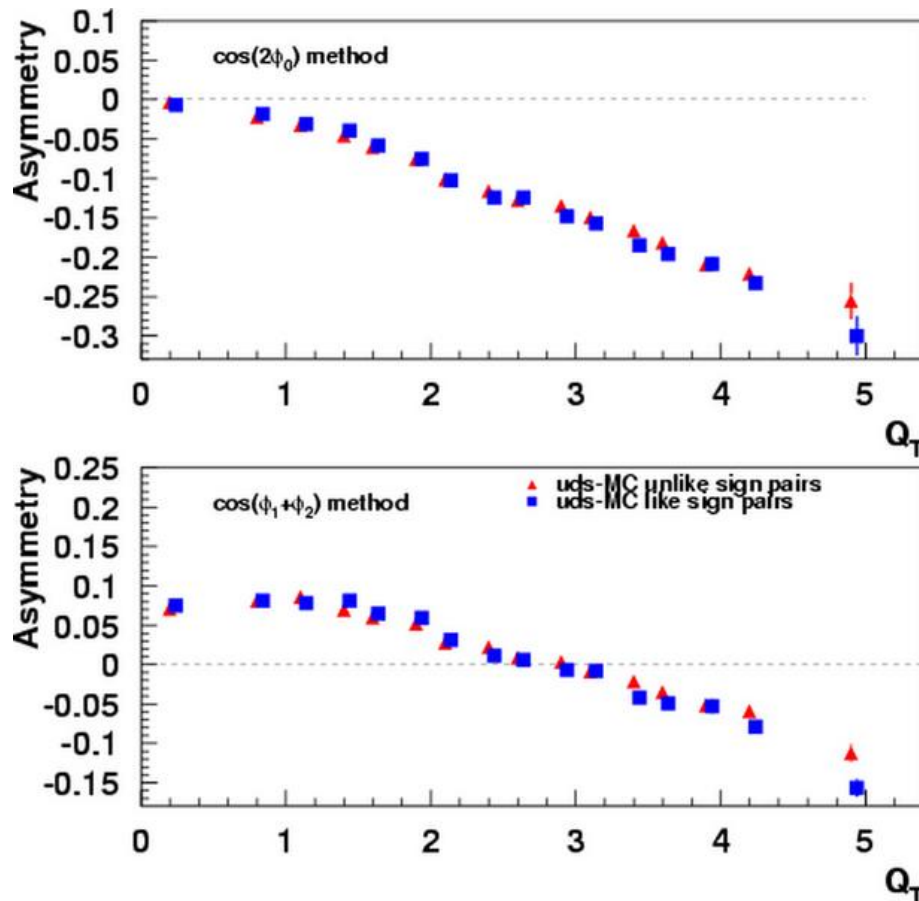
$$\frac{N(\phi)}{N_0} = \frac{aD_1\overline{D_1} + \cos(2\phi) (bH_1\overline{H_1} + cD_1\overline{D_1})}{aD_1\overline{D_1}} = P2 + P1 \cos(2\phi)$$

$D_1$ : spin averaged fragmentation function,

$H_1$ : Collins fragmentation function

No change in cosine moments when including sine and higher harmonics (even though double ratios will contain them)

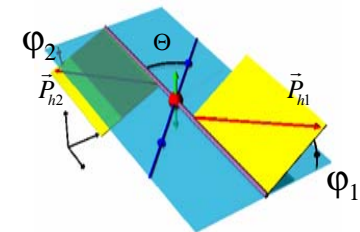
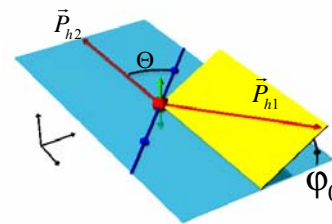
# Raw asymmetries vs $Q_T$



- uds MC ( $\pi\pi$ ) Unlike sign pairs
- uds MC ( $\pi\pi$ ) Like sign pairs

- $Q_T$  describes transverse momentum of virtual photon in  $\pi\pi$  CMS system
- Significant nonzero Asymmetries visible in MC (w/o Collins)
- Acceptance, radiative and momentum correlation effects similar for like and unlike sign pairs

$$\frac{dN}{d\Omega} \propto \sin^2 \theta \cos(2\phi_0) \frac{Q_T^2}{Q^2 + Q_T^2}$$



# Methods to eliminate gluon contributions: Double ratios and subtractions

## Double ratio method:

$$R := \frac{\frac{N^{Unlike}(\phi)}{N_0^{Unlike}}}{\frac{N^{Like}(\phi)}{N_0^{Like}}} \approx 1 + F \left( \frac{H_1^{\perp, fav}(z)}{D_1^{fav}(z)}, \frac{H_1^{\perp, unfav}(z)}{D_1^{unfav}(z)} \right) + \mathcal{O}(F(Q_T)^2)$$

**Pros:** Acceptance cancels out

**Cons:** Works only if effects are small (both gluon radiation and signal)

## Subtraction method:

$$S := \frac{N^{Unlike}(\phi)}{N_0^{Unlike}} - \frac{N^{Like}(\phi)}{N_0^{Like}} = F \left( \frac{H_1^{\perp, fav}(z)}{D_1^{fav}(z)}, \frac{H_1^{\perp, unfav}(z)}{D_1^{unfav}(z)} \right)$$

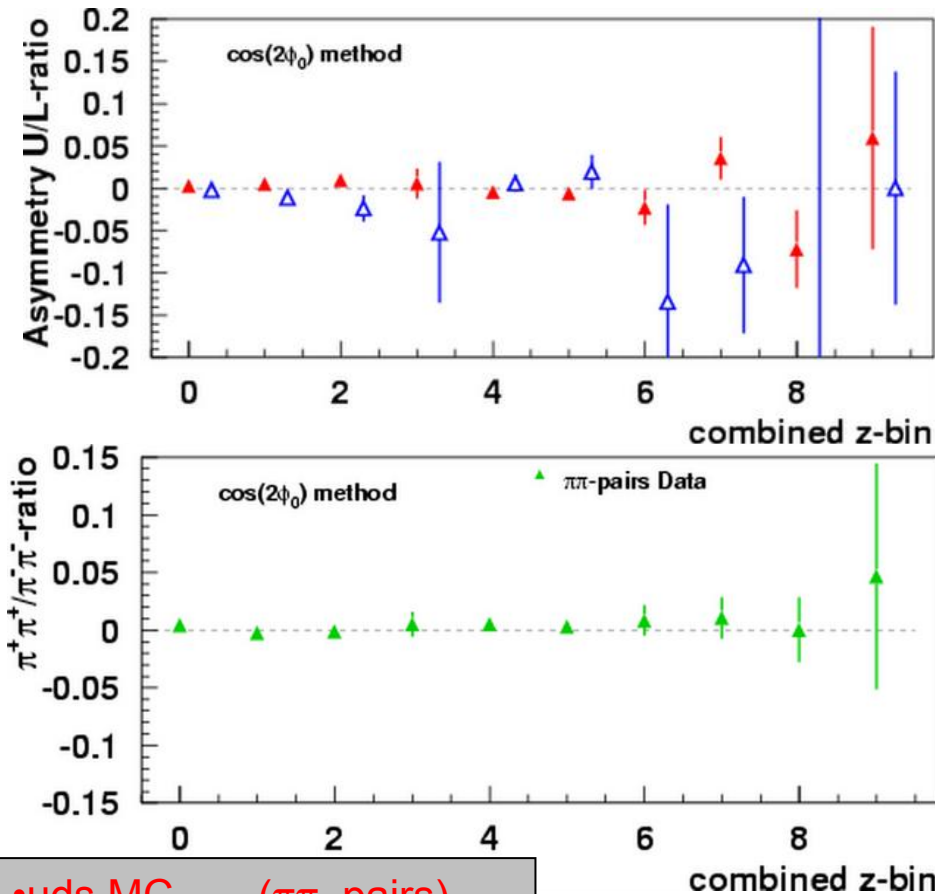
**Pros:** Gluon radiation cancels out exactly

**Cons:** Acceptance effects remain

**2 methods give very small difference in the result**

$$F = \frac{\sin^2(\theta)}{1 + \cos^2(\theta)} \left[ \frac{\sum_q e^2 (H^{Fav} \cdot \bar{H}^{Fav} + H^{Unf} \cdot \bar{H}^{Unf})}{\sum_q e^2 (D^{Fav} \cdot \bar{D}^{Fav} + D^{Unf} \cdot \bar{D}^{Unf})} - \frac{\sum_q e^2 (H^{Fav} \cdot \bar{H}^{Unf} + H^{Unf} \cdot \bar{H}^{Fav})}{\sum_q e^2 (D^{Fav} \cdot \bar{D}^{Unf} + D^{Unf} \cdot \bar{D}^{Fav})} \right]$$

# Testing the double ratios with MC

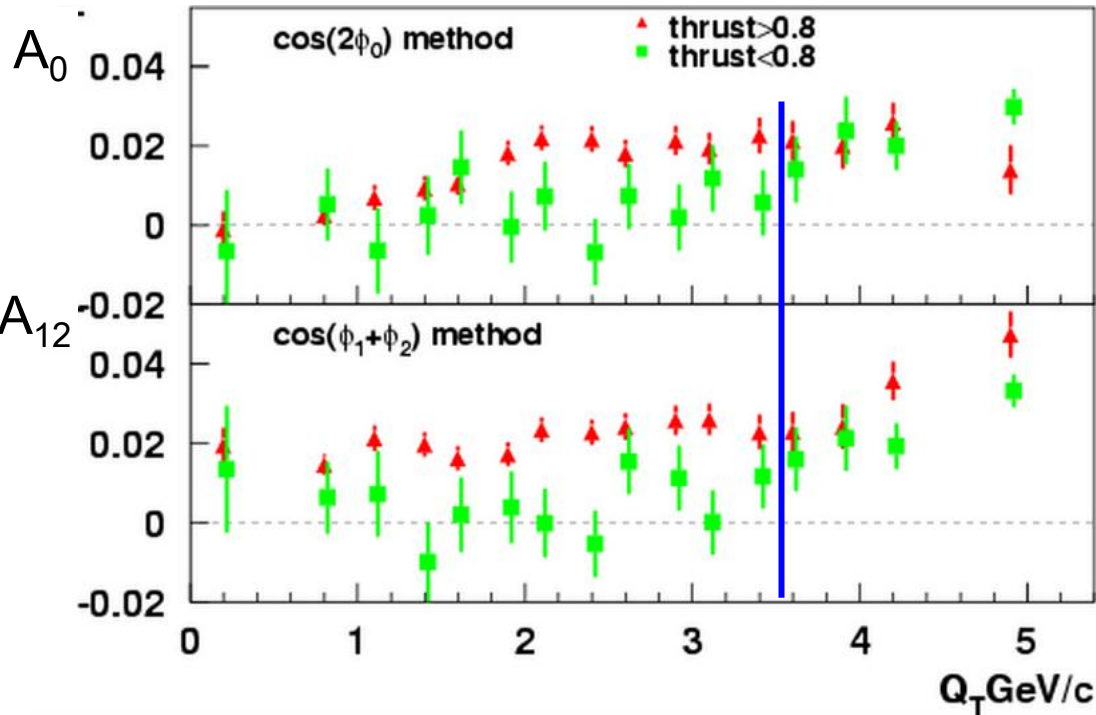


- uds MC ( $\pi\pi$ -pairs)
- charm MC ( $\pi\pi$ -pairs)
- Data ( $\pi^+\pi^+/\pi^-\pi^-$ )

- Asymmetries do cancel out for MC
  - Double ratios of  $\pi^+\pi^+/\pi^-\pi^-$  compatible with zero
  - Mixed events also show zero result
  - Asymmetry reconstruction works well for  $\tau$  MC (weak decays)
  - Single hemisphere analysis yields zero
- Double ratios are safe to use

	$\pi\pi$ uds	$\pi\pi$ charm	$\pi\pi$ mixed	kk mixed
constant	$0.26\%\pm 0.19\%$	$-0.45\%\pm 0.33\%$	$0.06\%\pm 0.09\%$	$0.01\%\pm 0.16\%$
reduced $\chi^2$	1.17	1.35	1.14	1.2

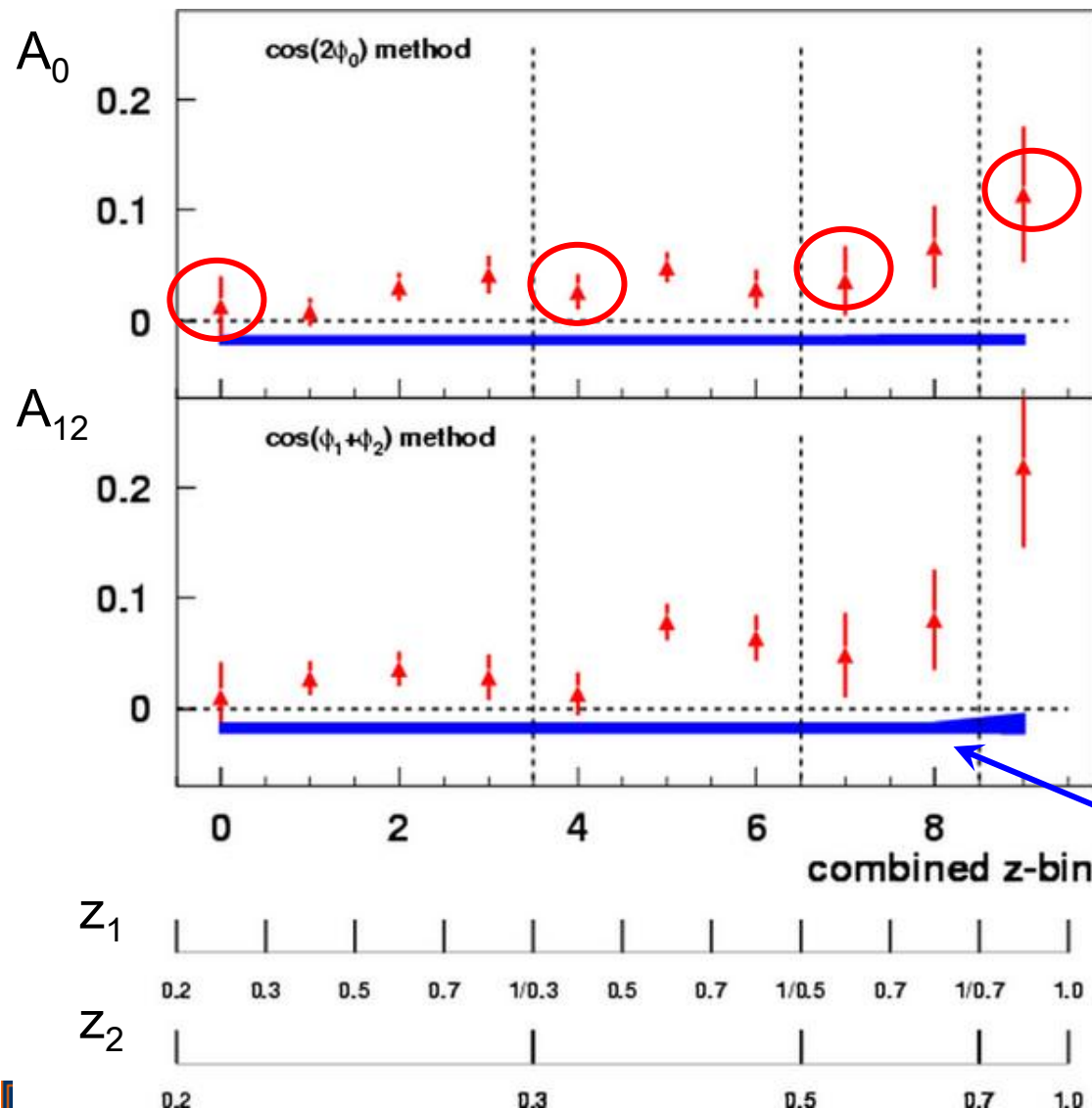
# Small double ratios in low thrust data sample



- Low thrust contains radiative effects
- Collins effect vanishes
- ➔ Strong experimental indication that double ratio method works



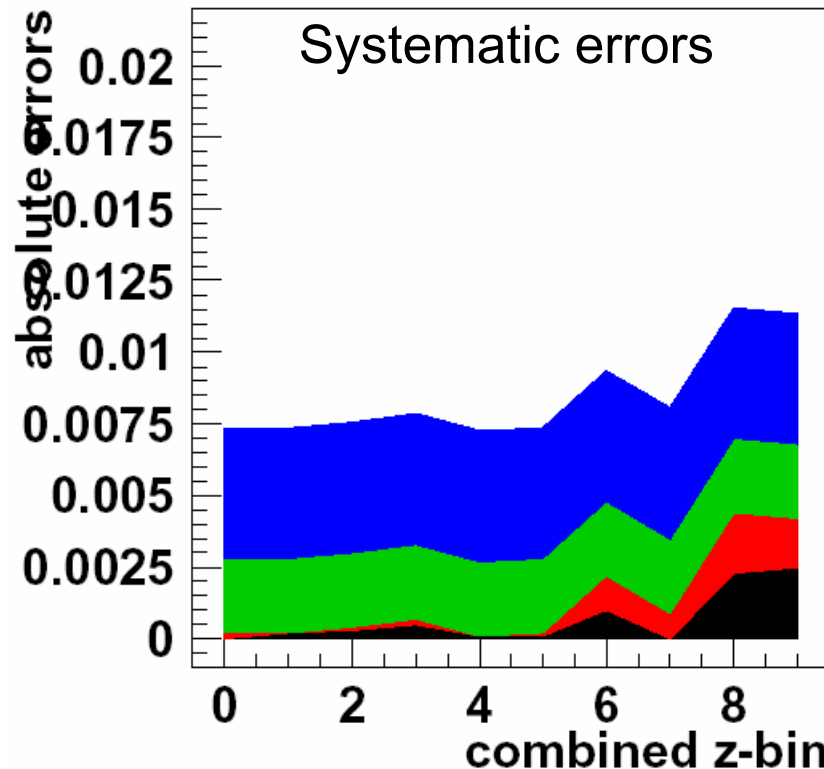
# Results for $e^+ e^- \rightarrow \pi \pi X$ for $29\text{fb}^{-1}$



- Significant non-zero asymmetries
- Rising behavior vs.  $z$
- $\cos(\phi_1 + \phi_2)$  double ratios only marginally larger
- First direct measurement of the Collins function
- Integrated results:
  - $\cos(2\phi_0)$  method  
( $3.06 \pm 0.65 \pm 0.55$ )%
  - $\cos(2\phi_1 + \phi_2)$  method  
( $4.26 \pm 0.78 \pm 0.68$ )%

Systematic error

# Contributions to systematic errors



Other uncertainties:

- smearing (reweighted MC)
- PID (variation of PID cuts)
- charm contribution (corrected by  $D^*$  data sample)
- $\tau$  content (evaluated in  $e^+ e^- \rightarrow \tau^+ \tau^-$  enhanced sample)
- Statistical correlations
- Thrust axis reconstruction
- Beam polarization tested

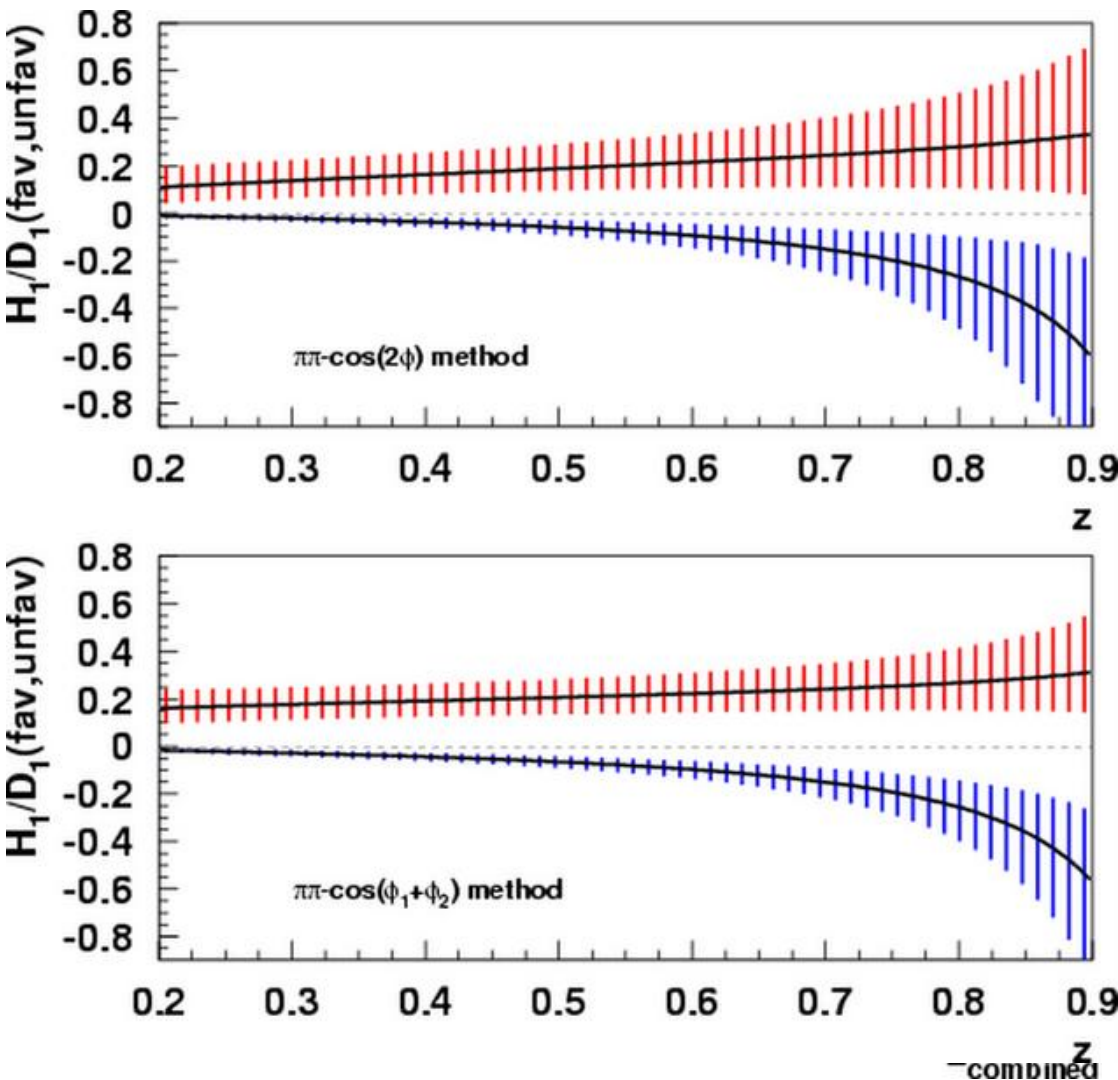
← MC double ratio

← Charge sign ratio

← Higher moments

← Double ratio method

# An experimentalist's interpretation: fitting parameterizations of the Collins function(s)



- Take unpolarized parameterizations (Kretzer at  $Q^2=2.5\text{GeV}^2$ )
- Assume  $H_1^{\perp, fav} = a z^b (1-z)^c$  (PDF-like behavior)
- Assume  $H_1^{\perp, unfav} / H_1^{\perp, fav} = -0.1$
- Little sensitivity to favored/disfavored Collins ratio

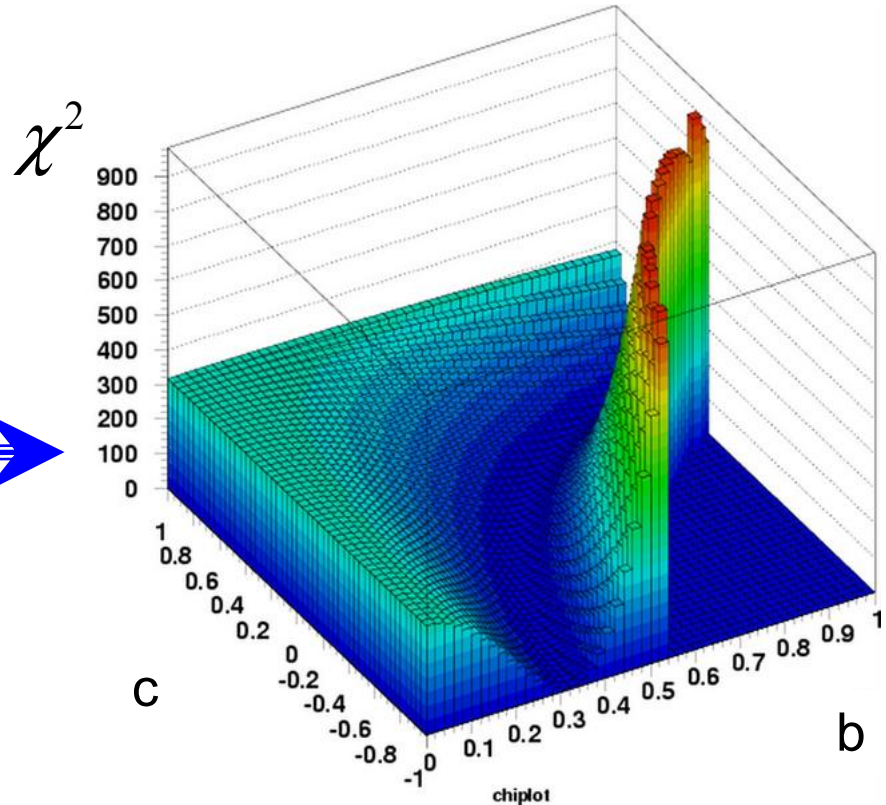
# Favored/Disfavored contribution → Sensitivity

$$F = \frac{\sin^2(\theta)}{1 + \cos^2(\theta)} \left[ \frac{\sum_q e^2 (H^{Fav} \cdot \bar{H}^{Fav} + H^{Unf} \cdot \bar{H}^{Unf})}{\sum_q e^2 (D^{Fav} \cdot \bar{D}^{Fav} + D^{Unf} \cdot \bar{D}^{Unf})} - \frac{\sum_q e^2 (H^{Fav} \cdot \bar{H}^{Unf} + H^{Unf} \cdot \bar{H}^{Fav})}{\sum_q e^2 (D^{Fav} \cdot \bar{D}^{Unf} + D^{Unf} \cdot \bar{D}^{Fav})} \right]$$

Take simple parameterization to test sensitivity on favored to disfavored Ratio

$$H_1^\perp, fav = bz D_1^{fav}$$

$$H_1^\perp, unf = c \cdot bz D_1^{unf}$$



# Other Favored/Unfavored Combinations $\rightarrow \pi^0$

Problem: current double ratios not very sensitive to favored to disfavored  
Collins function ratio  $\rightarrow$  Examine other combinations:

- Unlike-sign pion pairs:  
(favored x favored + unfavored x unfavored)
- Like-sign pion pairs:  
(favored x unfavored + unfavored x favored)
- $\pi^\pm \pi^0$  pairs  
(favored + unfavored) x (favored + unfavored)
- P.Schweitzer([hep-ph/0603054]): charged  $\pi\pi$  pairs are similar (and are easier to handle):  
(favored + unfavored) x (favored + unfavored)

$\rightarrow$  Build new double ratios

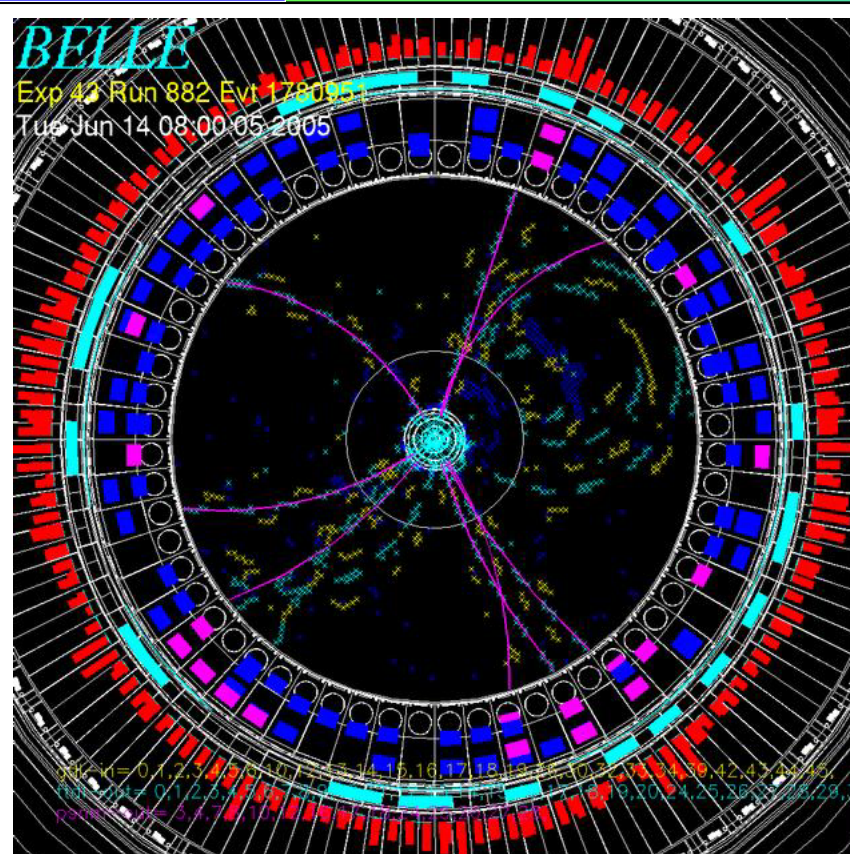
Favored =  $u \rightarrow \pi^+, d \rightarrow \pi^-, cc.$

Unfavored =  $d \rightarrow \pi^+, u \rightarrow \pi^+, cc.$

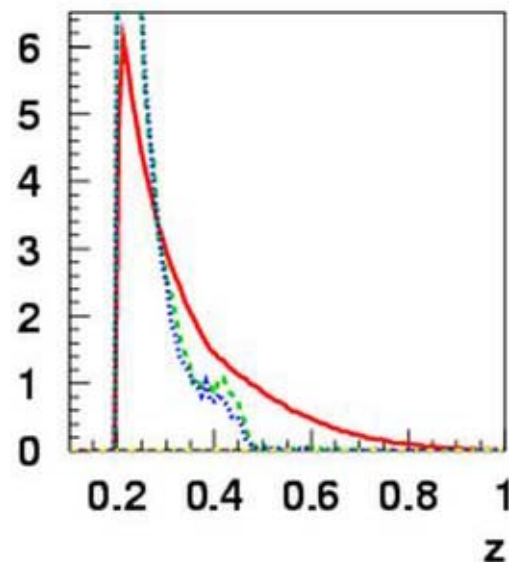
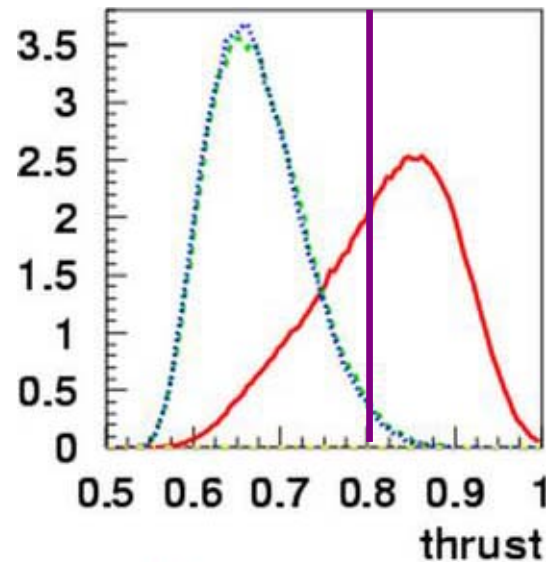


# Why is it possible to include on\_resonance data?

## Different Thrust distributions



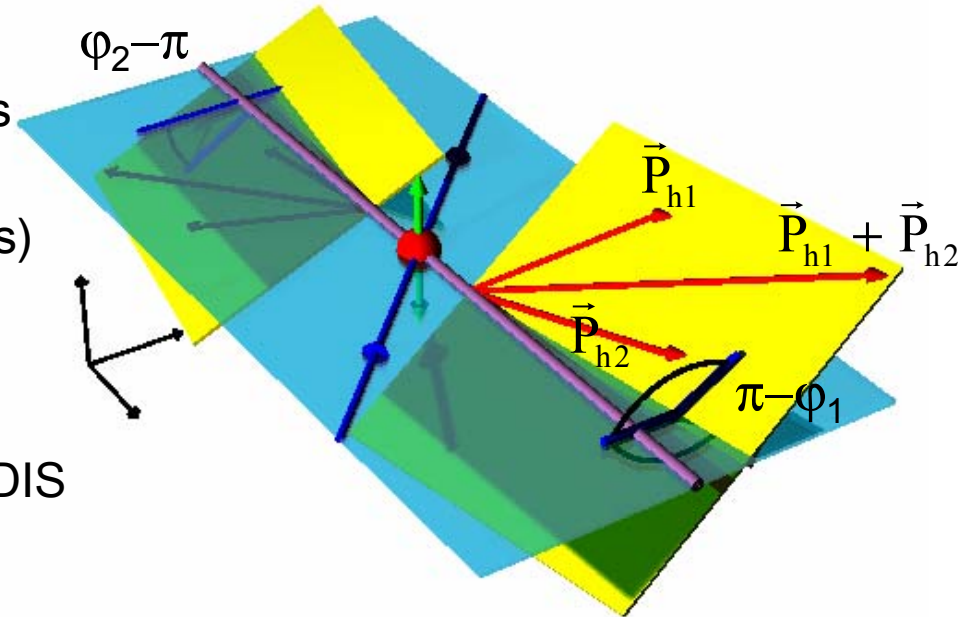
$$\text{thrust} = \frac{\sum_i |\mathbf{p}_i \cdot \hat{\mathbf{n}}|}{\sum_i |\mathbf{p}_i|}$$





# Interference Fragmentation – thrust method

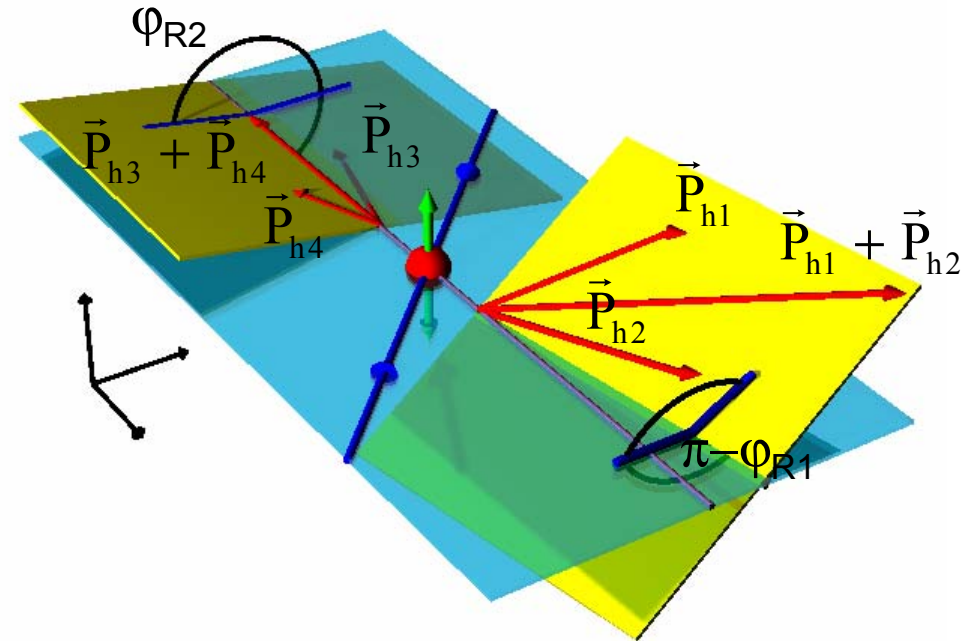
- $e^+e^- \rightarrow (\pi^+\pi^-)_{\text{jet1}}(\pi^-\pi^+)_{\text{jet2}} \times$
- Stay in the mass region around  $\rho$ -mass
- Find pion pairs in opposite hemispheres
- Observe angles  $\varphi_1 + \varphi_2$  between the event-plane (beam, jet-axis) and the two two-pion planes.
- Transverse momentum is integrated (universal function, evolution easy  $\rightarrow$  directly applicable to semi-inclusive DIS and pp)
- Theoretical guidance by papers of Boer, Jakob, Radici and Artru, Collins



$$A \propto H_1^{\leftarrow}(z_1, m_1) \overline{H}_1^{\leftarrow}(z_2, m_2) \cos(\varphi_1 + \varphi_2)$$

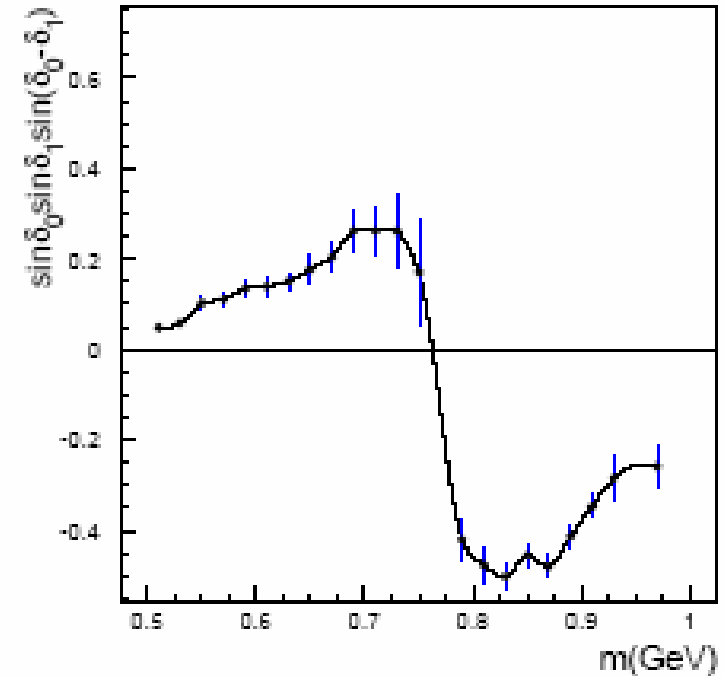
# Interference Fragmentation – “ $\phi_0$ ” method

- Similar to previous method
- Observe angles  $\phi_{1R} + \phi_{2R}$  between the event-plane (beam, **two-pion-axis**) and the two two-pion planes.
- Theoretical guidance by Boer, Jakob, Radici



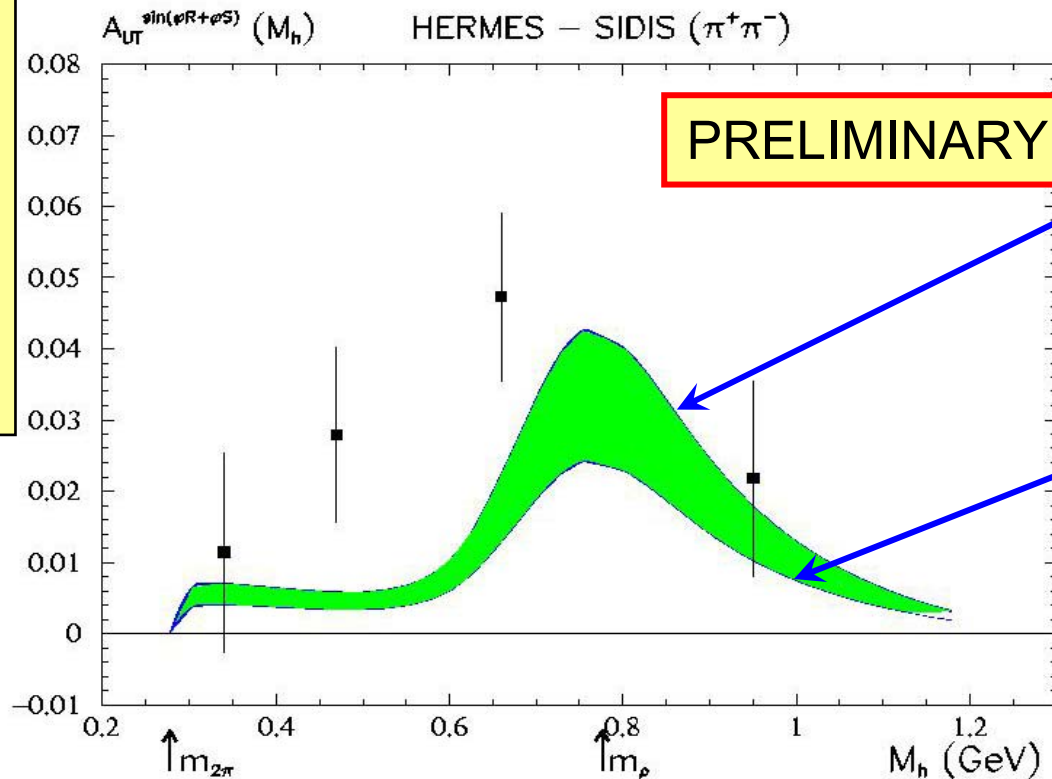
$$A \propto H_1^\perp(z_1, m_1) \overline{H}_1^\perp(z_2, m_2) \cos(\phi_{1R} + \phi_{2R})$$

- Jaffe et al. [Phys. Rev. Lett. **80** (1998)] : inv. mass behavior out of  $\pi\pi$ -phaseshift analysis  $\Rightarrow$  sign change at  $\rho$ -mass
- originally no predictions on actual magnitudes
- Tang included some for RHIC-Spin



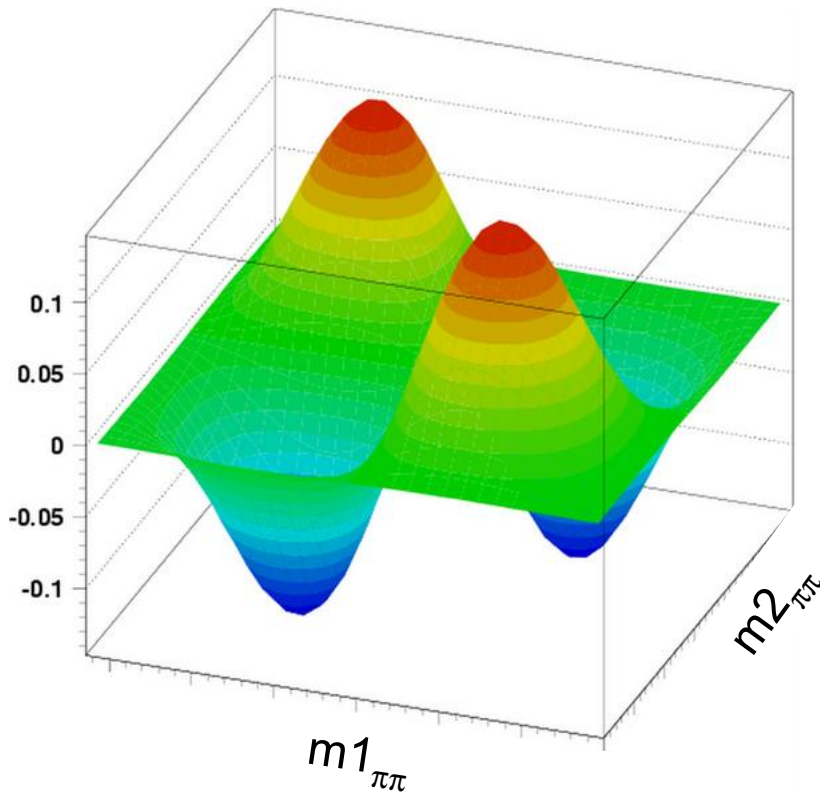
# Different model predictions for IFF

- Radici et al. [Phys. Rev. **D65** (2002)] :  
Spectator model in the  
**s-p channel**  $\Rightarrow$  no sign  
change observed  
(updated model has  
Breit-Wigner like  
asymmetry)

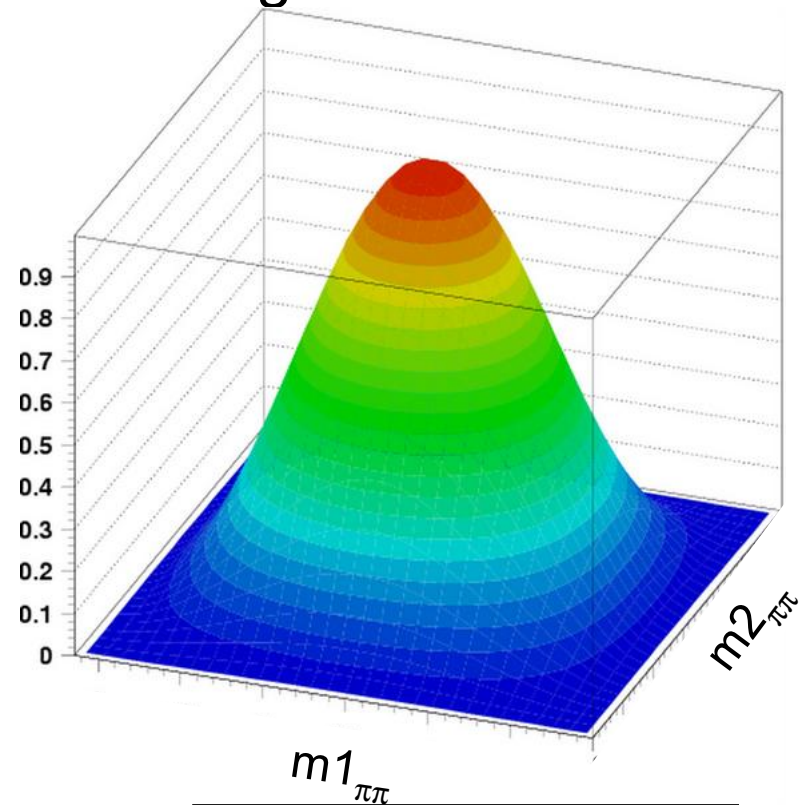


# What would we see?

Simply modeled the shapes of these predictions in an equidistant  
**Mass1 x Mass2** binning



"Jaffe"



"Radici"

# What do we see? I: events

- Animation of an event
- **lepton tracks**, thrust axis and all particle momenta in the CMS frame
- $P_{h1} = P_1 + P_2$
- $P_{h2} = P_3 + P_4$
- Plane defined by leptons and thrust
- Planes defined by hadron pairs



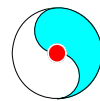
# Summary and outlook

## Summary:

- Double ratios:
  - double ratios from data
  - most systematic errors cancel
- Analysis procedure passes all null tests
- Main systematic uncertainties understood
- → Significant nonzero asymmetry with double ratios are observed
- Naive LO analysis shows significant Collins effect
- Data can be used for more sophisticated analysis
- Paper (hep-ex/0507063) is submitted

## Outlook:

- On resonance → 10 x statistics
- Include  $\pi^0$  and all charged  $\pi\pi$  pairs into analysis:
  - Better distinction between favored and disfavored Collins function
- Interference fragmentation function analysis started
  - First look promising
- Include Vector Mesons into analysis:
  - Possibility to test string fragmentation models used to describe Collins effect
- Timelike DVCS at Belle?



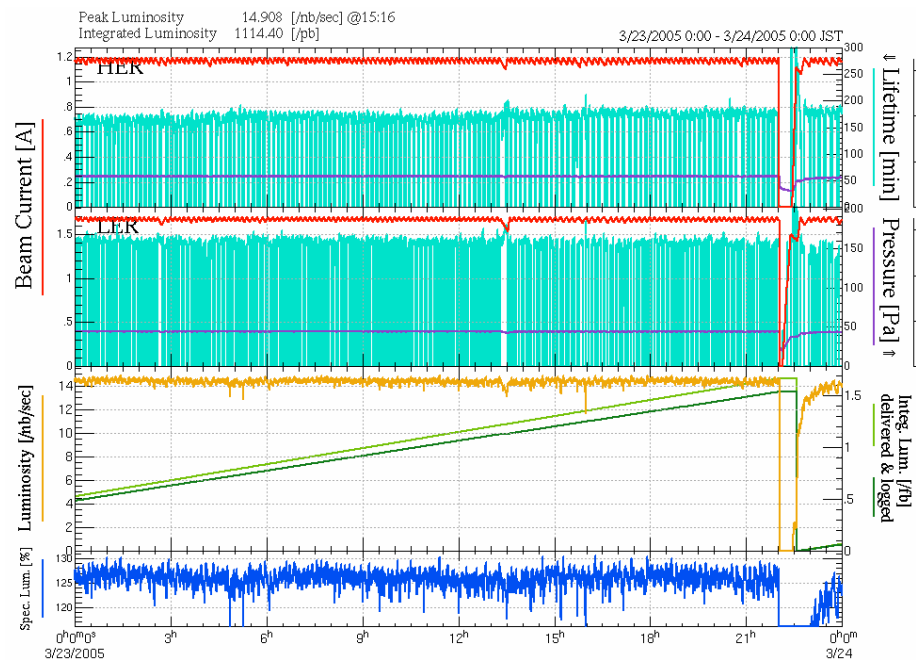
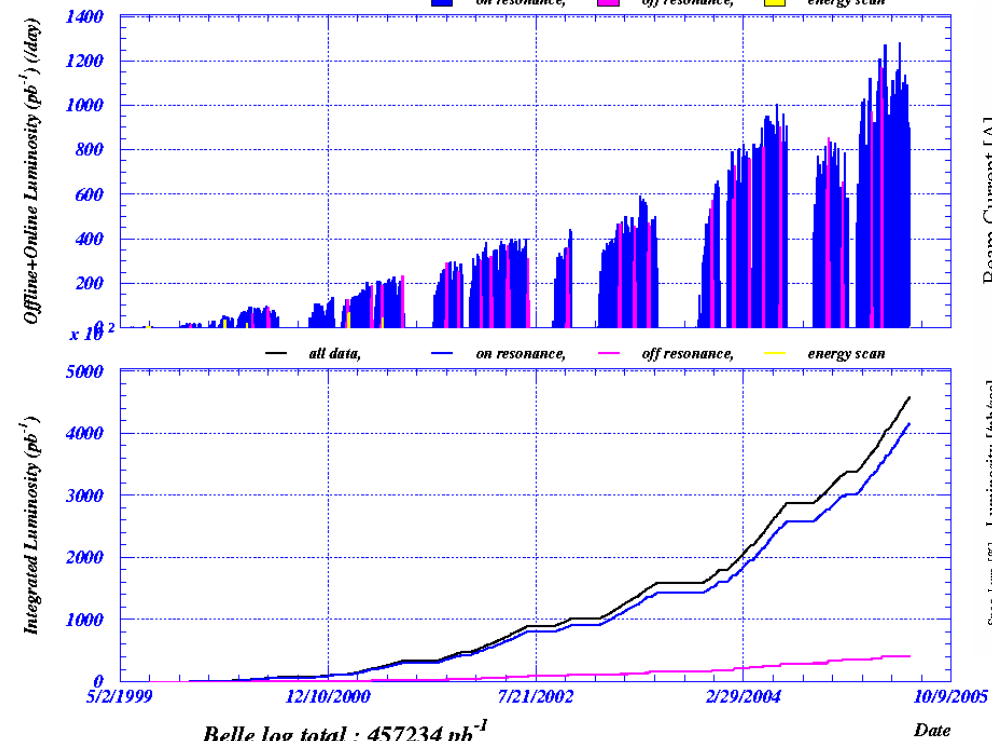
- Motivation
  - Study transverse spin effects in fragmentation
  - Global transversity analysis
  - Feasibility → LEP analysis  
[hep-ph/9901216]
- The BELLE detector
- Collins analysis
  - Angular definitions and cross sections
  - Double Ratios to eliminate radiative/momentum correlation effects
  - An experimentalist's interpretation
- Summary



Offline+Online Luminosity ( $\text{pb}^{-1}$ ) (/day)

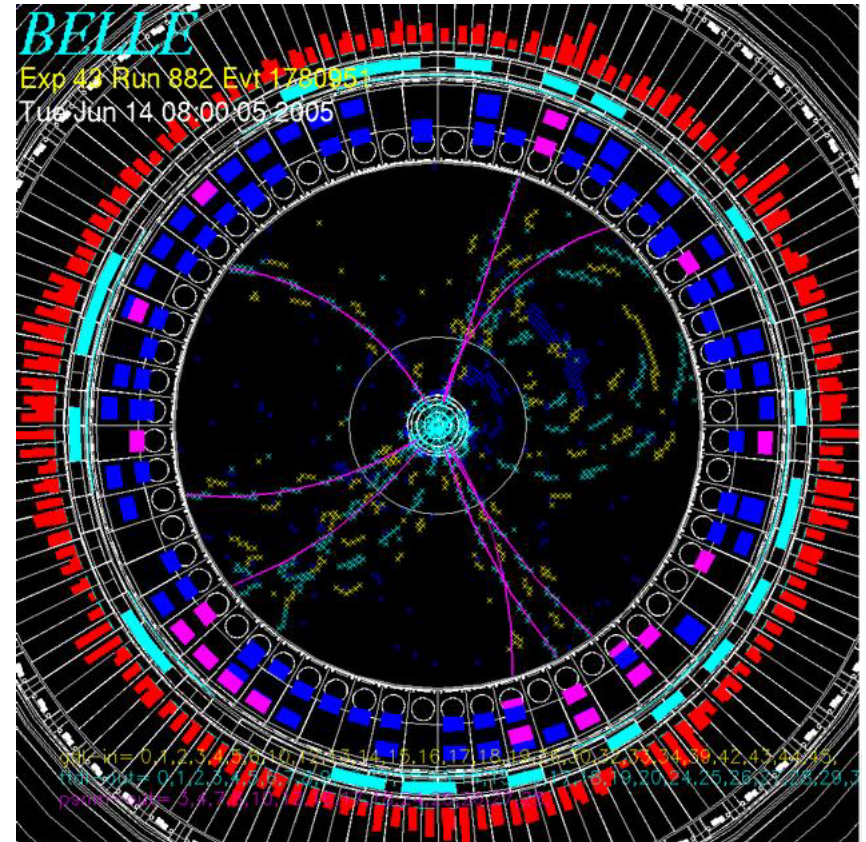
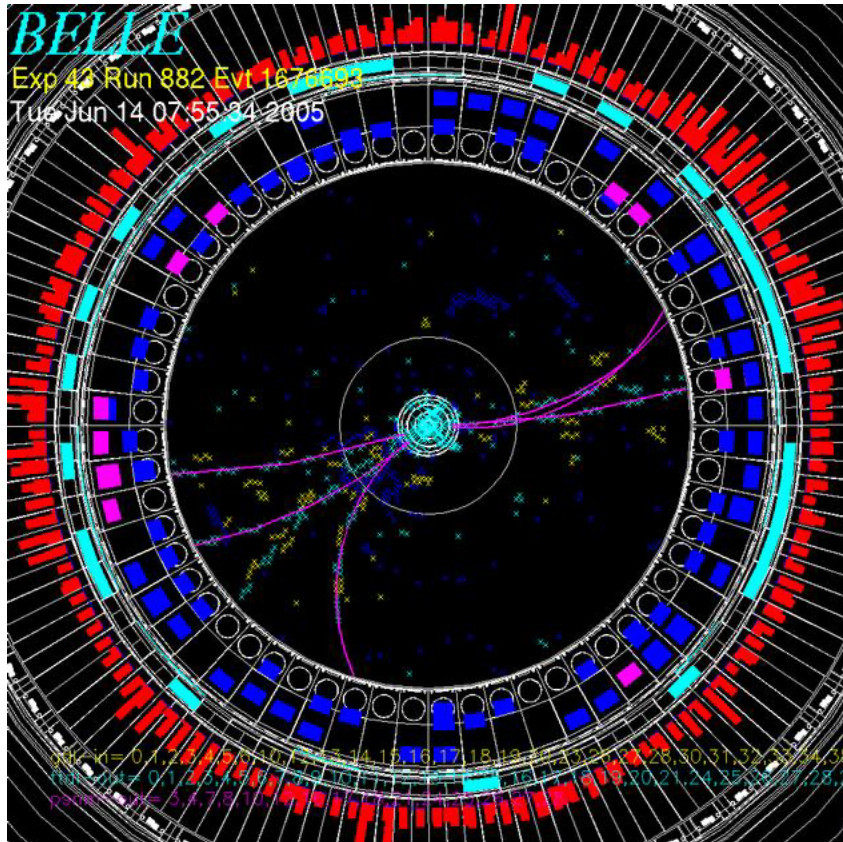
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■ on resonance, ■ off resonance, ■ energy scan





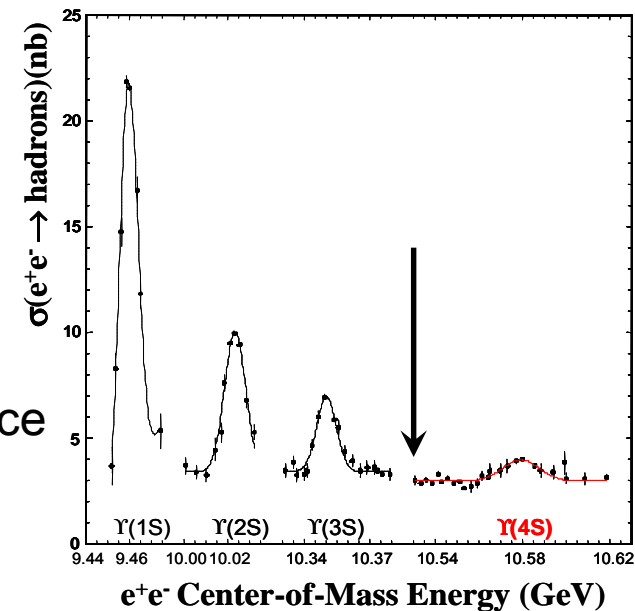
# Typical hadronic events at Belle



$$\text{thrust} = \frac{\sum_i |\mathbf{p}_i \cdot \hat{\mathbf{n}}|}{\sum_i |\mathbf{p}_i|}$$

# Belle is well suited for FF measurements:

- Good detector performance (acceptance, momentum resolution, pid)
- Jet production from light quarks  
→ off-resonance (60 MeV below resonance)  
(~10% of all data)
- Intermediate Energy  
→ Sufficiently high scale ( $Q^2 \sim 110 \text{ GeV}^2$ )  
- can apply pQCD  
→ Not too high energy ( $Q^2 \ll M_Z^2$ )  
- avoids additional complication from Z interference
- Sensitivity =  $A^2 \sqrt{N} \sim \mathbf{x19 (60)}$  compared to LEP  
 $A_{\text{Belle}} / A_{\text{LEP}} \sim \mathbf{x2}$  ( $A$  scales as  $\ln Q^2$ )  
 $L_{\text{Belle}} / L_{\text{LEP}} \sim \mathbf{x23 (230)}$





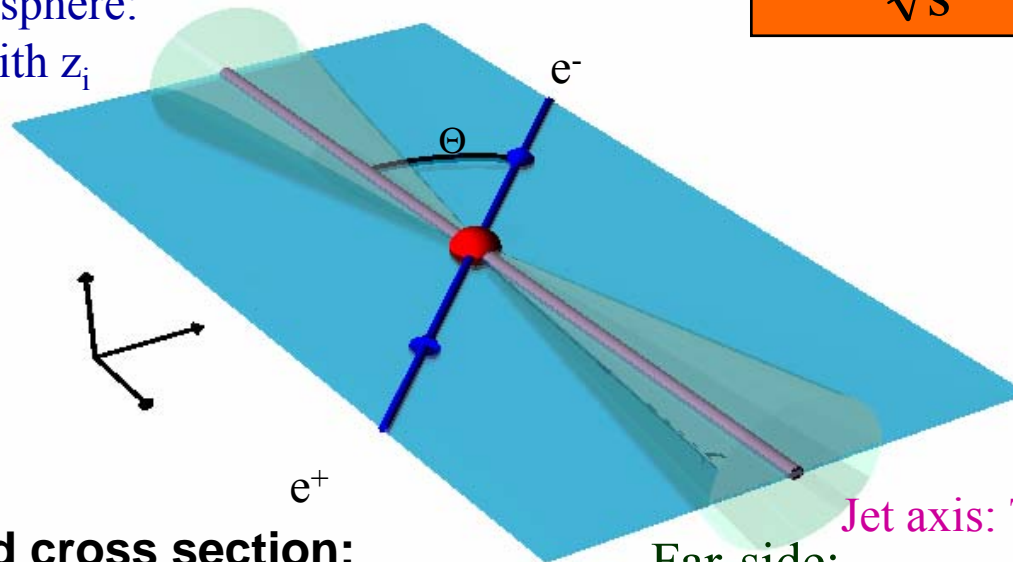
# Event Structure at Belle

$e^+e^-$  CMS frame:

$$z = \frac{2E_h}{\sqrt{s}}, \quad \sqrt{s} = 10.52 \text{ GeV}$$

Near-side Hemisphere:

$h_i, i=1, N_n$  with  $z_i$



$$\langle N_{h+,-} \rangle = 6.4$$

**Spin averaged cross section:**

$$\frac{d\sigma(e^+e^- \rightarrow h_1 h_2 X)}{d\Omega dz_1 dz_2} = \frac{3\alpha^2}{Q^2} A(y) \sum_{a,\bar{a}} e_a^2 D_1(z_1) \bar{D}_1(z_2)$$

$$A(y) = \left( \frac{1}{2} - y + y^2 \right)^{(cm)} = \frac{1}{4} (1 + \cos^2 \Theta)$$

Far-side:

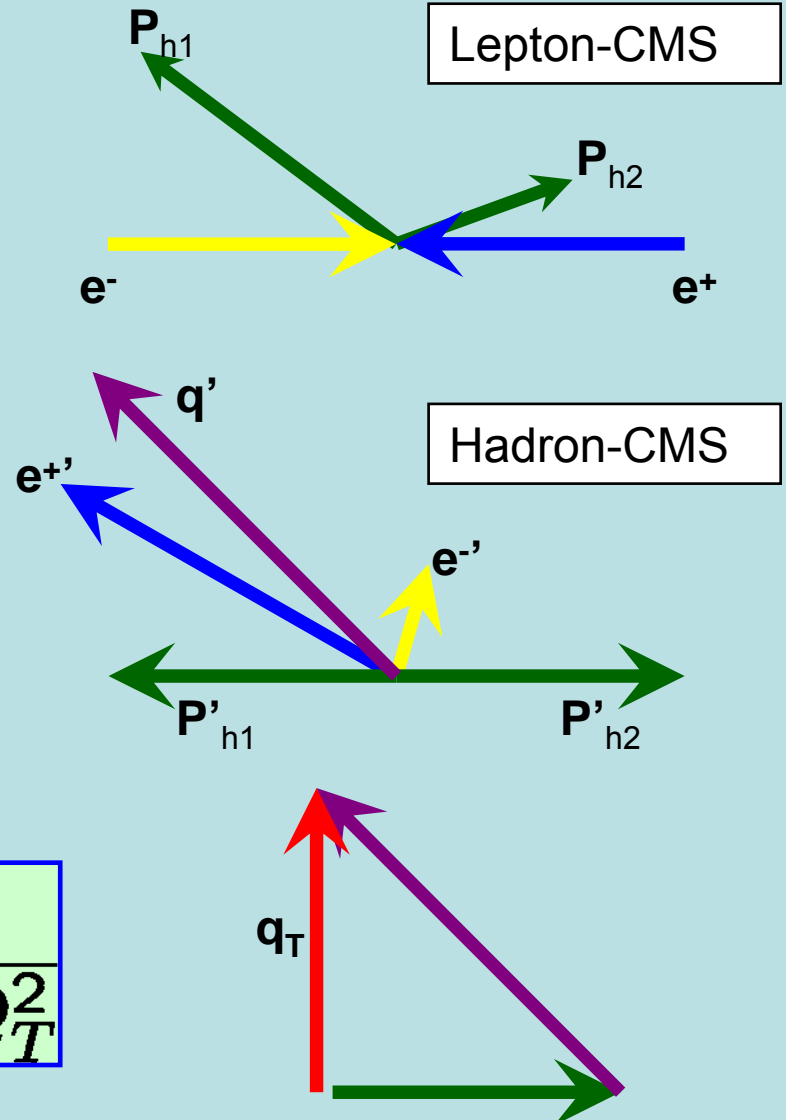
$h_j, j=1, N_f$  with  $z_j$

Jet axis: Thrust

# What is the transverse momentum $Q_T$ of the virtual photon?

- In the lepton CMS frame  $e^- = -e^+$  and the virtual photon is only time-like:  
 $q^\mu = (e^- + e^+) = (Q, 0, 0, 0)$
- Radiative (=significant BG) effects are theoretically best described in the hadron CMS frame where  
 $P_{h1} + P_{h2} = 0$   
 $\rightarrow q'^\mu = (q'_0, \mathbf{q}')$
- Inclusive Cross section for radiative events (acc. to D.Boer):

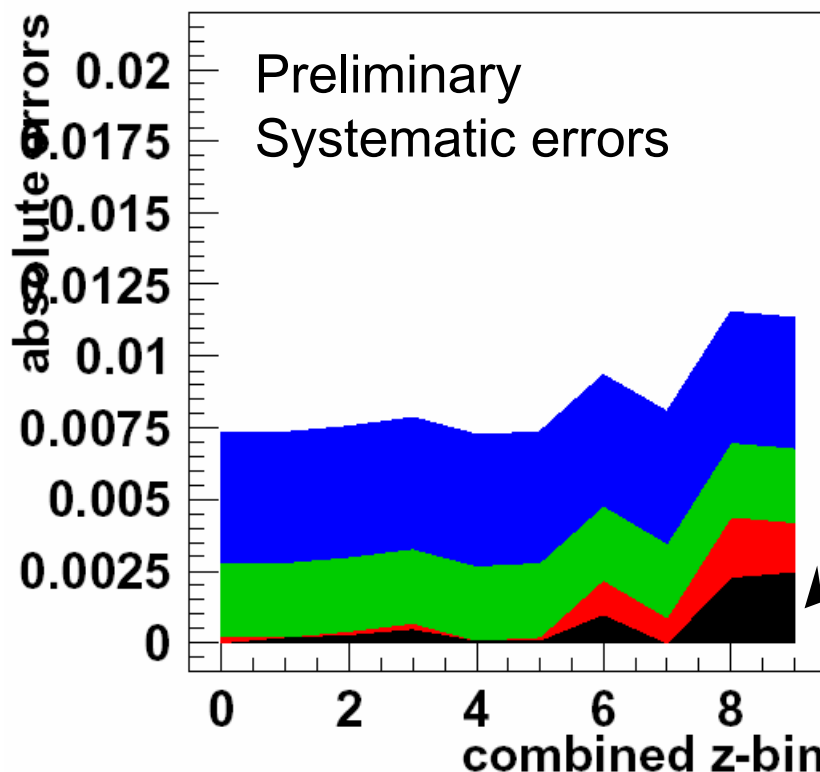
$$\frac{dN}{d\Omega} \propto \sin^2 \theta \cos(2\phi_0) \frac{Q_T^2}{Q^2 + Q_T^2}$$



# Experimental issues

- $\cos 2\phi$  moments have two contributions:
  - Collins → Can be isolated either by subtraction or double ratio method
  - Radiative effects → Cancels exactly in subtraction method, and in LO of double ratios
- Beam Polarization zero? →  $\cos(2\phi_{\text{Lab}})$  asymmetries for jets or  $\gamma\gamma$
- False asymmetries from weak decays → Study effect in  $\tau$  decays, constrain through D tagging
- False asymmetries from misidentified hemispheres →  $Q_T$  or polar angle cut
- False asymmetries from acceptance → Cancels in double ratios, can be estimated in charge ratios, fiducial cuts
- Decaying particles → lower  $z$  cut

# Double Ratio vs Subtraction Method:

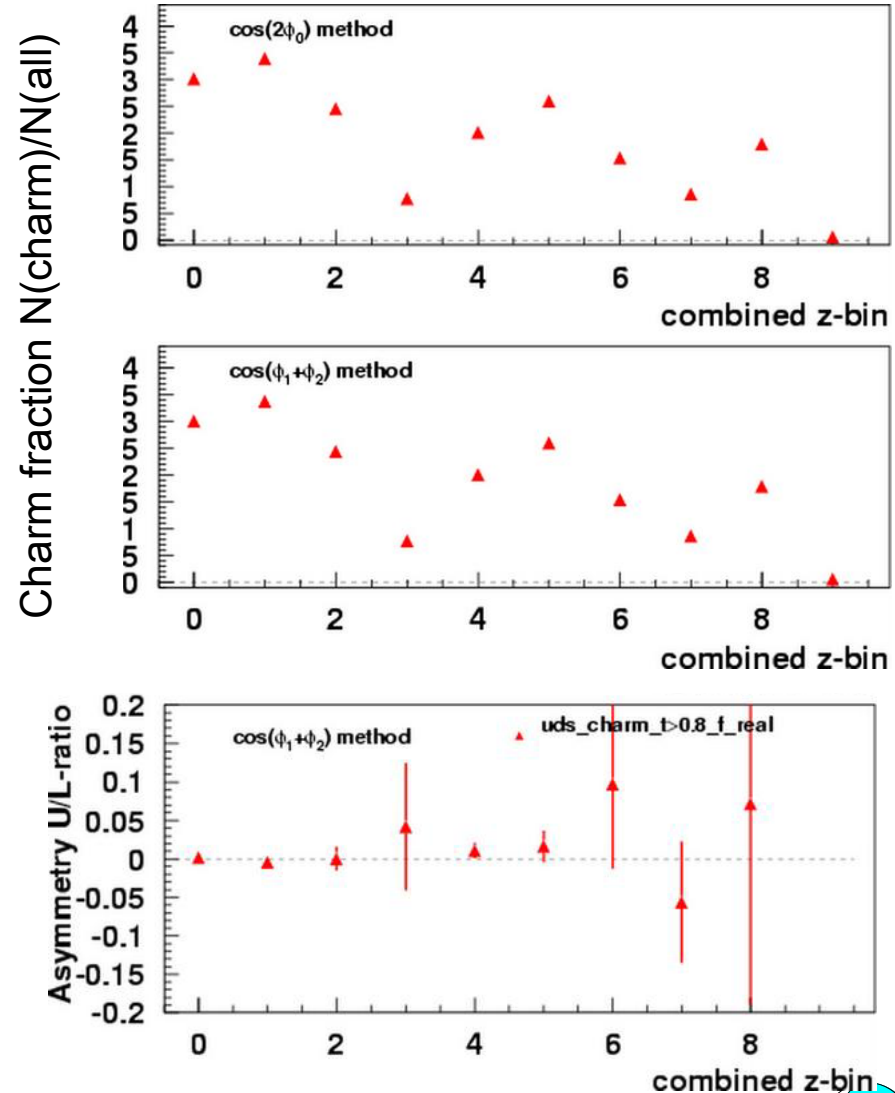


$$R - S < 0.002$$

→ The difference was assigned as a systematic error.

# Systematics: charm contribution?

- Weak (parity violating) decays could also create asymmetries (seen in  $\tau \rightarrow \pi \pi \nu$ , overall  $\tau$  dilution 5%)
- Especially low dilution in combined z-bins with large pion asymmetry
- Double ratios from charm MC compatible to zero
- ➔ Charm decays cannot explain large double ratios seen in the data
- ➔ Charm enhanced  $D^*$  Data sample used to calculate and correct the charm contribution to the double ratios (see hep-ex/0507063 for details)



# Different charge combinations → additional information

- Unlike sign pairs contain either only favored or only unfavored fragmentation functions on quark and antiquark side:

$$D_1^{fav}(z_1)\overline{D_1^{fav}(z_2)} + D_1^{unfav}(z_1)\overline{D_1^{unfav}(z_2)}$$

- Like sign pairs contain one favored and one unfavored fragmentation function each:

$$D_1^{fav}(z_1)\overline{D_1^{unfav}(z_2)} + D_1^{unfav}(z_1)\overline{D_1^{fav}(z_2)}$$

Favored =  $u \rightarrow \pi^+, d \rightarrow \pi^-, cc$ .

Unfavored =  $d \rightarrow \pi^+, u \rightarrow \pi^-, cc$ .

$$\frac{N(\phi)}{N_0} = \frac{aD_1\overline{D_1} + \cos(2\phi)(bH_1\overline{H_1} + cD_1\overline{D_1})}{aD_1\overline{D_1}}$$

$$\frac{N(\phi)}{N_0} = 1 + \cos(2\phi) \left( \frac{bH_1\overline{H_1}}{aD_1\overline{D_1}} + c/a \right)$$

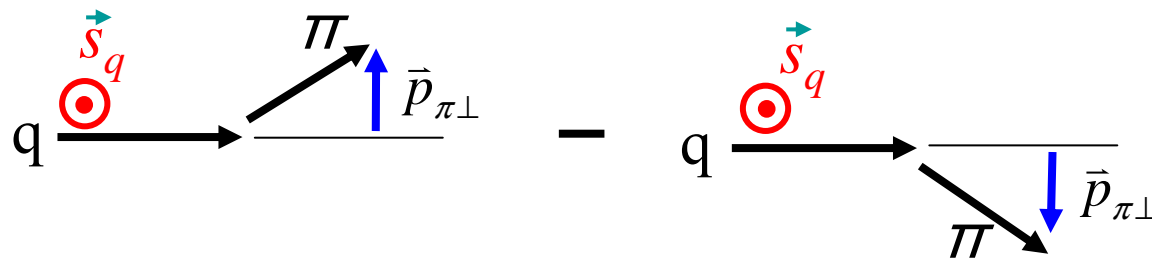


# Example: Left-Right Asymmetry in Pion Rates

## Collins Effect

$$A_T = \frac{N_L - N_R}{N_L + N_R} \neq 0 !$$

$N_L$  : pions to the left



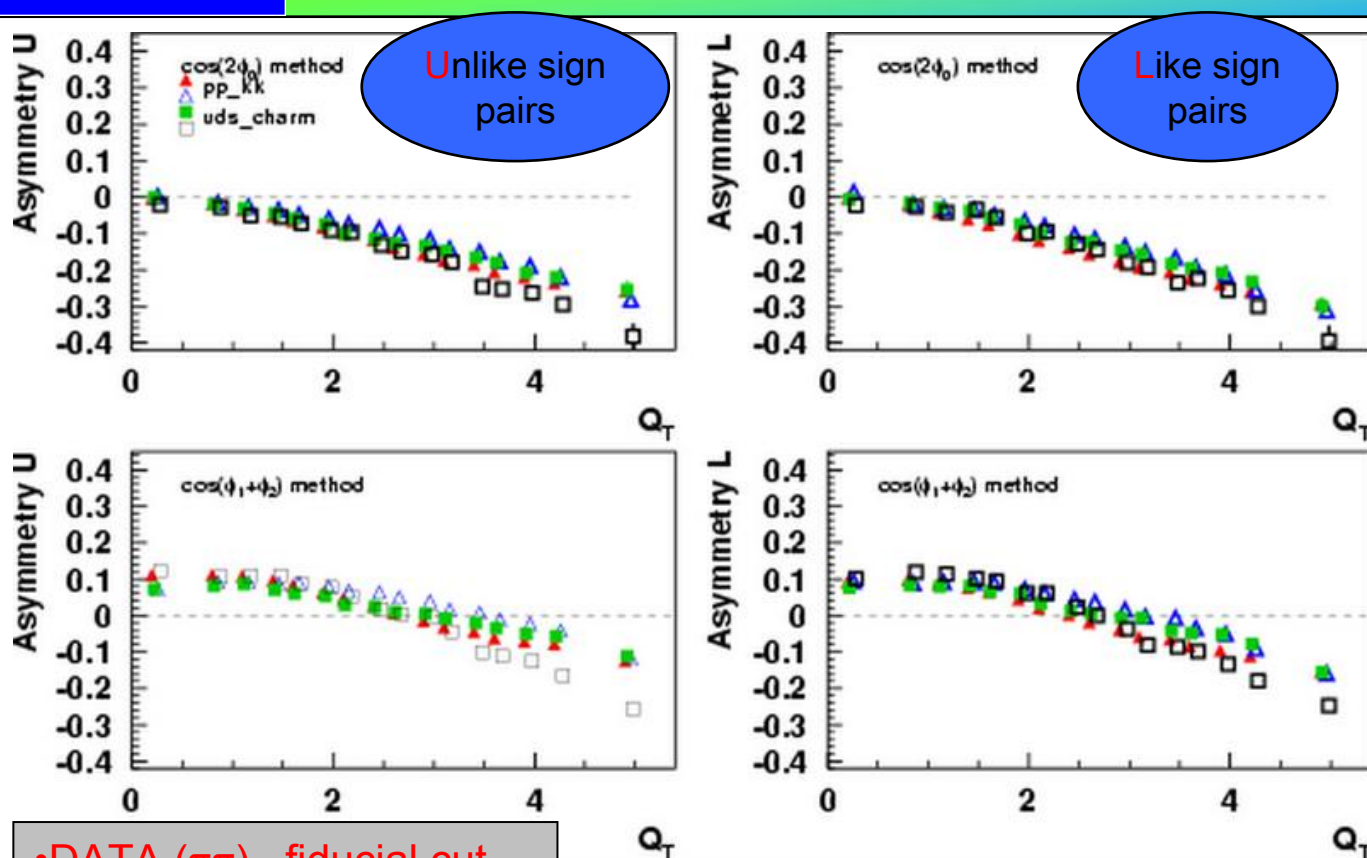
$N_R$  : pions to the right

# General Fragmentation Functions

Number density for finding  
a spin-less hadron  $h$  from a  
transversely polarized quark,  $q$ :

$$D_{q^\uparrow}^h(z, \vec{p}_{h\perp}) = \underbrace{D_1^{q,h}(z)}_{\text{unpolarized FF}} + \underbrace{H_1^{\perp q,h}(z, p_{h\perp}^2)}_{\text{Collins FF}} \frac{(\hat{k} \times \vec{p}_{h\perp}) \cdot \vec{s}_q}{zM_h}$$

# Raw asymmetries vs transverse photon momentum $Q_T$



- DATA ( $\pi\pi$ ) fiducial cut
- DATA ( $KK$ ) fiducial cut
- UDS-MC fiducial cut
- CHARM-MC fiducial cut

- Already MC contains large asymmetries
- Strong dependence against transverse photon Momentum  $Q_T$
- Expected to be due to radiative effects
- Difference of DATA and MC is signal
- ➔ not so easy to determine

