

Progress in the Partial-Wave Analysis Methods at COMPASS

Julien Beckers and Florian Kaspar for the COMPASS Collaboration

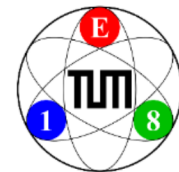
HADRON 2023: Analysis tools

June 8th, 2023

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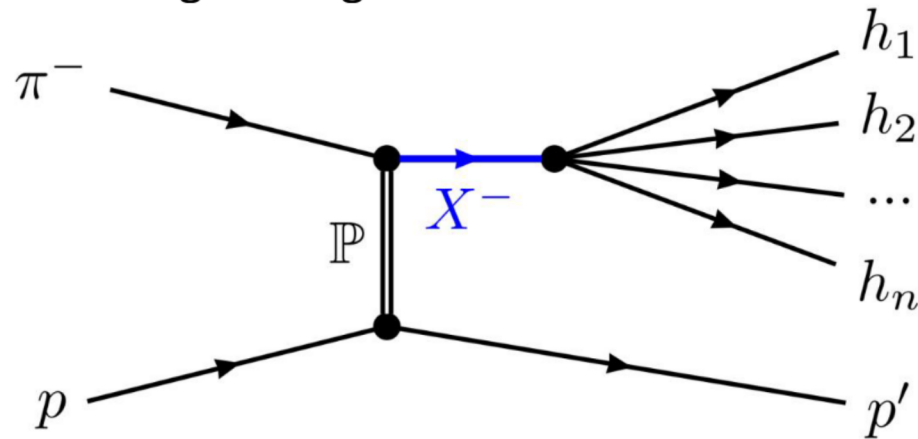
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funded by the DFG under Germany's Excellence Strategy – EXC2094 –
390783311 and BMBF Verbundforschung 05P21WOCC1 COMPASS



Excited Light Mesons at COMPASS

- Inelastic scattering reactions of high-energetic meson beam



- Strong interaction (Pomeron exchange) between beam meson and target proton
- Intermediate hadronic resonances X^- are created, then decay into n -body final state
→ wide range of allowed (spin) quantum numbers
- Final-state particles measured

The COMPASS Experiment

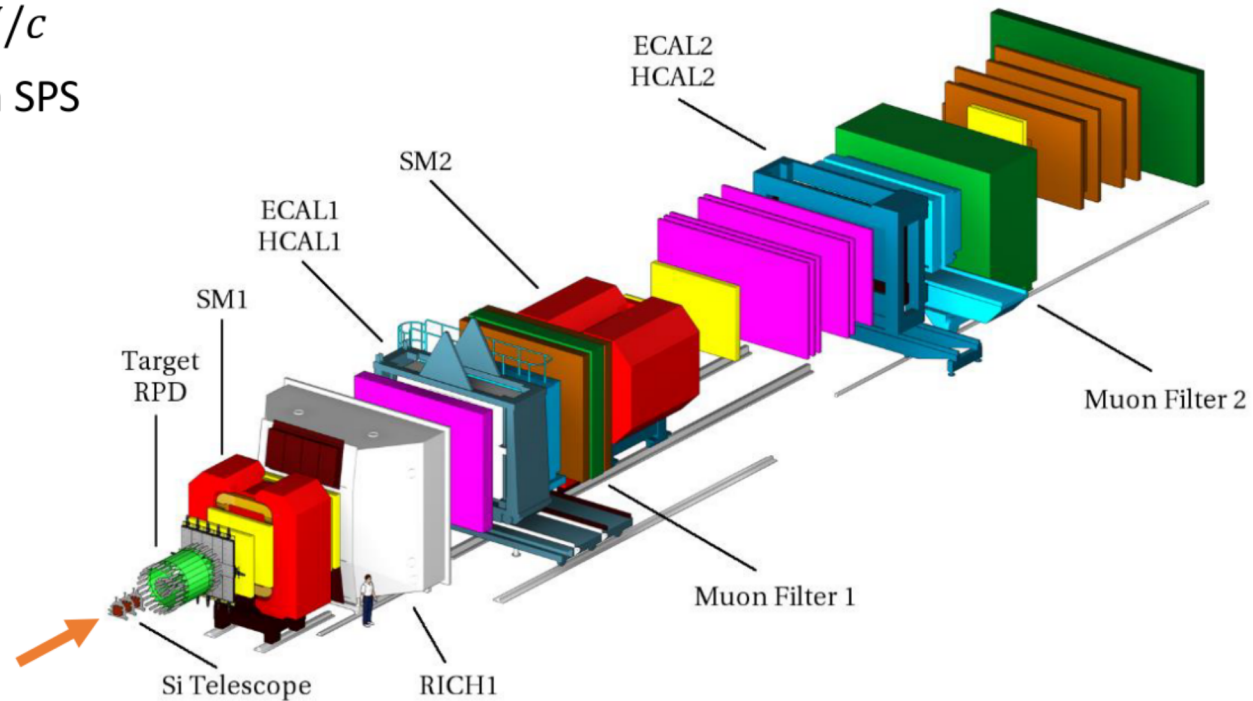
Large-acceptance magnetic spectrometer @ CERN-SPS

Beam:

- Secondary hadrons (π^- , K^-) at 190 GeV/c
- produced via primary proton beam from SPS

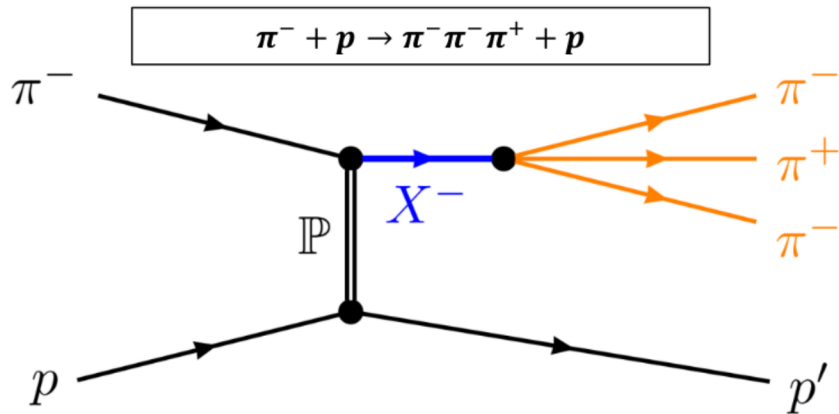
Spectrometer:

- Liquid-hydrogen target
- Two-stage spectrometer setup around two dipole magnets SM1/2



From COMPASS Collab., The COMPASS Setup for Physics with Hadron Beams (Nucl. Instrum. Methods Phys. Res. A 779 (2014), pp. 69–115)

Excited Light Mesons at COMPASS

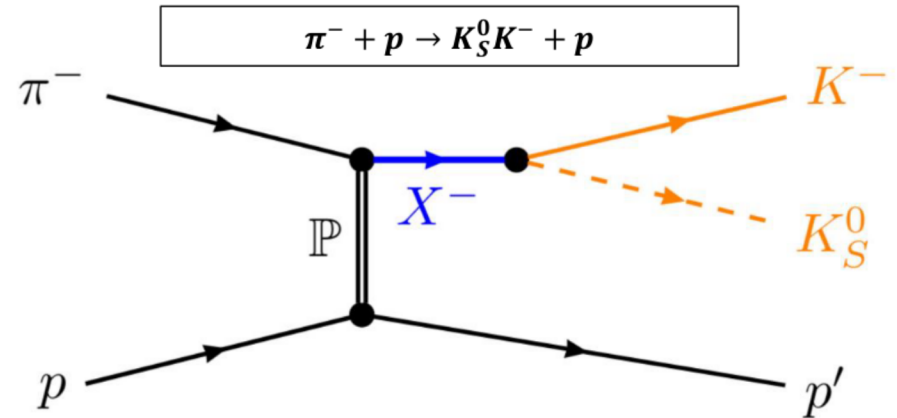


- Allowed quantum numbers:

$$J^{PC} = 0^{-+}, 1^{-+}, 1^{++}, \dots$$

→ π_J and a_J resonances

- COMPASS flagship channel: 115×10^6 evts



- Allowed quantum numbers:

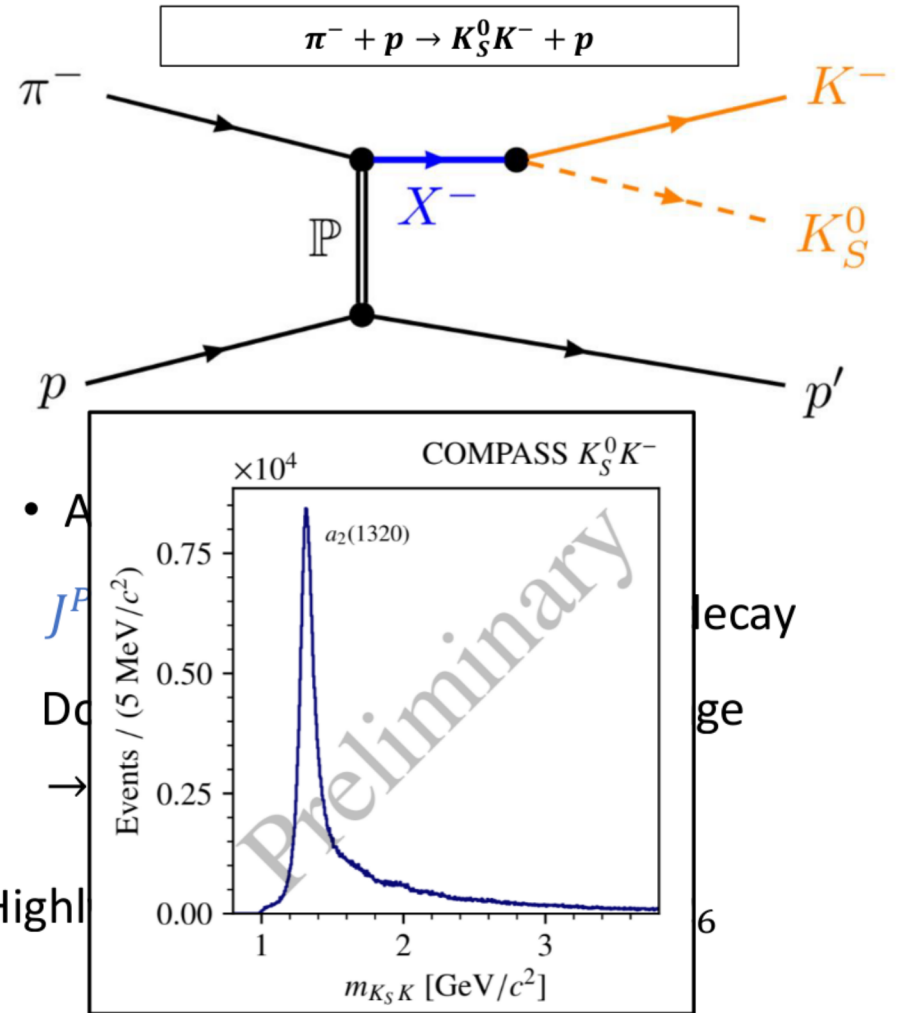
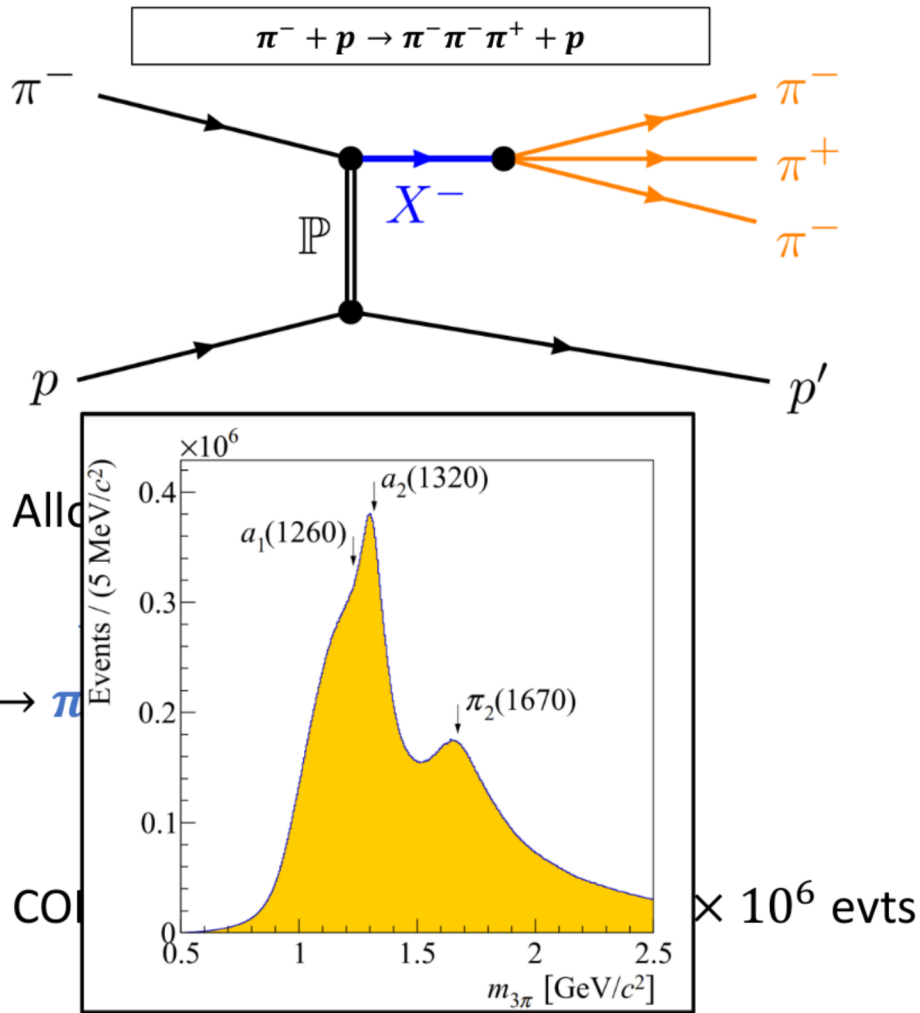
$$J^{PC} = 1^{--}, 2^{++}, 3^{--}, \dots \text{ from decay}$$

Dominated by Pomeron exchange

→ a_J for even J

- Highly selective → search for a'_4, a_6

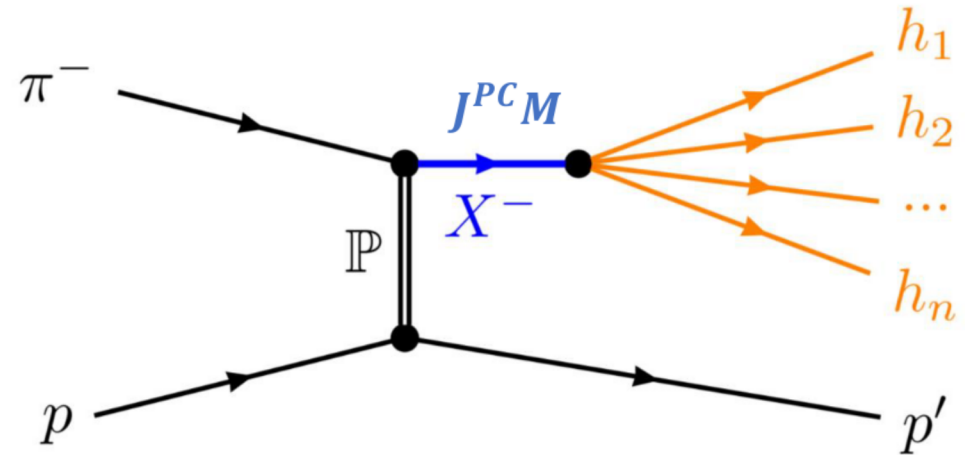
Excited Light Mesons at COMPASS



Partial-Wave Decomposition

$$I(m_X, t'; \tau_n) = |M_{fi}|^2$$

- Separate process amplitude into **partial waves**
 - Spin J and spin-projection M
 - Parity P , charge conjugation C
 - ...
- Partial wave index a

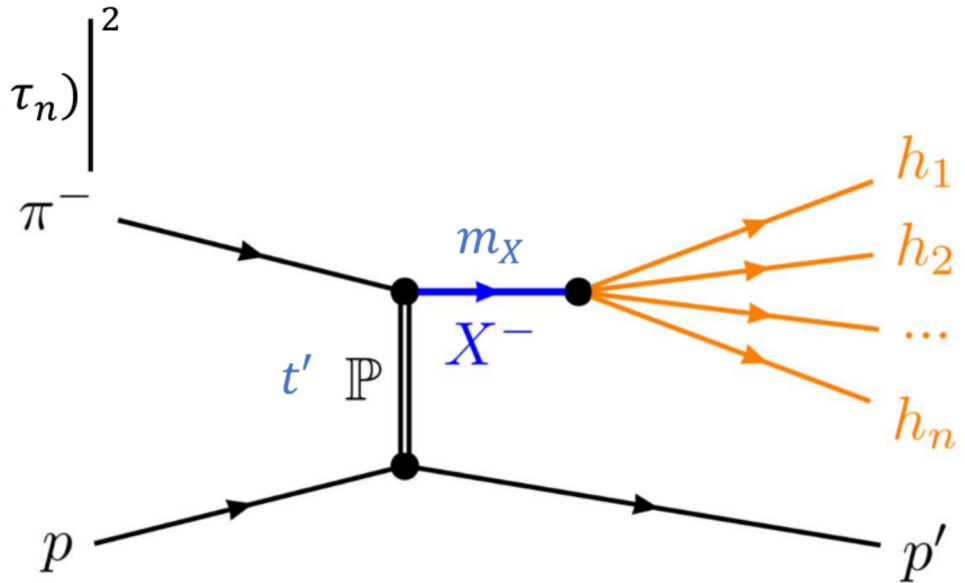


Partial wave a :
specific $(J^{PC}M)$

Partial-Wave Decomposition

$$I(m_X, t'; \tau_n) = \left| \sum_a T_a(m_X, t') \psi_a(m_X, \tau_n) \right|^2$$

- Separate process amplitude into **partial waves**
→ Partial wave index a
- Production, propagation of X^- : $T_a(m_X, t')$

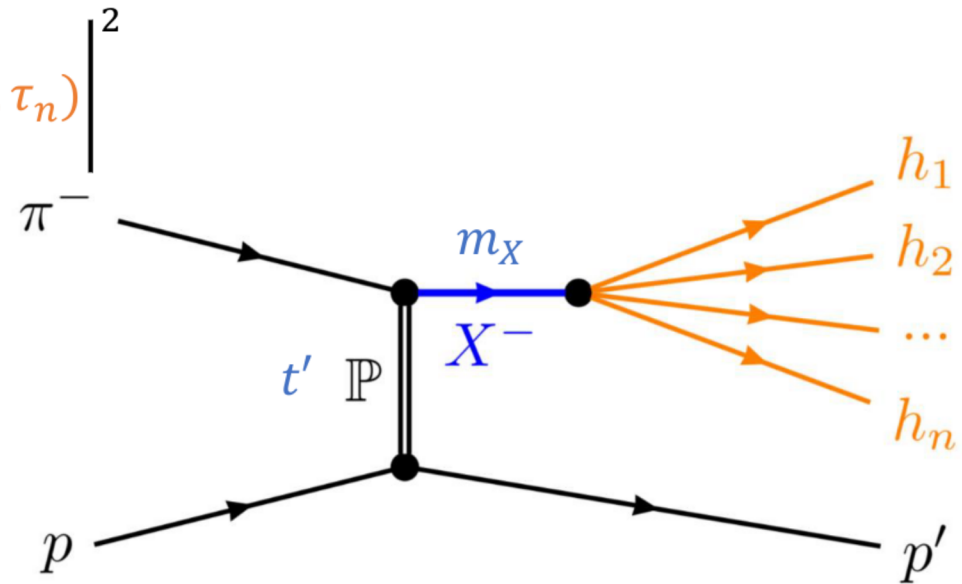


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- Separate process amplitude into **partial waves**
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- Production, propagation of X^- : $T_a(m_X, t')$
- Decay of X^- : $\psi_a(m_X, \tau_n)$



Partial wave a :
specific $(J^{PC}M)$

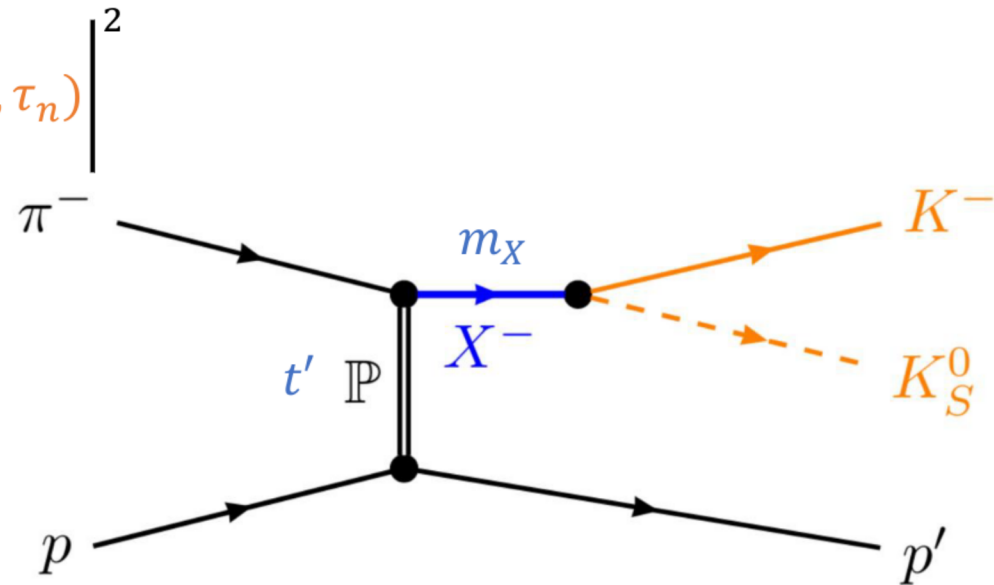
Partial-Wave Decomposition

$$I(m_X, t'; \tau_n) = \left| \sum_a T_a(m_X, t') \psi_a(m_X, \tau_n) \right|^2$$

- Separate process amplitude into **partial waves**
→ Partial wave index a

- Production, propagation of X^- : $T_a(m_X, t')$

- Decay of X^- :
 $\psi_a(\tau_n) = Y_J^M(\theta, \phi)$
 $\tau_n = (\theta, \phi)$



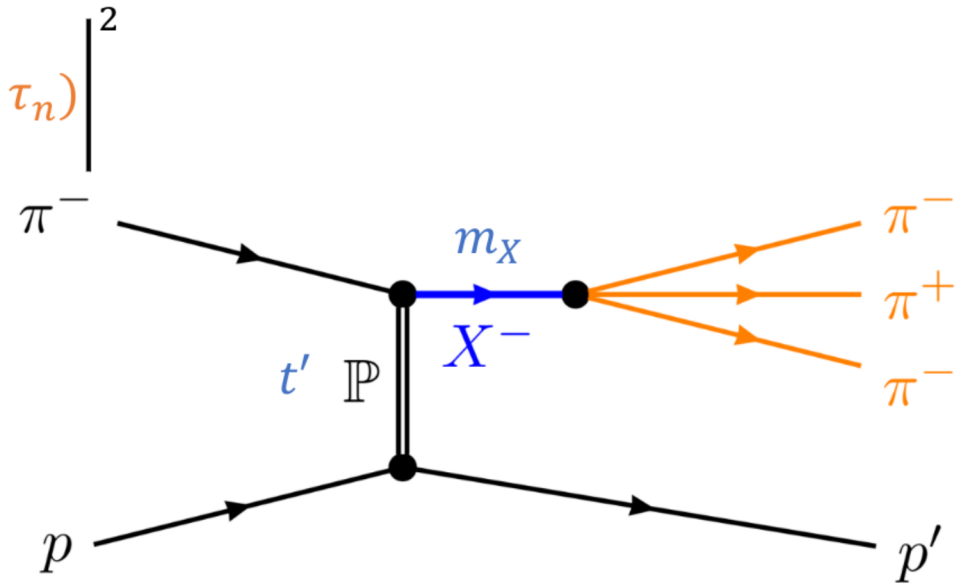
Partial wave a :
specific $(J^{PC} M)$

$K_S^0 K^-$

Partial-Wave Decomposition

$$I(m_X, t'; \tau_n) = \left| \sum_a T_a(m_X, t') \psi_a(m_X, \tau_n) \right|^2$$

- Separate process amplitude into **partial waves**
→ Partial wave index a
- Production, propagation of X^- : $T_a(m_X, t')$
- Decay of X^- : via **isobar model**



Partial wave a :
specific $(J^{PC} M)$

$\pi^- \pi^- \pi^+$

Partial-Wave Decomposition

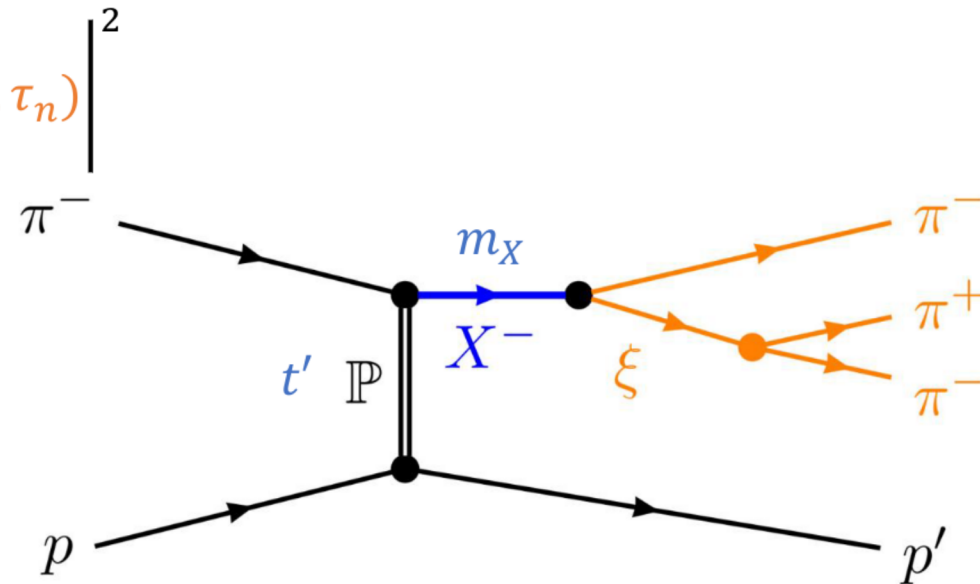
$$I(m_X, t'; \tau_n) = \left| \sum_a T_a(m_X, t') \psi_a(m_X, \tau_n) \right|^2$$

- Separate process amplitude into **partial waves**
→ Partial wave index a
- Production, propagation of X^-
- Decay of X^- : via isobar model

$$\tau_n = (\theta_{GJ}, \phi_{GJ}, m_\xi, \theta_{HF}, \phi_{HF})$$

$$\psi_a = \psi_X(m_X, \theta_{GJ}, \phi_{GJ}) \cdot \psi_\xi(m_\xi, \theta_{HF}, \phi_{HF})$$

$\pi^- \pi^- \pi^+$

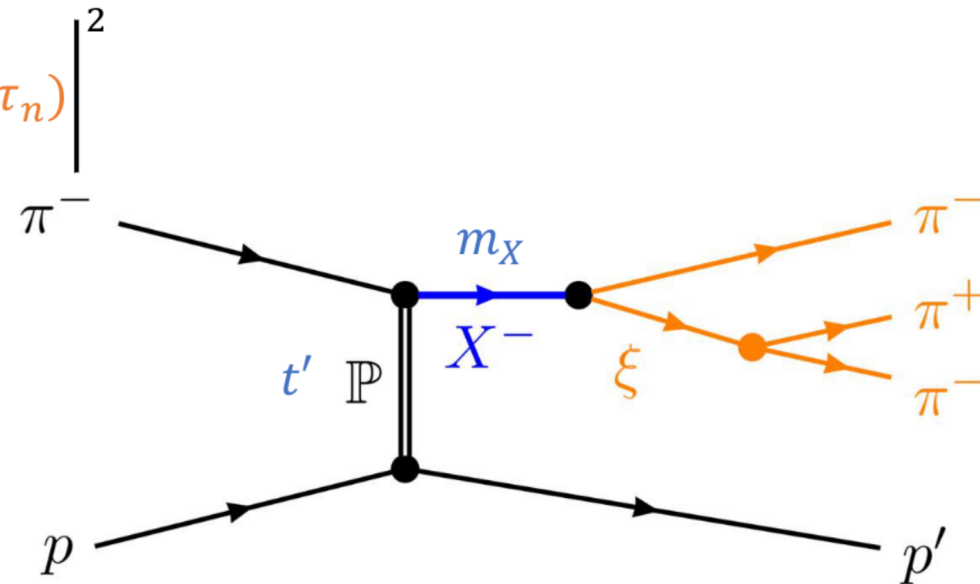


Partial wave a :
specific (J^{PC} + decay)

Partial-Wave Decomposition

$$I(m_X, t'; \tau_n) = \left| \sum_a T_a(m_X, t') \psi_a(m_X, \tau_n) \right|^2$$

- Separate process amplitude into **partial waves**
→ Partial wave index a
- **Production, propagation** of X^-
- **Decay** of X^- : via isobar model
- Fit $I(m_X, t'; \tau_n)$ to data in (m_X, t') bins
→ parametrize T_a as step-wise functions
→ extract constant T_a in each bin

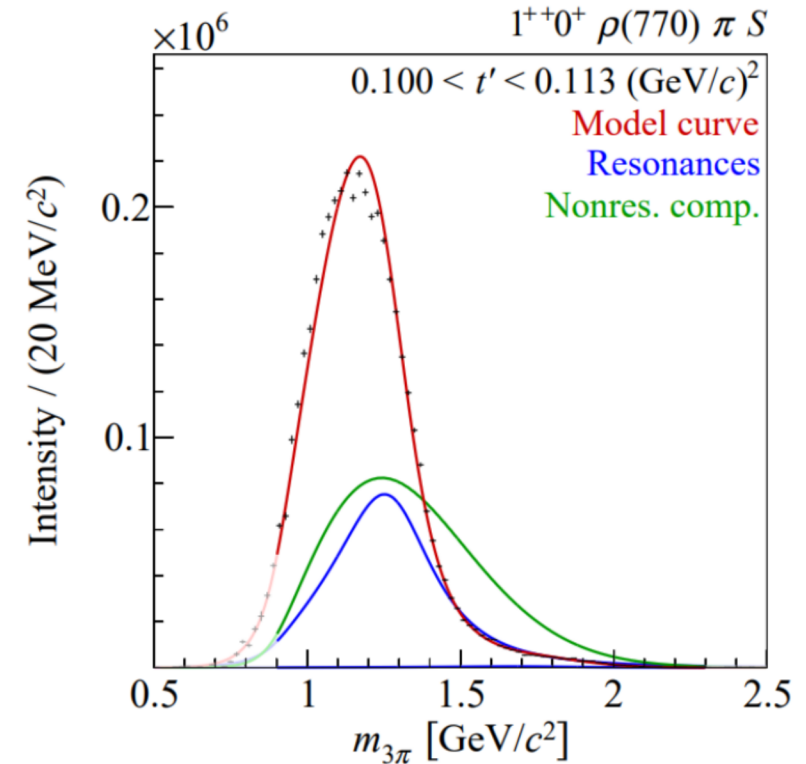


Partial wave a :
specific (J^{PC} + decay)

Resonance-Model Fit

Second step: **extract resonance parameters**

- Build **model** for **mass dep. of partial-wave amplitudes**:
 - resonant** (e.g. Breit-Wigner distribution)
 - + **non-resonant background** components
 - χ^2 fit to output of partial-wave decomposition
- get **masses and widths** of parameterized resonances



COMPASS PRD 98 (2018) 092003

Understanding the Ambiguities in the Partial-Wave Decomposition of the $K_S^0 K^-$ Final State

Ambiguities in the Partial-Wave Decomposition

For any final state with **two spinless** particles ($\pi\pi, KK, \eta\pi, \dots$):

- Decomposition of intensity into $\{T_J\}$ is not **unique** (see derivation later)

→ **Several sets of $\{T_J\}$** lead to the **same $I(\theta, \phi)$** in each (m_X, t') bin

$$I(\theta, \phi) = \left| \sum_{JM} T_{JM}^{(1)} \psi_{JM}(\theta, \phi) \right|^2 = \left| \sum_{JM} T_{JM}^{(2)} \psi_{JM}(\theta, \phi) \right|^2$$

- The fit cannot distinguish between the **mathematically equivalent** solutions!

Ambiguities in the Partial-Wave Decomposition

$$I(\theta, \phi) = \left| \sum_{JM} T_{JM} \psi_{JM}(\theta, \phi) \right|^2$$

Assume strong dominance of $|M| = 1$ *

- Pomeron exchange dominant $\rightarrow M \neq 0$
- Higher $|M|$ suppressed

*using reflectivity basis for ψ_{JM} :
doi.org/10.1103/PhysRevD.11.633

Ambiguities in the Partial-Wave Decomposition

$$I(\theta, \phi) = \left| \sum_J T_J \psi_J(\theta, \phi) \right|^2$$

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Ambiguities in the Partial-Wave Decomposition

$$I(\theta, \phi) = \left| \sum_J T_J \psi_J(\theta, \phi) \right|^2 = \underbrace{\left| \sum_J T_J Y_J^1(\theta, 0) \right|^2}_{a(\theta)} |\sin \phi|^2$$

$$Y_J^1(\theta, 0) = \sum_{j=0}^{J-1} y_j \tan^{2j} \theta$$

Polynomial in $\tan^2 \theta$

$$a(\theta) = \sum_{j=0}^{J_{\max}-1} c_j(\{T_J\}) \tan^{2j}(\theta) = c(\{T_J\}) \prod_{k=1}^{J_{\max}-1} (\tan^2(\theta) - r_k(\{T_J\}))$$

root decomposition

$a(\tan^2 \theta = r_k) = 0$
"Barrelet zeros"

Chung, PRD 56 7299–7316 (1997)

Barrelet, *Nuov Cim A* 8, 331–371 (1972)

Ambiguities in the Partial-Wave Decomposition

$$\begin{aligned}
 a(\theta) &= c(\{T_J\}) \prod_{k=1}^{J_{\max}-1} (\tan^2(\theta) - r_k(\{T_J\})) \\
 I(\theta, \phi) &= \left| \sum_J T_J Y_J^1(\theta, 0) \right|^2 |\sin \phi|^2 \\
 &= \left| \sum_{j=0}^{J_{\max}-1} c_j(\{T_J\}) \tan^{2j}(\theta) \right|^2 |\sin \phi|^2 \\
 &= c^2 \prod_{k=1}^{J_{\max}-1} |\tan^2(\theta) - r_k|^2 |\sin \phi|^2 = c^2 \prod_{k=1}^{J_{\max}-1} |\tan^2(\theta) - r_k^*|^2 |\sin \phi|^2
 \end{aligned}$$

$\{T_J'\} \neq \{T_J\}$
 $\{c_j'\}$

Study of the Ambiguities

- How do the ambiguous solutions look like (**continuity, signals, ...**)?
- What are the effects of the **partial-wave decomposition fit on finite data** on the ambiguities?

I. Continuous intensity model

- create an amplitude model for selected partial waves
- calculate exact ambiguities

II. Finite pseudo-data

- generate pseudo-data according to model
- perform partial-wave decomposition

Continuous Amplitude Model

I. Continuous intensity model

- create an amplitude model for **four** selected partial waves
- In $1.0 < m_X < 2.5 \text{ GeV}/c^2$
- m_X -dependence by Breit-Wigner amplitudes (PDG parameters)

J^{PC}	Resonances
1^{--}	$\rho(1450)$
2^{++}	$a_2(1320), a'_2(1700)$
3^{--}	None
4^{++}	$a_4(1970)$

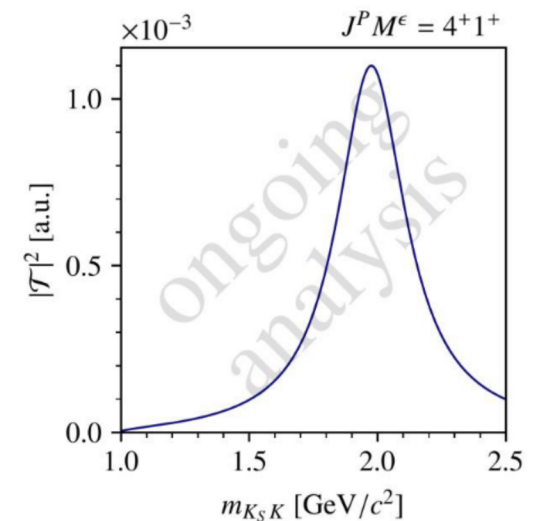
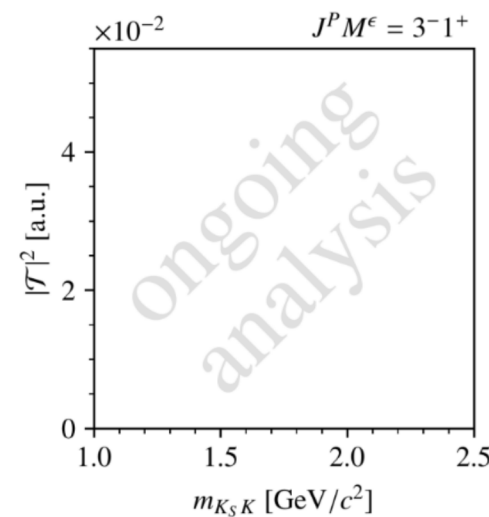
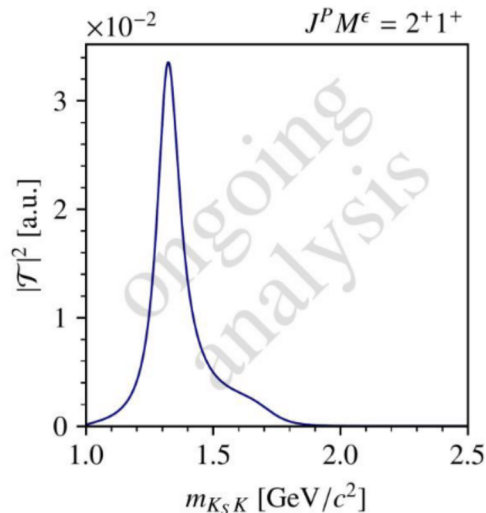
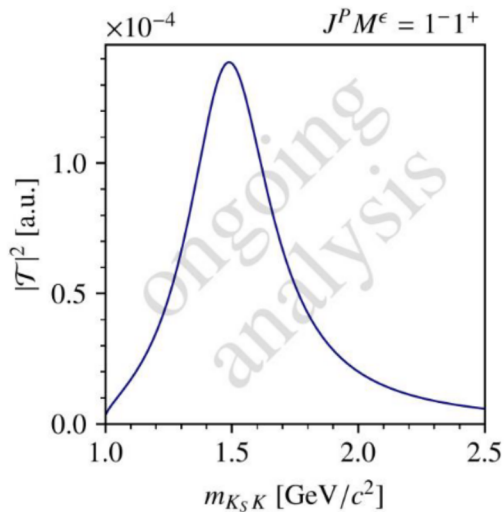
$$T(m_X) = \underbrace{\sqrt{m_X} \sqrt{\rho_2(m_X)}}_{\text{phase-space factor}} \cdot \underbrace{C e^{i\phi}}_{\text{complex scale}} \cdot \underbrace{D_{BW}(m_X; M_0, \Gamma_0)}_{\frac{M_0 \Gamma_0}{M_0^2 - m_X^2 - iM_0 \Gamma_0}}$$

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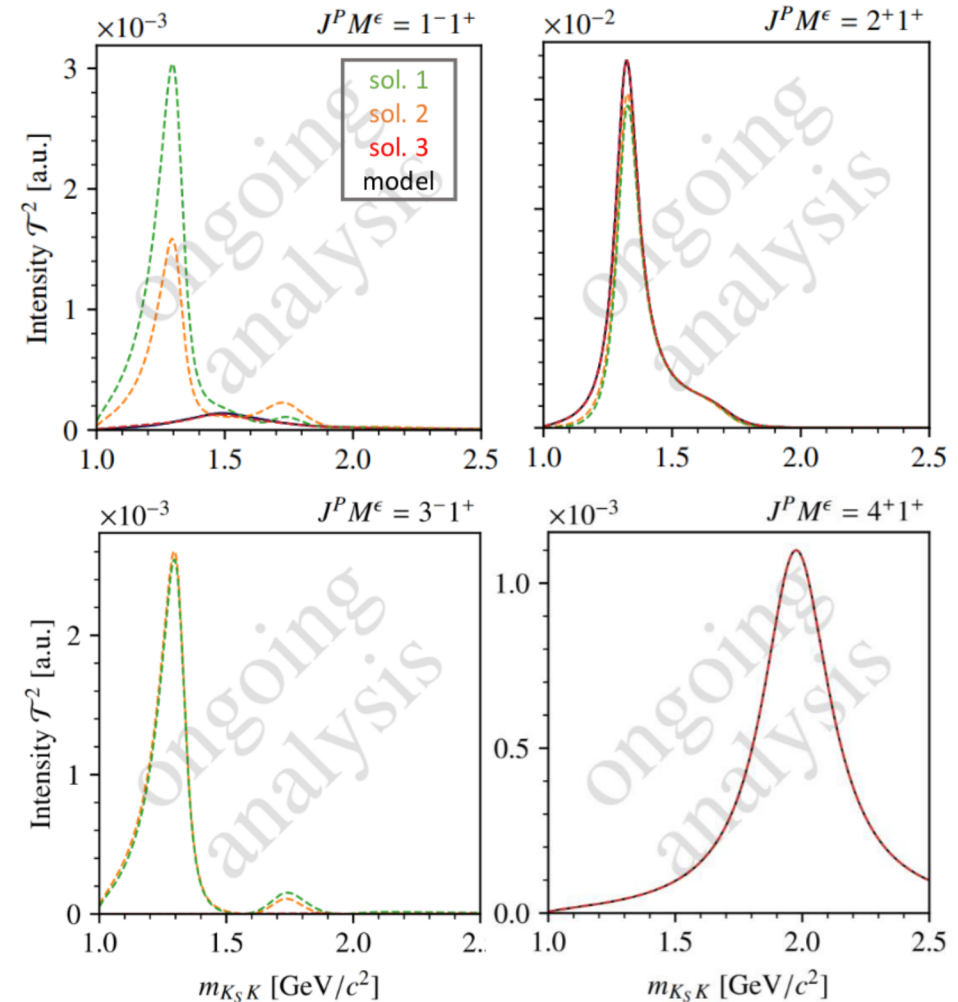


Continuous Amplitude Model

I. Continuous intensity model

$$N_a = 3$$

- Sample points in m_X and calculate ambiguous solutions
- Ambiguous intensities are also continuous
- Not all solutions are different from each other!
- Highest-spin (4^{++}) intensity is invariant!

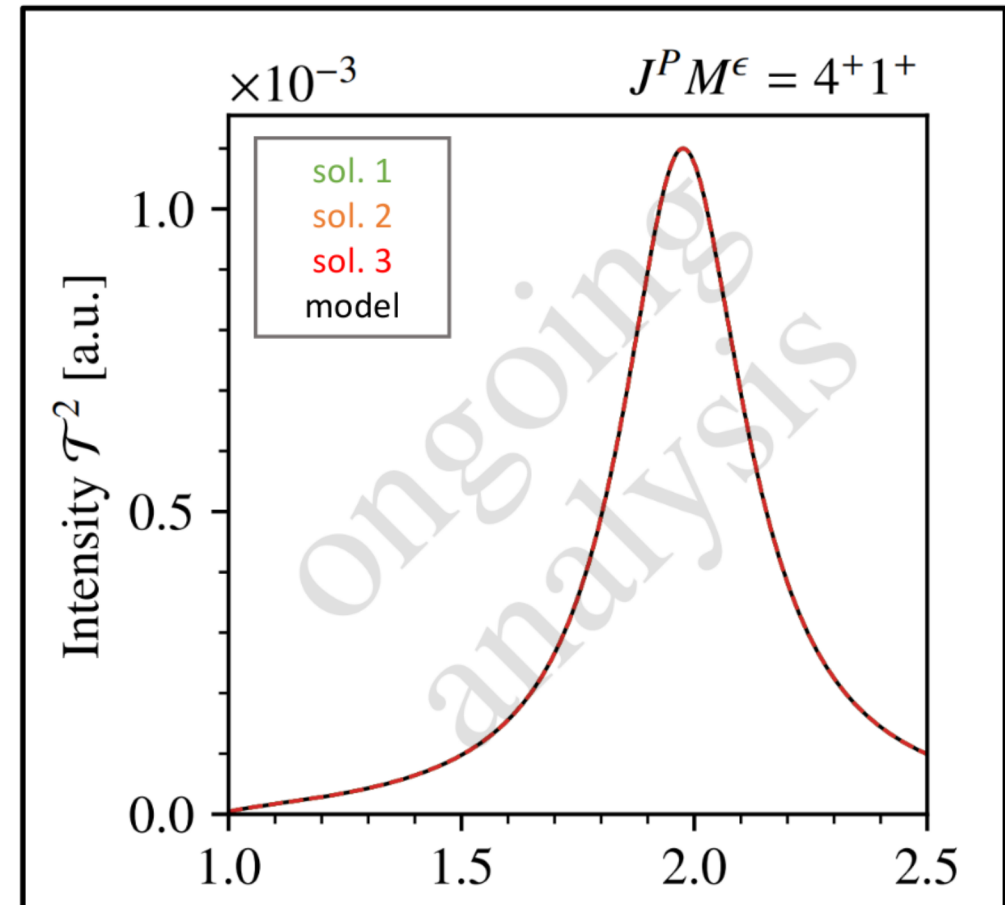


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Study of the Ambiguities

II. Finite pseudo-data

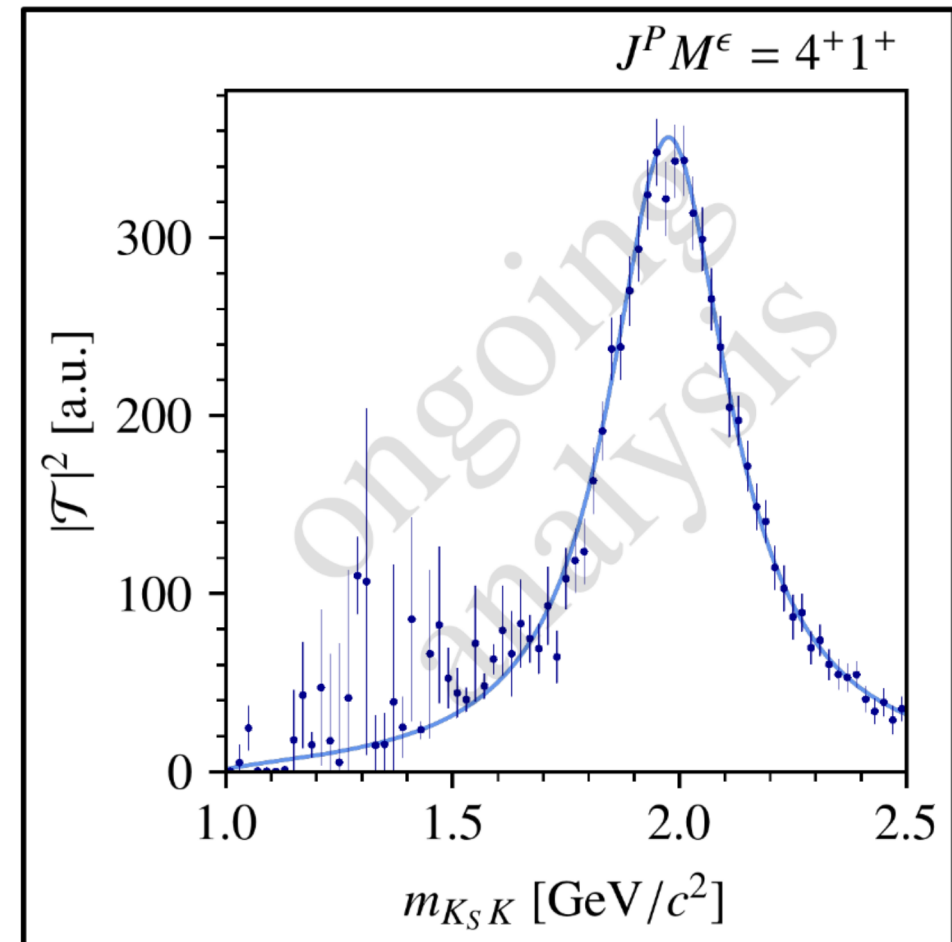
- reality: **finite data** and **amplitudes unknown**
 - generate pseudo-data according to model (10^5 events)
 - perform a partial-wave decomposition fit
- 3000 attempts with **random** start values

J^{PC}	Resonances
1^{--}	$\rho(1450)$
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Partial-Wave Decomposition Fits on Pseudodata

II. Finite pseudo-data

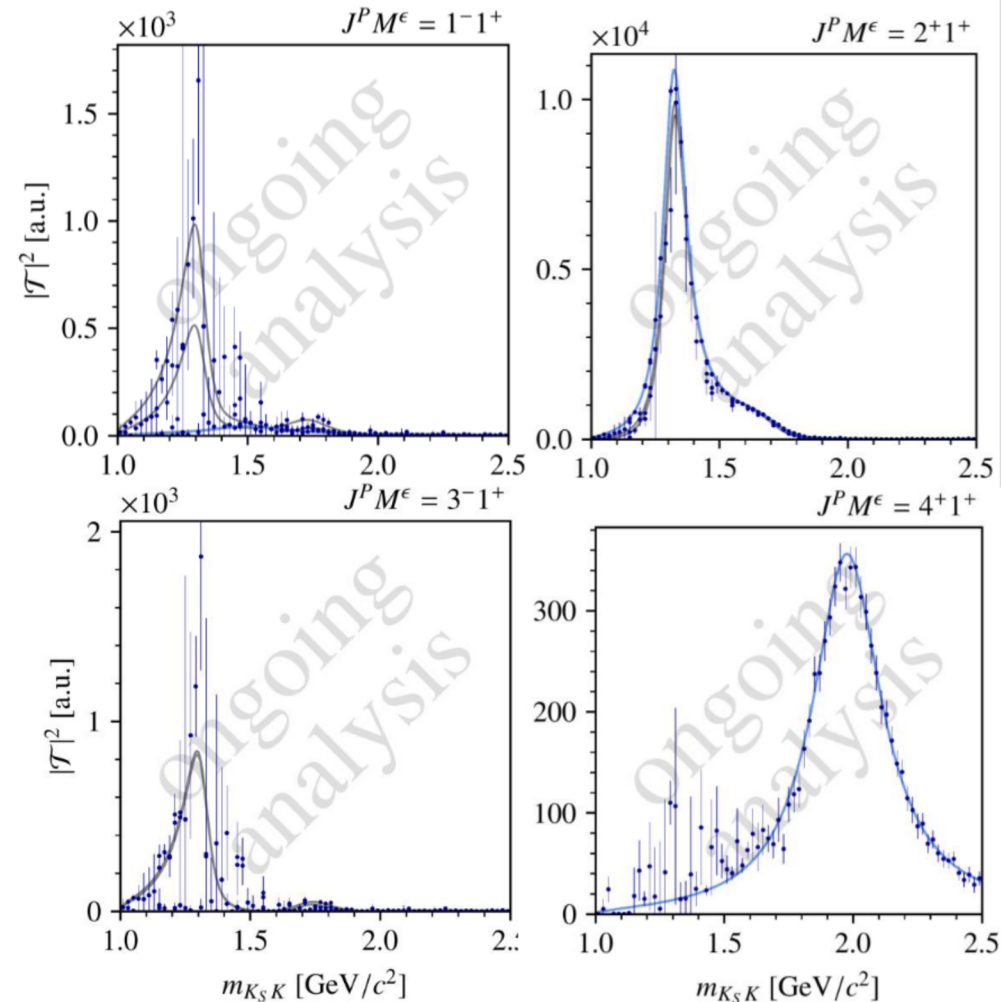
- 4^{++} intensity is still invariant!
- Overall, amplitude values found by the fit follow the calculated distributions
- Not all solutions are found in each m_X bin
→ PWD fit distorts the intensity distribution!



Partial-Wave Decomposition Fits on Pseudodata

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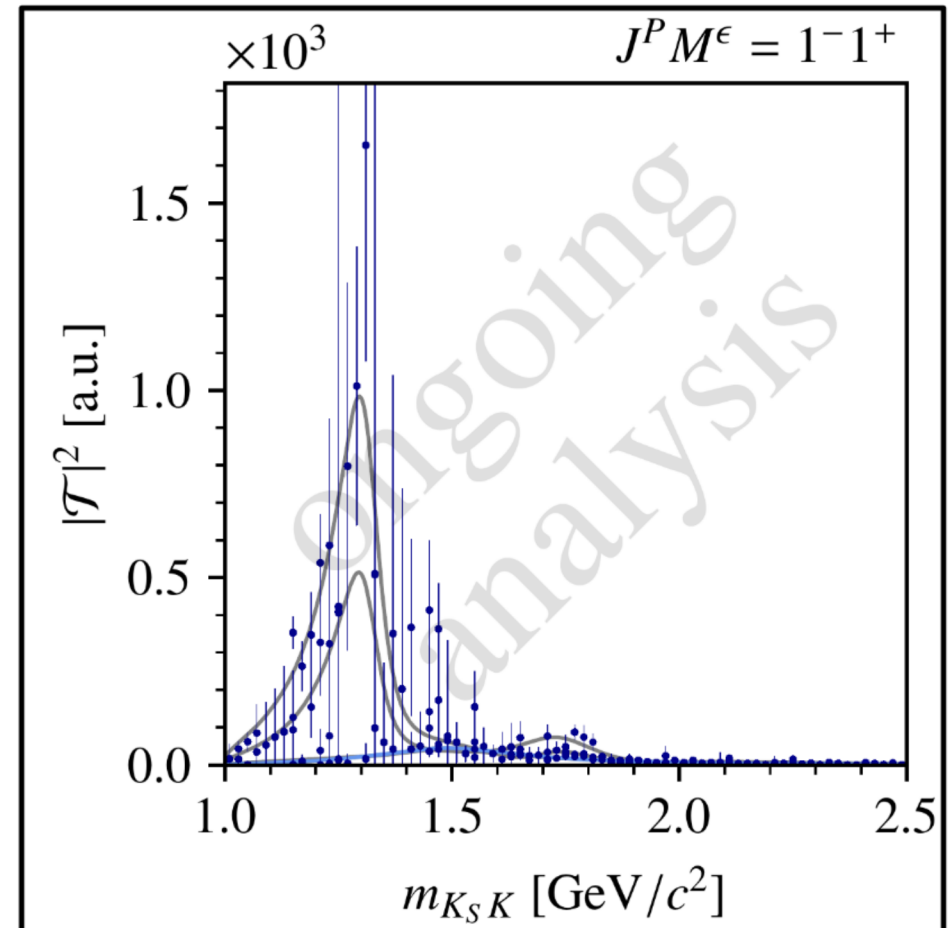
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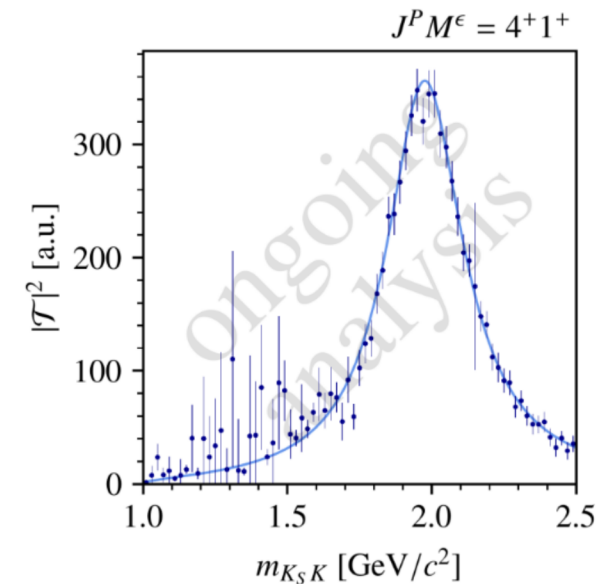
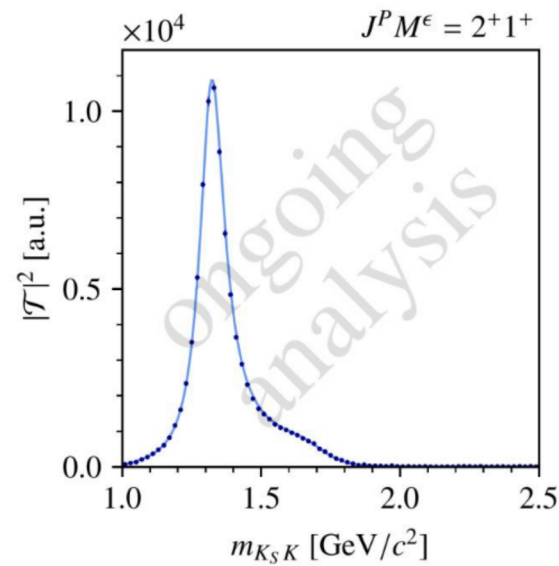
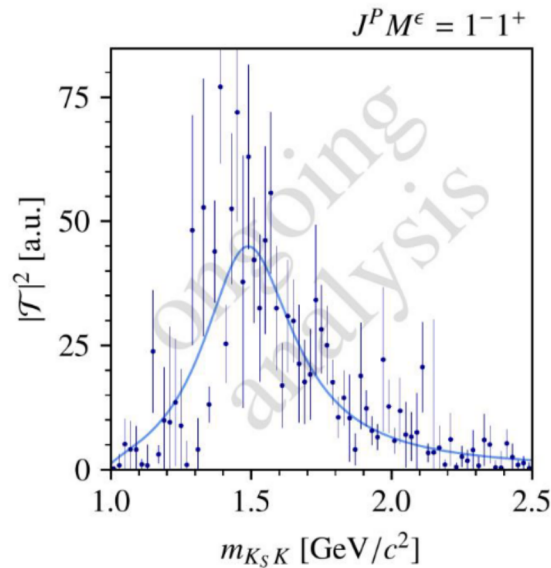
II. Finite pseudo-data

- 4^{++} intensity is still invariant!
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→ PWD fit distorts the intensity distribution!



Reducing the Ambiguities

- Intensity of highest-spin wave is unaffected by ambiguities
- Including $M \geq 2$ → allows for additional angular structure → **resolves ambiguities**
- Remove one wave with $J < J_{\max}$ → **resolves ambiguities**



Continuity Constraints for Partial-Wave Analyses

Conventional Partial-Wave Analysis

We have some knowledge about the partial-wave amplitudes $T(m_X, t')$:

- Physics should be (mostly) **continuous** in m_X and t'
→ Solutions in neighboring bins should be similar (→ correlations between bins)
- Amplitudes should follow **phase-space** and **production kinematics**

Limitations of conventional PWA:

$$I(m_X, t'; \tau_n) = \left| \sum_{\text{waves}} T_i(m_X, t') \psi_i(m_X, \tau_n) \right|^2$$

- Binned analysis limits statistics, especially for small signals
- Continuity information is not imposed in the model
- We need to **select (“small”) subset of partial waves** to include in the model
→ important source of systematic uncertainty

Constraints for Partial-Wave Analyses

Make use of this information to stabilize partial-wave decomposition fit:

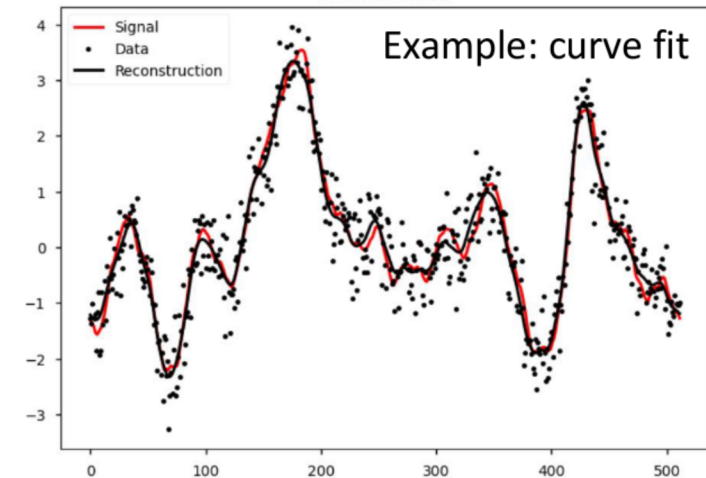
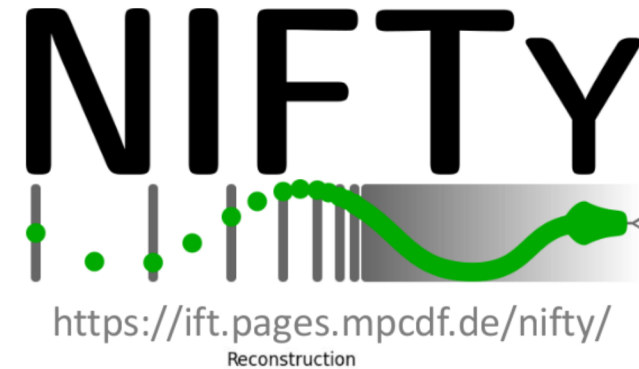
- Replace discrete amplitudes with **smooth, non-parametric curves**
- Incorporate **kinematic factors**
- Include **regularization** for small amplitudes

Framework by team from the Max-Planck Institute for Astrophysics:

NIFTY: “**N**umerical **I**nformation **F**ield **T**heory”

- Provides continuous non-parametric models
- Adapt to partial-wave analysis model
- **Learns smoothness and shape** of the amplitude curves

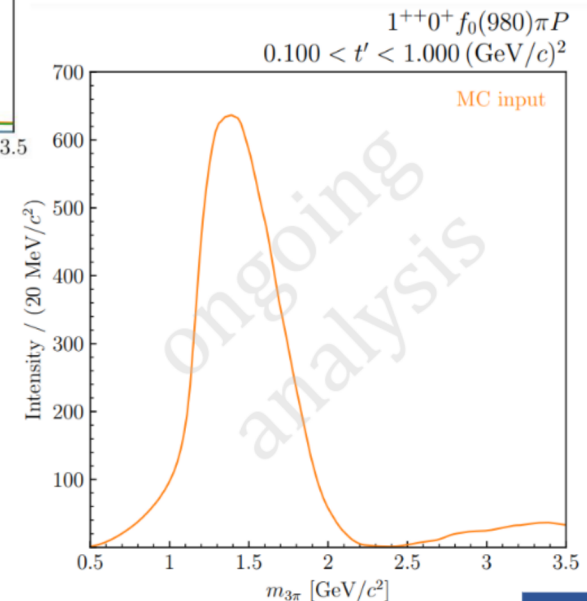
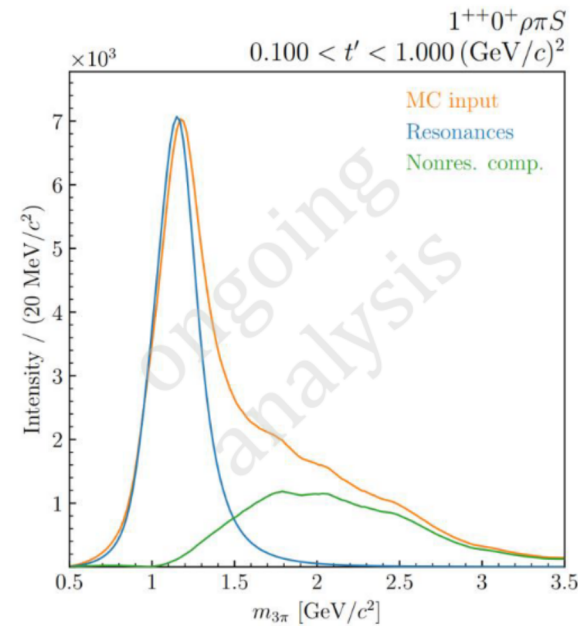
This work is done in collaboration with Jakob Knollmueller
(TUM / ORIGINS Excellence Cluster)



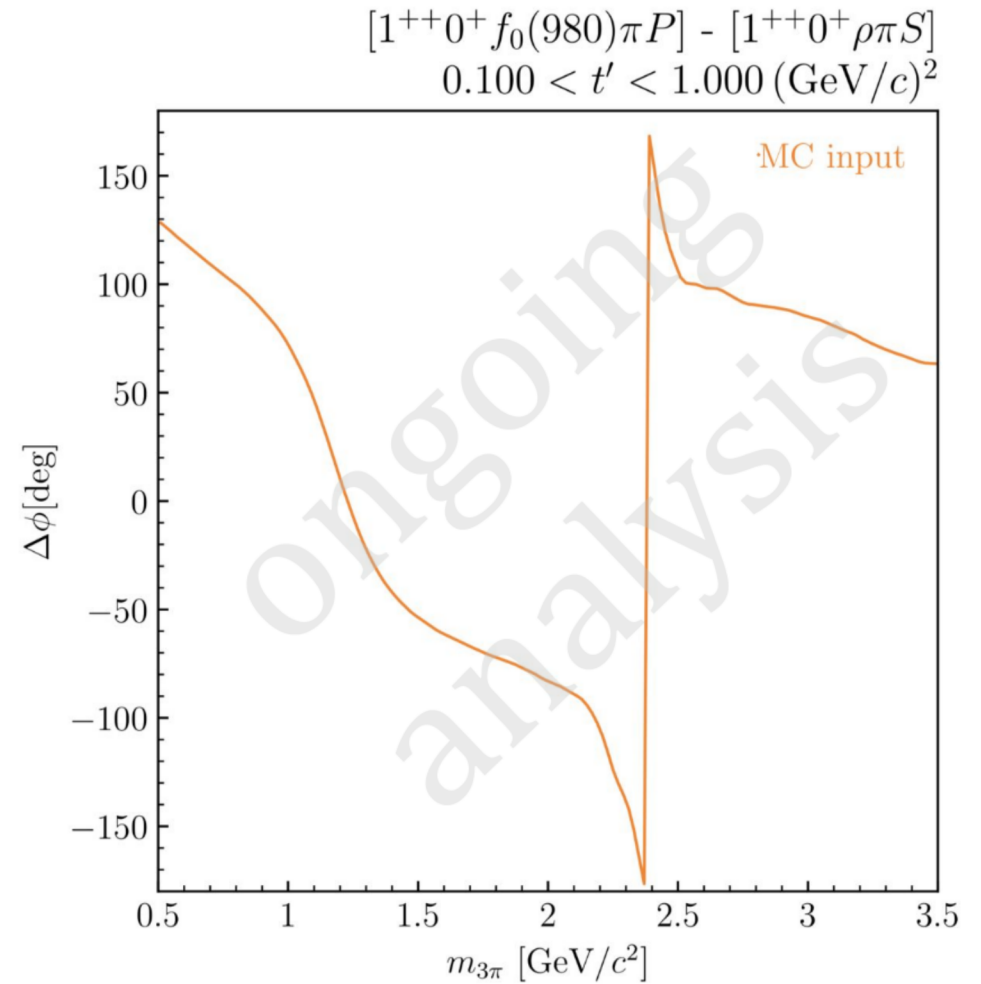
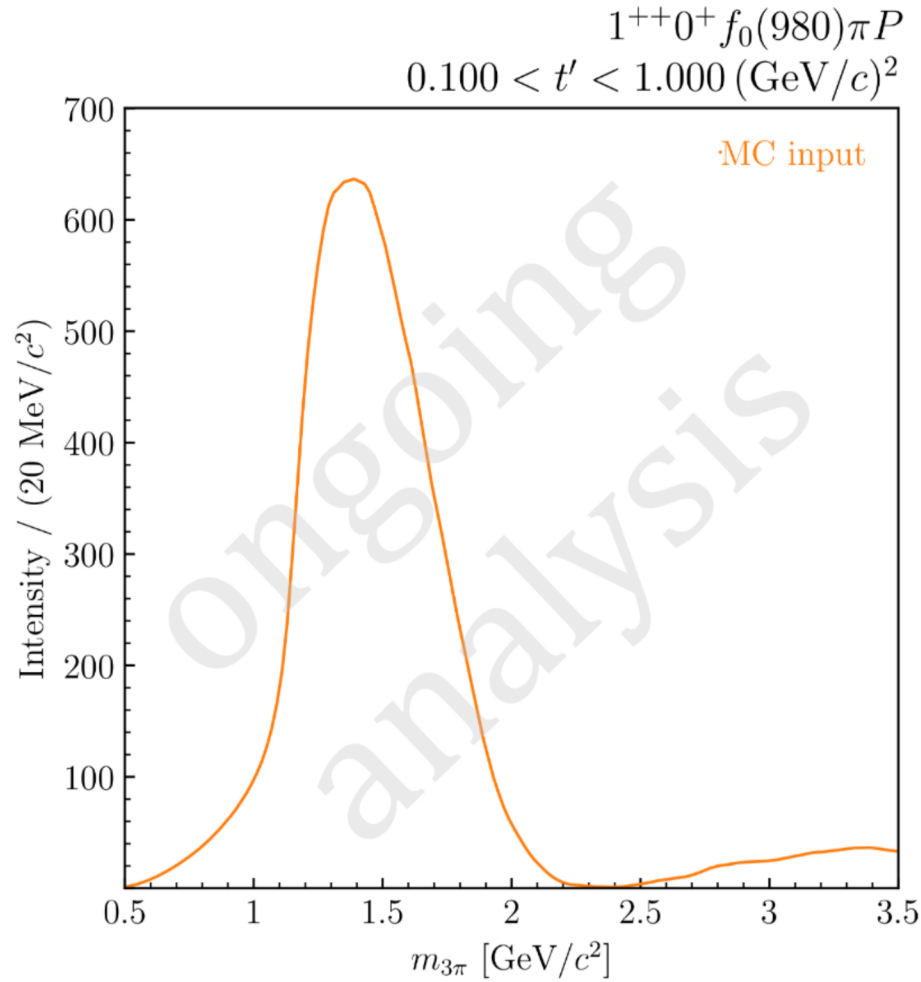
Input-Output Study

Verification on pseudodata:

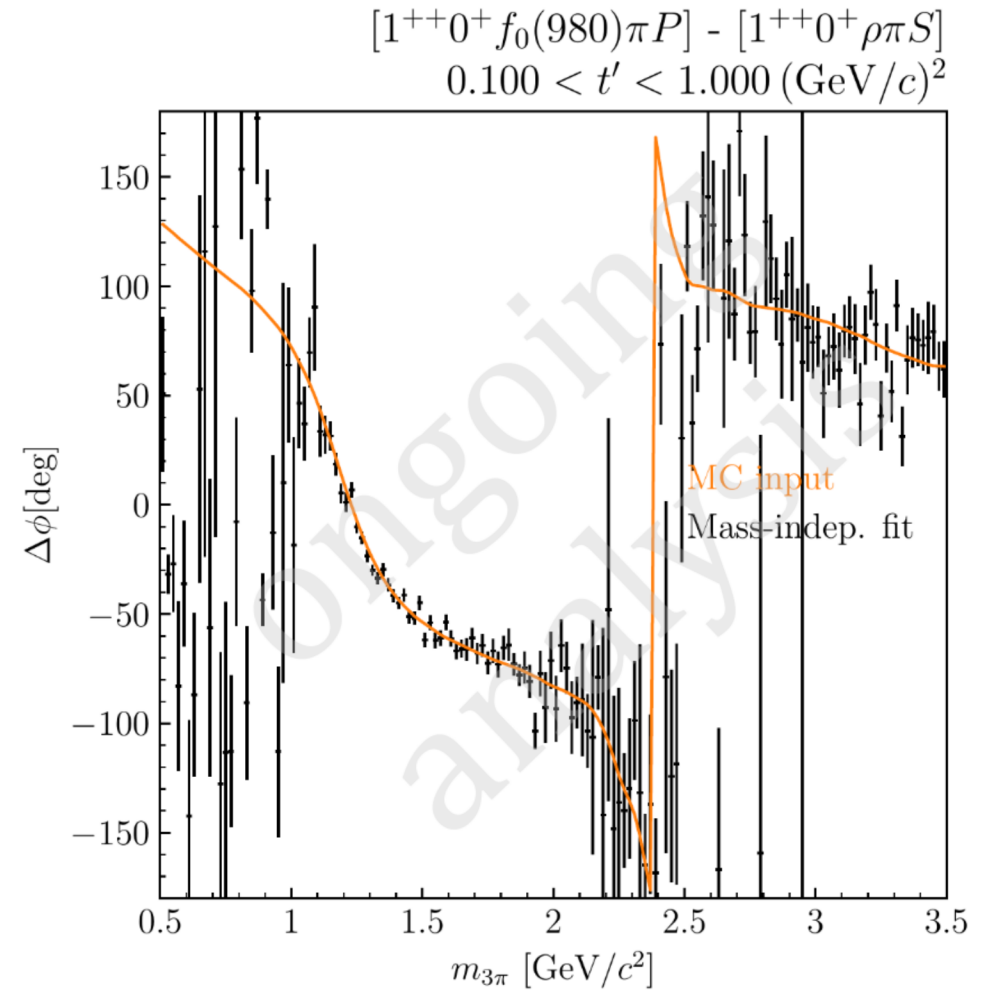
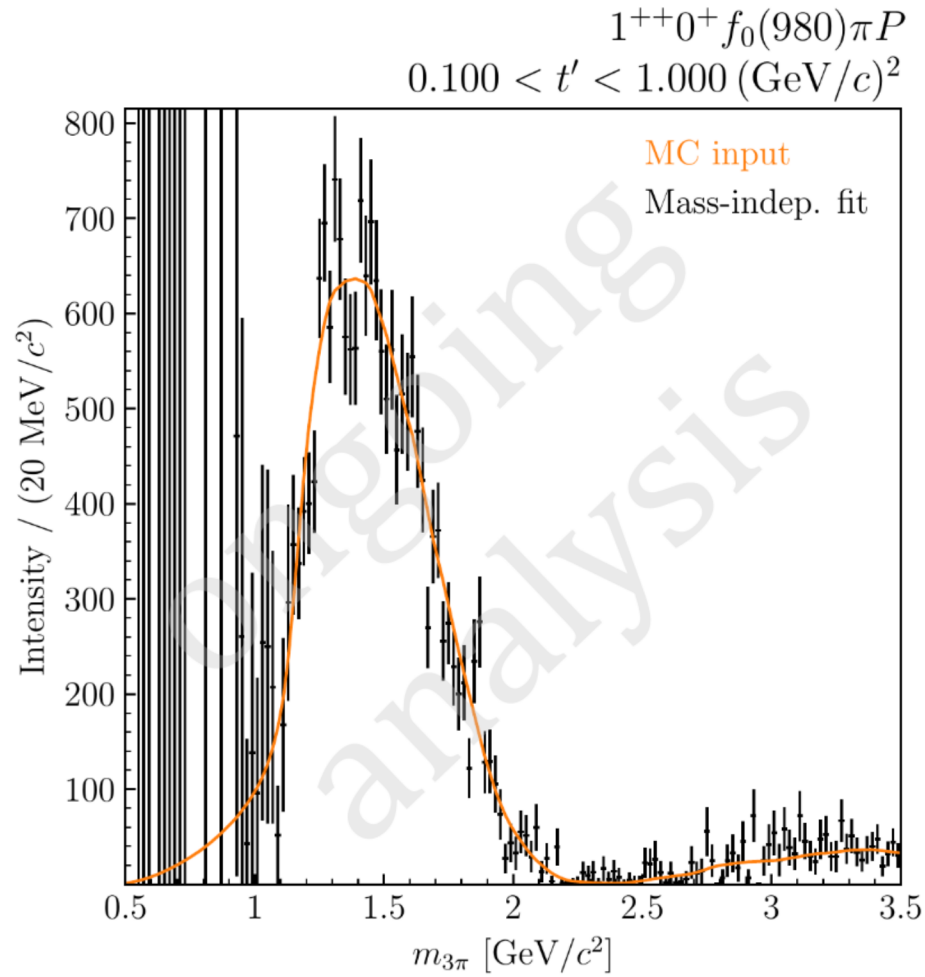
- Generate pseudodata according to:
 - smooth model in mass
 - 81 partial waves
 - 5 resonances in selected waves
- resonance(s) (Breit-Wigner)
- nonres. component (broad curve)
- Combined signal → **input model**
- Perform PWA fit with NIFTy model on generated dataset
 - Same set of partial waves



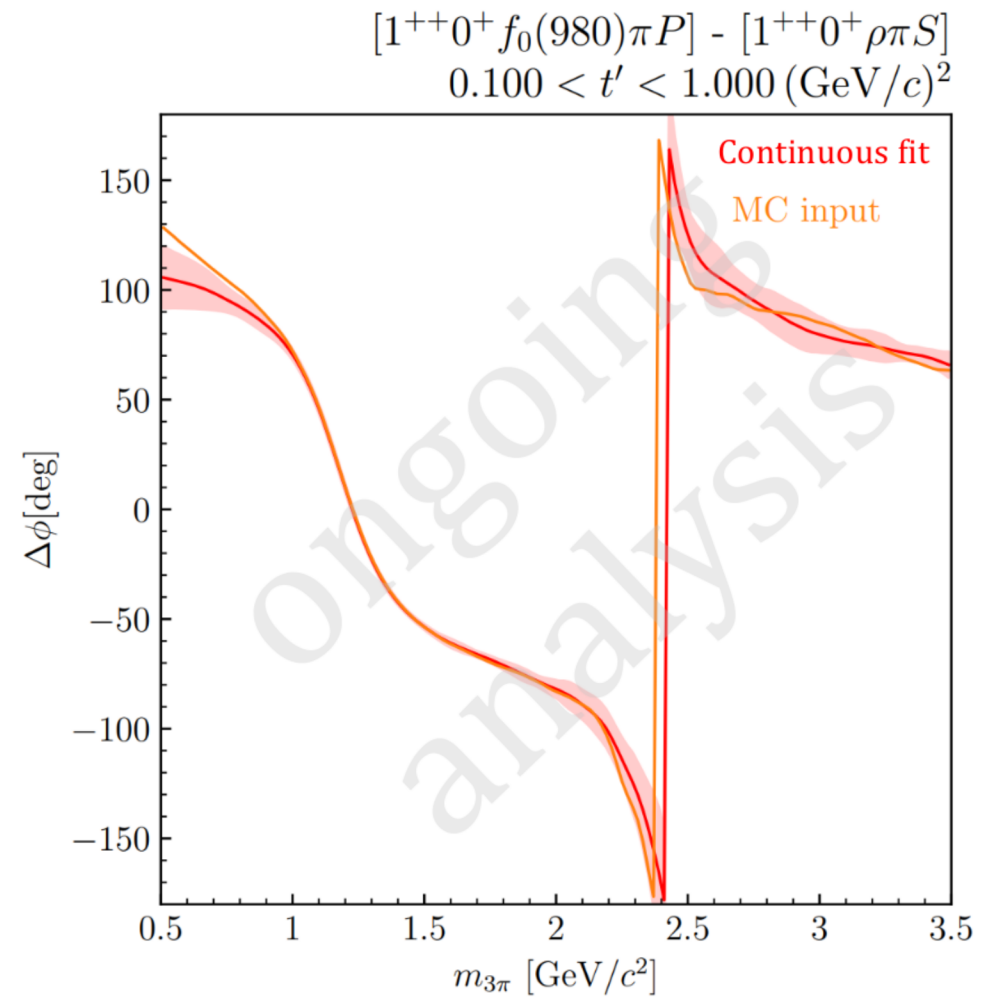
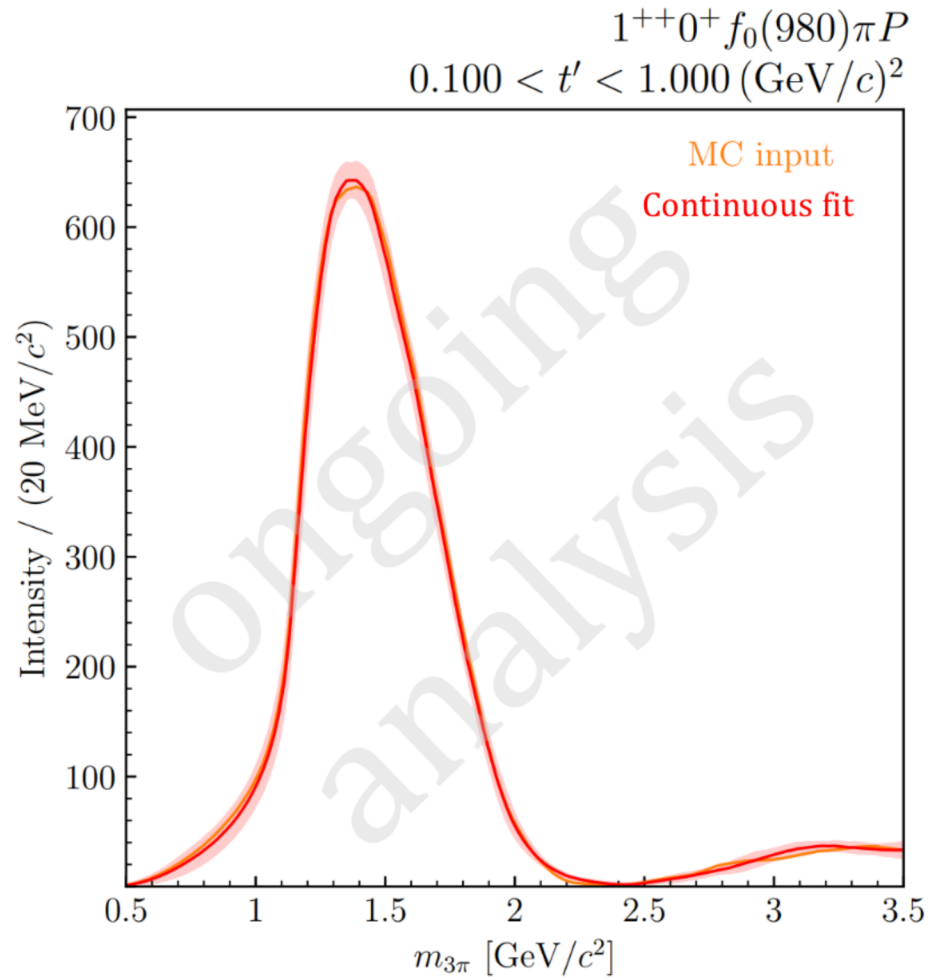
Input-Output Study



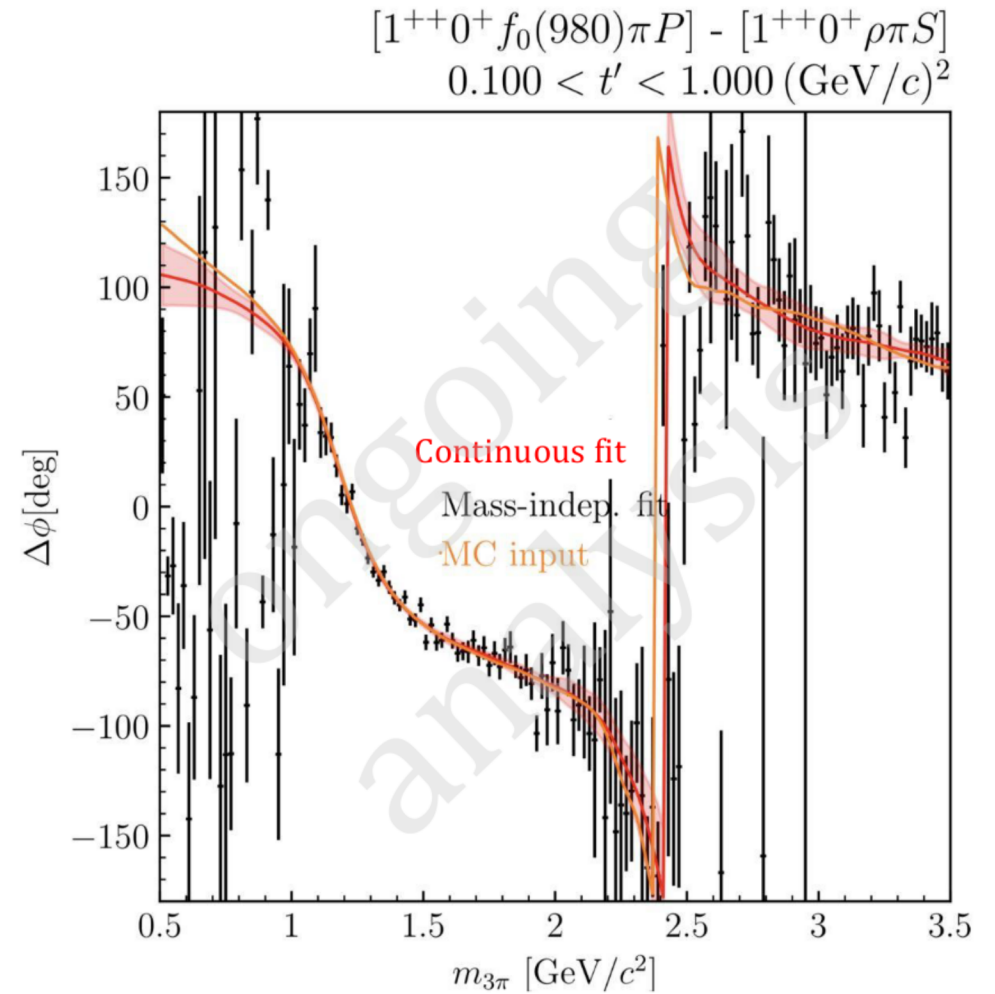
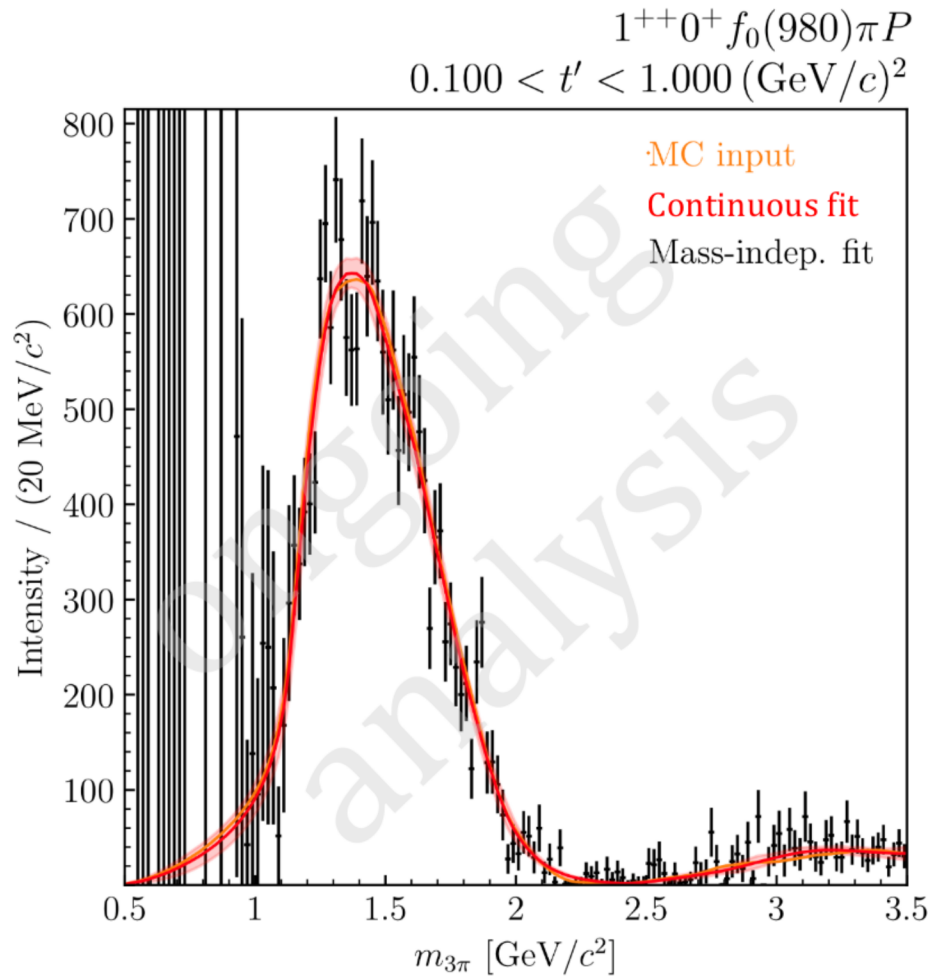
Input-Output Study



Input-Output Study



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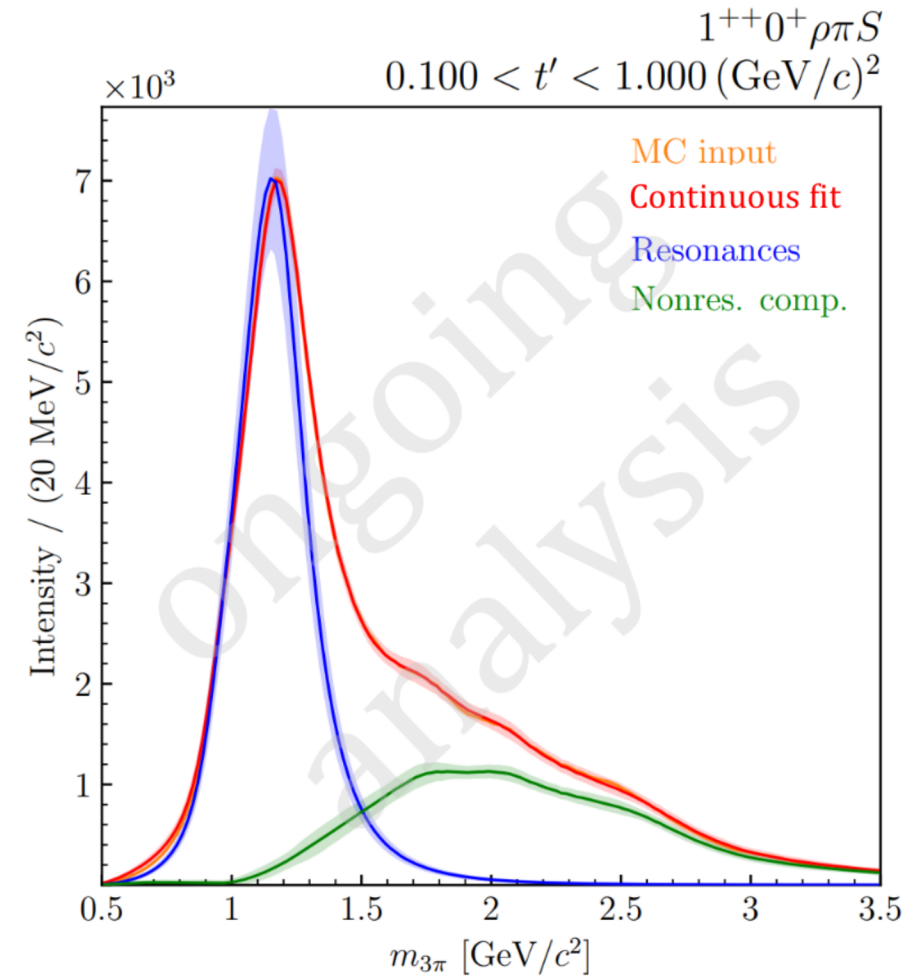
Single-Step Resonance Model Fit

We can go one step further!

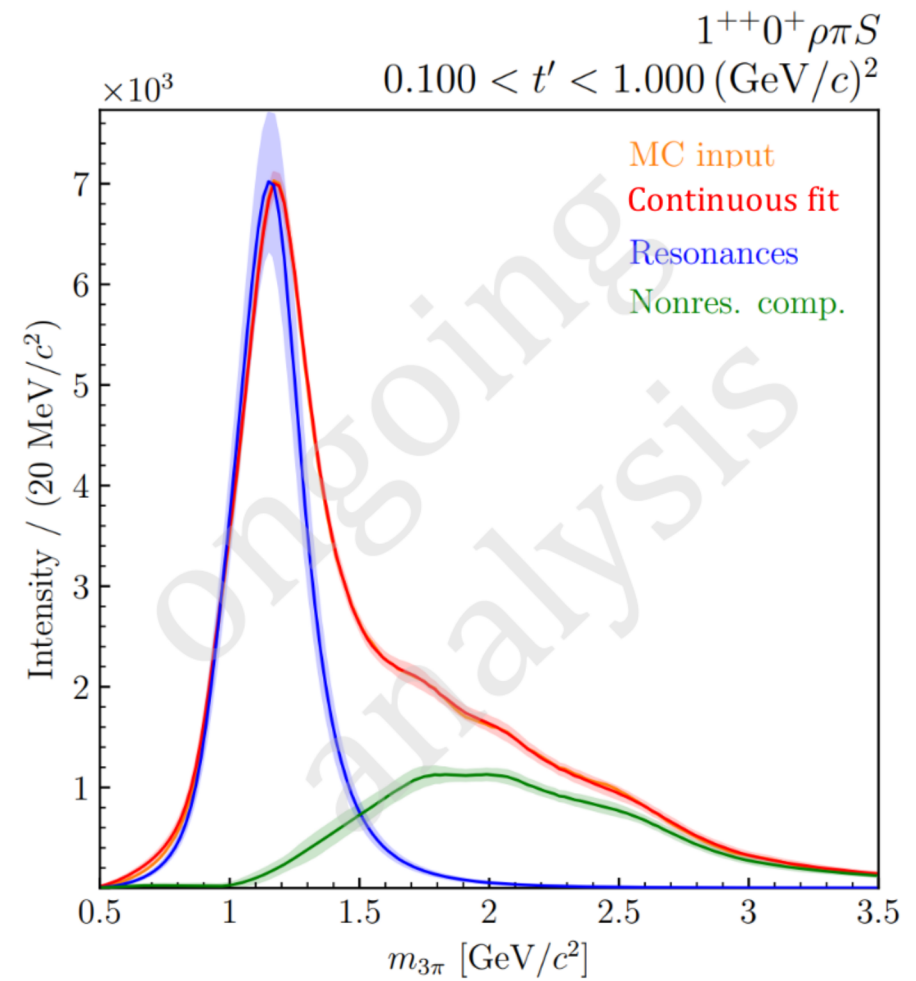
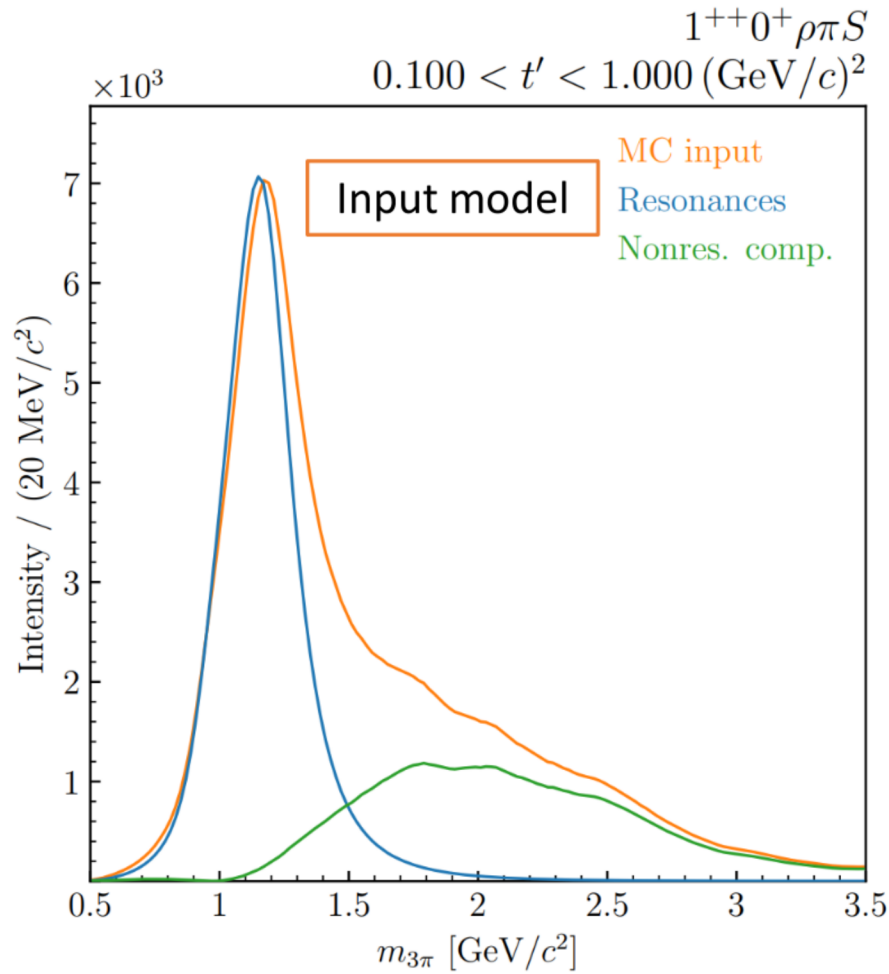
In **selected waves**:

- add **resonant part**
(e.g. as sum of Breit-Wigner distributions)
- use NIFTy as **flexible non-res. background**
- amplitude described by **coherent sum**

→ extract **resonance parameters in a single fit**



Single-Step Resonance Model Fit



Conclusion

High-precision data from COMPASS in $\pi^-\pi^-\pi^+$ and $K_S^0 K^-$ allow in-depth study of a_J and π_J states

Ambiguities appear in the partial-wave decomposition of two-body states

- Ambiguous amplitudes are **continuous** and can be calculated
- PWD fit/finite data has an effect on ambiguous solutions
- **Choice of included partial waves** may suppress the ambiguities

NIFTy: new approach to partial-wave analysis

- **Includes continuity, kinematics and regularization**
- Overcomes limitations of conventional approach
- Can include resonance-model fit
- Demonstrated in Monte Carlo pseudodata studies

Outlook

We can combine both presented topics

→ Apply NIFTy method on ambiguity problem in $K_S^0 K^-$

- Use continuity constraints to separate ambiguous solutions over entire mass range
- Improve fit quality

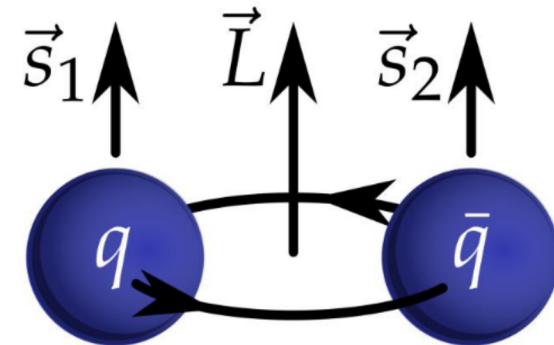
Partial-wave analysis using NIFTy model is being successfully applied on real data

Thank you for your attention!

BACKUP

QCD in the Resonance Region

- At low energies (hadron regime): **QCD not solvable perturbatively**
- Theory: rely on models and effective theories, e.g. **quark model** (hadrons as bound states of **valence quarks**)
- Experimentally: **precision measurements** of hadronic states and search for so-called **exotic states** (forbidden in the quark model)



From Prog.Part.Nucl.Phys. 113 (2020) 103755

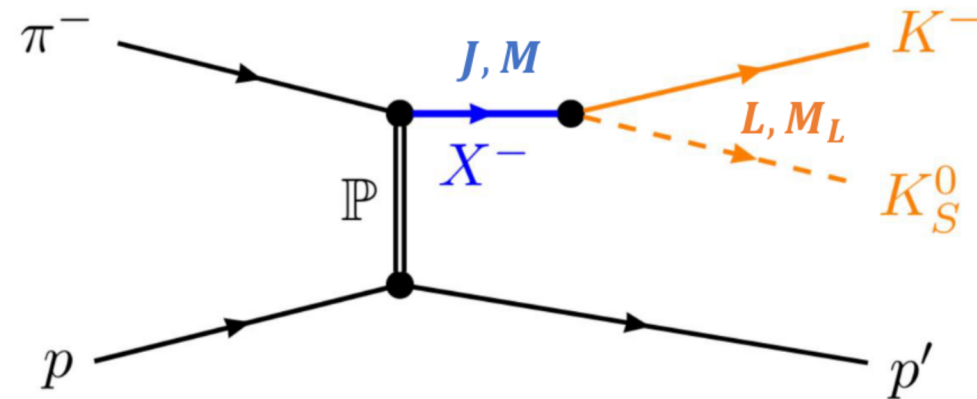
Partial-Wave Decomposition

$$I(m_X, t'; \theta, \phi) = \frac{dN}{dm_X dt' d\theta d\phi} = |M_{fi}|^2$$

- Separate process amplitude into **partial waves**
 - Spin J and spin-projection M

$$J = L, M = M_L$$

$$P = C = (-1)^J$$



Partial wave:
specific $(J^{PC}M)$

Partial-Wave Decomposition

$$I(m_X, t'; \theta, \phi) = \frac{dN}{dm_X dt' d\theta d\phi} = \left| \sum_J T_J(m_X, t') \psi_J(\theta, \phi) \right|^2$$

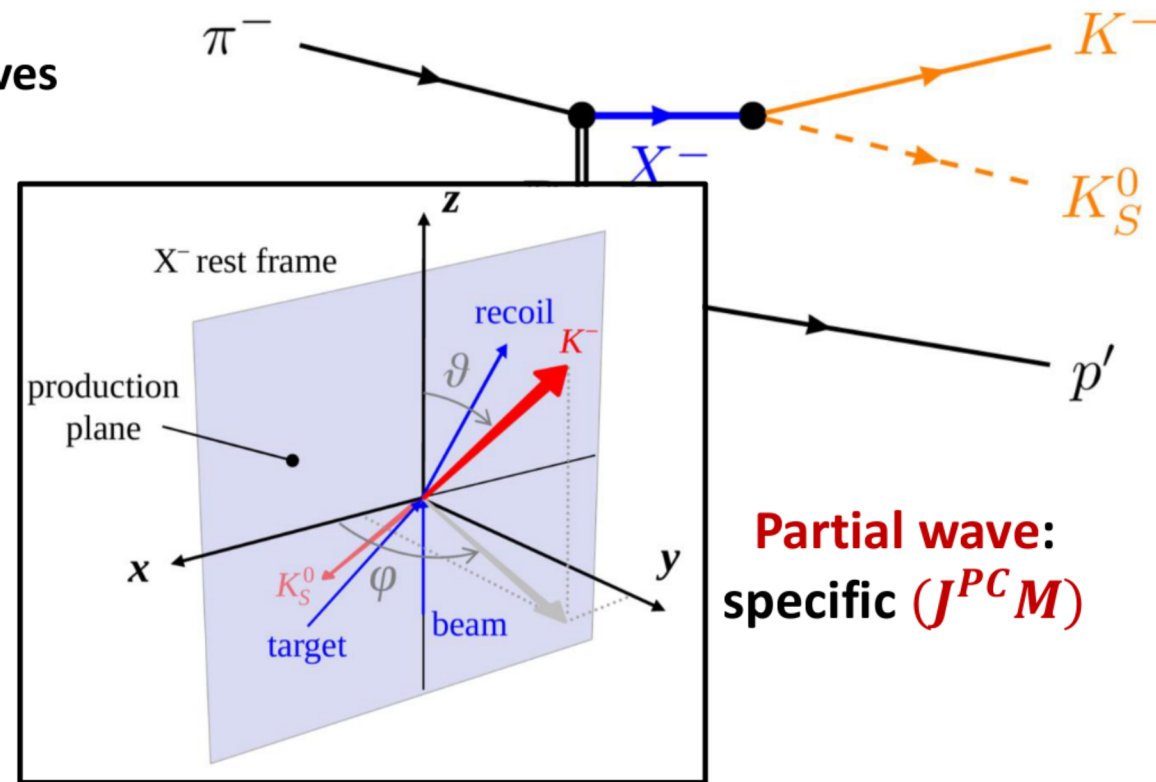
- Separate process amplitude into **partial waves**
 - Spin J and spin-projection M
- Production, propagation and decay of X^-

$$T_{JM}(m_X, t')$$

$$\psi_J(\theta, \phi) = Y_J^1(\theta, \phi)$$

$$M = 1$$

(reflectivity basis, $\varepsilon = -1$ suppressed $\rightarrow M \neq 0$)



Partial-Wave Decomposition

$$I(m_X, t'; \theta, \phi) = \frac{dN}{dm_X dt' d\theta d\phi} = \left| \sum_J T_J(m_X, t') \psi_J(\theta, \phi) \right|^2$$

- Separate process amplitude into **partial waves**
 - Spin J and spin-projection M

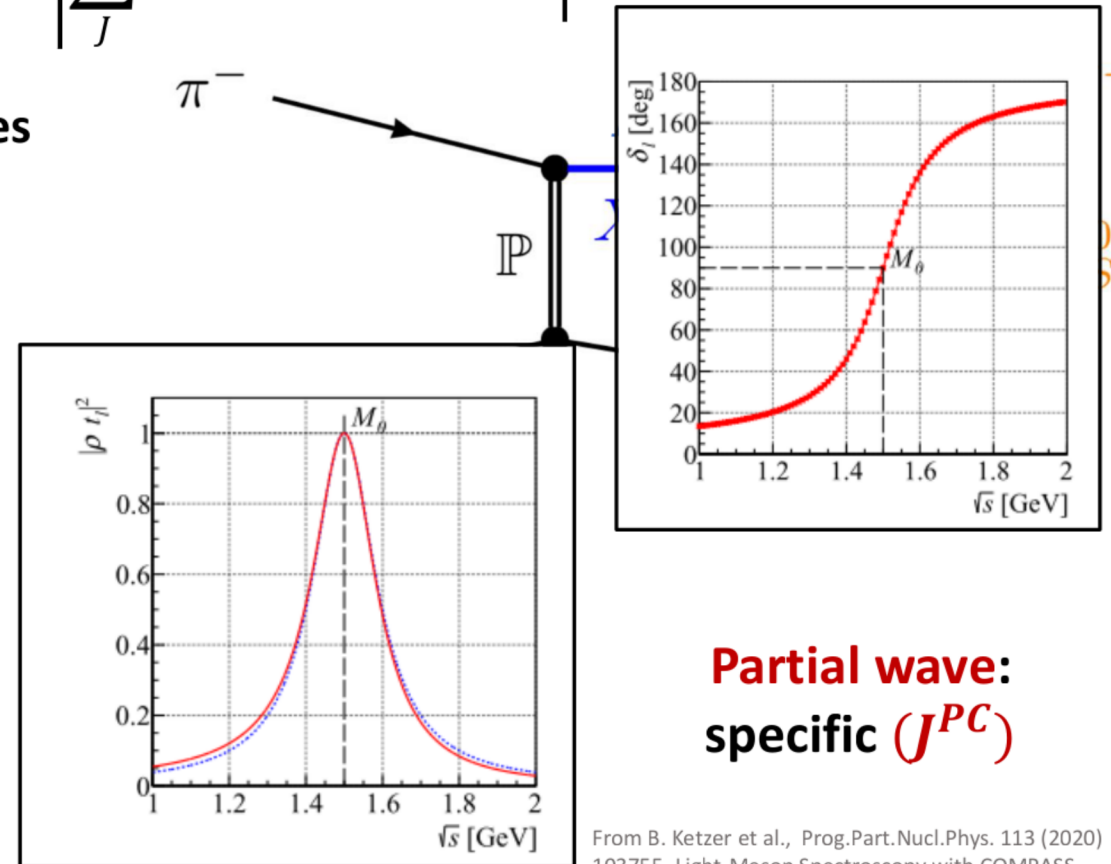
- Production, propagation and decay of X^-

$$T_J(m_X, t') = P(m_X, t') D(m_X)$$

$$\psi_J(\theta, \phi) = Y_J^M(\theta, \phi)$$

$$M = 1$$

- Fit $I(m_X, t'; \theta, \phi)$ to data in (m_X, t') bins:
- Choose finite set of $\{J^{PC}\}$



Partial wave:
specific (J^{PC})

From B. Ketzner et al., Prog.Part.Nucl.Phys. 113 (2020) 103755, Light-Meson Spectroscopy with COMPASS

Ambiguities in Incoherent Sectors

$$\varepsilon = \pm 1: \quad I(m_X, t'; \theta, \phi) = \left| \sum_{JM} T_{JM}^+(m_X, t') \psi_{JM}^+(\theta, \phi) \right|^2 + \left| \sum_{JM} T_{JM}^-(m_X, t') \psi_{JM}^-(\theta, \phi) \right|^2$$

$$a_0^- = \sum_{J=0}^{J_{\max}^-} T_{J0}^- Y_J^0(\theta, 0) \quad \varepsilon = -1, M = 0$$

$$a_1^- = \sum_{J=1}^{J_{\max}^-} T_{J1}^- Y_J^1(\theta, 0) \quad \varepsilon = -1, M = 1$$

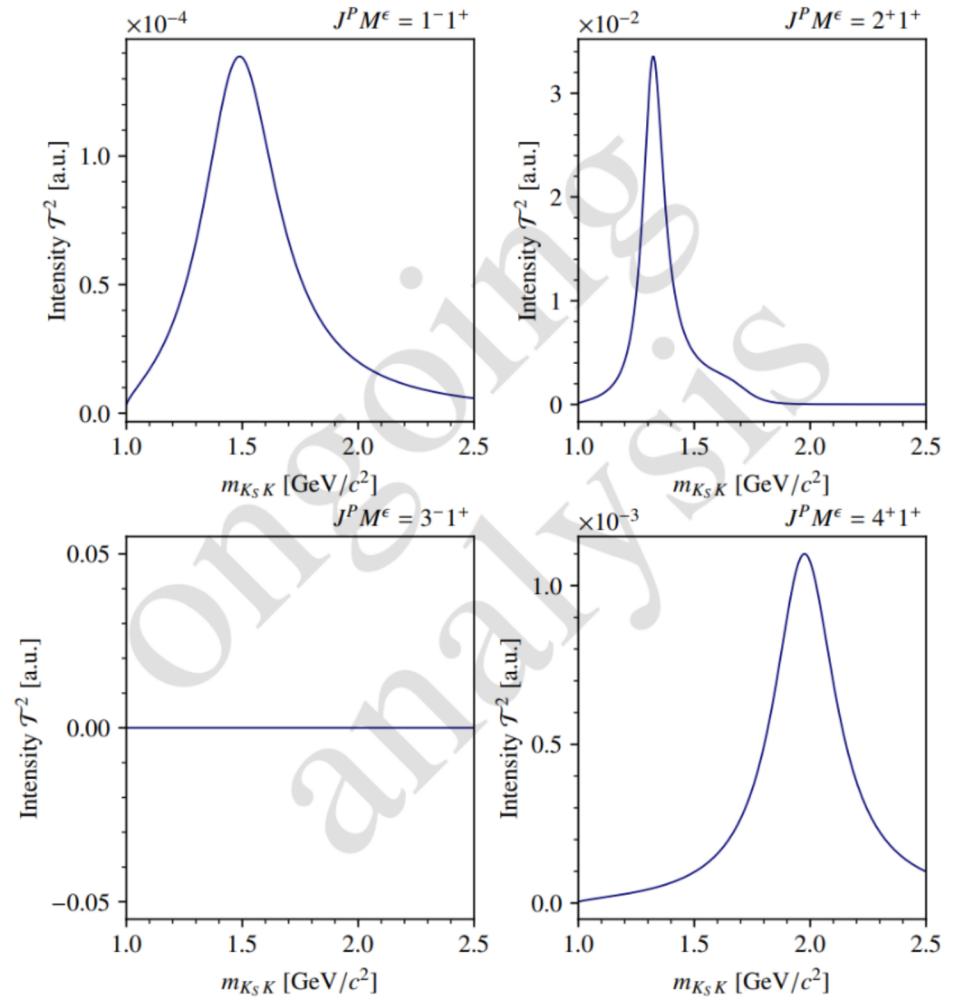
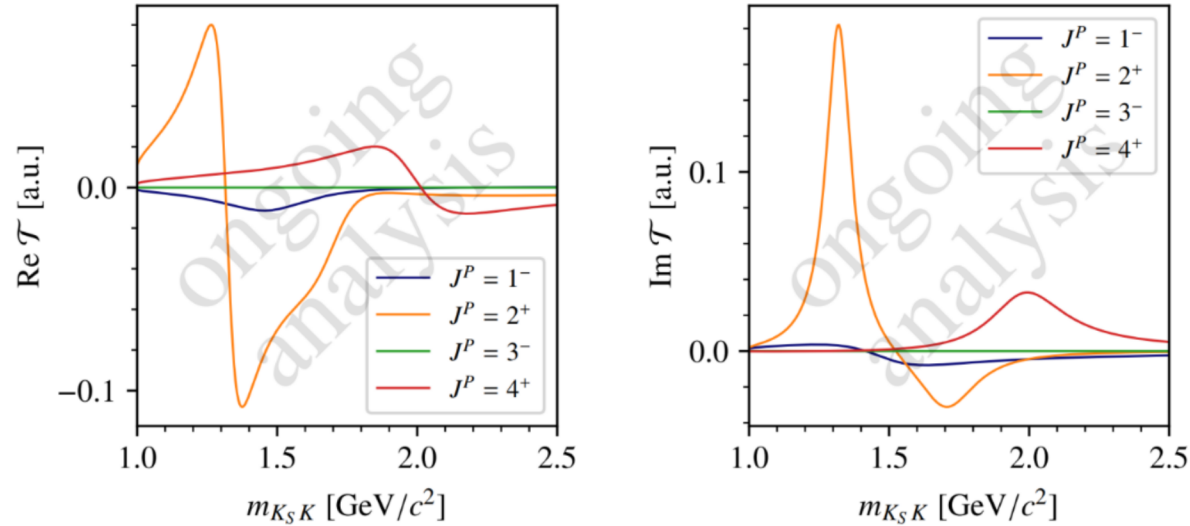
$$a_1^+ = \sum_{J=1}^{J_{\max}^+} T_{J1}^+ Y_J^1(\theta, 0) \quad \varepsilon = +1, M = 1$$

- $a_s^- = a_0^- + a_1^-$, then same procedure as for a single sector
- New amplitudes for $\varepsilon = +1$: $|a_1^+|^2 = |a_1^-|^2 - \text{const.} \rightarrow$ **positivity requirement!**

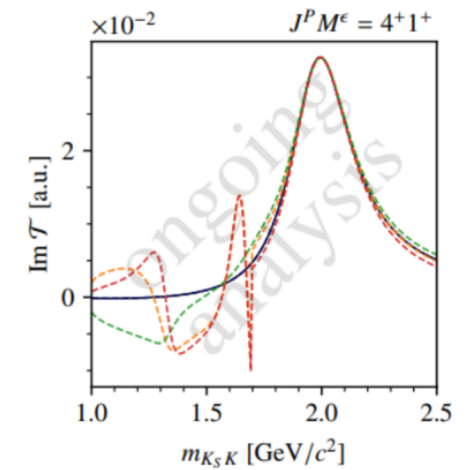
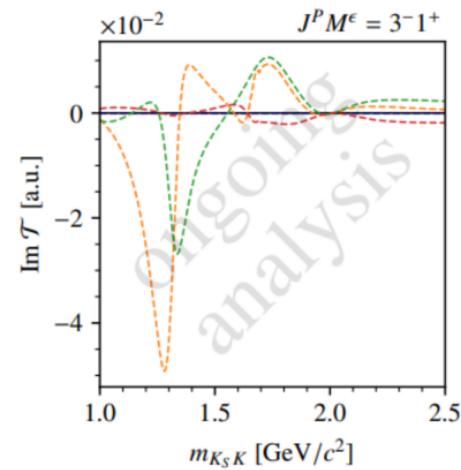
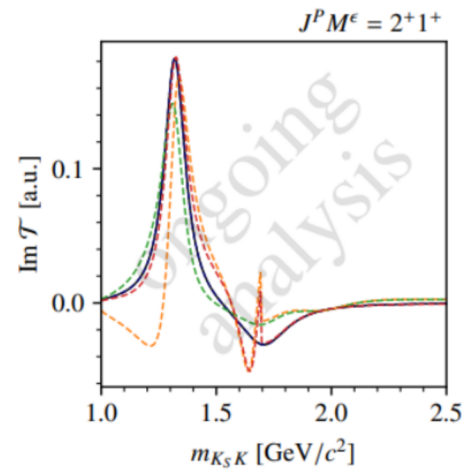
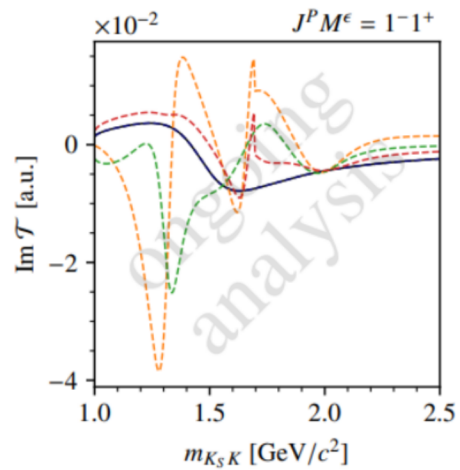
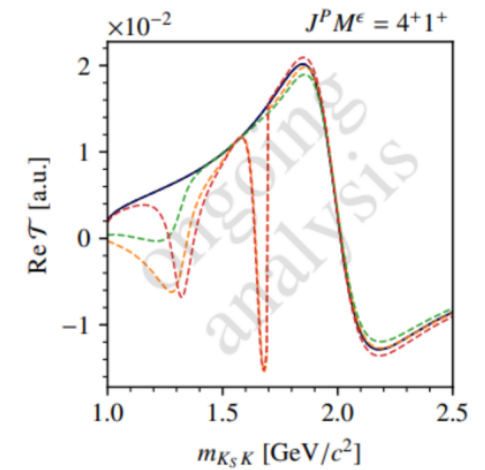
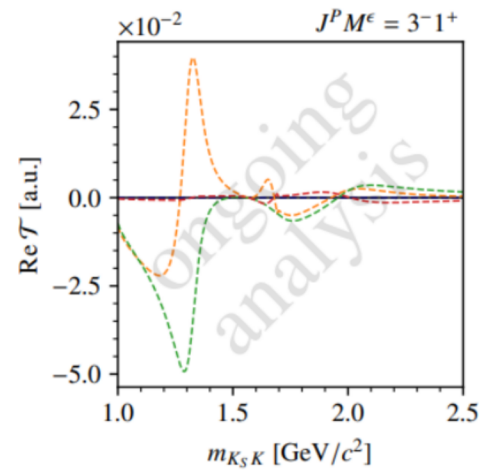
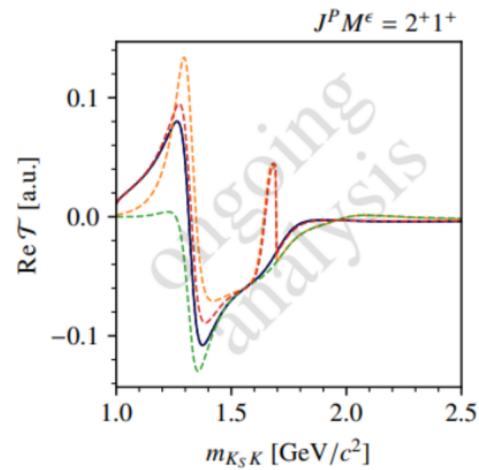
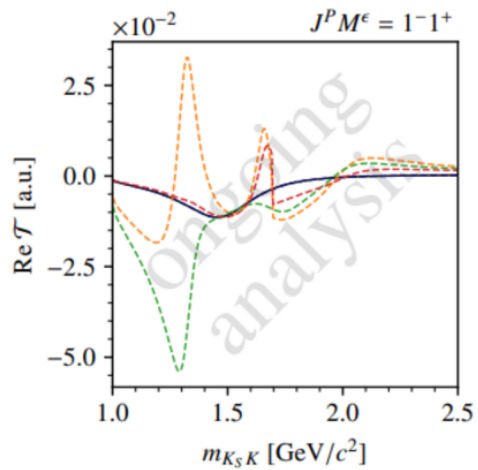
Continuous Amplitude Model

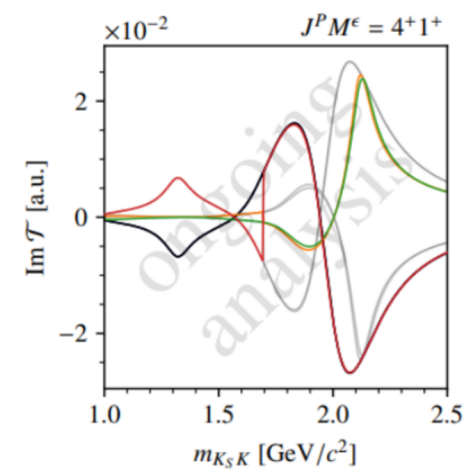
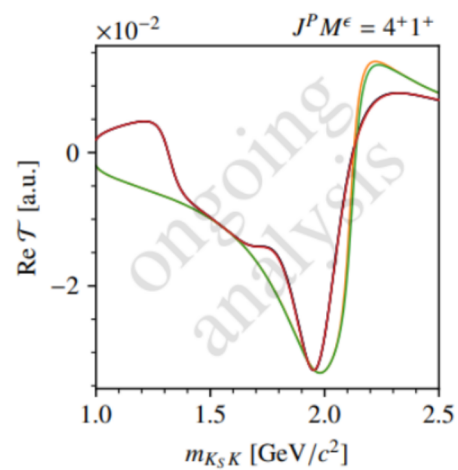
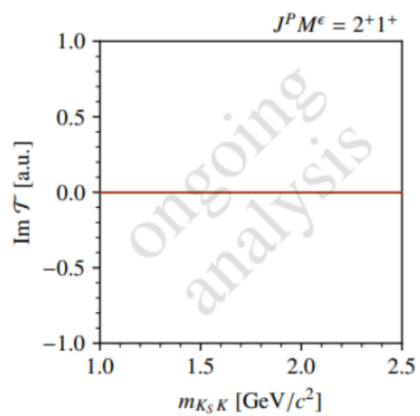
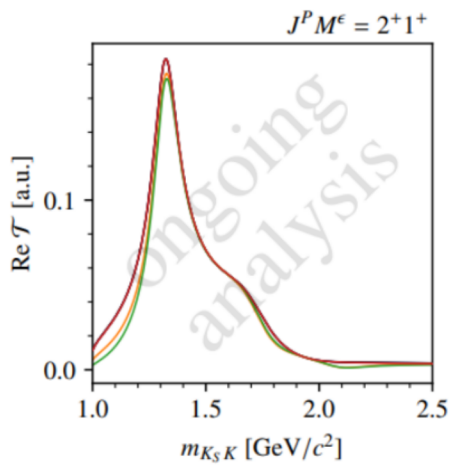
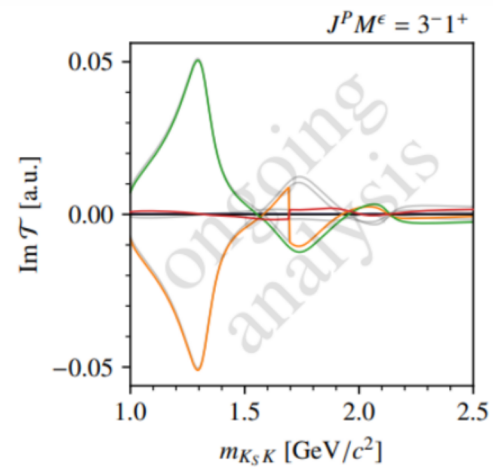
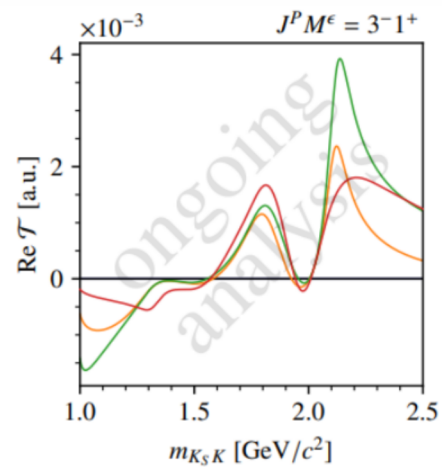
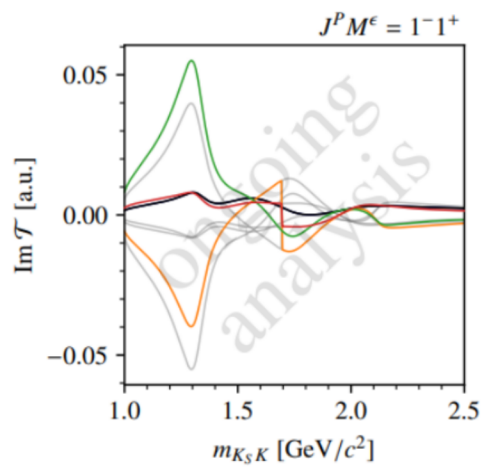
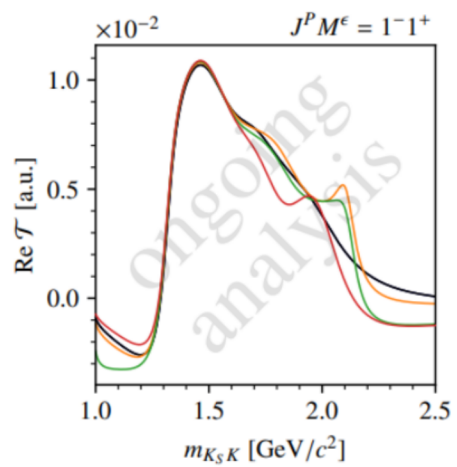
I. Continuous intensity model

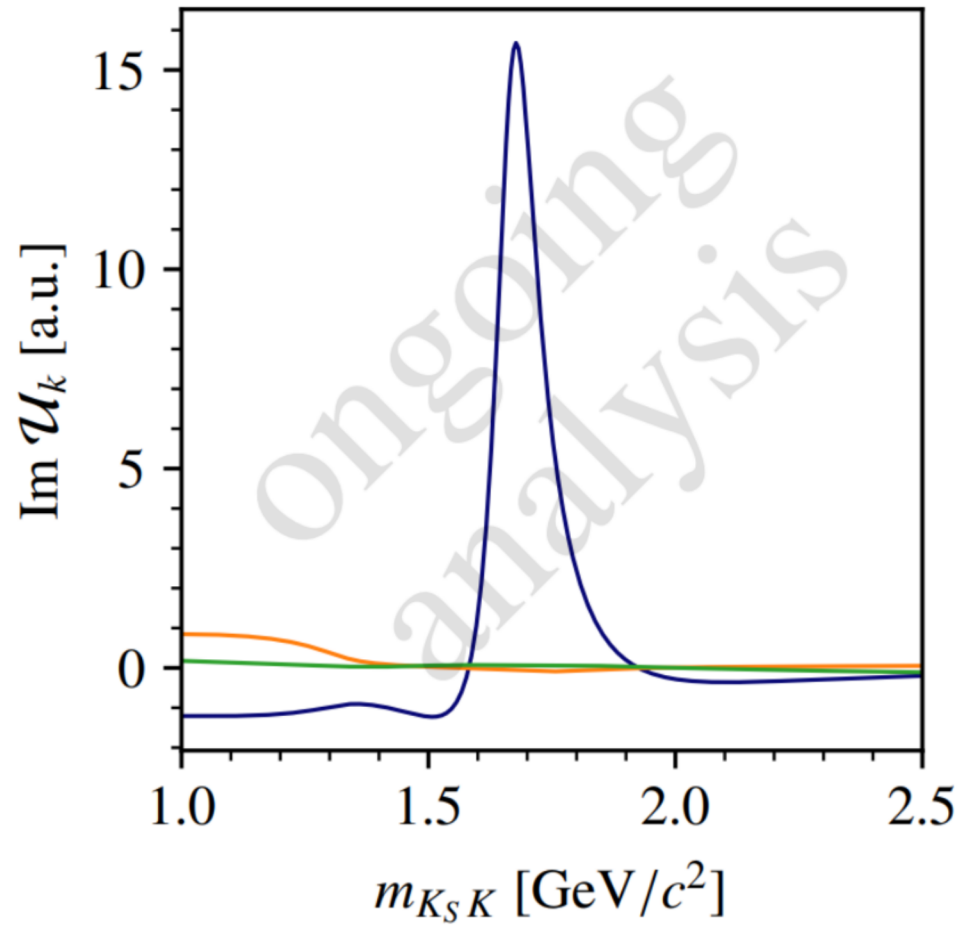
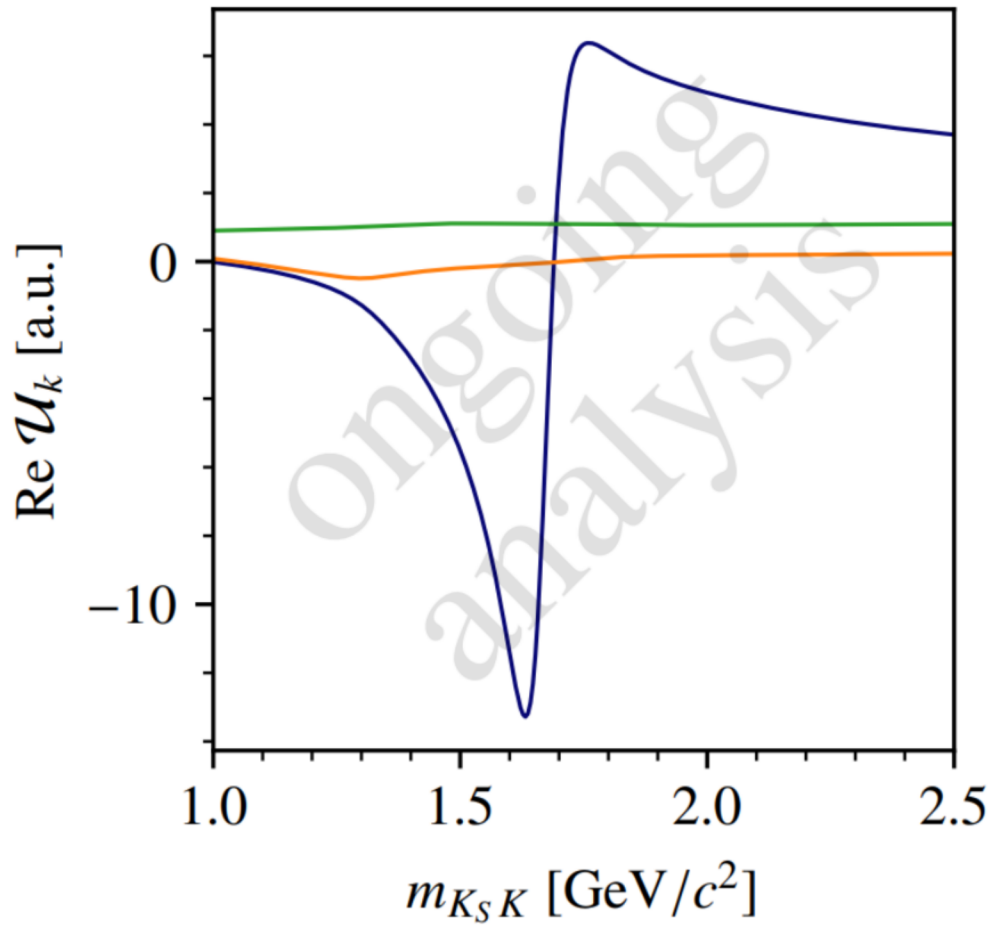
- create a model for the amplitudes in **four** waves
- m_X -dependence by Breit-Wigner amplitudes

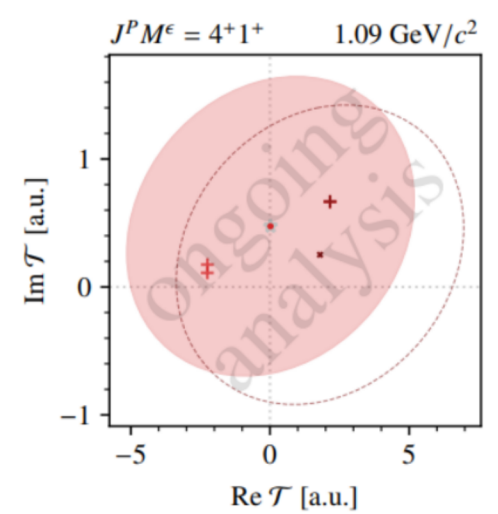
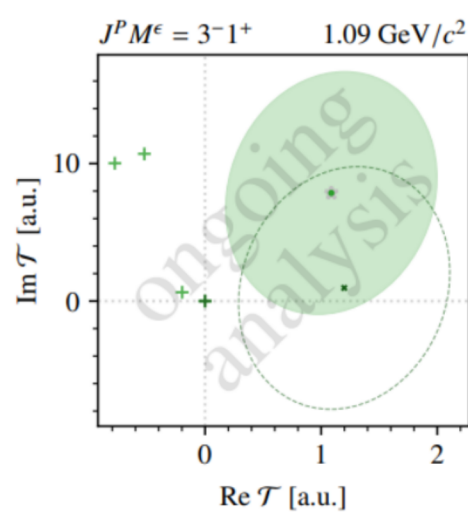
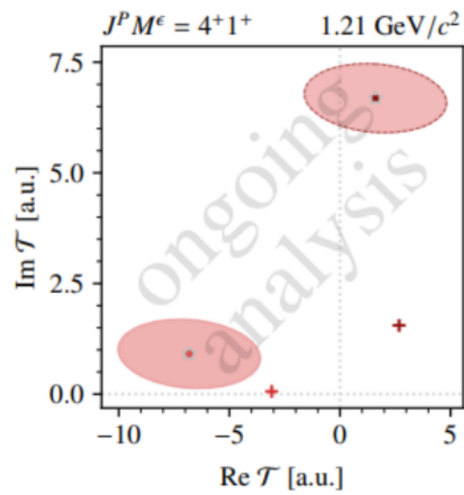
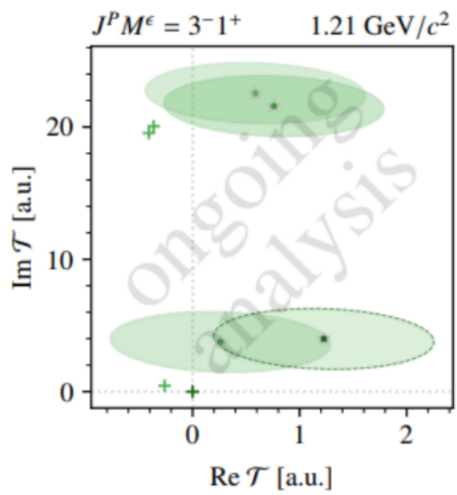
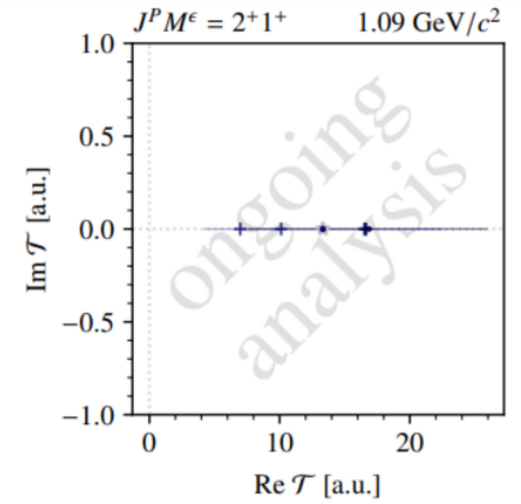
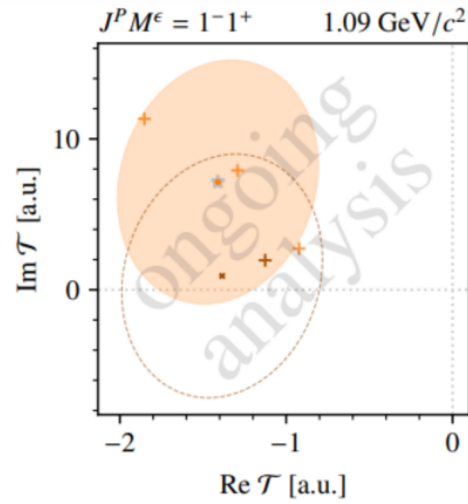
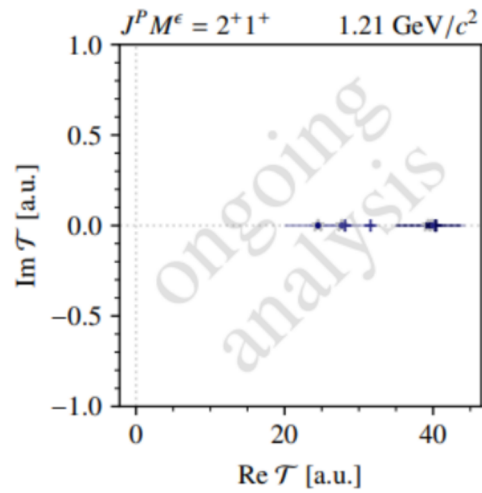
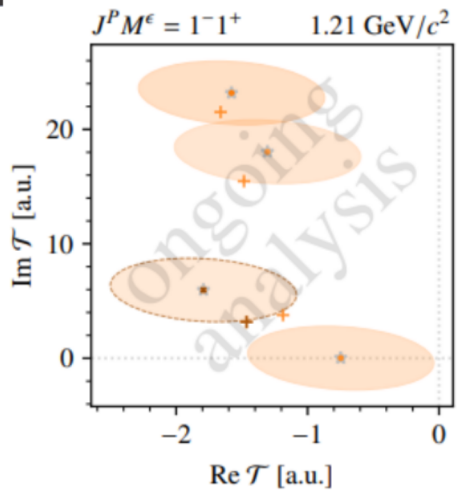


J^P	resonance content	m_0 [GeV/ c^2]	Γ_0 [GeV/ c^2]	c	ϕ
1^-	$\rho(1450)$	1.465	0.400	0.0564	1.8023
2^+	$a_2(1320)$	1.3181	0.1098	1	0
	$a_2(1700)$	1.698	0.265	0.1480	π
3^-	None	x	x	x	x
4^+	$a_4(1970)$	1.967	0.324	0.1274	6.0072

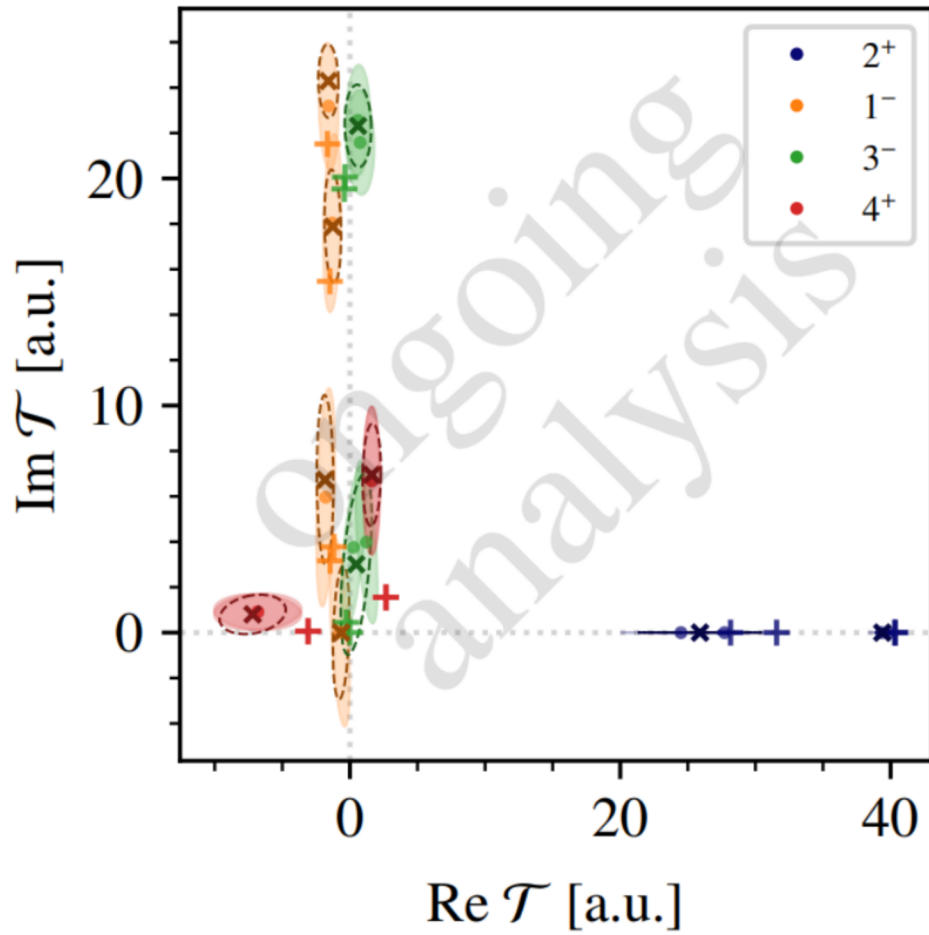




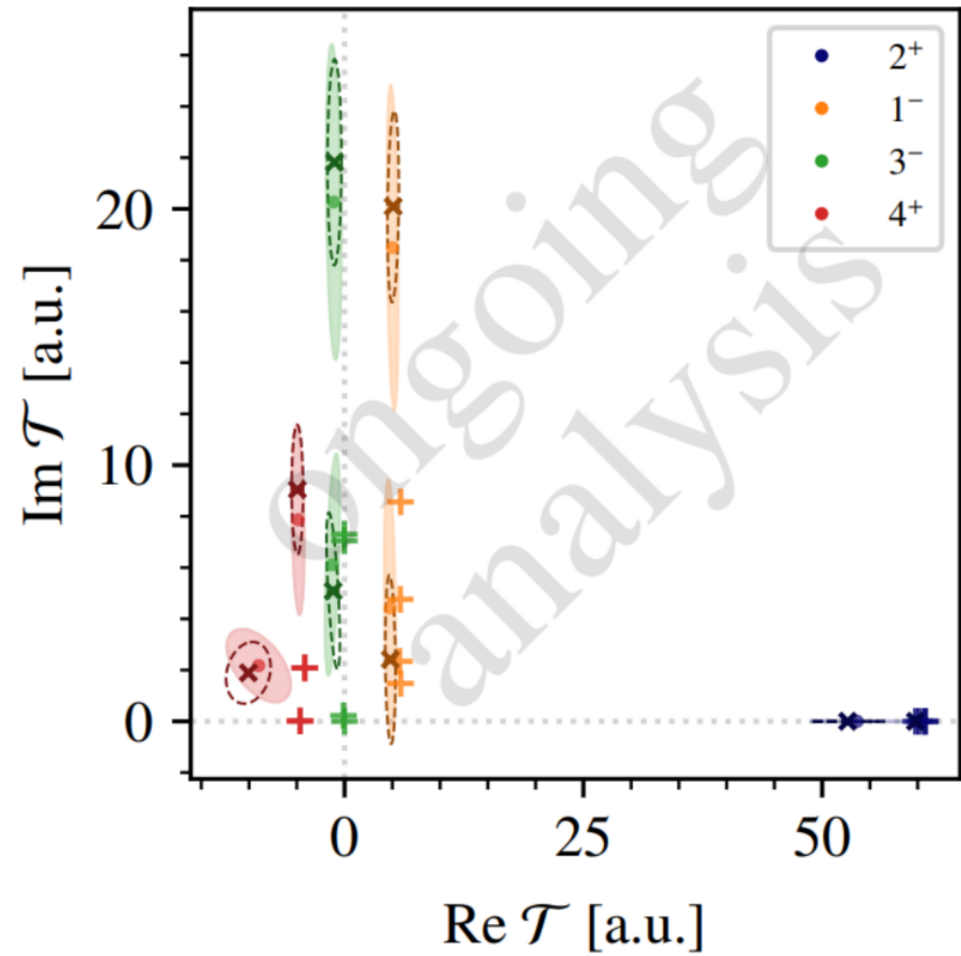




$1.2 < m_{K_S K} < 1.22 \text{ GeV}/c^2$



$1.4 < m_{K_S K} < 1.42 \text{ GeV}/c^2$

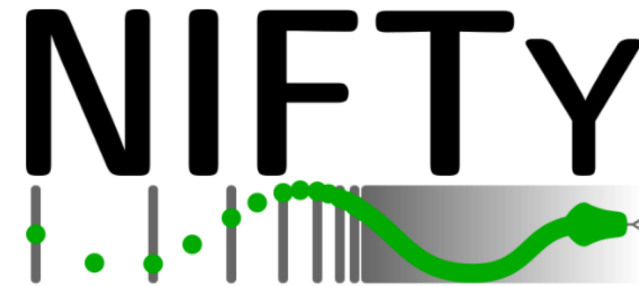


Implementation: NIFTy Framework

Framework by team from the Max-Planck Institute for Astrophysics:

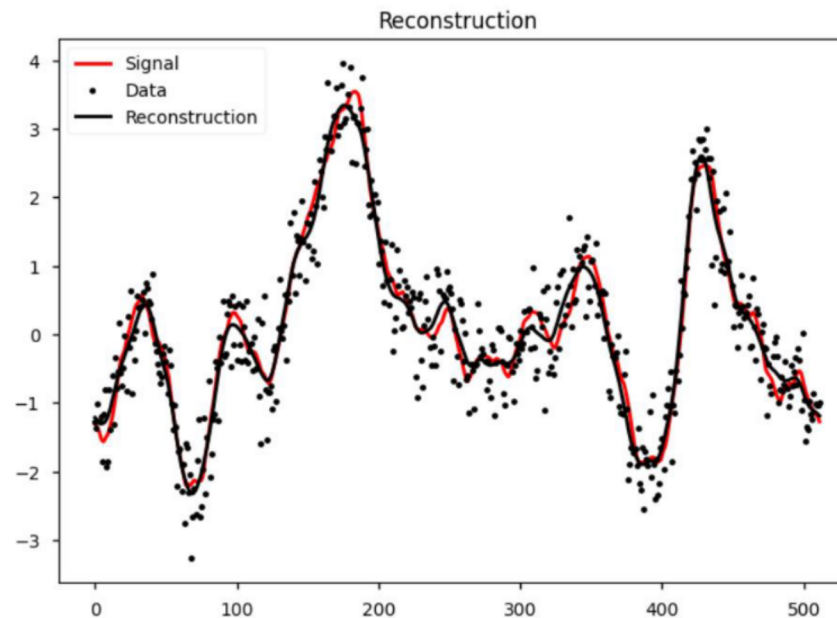
NIFTy: “**N**umerical **I**nformation **F**ield **T**heory”

- Provides continuous non-parametric models

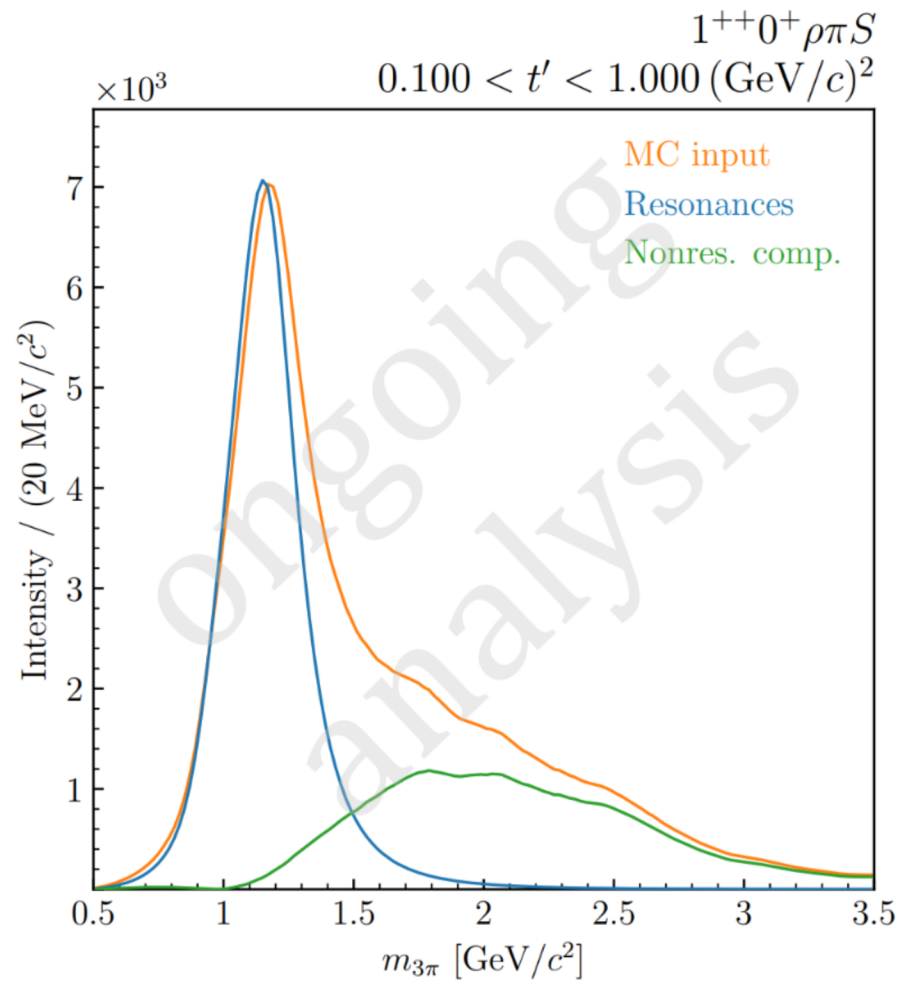
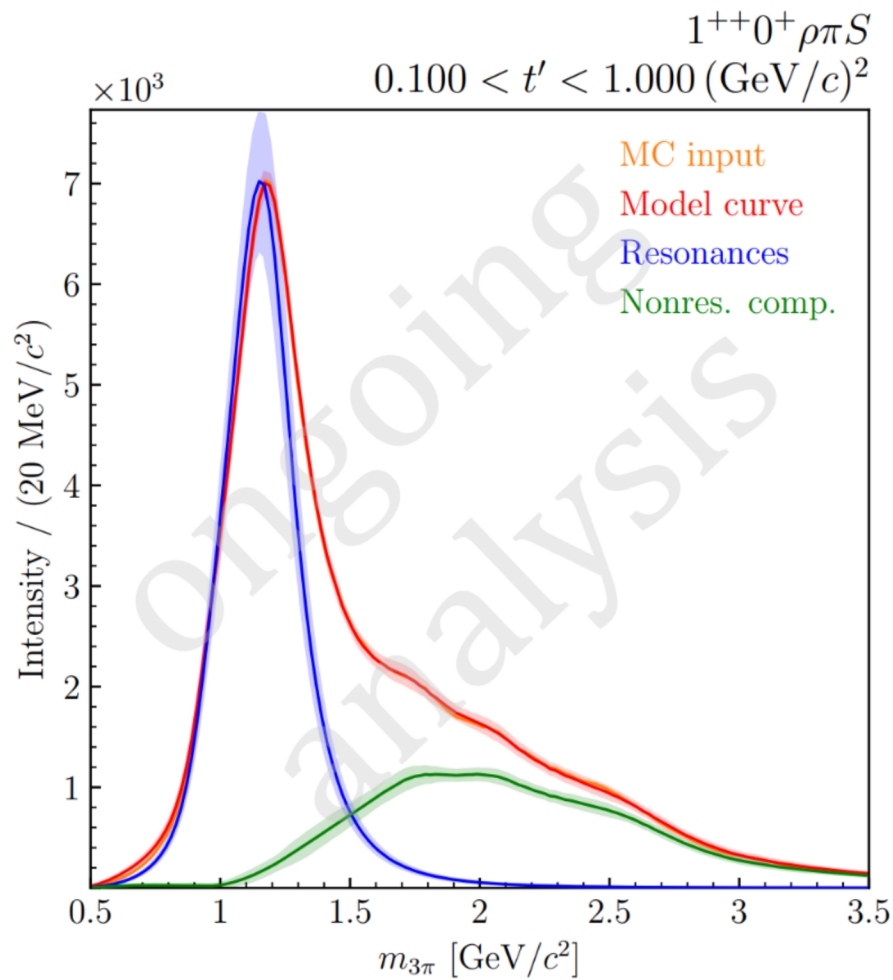


<https://ift.pages.mpcdf.de/nifty/>

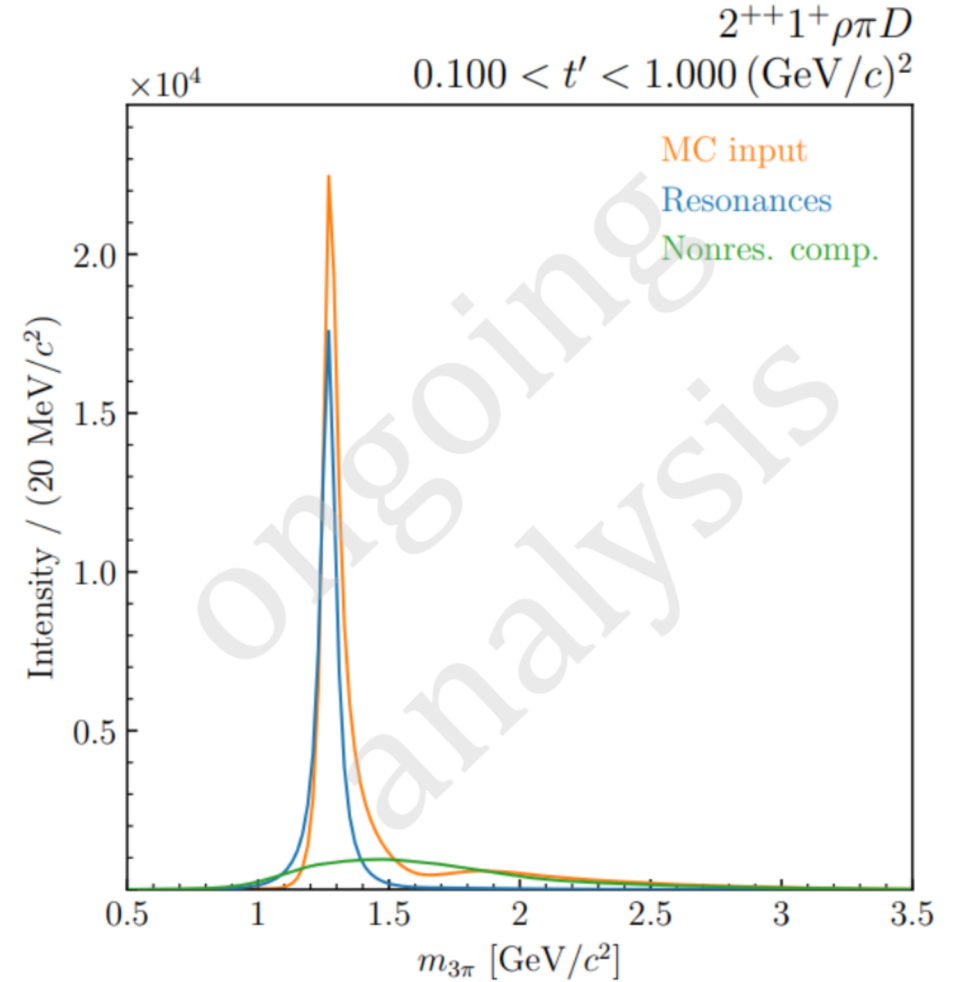
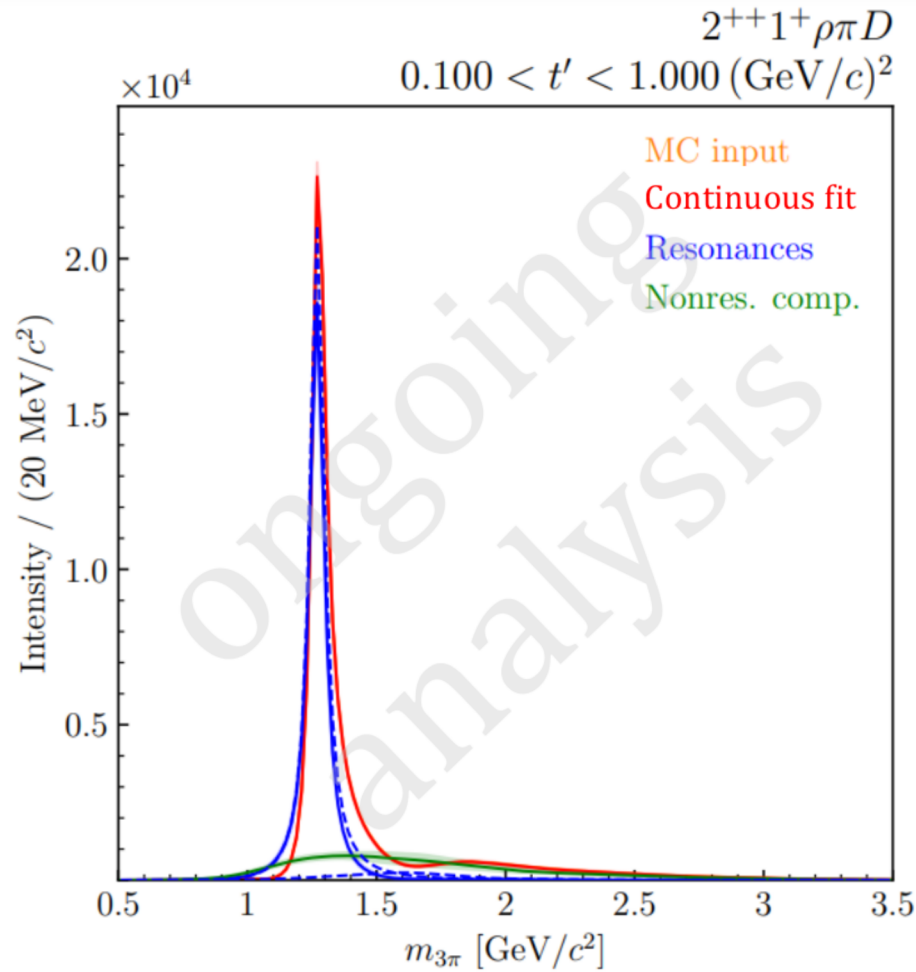
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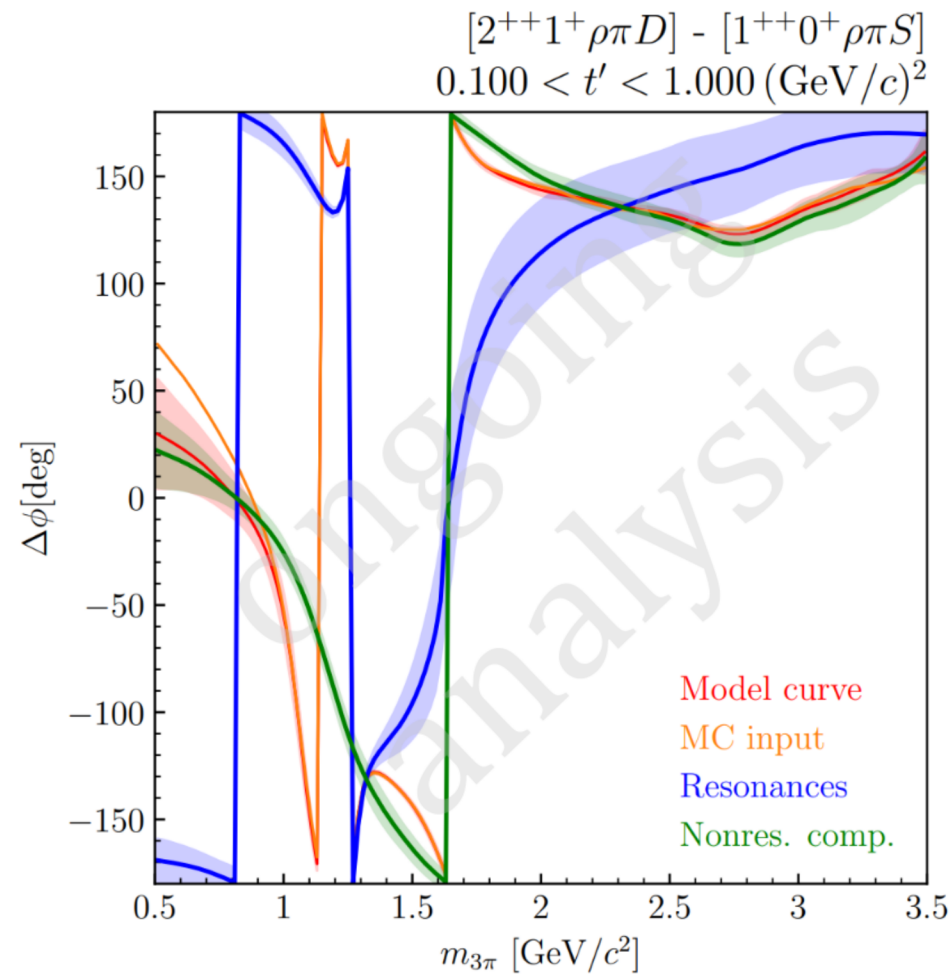
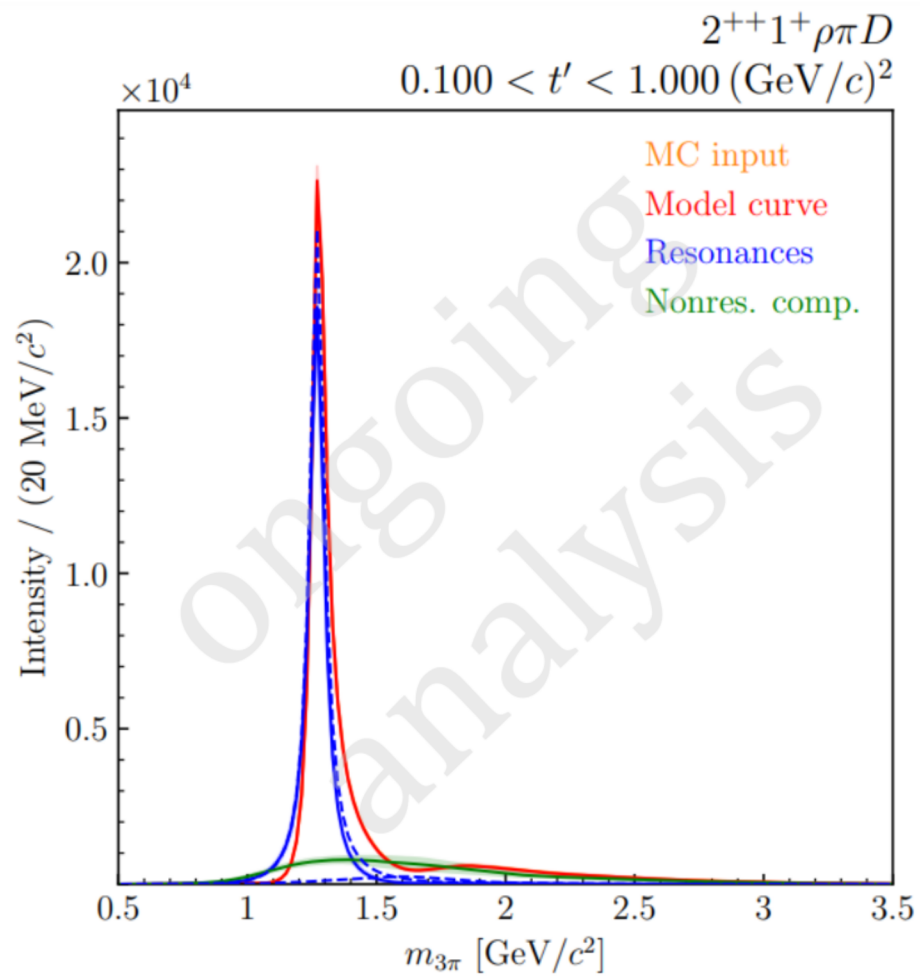


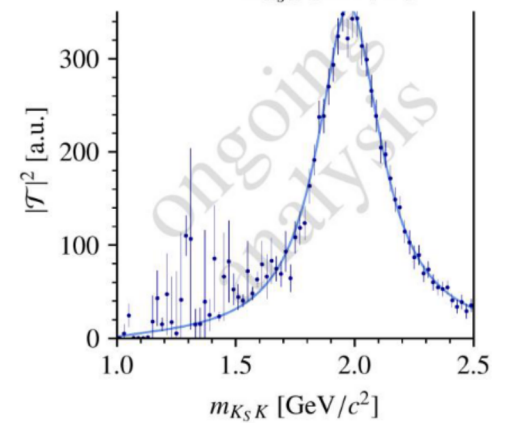
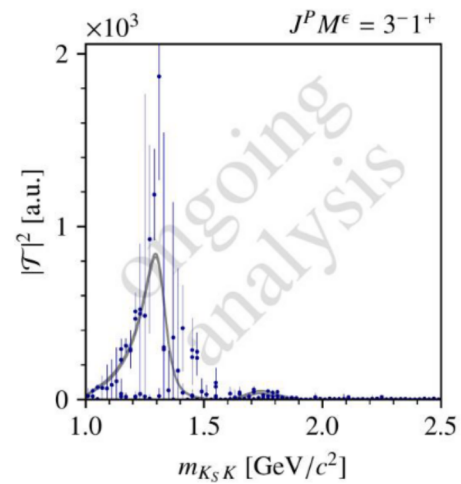
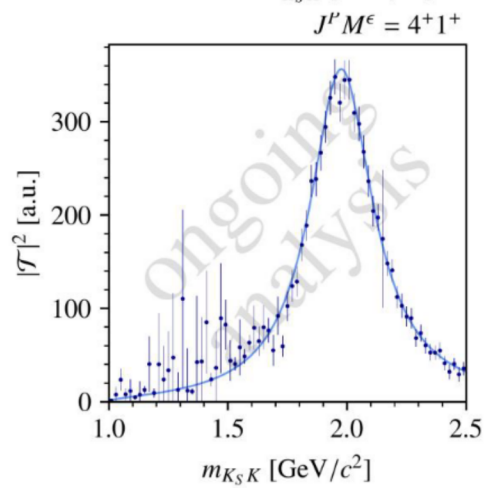
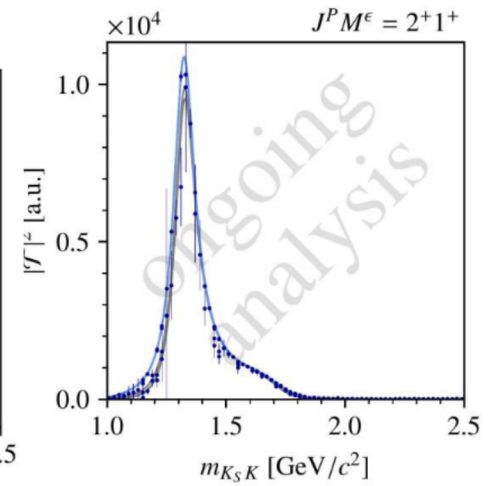
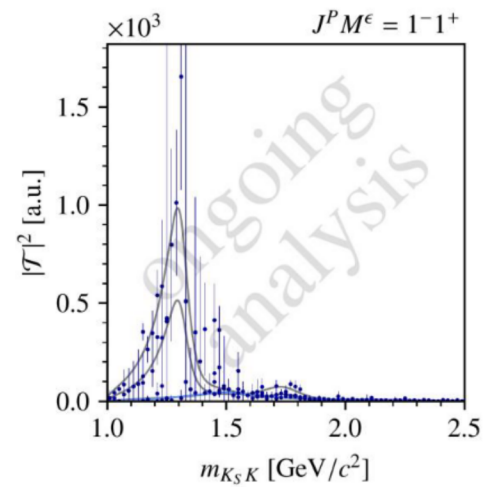
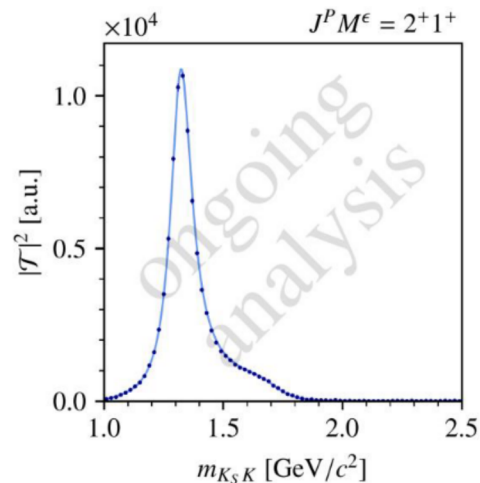
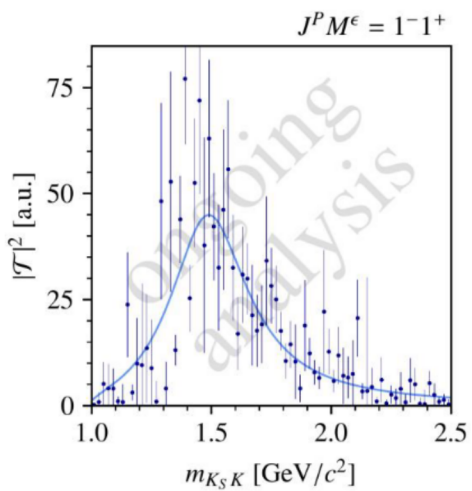
This work is done in collaboration with Jakob Knollmueller (TUM / ORIGINS Excellence Cluster)



Input-Output Study







Outlook: NIFTy on K_sK⁻ pseudodata

