

# Deeply Virtual Compton Scattering and Hard Exclusive $\pi^0$ Muoproduction at COMPASS

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on behalf of the COMPASS collaboration  
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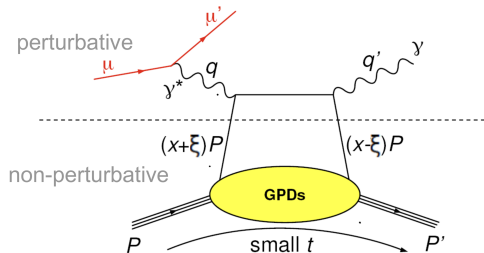
March 28, 2023

1. Generalized Parton Distribution functions
2. Measurements at COMPASS and experimental setup
3. DVCS cross-section extraction and its  $t$ -dependence
4.  $\pi^0$  cross-section and its sensitivity to chiral-odd GPDs
5. Outlook and summary

# Generalized Parton Distributions (GPDs)

## Deeply Virtual Compton Scattering

$$\gamma^* + N \rightarrow \gamma + N'$$



- ▶  $q = (p_\mu - p_{\mu'})$ : 4-momentum of virtual photon
- ▶  $Q^2 = -q^2$ : virtual photon virtuality
- ▶  $t = (p_P - p_{P'})^2$ : 4-momentum transfer to nucleon squared
- ▶  $x$ : average longitudinal momentum fraction
- ▶  $\xi$ : half of longitudinal momentum fraction transfer

No nucleon spin flip

$$H^f(x, \xi, t)$$

$$\tilde{H}^f(x, \xi, t)$$

With nucleon spin flip

$$E^f(x, \xi, t)$$

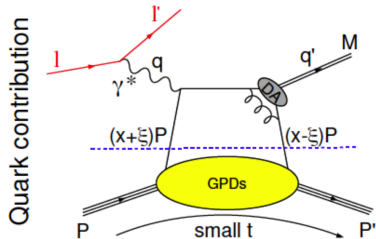
$$\tilde{E}^f(x, \xi, t)$$

GPDs are not experimentally accessible, but related to Compton Form Factors (CFFs)  
CFFs are observables in

cross section measurements

$$\mathcal{H}(\xi, t) = \int_{-1}^1 \frac{H(x, \xi, t)}{x - \xi - i\epsilon} dx$$

# GPDs and Hard Exclusive Meson Production



## Chiral-even GPDs

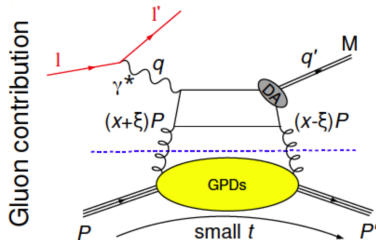
helicity of parton unchanged

$$H^{q,g} \quad \tilde{H}^{q,g} \quad E^{q,g} \quad \tilde{E}^{q,g}$$

## Chiral-odd GPDs

helicity of parton changed

$$H_T^q \quad \tilde{H}_T^q \quad E_T^q \quad \tilde{E}_T^q$$



- ▶ factorisation proven only for  $\sigma_L, \sigma_T$  suppressed by  $1/Q^2$
- ▶ wave function of meson (DA) additional non-perturbative term

# Measurement at COMPASS

$$\text{Diff. cross section } \frac{d\sigma^4}{dQ^2 d\nu d|t| d\phi}$$

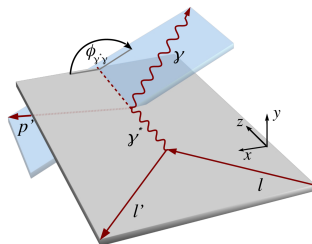
## Kinematic dependence:

$Q^2 = -q^2$ : virtual photon virtuality

$\nu = E_\mu - E_{\mu'}$ : energy of virt. photon

$t = (p_P - p_{P'})^2$ : 4-mom. transfer to nucleon squared

$\phi$ : angle between scattering and production planes



$$\mu p \rightarrow \mu' p' \gamma$$

## Measured quantities:

$\mu$  : beam muon

$\mu'$  : scattered muon

$P'$  : recoil proton

$\gamma$  : real photon

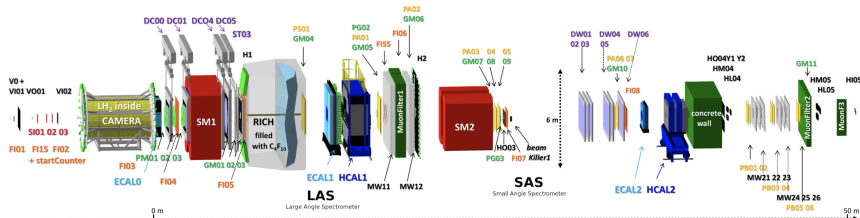
- ▶ **2012** pilot run for 4 weeks

→ analysis finished and published

- ▶ **2016/17** long runs ( $2 \times 6$  months) dedicated to DVCS

→ analysis ongoing, preliminary results

# COMPASS experiment setup



## Common Muon and Proton Apparatus for Structure and Spectroscopy

2.5m long Liquid Hydrogen target

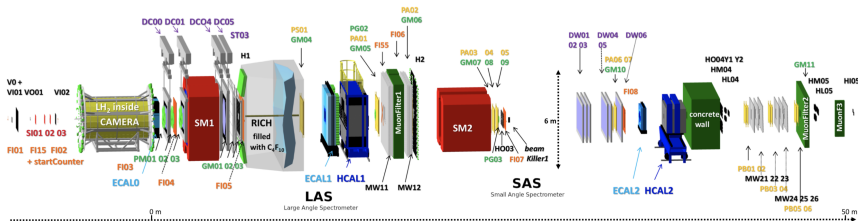
Beam energy is 160 GeV

Beam polarisations:  $\mu^{+\downarrow}$  and  $\mu^{-\uparrow}$

$$\pi^+ \rightarrow \mu^+ + \nu_\mu \quad P_{\mu^+} \approx -80\%$$

$$\pi^- \rightarrow \mu^- + \bar{\nu}_\mu \quad P_{\mu^-} \approx +80\%$$

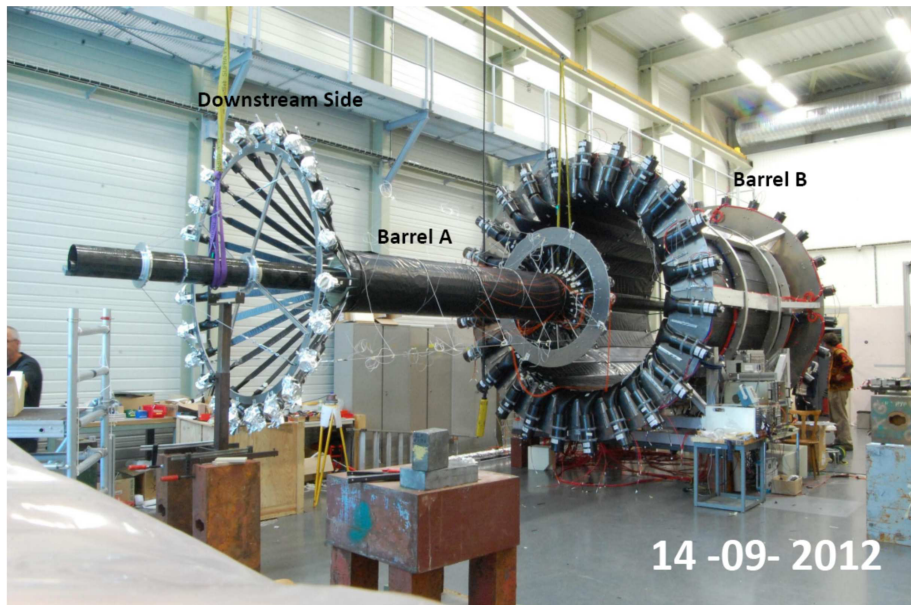
# COMPASS experiment setup



## Two stage forward spectrometer **SM1** + **SM2**

- ▶ Beam flux determined with  $\approx 1\%$  precision
- ▶ **ECAL0**, **ECAL1** and **ECAL2** for photon detection
- ▶ 300 tracking detector planes, muon trigger system
- ▶ Muon identification system
- ▶ CAMERA for recoil proton detection

# CAMERA



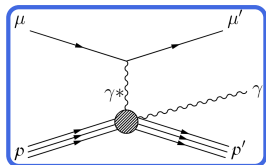


# Deeply Virtual Compton Scattering

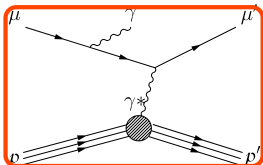
$\mu p \rightarrow \mu' p' \gamma$  process

# $\mu p \rightarrow \mu' p' \gamma$ processes

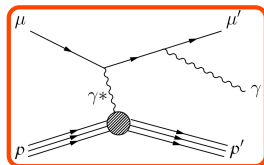
DVCS



BH



BH



The observable products of these reactions are identical, therefore they can not be separated on per-event basis.

$$\sigma_{\gamma} \propto |A_{\text{DVCS}}|^2 + |A_{\text{BH}}|^2 + \text{Interference Term}$$

Interference Term  $\equiv A_{\text{DVCS}} A_{\text{BH}}$  - allows to study DVCS on the amplitude level.

# Selection of events with a single $\gamma$ topology

## Vertex candidates:

$\mu$  Beam muon

$\mu'$  Scattered muon

## Real photon candidate $\gamma$ :

Single photon with the energy above DVCS threshold

$E_\gamma > 4, 5, 10$  GeV in ECAL0, 1, 2

## Recoil proton candidate $P'$ :

$|t|_{\max}^{\text{exp}} = 0.64 (\text{GeV}/c)^2$

## Exclusivity selections:

-  $|\Delta p_T| < 0.3 \text{ GeV}/c$

-  $|\Delta\phi| < 0.4 \text{ rad}$

-  $|\Delta z_A| < 16 \text{ cm}$

-  $|M_{\text{Undet}}^2| < 0.3 (\text{GeV}/c^2)^2$

-  $0.08 (\text{GeV}/c)^2 < |t_{\text{fit}}| < 0.64 (\text{GeV}/c)^2$

## Perform kinematic fit:

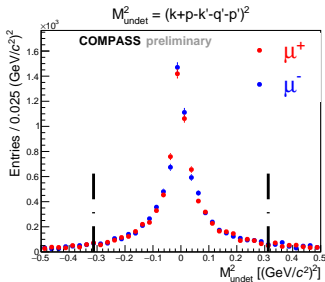
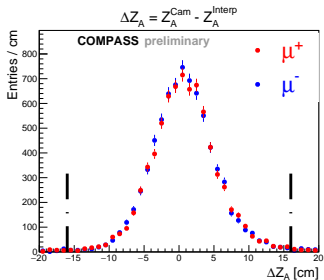
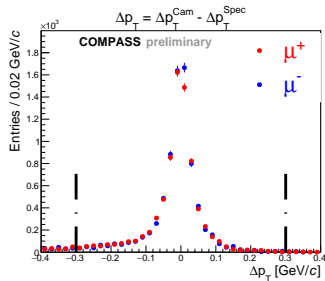
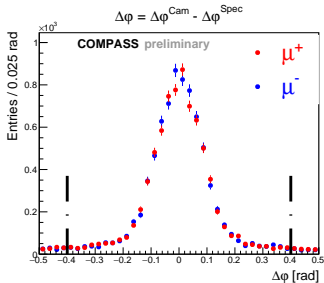
- constrain on kinematic variables

$\chi^2 < 10$

**Only events with single valid combination**

Vertex candidate  $\times$  Real photon candidate  $\times$  Recoil proton candidate

# Exclusive selections (COMPASS 2016 preliminary results)



# The binned DVCS cross section

DVCS cross section in bins of  $t$ ,  $\phi$ ,  $Q^2$ ,  $\nu$ :

$$\left\langle \frac{d\sigma_{\text{DVCS}}}{d|t|d\phi dQ^2 d\nu} \right\rangle_{t_i \phi_j Q_k^2 \nu_l}^{\pm} = \frac{1}{\mathcal{L}^{\pm} \Delta t_i \Delta \phi_j \Delta Q_k^2 \Delta \nu_l} \left[ \left( a_{ijkl}^{\pm} \right)^{-1} \left( \text{data} - \text{BH}_{\text{MC}} - \pi_{\text{MC}}^0 \right) \right]$$

$a_{ijkl}^{\pm}$  Acceptance

$\text{BH}_{\text{MC}}$  Exclusive single photon MC sample

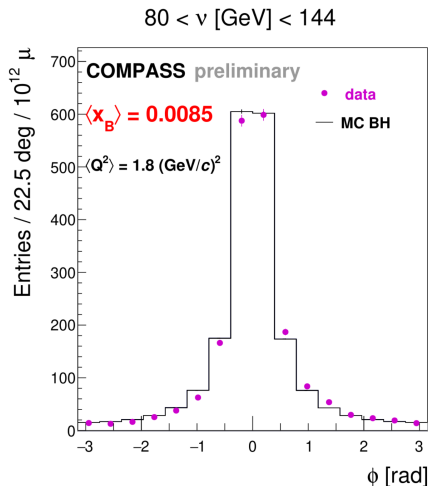
$\pi_{\text{MC}}^0$   $\pi^0$  MC sample (background estimation)

# The Bethe-Heitler contribution

**Bethe-Heitler process is well known, pure QED**

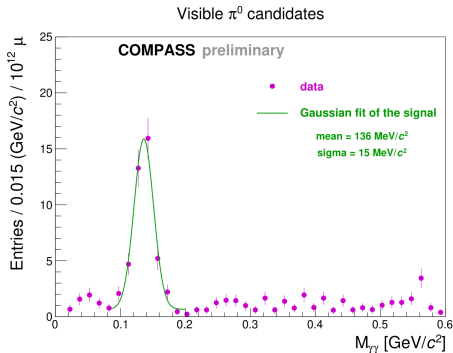
→ evaluated using **Monte-Carlo sample** for BH

- ▶ Kinematic range where **BH is dominant**  
→ **The BH contribution is evaluated for the experimental integrated luminosity**
- ▶ **BH subtracted** from the data in the DVCS region (small  $\nu$ )
- ▶ data / BH =  $(98.6 \pm 1 \pm 4)\%$  (for this bin)



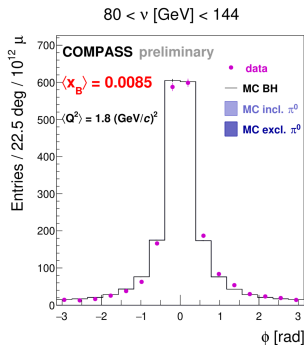
# The $\pi^0$ background contamination

- ▶ Photons from  $\pi^0$  decay
- ▶ One photon identified as exclusive photon event  
→ above DVCS energy threshold in ECALS
  
- ▶ **Visible** (both  $\gamma$  are detected)  
- *subtracted*  
Combine  $\gamma_{he}$  and  $\gamma_{1e}$  (below DVCS energy threshold)
- ▶ **Invisible** (second  $\gamma$  lost)  
- *estimated by MC*
  - ▶ **Inclusive:** LEPTO
  - ▶ **Exclusive:** HEPGEN  $\pi^0$

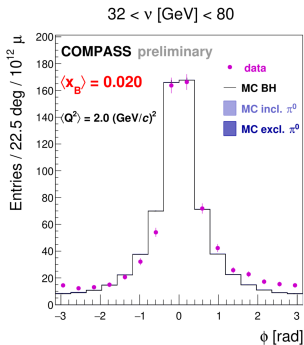


# $\phi$ distribution of exclusive photon events

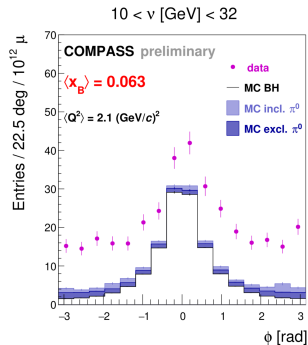
$$1 < Q^2 < 10 \text{ (GeV/c)}^2$$



- ▶ BH dominates  
DVCS negligible
- ▶ 64% of events in data



- ▶ BH and DVCS are comparable
- ▶ 24% of events in data



- ▶ DVCS dominates BH
- ▶ 12% of events in data, where:
  - ▶ 37% BH contribution
  - ▶ 10% inv.  $\pi^0$  contribution



# The binned DVCS cross section

DVCS cross section in bins of  $t$ ,  $\phi$ ,  $Q^2$ ,  $\nu$ :

$$\left\langle \frac{d\sigma_{\text{DVCS}}}{d|t|d\phi dQ^2 d\nu} \right\rangle_{t_i \phi_j Q_k^2 \nu_l}^{\pm} = \frac{1}{\mathcal{L}^{\pm} \Delta t_i \Delta \phi_j \Delta Q_k^2 \Delta \nu_l} \left[ \left( a_{ijkl}^{\pm} \right)^{-1} \left( \text{data} - \text{BH}_{\text{MC}} - \pi_{\text{MC}}^0 \right) \right]$$

$$\pi_{\text{MC}}^0 = (1 - R) \times \pi_{\text{HEPGEN}}^0 + R \times \pi_{\text{LEPTO}}^0$$

- ▶  $\text{BH}_{\text{MC}}$ : BH MC sample

- ▶  $\pi_{\text{HEPGEN}}^0$ : exclusive  $\pi^0$  MC sample

- ▶  $\pi_{\text{LEPTO}}^0$ : inclusive  $\pi^0$  MC sample

## Binning and kinematic range:

- ▶ 4 bins in  $|t|$  between 0.08 and 0.64 (GeV/c)<sup>2</sup> (equisstatistics)
- ▶ 4 bins  $\nu$  between 10 and 32 GeV (equidistant)
- ▶ 4 bins  $Q^2$  between 1 and 5 (GeV/c)<sup>2</sup> (equidistant)
- ▶ 8 bins  $\phi$  between  $-\pi$  and  $+\pi$  (equidistant)

- ▶  $R$ : relative contrib. of LEPTO ( $\approx 40\%$ )

- ▶  $a_{ijkl}^{\pm}$ : acceptance

Analysis limited to region with mostly flat acceptance

avg. acc.  $\approx 40\%$ , good agreement between  $\mu^+$  and  $\mu^-$

# Accessing the $t$ -dependence of the cross section

From  $\mu p$  to  $\gamma^* p$ : 
$$\frac{d\sigma^{\mu p}}{d|t|\phi dQ^2 d\nu} = \Gamma(Q^2, \nu) \times \frac{d\sigma^{\gamma^* p}}{d|t|\phi dQ^2 d\nu}$$

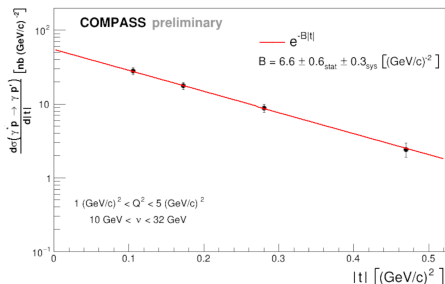
by **weighting each event** in data and MC by the inverse **flux of the transverse polarized photons**

→ Integrate over  $Q^2$  and  $\nu$  and average over  $\mu^+/\mu^-$ , then  $t$ -dependence of the cross section:

$$\left\langle \frac{d\sigma_{\text{DVCS}}}{d|t|} \right\rangle_{t_i} = \frac{1}{2} \left( \left\langle \frac{d\sigma_{\text{DVCS}}}{d|t|} \right\rangle_{t_i}^+ + \left\langle \frac{d\sigma_{\text{DVCS}}}{d|t|} \right\rangle_{t_i}^- \right)$$

$$S_{CS,U} = d\sigma^{+\downarrow} + d\sigma^{-\uparrow} = 2 \left[ d\sigma^{\text{BH}} + c_0^{\text{DVCS}} + c_1^{\text{DVCS}} \cos\phi + c_2^{\text{DVCS}} \cos 2\phi + s_1^{\text{I}} \sin\phi + s_2^{\text{I}} \sin 2\phi \right]$$

# Analyse the cross section $t$ -slope



$$d\sigma^{\text{DVCS}}/dt \sim e^{-B|t|} \propto c_0^{\text{DVCS}} = (\text{Im}\mathcal{H})^2$$

Perform binned maximum Likelihood-fit.

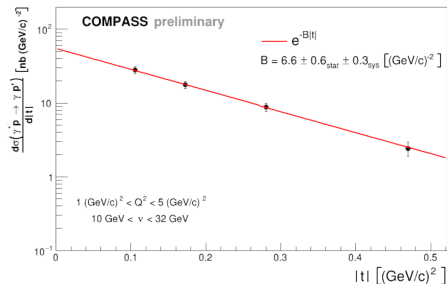
$$B = (6.6 \pm 0.6_{\text{stat}} \pm 0.3_{\text{sys}}) (\text{GeV/c})^{-2}$$

Dominant source of systematics:  
MC normalisation to visible  $\pi^0$  in data.

# Analyse the cross section $t$ -slope

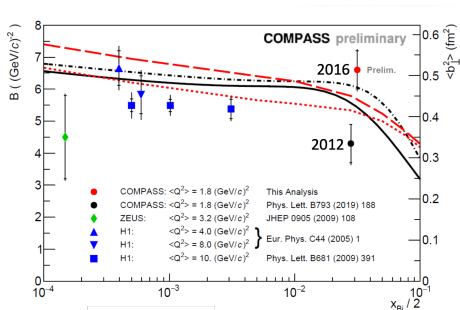
$t$ -slope  $\Rightarrow$  proton trans. ext.

$$\langle b_{\perp}^2(x_{Bj}) \rangle = 2 \langle B(x_{Bj}) \rangle \hbar^2$$



$$d\sigma^{\text{DVCS}}/dt \sim e^{-B|t|} \propto c_0^{\text{DVCS}} = (\text{Im}\mathcal{H})^2$$

Perform binned maximum Likelihood-fit.



$$B = (6.6 \pm 0.6_{\text{stat}} \pm 0.3_{\text{sys}}) (\text{GeV}/c)^{-2}$$

2012 results PLB 793 (2019) 188

Dominant source of systematics:  
 MC normalisation to visible  $\pi^0$  in data.

$$B = (4.3 \pm 0.6_{\text{stat}} \pm 0.3_{\text{sys}}) (\text{GeV}/c)^{-2}$$

# Hard Exclusive $\pi^0$ Meson Production

$\mu p \rightarrow \mu' p' \pi^0$  process

# Selection of events with $\pi^0$ topology

## Vertex candidates:

$\mu$  Beam muon

$\mu'$  Scattered muon

## 2- $\gamma$ candidate:

from  $\pi^0$  decay, with invariant mass  $M_{\gamma\gamma}$  cut

## Recoil proton candidate $P'$ :

$$|t|_{\max}^{\text{exp}} = 0.64(\text{GeV}/c)^2$$

## Exclusivity selections:

- $|\Delta p_T| < 0.3\text{GeV}/c$
- $|\Delta\phi| < 0.4\text{rad}$
- $|\Delta z_A| < 16\text{cm}$
- $|M_{\text{Undet}}^2| < 0.3(\text{GeV}/c^2)^2$
- $0.08(\text{GeV}/c)^2 < |t_{\text{fit}}| < 0.64(\text{GeV}/c)^2$

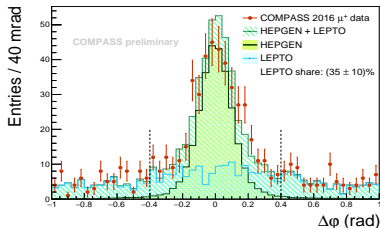
## Perform kinematic fit:

- constrain on kinematic variables  $\chi^2 < 10$

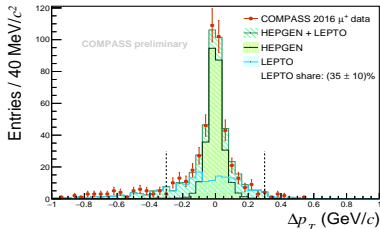
**Only events with single valid combination**

Vertex candidate  $\times$  2- $\gamma$  candidate  $\times$  Recoil proton candidate

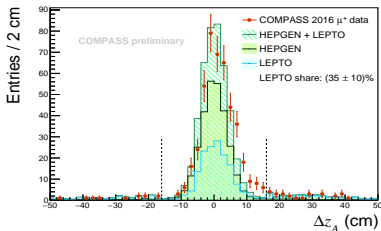
# Exclusive selections (COMPASS 2016 $\mu^+$ data)



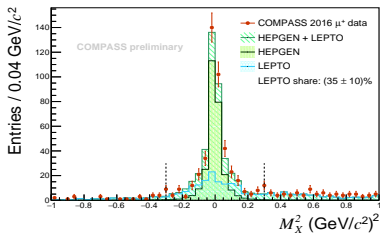
$$\Delta\phi = \phi^{\text{cam}} - \phi^{\text{spect}}$$



$$\Delta p_T = |p_T^{\text{cam}}| - |p_T^{\text{spect}}|$$



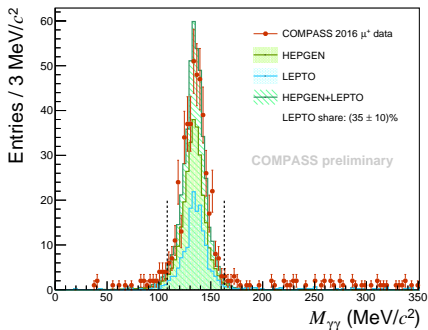
$$\Delta Z_A = Z_A^{\text{cam}} - Z_A^{\text{extrapolated}}$$



$$M_{\text{Undet}}^2 = (p_\mu + p_P - p_{\mu'} - p_{P'} - p_\gamma)^2$$

# Exclusive $\pi^0$ production: SIDIS background estimation

- ▶ Main background of  $\pi^0$  production  $\Rightarrow$  non-exclusive DIS process
- ▶ 2 MC simulations with the same  $\pi^0$  selection criteria:
  - ▶ LEPTO for the non-exclusive background
  - ▶ HEPGEN++ shape of distributions of exclusive  $\pi^0$  production (signal contribution)
- ▶ Search for best description of data fitting by mixture of both MC



- ▶ Non-exclusive background fraction is  $(35 \pm 10)\%$
- ▶ Background fit method is the main source of systematic uncertainty



## Exclusive $\pi^0$ cross section

$$\frac{d^2\sigma}{dt d\phi} = \frac{1}{2\pi} \left[ \left( \epsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt} \right) + \epsilon \cos 2\phi \frac{d\sigma_{TT}}{dt} + \sqrt{2\epsilon(1+\epsilon)} \cos \phi \frac{d\sigma_{LT}}{dt} \right]$$

Factorization proven for  $\sigma_L$ , not for  $\sigma_T$  which is expected to be suppressed by a factor  $1/Q^2$  BUT large contributions are observed at JLab.

$$\frac{d\sigma_L}{dt} \sim |\langle \tilde{H} \rangle|^2 - \frac{t'}{4m^2} |\langle \tilde{E} \rangle|^2$$

$$\frac{d\sigma_T}{dt} \sim |\langle H_T \rangle|^2 - \frac{t'}{8m^2} |\langle \bar{E}_T \rangle|^2$$

$$\frac{d\sigma_{TT}}{dt} \sim \frac{t'}{16m^2} |\langle \bar{E}_T \rangle|^2$$

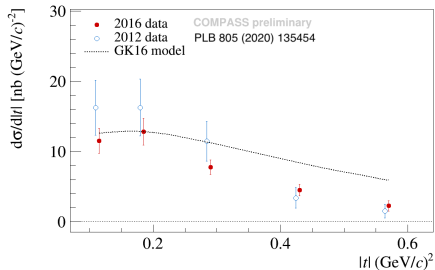
$$\frac{d\sigma_{LT}}{dt} \sim \frac{\sqrt{-t'}}{2m} \text{Re} \left[ \langle \tilde{H} \rangle \langle \tilde{E} \rangle \right]$$

$t' = t - t_{min}$ , where  $|t_{min}|$  is minimum value of  $|t|$

Impact of  $\bar{E}_T$  should be visible in  $\frac{d\sigma_{TT}}{dt}$ , and also a dip at small  $t$  of  $\frac{d\sigma_T}{dt}$

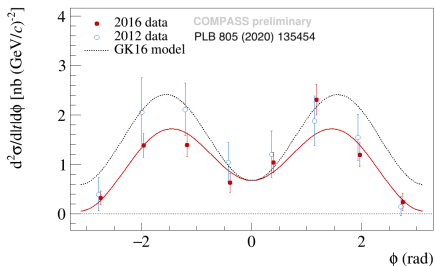
# Exclusive $\pi^0$ cross section

as a function of  $t$



- ▶ **GK 16:** Goloskokov Kroll (2016)
- ▶ **other models:** Golstein Gonzalez Liuti PRD91 (2015)

as a function of  $\phi$



# Outlook and summary

- ▶ **Analyse full statistics** of 2016 and 2017 (3 times more data than 2016)
- ▶ DVCS
  - ▶ Cross section study in few  $x_{Bj}$  regions  $\rightarrow$  tomography
  - ▶ More detailed studies of **systematic uncertainties**
  - ▶ **Study the azimuthal dependence** of the cross section
    - $\rightarrow$  Determine  $c_0^{\text{DVCS}}$ ,  $c_1^{\text{DVCS}}$ ,  $c_2^{\text{DVCS}}$ ,  $s_1^{\text{I}}$  and  $s_2^{\text{I}}$
  - ▶ **Cross section difference**  $D_{CS,U} = d\sigma^{+\downarrow} - d\sigma^{-\uparrow}$ 
    - $\rightarrow$  Access to  $Re\mathcal{H}$  and quark pressure distribution in the nucleon
- ▶ Exclusive  $\pi^0$ 
  - ▶ New, preliminary results of 2016 COMPASS measurement at  $\langle x_{Bj} \rangle = 0.096 \Rightarrow$  constraining phenomenological models (e.g. Goloskokov and Kroll; Goldstein, Gonzales and Liuti, etc.)

Thank you for your attention!

# Backup

# Cross section

$$d\sigma \propto |A_{BH}|^2 + |A_{DVCS}|^2 + \text{Interference Term}$$

$$\frac{d^4\sigma(lp \rightarrow lp\gamma)}{dx_{Bj}dQ^2d|t|d\phi} = d\sigma^{BH} + \left( d\sigma_{unpol}^{DVCS} + P_l d\sigma_{pol}^{DVCS} \right) + \left( e_l \text{Re}I + e_l P_l \text{Im}I \right)$$

$$\mathcal{D}_{CS,U} = d\sigma^{+\downarrow} - d\sigma^{-\uparrow} = 2 \left[ e_\mu a^{BH} \text{Re}A^{DVCS} + |P_\mu| d\sigma_{pol}^{DVCS} + \text{Re}I \right]$$

$$= 2 \left[ s_1^{DVCS} \sin \phi + c_0^I + c_1^I \cos \phi + c_2^I \cos 2\phi + c_3^I \cos 3\phi \right]$$

$$\mathcal{S}_{CS,U} = d\sigma^{+\downarrow} + d\sigma^{-\uparrow} = 2 \left[ d\sigma^{BH} + d\sigma_{unpol}^{DVCS} - |P_\mu| \text{Im}I \right]$$

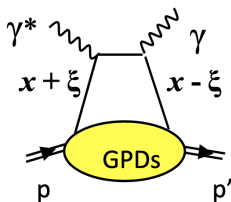
$$= 2 \left[ d\sigma^{BH} + c_0^{DVCS} + c_1^{DVCS} \cos \phi + c_2^{DVCS} \cos 2\phi + s_1^I \sin \phi + s_2^I \sin 2\phi \right]$$

# Cross section

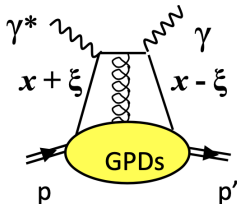
$$\mathcal{S}_{CS,U} = d\sigma^{+\downarrow} + d\sigma^{-\uparrow}$$

$$\mathcal{D}_{CS,U} = d\sigma^{+\downarrow} - d\sigma^{-\uparrow}$$

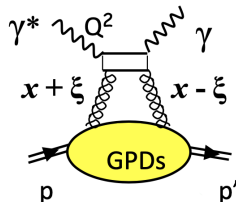
$\mathcal{S}_{CS,U}$	$d\sigma^{BH}$	$\propto$	$c_0^{BH} + c_1^{BH} \cos \phi + c_2^{BH} \cos 2\phi$
$\mathcal{S}_{CS,U}$	$d\sigma_{unpol}^{DVCS}$	$\propto$	$c_0^{DVCS} + c_1^{DVCS} \cos \phi + c_2^{DVCS} \cos 2\phi$
$\mathcal{D}_{CS,U}$	$d\sigma_{pol}^{DVCS}$	$\propto$	$s_1^{DVCS} \sin \phi$
$\mathcal{D}_{CS,U}$	$Re I$	$\propto$	$c_0^I + c_1^I \cos \phi + c_2^I \cos 2\phi + c_3^I \cos 3\phi$
$\mathcal{S}_{CS,U}$	$Im I$	$\propto$	$s_1^I \sin \phi + s_2^I \sin 2\phi$



LO, Twist-2



LO, Twist-3



NLO, Twist-2

$$\mathcal{S}_{CS,U} = d\sigma^{+\downarrow} + d\sigma^{-\uparrow}$$

$$\mathcal{D}_{CS,U} = d\sigma^{+\downarrow} - d\sigma^{-\uparrow}$$