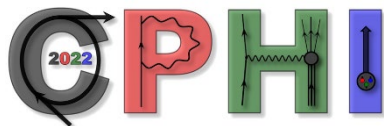


# TMD extractions from COMPASS SIDIS data

Anna Martin  
Trieste University and INFN



7-12 March 2022, Duke University

# TMD extractions from COMPASS SIDIS data

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## outlook

- **a leading order extraction of the intrinsic transverse momentum**
  - **transverse momentum distributions in SIDIS**
  - **the COMPASS and the Belle measurements**
  - **results for the intrinsic transverse momentum**

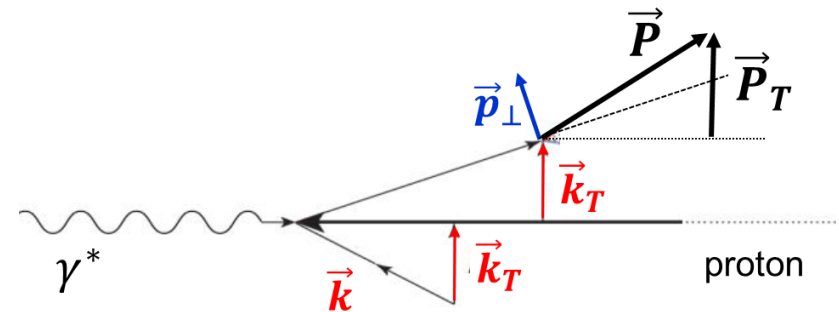
ongoing work - COMPASS Transversity group

- **extraction of Transversity and Sivers function from SIDIS Transverse Spin Asymmetries** (reminder)

# transverse momentum distributions in SIDIS

the measurement of the transverse-momentum distributions / cross-sections of hadrons produced in DIS allows to access  
the transverse momentum of quarks in the nucleon

- $\vec{k}_T$  transverse momentum of the initial quark in the GNS
- $\vec{p}_\perp$  transverse momentum acquired by the hadron in the fragmentation process with respect to the quark direction
- $\vec{P}_T$  transverse momentum of the final state hadron in the GNS



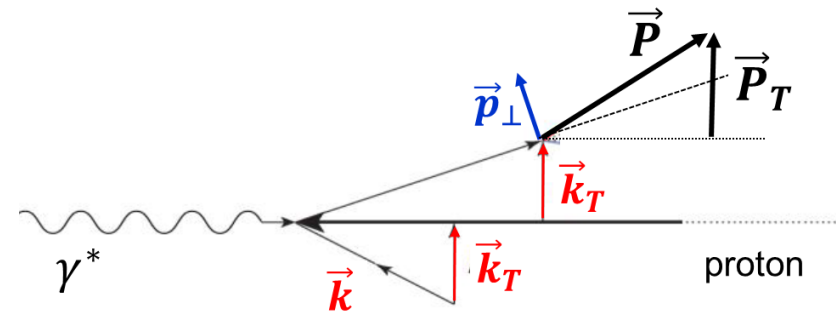
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$\vec{P}_T$  transverse momentum of the final state hadron in the GNS



at leading order  $\vec{P}_T \simeq z\vec{k}_T + \vec{p}_\perp \quad \Rightarrow \quad \langle P_T^2 \rangle \simeq z^2 \langle k_T^2 \rangle + \langle p_\perp^2 \rangle$

$\Rightarrow$  the mean value of  $k_T^2$  can be obtained from the  $P_T^2$  distributions

LO approach: on the theory side, a huge effort is ongoing

factorisation, TMD formalism applicability, Next-to-Next-to-Next-to Leading Order, separation of phase space regions ...

# transverse momentum distributions in SIDIS

---

measured since a long time

- EMC (1991), ZEUS (1996), H1 (1997, 2008)

and, more recently

- HERMES, Jlab
- **COMPASS** – charged hadrons in DIS of 160 GeV muons

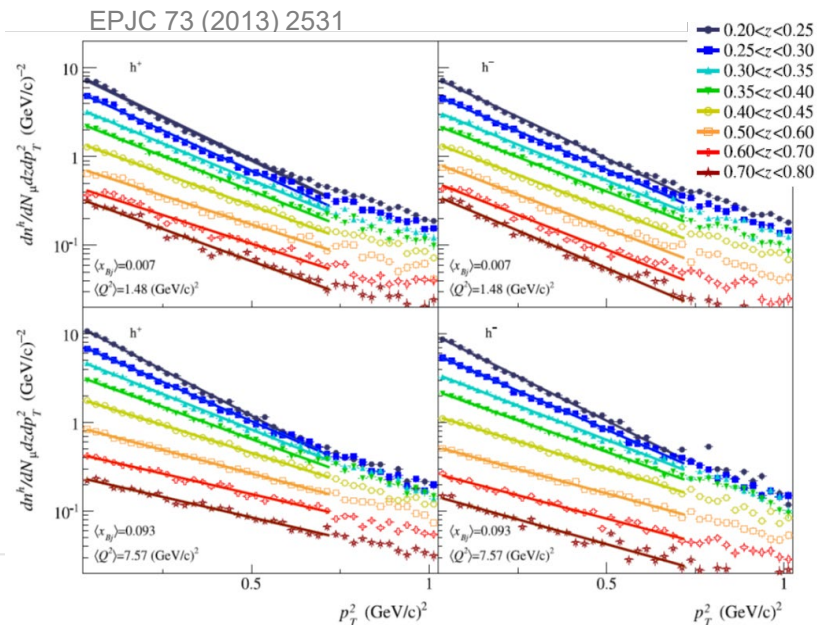
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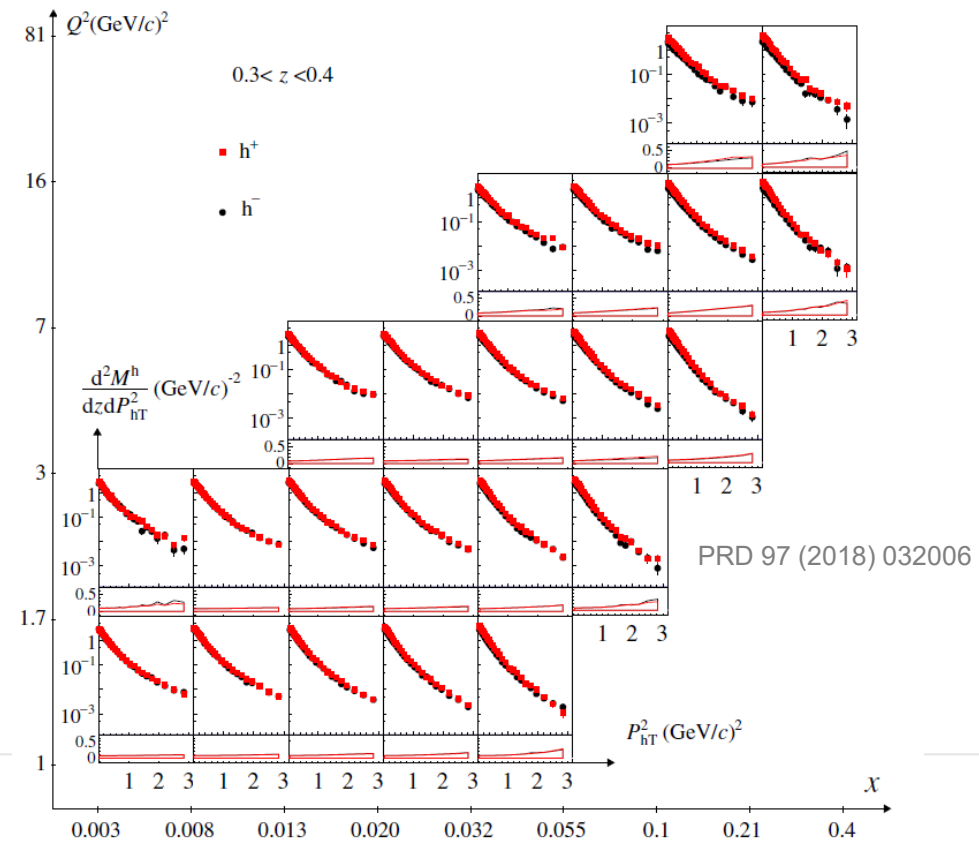
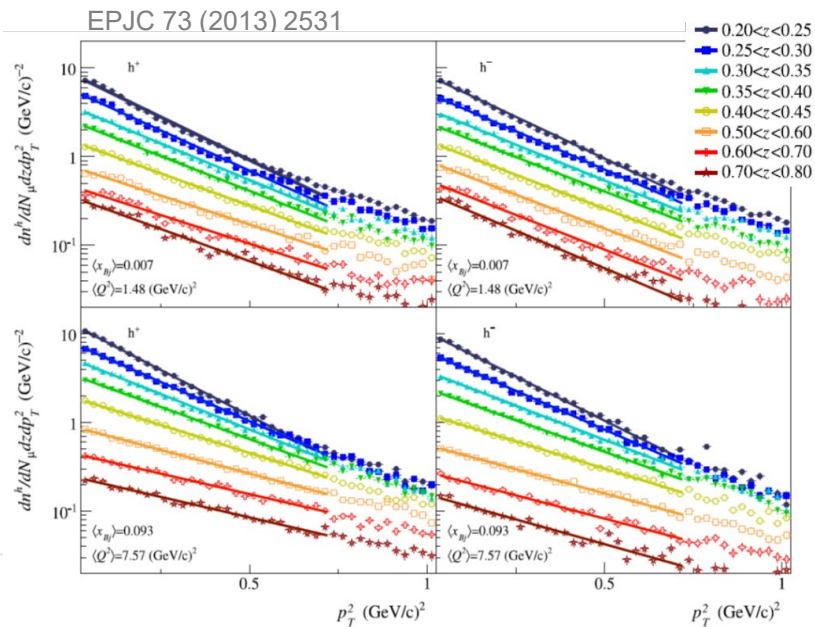
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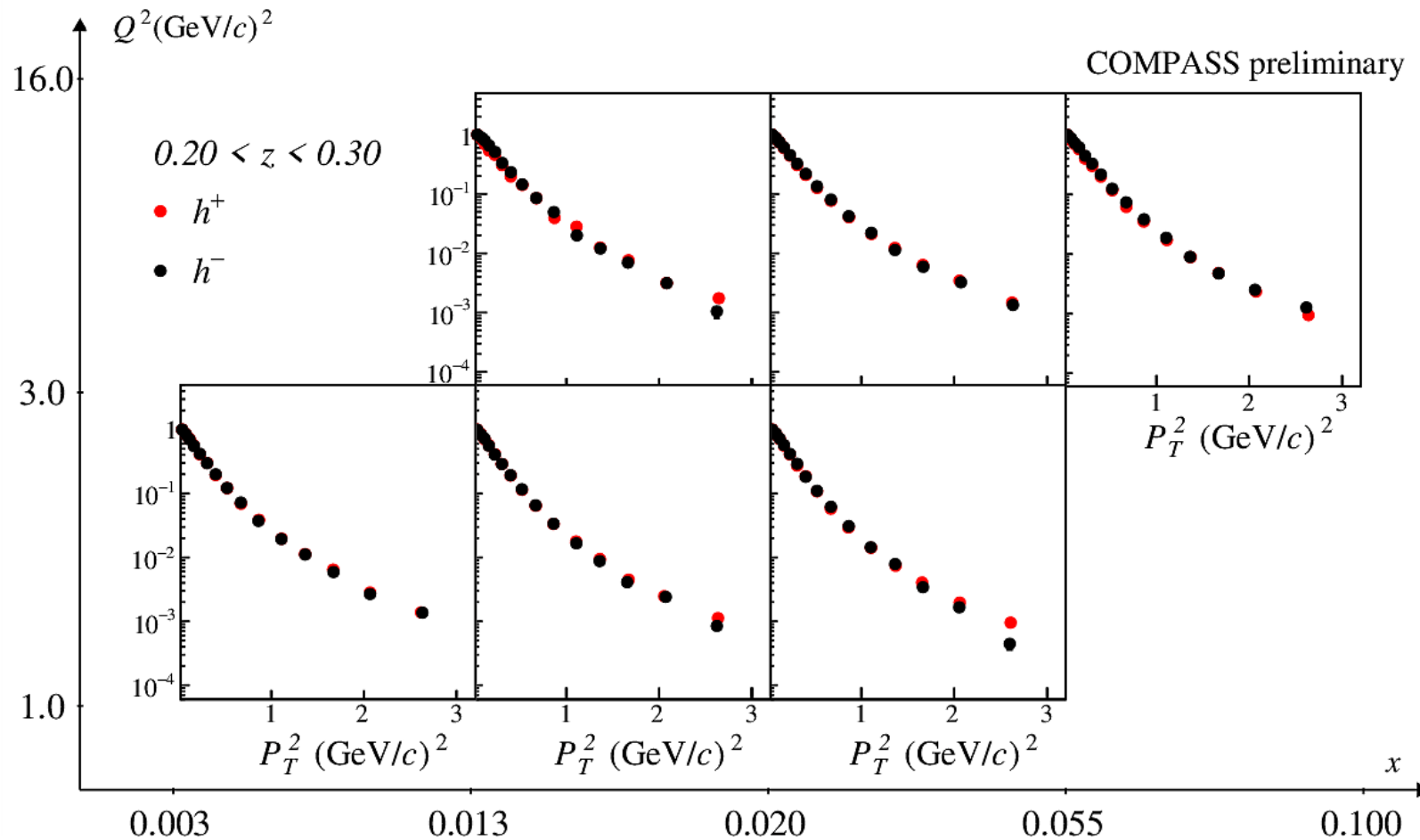
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  - **LH<sub>2</sub> – 2016 data**  $0.003 < x < 0.10$   $1 < Q^2 < 16 \text{ GeV}^2$  **NEW** → *A. Moretti*
    - $4x \times 2 Q^2 \times 4z$  ← **used in this work**
    - $4x \times 2 Q^2 \times 7z$
    - $4x \times 4 Q^2 \times 4z$
    - $4x \times 2 Q^2 \times 2W \times 4z$
    - $4x \times 4 Q^2 \times 2W \times 4z$



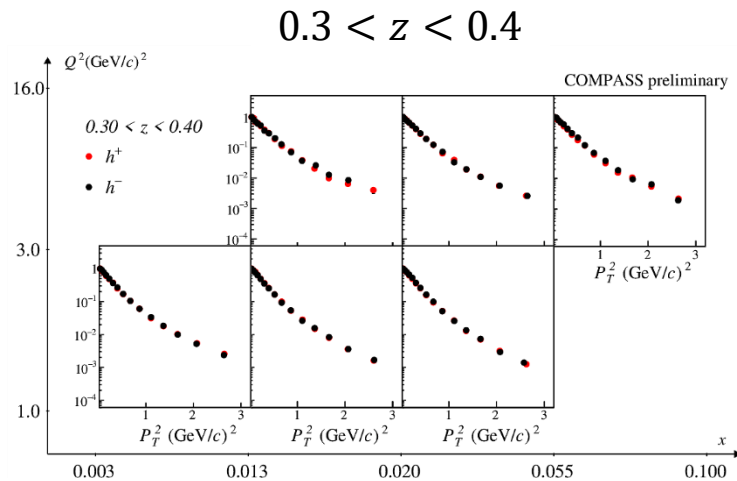
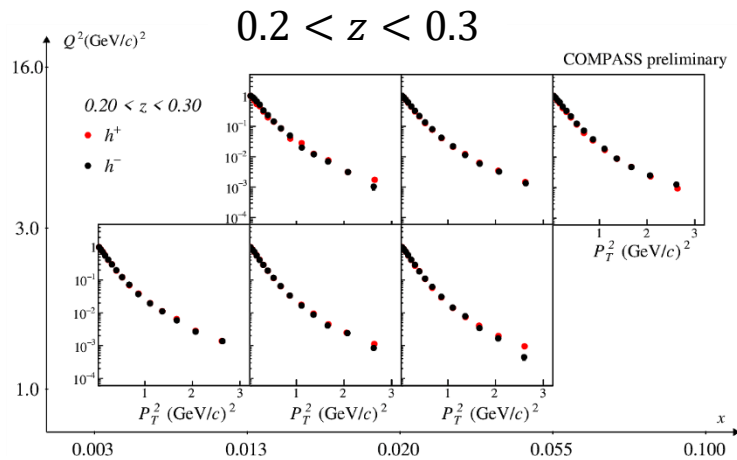
# transverse momentum distributions in SIDIS

COMPASS – charged hadrons, DIS with 160 GeV muons and LH<sub>2</sub> target  
2016 data



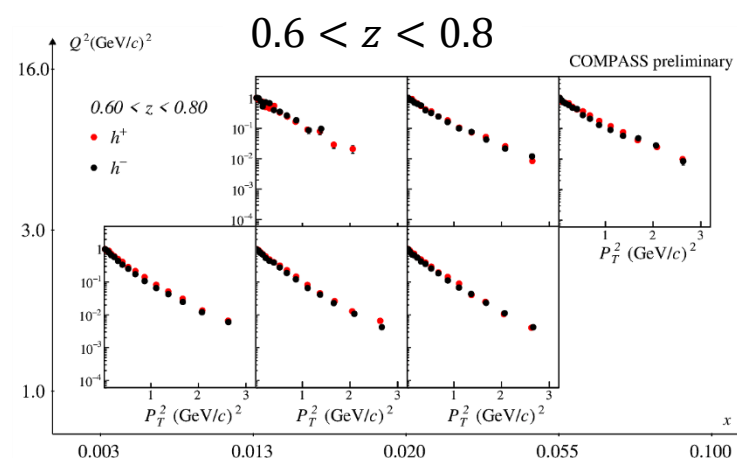
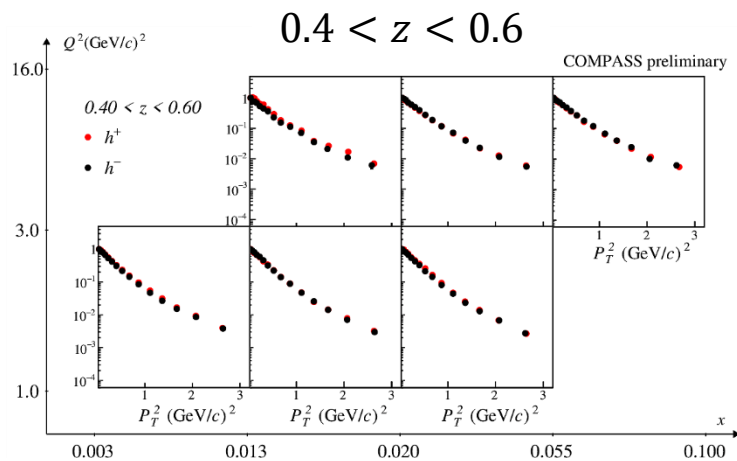
# transverse momentum distributions in SIDIS

COMPASS – charged hadrons, DIS with 160 GeV muons and LH<sub>2</sub> target  
2016 data



strong z  
dependence

almost the same  
shapes for  $h^+$  and  $h^-$



clear  $Q^2$   
dependence

- good quality fits with the sum of two exponentials up to 3 (GeV/c)<sup>2</sup>
- reasonable fits with one exponential up to 1 (GeV/c)<sup>2</sup> - bad  $\chi^2$  at low z

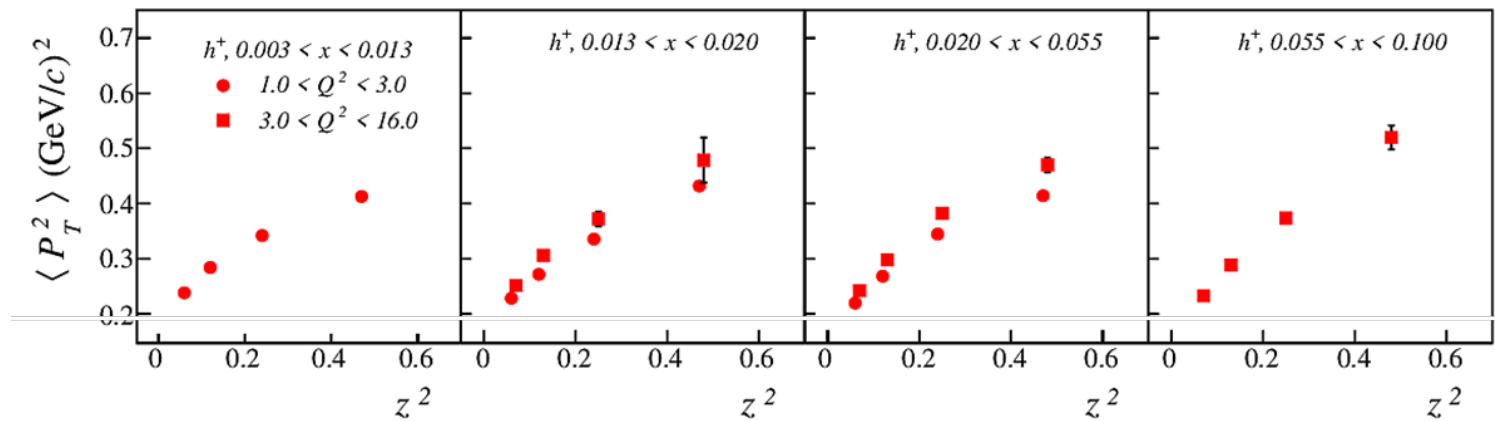
→  $\langle P_T^2 \rangle$

# transverse momentum distributions in SIDIS

COMPASS – charged hadrons, DIS with 160 GeV muons and LH<sub>2</sub> target  
2016 data

$\langle P_T^2 \rangle$  from fits with an exponential function up to 1 (GeV/c)<sup>2</sup>

COMPASS preliminary



LO expectation:  $\langle P_T^2 \rangle \simeq z^2 \langle k_T^2 \rangle + \langle p_{\perp}^2 \rangle$



$\langle p_{\perp}^2 \rangle$  depends on  $z$

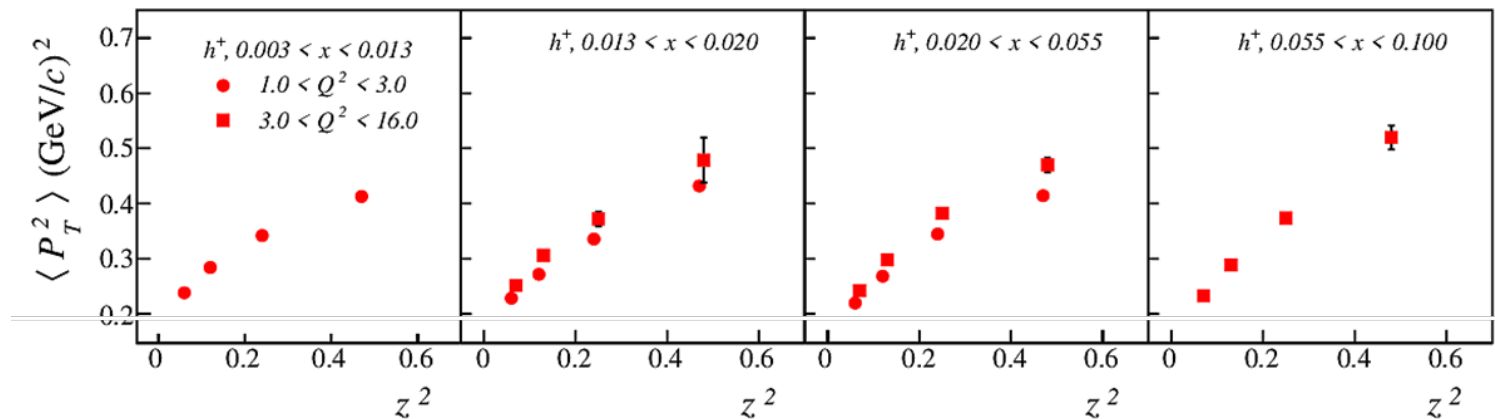
information from the recently published Belle data

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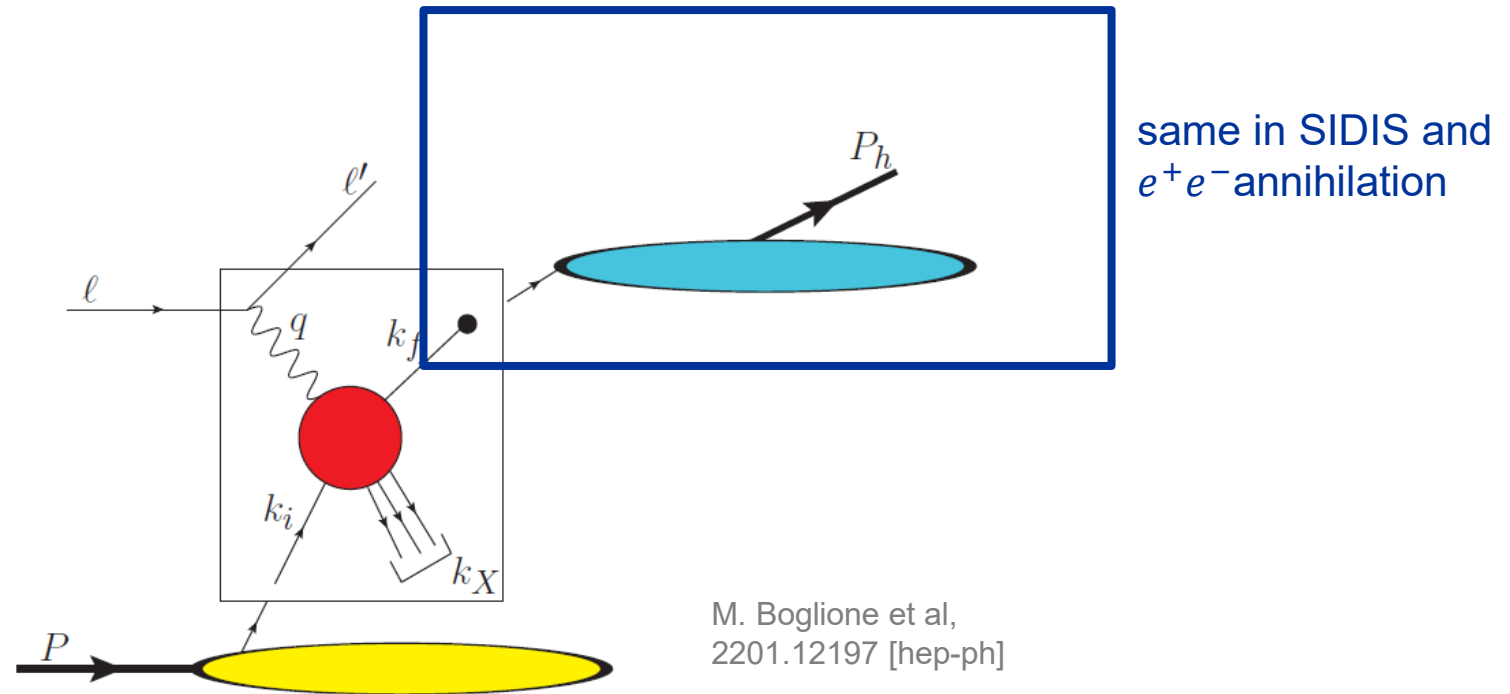
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this work:

same analysis of the COMPASS and Belle data to extract  $\langle P_T^2 \rangle$  and  $\langle p_{\perp}^2 \rangle$  respectively, and then evaluate  $\langle k_T^2 \rangle$  using the LO expression

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# the Belle $P_{hT}^2$ cross-sections

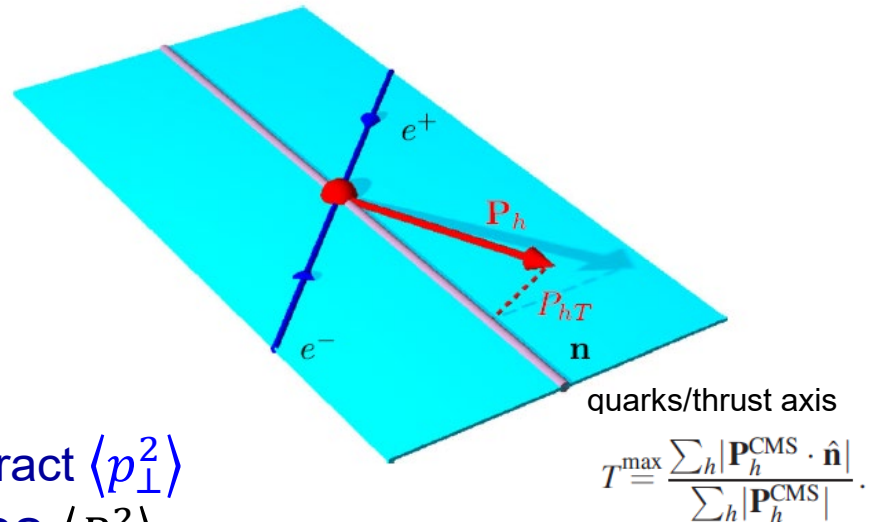
PRD 99, 112006 (2019)

“Transverse momentum dependent production cross sections of charged pions, kaons and protons produced in inclusive  $e^+e^-$  annihilation at  $\sqrt{s} = 10.58$  GeV”  
in 18  $z$  bins from 0.10 to 1.00 and in 5 bins of the event shape variable  $T$

transverse momentum measured  
with respect to the  
thrust axis  $\sim$  quark direction

$$P_{hT}^2 \rightarrow p_{\perp}^2$$

the Belle data can be used to extract  $\langle p_{\perp}^2 \rangle$   
and then  $\langle k_T^2 \rangle$  from the COMPASS  $\langle P_T^2 \rangle$   
all fragmentation properties in !



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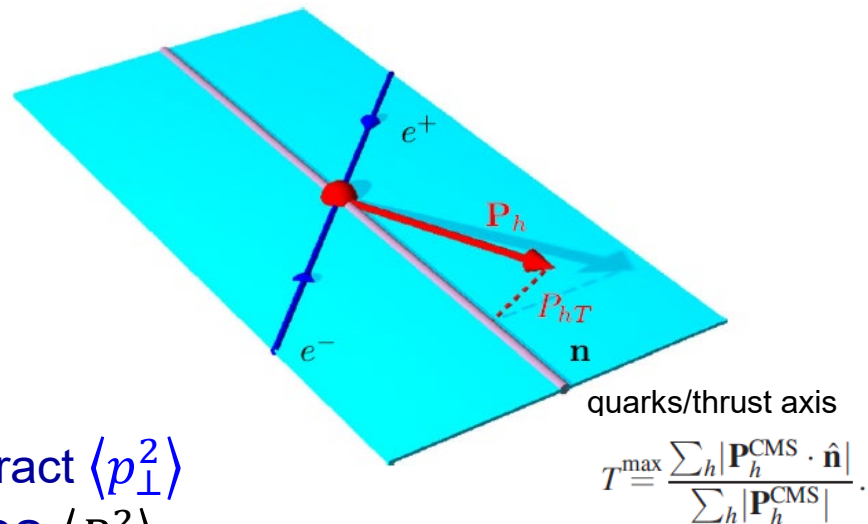
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main problem when comparing SIDIS and  $e^+e^-$  data: the scale  $s$  (or  $Q^2$ ) in  $e^+e^-$ ,  $Q^2$  and  $W$  is SIDIS

$$Q_{\text{COMPASS}}^2 \ll Q_{\text{Belle}}^2 \text{ but } W_{\text{COMPASS}} \simeq W_{\text{Belle}} \dots$$

# the Belle $P_{hT}^2$ cross-sections

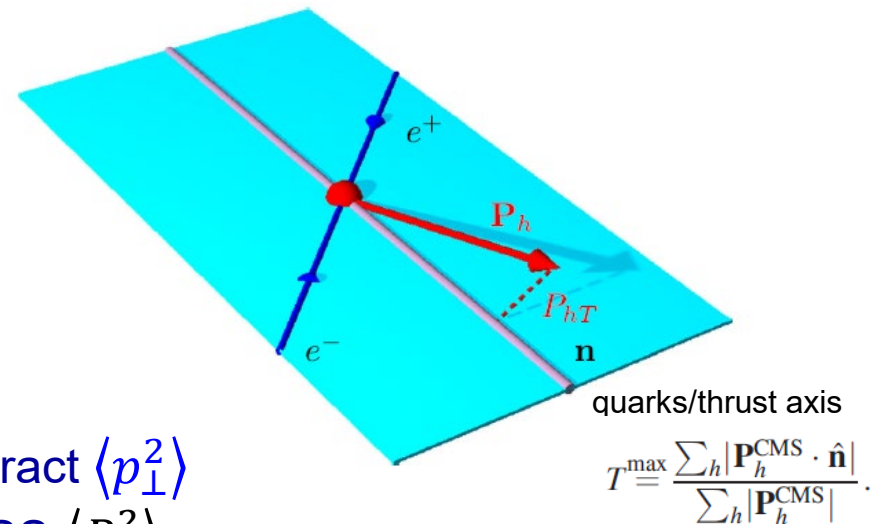
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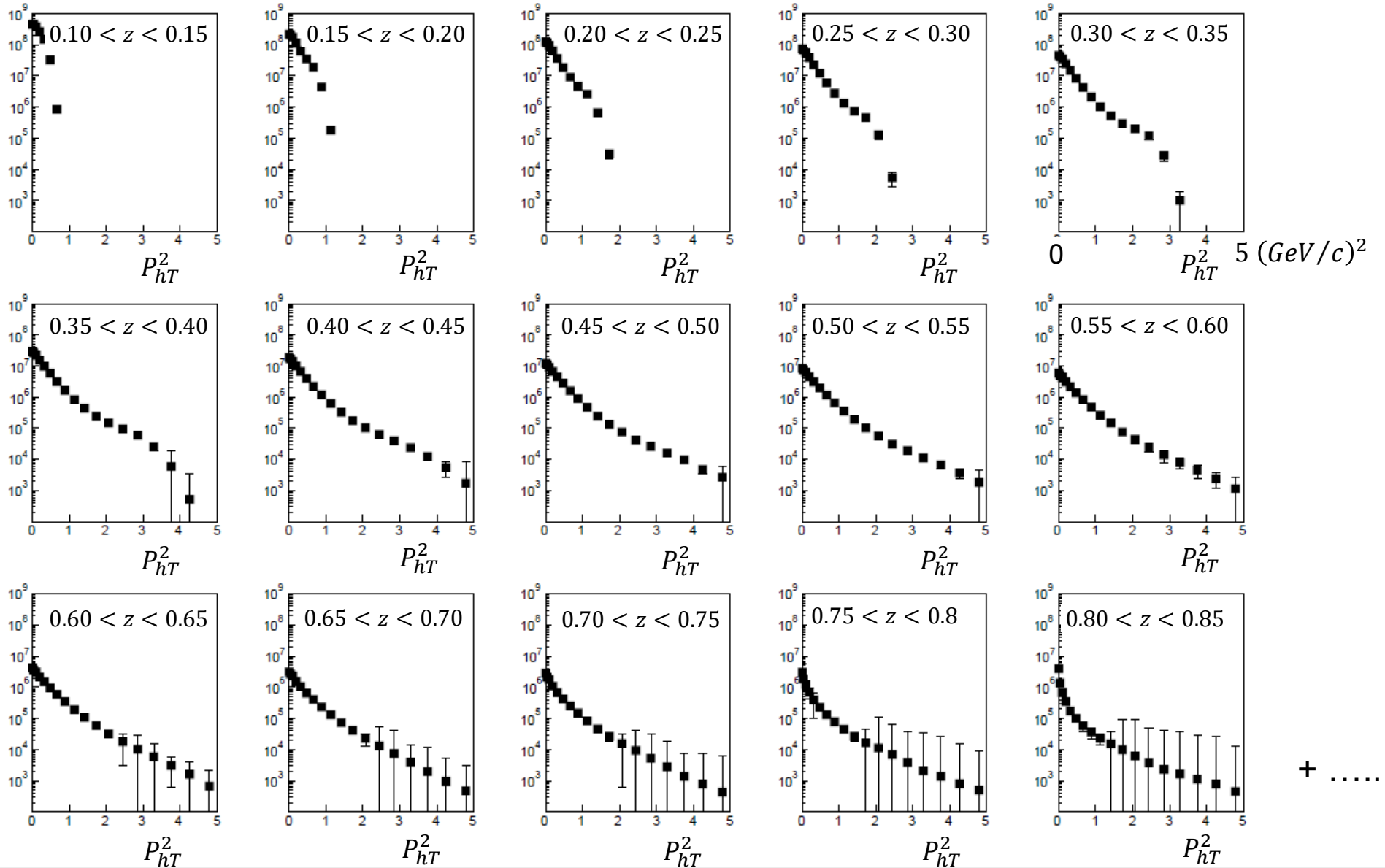


we added up the cross-sections in all the  $T$  bins excluding only  $0.95 < T < 1.00$   
small cross-section and large uncertainties



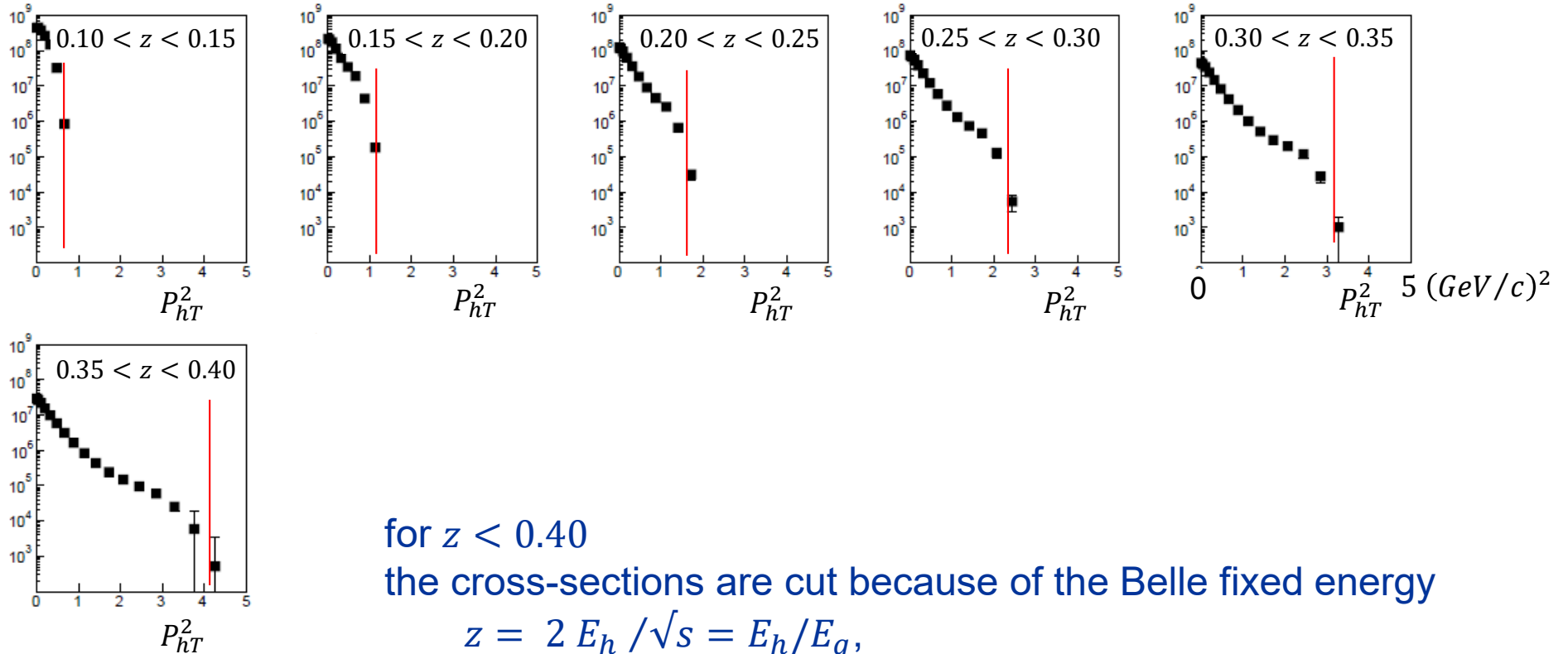
# the Belle $P_{hT}^2$ cross-sections

$\pi^\pm$  integrated over  $T$



# the Belle $P_{hT}^2$ cross-sections

$\pi^\pm$  integrated over  $T$



for  $z < 0.40$

the cross-sections are cut because of the Belle fixed energy

$$z = 2 E_h / \sqrt{s} = E_h / E_q,$$

$$z < z^* \rightarrow P_{hT} < z^* \sqrt{s} / 2$$

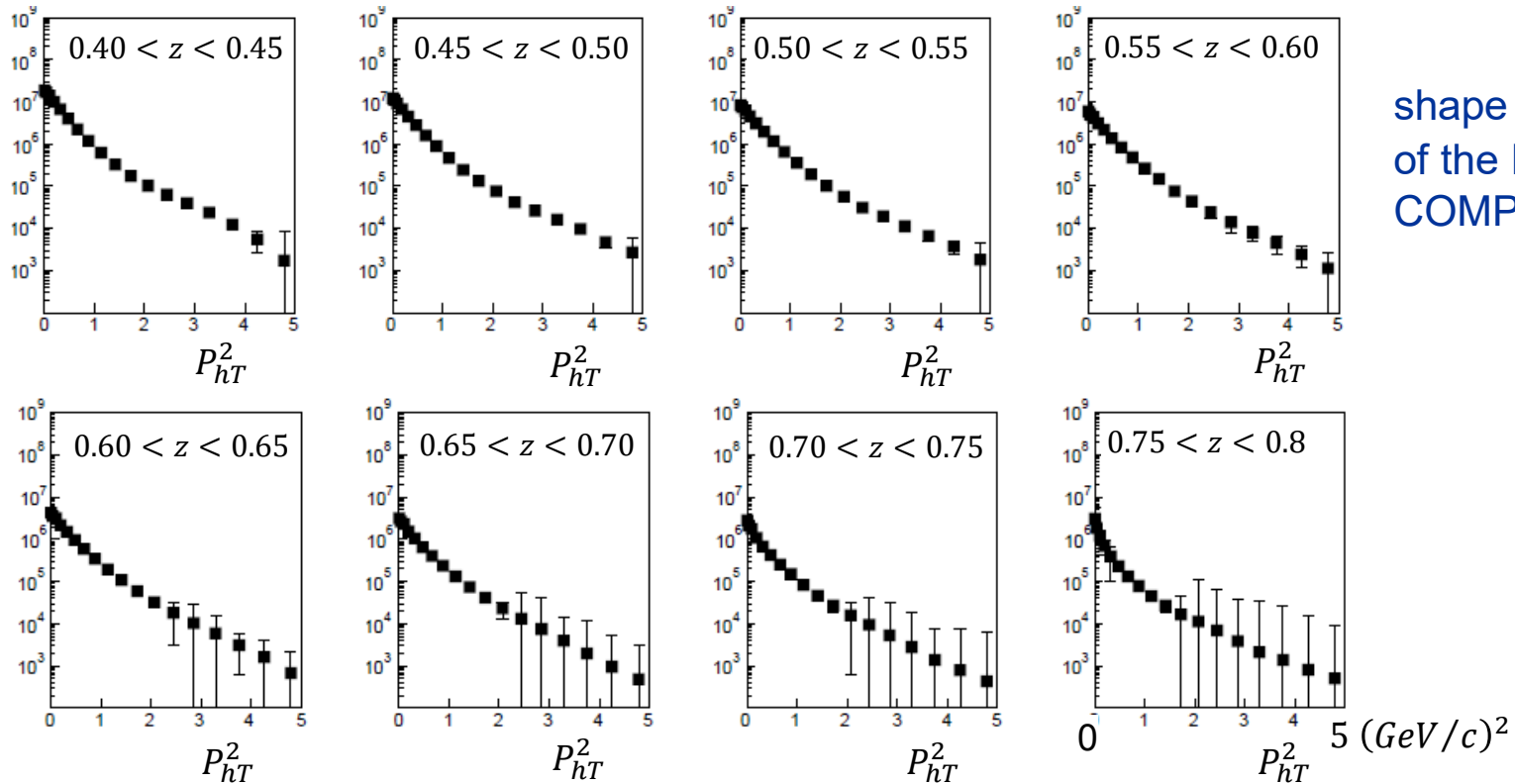
not used

# the Belle $P_{hT}^2$ cross-sections

$\pi^\pm$  integrated over  $T$

for  $0.4 < z < 0.80$

the cross-sections look fine, at least up to  $P_{hT}^2 = 3 (GeV/c)^2$



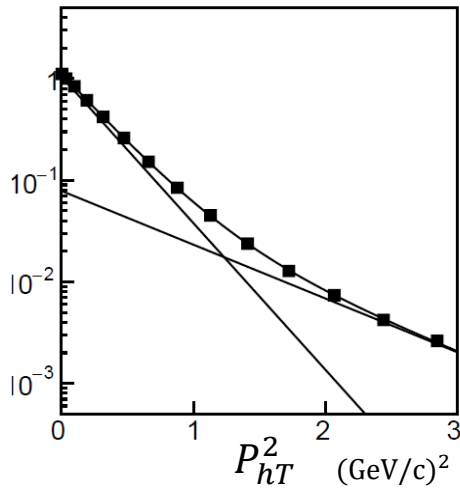
shape similar to that  
of the low- $z$   
COMPASS distributions

we summed the cross-sections to obtain the distributions in the bins  
 $0.40 < z < 0.60$  and  $0.60 < z < 0.80$ ,  
the same  $z$  bins as in COMPASS

# $\langle P_{hT}^2 \rangle$ from Belle distributions

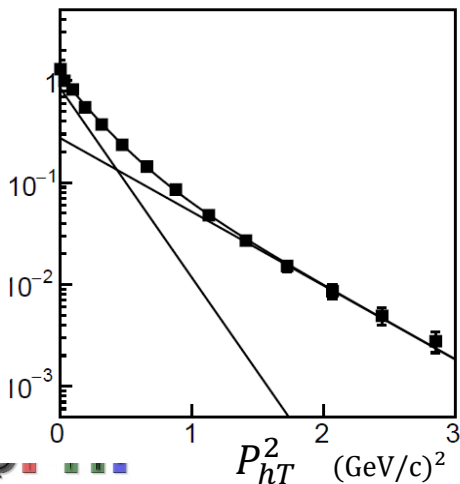
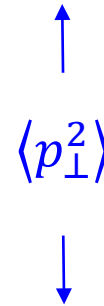
fits up to 3 (GeV/c)<sup>2</sup> with  $p_0 \cdot \exp\left(-\frac{P_{hT}^2}{p_1}\right) + p_2 \cdot \exp\left(-\frac{P_{hT}^2}{p_3}\right)$

to extract  $\langle P_{hT}^2 \rangle = \frac{p_0 p_1^2 + p_2 p_3^2}{p_0 p_1 + p_2 p_3}$



$0.40 < z < 0.60$

$\langle P_{hT}^2 \rangle = 0.389 \text{ (GeV/c)}^2$



$0.60 < z < 0.80$

$\langle P_{hT}^2 \rangle = 0.398 \text{ (GeV/c)}^2$

good agreement up to 5 (GeV/c)<sup>2</sup>

# $\langle P_T^2 \rangle$ from COMPASS distributions

for consistency with Belle

- we have produced the  $h^+ + h^-$  **distributions** (almost no difference between  $h^+$  and  $h^-$ )  
in the bins  $0.40 < z < 0.60$  ( $\langle z \rangle = 0.48$ ) and  $0.60 < z < 0.80$  ( $\langle z \rangle = 0.68$ )

and we have used

- the  $x$  bin  $0.020 < x < 0.055$  (statistics)  $\langle x \rangle = 0.037$
- the  $Q^2$  bin  $3 < Q^2 < 16 \text{ GeV}^2$

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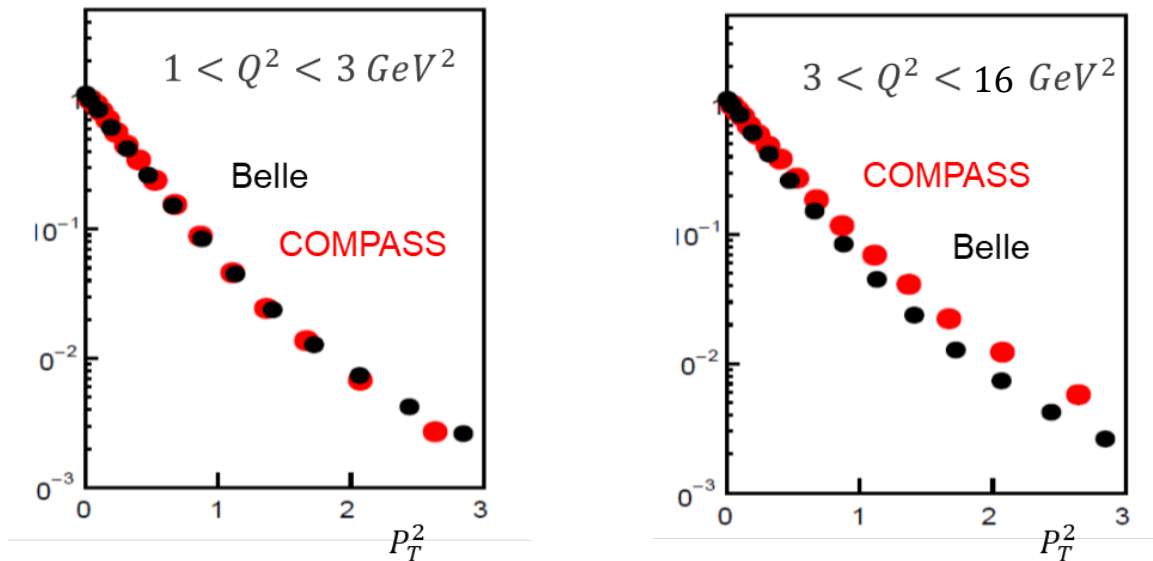
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$0.40 < z < 0.60$



too low  $Q^2$

from SIDIS measurements there is evidence that the  $Q^2$  dependence is stronger at low  $Q^2$  values

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**fit up to 3 (GeV/c)<sup>2</sup>** with the same function used for the Belle data  
very good  $\chi^2$

$$p_0 \cdot \exp\left(-\frac{P_T^2}{p_1}\right) + p_2 \cdot \exp\left(-\frac{P_T^2}{p_3}\right)$$

and calculated  $\langle P_T^2 \rangle$  in the same way  $\langle P_T^2 \rangle = \frac{p_0 p_1^2 + p_2 p_3^2}{p_0 p_1 + p_2 p_3}$

results:  $0.40 < z < 0.60$   $\langle P_T^2 \rangle = 0.456 \text{ (GeV/c)}^2$   
 $0.60 < z < 0.80$   $\langle P_T^2 \rangle = 0.545 \text{ (GeV/c)}^2$

## $\langle k_T^2 \rangle$ from the $P_T^2$ distributions

to summarise

$\langle z \rangle$	$\langle P_T^2 \rangle$ (GeV/c) <sup>2</sup>	$\langle p_{\perp}^2 \rangle$ (GeV/c) <sup>2</sup>
0.48	0.456	0.389
0.68	0.545	0.398

using  $\langle P_T^2 \rangle = z^2 \langle k_T^2 \rangle + \langle p_{\perp}^2 \rangle$  one gets, from the two  $z$  bins,

$$\langle k_T^2 \rangle = 0.29 \text{ (GeV/c)}^2 \quad \text{and} \quad \langle k_T^2 \rangle = 0.32 \text{ (GeV/c)}^2$$

final results:  $\langle k_T^2 \rangle = \mathbf{0.31 \text{ (GeV/c)}^2}$

at  $\langle x \rangle = 0.037$ ,  $\langle Q^2 \rangle = 4.7 \text{ GeV}^2$ ,  $\langle W \rangle = 11 \text{ GeV/c}^2$



# $\langle k_T^2 \rangle$ from the $P_T^2$ distributions

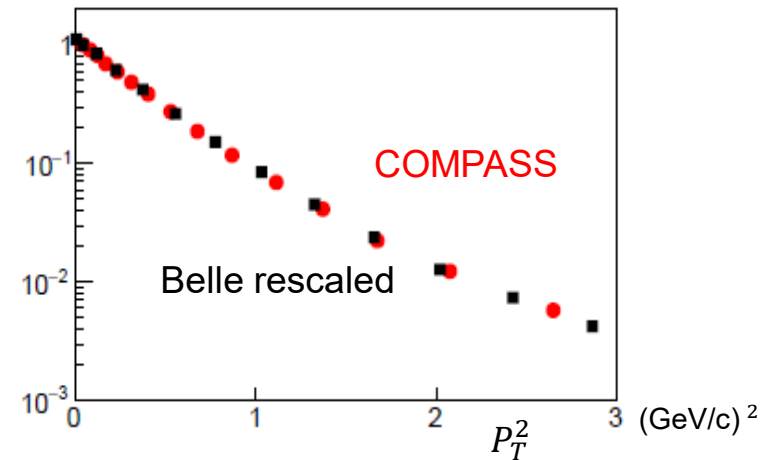
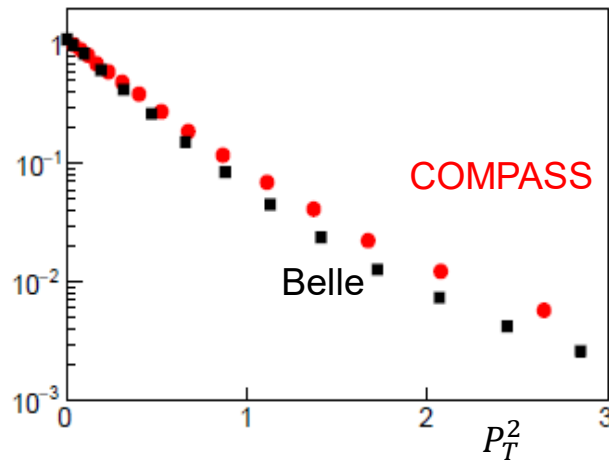
**check:** if the intrinsic transverse momentum has a Gaussian distribution,

$$\text{and } \vec{P}_T \simeq z\vec{k}_T + \vec{p}_\perp,$$

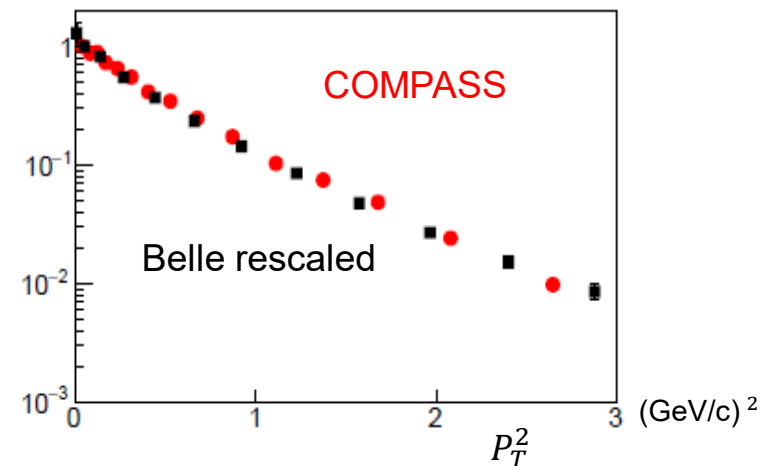
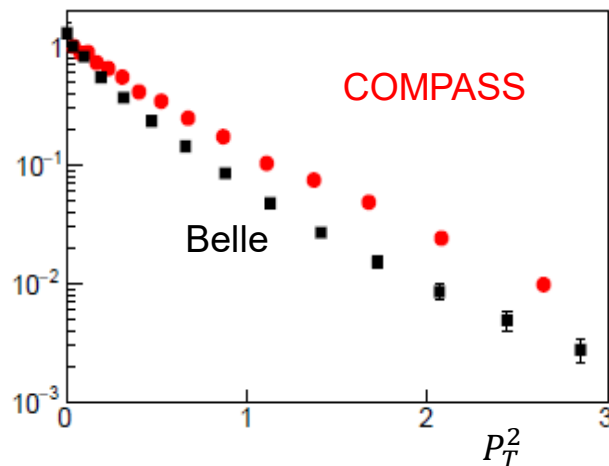
the Belle distributions should be in agreement with the COMPASS distributions

when  $p_{\perp i}^2$  is scaled by  $(z^2\langle k_T^2 \rangle + \langle p_\perp^2 \rangle)/\langle p_\perp^2 \rangle$

$0.40 < z < 0.60$



$0.60 < z < 0.80$



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this simple, LO extraction of the mean intrinsic transverse momentum looks promising

# TMD extractions from COMPASS SIDIS data

---

## direct extraction of Transversity and Sivers function from SIDIS Transverse Spin Asymmetries

important results have been measuring SIDIS with

- high energy muons (160, 190 GeV/c - unique facility, at CERN)
- transversely polarised d ( $^6\text{LiD}$ ) and p ( $\text{NH}_3$ ) targets

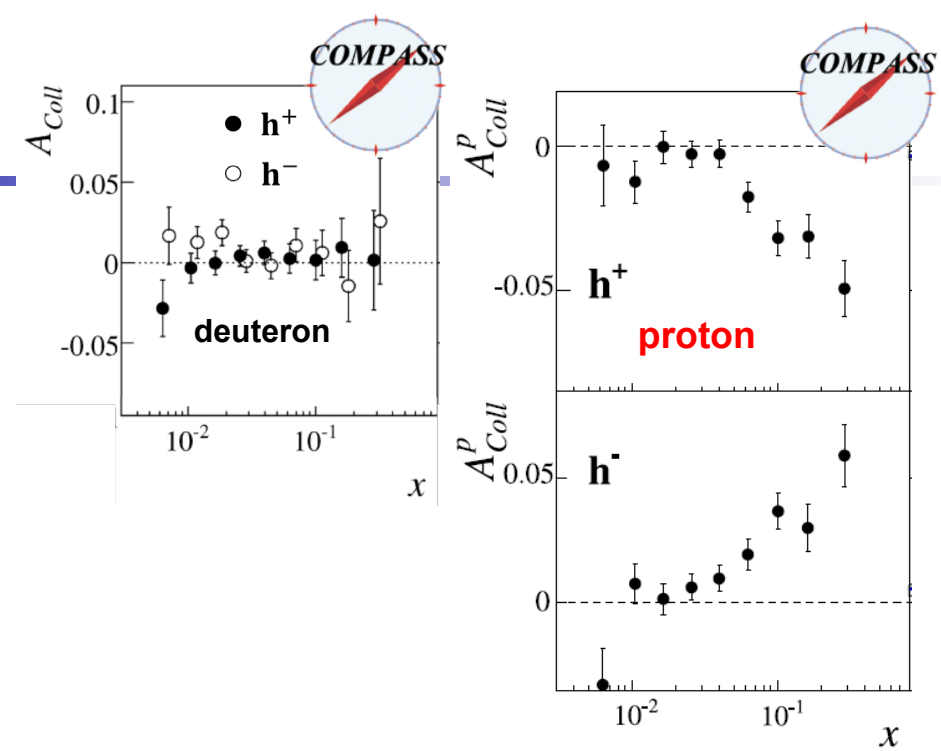
in particular, COMPASS is the only experiment that could measure TSA with p and d targets at the same energy, in the same kinematic region, with the same binning

this gave the opportunity to directly extract the transversity and Sivers functions

# Transversity extraction

using

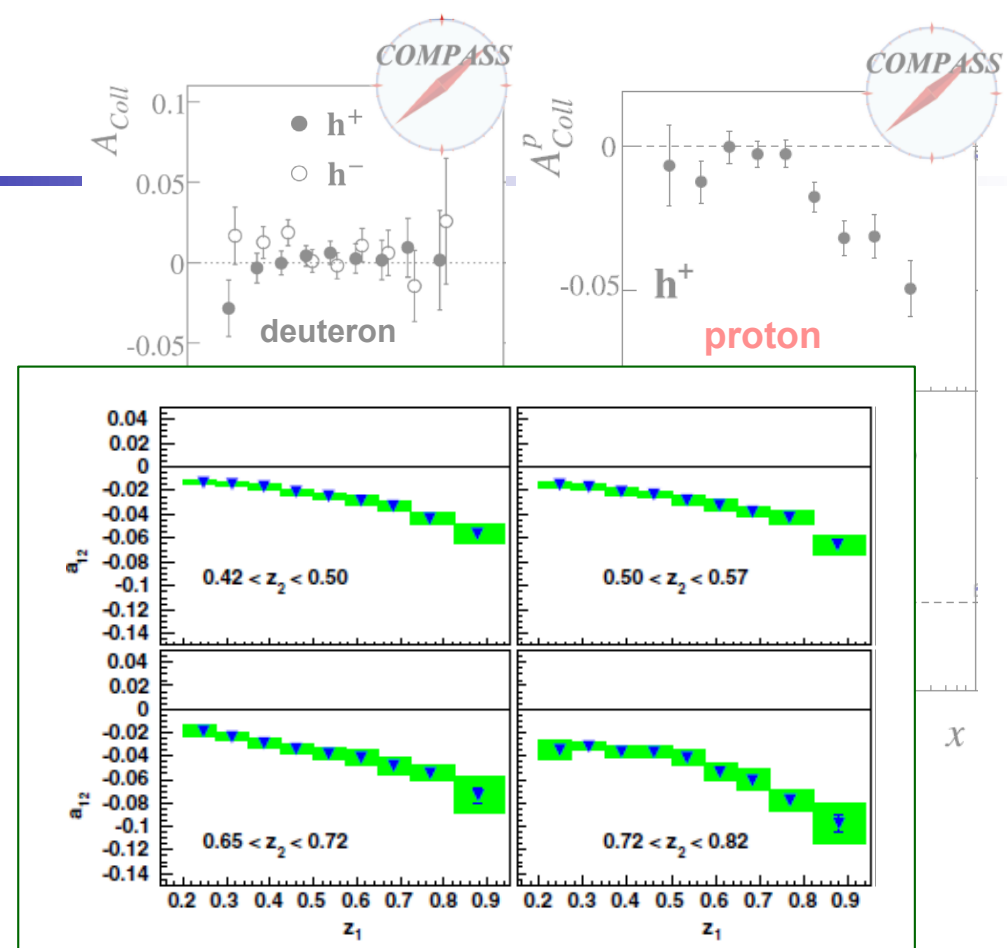
- the  $h^+$  and  $h^-$  Collins asymmetry in SIDIS off deuteron and **protons** measured by COMPASS



# Transversity extraction

using

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- the corresponding Belle asymmetries

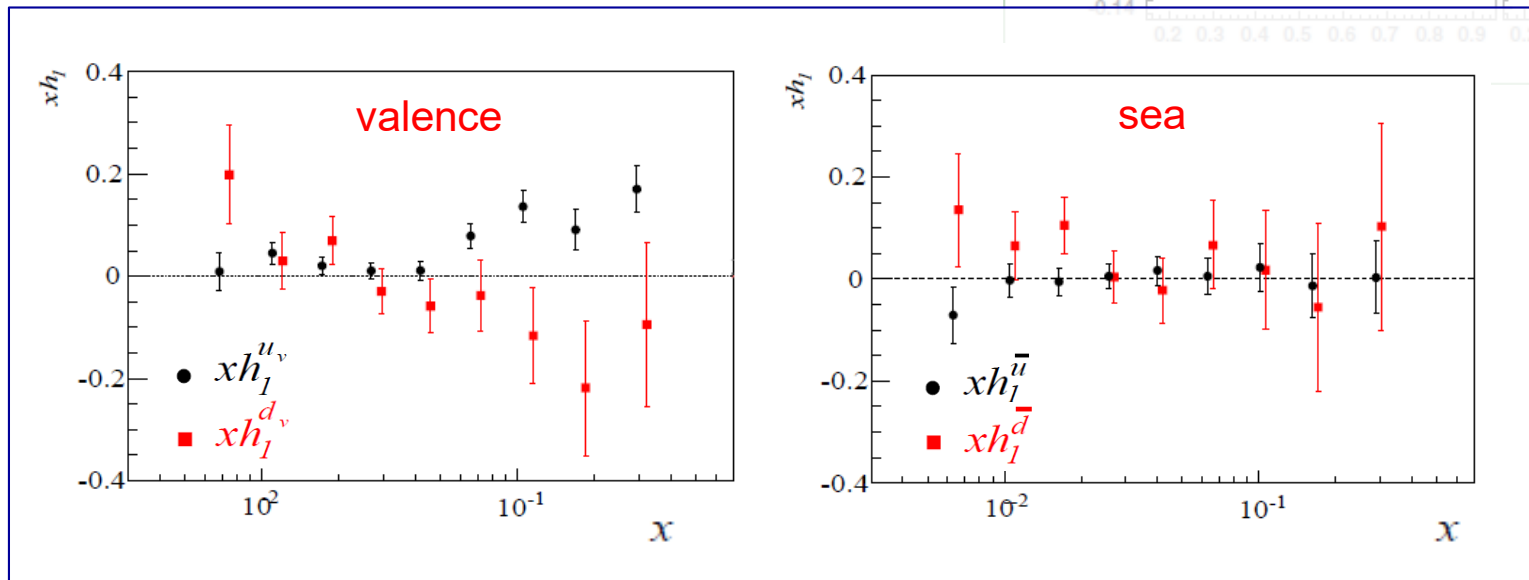
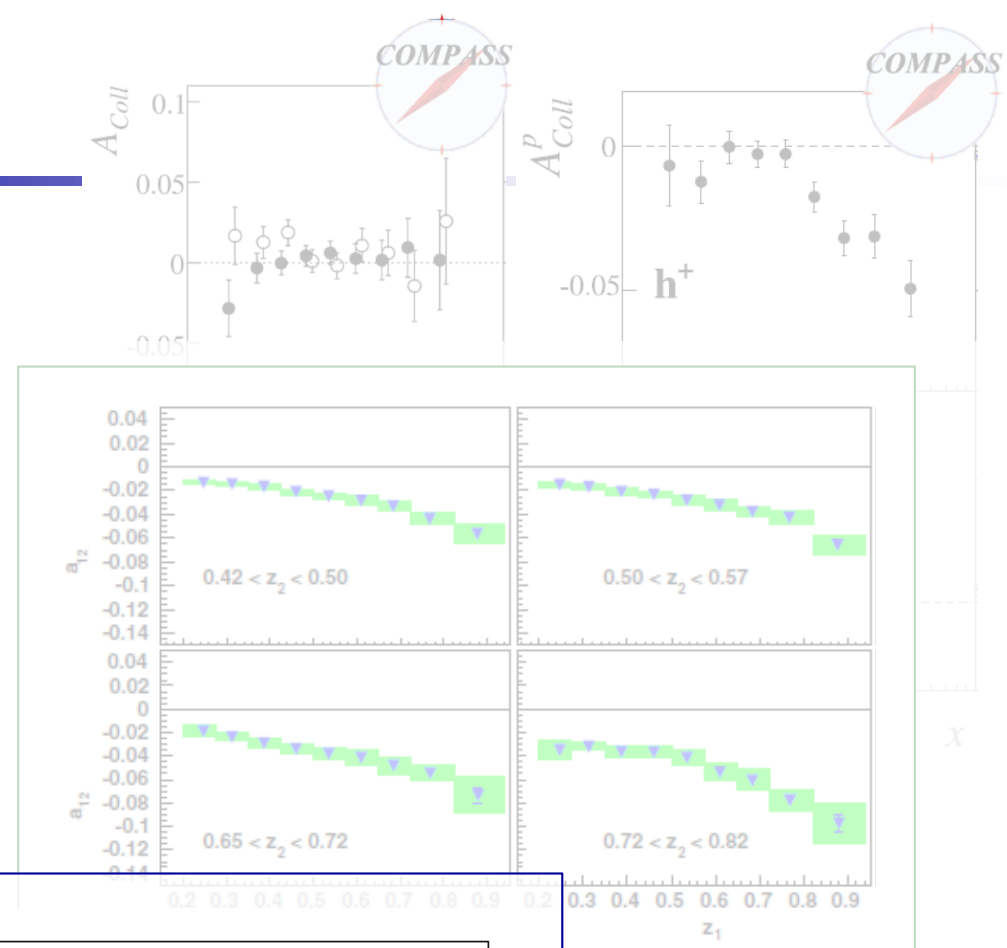


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using

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with the Gaussian ansatz  
point by point extraction of  $h_1$



A.M., F. Bradamante, V. Barone  
PRD91, 014034 (2015)

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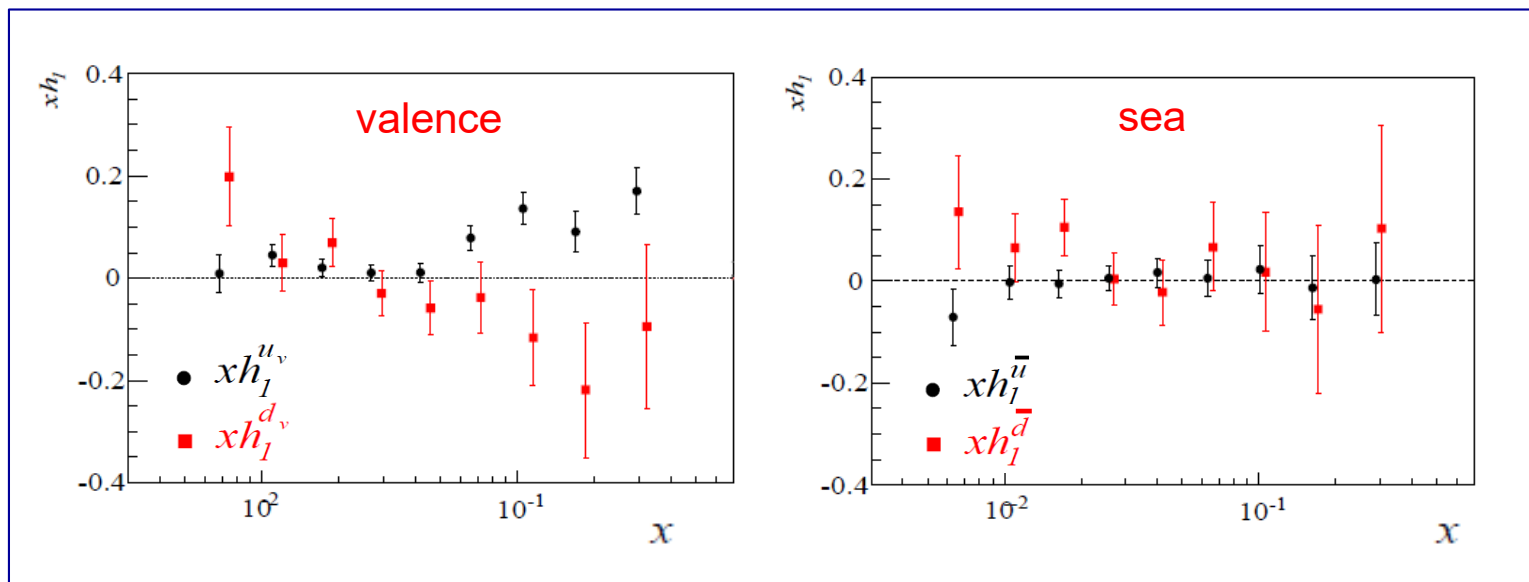
point by point extraction of  $h_1$

main advantage: no Monte Carlo nor parametrisation of PDFs and FFs is needed

done also with 2h asymmetries – similar results

it is possible to include the HERMES results (COMPASS addendum, ...)

large statistical uncertainty on d-quark transversity due to missing deuteron data (2022 run!)



A.M., F. Bradamante, V. Barone  
PRD91, 014034 (2015)

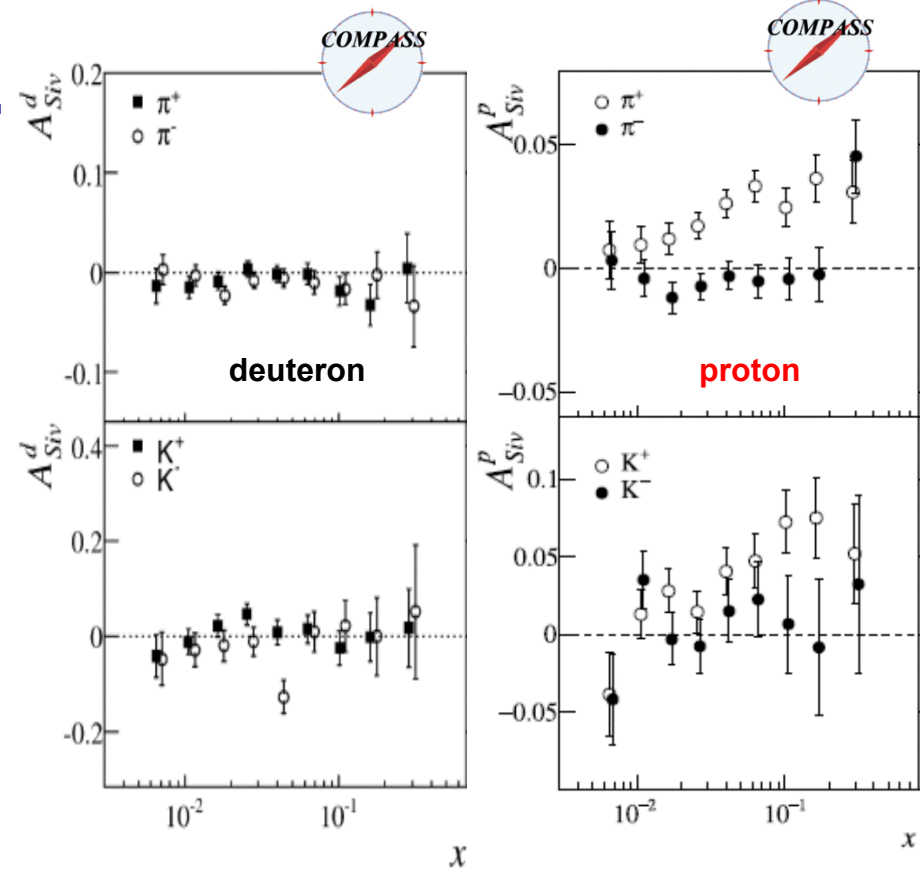
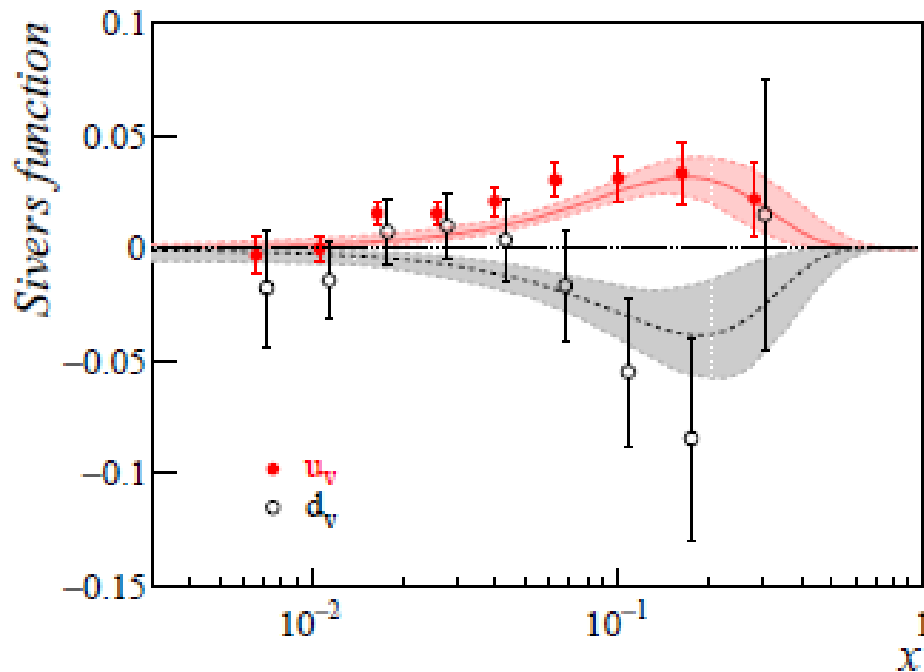
# Sivers function extraction / 1

using

- the charged pion and kaon Sivers asymmetry in SIDIS off **proton** and deuteron measured by COMPASS

with the Gaussian ansatz

point by point extraction of  $f_{1T}^{\perp(1)}$



AM, Bradamante, Barone  
PRD 95 (2017) 9, 094024

curves:  
Anselmino, Boglione, Melis,  
Phys. Rev. D 86, 014028 (2012).

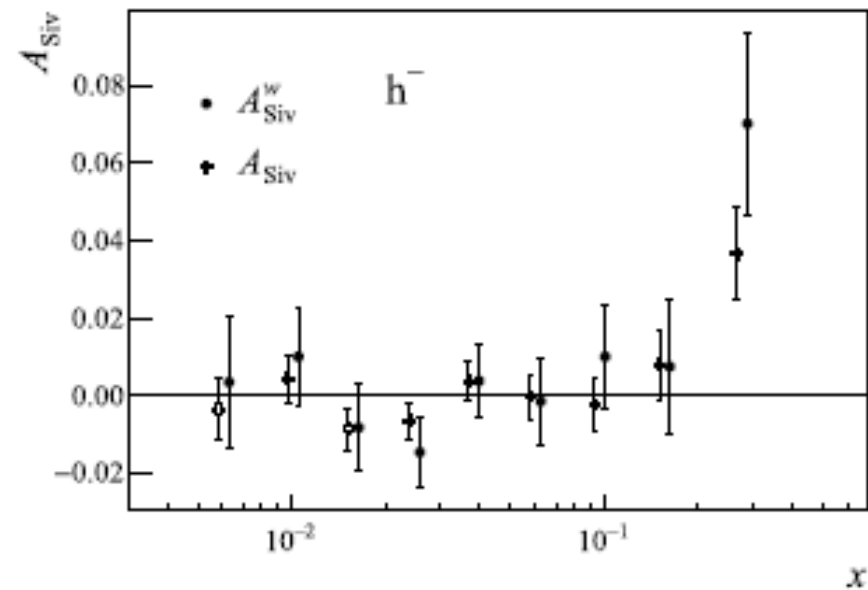
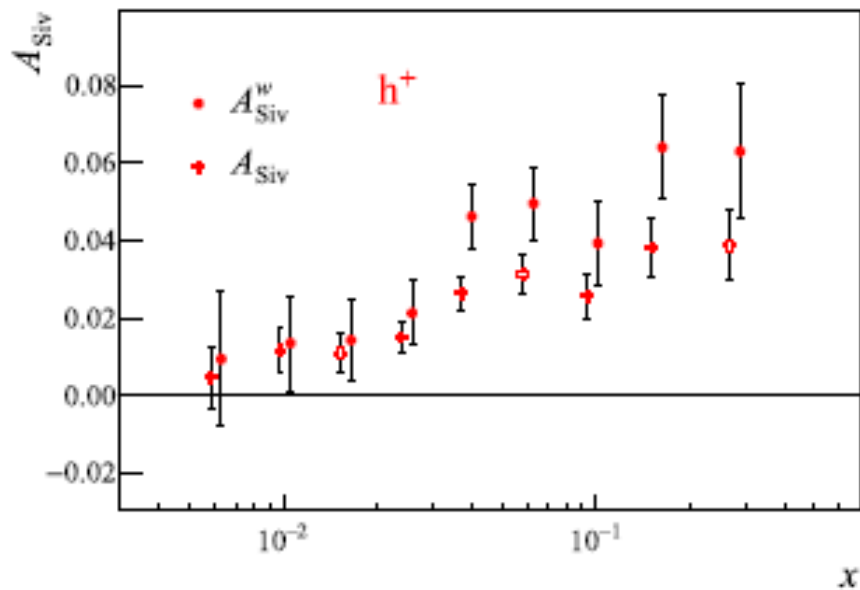


# Sivers function extraction / 2

COMPASS has also measured the  $P_T$ -weighted Sivers asymmetries for charged hadrons produced in SIDIS off protons



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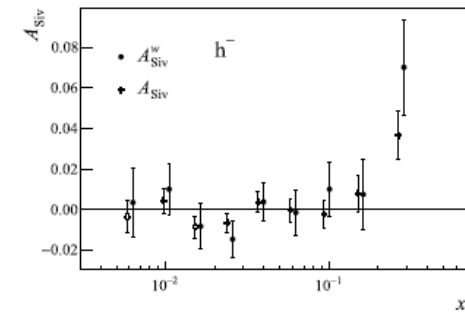
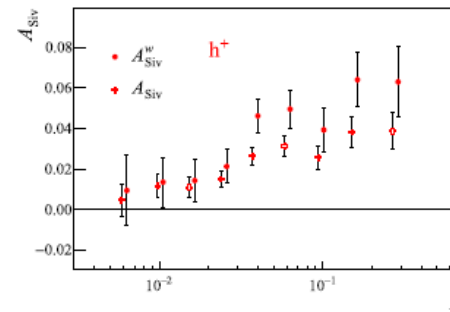
# Sivers function extraction / 2

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using these asymmetries the convolution over transverse momenta can be solved  
→ no need for the Gaussian ansatz  
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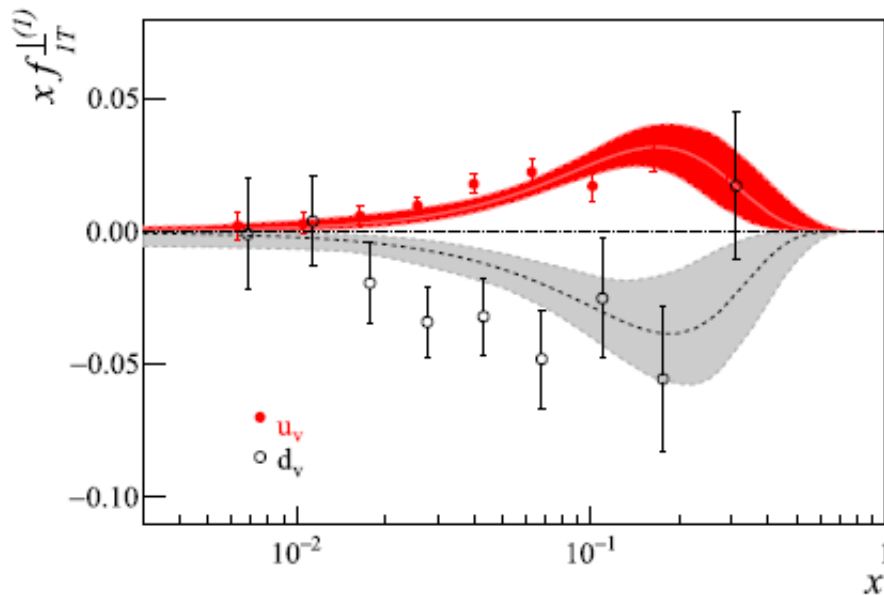
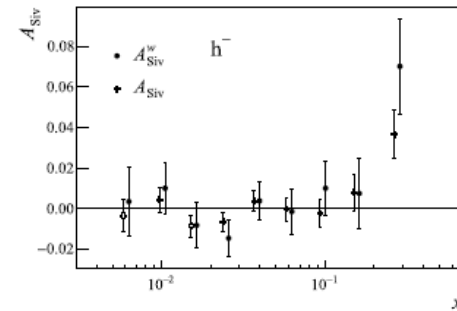
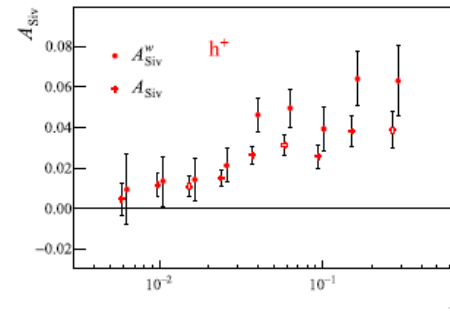
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NPB 940 (2019) 34

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assuming the Sivers function to be zero for sea quark (lack of the corresponding deuteron data)

# conclusions

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direct extractions of the transversity PDF and of the Sivers function have been performed using the COMPASS data on TSA, and the Belle data  
a different approach with respect to the global fits including also HERMES, JLab and RHIC data

NEW WORK: the direct comparison of the COMPASS and the Belle measurements of transverse momentum distributions allows for a simple, LO extraction of the mean value of the intrinsic transverse momentum squared  
a very reasonable result !

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*thank you !*

# $\langle k_T^2 \rangle$ from the $P_T^2$ distributions

**check:** if the intrinsic transverse momentum has a Gaussian distribution, and  $\vec{P}_T \approx z\vec{k}_T + \vec{p}_\perp$ , the Belle distributions should be in agreement with the COMPASS distributions when  $p_{\perp i}^2$  scaled by  $(z^2\langle k_T^2 \rangle + \langle p_\perp^2 \rangle)/\langle p_\perp^2 \rangle$

