Gluon-induced Sivers-like asymmetries at COMPASS

Jan Matoušek Charles university in Prague

On behalf of the COMPASS Collaboration

30. 9. 2020, JINR remote workshop Gluon content of proton and deuteron with the Spin Physics Detector at the NICA collider



Gluon-induced Sivers asymmetries

A (a) > (a) = (b) (a)



2 J/ ψ leptoproduction

3 High $p_{\rm T}$ hadrons

4 Conclusion, discussion

Jan Matoušek (Prague)



2 J/ψ leptoproduction

 \bigcirc High $p_{\rm T}$ hadrons

Onclusion, discussion

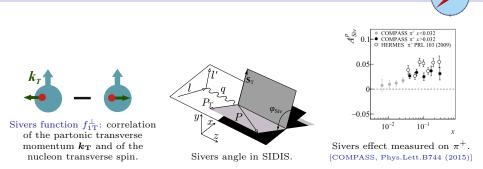
Jan Matoušek (Prague)

Gluon-induced Sivers asymmetries

(日) (四) (三) (三) æ 30. 9. 2020

3/22

Introduction: Gluonic Sivers function

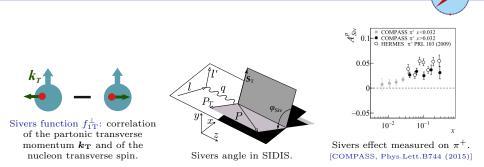


- Nonzero $f_{1T}^{\perp u}$ explains the observed left-right asymmetry in $\ell p^{\uparrow} \rightarrow \ell' h^+ X$.
- It can be linked to the intrinsic orbital angular momentum.
- Restricted universality: $f_{1T}^{\perp q[SIDIS]}| = -f_{1T}^{\perp q[DY]}$
- Sivers function of gluons is practically unknown.
- Restricted universality: Combinations of two universal functions in different processes: [Buffing et al., Phys.Rev.D88 (2013)], [D. Boer's talk today] [C. Pisano's talk today]

f-type (or [+, +], [-, -] types):
$$\gamma^*g \to QQ, gg \to \gamma\gamma, gg \to QQ$$
.
d-type (or [+, -], [-, +] types): $qg \to \gamma q$

Jan Matoušek (Prague)

Introduction: Gluonic Sivers function



- Nonzero $f_{1T}^{\perp u}$ explains the observed left-right asymmetry in $\ell p^{\uparrow} \rightarrow \ell' h^+ X$.
- It can be linked to the intrinsic orbital angular momentum.
- Restricted universality: $f_{1T}^{\perp q[SIDIS]}| = -f_{1T}^{\perp q[DY]}$
- Sivers function of gluons is practically unknown.
- Restricted universality: Combinations of two universal functions in different processes: [Buffing et al., Phys.Rev.D88 (2013)], [D. Boer's talk today] [C. Pisano's talk today]

f-type (or [+, +], [-, -] types):
$$\gamma^{\star}g \rightarrow Q\bar{Q}, \ gg \rightarrow \gamma\gamma, \ gg \rightarrow Q\bar{Q}.$$

d-type (or [+, -], [-, +] types): $qg \rightarrow \gamma q$

Jan Matoušek (Prague)

Introduction: Access to gluons in muon–nucleon scattering



Single- γ exchange processes describing well the μ -nucleon scattering:



Leading process (LP).





QCD Compton process (QCDC).

Photon-gluon fusion (PGF).

PGF gives access to gluons. How to tag it?

- $q\bar{q}$ are heavy flavour (cc̄ on COMPASS E)
 - "open charm": D mesons in the final state,
 - $\bullet~J/\psi$ in the final state.
- $q\bar{q}$ have large transverse momentum $p_{\rm T}$,
 - LP: $p_{\rm T}$ only from intrinsic quark $k_{\rm T}$ and fragmentation.
 - QCDC, PGF: $p_{\rm T}$ naturally present (higher order).

Using these methods, $f_{1T}^{\perp g}$ can be studied in SIDIS with transversely-polarised target.

Jan Matoušek (Prague)

・ロト ・日 ・ ・ ヨ ・ ・ ヨ

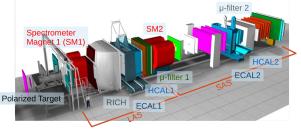
Introduction: COMPASS



- COMPASS Collaboration: 24 institutions from 13 countries (≈ 220 physicists).
- Experimental area: CERN Super Proton Synchrotron (SPS) North Area.
- $\bullet\,$ Two-stage spectrometer, about 350 detector planes, μ identification.
- Multi-purpose apparatus with rich physics program since 2002 aimed at hadron structure and spectroscopy.



Introduction: Transversely polarised SIDIS programme



Setup for SIDIS with 160 GeV/c μ^+ beam.

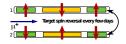
2002 - 2004

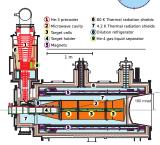
- Polarised ⁶LiD target.
- $f = 0.4, P_{targ.} = 0.5$
- Old target magnet (70 mrad).



2007, 2010

- Polarised NH₃ target.
- $f = 0.16, P_{targ.} = 0.9$
- New target magnet (180 mrad).

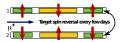




Polarised target (new magnet).

2021 (in preparation)

- Polarised ⁶LiD target.
- $f = 0.4, P_{\text{targ.}} = 0.5$
- New target magnet (180 mrad).



• Acceptance in polarisation-dependent azimuthal angles is cancelled. Jan Matoušek (Prague) Gluon-induced Sivers asymmetries

30. 9. 2020 7/22



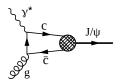
2 J/ ψ leptoproduction

3 High $p_{\rm T}$ hadrons

Onclusion, discussion

Jan Matoušek (Prague)

Gluon-induced Sivers asymmetries

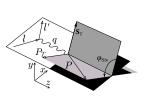


 $J\!/\psi$ formed in PGF.

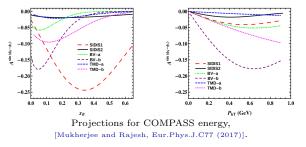
- Color octet model: $c\bar{c}$ in colour octet state \to J/ $\!\psi$ via soft gluon radiation.
- Transition probabilities between them given by NRQCD.
- Azimuthal distribution of g preserved, $\phi_{g} = \phi_{J/\psi}$,
- \rightarrow Sivers-like modulation in $\phi_{Siv} = \phi_{J/\psi} \phi_S$

$$\sigma(\phi_{\rm Siv}) = \sigma_0 \left(1 + f P_{\rm tar.} A^{\rm p}_{\rm Siv} \sin(\phi_{\rm Siv}) \right)$$

[Mukherjee and Rajesh, Eur.Phys.J.C77 (2017)],
 [Bacchetta et al., Eur.Phys.J.C 80 (2020)].

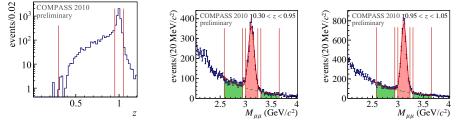


The Sivers angle (here $P = P_{J/\psi}$).



$J\!/\psi$ leptoproduction: Data analysis

- $\bullet \ \mu^+ \mathrm{p}^{\uparrow} \to \mu^+ J\!/\!\psi \, \mathrm{X} \to \mu^+ \mu^+ \mu^- \mathrm{X}.$
- Both possible combinations of $\mu^+\mu^-$ used.
- 2010 proton data.
- No Q^2 cut imposed (hard scale = $c\bar{c}$ mass).
- Two bins z: inclusive, exclusive.
- Clear J/ ψ signal (3.1 GeV/ c^2 , $\sigma \approx 55$ MeV/ c^2).
- Small background, limited statistics (≈ 2300 incl., 4500 excl.).



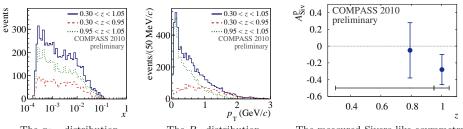
Energy fraction transferred to J/ψ .

Invariant mass, inclusive bin.

Invariant mass, exclusive bin.

・ロト ・四ト ・ヨト ・ヨ





The $x_{\rm Bj.}$ distribution.

The $P_{\rm T}$ distribution.

The measured Sivers-like asymmetry.

A B A B A B A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A

- $A_{\text{Siv}}^{\text{p}} = -0.28 \pm 0.18$ (**preliminary**, exclusive J/ ψ).
- Prospects for improving statistics:
 - Old ⁶LiD data: small effect,
 - Planned 2021 $^6{\rm LiD}$ data: \approx factor of 2.
- $\bullet\,$ We are considering analysing other J/ψ asymmetries and a paper.



2 J/ ψ leptoproduction

3 High $p_{\rm T}$ hadrons

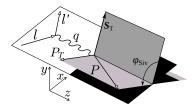
Onclusion, discussion

Jan Matoušek (Prague)

Gluon-induced Sivers asymmetries

- $\mu^+ N^\uparrow \rightarrow \mu^+ h_1 h_2 X.$
- 2003–2004 deuteron data, 2010 proton data.
- DIS cuts: $Q^2 > 1 \ (\text{GeV}/c)^2$, $W > 5 \ \text{GeV}/c^2$, $0.003 < x_{\text{Bj.}} < 0.7$ and 0.1 < y < 0.9
- $\bullet\,$ The correlation of $\phi_{\rm g}$ to various observables studied in LEPTO MC.
- $h_{1,2}$: charged hadrons with the largest and second-largest p_{T} .
- Hadron cuts: $p_{T1} > 0.7 \text{ GeV}/c$, $p_{T2} > 0.3 \text{ GeV}/c$, $z_i > 0.1 \text{ and } z_1 + z_2 < 0.9$.
- The azimuthal angle ϕ_P of $P = p_1 + p_2$ is correlated with ϕ_g .
- Gluonic Sivers effect induces a Sivers-like modulation in $\phi_{Siv} = \phi_P \phi_S$

$$\sigma_{\rm PGF}(\phi_{\rm Siv}) = \sigma_0 \left(1 + f P_{\rm tar.} A_{\rm PGF}^{\sin \phi_{\rm Siv}} \sin \phi_{\rm Siv} \right).$$



・ロト ・日ト ・ヨト ・ヨト







Leading process (LP).

QCD Compton process (QCDC).

Photon-gluon fusion (PGF).

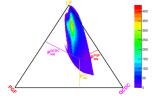
• Mixture of subprocesses: Events in a certain bin given by

$$\begin{split} N(\boldsymbol{x}, \phi_{\mathrm{Siv}}) &= a(\boldsymbol{x}, \phi_{\mathrm{Siv}}) \Big[1 + \beta_{\mathrm{PGF}}(\boldsymbol{x}, \phi_{\mathrm{Siv}}) A_{\mathrm{PGF}}^{\sin \phi_{\mathrm{Siv}}}(\boldsymbol{x}) \\ &+ \beta_{\mathrm{LP}}(\boldsymbol{x}, \phi_{\mathrm{Siv}}) A_{\mathrm{LP}}^{\sin \phi_{\mathrm{Siv}}}(\boldsymbol{x}) \\ &+ \beta_{\mathrm{QCDC}}(\boldsymbol{x}, \phi_{\mathrm{Siv}}) A_{\mathrm{QCDC}}^{\sin \phi_{\mathrm{Siv}}}(\boldsymbol{x}) \end{split}$$

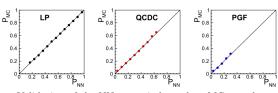
- $\beta_j(\boldsymbol{x}, \phi_{\mathrm{Siv}}) = R_j(\boldsymbol{x}) f P_{\mathrm{targ.}} \sin \phi_{\mathrm{Siv}}$, where $j = \mathrm{PGF}$, LP, QCDC.
- R_j are the subprocess fractions, obtained from a neural network (NN) trained on MC.
- Assuming R_j are independent of $A_j^{\sin \phi_{\text{Siv}}}$.
- $A_j^{\sin \phi_{Siv}}$ can be obtained by a simultaneous fit.
- The same method used for $\Delta g/g$ measurement (the talk of Marcin Stolarski, [COMPASS, Phys.Lett.B718 (2013)]).

・ロト ・回ト ・ヨト ・ヨト

- LEPTO generator (MSTW08 PDFs, parton shower on),
- Geant with COMPASS setup,
- FLUKA for secondary interactions.
- NN trained on 6 variables: $p_{T1}, p_{T2}, p_{L1}, p_{L2}, Q^2, x_{Bj}$.

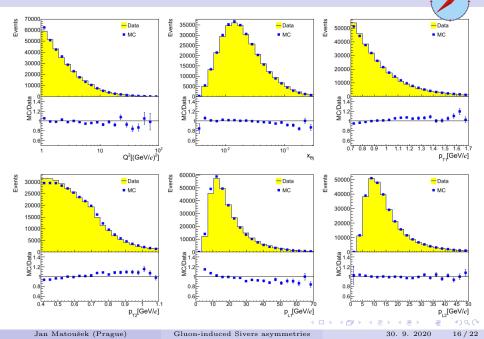


The probabilities assigned to events by the NN after training represented as distances from the triangle sides.

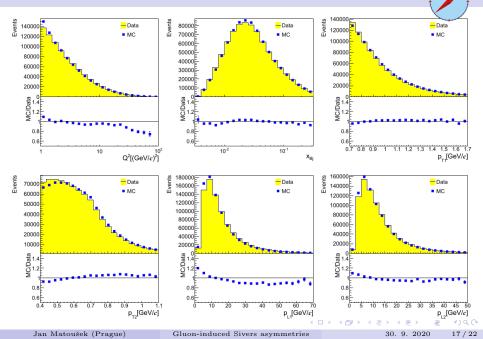


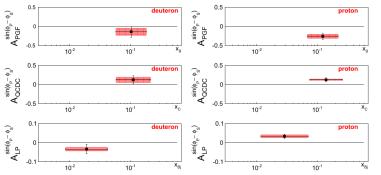
Validation of the NN on an independent MC sample.

High $p_{\rm T}$ hadrons: Data–MC comparison for deuteron



High $p_{\rm T}$ hadrons: Data–MC comparison for proton



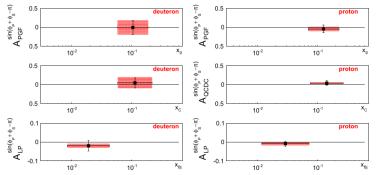


The final Sivers-like asymmetries for deuteron and proton [COMPASS, Phys.Lett.B772 (2017)].

- $A_{\text{PGF,d}}^{\sin \phi_{\text{Siv}}} = -0.14 \pm 0.15 \text{(stat.)} \pm 0.06 \text{(syst.)},$
- $A_{\text{PGF},p}^{\sin \phi_{\text{Siv}}} = -0.26 \pm 0.09 \text{(stat.)} \pm 0.08 \text{(syst.)}$
- Combined: $A_{\text{PGF}}^{\sin \phi_{\text{Siv}}} = -0.23 \pm 0.08(\text{stat.}) \pm 0.05(\text{syst.})$
- The results for LP consistent with the standard 1h Sivers asymmetry.
- The main systematic uncertainty: MC settings and tuning.

Jan Matoušek (Prague)



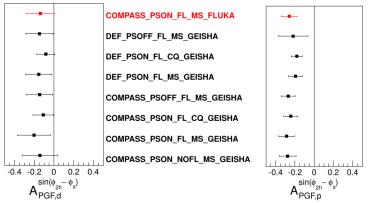


The final Collins-like asymmetries for deuteron and proton [COMPASS, Phys.Lett.B772 (2017)].

- Collins-like asymmetries obtained in the same way.
- For PGF compatible with zero (expected, gluonic transversity being zero).

A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A





The dependence of the asymmetries on MC settings [COMPASS, Phys.Lett.B772 (2017)].

- Parton shower on/off,
- $F_{\rm L}$ from LEPTO or from $\sigma_{\rm L}/\sigma_{\rm T}$,
- PDF set variation,
- secondary interaction FLUKA or GEISHA.

・ロト ・回ト ・ヨト ・ヨト



2 J/ ψ leptoproduction

3 High $p_{\rm T}$ hadrons



Jan Matoušek (Prague)

- 12

・ロト ・四ト ・ヨト ・ヨト



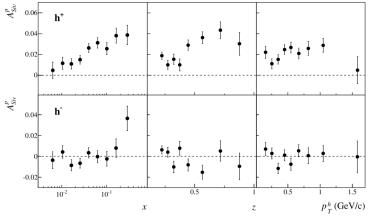
- The results obtained in muon scattering on transversely polarised nucleons.
- Two methods.
- J/ψ production:
 - Limited statistics, only p target.
 - $A_{\rm Siv}^{\rm p} = -0.28 \pm 0.18$ (preliminary).
- Two high- $p_{\rm T}$ hadron production:
 - Analysed using a neural network trained on MC.
 - Compatible results on **p** and **d**.
 - Combined: $A_{PGF}^{\sin \phi_{Siv}} = -0.23 \pm 0.08(\text{stat.}) \pm 0.05(\text{syst.})$
- The results are consistent.
- They point towards non-zero gluonic Sivers function \rightarrow orbital angular momentum.



- The results obtained in muon scattering on transversely polarised nucleons.
- Two methods.
- J/ψ production:
 - Limited statistics, only **p** target.
 - $A_{\rm Siv}^{\rm p} = -0.28 \pm 0.18$ (preliminary).
- Two high- $p_{\rm T}$ hadron production:
 - Analysed using a neural network trained on MC.
 - Compatible results on **p** and **d**.
 - Combined: $A_{PGF}^{\sin \phi_{Siv}} = -0.23 \pm 0.08(\text{stat.}) \pm 0.05(\text{syst.})$
- The results are consistent.
- $\bullet\,$ They point towards non-zero gluonic Sivers function \rightarrow orbital angular momentum.

Thank you for your attention!

Jan Matoušek (Prague)



The Sivers asymmetry on protons [COMPASS, Phys.Lett.B717 (2012)]. The dependence on p_T , and thus the correlation of the LP fraction and the asymmetry, is weak.

▲ □ ► ▲ □ ►