

Nucleon structure

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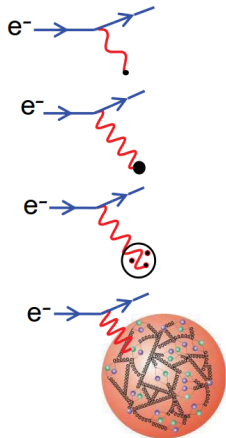
Workshop on
Frontiers and Careers in Photonuclear Physics

Paphos, 27–28 October 2019

Basics

Probing the structure of the proton

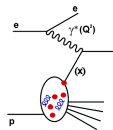
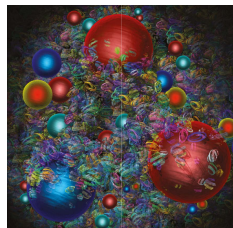
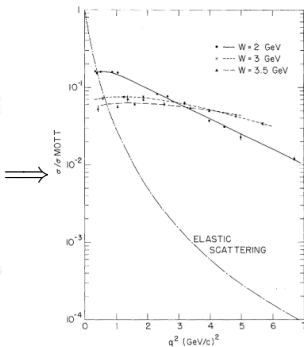
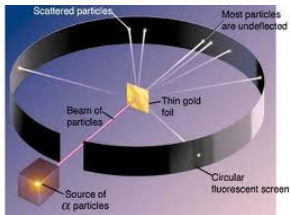
- At **very low** electron energies $\lambda \gg r_p$:
the scattering is equivalent to that from a
"point-like" spin-less object
- At **low** electron energies $\lambda \sim r_p$:
the scattering is equivalent to that from a
extended charged object
- At **high** electron energies $\lambda < r_p$:
the wavelength is sufficiently short to
resolve sub-structure. Scattering from
constituent quarks
- At **very high** electron energies $\lambda \ll r_p$:
the proton appears to be a sea of
quarks and gluons.



From: M.A. Thomson, Michaelmas Term 2011

A great example: studies of matter via its constituents

From Democritus to the present view of proton structure in terms of partons



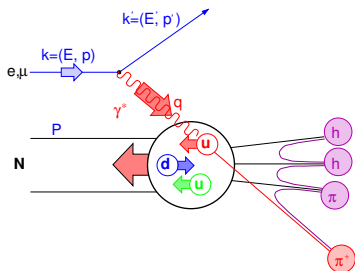
Deep Inelastic
Scattering, DIS
 $ep \rightarrow eX$

E. Rutherford, 1910-1911

SLAC-MIT, PRL **23** (1969) 935

CERN Courier May 2019

Nucleon (spin) structure in DIS: $\vec{\mu} + \vec{N} \rightarrow \mu' + X$

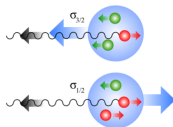


- $\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2Mq^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$
- Symmetric part of $W^{\mu\nu}$ – unpolarised DIS, antisymmetric – polarised DIS
- Nominally $F_{1,2}, q(x, Q^2) \rightarrow g_{1,2}, \Delta q(x, Q^2)$ where $q = q^+ + q^-, \Delta q = q^+ - q^-$, but...
 - ...anomalous gluon contribution to $g_1(x, Q^2)$
 - ... $g_2(x, Q^2)$ has no interpretation in terms of partons.

Definitions of DIS variables...

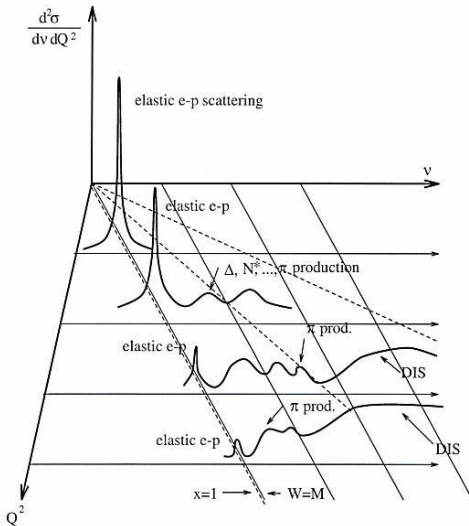
$Q^2 = -q^2$	γ^* virtuality
$x = Q^2/(2Pq)$	Bjorken variable
$y = Pq/(Pk)$	relative γ^* energy
$W = P + q$	γ^* -N cms energy

...and of the γ^* -N asymmetry (e.g. for γ^* -p):



$$A_1(x, Q^2) = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}}$$

From elastic to (deep) inelastic electron - nucleon scattering



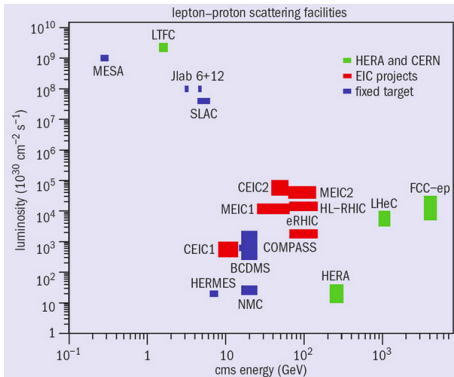
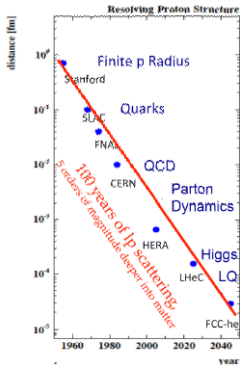
Radial, broken lines: $x = \text{const.}$
 Parallel, continuous lines: $W = \text{const.}$

Low x – large parton (gluon) densities.
 Low Q^2 – nonperturbative effects.

DIS = Deep Inelastic Scattering
 (large Q^2, ν)
 $e + p \rightarrow e' + X$

Machines: past, presence and future

finest microscopes, resolution as $1/Q$



EIC

medium energy $\sqrt{s} \simeq 20 - 100$ GeV

high luminosity $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

wide range of nuclei from deuteron to heaviest (uranium/lead)

polarization of electron and nucleon beams

LHeC FCC-ep

high energy $\sqrt{s} \simeq 1 - 5$ TeV

high luminosity $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

electron ion scattering on lead

VHEeP

very high energy, $\sqrt{s} \sim 9$ TeV
 low luminosity, $10 - 100 \text{ pb}^{-1}$
 electron-proton scattering

A.M. Cooper-Sarkar, Poetic7,2016;

A. Stasto, Poetic7,2016;

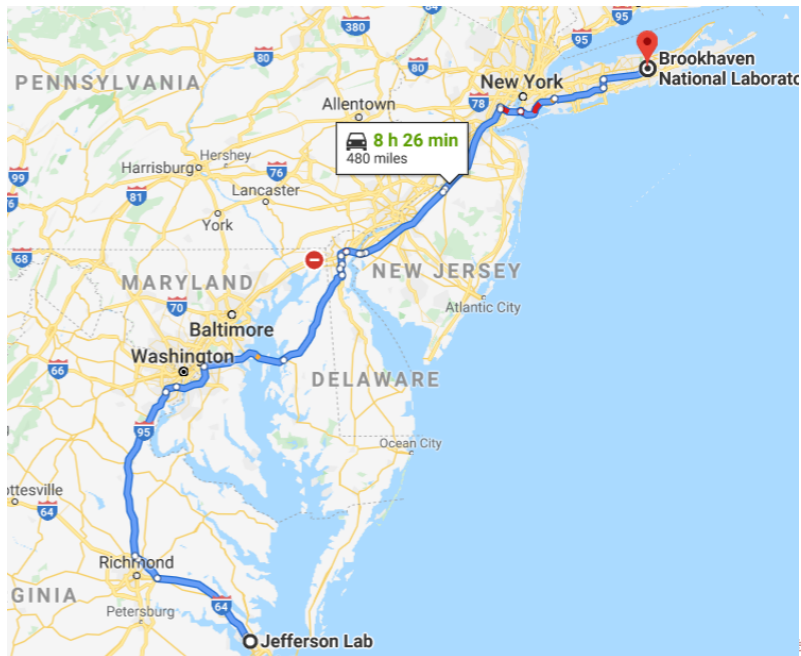
<http://cerncourier.com/cws/article/cern/57304>

“New directions in science are launched by new tools much more often than by new concepts.”

Freeman Dyson

(Theorist, mathematician; IAS, Princeton)

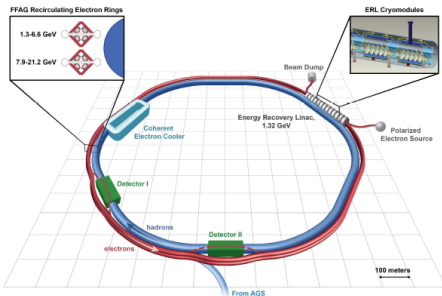
Electron Ion Collider, EIC



EIC at BNL or JLab

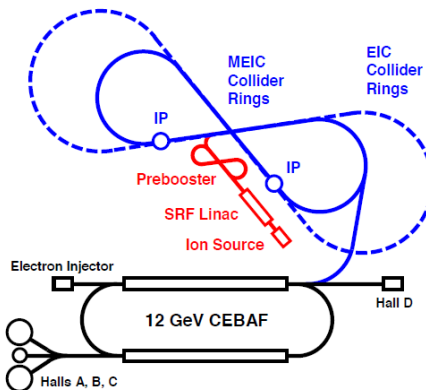
BNL (eRHIC)

Add energy recovery LINAC
(inside RHIC tunnel)



JLab (MEIC)

Add hadron rings "8" to CEBAF
(external)

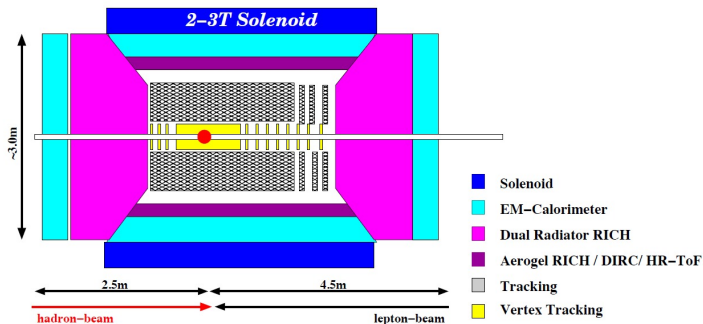


The White Paper, arXiv:1212.1701

EIC: main features

- Highly polarised ($\sim 70\%$) e, N beams
(COMPASS: $P_\mu \sim 80\%$, $P_p \sim 90\%$)
- ions from deuteron to uranium (lead ?)
- variable \sqrt{s} from ~ 20 GeV to ~ 150 GeV
- high luminosity: $\sim 10^{33-34} \text{ cm}^{-2} \text{ s}^{-1}$ (cooling of hadronic beam !)
- more than one interaction region
- limits of current technology \implies R & D!
- staged realisation.

A dedicated EIC detector

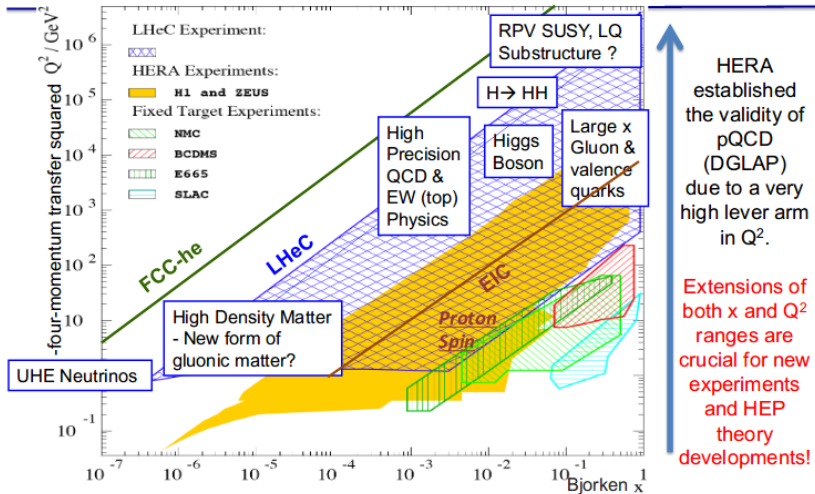


- Acceptance $-5 < \eta < 5$ (large, comparable to CMS forward)
- PID: π , K, p, leptons
- Low material density (minimal multiple scattering and bremsstrahlung)
- Hadron beams: proton to lead

From "White paper", arXiv:1212.1701



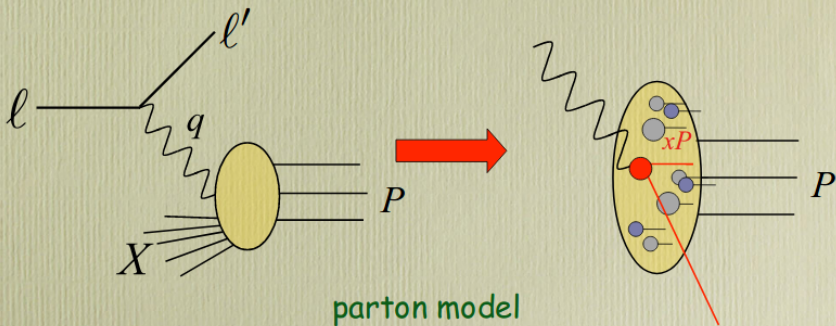
New domain for ep colliders



Basics of QCD

⇒ Parton Distribution Functions (PDFs)

R. P. Feynman, Proceedings of the 3rd Topical Conference on High Energy Collision of Hadrons, Stony Brook, N. Y. (1969), Gordon & Breach, pp 237-249, ISBN 978-0-677-13950-0
 Phys. Rev. Lett. 23, 1415 - Published 15 December 1969



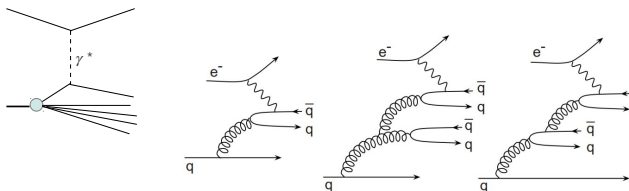
$$\frac{d\sigma^{lp \rightarrow l'X}}{dx dQ^2} = \sum_q q(x) \frac{d\hat{\sigma}^{lq \rightarrow l'q}}{dQ^2}$$

M. Anselmino, DIS2019

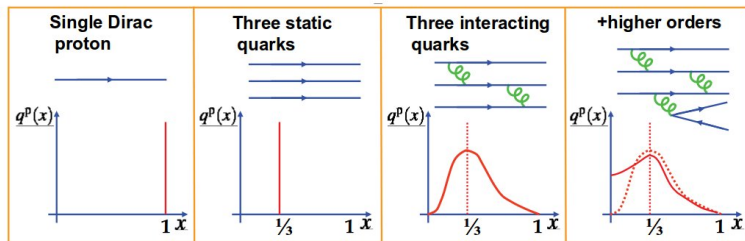


Strong vs electromagnetic interactions in DIS

Quark-Parton Model (QPM) becomes complicated...

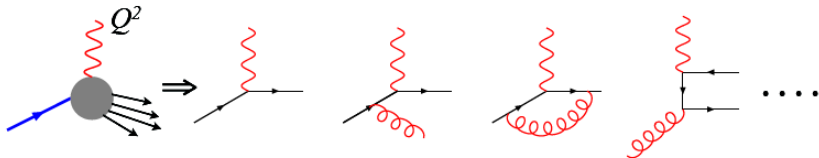


From book of Povh et al.



From M.A. Thomson, Michaelmas Term 2011

QCD interactions induce a well known Q^2 dependence



$$\text{DIS} - \text{pQCD} : \quad q(x) \Rightarrow \underbrace{q(x, Q^2)}_{\text{PDFs}}$$

DGLAP evolution equations

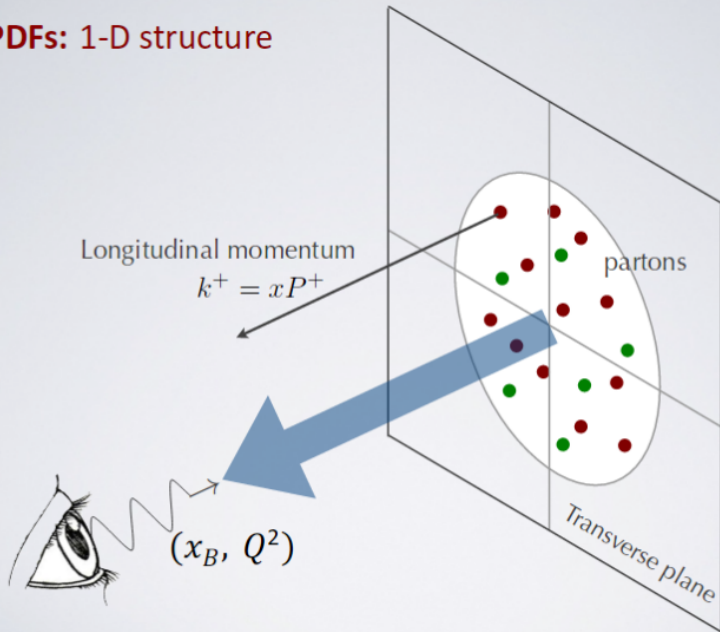
factorization:

$$\frac{d\sigma}{dx dQ^2} = \sum_q q(x, Q^2) \otimes \frac{d\hat{\sigma}_q}{dQ^2}$$

universality: same $q(x, Q^2)$ measured in DIS can be used
in other processes

Here proton is 1-D

PDFs: 1-D structure



Partonic structure of the nucleon; distribution functions

Three twist-two quark distributions in QCD (momentum, helicity & transversity)
after integrating over the quark intrinsic k_t

$$q(x) = \text{[Diagram: A yellow circle with a red dot in the center, representing a quark.]}$$

Quark momentum DF;
well known (unpolarised DIS $\rightarrow \mathbf{F}_{1,2}(x, Q^2)$).

$$\Delta q(x) = \text{[Diagram: A yellow circle with a red dot and a right-pointing arrow inside, minus another yellow circle with a red dot and a left-pointing arrow inside, both having a larger right-pointing arrow outside.]}$$

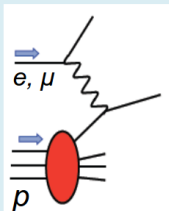
Difference in DF of quarks with spin
parallel or antiparallel to the nucleon's spin
in a longitudinally polarised nucleon;
less well known (polarised DIS $\rightarrow g_1(x, Q^2)$).

$$\Delta_T q(x) = \text{[Diagram: A yellow circle with a red dot and an upward-pointing arrow inside, minus another yellow circle with a red dot and a downward-pointing arrow inside, both having a larger upward-pointing arrow outside.]}$$

Difference in DF of quarks with spin
parallel or antiparallel to the nucleon's spin
in a transversely polarised nucleon;
poorly known (polarised DIS $\rightarrow h_1(x, Q^2)$).

Nonrelativistically: $\Delta_T q(x, Q^2) \equiv \Delta q(x, Q^2)$. OBS.! $\Delta_T q(x, Q^2)$ are C-odd and chiral-odd

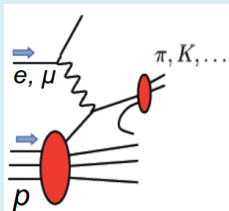
Processes available for parton (helicity) distributions



DIS:

$$\Delta q + \Delta \bar{q}$$

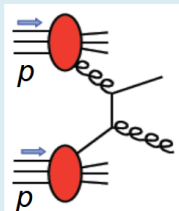
Δq (From Q^2 evolution of g_1)



SIDIS:

$$\Delta q, \Delta \bar{q}$$

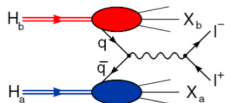
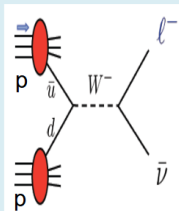
Δg



pp:

$$\Delta q, \Delta \bar{q}$$

Δg



Drell-Yan process, complementary to SIDIS

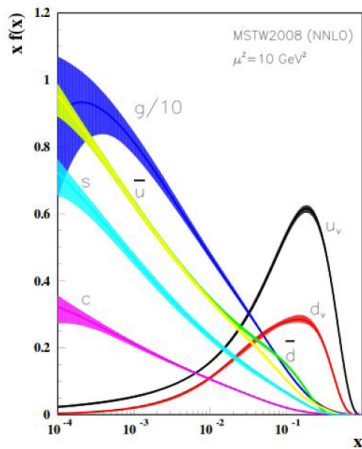
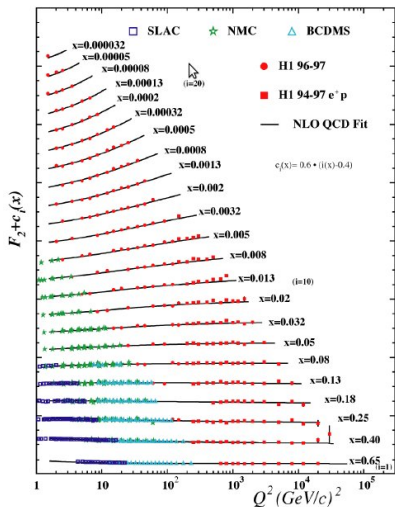
JLab
(HERMES@HERA)
COMPASS@CERN

STAR@RHIC
PHENIX@RHIC

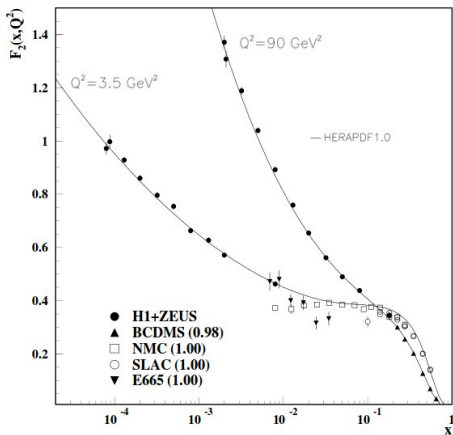
A. Bazilevsky, SPIN2016

Parton distributions for the proton (universal!)

...from the measurements of $d^2\sigma/dx dQ^2$ in inclusive ep scattering



Scaling violation



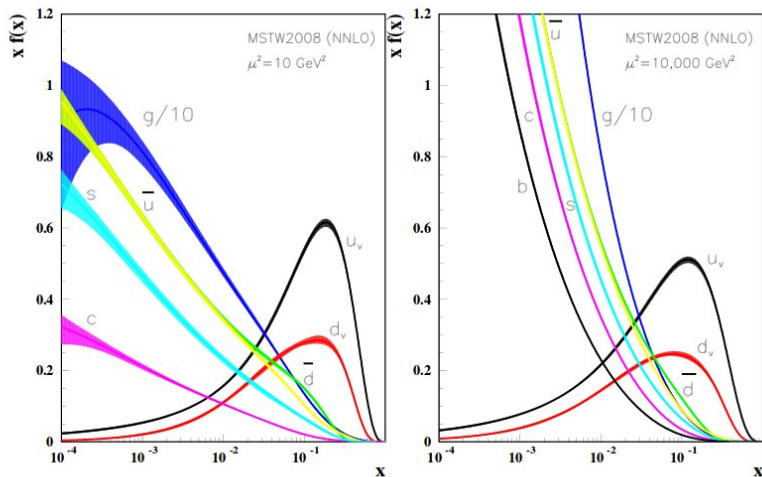
Bjorken scaling:

$Q^2 \rightarrow \infty, \nu \rightarrow \infty$
observables depend on
dimensionless, finite
 $x = Q^2/(2M\nu)$

From Particle Data Tables, 2012

QCD can predict the Q^2 dependence of $F_2(x, Q^2)$

Scaling violation, ...cont'd



From Particle Data Tables, 2012

Dokshitzer-Gribov-Lipatov-Altarelli-Parisi evolution equation

DGLAP

$$\frac{d}{d(\ln Q^2)} q_i(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} [q_i \otimes P_{qq} + g \otimes P_{qg}]$$

$$\frac{d}{d(\ln Q^2)} g(x, Q^2) = \frac{\alpha_s(Q^2)}{2\pi} \left[\sum_i (q_i + \bar{q}_i) \otimes P_{gq} + g \otimes P_{gg} \right]$$

$$q \otimes P = P \otimes q \equiv \int_x^1 dy \frac{q(y, Q^2)}{y} P\left(\frac{x}{y}\right)$$

$$P_{qq} = \frac{4}{3} \left[\frac{1+x^2}{(1-x)_+} + \frac{3}{2} \delta(1-x) \right] + \mathcal{O}(\alpha_s)$$

$$P_{qg} = \frac{1}{2} [x^2 + (1-x)^2] + \mathcal{O}(\alpha_s) \quad P_{gq} = \frac{4}{3} \frac{1+(1-x)^2}{x} + \mathcal{O}(\alpha_s)$$

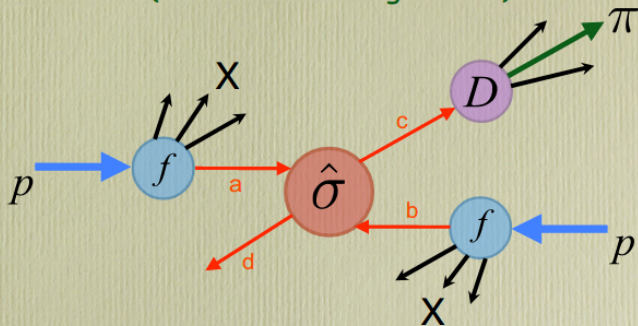
$$P_{gg} = 6 \left[\frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] + \frac{33-2n_f}{6} \delta(1-x) + \mathcal{O}(\alpha_s)$$

$$\int_0^1 dx \frac{f(x)}{(1-x)_+} = \int_0^1 dx \frac{f(x) - f(1)}{(1-x)}$$

M. Anselmino, Bad Honnef 2017



Cross section for $pp \rightarrow \pi X$ in pQCD
 based on factorization theorem
 (in collinear configuration)



$$d\sigma = \sum_{a,b,c,d=q,\bar{q},g} \underbrace{f_{a/p}(x_a) \otimes f_{b/p}(x_b)}_{\text{PDF}} \otimes \underbrace{d\hat{\sigma}^{ab \rightarrow cd}}_{\text{pQCD elementary interactions}} \otimes \underbrace{D_{\pi/c}(z)}_{\text{FF}}$$

pQCD elementary
interactions

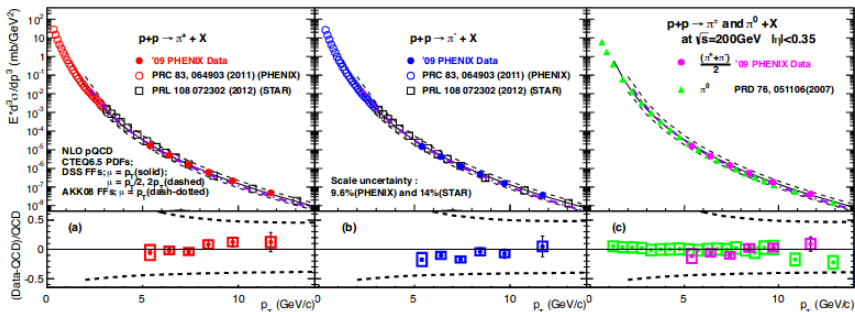
M. Anselmino, DIS2019



mid-rapidity RHIC data, unpolarised cross sections

(arXiv:1409.1907 [hep-ex], Phys. Rev. D91 (2015) 3, 032001)

large P_T single pion production $pp \rightarrow \pi X$



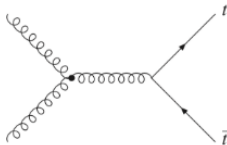
good agreement between RHIC data and collinear pQCD calculations,
same for jet production at LHC

M. Anselmino, DIS2019

PDF information from p+p collisions

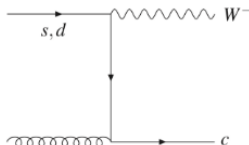
large-x gluon

Top quark pair production



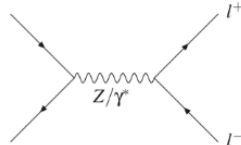
strangeness

$W + c$ production

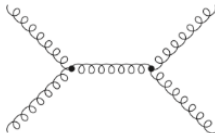


antiquarks

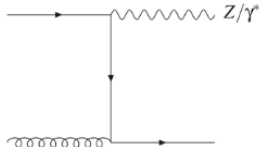
Drell-Yan production



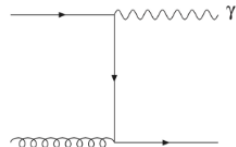
Jet production



$Z p_T$



Direct photon production



large-x gluon

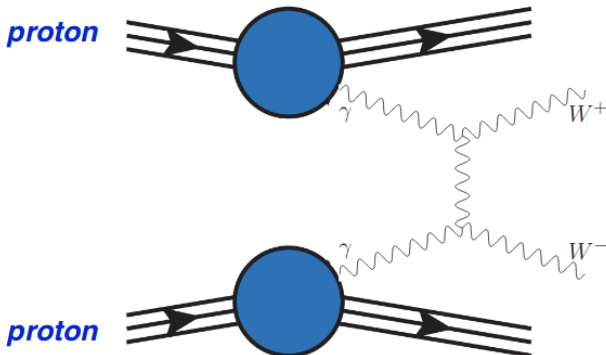
medium-x gluon

medium-x gluon

Let there be light: the photon PDF

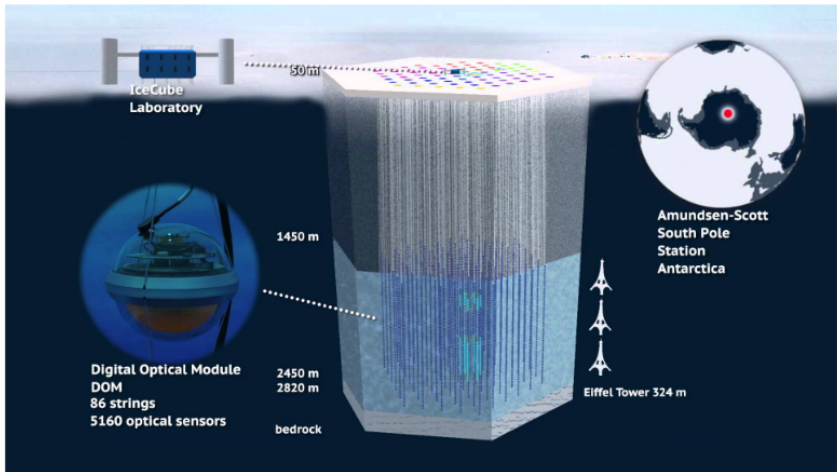
- ☛ The proton contains not only quark and gluons as constituents: also **photons!**
- ☛ The photon PDF can be evaluated from deep-inelastic **structure functions F_2 and F_L**
- ☛ Required for consistent implementation of **electroweak corrections** at the LHC

LuxQED: Manohar et al 16,17



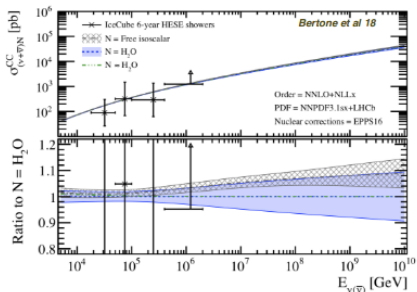
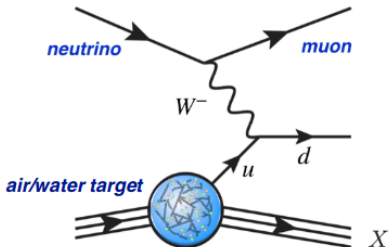
Neutrino telescopes

Ultra-high energy (UHE) neutrinos: novel window to the extreme Universe!



Neutrino telescopes as QCD microscopes

*Ultra-high energy (cosmic) neutrino - nucleus scattering:
unique probe of **small-x PDFs** and QCD*



Sensitive to **small-x quarks** (and gluons via evolution)
down to $x \approx 10^{-8}$ at $Q \approx M_W$

Towards including spin:

experimenting with polarised beams/targets

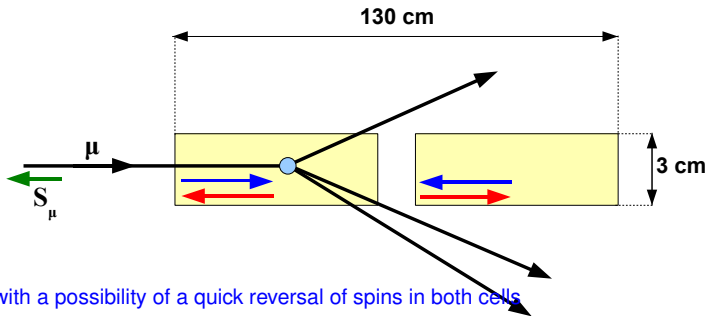
In lepton-nucleon (i.e. fixed-target) spin experiments...

- ...needed are polarised targets and beams (i.e. nucleons with aligned spins)
- of large density of those spins (dense beams and large targets)
- measurements are differential to minimise systematic errors

$$\frac{N^{\uparrow\uparrow} - N^{\uparrow\downarrow}}{N^{\uparrow\uparrow} + N^{\uparrow\downarrow}}$$

(upper arrow denotes lepton spin, lower one – spin of the target proton):

- Example of a two-cell COMPASS target:

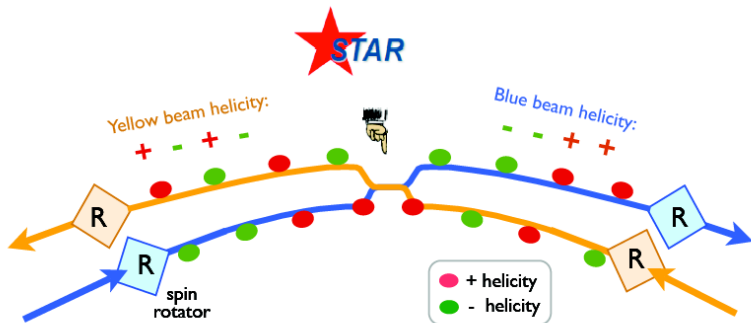


with a possibility of a quick reversal of spins in both cells

- COMPASS μ beam naturally polarised in $\sim 80\%$ at $E = 160$ GeV.

Helicities in the $\vec{p}\vec{p}$ collider

Helicity of beams colliding at STAR



STAR sees 4 helicity configurations
STAR runs 4 parallel measurements

RHIC measured polarization
Run 9 @ 2x250 GeV
Pol yellow 0.40
Pol blue 0.38
syst. pol (blue+yellow)=9.2%

Longitudinal asymmetries in the $\bar{p}p$ collider



Longitudinal spin asymmetries for W_s

STAR has measured 4 independent yields for the physics process
selected 3 asymmetries are independent (6 were investigated)

yields integrated over $|\eta| < 1$

Leading physics asymmetry	cross section dependence	raw asymmetry
A_L (blue)	$(\sigma_{++} + \sigma_{+-} - \sigma_{--} - \sigma_{-+}) / \text{sum4}$	$A_L P_1$
A_L (yellow)	$(\sigma_{++} + \sigma_{-+} - \sigma_{--} - \sigma_{+-}) / \text{sum4}$	$A_L P_2$
A_L (average)	$(\sigma_{++} - \sigma_{--}) / \text{sum4}$	$A_L \frac{P_1 + P_2}{2}$
A_{LL}	$(\sigma_{++} + \sigma_{--} - \sigma_{-+} - \sigma_{+-}) / \text{sum4}$	$A_{LL} P_1 P_2$
Null test	$A_L(P_1 - P_2)$	$\frac{A_L(P_1 - P_2)}{1 - A_{LL} P_1 P_2}$
$A_L^* \simeq A_L$	$(\sigma_{++} - \sigma_{--}) / (\sigma_{++} + \sigma_{--})$	$\frac{A_L(P_1 + P_2)}{1 + A_{LL} P_1 P_2}$

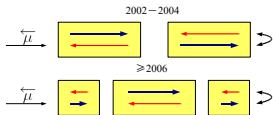
where $\text{sum4} = \sigma_{++} + \sigma_{+-} + \sigma_{-+} + \sigma_{--}$

Method of extraction of g_1 in a $\vec{\mu}N$ fixed-target experiment

- Inclusive asymmetry, $A_{meas}(x, Q^2)$; γ^* -N asymmetry, $A_1(x, Q^2)$; $g_1(x, Q^2)$:

$$A_{meas} = \frac{1}{fP_T P_B} \left(\frac{N^{\leftarrow} - N^{\rightarrow}}{N^{\leftarrow} + N^{\rightarrow}} \right) \approx D A_1 = D \frac{g_1(x, Q^2)}{F_1(x, Q^2)} \stackrel{LO}{=} D \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)}$$

f, D : dilution and depolarisation factors; P_T, P_B : target and beam polarisations;
 $N^{\leftarrow, \rightarrow}$: number of $\vec{\mu}$ interactions in each target cell:
 (upstream, downstream) or (outer, central)



- Then $g_1(x, Q^2)$:

$$g_1(x, Q^2) = A_1(x, Q^2) \cdot F_1(x, Q^2) = A_1(x, Q^2) \cdot \frac{F_2(x, Q^2)}{2x(1 + R(x, Q^2))}$$

- For the deuteron target:

$$(\text{per nucleon}) g_1^d = g_1^N \left(1 - \frac{3}{2}\omega_D\right) = \frac{g_1^p + g_1^n}{2} \left(1 - \frac{3}{2}\omega_D\right); \quad \omega_D = 0.05 \pm 0.01$$

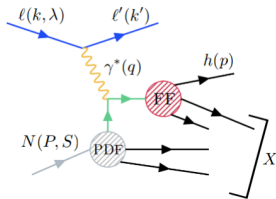
Method of extraction of g_1 in a $\bar{\mu}N$ fixed-target experiment,... cont'd

- At LO, semi-inclusive (SIDIS) asymmetry, A_1^h :

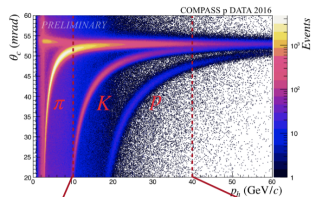
$$A_1^h(x, z, Q^2) \approx \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q^h(z, Q^2)}$$

$$A^{\text{SIDIS}} \sim \text{pdf} \otimes \text{FF}$$

Nonperturbative fragmentation functions $D_q^h(z, Q^2)$ need to be determined from experiment!



$$z = \frac{E_h}{\nu} \quad D_q^h \neq D_{\bar{q}}^h$$

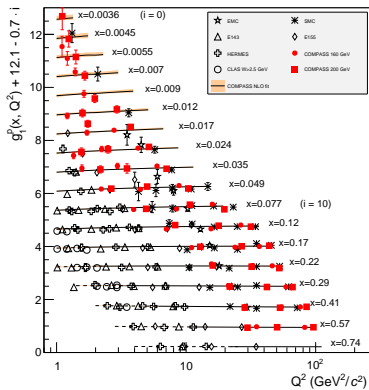


Now including spin:

helicity and transversity PDFs

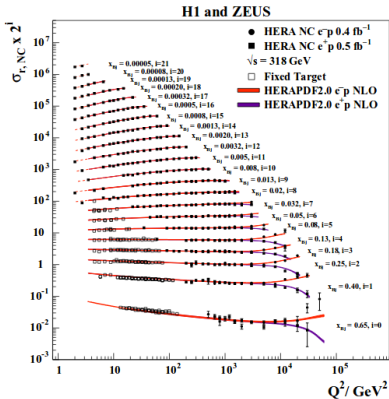
Measurements of $g_1^p(x, Q^2)$ and $F_2^p(x, Q^2)$

COMPASS NLO QCD at $W^2 > 10$ (GeV/c²)²
 dashed line: extrapolation to $W^2 < 10$ (GeV/c²)²



g_1 measurements little sensitive to Δg

COMPASS, PL B753 (2016) 18

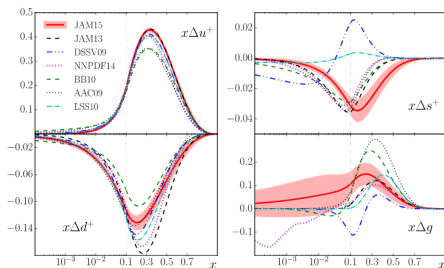
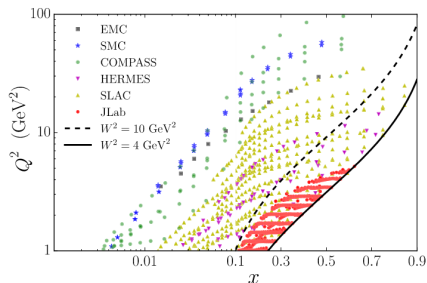


HERA, Eur.Phys.J. C75 (2015) 580

JAM NLO fit to world inclusive data (A_{\parallel}, A_{\perp})

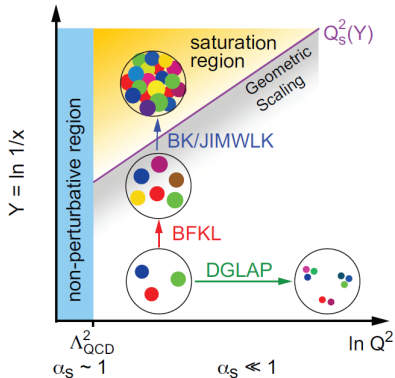
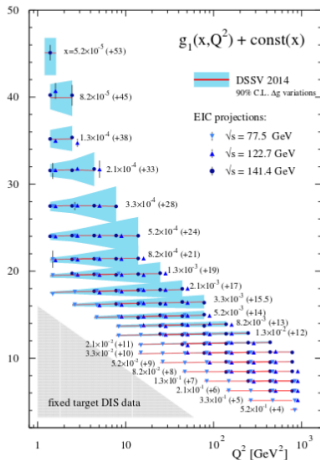
JAM: Jefferson Lab. Angular Momentum Collaboration

Included JLab data $W^2 > 4 \text{ GeV}^2 \implies$ reduced errors for valence & sea at $x > 0.1$



JAM, PRD 93 (2016) 074005

Inclusive $g_1(x, Q^2)$ at EIC (pseudo-data)



Errors statistical (EIC: expected, modest parameters); bands: from gluon helicity uncertainty

arXiv:1509.06489

"White paper", arXiv:1212.1701

Polarisation of quark sea

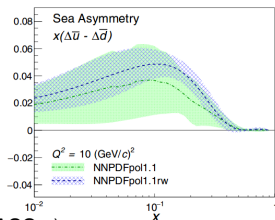
- Δs puzzle. Strange quark polarisation (COMPASS):

$2\Delta S = \int_0^1 (\Delta s(x) + \Delta \bar{s}(x)) dx = -0.09 \pm 0.01 \pm 0.02$ from incl. asymmetries + SU_3 ,
 while from SIDIS it is compatible with zero
 but depends upon chosen FFs.

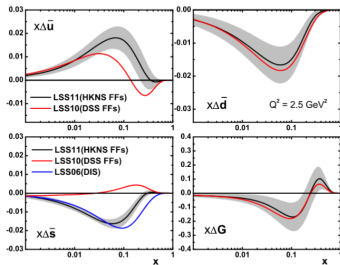
Most critical: $R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$

⇒ COMPASS extracts it from multiplicities.

- Example of sensitivity to FFs at $Q^2=2.5$ (GeV/c)²
- The sea is probably unsymmetric (STAR):



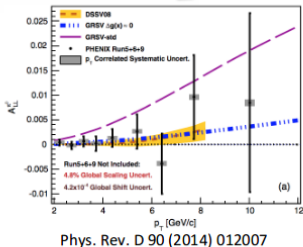
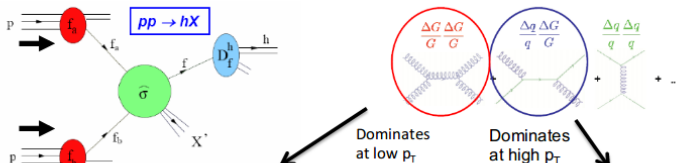
(opposite to COMPASS...)



LSS, PRD D84 (2011) 014002

STAR, PR D99 (2019) 051102

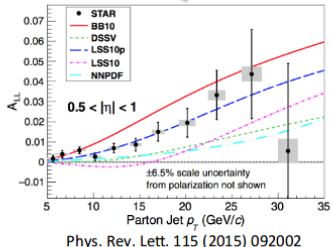
Measurement of the gluon polarization Δg at RHIC



D. de Florian *et al*,
PRL 113 (2014) 012001

E. Nocera *et al*,
NPB 887 (2014) 276

Phys. Rev. D 90 (2014) 012007



Phys. Rev. Lett. 115 (2015) 092002

Surrow *et al* on sea quark spin
from W production at RHIC

$$\int_{0.01}^1 dx \Delta g(x, Q^2=10 \text{ GeV}^2) = 0.20^{+0.06}_{-0.07} \quad \text{DSSV++}$$

$$\int_{0.05}^{0.2} dx \Delta g(x, Q^2=10 \text{ GeV}^2) = 0.17 \pm 0.06 \quad \text{NNPDFpol1.1}$$

$$\int_{0.05}^{0.8} dx \Delta g(x, Q^2=1 \text{ GeV}^2) = 0.5 \pm 0.4 \quad \text{JAM15}$$

H.Gao, DIS2018

The proton spin “puzzle” (> 30 yers old!)

- For the proton in \hbar units:

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + \Delta L$$

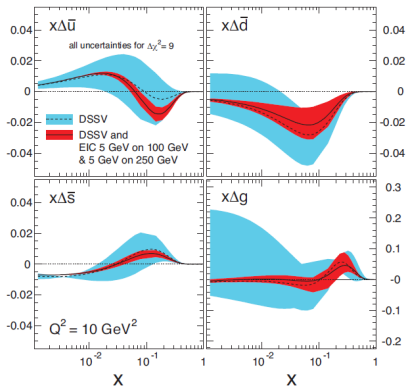
$$\Delta\Sigma \sim 0.3, \quad \Delta G \sim \text{sizable?}, \quad \Delta L = ?$$

Do we approach a solution of the proton spin puzzle?

- **Yes, but an independent measurement of ΔL needed;**
from the 3D (5D) analysis? Plans at: COMPASS, BNL, JLab.
- **Electron-Ion Collider, the “imaging machine”**
will facilitate an accurate measurement of ΔG and an access to ΔL .

Parton separation at EIC pseudo-data (inclusive and semi-inclusive)

DIS + SIDIS



EW DIS

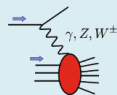
- $\Delta g(x)$ from scaling violation
- $\Delta\bar{u}, \Delta\bar{d}, \Delta s$ from SIDIS
- Flavor separation at high Q^2 via CC DIS:

$$g_1^{W^+} = \Delta\bar{u} + \Delta d + \Delta\bar{c} + \Delta s$$

$$g_1^{W^-} = \Delta u + \Delta\bar{d} + \Delta c + \Delta\bar{s}$$

$$g_5^{W^+} = \Delta\bar{u} - \Delta d + \Delta\bar{c} - \Delta s$$

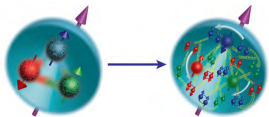
$$g_5^{W^-} = -\Delta u + \Delta\bar{d} - \Delta c + \Delta\bar{s}$$



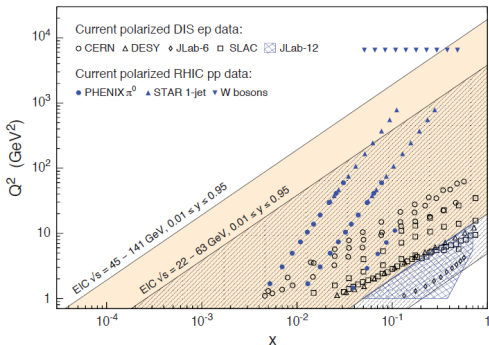
From "White paper", arXiv:1212.1701

E. Aschenauer, SPIN2016

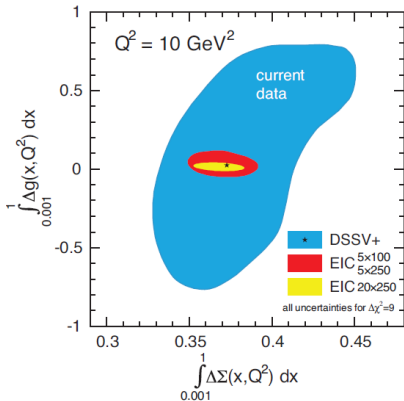
Nucleon spin “puzzle” at EIC



$$\frac{1}{2} = \frac{1}{2} \overset{\text{quark spins}}{\Delta\Sigma} + \overset{\text{gluon spins}}{\Delta G} + \overset{\text{quark\&gluon orbital motion}}{L_z}$$



From “White paper2017”, arXiv:1708.01527v3

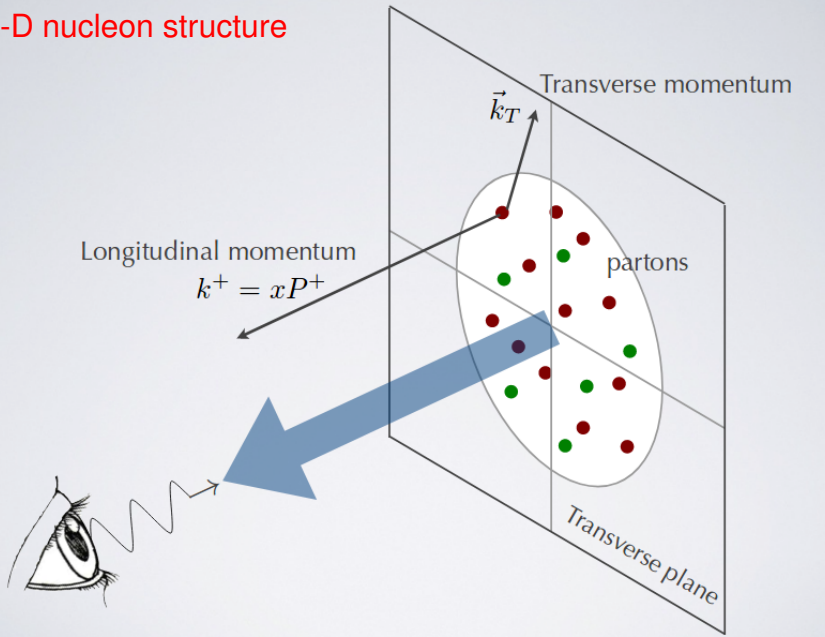


From “White paper”, arXiv:1212.1701

There are more dimensions to explore, e.g. 3-D!

chiefly due to failures of the 1-D picture

3-D nucleon structure



“

With 3D projections, we will be entering a new age.
Something which was never technically possible before

”

James Cameron



Partonic structure of the nucleon; distribution functions

- In LT and considering k_T , 8 PDF describe the nucleon
 \implies Transverse Momentum Dependent PDF

- QCD-TMD approach valid $k_T \ll \sqrt{Q^2}$

- After integrating over k_T only 3 survive: f_1, g_1, h_1

- TMD accessed in SIDIS and DY by measuring azimuthal asymmetries with different angular modulations

- SIDIS: e.g. $A_{\text{Sivers}} \propto \text{PDF} \otimes \text{FF}$

- DY: e.g. $A_{\text{Sivers}} \propto \text{PDF}^{\text{beam}} \otimes \text{PDF}^{\text{target}}$

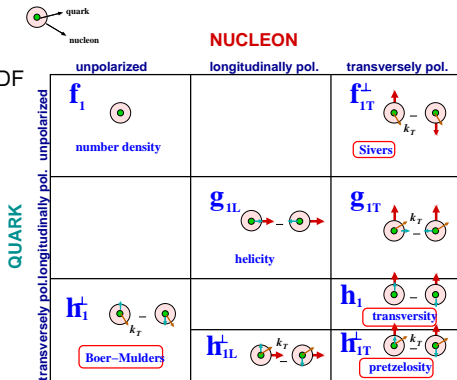
- OBS! Boer-Mulders and Sivers PDF are T-odd, i.e. process dependent

$$h_1^\perp(\text{SIDIS}) = -h_1^\perp(\text{DY})$$

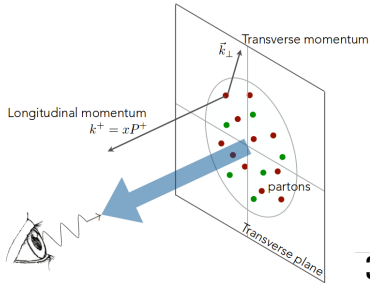
$$f_{1T}^\perp(\text{SIDIS}) = -f_{1T}^\perp(\text{DY})$$

- OBS! transversity PDF is chiral-odd; may only be measured with another chiral-odd partner, e.g. fragmentation function.

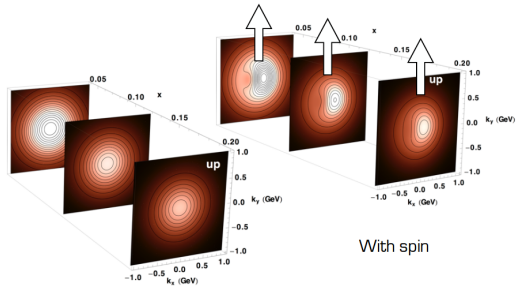
- TMD parton distributions need TMD Fragmentation Functions!



What does Sivers effect do?



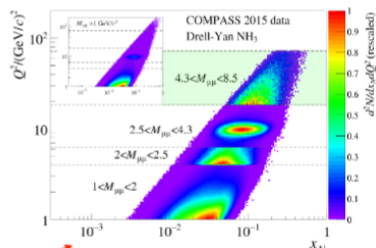
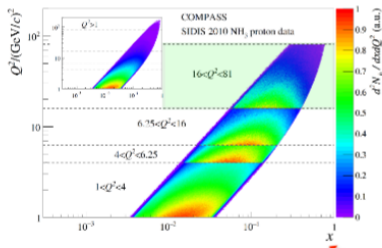
3D maps of partonic distribution



A. Bacchetta, DIS2017

COMPASS SIDIS-DY bridge (from R. Longo, Low-x 2018)

$$\frac{d\sigma^{LO}}{dx dy dz dp_T^2 d\varphi_h d\psi} \propto \left\{ \begin{array}{l} 1 + \cos(2\phi_h) \varepsilon A_{UU}^{\cos(2\phi_h)} \\ + S_T \left[\begin{array}{l} \sin(\phi_h - \phi_S) A_{UT}^{\sin(\phi_h - \phi_S)} \\ + \sin(\phi_h + \phi_S) \varepsilon A_{UT}^{\sin(\phi_h + \phi_S)} \\ + \sin(3\phi_h - \phi_S) \varepsilon A_{UT}^{\sin(3\phi_h - \phi_S)} \end{array} \right] \end{array} \right\} \frac{d\sigma^{LO}}{d^3l d^3q} \propto \left\{ \begin{array}{l} 1 + D_{[\sin^2 \theta]} \cos(2\varphi_{CS}) A_U^{\cos 2\varphi_{CS}} \\ + S_T \left[\begin{array}{l} \sin \varphi_S A_T^{\sin \varphi_S} \\ + D_{[\sin^2 \theta]} \left(\begin{array}{l} \sin(2\varphi_{CS} + \varphi_S) A_T^{\sin(2\varphi_{CS} + \varphi_S)} \\ \sin(2\varphi_{CS} - \varphi_S) A_T^{\sin(2\varphi_{CS} - \varphi_S)} \end{array} \right) \end{array} \right] \end{array} \right\}$$



comparable $x:Q^2$ kinematic coverage

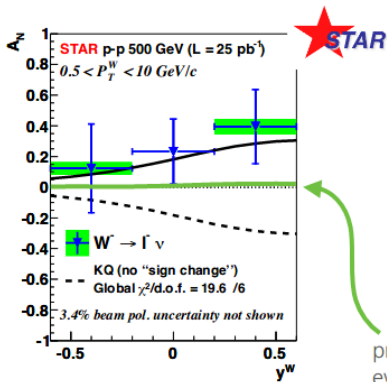
minimization of possible Q^2 evolution effects

Unique experimental environment to test TMD universality
and Sivers and Boer-Mulders sign change

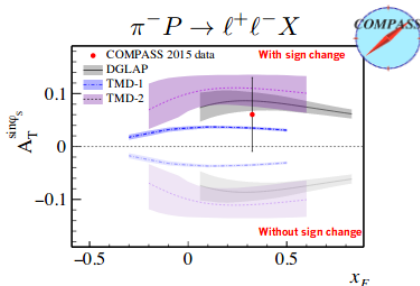
SIVERS FUNCTION SIGN CHANGE

Sivers function SIDIS = - Sivers function Drell-Yan

Collins, PLB 536 (02)



prediction with TMD evolution equations

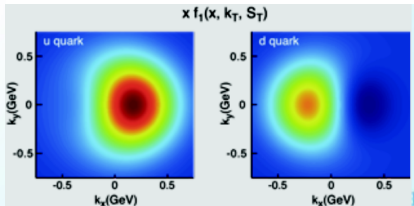
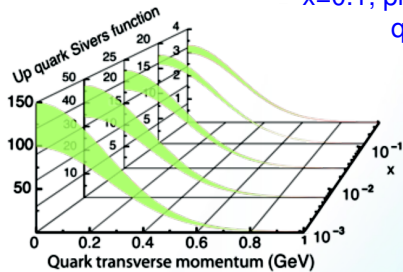


STAR Collab. arXiv:1511.06003

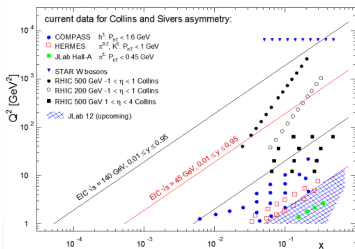
A. Bacchetta, DIS2019

Sivers function at EIC

$x=0.1$, proton \perp polarised along y , moving along z
quark “flow” in a nucleon



From “White paper”, arXiv:1212.1701

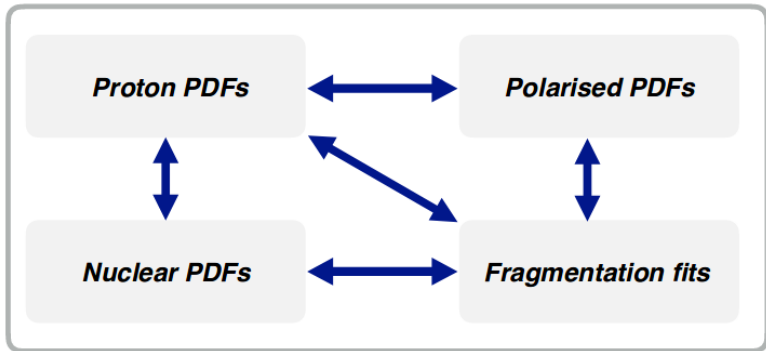


← EIC acceptance for Sivers meas.

O. Eyser, SPIN2016

Universal QCD fits

Pushing the **precision frontier** of **QCD fits** requires accounting for **cross-talk** between different **non-perturbative QCD** quantities



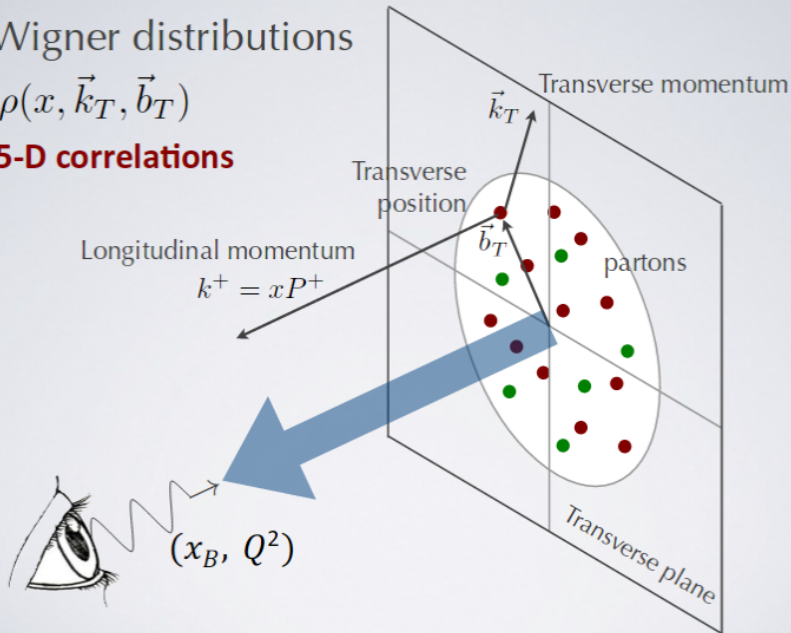
Towards *universal/integrated global analyses* of non-perturbative QCD

...Proton even 5-D!

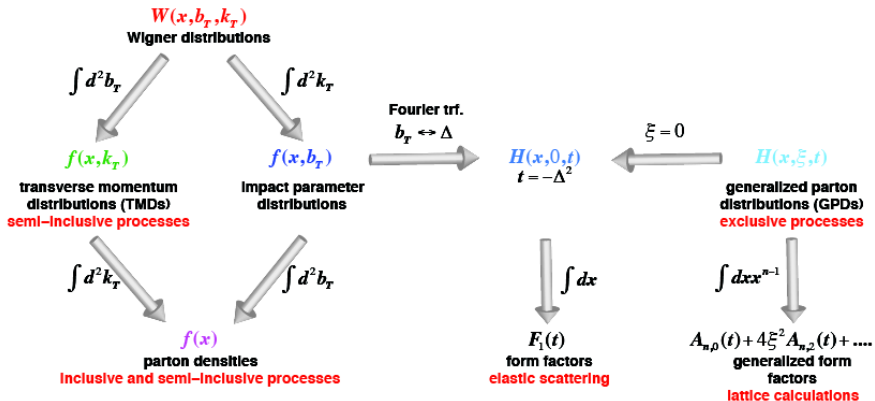
Wigner distributions

$$\rho(x, \vec{k}_T, \vec{b}_T)$$

5-D correlations



Descriptions of pdf^s in the nucleon



From "White paper", arXiv:1212.1701

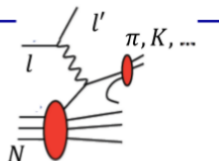
Take-away menu: proton structure very rich!

- 1-D proton structure accurate and well controlled.
- Experimental results suggest a necessity to go beyond the collinear parton picture of the nucleon.
- New promising concepts:
 1. Transverse Momentum Dependent distributions, **TMD**
 2. Generalised Parton Distributions, **GPD** (not discussed).
- **Data** from: SIDIS, pp, Drell-Yan, e^+e^- (not discussed)
⇒ formulation of the 3-D imaging of the nucleon well advanced.
- **Expected**: new data from COMPASS, RHIC, JLab at 12 GeV and the forthcoming **Electron Ion Collider!**
- Topical issue of EPJA dedicated to the 3-D nucleon structure:
EPJ A52 (2016) no.6 (15 articles)!

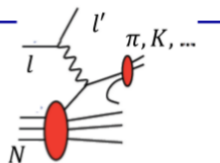
SPARES

Semi-Inclusive Deep Inelastic Scattering

$$\begin{aligned}
 \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = & \\
 \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) & \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\
 + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} & \\
 + S_{\parallel} \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] & \\
 + |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. & \\
 + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} & \\
 + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} & \\
 + |S_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right. & \\
 \left. \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \right\}, &
 \end{aligned}$$



Semi-Inclusive Deep Inelastic Scattering



$$\begin{aligned}
 \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = & \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2r}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\
 & + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} \\
 & + S_{\parallel} \left[\sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
 & + |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
 & + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \\
 & + \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \\
 & + |S_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right. \\
 & \left. \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \right\},
 \end{aligned}$$

$h_1^{\perp} \otimes H_1^{\perp}$

$h_{1L}^{\perp} \otimes H_1^{\perp}$

$f_{1T}^{\perp} \otimes D_1$

$h_{1T}^{\perp} \otimes H_1^{\perp}$

$h_1 \otimes H_1^{\perp}$

$g_{1T} \otimes D_1$

14 independent azimuthal modulations

amplitudes of the modulations
→ TMD PDFs

Semi-Inclusive Deep Inelastic Scattering



MAJOR RESULT:

in the past 15 years 2 of these new PDF's have been measured and shown to be different from zero

by COMPASS and HERMES



the transversity PDF

Collins asymmetry $\sim h_1 \otimes H_1^\perp$

amplitude of the sine modulation in $\phi_h + \phi_s - \pi$

the Sivers PDF

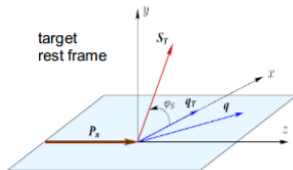
Sivers asymmetry $\sim f_{1T}^\perp \otimes D_1$

amplitude of the sine modulation in $\phi_h - \phi_s$

A STEP TOWARDS THE 3-D STRUCTURE OF THE NUCLEON

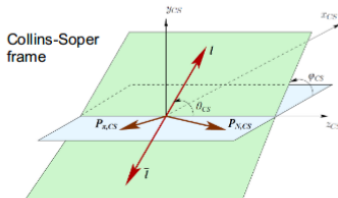
Drell-Yan cross-section

general expression

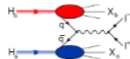


$$\frac{d\sigma}{dq^4 d\Omega} \propto \hat{\sigma}_U \left\{ 1 + \cos^2 \theta_{CS} A_U^1 + \sin 2\theta_{CS} A_U^{\cos \varphi_{CS}} \cos \varphi_{CS} + \sin^2 \theta_{CS} A_U^{\cos 2\varphi_{CS}} \cos 2\varphi_{CS} \right. \\ \left. + S_T \left[\left(A_T^{\sin \varphi_S} + \cos^2 \theta_{CS} \bar{A}_T^{\sin \varphi_S} \right) \sin \varphi_S \right. \right. \\ \left. \left. + \sin 2\theta_{CS} \left(A_T^{\sin(\varphi_{CS} + \varphi_S)} \sin(\varphi_{CS} + \varphi_S) + A_T^{\sin(\varphi_{CS} - \varphi_S)} \sin(\varphi_{CS} - \varphi_S) \right) \right. \right. \\ \left. \left. + \sin^2 \theta_{CS} \left(A_T^{\sin(2\varphi_{CS} + \varphi_S)} \sin(2\varphi_{CS} + \varphi_S) + A_T^{\sin(2\varphi_{CS} - \varphi_S)} \sin(2\varphi_{CS} - \varphi_S) \right) \right] + \dots \right\}$$

$$\lambda = A_U^1, \mu = A_U^{\cos \varphi_{CS}}, \nu = 2 A_U^{\cos 2\varphi_{CS}}$$



IWHSS19, Aveiro, 24 June 2019



Drell-Yan cross-section

general expression $\pi^- p \rightarrow l^+ l^- X$

Boer-Mulders
of the π

Boer-Mulders
of the p

$$h_1^\perp \otimes h_1^\perp$$



$$\frac{d\sigma}{dq^+ d\Omega} \propto \hat{\sigma}_U \left\{ 1 + \cos^2 \theta_{CS} A_U^1 + \sin 2\theta_{CS} A_U^{\cos \varphi_{CS}} \cos \varphi_{CS} + \sin^2 \theta_{CS} A_U^{\cos 2\varphi_{CS}} \cos 2\varphi_{CS} \right. \\ \left. + S_T \left[\left(A_T^{\sin \varphi_S} + \cos^2 \theta_{CS} \tilde{A}_T^{\sin \varphi_S} \right) \sin \varphi_S \right. \right. \\ \left. \left. + \sin 2\theta_{CS} \left(A_T^{\sin(\varphi_{CS} + \varphi_S)} \sin(\varphi_{CS} + \varphi_S) + A_T^{\sin(\varphi_{CS} - \varphi_S)} \sin(\varphi_{CS} - \varphi_S) \right) \right. \right. \\ \left. \left. + \sin^2 \theta_{CS} \left(A_T^{\sin(2\varphi_{CS} + \varphi_S)} \sin(2\varphi_{CS} + \varphi_S) + A_T^{\sin(2\varphi_{CS} - \varphi_S)} \sin(2\varphi_{CS} - \varphi_S) \right) \right] + \dots \right\}$$

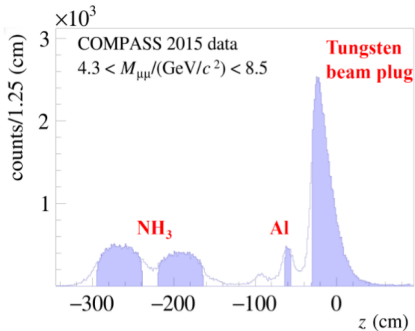
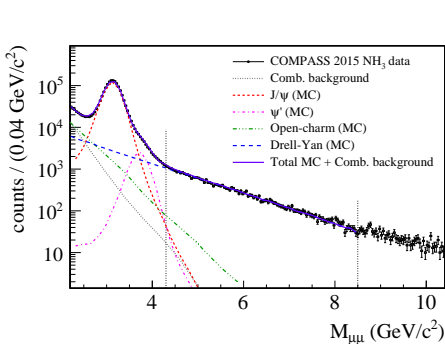
$f_1 \otimes f_{1T}^\perp$
of the π Sivers
of the p

$h_1^\perp \otimes h_1$
Boer-Mulders
of the π transversity
of the p

$h_1^\perp \otimes h_{1T}^\perp$
Boer-Mulders
of the π pretzelosity
of the p

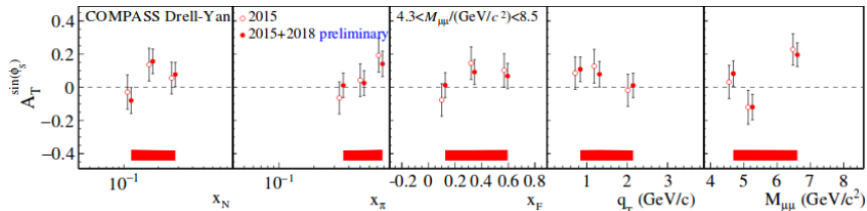
Drell-Yan process at COMPASS

- $\pi^- + p \rightarrow \mu^+ \mu^- + X$, beam: 190 GeV/c, target: \perp polarised proton (NH₃)



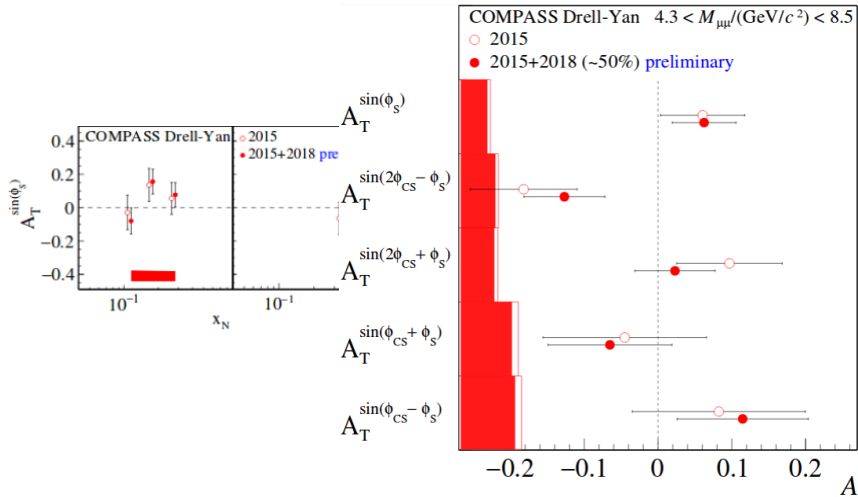
Drell-Yan process at COMPASS,...cont'd

- Sivers asymmetry in bins of x_N , x_π , x_F , q_T , $M_{\mu\mu}$



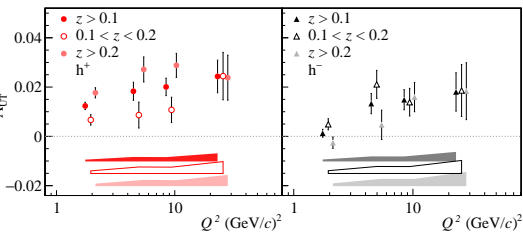
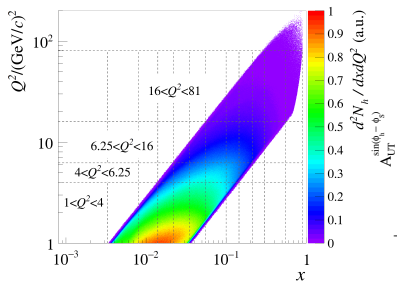
Drell-Yan process at COMPASS, ...cont'd

- ...and other (integrated, transverse spin) asymmetries



Drell-Yan and SIDIS at COMPASS; Sivers asymmetry

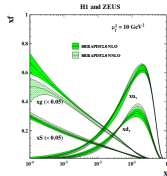
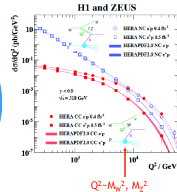
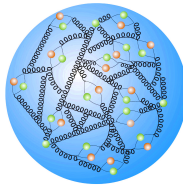
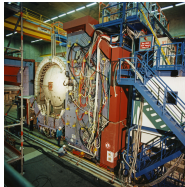
Sivers asymmetry results from SIDIS at the DY $Q = M_{\mu\mu}$ scale bins
(all other Transverse Spin Asymmetries measured as well)



COMPASS, Phys. Lett. B770 (2017) 138

H_{adron} E_{electron} R_{ing} A_{ccelerator} (1990–2007) legacy 300 authors, 70 institutions

- A collider of protons and electrons (positrons); $\sqrt{s} \sim 300 \text{ GeV}$; $\sim 0.5 \text{ fb}^{-1}/\text{exp.}$
- 6.3-kilometre superconducting p ring; separate (normalcond.) for e^+/e^- ; 2 intersection points, detectors: ZEUS and H1
- Most precise picture of inner proton dynamics (without spin) \implies QCD (\rightarrow NNLO)
- Unification of electromagnetic and weak forces at high energies
- Joint ZEUS+H1 set of DIS data: HERAPDF2.0 (LO, NLO, NNLO)
- Tension between the data and QCD at $Q^2 \lesssim 15 \text{ GeV}^2$
- No deviations from SM $> 2.5\sigma$; compositeness: $R_q < 0.43 \cdot 10^{-18} \text{ m}$



HERA's non-legacy

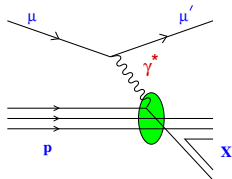
- Insufficient luminosity for high x precision or searches
- Lack of Q^2 lever-arm restricts precision on low x for gluons
- Limited quark flavour info (no deuterons to separate u and d)
- Protons not polarised except HERMES
(no spin, transverse structure...)
- No nuclear targets

⇒⇒⇒⇒⇒⇒⇒ These limitations addressed by EIC (and LHeC)

after P. Newman, DIS2016

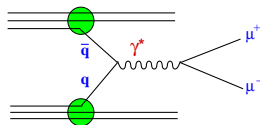
3-D nucleon; one attempt

- Transverse Momentum Dependent distributions:
parton intrinsic k_T taken into account
- TMD related to quark angular momentum, L !
- TMD may be studied in 2 ways e.g. at COMPASS:
 - semi-inclusive DIS (polarised muons on unpolarised/transversely polarised target)
 - Drell-Yan process (π beam on unpolarised/transversely polarised target)



SIDIS

Obs.: final state interactions!

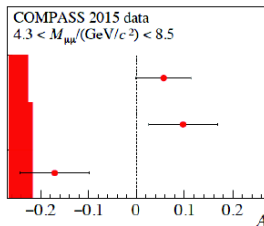


DY

Obs.: initial state interactions!

COMPASS spin-dependent asymmetries in DY-SIDIS

DY PRL 119 (2017) 112002



(Sivers)_p
 ⊗ (f)_x

(Pretzelosity)_p
 ⊗ (BM)_x

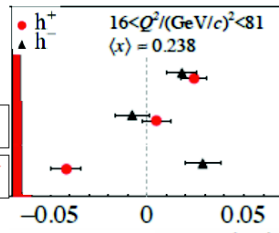
(Transversity)_p
 ⊗ (BM)_x

Sivers ⊗
 D1

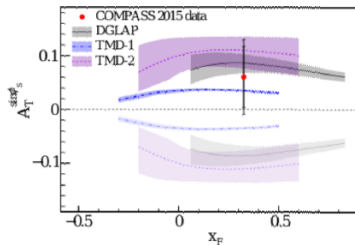
Pretzelosity
 ⊗ Collins

Transversity
 ⊗ Collins

SIDIS PLB 770 (2017) 138



In 2018
 statistics $\approx 1.5 \times 2015!$



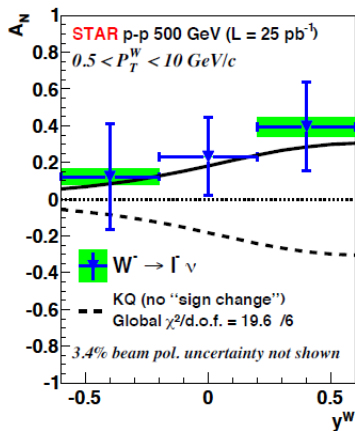
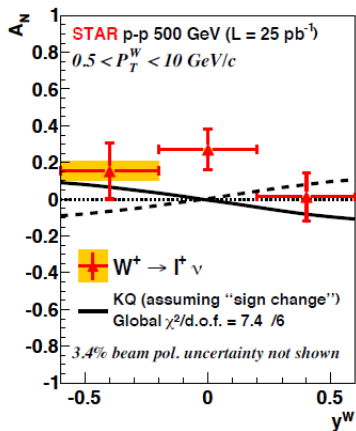
← sign change hypothesis

← NO sign change

PRL 119 (2017) 112002

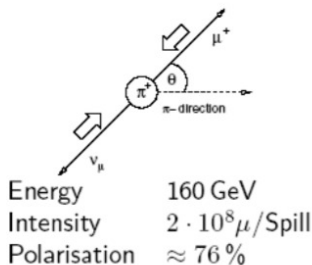
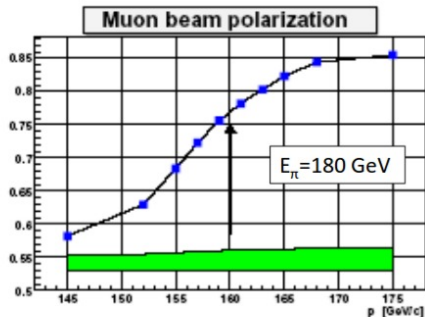
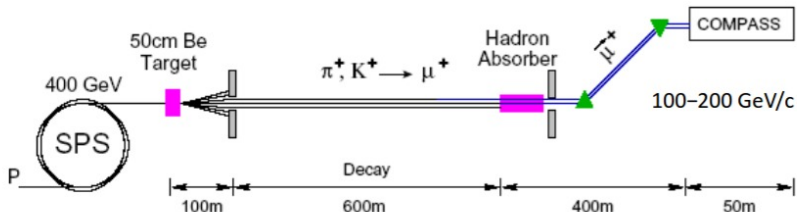
First results from RHIC, $p^\uparrow p \rightarrow W^\pm X$

STAR Collaboration, PRL 116 (2016) 132301



some hints at sign change of Sivers function....

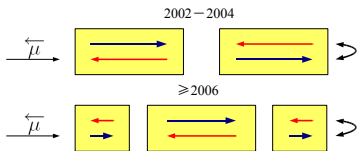
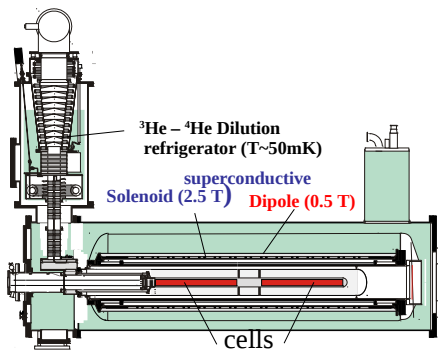
High energy polarised muon beam at CERN



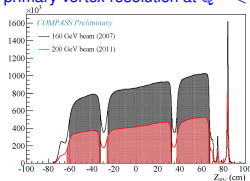
G.K. Mallot/CERN

Varenna, July 2011

COMPASS polarised targets: NH_3 and ${}^6\text{LiD}$

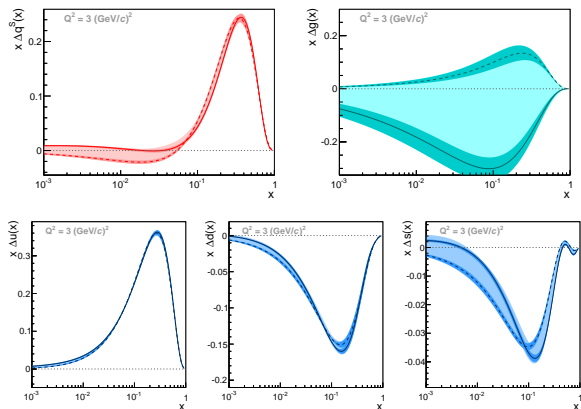


NH_3 , primary vertex resolution at $Q^2 < 1 (\text{GeV}/c)^2$



- * Two (three) target cells, oppositely polarised
- * Polarisation reversed every 8 h (less frequent after 2005) by field rotation
- * Material: solid ${}^6\text{LiD}$ (NH_3)
- * Polarisation: $\sim 50\%$ ($\sim 90\%$), by the Dynamical Nuclear Polarisation
- * Dilution: $f \sim 0.4$ (~ 0.15)
- * Polar acceptance: ~ 70 mrad (~ 180 mrad after 2005)

NLO QCD fit: results for g_1^p , g_1^d , $g_1^{3\text{He}}$ inclusive data, $W^2 > 10 \text{ (GeV}/c^2)^2$



PLB 753 (2016) 18

- Statistical uncertainties (dark bands) \ll systematic (light bands)
- **Gluon polarisation poorly constrained \implies “direct” methods**
- Quark spin contribution to the nucleon spin: $0.26 < \Delta\Sigma < 0.36$ (due to poor Δg)

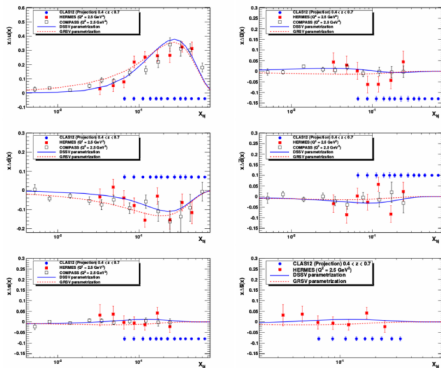
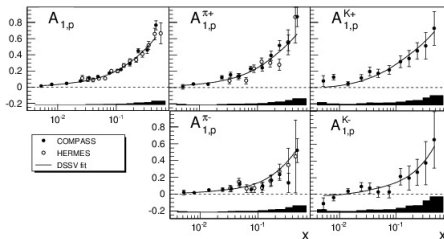
Semi-inclusive asymmetries and parton distributions

- COMPASS: measured on both proton and deuteron targets for identified, positive and negative pions and (for the first time) kaons

COMPASS, Phys. Lett. B **693** (2010) 227

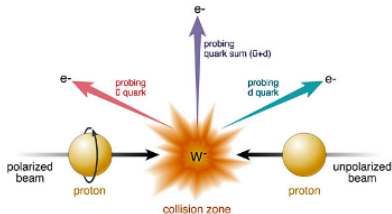
DSSV, Phys. Rev. D **80** (2009) 034030

CLAS12, Update to E12-09-007



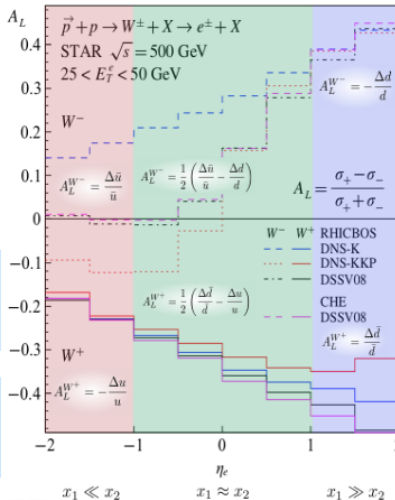
- COMPASS: LO DSS fragm. functions and LO unpolarised MRST assumed here.
- NLO parameterisation of DSSV describes the data well.

Single Spin Asymmetry of W



$$A_L^{e^-} \approx \frac{\int_{\otimes(x_1, x_2)} [\Delta \bar{u}(x_1) d(x_2) (1 - \cos\theta)^2 - \Delta d(x_1) \bar{u}(x_2) (1 + \cos\theta)^2]}{\int_{\otimes(x_1, x_2)} [\bar{u}(x_1) d(x_2) (1 - \cos\theta)^2 + d(x_1) \bar{u}(x_2) (1 + \cos\theta)^2]}$$

$$A_L^{e^+} \approx \frac{\int_{\otimes(x_1, x_2)} [\Delta \bar{d}(x_1) u(x_2) (1 + \cos\theta)^2 - \Delta u(x_1) \bar{d}(x_2) (1 - \cos\theta)^2]}{\int_{\otimes(x_1, x_2)} [\bar{d}(x_1) u(x_2) (1 + \cos\theta)^2 + u(x_1) \bar{d}(x_2) (1 - \cos\theta)^2]}$$

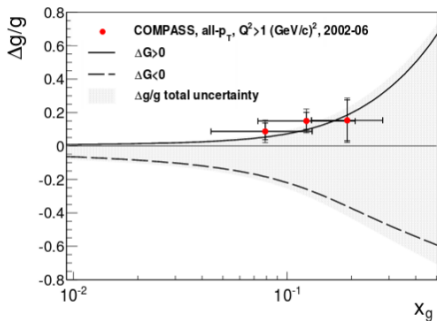
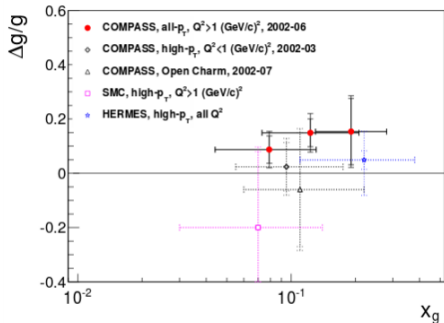
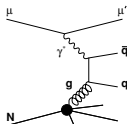


A. Kraishan DIS2019

Direct measurements of $\Delta g(x)$

Direct measurements – *via* the cross section asymmetry for the photon–gluon fusion (PGF) with subsequent fragmentation into

$c\bar{c}$ (LO, NLO) or $q\bar{q}$ (high p_T hadron pair (LO)): $A_{\gamma N}^{\text{PGF}} \approx \langle a_{LL}^{\text{PGF}} \rangle \frac{\Delta g}{g}$

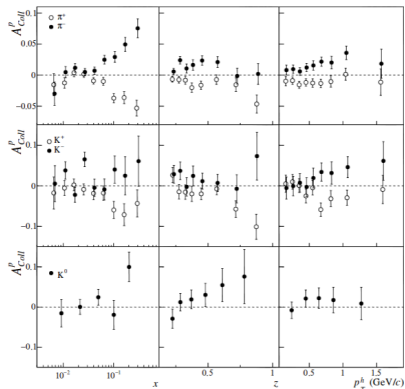


COMPASS from SIDIS on d for any $(p_T)_h$ and at LO:

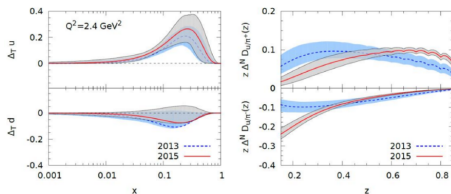
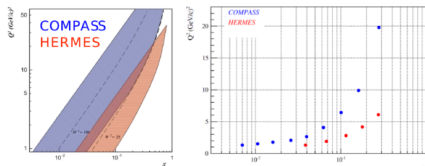
$\Delta g/g = 0.113 \pm 0.038(\text{stat.}) \pm 0.036(\text{syst.})$ at $\langle Q^2 \rangle \approx 3$ (GeV/c) 2 , $\langle x_g \rangle \approx 0.10$
 clearly positive gluon polarisation!

COMPASS, EPJC 77(2017) 209

Results for the Collins asymmetry for protons (SIDIS)



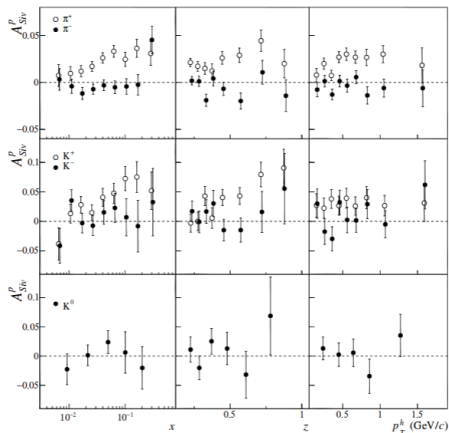
COMPASS, Phys.Lett. B744 (2015) 250



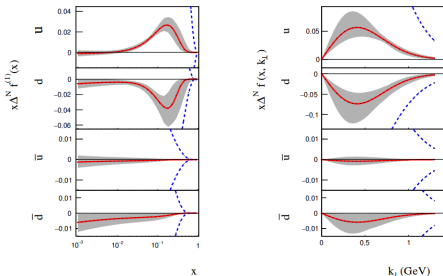
M. Anselmino et al., Phys.Rev. D92 (2015) 114023

- Collins asymmetries for proton measured for +/- unidentified and identified hadrons...
- ...are large at $x \gtrsim 0.03$ and consistent with HERMES (in spite of different Q^2 !)
- but negligible for the deuteron
- COMPASS data on p,d + HERMES data on p + BELLE on e^+e^- : $\implies \Delta_{Tu}, \Delta_{Td}$
- Transversity also obtained from 2-hadron asymmetries (and "Interference Fragmentation Function")

Results for the Sivers asymmetry for protons (SIDIS)



COMPASS, Phys.Lett. B744 (2015) 250



M.Anselmino et al., JHEP 1704(2017)046

- Sivers asymmetries for proton measured for +/- identified hadrons are large for π^+ , K^+ ...
- ...and even larger at smaller Q^2 (HERMES)
- COMPASS deuteron data show very small asymmetry