

# a direct extraction of the Sivers function from SIDIS data

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# this work

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point-by-point determination of the first  $k_T^2$  moment of the Sivers distribution from SIDIS data

the extraction is based on

- the use of Sivers asymmetries measured with transversely polarised **proton and deuteron targets** at the **same  $x, Q^2$  values**
- some **simple assumptions**
  - a **Gaussian form** is assumed to allow an analytical computation of the convolutions, but **no specific parametrization** is required

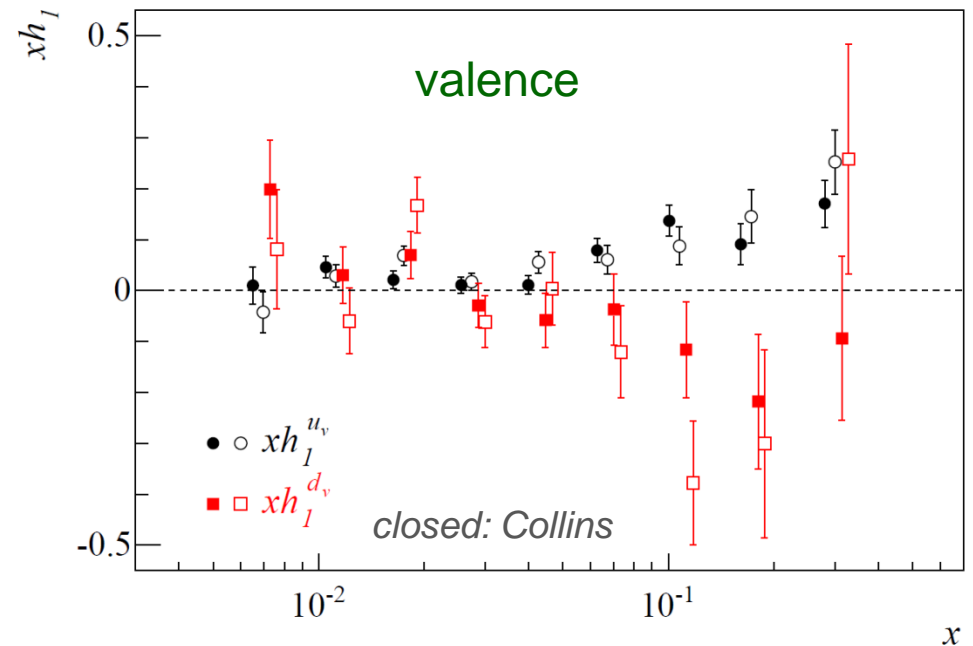
the method is essentially the same already used to extract the transversity PDF

# transversity

we used the COMPASS p and d data for Collins and di-hadron asymmetries at the same  $x, Q_2$  values and the Belle data to extract the transversity PDF

- simple assumptions on FFs
- intrinsic transverse momentum neglected
- no parametrization for PDF e FF

results



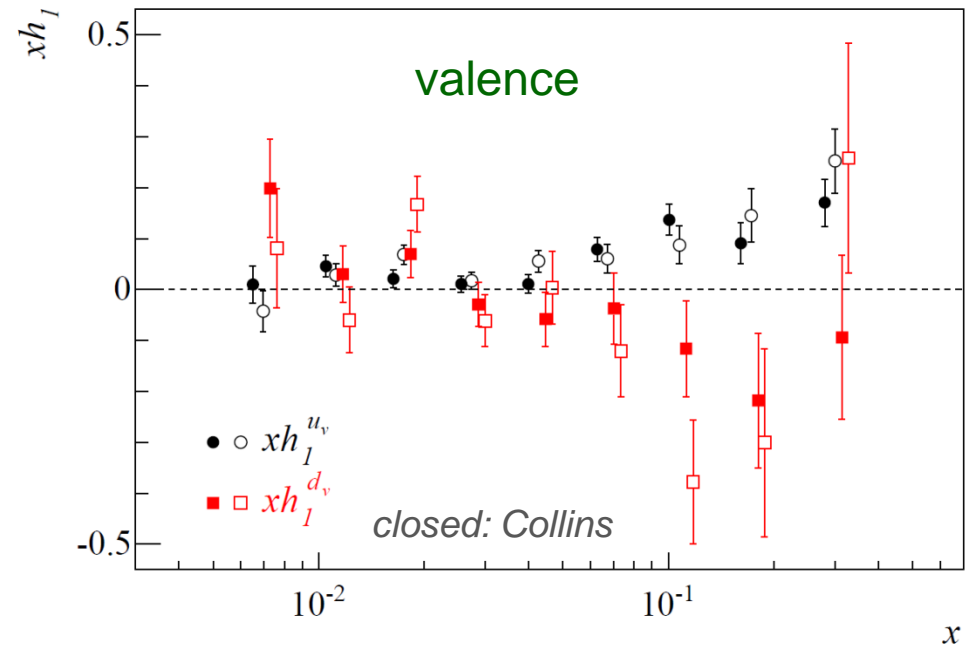
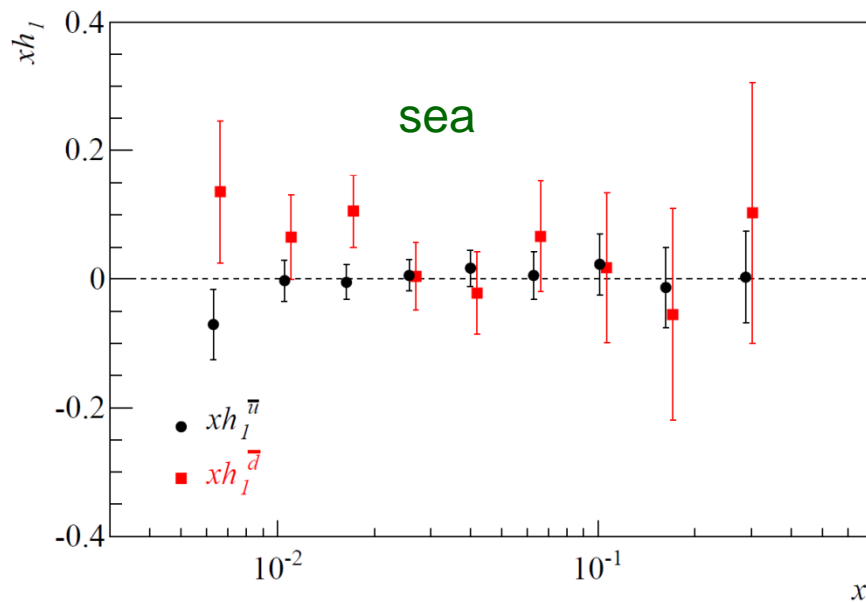
A.M., F. Bradamante, V. Barone  
Phys.Rev. D91 (2015) no.1, 014034

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# Sivers asymmetry in SIDIS

$$A_{Siv}^h = \frac{\sum_q e_q^2 \cdot x f_{1T}^{\perp q} \otimes D_{1q}^h}{\sum_q e_q^2 \cdot x f_1^q \otimes D_{1q}^h} \quad \text{convolutions over transverse momenta}$$

$$f_1 \otimes D_1 = \int d^2\vec{P}_T \int d^2\vec{k}_T \int d^2\vec{p}_T \delta^2(z\vec{k}_T + \vec{p}_T - \vec{P}_T) f_1 D_1 = f_1 \cdot D_1$$

$$f_{1T}^{\perp} \otimes D_1 = \int d^2\vec{P}_T \int d^2\vec{k}_T \int d^2\vec{p}_T \delta^2(z\vec{k}_T + \vec{p}_T - \vec{P}_T) \frac{\vec{k}_T \cdot \vec{P}_T}{MP_T} f_{1T}^{\perp} D_1$$

we use the **Gaussian model** for the transverse-momentum dependent distributions and fragmentation functions:

$$f_{1T}^{\perp h}(x, k_T^2, Q^2) = f_{1T}^{\perp h}(x, Q^2) \frac{1}{\pi \langle k_T^2 \rangle_S} e^{-k_T^2 / \langle k_T^2 \rangle_S}$$

$$D_1(z, p_T^2, Q^2) = D_1(z, Q^2) \frac{1}{\pi \langle p_T^2 \rangle} e^{-p_T^2 / \langle p_T^2 \rangle}$$



fragmentation function integrated over the transverse momentum.

# Sivers asymmetry in SIDIS

the asymmetry becomes

$$A_{Siv}^h(x, z, Q^2) = G z \frac{\sum_q e_q^2 \cdot x f_{1T}^{\perp(1)q}(x, Q^2) \cdot D_{1q}^h(z, Q^2)}{\sum_q e_q^2 \cdot x f_1^q(x, Q^2) \cdot D_{1q}^h(z, Q^2)}$$

with  $f_{1T}^{\perp(1)q} = \int d^2\vec{k}_T \frac{k_T^2}{2M^2} f_{1T}^{\perp q}(k_T^2)$       transverse moment of the Sivers function we would like to extract

and  $G = \frac{\sqrt{\pi}M}{\sqrt{z^2\langle k_T^2 \rangle_S + \langle p_T^2 \rangle}}$

in the Gaussian model, writing the average transverse momentum of the produced hadrons as

$$\langle P_T \rangle = \frac{\sqrt{\pi}}{2} \sqrt{z^2\langle k_T^2 \rangle + \langle p_T^2 \rangle}$$

$$\simeq \frac{\pi M}{2\langle P_T \rangle} \quad \text{known}$$

# Sivers asymmetry in SIDIS

integrating over  $z$

$$A_{Siv}^h(x, Q^2) = G \frac{\sum_q e_q^2 \cdot x f_{1T}^{\perp(1)q}(x, Q^2) \cdot \tilde{D}_{1q}^{(1)h}(Q^2)}{\sum_q e_q^2 \cdot x f_1^q(x, Q^2) \cdot \tilde{D}_{1q}^h(Q^2)}$$

where  $\tilde{D}_{1q}^h(Q^2) = \int dz D_{1q}^h(z, Q^2)$

known

$$\tilde{D}_{1q}^{(1)h}(Q^2) = \int dz z D_{1q}^h(z, Q^2)$$

# Sivers asymmetry in SIDIS

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# Sivers asymmetry in SIDIS

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where  $\tilde{D}_{1q}^h(Q^2) = \int dz D_{1q}^h(z, Q^2)$

known

$$\tilde{D}_{1q}^{(1)h}(Q^2) = \int dz z D_{1q}^h(z, Q^2)$$

it is convenient to distinguish  
favored and unfavored fragmentation functions  
according to  $h^\pm = \pi^\pm$  (or  $K^\pm$ )

# Sivers function from pion asymmetries

**pions**

$$D_{1,\text{fav}}^\pi \equiv D_{1u}^{\pi^+} = D_{1d}^{\pi^-} = D_{1\bar{u}}^{\pi^-} = D_{1\bar{d}}^{\pi^+}$$

$$D_{1,\text{unf}}^\pi \equiv D_{1u}^{\pi^-} = D_{1d}^{\pi^+} = D_{1\bar{u}}^{\pi^+} = D_{1\bar{d}}^{\pi^-}$$

$$D_{1s}^{\pi^\pm} = D_{1\bar{s}}^{\pi^\pm} = N D_{1,\text{unf}}^\pi$$

the denominators  $\sum_q e_q^2 \cdot x f_1^q(x, Q^2) \cdot \tilde{D}_{1q}^h(Q^2)$  become

$$p, \pi^+ : x [4(f_1^u + \beta_\pi f_1^{\bar{u}}) + (\beta_\pi f_1^d + f_1^d) + N\beta_\pi(f_1^s + f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^\pi \equiv x f_p^{\pi^+} \tilde{D}_{1,\text{fav}}^\pi,$$

$$d, \pi^+ : x [(4 + \beta_\pi)(f_1^u + f_1^d) + (1 + 4\beta_\pi)(f_1^{\bar{u}} + f_1^{\bar{d}}) + 2N\beta_\pi(f_1^s + f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^\pi \equiv x f_d^{\pi^+} \tilde{D}_{1,\text{fav}}^\pi,$$

$$p, \pi^- : x [4(\beta_\pi f_1^u + f_1^{\bar{u}}) + (f_1^d + \beta_\pi f_1^{\bar{d}}) + N\beta_\pi(f_1^s + f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^\pi \equiv x f_p^{\pi^-} \tilde{D}_{1,\text{fav}}^\pi,$$

$$d, \pi^- : x [(1 + 4\beta_\pi)(f_1^u + f_1^d) + (4 + \beta_\pi)(f_1^{\bar{u}} + f_1^{\bar{d}}) + 2N\beta_\pi(f_1^s + f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^\pi \equiv x f_d^{\pi^-} \tilde{D}_{1,\text{fav}}^\pi,$$

$$\beta_\pi(Q^2) = \frac{\tilde{D}_{1,\text{unf}}^\pi(Q^2)}{\tilde{D}_{1,\text{fav}}^\pi(Q^2)}$$

and similar expressions can be written for the numerators, with

$$\tilde{D}_1 \rightarrow \tilde{D}_1^{(1)}, f_1 \rightarrow f_{1T}^{\perp(1)}, \beta_\pi \rightarrow \beta_\pi^{(1)}(Q^2) = \frac{\tilde{D}_{1,\text{unf}}^{\pi(1)}(Q^2)}{\tilde{D}_{1,\text{fav}}^{\pi(1)}(Q^2)}$$

# Sivers function from pion asymmetries

**pions** finally one gets the **valence distributions for u and d quarks** separately

$$x f_{1T}^{\perp(1)u_v} = \frac{1}{5G\rho_\pi(1 - \beta_\pi^{(1)})} \left[ (x f_p^{\pi^+} A_p^{\pi^+} - x f_p^{\pi^-} A_p^{\pi^-}) + \frac{1}{3} (x f_d^{\pi^+} A_d^{\pi^+} - x f_d^{\pi^-} A_d^{\pi^-}) \right]$$
$$x f_{1T}^{\perp(1)d_v} = \frac{1}{5G\rho_\pi(1 - \beta_\pi^{(1)})} \left[ \frac{4}{3} (x f_d^{\pi^+} A_d^{\pi^+} - x f_d^{\pi^-} A_d^{\pi^-}) - (x f_p^{\pi^+} A_p^{\pi^+} - x f_p^{\pi^-} A_p^{\pi^-}) \right]$$

$$\rho_\pi(Q^2) = \frac{\tilde{D}_{1,\text{fav}}^{\pi(1)}(Q^2)}{\tilde{D}_{1,\text{fav}}^\pi(Q^2)}$$

**directly from the measured asymmetries**

# Sivers function from pion asymmetries

**pions** finally one gets the **valence distributions for u and d quarks** separately

$$x f_{1T}^{\perp(1)u_v} = \frac{1}{5G\rho_\pi(1 - \beta_\pi^{(1)})} \left[ (x f_p^{\pi^+} A_p^{\pi^+} - x f_p^{\pi^-} A_p^{\pi^-}) + \frac{1}{3} (x f_d^{\pi^+} A_d^{\pi^+} - x f_d^{\pi^-} A_d^{\pi^-}) \right]$$
$$x f_{1T}^{\perp(1)d_v} = \frac{1}{5G\rho_\pi(1 - \beta_\pi^{(1)})} \left[ \frac{4}{3} (x f_d^{\pi^+} A_d^{\pi^+} - x f_d^{\pi^-} A_d^{\pi^-}) - (x f_p^{\pi^+} A_p^{\pi^+} - x f_p^{\pi^-} A_p^{\pi^-}) \right]$$

$$\rho_\pi(Q^2) = \frac{\tilde{D}_{1,\text{fav}}^{\pi(1)}(Q^2)}{\tilde{D}_{1,\text{fav}}^\pi(Q^2)}$$

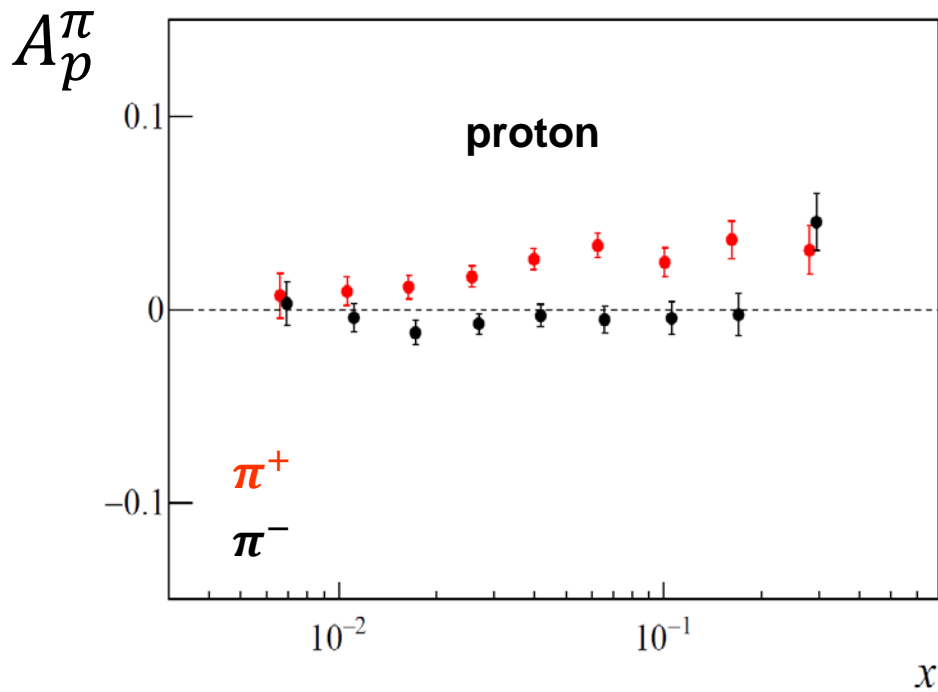
and the **sea combination**

$$x f_{1T}^{\perp(1)\bar{u}} - x f_{1T}^{\perp(1)\bar{d}} = \frac{1}{15G\rho_\pi(1 - \beta_\pi^{(1)2})} \left[ 2(1 - 4\beta_\pi^{(1)})x f_p^{\pi^+} A_p^{\pi^+} + 2(4 - \beta_\pi^{(1)})x f_p^{\pi^-} A_p^{\pi^-} \right. \\ \left. - (1 - 4\beta_\pi^{(1)})x f_d^{\pi^+} A_d^{\pi^+} - (4 - \beta_\pi^{(1)})x f_d^{\pi^-} A_d^{\pi^-} \right].$$

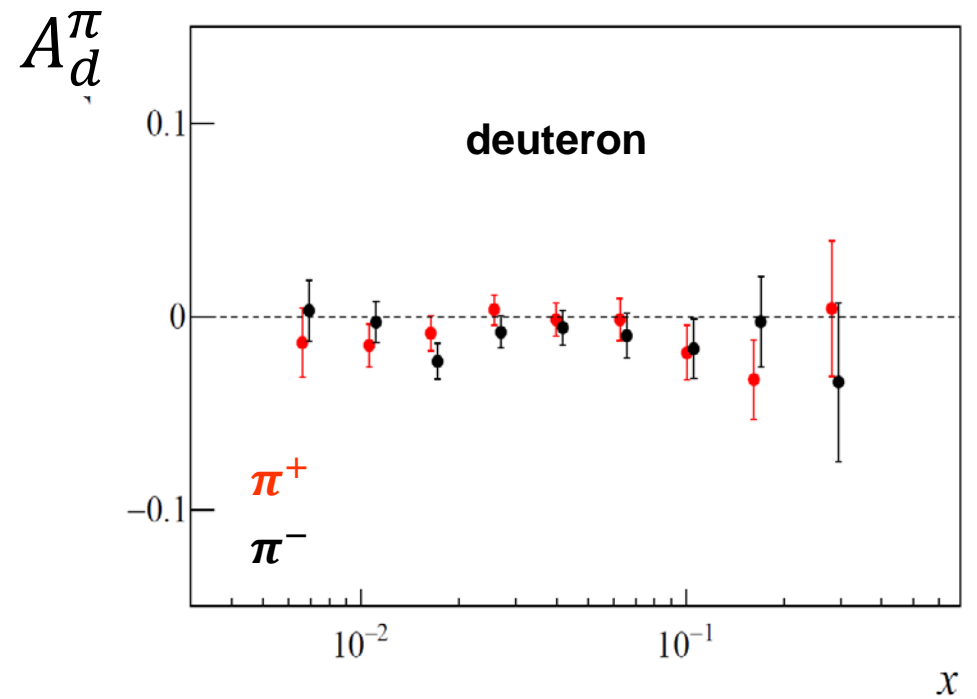
**directly from the measured asymmetries**

# pion asymmetries

## COMPASS results for Sivers asymmetries



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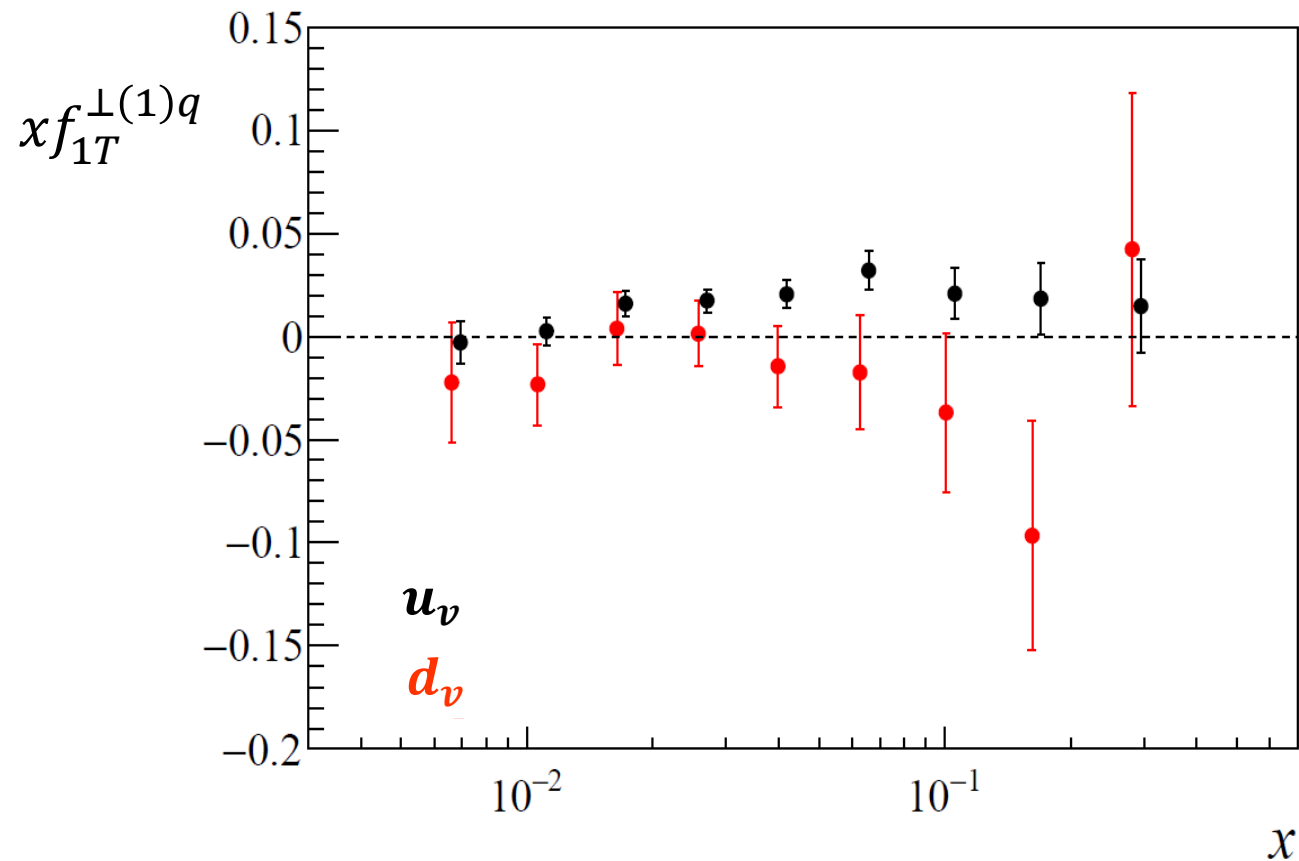
PLB 673 (2009) 127

*marginal  
statistics*



# Sivers function from pion asymmetries

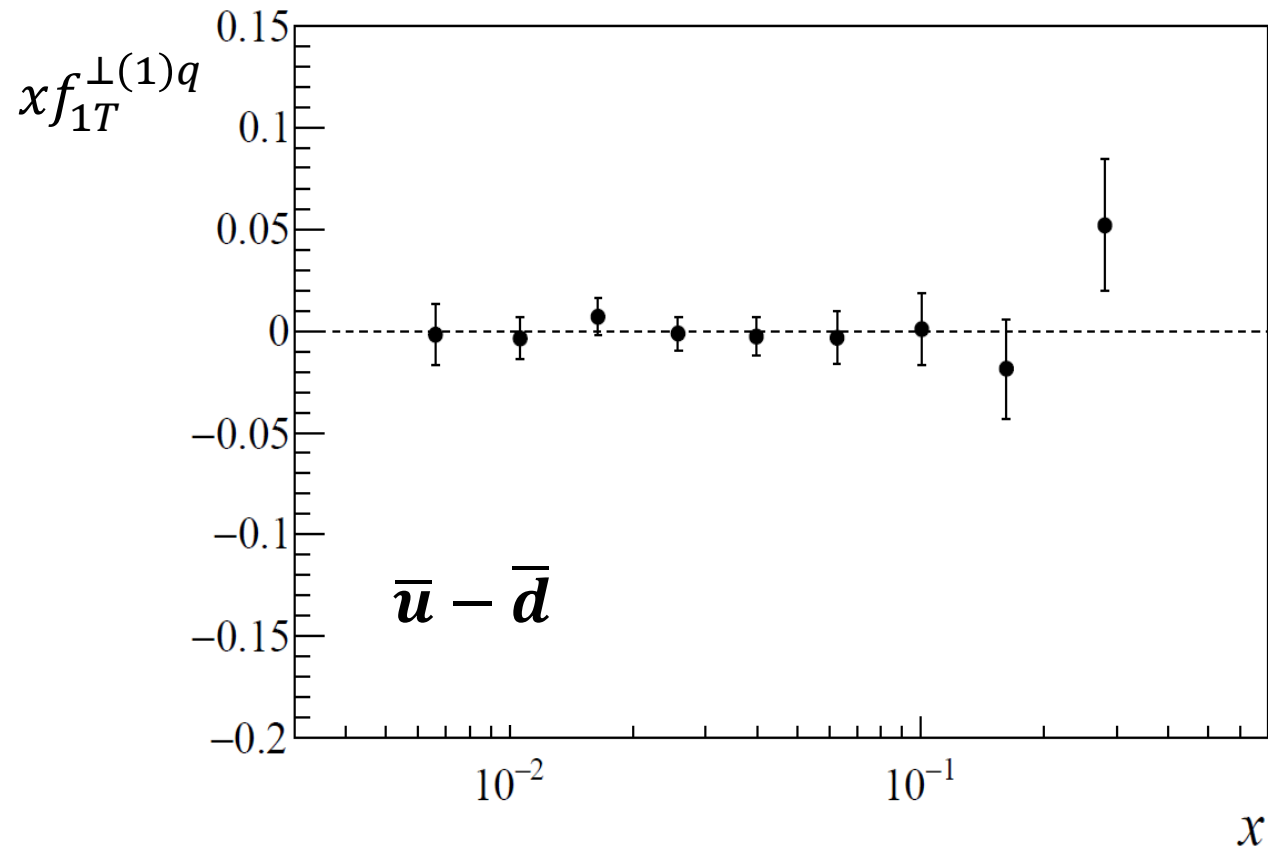
extracted Sivers functions  $xf_{1T}^{\perp(1)u_v}$   $xf_{1T}^{\perp(1)d_v}$



*no attempt to correct for the different values of  $Q^2$  in the different  $x$  bins*

# Sivers function from pion asymmetries

extracted values of  $x f_{1T}^{\perp(1)\bar{u}} - x f_{1T}^{\perp(1)\bar{d}}$



# Sivers function from kaon asymmetries

**kaons**

$$D_{1,\text{fav}}^K \equiv D_{1u}^{K^+} = D_{1\bar{u}}^{K^-}$$

$$D'_{1,\text{fav}}^K \equiv D_{1\bar{s}}^{K^+} = D_{1s}^{K^-}$$

$$D_{1,\text{unf}}^K \equiv D_{1d}^{K^\pm} = D_{1\bar{d}}^{K^\pm} = D_{1\bar{u}}^{K^+} = D_{1u}^{K^-} = D_{1s}^{K^+} = D_{1\bar{s}}^{K^-}$$

the denominators  $\sum_q e_q^2 \cdot x f_1^q(x, Q^2) \cdot \tilde{D}_{1q}^h(Q^2)$  become

$$p, K^+ : x [4(f_1^u + \beta_K f_1^{\bar{u}}) + \beta_K(f_1^d + f_1^{\bar{d}}) + (\beta_K f_1^s + \gamma_K f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^K \equiv x f_p^{K^+} \tilde{D}_{1,\text{fav}}^K,$$

$$d, K^+ : x [(4 + \beta_K)(f_1^u + f_1^d) + 5\beta_K(f_1^{\bar{u}} + f_1^{\bar{d}}) + 2(\beta_K f_1^s + \gamma_K f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^K \equiv x f_d^{K^+} \tilde{D}_{1,\text{fav}}^K,$$

$$p, K^- : x [4(\beta_K f_1^u + f_1^{\bar{u}}) + \beta_K(f_1^d + f_1^{\bar{d}}) + (\gamma_K f_1^s + \beta_K f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^K \equiv x f_p^{K^-} \tilde{D}_{1,\text{fav}}^K,$$

$$d, K^- : x [5\beta_K(f_1^u + f_1^d) + (4 + \beta_K)(f_1^{\bar{u}} + f_1^{\bar{d}}) + 2(\gamma_K f_1^s + \beta_K f_1^{\bar{s}})] \tilde{D}_{1,\text{fav}}^K \equiv x f_d^{K^-} \tilde{D}_{1,\text{fav}}^K,$$

$$\beta_K(Q^2) = \frac{\tilde{D}_{1,\text{unf}}^K(Q^2)}{\tilde{D}_{1,\text{fav}}^K(Q^2)}, \quad \gamma_K(Q^2) = \frac{\tilde{D}'_{1,\text{fav}}^K(Q^2)}{\tilde{D}_{1,\text{fav}}^K(Q^2)}$$

and similar expressions can be written for the numerators



# Sivers function from kaon asymmetries

assuming  $f_{1T}^{\perp(1)s} = f_{1T}^{\perp(1)\bar{s}}$

the u and quark Sivers functions can be obtained the kaon Sivers asymmetries alone

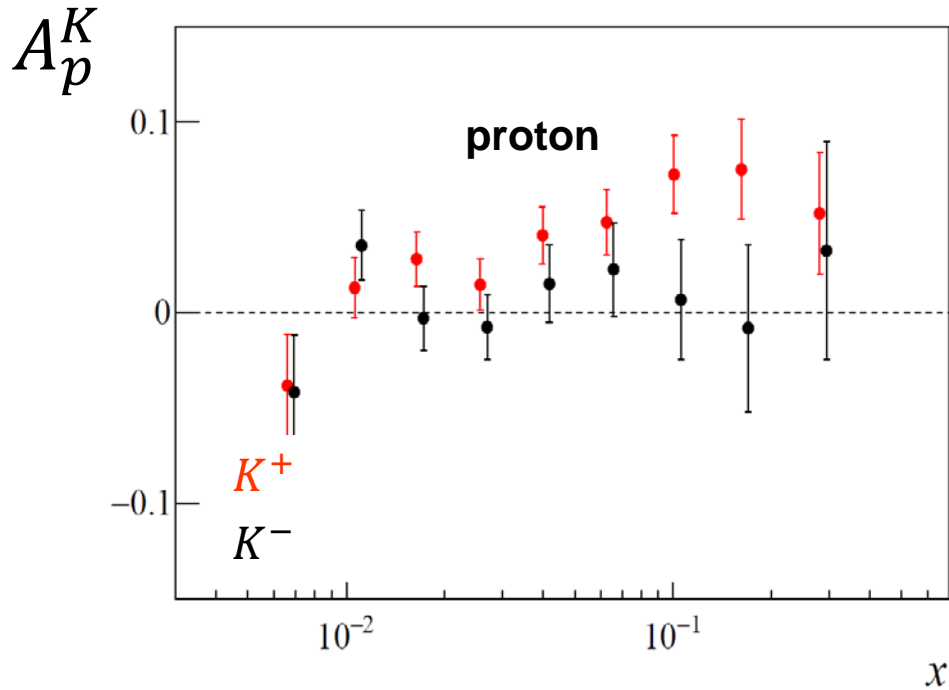
$$xf_{1T}^{\perp(1)u\nu} = \frac{1}{4G\rho_K(1 - \beta_K^{(1)})} [xf_p^{K^+} A_p^{K^+} - xf_p^{K^-} A_p^{K^-}]$$

**kaons**

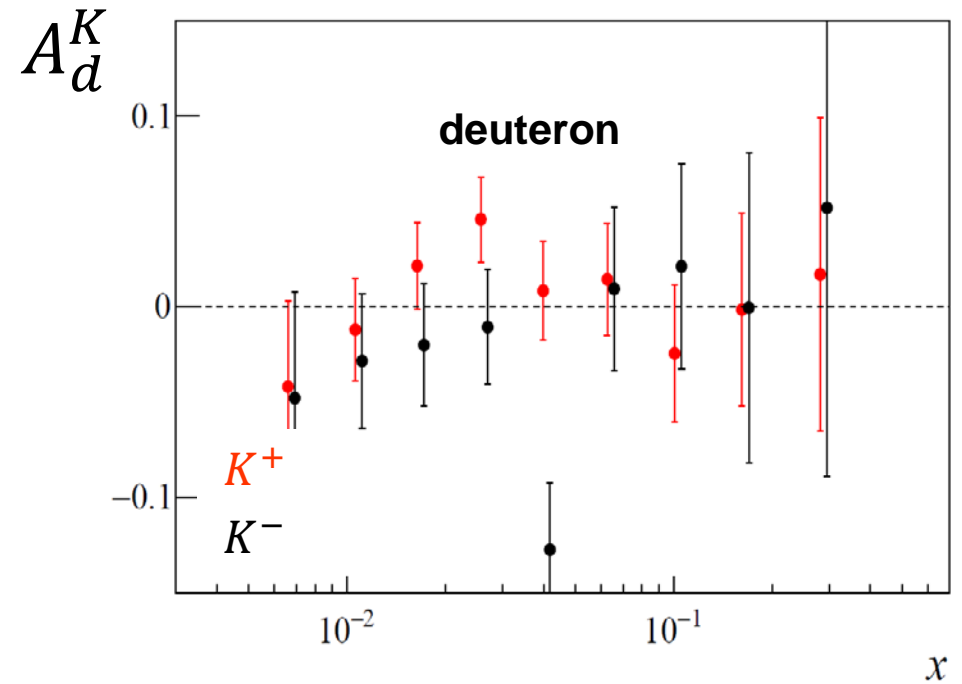
$$xf_{1T}^{\perp(1)d\nu} = -\frac{1}{4G\rho_K(1 - \beta_K^{(1)})} [xf_p^{K^+} A_p^{K^+} - xf_p^{K^-} A_p^{K^-} - (xf_d^{K^+} A_d^{K^+} - xf_d^{K^-} A_d^{K^-})]$$

# kaon asymmetries

## COMPASS results for Sivers asymmetries



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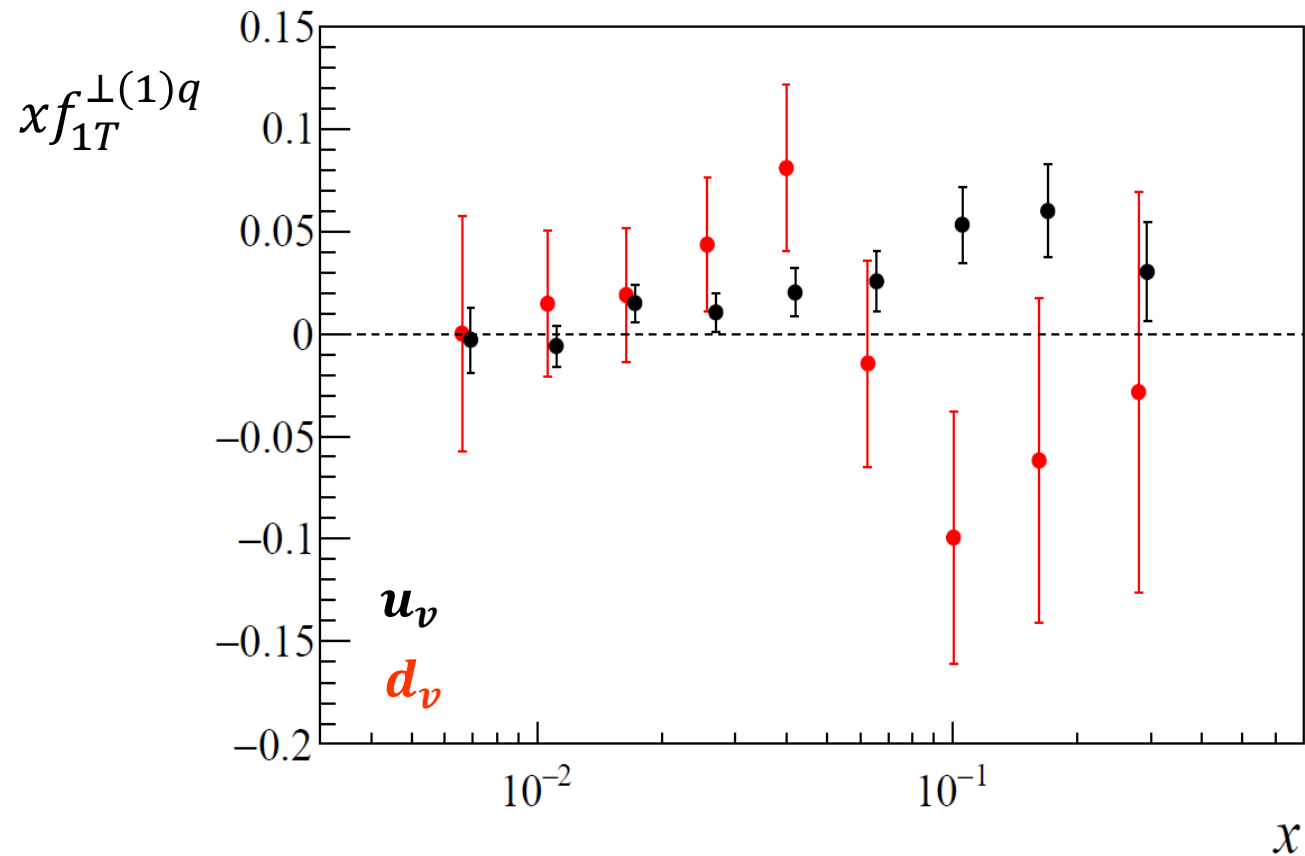
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*lower statistics wrt pions!*

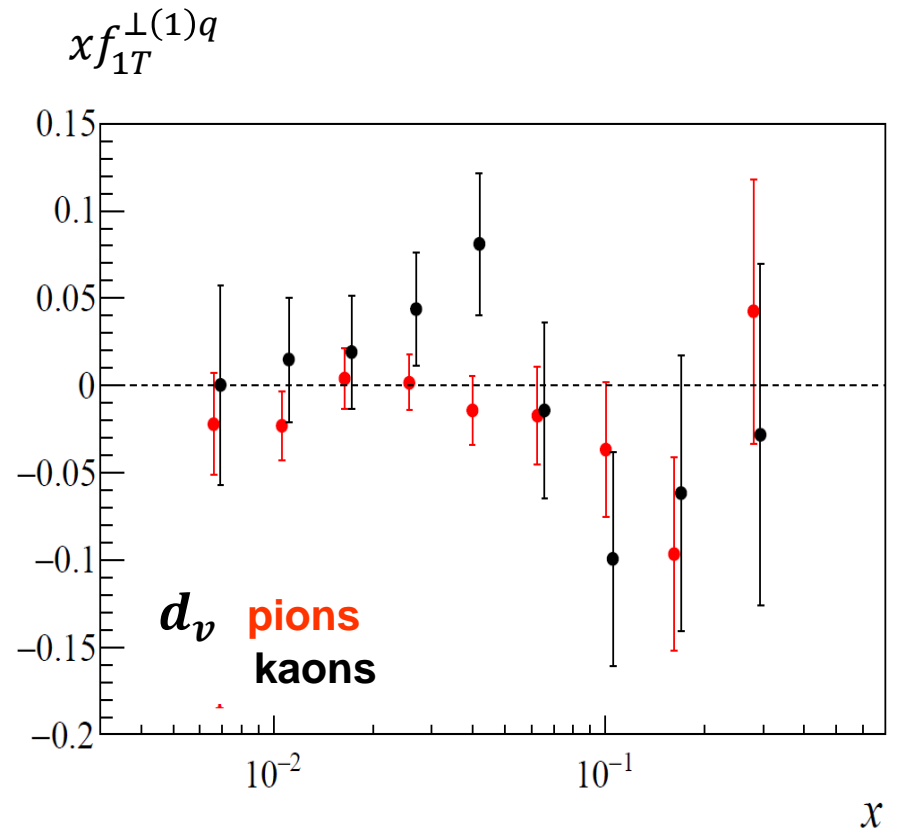
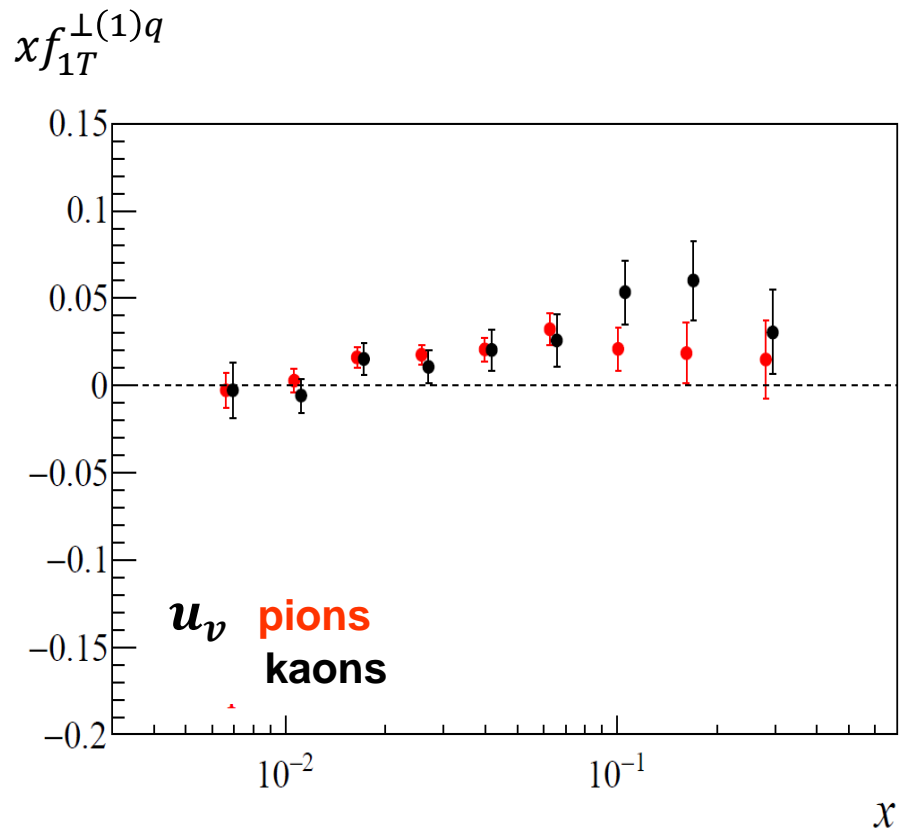


# Sivers function from kaon asymmetries

extracted Sivers functions  $xf_{1T}^{\perp(1)u_v}$   $xf_{1T}^{\perp(1)d_v}$



# Sivers function from pions and kaons

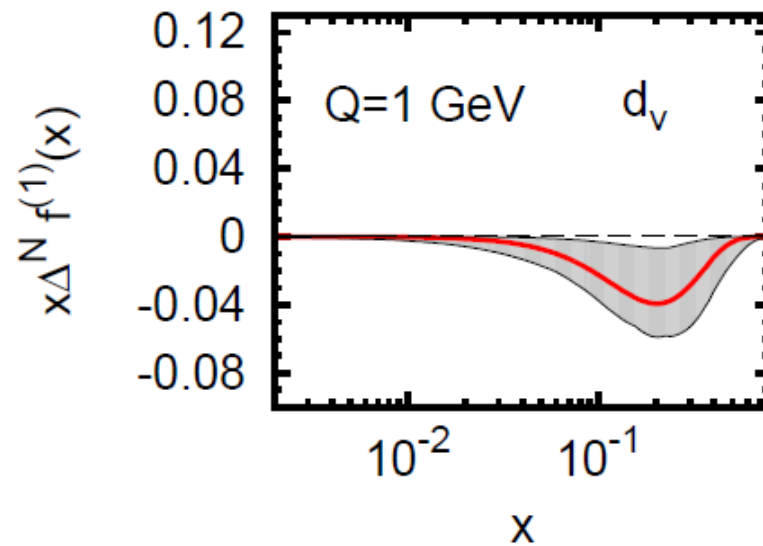
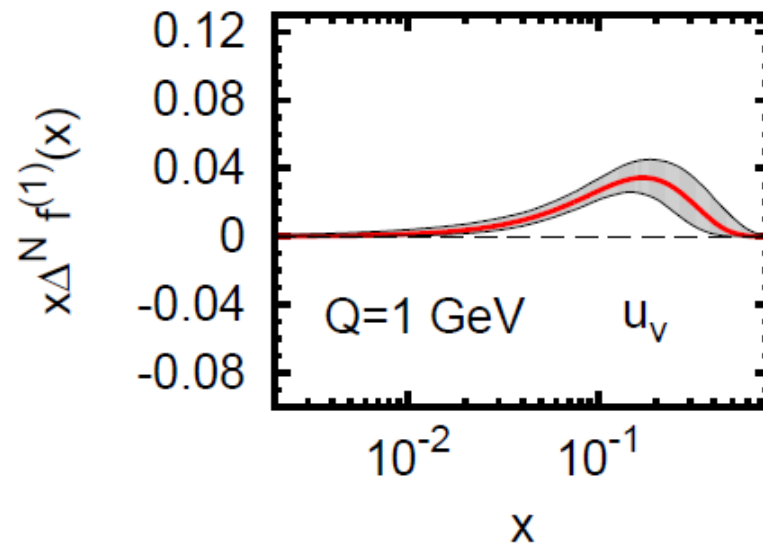


# Sivers function from pions and kaons

SIVERS FUNCTION - DGLAP

Anselmino, Boglione, Melis, DIS2011

fits to HERMES and COMPASS  
Sivers asymmetries

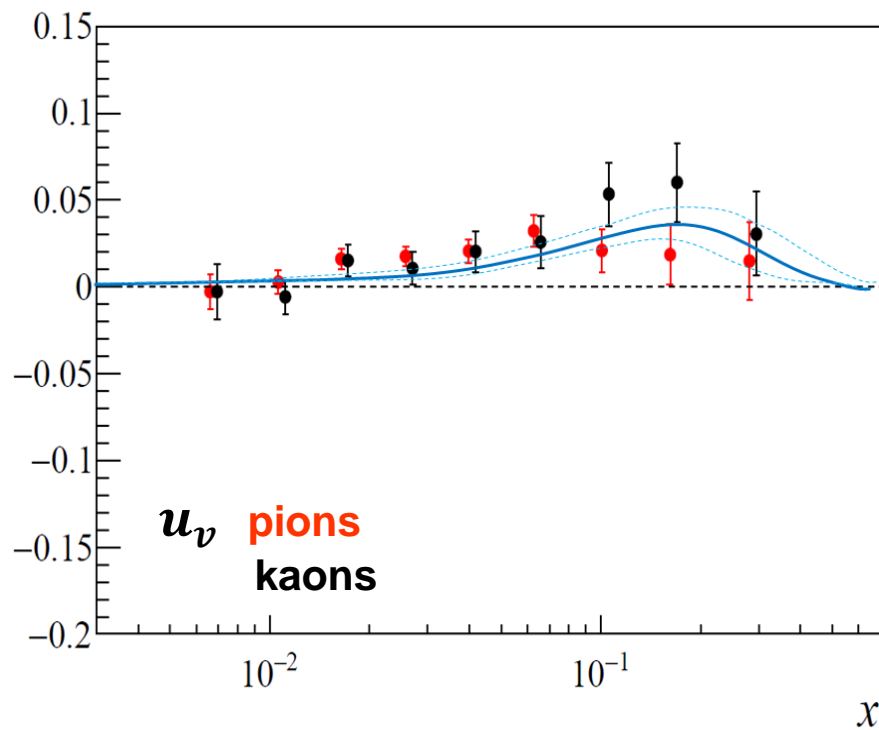


# Sivers function from pions and kaons

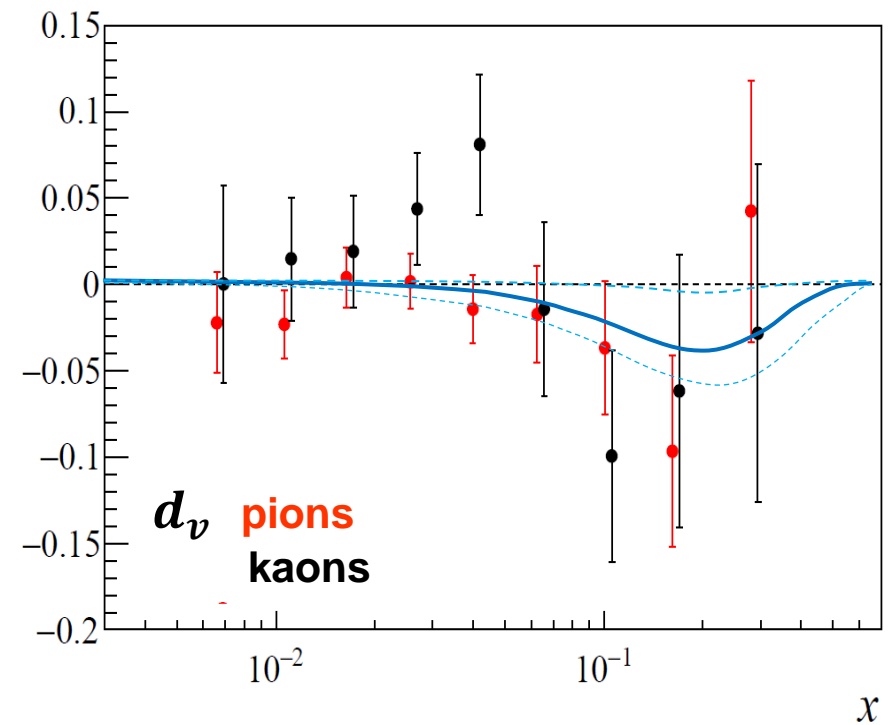
SIVERS FUNCTION - DGLAP

Anselmino, Boglione, Melis, DIS2011

$xf_{1T}^{\perp(1)q}$



$xf_{1T}^{\perp(1)q}$



# summary

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**the p and d SIDIS data at the same  $x, Q^2$  allow for a point-by-point determination of the first  $k_T^2$  moment of the Sivers function**

**the Sivers functions for the valence u and d quark are obtained independently from charged pion and charged kaon data**

- the present statistics of the d data does not allow to constrain the d quark distribution
- the results from kaon and pions are compatible and have about the same statistical uncertainty

**the pion data also allow to measure  $f_{1T}^{\perp(1)\bar{u}} - f_{1T}^{\perp(1)\bar{d}}$  which turns out to be compatible with zero**

# summary

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the pion data also allow to measure  $f_{1T}^{\perp(1)\bar{u}} - f_{1T}^{\perp(1)\bar{d}}$   
which turns out to be compatible with zero

the method is promising

and we plan to use it for the  $P_T$  weighted asymmetries, which do not require any assumption on the  $k_T$  dependence and will provide a test of the Gaussian model