

Helicity distributions from DIS

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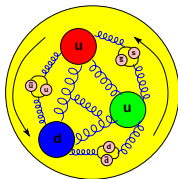
bmb+f - Förderschwerpunkt
COMPASS
Großgeräte der physikalischen
Grundlagenforschung



JOHANNES GUTENBERG
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Motivation: Nucleon spin puzzle

$$S_N = \frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$



Accessible in

$\Delta\Sigma$, Δs

Δu , Δd , Δs

ΔG

L_q

inclusive DIS

semi-inclusive DIS

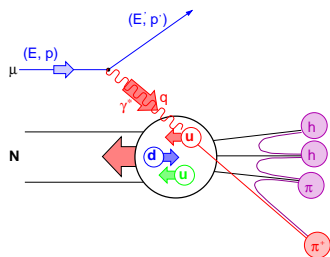
PGF in DIS

DVCS

Content

- Experiments
- Spin structure functions
- Sum rules
- Gluon polarisation
- Quark polarisation

Deep inelastic scattering



$$Q^2 = -q^2$$

$$\nu = E - E'$$

$$x = Q^2/2M\nu$$

$$z = E_h/\nu$$

p_T^h : transverse momentum

► Inclusive cross section

$$\frac{d^2\sigma}{d\Omega dE'} \sim \underbrace{c_1 F_1(x, Q^2) + c_2 F_2(x, Q^2)}_{\text{spin independent}} + \underbrace{c_3 g_1(x, Q^2) + c_4 g_2(x, Q^2)}_{\text{spin dep. structure functions}}$$

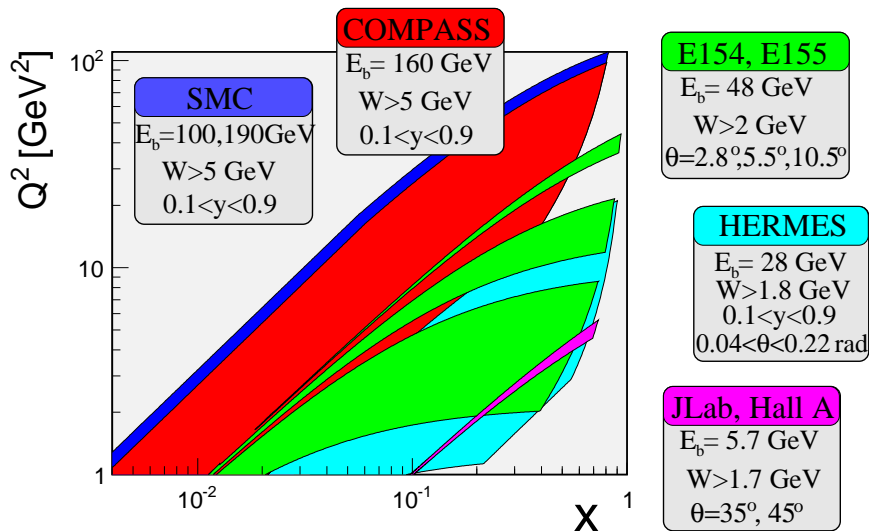
► measured

$$A_{||}(x, Q^2) = \frac{d\sigma^{\uparrow\downarrow} - d\sigma^{\uparrow\uparrow}}{d\sigma^{\uparrow\downarrow} + d\sigma^{\uparrow\uparrow}} = D(A_1 + \eta A_2)$$

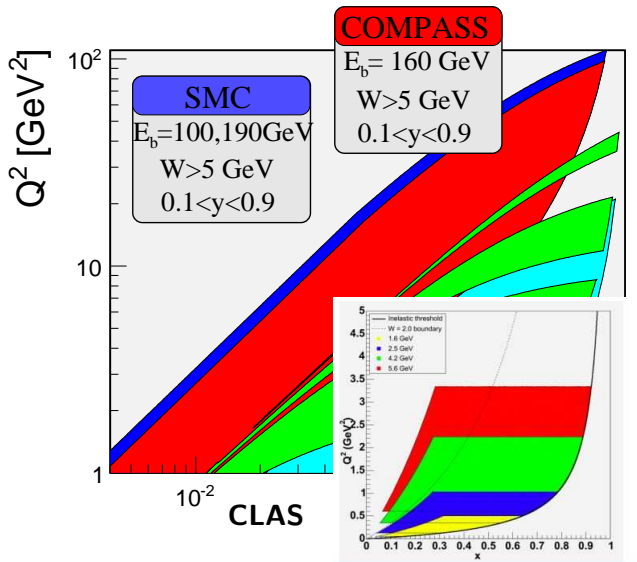
photon-nucleon asymmetry

D depolarisation factor, \uparrow photon, \uparrow nucleon

Kinematic domain of pDIS experiments



Kinematic domain of pDIS experiments



E154, E155

$E_b = 48 \text{ GeV}$
 $W > 2 \text{ GeV}$
 $\theta = 2.8^\circ, 5.5^\circ, 10.5^\circ$

HERMES

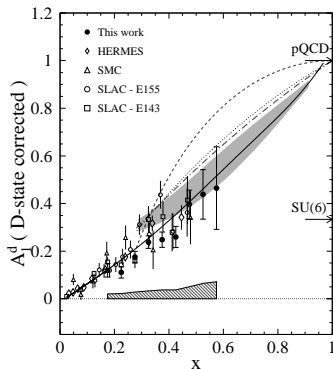
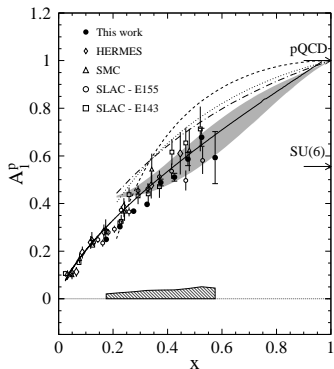
$E_b = 28 \text{ GeV}$
 $W > 1.8 \text{ GeV}$
 $0.1 < y < 0.9$
 $0.04 < \theta < 0.22 \text{ rad}$

JLab, Hall A

$E_b = 5.7 \text{ GeV}$
 $W > 1.7 \text{ GeV}$
 $\theta = 35^\circ, 45^\circ$

Inclusive Asymmetries

$A_1^{p,d}$ at large x



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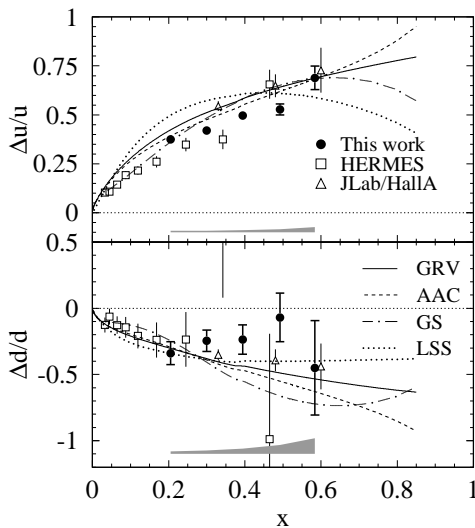
- ▶ CLAS data slightly below the other experiments at low x
- ▶ also slightly below pQCD parametr. at $10 \text{ (GeV}/c)^2$ (solid line)
- ▶ in reasonable agreement with model with SU(6) symmetry breaking (shaded area)

Quark polarisation in the valence region

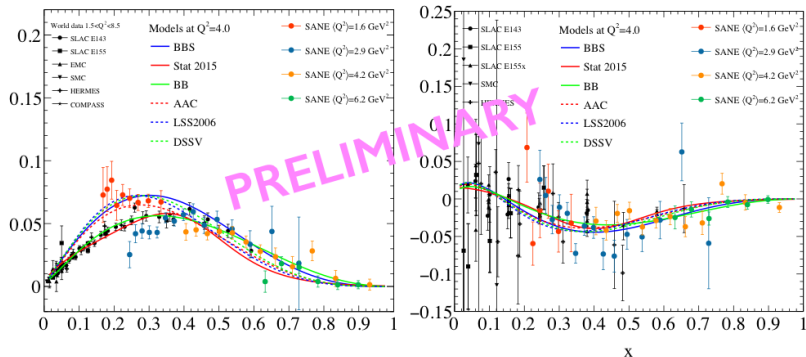
$$A_1(x) \approx \frac{g_1(x)}{F_1(x)} \stackrel{LO}{=} \frac{\sum_q e_q^2 \Delta q(x)}{\sum_q e_q^2 q(x)}$$

with $q(x)$ unpol., $\Delta q(x)$ pol. PDFs

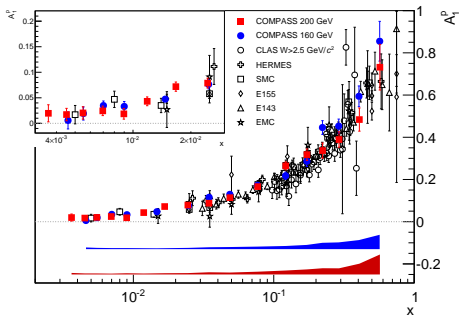
- ▶ $A_1^{p,d}$ can be used to extract $\Delta u/u$ and $\Delta d/d$ in the valence region assuming negligible sea contribution
- ▶ $\Delta u/u > 0$
 $\Delta u/u \rightarrow 1$ for $x \rightarrow 1$
- ▶ $\Delta d/d < 0$
up to highest $x \sim 0.6$
- ▶ consistent with recent pQCD parametrisation



Proton g_1 and g_2



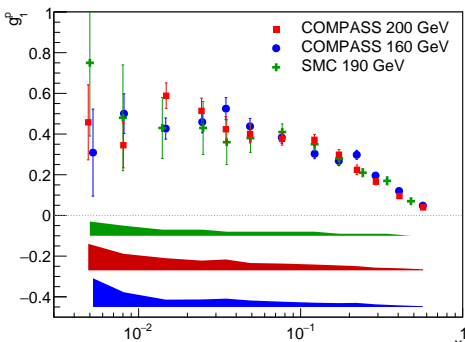
Proton Asymmetry for $Q^2 > 1 \text{ GeV}^2/c^2$



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- ▶ Final proton results from COMPASS
- ▶ Good agreement of world data
- ▶ Extraction of g_1 :

$$g_1 = A_1 F_1 = A_1 \frac{F_2}{2x(1+R)}$$



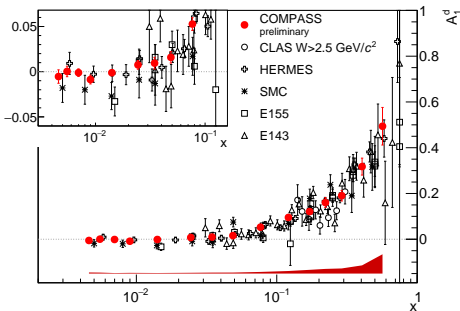
- ▶ Measurement at 160 and 200 GeV
- ▶ Improvement at low x obvious
- ▶ Kinematic domain:

$$Q^2 > 1 \text{ (GeV/c)}^2$$

$$0.1 < y < 0.9$$

$$0.0025 < x < 0.7$$

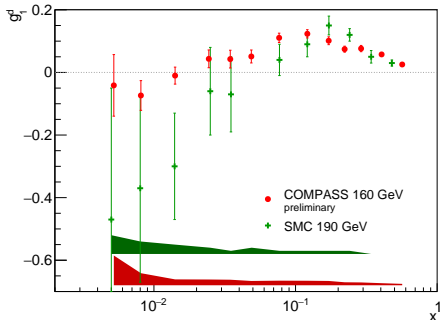
Deuteron asymmetry for $Q^2 > 1 \text{ GeV}^2/c^2$



- ▶ Final deuteron results from COMPASS
- ▶ Supersede [PLB 647 \(2007\) 8](#)
- ▶ g_1^d compatible with zero at low x

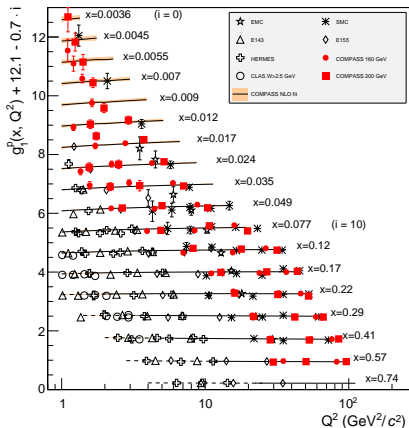
- ▶ Published results from 2002-2004
- ▶ 2006 data added (factor 2)
- ▶ Kinematic domain:

$$Q^2 > 1 \text{ (GeV/c)}^2$$
$$0.1 < y < 0.9$$
$$0.004 < x < 0.7$$

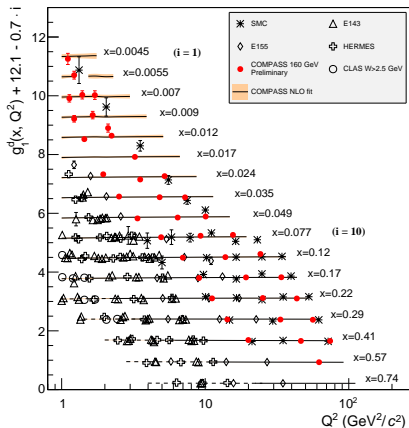


World data for spin structure functions

Proton



Deuteron



- ▶ good coverage in x and Q^2
- ▶ NLO pQCD analysis of proton, deuteron and neutron (³He) data
- ▶ detailed study of systematics related to functional form

- ▶ spin structure function g_1

$$g_1(x, Q^2) = \frac{1}{2} \langle e^2 \rangle [C_{NS} \otimes \Delta q_{NS} + C_S \otimes \Delta q_S + 2n_f C_g \otimes \Delta g]$$

- ▶ DGLAP equations

$$\frac{d}{d \ln Q^2} \Delta q_{NS} = \frac{\alpha_s(Q^2)}{2\pi} \Delta P_{qq}^{NS} \otimes \Delta q_{NS}$$

$$\frac{d}{d \ln Q^2} \begin{pmatrix} \Delta q_S \\ \Delta g \end{pmatrix} = \frac{\alpha_s(Q^2)}{2\pi} \begin{pmatrix} \Delta P_{qq}^S & 2n_f \Delta P_{qg} \\ \Delta P_{gq} & \Delta P_{gg} \end{pmatrix} \otimes \begin{pmatrix} \Delta q_S \\ \Delta g \end{pmatrix}$$

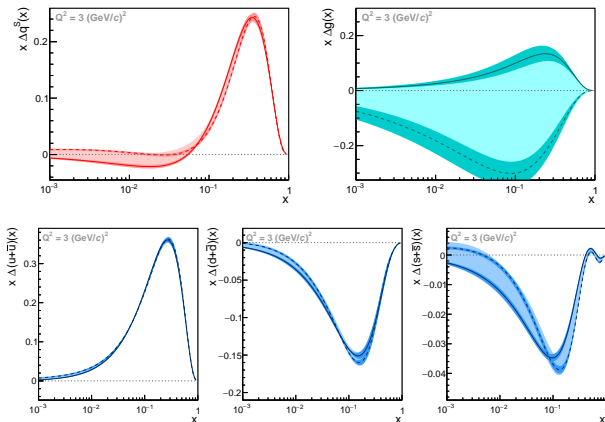
- ▶ input parameterization at Q_0^2

$$(\Delta q_S, \Delta q_3, \Delta q_8, \Delta g) = \eta \frac{x^\alpha (1-x)^\beta (1+\gamma x)}{\int_0^1 x^\alpha (1-x)^\beta (1+\gamma x) dx}$$

with $\Delta q_S = \Delta u + \Delta d + \Delta s$, $\Delta q_3 = \Delta u - \Delta d$,
 $\Delta q_8 = \Delta u + \Delta d - 2\Delta s$

- ▶ constraints used for first moments of $\Delta q_{3,8}$

Polarised PDFs at $Q^2 = 3 \text{ (GeV/c)}^2$



- ▶ quark contribution $0.26 < \Delta\Sigma < 0.36$, dominant uncertainty functional form of Δg
- ▶ strange quark contribution small and negative (constraint on Δq_8)
- ▶ gluon contribution $\Delta G = \int \Delta g(x) dx$ not well constrained

⇒ **direct measurement needed**

First moment of g_1^d ($Q^2 = 3 \text{ (GeV}/c^2)$)

$$\Gamma_1^N(Q^2) = \int_0^1 \frac{1}{1 - 1.5\omega_d} g_1^d(x, Q^2) dx = \frac{1}{36} [4a_0 \Delta C^S + a_8 \Delta C^{NS}]$$

- ▶ axial charges:

a_8, a_3 first moments of $\Delta q_8, \Delta q_3$
in \overline{MS} : $a_0 = \Delta\Sigma$ (first moment of Δq_S)

- ▶ only COMPASS g_1^d used (prelim.)

- ▶ g_1^d evolved using QCD fit

- ▶ 97% of Γ_1^N in measured range, extrapolation using NLO QCD fit

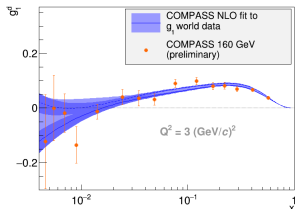
$$\Gamma_1^N = 0.047 \pm 0.002(\text{stat}) \pm 0.004(\text{syst}) \pm 0.004(\text{evol})$$

- ▶ using a_8 from hyperon decays (SU(3) symmetry)

$$a_0 = 0.32 \pm 0.02(\text{stat}) \pm 0.04(\text{syst}) \pm 0.04(\text{evol})$$

- ▶ with $\Delta S = \frac{1}{3}(a_0 + a_8)$: negative strange sea polarisation

$$\Delta S = -0.088 \pm 0.007(\text{stat}) \pm 0.012(\text{syst}) \pm 0.015(\text{evol})$$



Non-singlet structure function

► non-singlet structure function

$$\begin{aligned}g_1^{\text{NS}} &= g_1^{\text{p}} - g_1^{\text{n}} = \frac{1}{6}(\Delta u - \Delta d) \\ &= 2 \left[g_1^{\text{p}} - \frac{g_1^{\text{d}}}{1 - 1.5\omega_{\text{D}}} \right]\end{aligned}$$

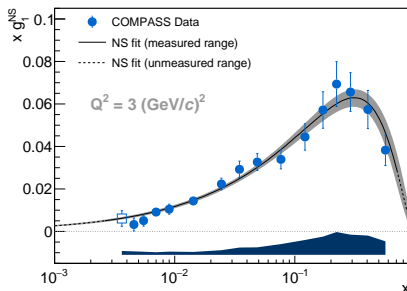
► Bjorken sum rule

$$\int_0^1 g_1^{\text{NS}} dx = \frac{1}{6} \underbrace{\left| \frac{g_{\text{A}}}{g_{\text{V}}} \right|}_{a_3}^{n \rightarrow p} C^{\text{NS}}$$

- QCD fit of COMPASS data alone: $\Delta q_{\text{NS}} \sim x^\alpha(1-x)^\beta$

$$\mathbf{g_{\text{A}}/g_{\text{V}} = 1.22 \pm 0.05(\text{stat}) \pm 0.10(\text{syst})}$$

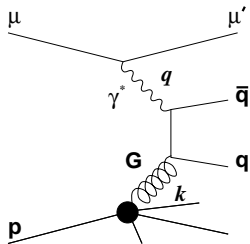
- dominant systematic errors: beam and target polarisation
- PDG value: $g_{\text{A}}/g_{\text{V}} = 1.2701 \pm 0.0020$



Gluon polarisation

Direct measurements of gluon polarisation

Photon gluon fusion



$$A_{\gamma N}^{\text{PGF}} = \frac{\int d\hat{s} \Delta\sigma^{\text{PGF}} \Delta G(x_g, \hat{s})}{\int d\hat{s} \sigma^{\text{PGF}} G(x_g, \hat{s})}$$
$$\approx \langle a_{\text{LL}}^{\text{PGF}} \rangle \frac{\Delta G}{G}$$

$\langle a_{\text{LL}}^{\text{PGF}} \rangle$ analysing power

Direct methods

► Open charm production

$$\gamma g \rightarrow c\bar{c}$$
$$\rightarrow D^0, D^*$$

hard scale: M_c^2
theoretically clean channel,
low statistics

► High p_T hadron pairs

$$\gamma g \rightarrow q\bar{q}$$
$$\rightarrow 2 \text{ jets or } H^+H^-$$

hard scale: Q^2 or Σp_T^2
high statistics
contributions from background
processes

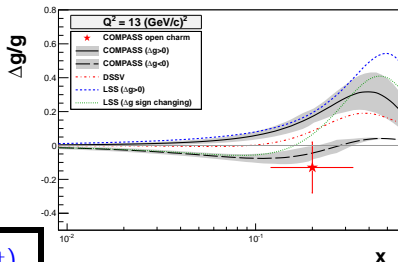
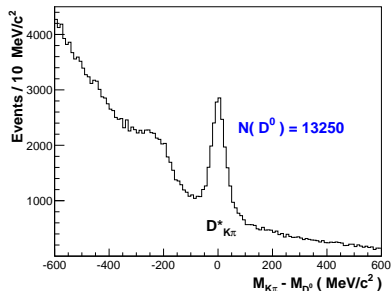
Open charm production

► channels investigated

$$\begin{aligned} D^* &\rightarrow D^0 \pi_{\text{slow}} \rightarrow K \pi \pi_{\text{slow}} \\ D^* &\rightarrow D^0 \pi_{\text{slow}} \rightarrow K \pi \pi^0 \pi_{\text{slow}} \\ D^* &\rightarrow D^0 \pi_{\text{slow}} \rightarrow K \pi \pi \pi_{\text{slow}} \\ D^0 &\rightarrow K \pi \end{aligned}$$

- all deuteron and 2007 proton data
PRD 87 (2013) 052018
- all Q^2 , a_{LL} in NLO
- scale $\mu^2 \approx 13 \text{ (GeV}/c)^2$
- improved analysis method
- result at $x_g = 0.11$

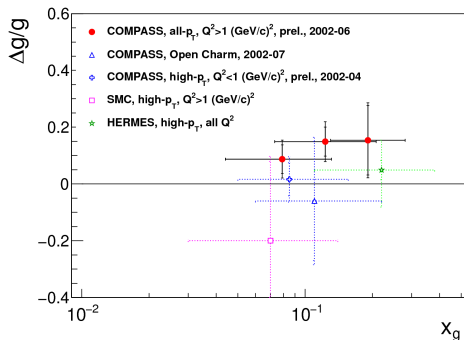
$$\Delta g/g^{\text{NLO}} = -0.13 \pm 0.15(\text{stat}) \pm 0.15(\text{syst})$$



LO Results for gluon polarisation

High p_T hadrons (pairs): $\gamma g \rightarrow q\bar{q} \rightarrow H^+H^-$ or H

- ▶ high statistics
- ▶ but contributions from several background processes
- ▶ estimated from MC simulation
- ▶ neural network to disentangle processes



- ▶ new analysis: single hadron production [asXiv:1512.05053](https://arxiv.org/abs/1512.05053)
- ▶ simultaneous extraction of leading process and PGF asymmetry

$$\Delta g/g^{LO} = 0.113 \pm 0.038_{\text{stat}} \pm 0.035_{\text{sys}}$$

- ▶ first direct measurement of positive $\Delta g/g$, results also in 3 bins

Semi-Inclusive Asymmetries

The data: HERMES

► Kinematic domain:

$$Q^2 > 1 \text{ (GeV/c)}^2$$

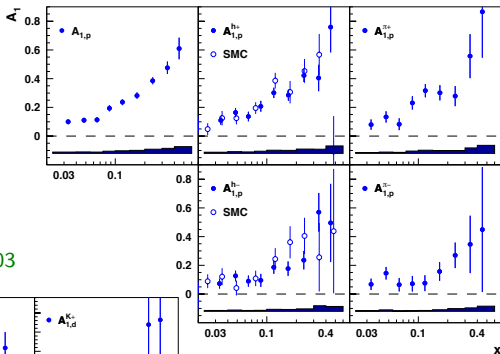
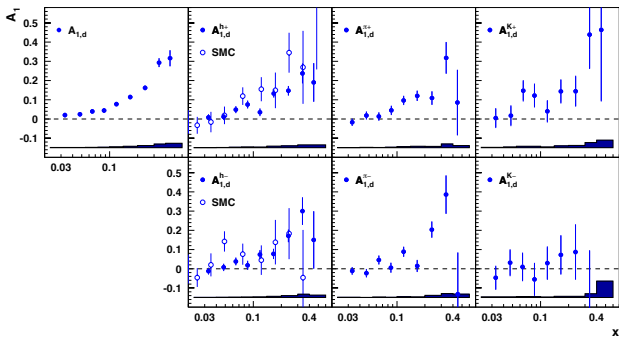
$$W^2 > 10 \text{ GeV/c}^2$$

$$y < 0.85$$

$$0.2 < z < 0.8$$

$$0.023 < x < 0.6$$

PRD 71 (2005) 012003



- deuteron, proton
- identified kaons and pions
- h^+ and h^- from SMC

The data: COMPASS

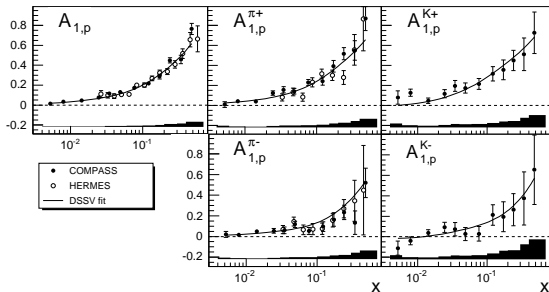
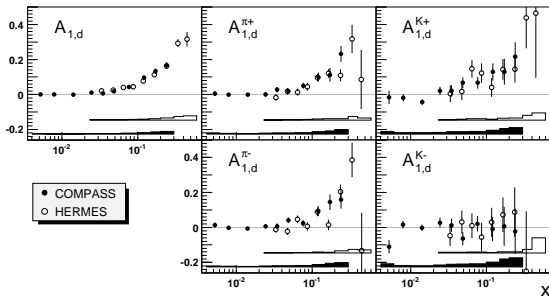
► Kinematic domain:

$$Q^2 > 1 \text{ (GeV}/c^2\text{)}$$

$$0.1 < y < 0.9$$

$$0.2 < z < 0.85$$

$$0.004 < x < 0.3$$



► Deuteron: 2002–2006

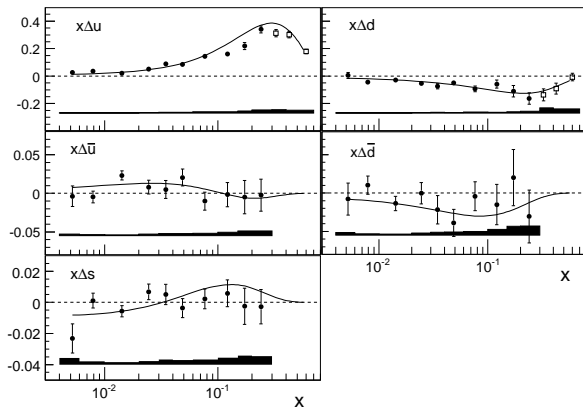
PLB 680 (2009) 217

► Proton: 2007

PLB 693 (2010) 227

Flavour separation

$$A_1^h = \frac{\sum_q e_q^2 \Delta q(x) \int D_q^h(z) dz}{\sum_q e_q^2 q(x) \int D_q^h(z) dz}$$



Basic concept

► **measured:**

$$A_1^d, A_{1d}^{K^\pm}, A_{1d}^{\pi^\pm}, \\ A_1^p, A_{1p}^{K^\pm}, A_{1p}^{\pi^\pm}$$

► **determined:**

$$\Delta u, \Delta \bar{u}, \Delta d, \Delta \bar{d}, \\ \Delta s = \Delta \bar{s}$$

► **inputs:**

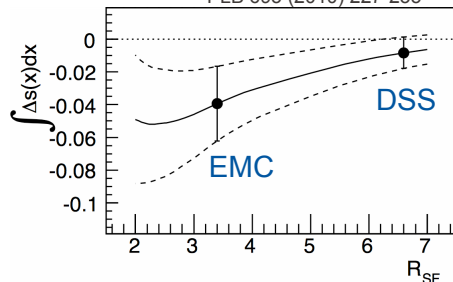
MRST04
unpol. LO PDFs,
DSS param.
of FFs

► **curves:** DSSV param.

Observations

- ▶ all sea quark distributions compatible with zero
- ▶ good agreement with global fit for Δu , $\Delta \bar{u}$, Δd , $\Delta \bar{d}$
- ▶ flavour symmetry breaking observed
 $\int (\Delta \bar{u} - \Delta \bar{d}) dx$ of similar size as $\int (\bar{u} - \bar{d}) dx$
- ▶ **significant discrepancy** of ΔS in SIDIS and in QCD fits to g_1
- ▶ result for ΔS depends on assumptions for FFs, especially strange-to-kaon FF

PLB 693 (2010) 227-235



- ▶ large dependence on

$$R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$$

- ▶ better **kaon FFs** needed

Summary

from DIS measurements

- ▶ Inclusive measurement yield 30% contribution of quark helicity to nucleon helicity (NLO pQCD)
- ▶ Gluon polarisation small, but positive for $x \sim 0.1$ (LO pQCD)
- ▶ Non-strange quark polarisation well determined
- ▶ Discrepancy in strange quark polarisation from DIS and SIDIS measurements?
- ▶ Input from other processes like pp collisions (RHIC) needed

Future

- ▶ Data at large x from JLAB12
- ▶ Hopefully data at low x from EIC
- ▶ Investigation of orbital angular moments e.g. via deeply virtual Compton scattering