

# New COMPASS results on $A_1^P$ and $g_1^P$ and QCD fit

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on behalf of the COMPASS Collaboration



QCD 14, Montpellier, France  
June 30 - July 3, 2014



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# Introduction

# Nucleon spin

## Decomposition

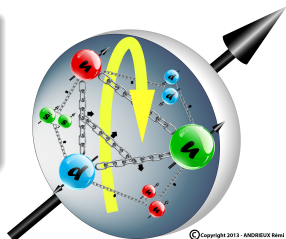
$$S = \frac{1}{2} = \frac{1}{2} \underbrace{\Delta\Sigma}_{\text{quarks}} + \underbrace{\Delta G}_{\text{gluons}} + \underbrace{L_q + L_q}_{\text{orbital angular momenta}}$$

$$\Delta\Sigma = \Delta u + \Delta d + \Delta s$$

$$\Delta q \equiv \Delta(q + \bar{q})$$

$$\Delta q = \overline{q} - \overleftarrow{q} \text{ (parallel minus antiparallel to the nucleon spin)}$$

$$\mathbf{g}_1(x, Q^2) \simeq \sum_q e_q^2 \Delta q(x, Q^2)$$



## "Spin crisis"

- Relativistic quark model prediction:  $\Delta\Sigma \simeq 0.6$
- SMC measurement (1988):  $\Delta\Sigma = 0.12 \pm 0.17$

## Recent status

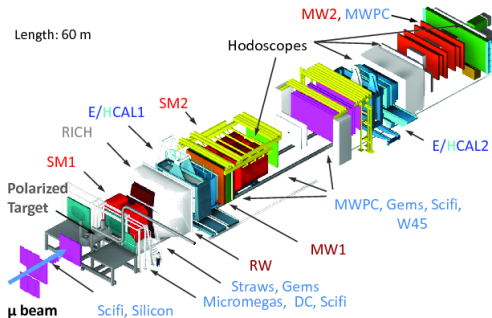
- Quark spin contributes only about 30% to the nucleon spin
- Gluon contribution constrained only for a limited  $x$  range
- Very few experimental results on orbital angular momentum

## COMPASS @ CERN

**CO**mmun **M**uon **P**roton  
**A**pparatus for **S**tructure and  
**S**pectroscopy



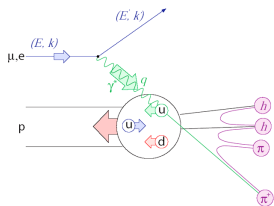
- **Fixed target experiment** at the SPS using a tertiary **muon beam**
- Collaboration of about 200 members from 11 countries and 23 institutions



- 160/200 GeV  $\mu^+$  **polarised beam**,  $P_b \sim -80\%$
- ${}^6\text{LiD}$  or  $\text{NH}_3$ , 1.2 m long, **polarised target** @ 2.5 T and 60 mK,  $P_{\text{target}} \sim 50/85\%$

- large acceptance, two staged spectrometer
- tracking, calorimetry, RICH

# DIS and spin observables



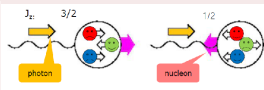
## Experimental asymmetry

$$\mathbf{A}_{\text{exp}} = \frac{N_{\rightarrow\rightarrow} - N_{\rightarrow\leftarrow}}{N_{\rightarrow\leftarrow} + N_{\rightarrow\rightarrow}} = P_{\text{beam}} P_{\text{target}} f A_{\parallel}$$

## Lepton-nucleon asymmetry

$$A_{\parallel} = \frac{d\sigma_{\rightarrow\leftarrow} - d\sigma_{\rightarrow\rightarrow}}{d\sigma_{\rightarrow\leftarrow} + d\sigma_{\rightarrow\rightarrow}} \simeq D A_1 \quad \mathbf{A}_1 \simeq A_{\parallel} / D$$

## Virtual photon-nucleon asymmetry



$$A_1 = A_1^{\gamma^* N} = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}} \simeq \frac{g_1}{F_1}$$

## Spin dependent structure function $g_1$

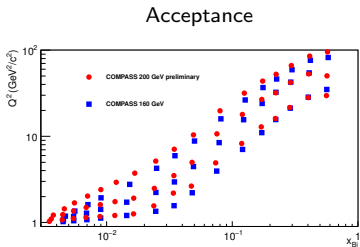
$$g_1(x, Q^2) \simeq \frac{F_2(x, Q^2)}{2x(1 + R(x, Q^2))} A_1(x, Q^2), \quad \text{with } R \equiv \frac{\sigma_L}{\sigma_T}$$

$$\begin{aligned} k_{\mu} &= (E_{\mu}, \mathbf{k}_{\mu}) \\ k'_{\mu} &= (E'_{\mu}, \mathbf{k}'_{\mu}) \\ P &= (M, 0) \\ q &= \mathbf{k}_{\mu} - \mathbf{k}'_{\mu} = (\nu, \mathbf{q}) \\ Q^2 &= -q^2 \\ \nu &= P \cdot q / M = E_{\mu} - E'_{\mu} \\ W^2 &= M^2 + 2M\nu - Q^2 \\ x &= Q^2 / (2M\nu) \\ y &= \nu / E_{\mu} \end{aligned}$$

**New results on  $A_1^p$  and  $g_1^p$  for  $Q^2 > 1 \text{ GeV}^2/c^2$**

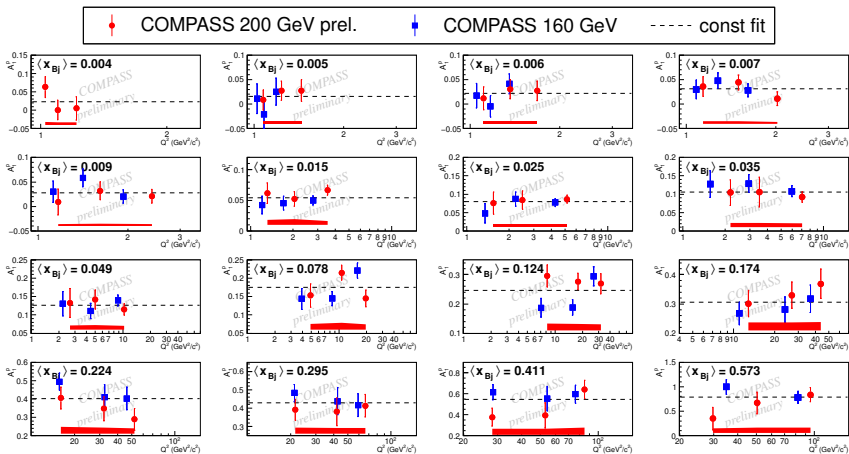
# Inputs for $A_1^P$ and $g_1^P @ Q^2 > 1 \text{ GeV}^2/c^2$

- Data taken by COMPASS in 2007 @ 160 GeV/c and in 2011 @ 200 GeV/c
- Obtained giving each event a weight  $\omega = \mathbf{f} \mathbf{D} |\mathbf{P}_b|$  to optimize the statistical errors of the results
- Unpolarised radiative corrections (RC), included in the dilution factor, from TERAD<sup>[1]</sup>
- Polarised radiative corrections from POLRAD<sup>[2]</sup>
- Corrected for polarisable  $^{14}\text{N}$  in the ammonia target



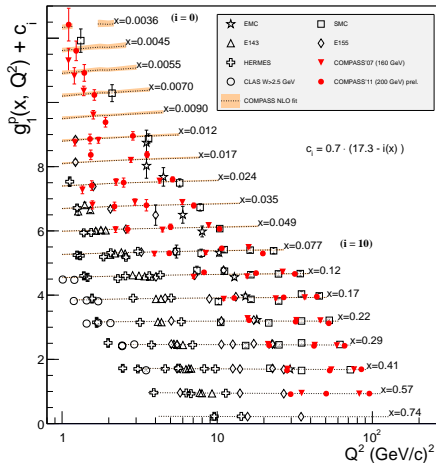
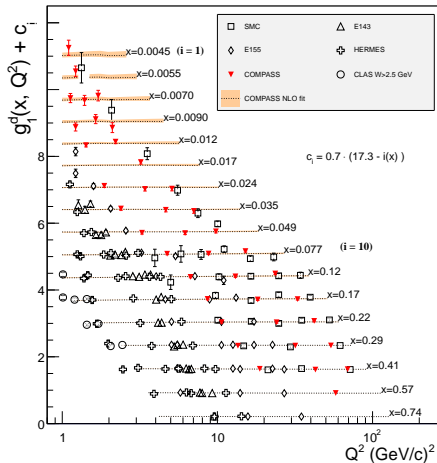


# Results on $A_1^P$ in DIS ( $Q^2 > 1 \text{ GeV}^2/c^2$ )



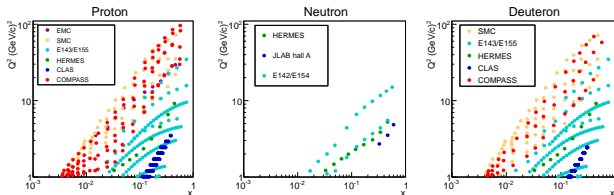
- New asymmetries at **low  $x$**
- Results from two beam energies compatible
- Well fit by constant

# Results on $g_1^d$ and $g_1^p$ in DIS ( $Q^2 > 1 \text{ GeV}^2/c^2$ )



- New COMPASS point for the proton at **low x**
- **New COMPASS NLO QCD fit** describes the data well

# Inputs and constraints for NLO QCD fit



- 139 out of 674 points are from COMPASS

- $g_1^{p(n)} = \frac{1}{9} \left[ C_S \otimes \Delta q_S + C_{NS} \otimes \left( \pm \frac{3}{4} \Delta q_3 + \frac{1}{4} \Delta q_8 \right) + C_g \otimes \Delta g \right]$

- $\Delta q_S = \Delta u + \Delta d + \Delta s$  (spin singlet parton distribution)
- $\Delta q_3 = \Delta u - \Delta d$  (triplet non-singlet spin distribution)
- $\Delta q_8 = \Delta u + \Delta d - 2\Delta s$  (octet non-singlet spin distribution)
- $C_S, C_{NS}, C_g$ : Wilson coefficients associated to each distribution

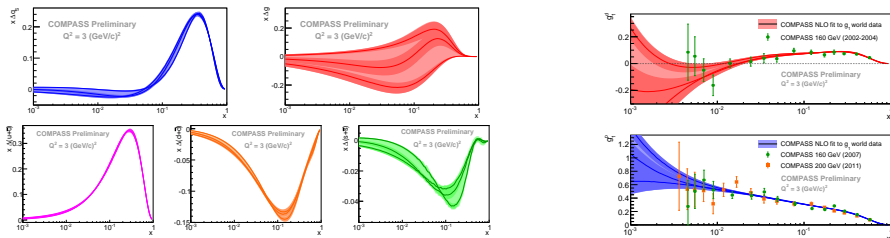
- Functional forms are assumed at a given reference scale  $Q_0^2$

- $SU(3)_f$  to fix the non-singlet distributions first moments:

$$\int_0^1 (\Delta u - \Delta d) dx = F + D = g_A/g_V \quad \text{and} \quad \int_0^1 (\Delta u + \Delta d - 2\Delta s) dx = 3F - D$$

- Positivity:  $|\Delta g(x)| < |g(x)|$  and  $|\Delta(s(x) + \bar{s}(x))| < |s(x) + \bar{s}(x)|$

# NLO QCD fit results



- Depending upon assumed functional forms, **3 categories of solutions**:  $\Delta G > 0$ ,  $\Delta G \sim 0$  and  $\Delta G < 0$
- Gluon polarisation:  $\Delta G$  **not well constrained by the fit**  
 $\hookrightarrow$  direct measurements needed
- Quark polarisation:  $0.26 < \Delta \Sigma < 0.34$  @  $Q_0^2 = 3 \text{ (GeV/c)}^2$  ( $\overline{\text{MS}}$ )  
 $\hookrightarrow$  largest uncertainty from functional forms
- Large uncertainty at very low  $x$  for  $g_1^P$  and  $g_1^d$

# Test of the Bjorken sum rule

## Bjorken sum rule

$$\int_0^1 g_1^{NS}(x, Q^2) dx = \int_0^1 [g_1^p(x, Q^2) - g_1^n(x, Q^2)] dx = \frac{1}{6} \left| \frac{g_A}{g_V} \right| C_1^{NS}(Q^2)$$

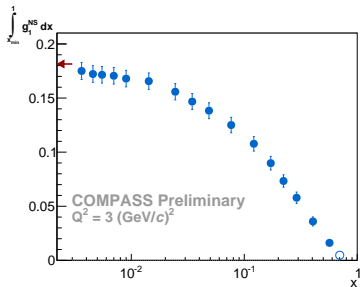
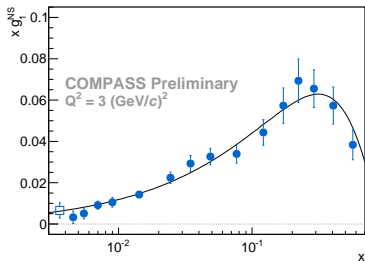
- Fundamental QCD prediction connecting p and n
- Test of  $SU(2)_{\text{flavour}}$
- Decorrelated from  $\Delta G$
- $g_1^{NS}$  from COMPASS data alone (w/ proton and deuteron targets):

$$g_1^{NS} = g_1^p - g_1^n = 2 \left[ g_1^p - \frac{g_1^d}{1 - 3/2 \cdot \omega_D} \right], \text{ with } \omega_D = 0.05 \pm 0.01$$

$$\bullet C_1^{NS} = \underbrace{1}_{LO} - \underbrace{\left(\frac{\alpha_S}{\pi}\right)}_{NLO} - \underbrace{p_1 \left(\frac{\alpha_S}{\pi}\right)^2}_{NNLO} - \underbrace{p_2 \left(\frac{\alpha_S}{\pi}\right)^3}_{NNNLO} - \dots$$

$$\bullet \left| \frac{g_A}{g_V} \right| = 1.2701 \pm 0.0020 \text{ (from neutron } \beta \text{ decay)}$$

# Results on the Bjorken sum rule

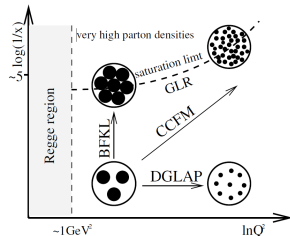
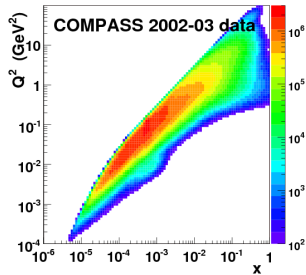


- $\Gamma_1^{NS}(Q_0^2 = 3\text{GeV}^2/c^2) = 0.181 \pm 0.008(\text{stat}) \pm 0.014(\text{syst})$
- $\left| \frac{g_A}{g_V} \right| = 1.2701 \pm 0.0020$  (from neutron  $\beta$  decay)
- $\left| \frac{g_A}{g_V} \right| = 1.220 \pm 0.053(\text{stat}) \pm 0.095(\text{syst})$  using  $C_1^{NS}$  @ NLO
- $\left| \frac{g_A}{g_V} \right| = 1.256 \pm 0.054(\text{stat}) \pm 0.098(\text{syst})$  using  $C_1^{NS}$  @ NNLO
- **Bjorken sum rule validated within 4%**

**New results on  $A_1^p$  and  $g_1^p$  for  $Q^2 < 1 \text{ GeV}^2/c^2$**

# Motivation for the low $x$ , low $Q^2$ studies

- Low  $x \Leftrightarrow$  **high parton densities**
- Fixed target experiments  $\Leftrightarrow$  **strong correlation** between  $x$  and  $Q^2$ : low  $x \Rightarrow$  low  $Q^2$ , where pQCD isn't expected to work
- Some **models, to be confronted with data**, allow a **smooth extrapolation to the low- $Q^2$  and high- $Q^2$  regions** (resummation, VMD):  
B. Badełek et al, B.I. Ermolaev et al.
- $A_1^p$  and  $g_1^p$  at low  $x$  and low  $Q^2$ :
  - can be measured with **improved precision**
  - complement our measurement of  $A_1^d$  and  $g_1^d$  at low  $x$  and low  $Q^2$
  - $g_1^{\text{NS}} = g_1^p - g_1^n$  can be extracted
  - also as functions of  $\nu$ , as suggested by theoreticians



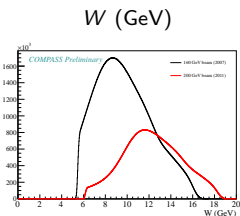
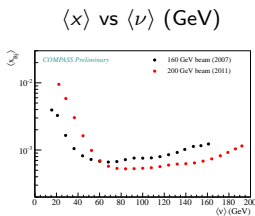
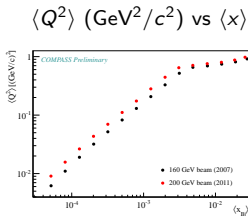


# Data samples for extraction of $A_1^P$ and $g_1^P$ $Q^2 < 1 \text{ GeV}^2/c^2$

- Data taken in 2007 & 2011 with a  $\text{NH}_3$  target
- $676 \times 10^6$  events ( $150 \times$  more than SMC)

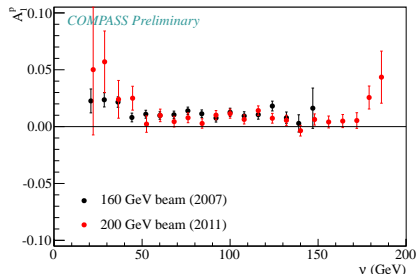
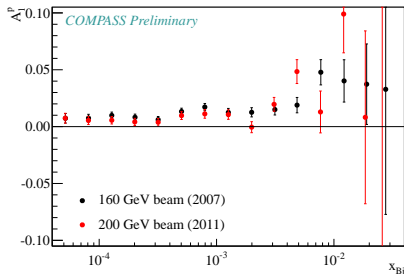
## Main event selection criteria:

- at least one additional track (besides the scattered muon) in the interaction vertex
- not a  $\mu e$  elastic scattering event
- $Q^2 < 1 \text{ (GeV}/c^2)^2$
- $x \geq 4 \times 10^{-5}$
- $0.1 < y < 0.9$



# First COMPASS results for $A_1^P$ at low $x$ and low $Q^2$

- Procedure similar to the one for  $Q^2 > 1$  (GeV/c)<sup>2</sup> (weighting, radiative corrections, <sup>14</sup>N correction)



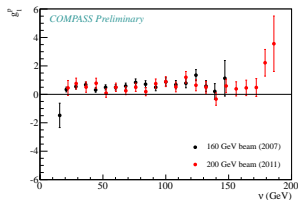
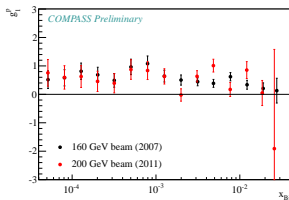
- The results for the two beam energies are compatible within errors.
- The systematic errors are smaller than the statistical errors (not shown here).
- A **significantly positive asymmetry** is observed.
- No significant dependence with  $\nu$  is seen.

# First COMPASS results for $g_1^p$ at low $x$ and low $Q^2$

- The structure function is obtained in bins of  $x$  or  $\nu$  according to:

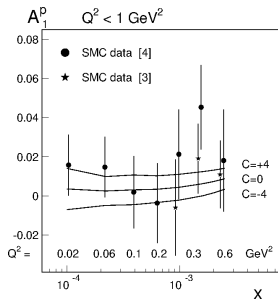
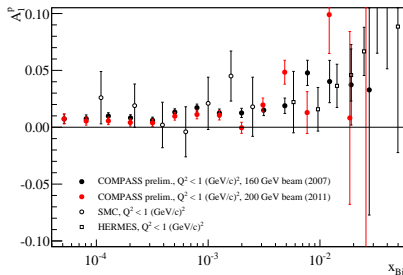
$$g_1^p(\langle x \rangle, \langle Q^2 \rangle) = \frac{F_2^p(\langle x \rangle, \langle Q^2 \rangle)}{2x [1 + R(\langle x \rangle, \langle Q^2 \rangle)]} A_1^p(\langle x \rangle, \langle Q^2 \rangle)$$

- $F_2^p(\langle x \rangle, \langle Q^2 \rangle)$  from the SMC fit on data or from a model (for low  $x$  and  $Q^2$ ) [3]
- $R(\langle x \rangle, \langle Q^2 \rangle)$  based on SLAC parameterization, extended to low  $Q^2$  [4]



- The results for the two beam energies are compatible within errors.
- The systematic errors are smaller than the statistical errors (not shown here).
- $g_1^p$  is **significantly positive**.
- No significant dependence with  $\nu$  is seen.

# Comparison with previous experiments and with model



- The COMPASS results significantly **improve the precision** of the measurement.
- Comparing with B. Badełek *et al.* [Phys. Rev. D 61 (1999) 014009], the COMPASS data favour  $C \in [0, +4]$ , *i.e.* a VMD contribution to  $g_1$  of the same sign of the partonic contribution.

## Summary and outlook

# Summary and outlook

- $Q^2 > 1 \text{ (GeV/c)}^2$ :
  - New measurements of  $A_1^p$  and  $g_1^p$  at 200 GeV/c
  - New value at low  $x$ , overall improved precision
  - Updated NLO QCD fit
  - Bjorken sum rule verified more accurately
- $Q^2 < 1 \text{ (GeV/c)}^2$ :
  - First COMPASS results on  $A_1^p$  and  $g_1^p$  for  $Q^2 \in ]0.001, 1[ \text{ (GeV/c)}^2$ ,  $x \in ]4 \cdot 10^{-5}, 4 \cdot 10^{-2}[$ , and  $\nu \in ]14, 194[ \text{ GeV}$ , in bins of  $x$  or in bins of  $\nu$
  - Results of  $A_1^p$  and  $g_1^p$  are significantly positive
  - $A_1^p(x)$  results are compatible with the model of Badełek et al. (1999) for  $C \in [0, +4]$ , *i.e.* they favour a VMD contribution to  $g_1$  of the same sign as the partonic one

Next:

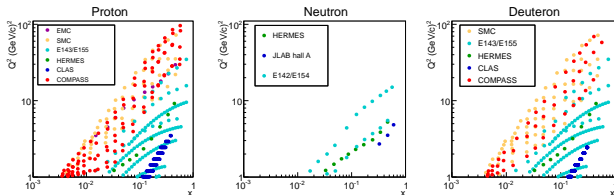
- $Q^2 > 1 \text{ (GeV/c)}^2$ :
  - $A_{1,p}^{\pi^\pm}$  and  $A_{1,p}^{K^\pm}$ , polarised PDFs for each flavour
- $Q^2 < 1 \text{ (GeV/c)}^2$ :
  - $A_1^p$  and  $g_1^p$  in 2D bins,  $g_1^{NS}$  from  $g_1^p$  and  $g_1^d$

- [1] A.A. Akhundov *et al.*, Sov.J.Nucl.Phys. 26 (1977) 660.
- [2] I. Akushevich *et al.*, Comput.Phys.Commun. 104 (1997) 201.
- [3] SMC, Phys.Rev. D58 (1998), 112001; J. Kwieciński & B. Badełek, Z.Phys. C43 (1989), 251; B. Badełek & J. Kwieciński, Phys.Lett. B295 (1992) 263.
- [4] COMPASS, PLB 647 (2007) 330.

## Backup



# Inputs and constraints for NLO CQD fit



- 139 out of 674 points are from COMPASS

$$\bullet g_1^{p(n)} = \frac{1}{9} \left[ C_S \otimes \Delta q_S + C_{NS} \otimes \left( \pm \frac{3}{4} \Delta q_3 + \frac{1}{4} \Delta q_8 \right) + C_g \otimes \Delta g \right]$$

- $\Delta q_S = \Delta u + \Delta d + \Delta s$  (spin singlet parton distribution)
- $\Delta q_3 = \Delta u - \Delta d$  (triplet non-singlet spin distribution)
- $\Delta q_8 = \Delta u + \Delta d - 2\Delta s$  (octet non-singlet spin distribution)
- $C_S, C_{NS}, C_g$ : Wilson coefficients associated to each distribution

- Functional forms at a given reference scale  $Q_0^2$ :

- $\Delta q_S(x, Q_0^2) = \eta_S x^{\alpha_S} (1-x)^{\beta_S} (1 + \gamma_S + \rho_S \sqrt{x}) / N_S$
- $\Delta q_g(x, Q_0^2) = \eta_g x^{\alpha_g} (1-x)^{\beta_g} (1 + \gamma_g + \rho_g \sqrt{x}) / N_g$
- $\Delta q_3(x, Q_0^2) = \eta_3 x^{\alpha_3} (1-x)^{\beta_3} / N_3$
- $\Delta q_8(x, Q_0^2) = \eta_8 x^{\alpha_8} (1-x)^{\beta_8} / N_8$

# Inputs and constraints to the NLO QCD fit

- $SU(3)_f$  to fix the non-singlet distributions first moments:

- $\int_0^1 (\Delta u - \Delta d) dx = F + D = g_A/g_V$

- $\int_0^1 (\Delta u + \Delta d - 2\Delta s) dx = 3F - D$

$F, D$ : parameters describing the weak axial-vector/vector coupling constants

- $\beta_g$  is fixed

- Evolution using DGLAP equations

- Minimize: 
$$\chi^2 = \sum_{n=1}^{N_{exp}} \left[ \underbrace{\sum_{i=1}^{N_n^{data}} \left( \frac{g_1^{fit} - \mathcal{N}_n g_{1,i}^{data}}{\mathcal{N}_n \sigma_i} \right)^2}_{\text{Statistics}} + \underbrace{\left( \frac{1 - \mathcal{N}_n}{\delta \mathcal{N}_n} \right)^2}_{\text{Normalizations}} \right] + \chi_{positivity}^2$$

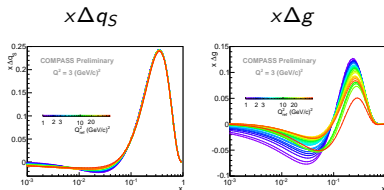
- Positivity:  $|\Delta g(x)| < |g(x)|$  and  $|\Delta(s(x) + \bar{s}(x))| < |s(x) + \bar{s}(x)|$

- Unpolarised PDFs for the positivity constraint: MSTW2008

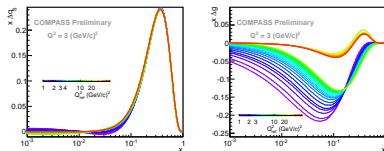
- In total: 28 free parameters and 679 data points

# Influence of the input scale $Q_0^2$

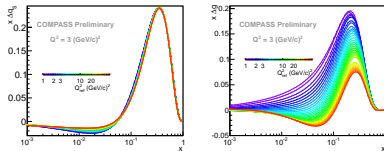
$\Delta G$  with a node



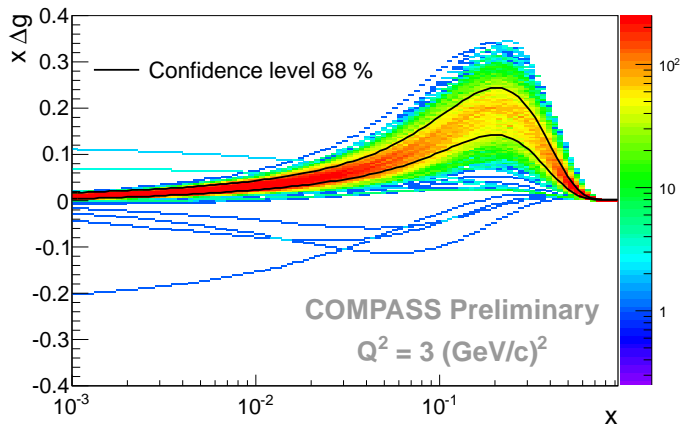
$\Delta G < 0$



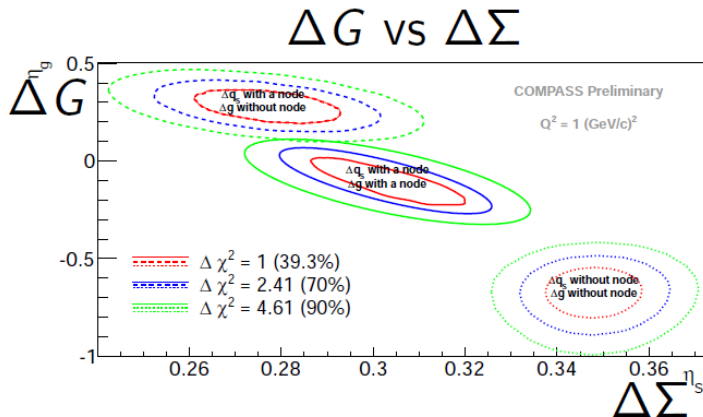
$\Delta G > 0$



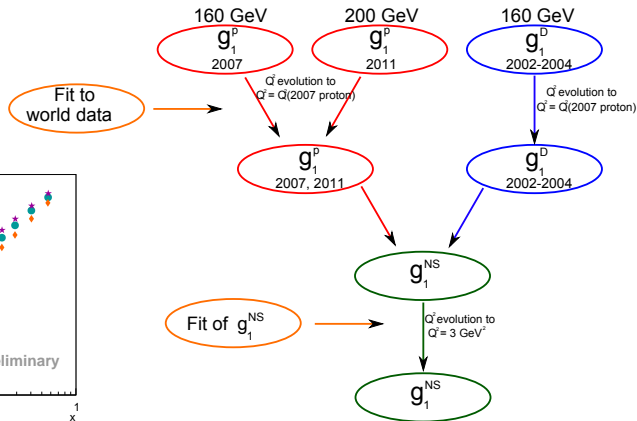
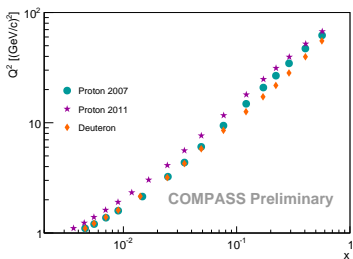
# Error band associated to statistical uncertainties



- Results of 2,000 fits to the replicas
- Color: density of replicas at a given  $x$
- Black curves: the border of the interval at 68% CL



# Calculation of $\int_0^1 g_1^{NS} dx$

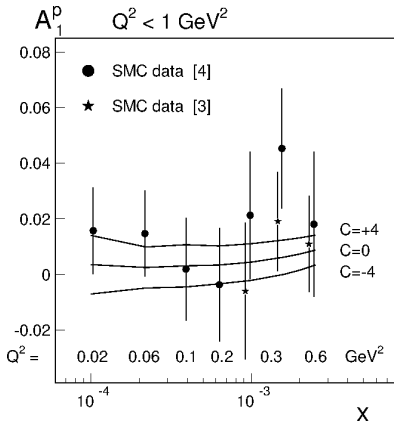


- Calculate  $g_1^{NS}$
- Perform a NLO QCD fit, fitting only  $\Delta q_3$  (3 parameters needed) @  $Q_0 = 1$  (GeV/c)<sup>2</sup>
- Evolve  $g_1^{NS}$  to  $Q_0 = 3$  (GeV/c)<sup>2</sup>
- Use extrapolation to  $x \rightarrow 0$  and to  $x \rightarrow 1$  (94% of  $\int_0^1 g_1^{NS} dx$  is in the measured range)

# Model details

B. Badełek *et al.* [Phys. Rev. D 61 (1999) 014009]

(VMD contribution and QCD improved parton model extended to low  $Q^2$ )



$$g_1(x, Q^2) = g_1^{VMD}(x, Q^2) + g_1^{part}(x, Q^2). \quad (4)$$

$$g_1^{VMD}(x, Q^2) = \frac{pq}{4\pi} \sum_{v=\rho, \omega, \phi} \frac{m_v^4 \Delta\sigma_v(W^2)}{\gamma_v^2(Q^2 + m_v^2)^2}. \quad (5)$$

$$\Delta\sigma_v = \frac{\sigma_{1/2} - \sigma_{3/2}}{2}, \quad (6)$$

$$\begin{aligned} & \frac{pq}{4\pi} \sum_{v=\rho, \omega} \frac{m_v^4 \Delta\sigma_v}{\gamma_v^2(Q^2 + m_v^2)^2} \\ &= C \left[ \frac{4}{9} (\Delta u_v^0(x) + 2\Delta \bar{u}^0(x)) + \frac{1}{9} (\Delta d_v^0(x) + 2\Delta \bar{u}^0(x)) \right] \\ & \times \frac{m_p^4}{(Q^2 + m_p^2)^2}, \quad (7) \end{aligned}$$

$$\frac{pq}{4\pi} \frac{m_\phi^4 \Delta\sigma_\phi}{\gamma_\phi^2(Q^2 + m_\phi^2)^2} = C \frac{2}{9} \Delta \bar{s}^0(x) \frac{m_\phi^4}{(Q^2 + m_\phi^2)^2}, \quad (8)$$

$$\Delta p_j^0(x) = C_j (1-x)^{\eta_j}. \quad (3)$$