

# Gluon Polarisation Results from the COMPASS Experiment

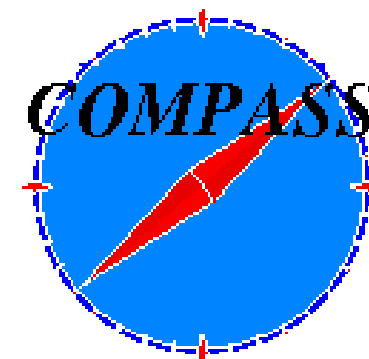


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On behalf of the COMPASS Collaboration



23 Apr 2013

## Outline:

- Motivation
- High  $p_T$  Analysis
- Open Charm (LO and NLO) Analyses
- $\Delta G/G$  Results
- Summary and Conclusions



co-financed by





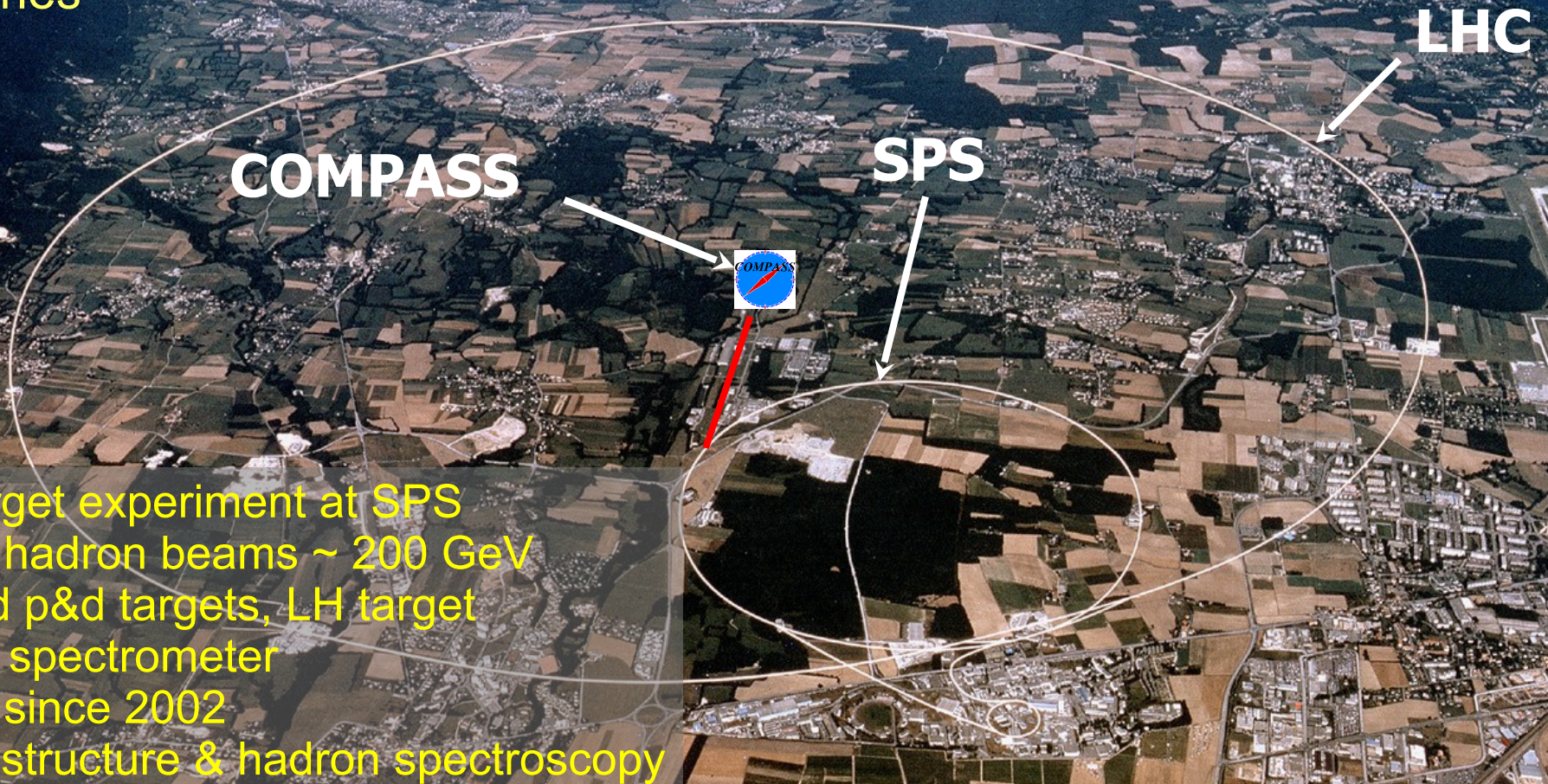
# THE COMPASS EXPERIMENT

NIM A577 (2007) 455

Common Muon and Proton Apparatus for Structure and Spectroscopy

~250 physicists  
25 institutes  
11 countries

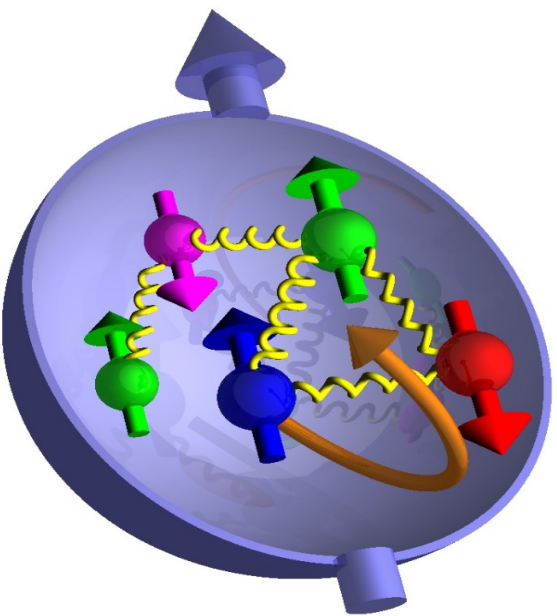
Data taken: 2002 - 2012, ...



Fixed-target experiment at SPS  
Muon & hadron beams ~ 200 GeV  
Polarised p&d targets, LH target  
Versatile spectrometer  
Running since 2002  
Nucleon structure & hadron spectroscopy



# The Nucleon Spin



$$S_N = \frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L$$

Partons  
Angular  
Orbital  
Momenta

Quarks

Gluons

Future!  
GPDs

**Well known !**

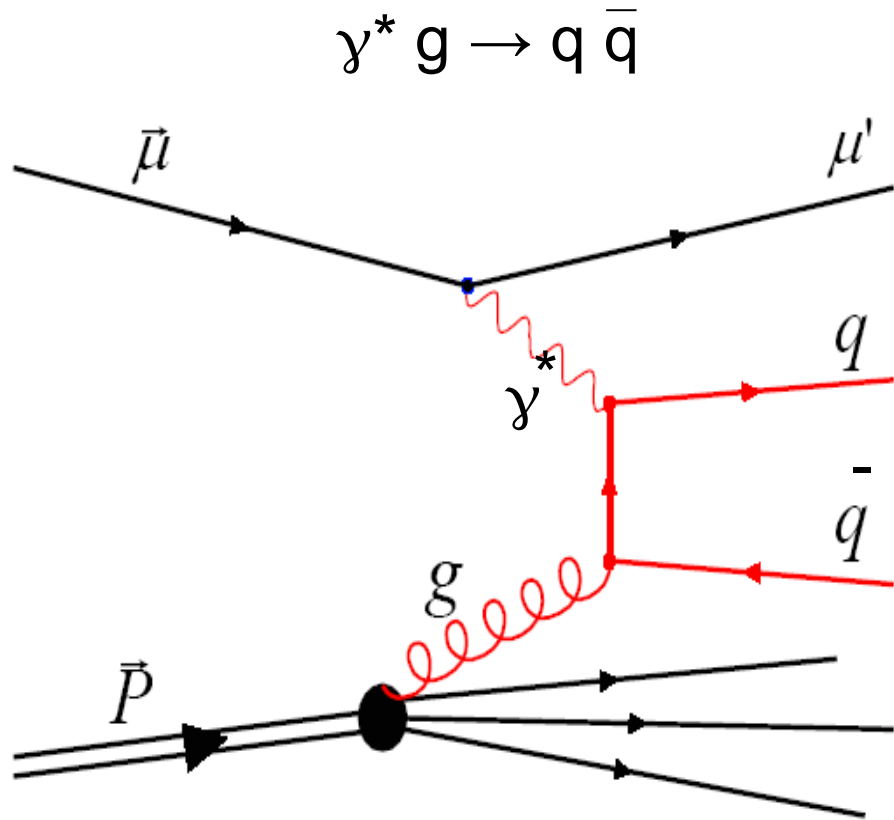
In 1988 EMC measured  
 $\Delta\Sigma = 0.12 \pm 0.17$  (Phys.Lett.B206,364)

A recent result, including COMPASS, gives:  
 $\Delta\Sigma = 0.30 \pm 0.01(\text{stat.}) \pm 0.02(\text{evol.})$  Phys.Lett.B647,8

**Not completely known**

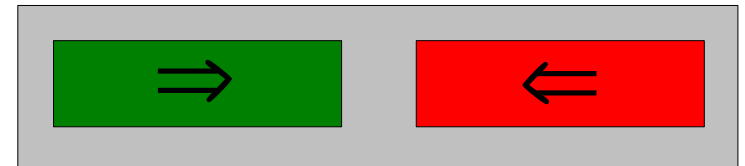
Exploratory and discovery stage.  
Some experiments and data might give hints.

COMPASS, HERMES, CLAS, STAR, PHENIX, EIC.

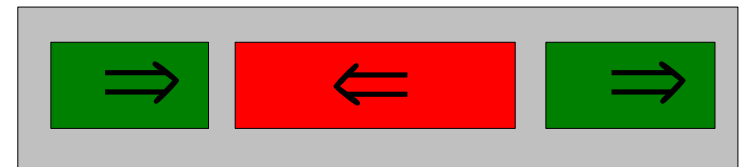


$\mu$ -beam  $\leftarrow$

2002-2004



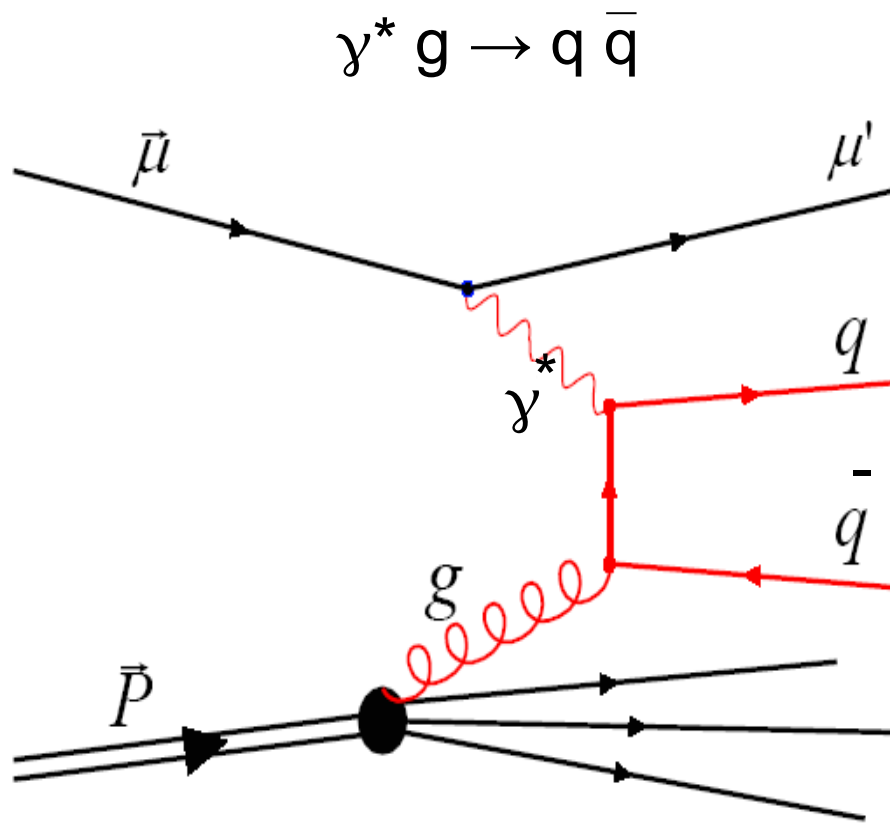
2006-2007



$$A_{PGF} = \frac{N_{PGF}^{\rightarrow\leftarrow} - N_{PGF}^{\leftarrow\leftarrow}}{N_{PGF}^{\rightarrow\leftarrow} + N_{PGF}^{\leftarrow\leftarrow}}$$



Photon-gluon fusion (PGF) process



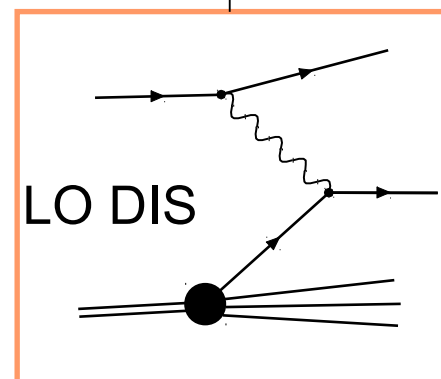
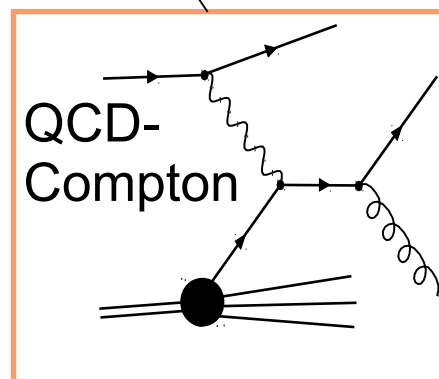
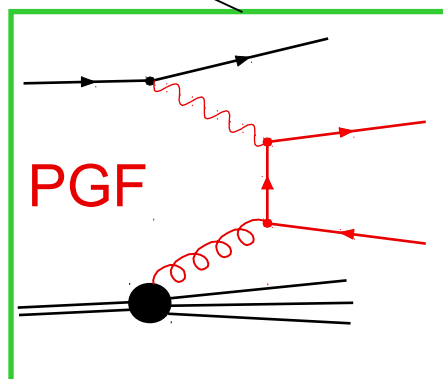
To select this process there are two methods :

- **High transverse momentum hadrons** ( $Q^2 < 1$  and  $Q^2 > 1$  (GeV/c)<sup>2</sup>)
  - ☺ Much more statistics.
  - ☹ Physical background: strongly model dependent requires a very good agreement between MC and Data.
- **Open-charm meson** (D mesons)
  - ☺ Provides the purest sample of PGF events, almost free from background contamination. Small dependence on MC.
  - ☹ Low statistics.

Photon-gluon fusion (PGF) process

$$A_{LL}^{2h}(x) = \frac{\Delta G}{G}(x_g) a_{LL}^{PGF} \frac{\sigma^{PGF}}{\sigma} + A_1^{LO}(x_C) a_{LL}^C \frac{\sigma^C}{\sigma} + A_1^{LO}(x_{Bj}) D \frac{\sigma^{LO}}{\sigma}$$

$A_1^{LO}$  : estimated by an  
inclusive sample



Final formula  
for the gluon  
polarisation

$$\frac{\Delta G}{G}(x_g^{av}) = \frac{1}{\beta} \left[ A_{LL}^{2h}(x_{Bj}) + A_{corr} \right]$$

$$R_i = \frac{\sigma^i}{\sigma_{Tot}}$$

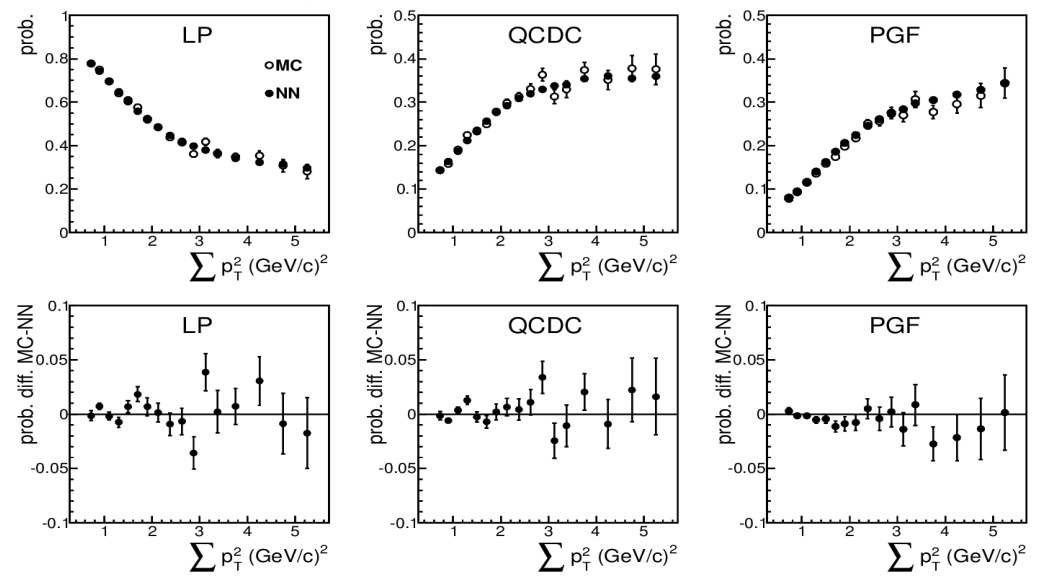
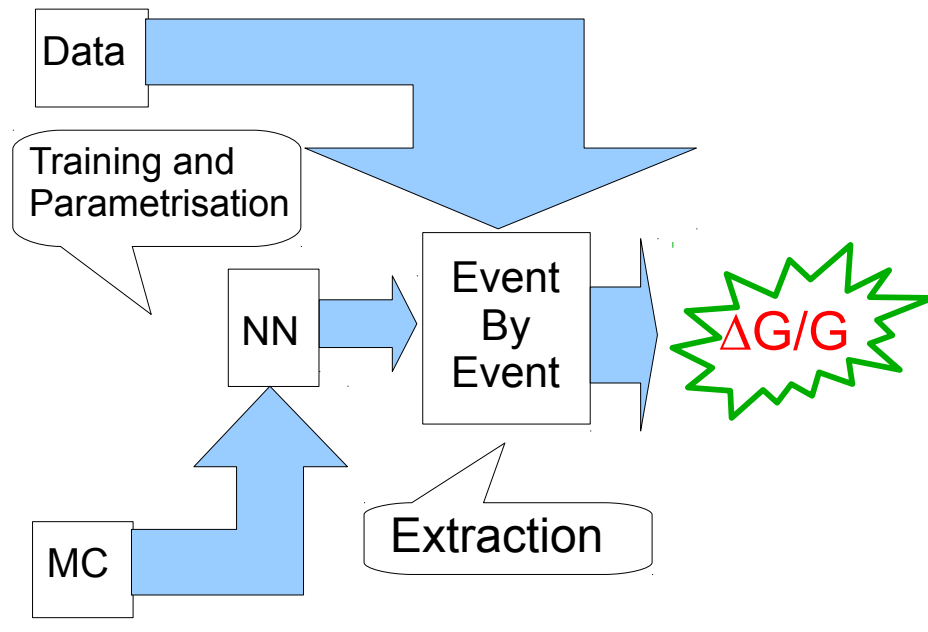
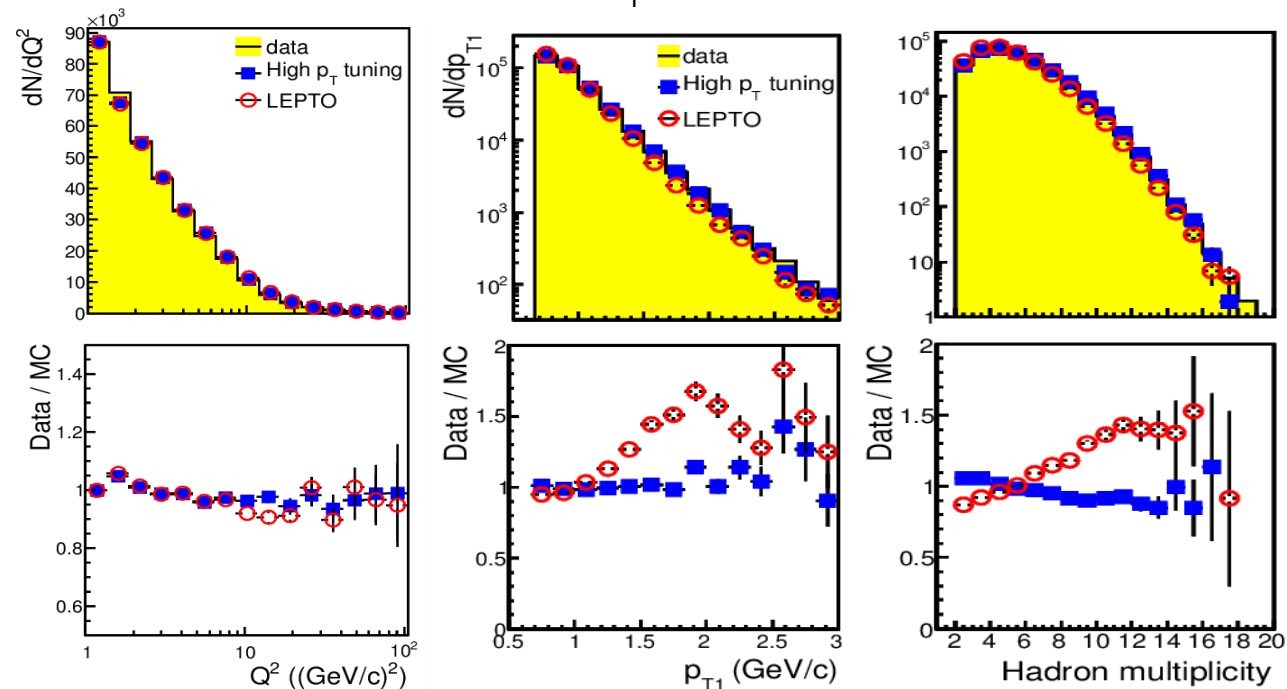
- $A_{LL}^{2h}$  : measured from the two hadron sample.
- $a_{LL}^i$  and  $R_i$  : estimated from MC and parametrised using a Neural Network.

$$\beta = a_{LL}^{PGF} R_{PGF} - a_{LL}^{PGF, incl} R_{PGF}^{incl} \frac{R_{LO}}{R_{LO}^{incl}} - a_{LL}^{PGF, incl} \frac{R_C R_{PGF}^{incl}}{R_{LO}^{incl}} \frac{a_{LL}^C}{D}$$

$$A_{corr} = - \left( A_1(x_{Bj}) D \frac{R_{LO}}{R_{LO}^{incl}} - A_1(x_C) \beta_1 + A_1(x_C') \beta_2 \right)$$

- Full chain of MC has been used: Generator (LEPTO) + Apparatus Simulation (GEANT) + Reconstruction Program.
- PDF: MSTW2008LO.
- High  $p_T$  sample:
  - MC with **parton shower ON**.
  - A **new tuning** was performed to **improve** the hadron description.

Data-MC comparison:  $Q^2$ ,  $p_T$  and hadron multiplicities.



$$\frac{\Delta G}{G} = 0.125 \pm 0.060 \pm 0.063 \quad x_g = 0.09^{+0.08}_{-0.05} \quad \mu^2 = 3 \text{ (GeV/c)}^2$$

- The whole statistics was divided, for the first time, in 3 independent samples, having each one its own  $x_g$  distribution.

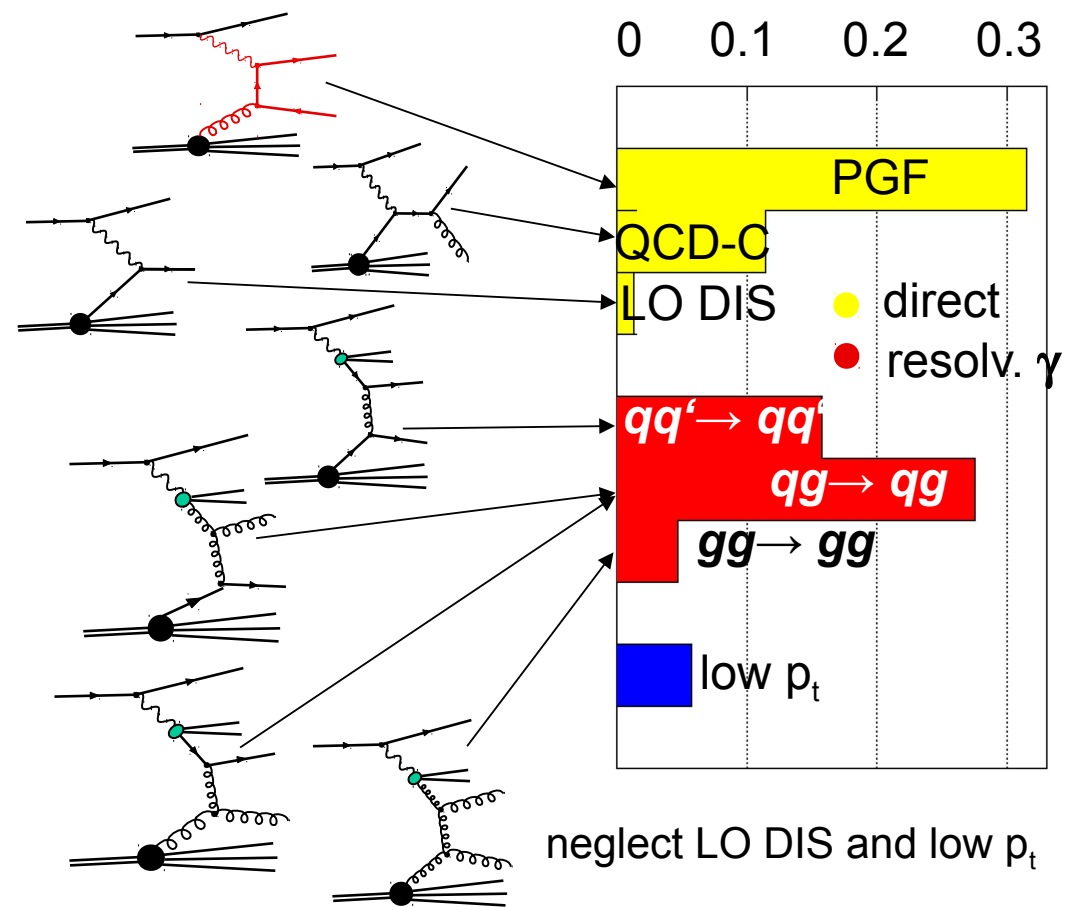
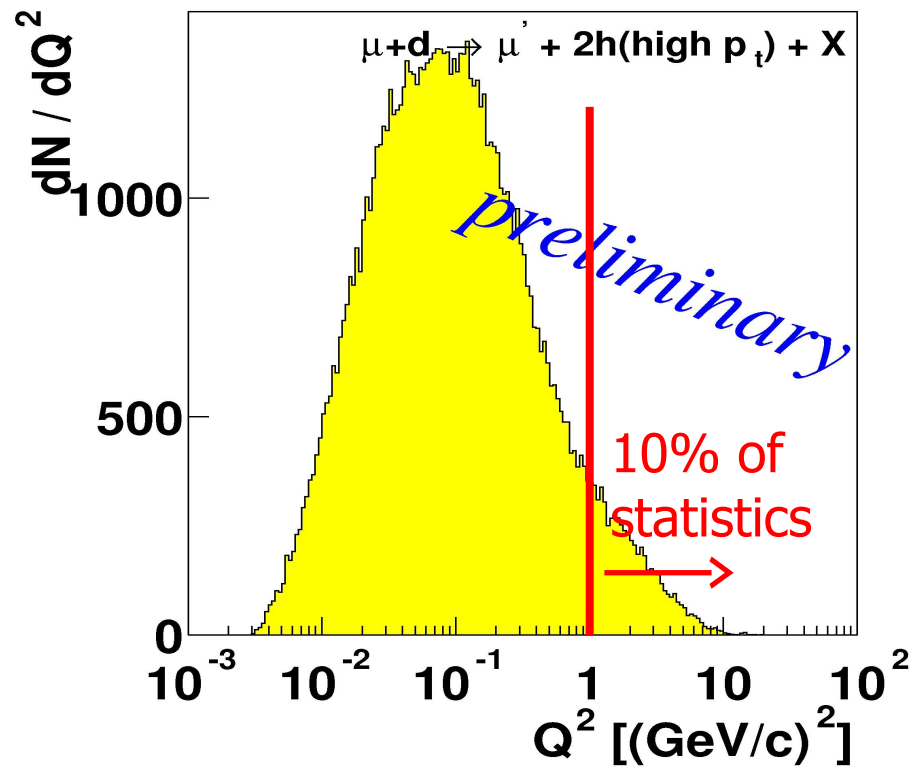
	1 <sup>st</sup> point	2 <sup>nd</sup> point	3 <sup>rd</sup> point
$\Delta G/G$	$0.147 \pm 0.091 \pm 0.088$	$0.079 \pm 0.096 \pm 0.082$	$0.185 \pm 0.165 \pm 0.143$
$\langle x_g \rangle$	$0.07^{+0.05}_{-0.03}$	$0.10^{+0.07}_{-0.04}$	$0.17^{+0.10}_{-0.06}$

⇒ Within the errors the 3 points show no  $x_g$  dependence

*Physics Letters B 718 (2013) 922–930*



~90 % of our statistics in this sample



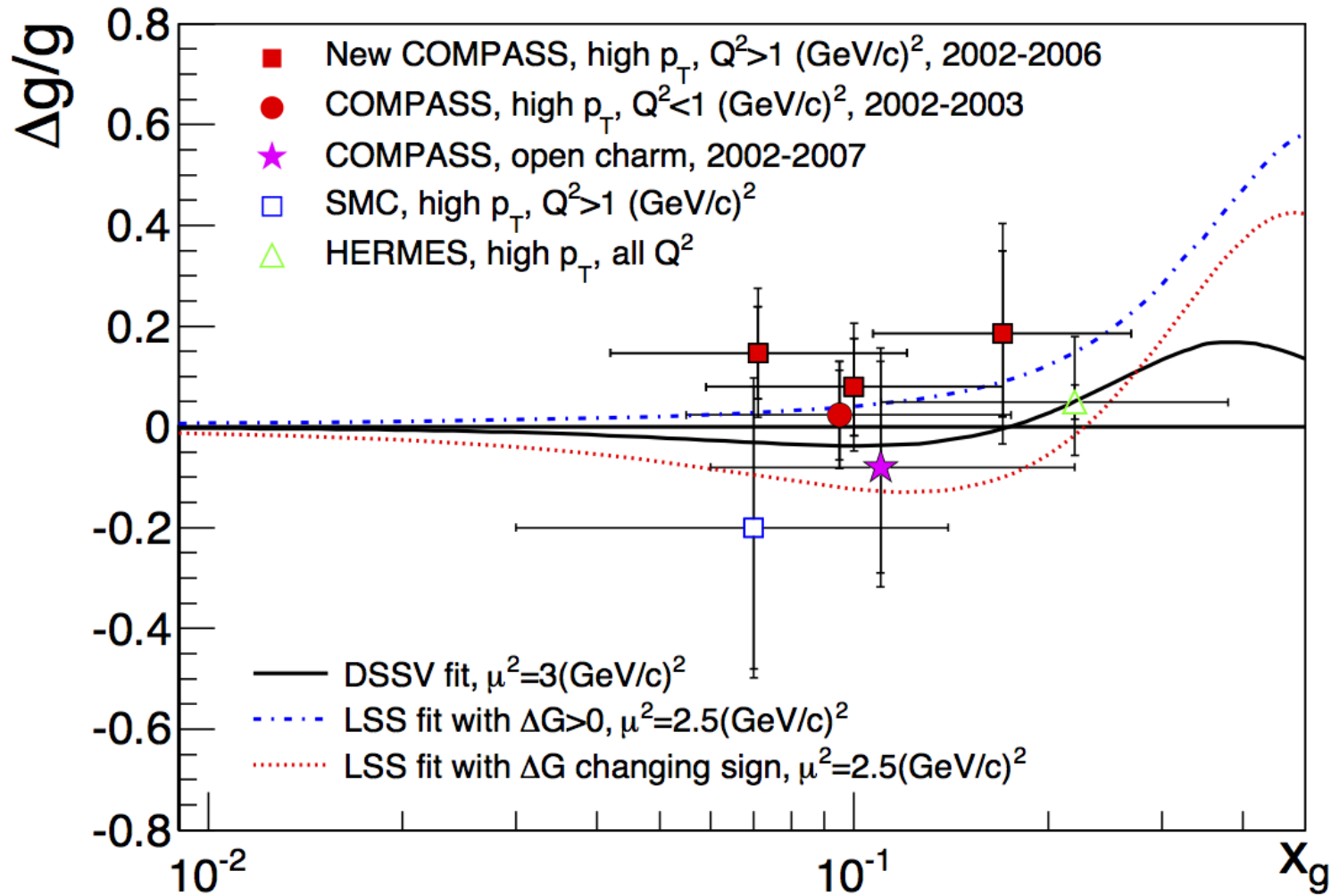
2002-2004 Preliminary:

$$\Delta G/G = 0.016 \pm 0.058(\text{stat}) \pm 0.055(\text{syst})$$

2002-2003 Published:

$$\Delta G/G = 0.024 \pm 0.089(\text{stat}) \pm 0.057(\text{syst})$$

*Physics Letters B 633,25 (2006)*



*Physics Letters B 718 (2013) 922–930*

- The relation between the number of reconstructed  $D^0$  (for each target cell configuration) and  $\Delta G/G$  is given by:

$$N_t = a \phi n (S+B) \left( 1 + f P_T P_\mu \left[ a_{LL} \frac{S}{S+B} \frac{\Delta G}{G} + D \frac{B}{S+B} A^{bg} \right] \right), \quad t = (u, d, u', d')$$

acceptance, muon flux, number of target nucleons

Open Charm event probability

- Each equation is weighted with a signal weight  $w_S = f P_m a_{LL} S/(S+B)$  and also with a background weight  $w_B = f P_m D B/(S+B)$ :

**8 equations with 7 unknowns:**  $\Delta G/G$ ,  $A^{bg}$  + 5 independent  $\alpha = (a\phi n)$  factors

The system is solved by a  $\chi^2$  minimisation





# D<sup>0</sup> Invariant Mass Spectra: 2002-2007 Data



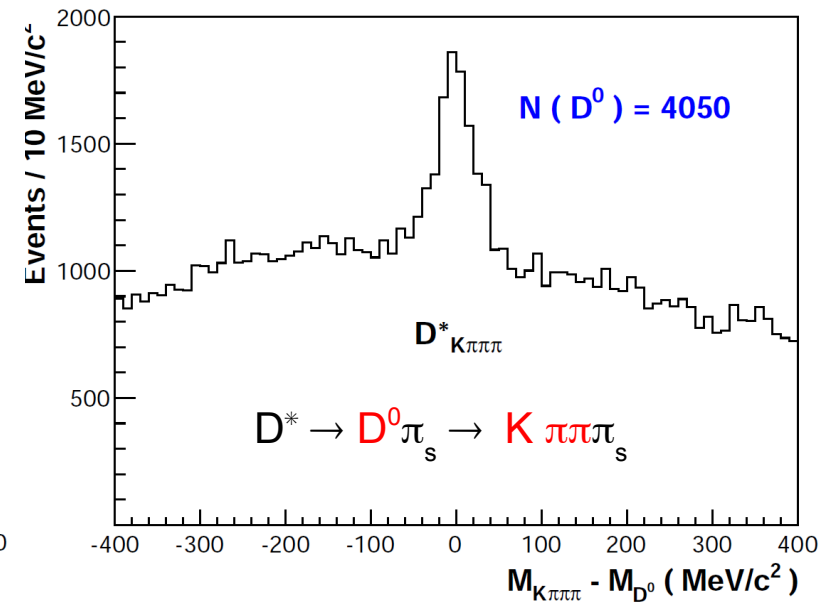
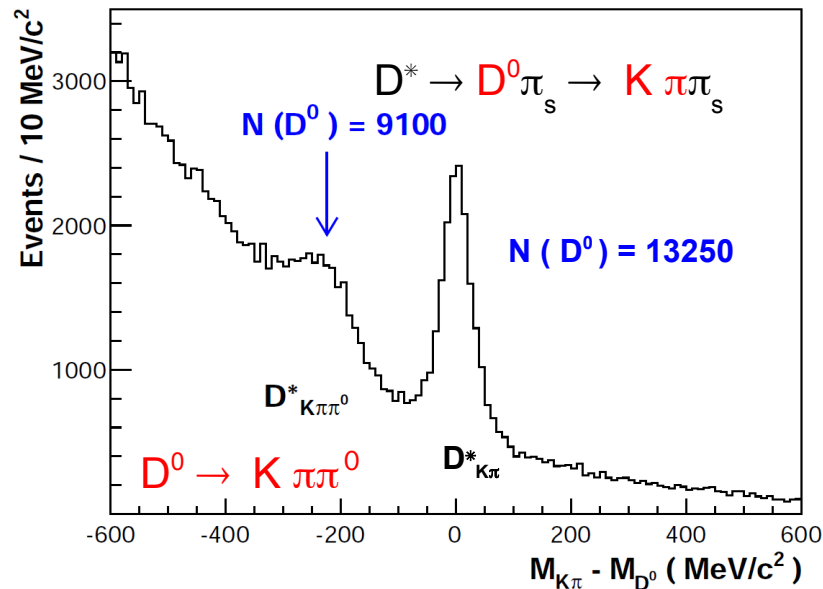
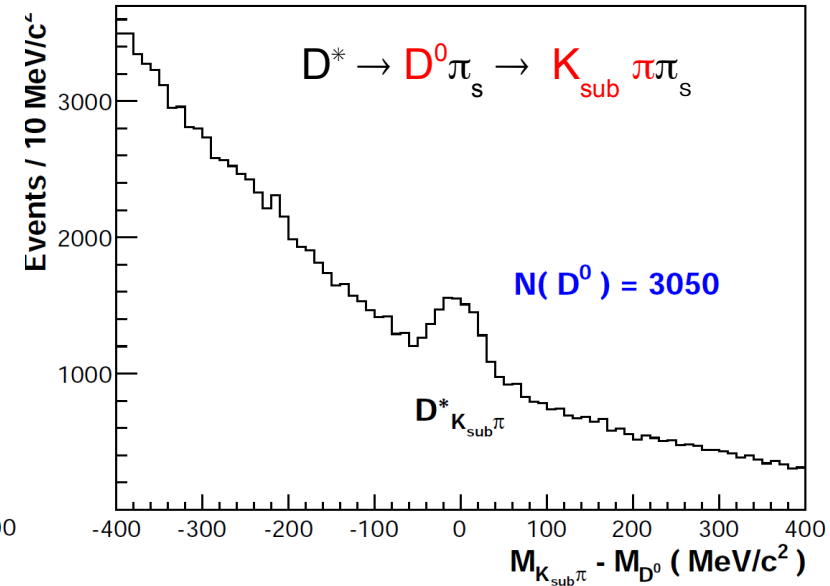
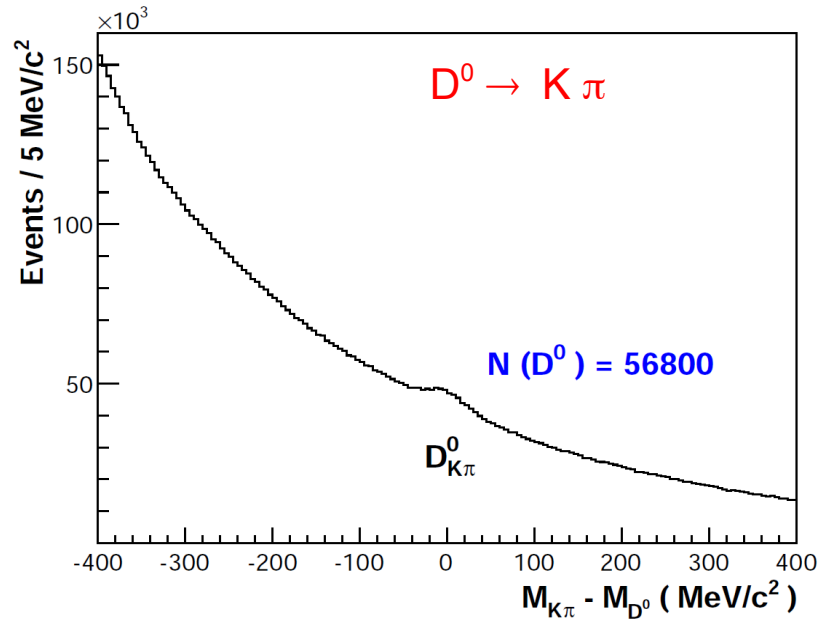
D<sup>0</sup>

Kπ

K<sub>sub</sub>π

Kππ<sup>0</sup>

Kπππ



## Number of D<sup>0</sup>:

- Total = 86250
- <sup>6</sup>LiD = 57400
- NH<sub>3</sub> = 28850

- Two real data samples (with the same cuts applied) are compared by a Neural Network (using some kinematic variables as a learning vector):

- Signal model gcc =

$$K^+ \pi^- \pi_s^- + K^- \pi^+ \pi_s^+$$

( $D^0$  spectrum: signal + background)

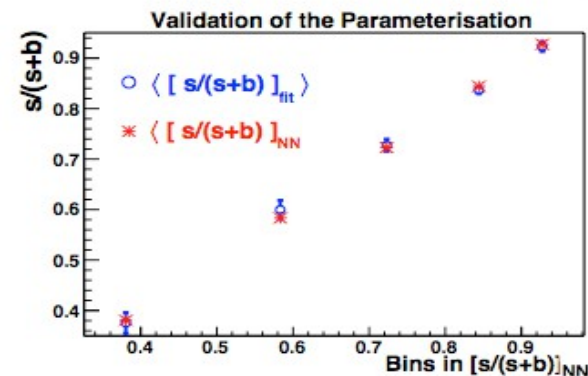
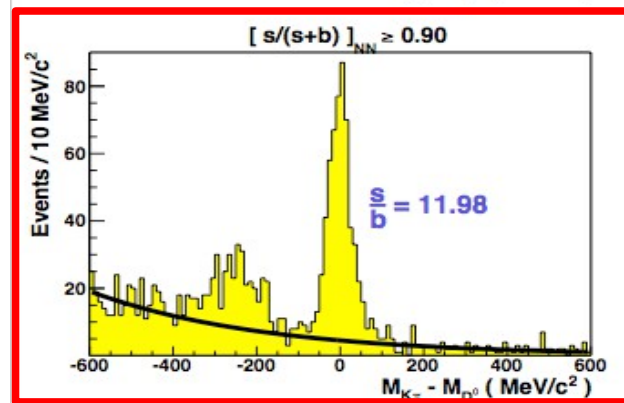
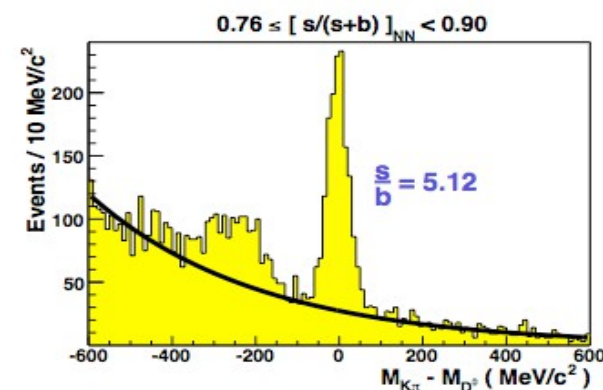
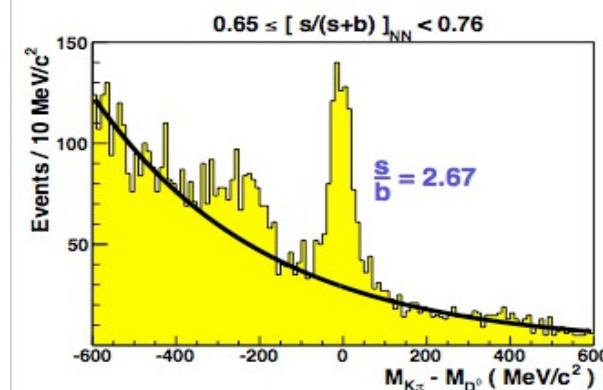
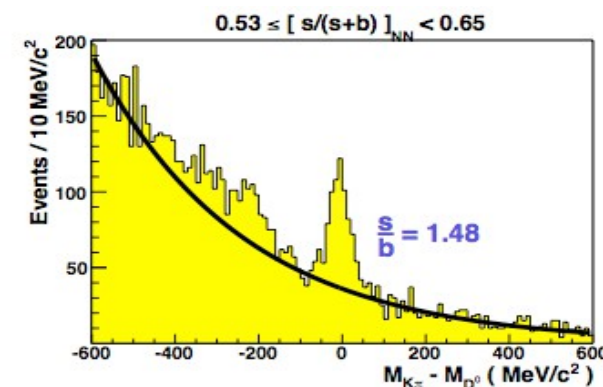
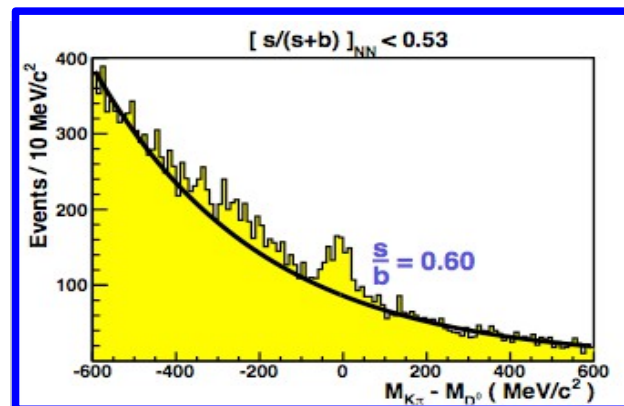
- Background model

$$wcc = K^+ \pi^+ \pi_s^- + K^- \pi^- \pi_s^+$$

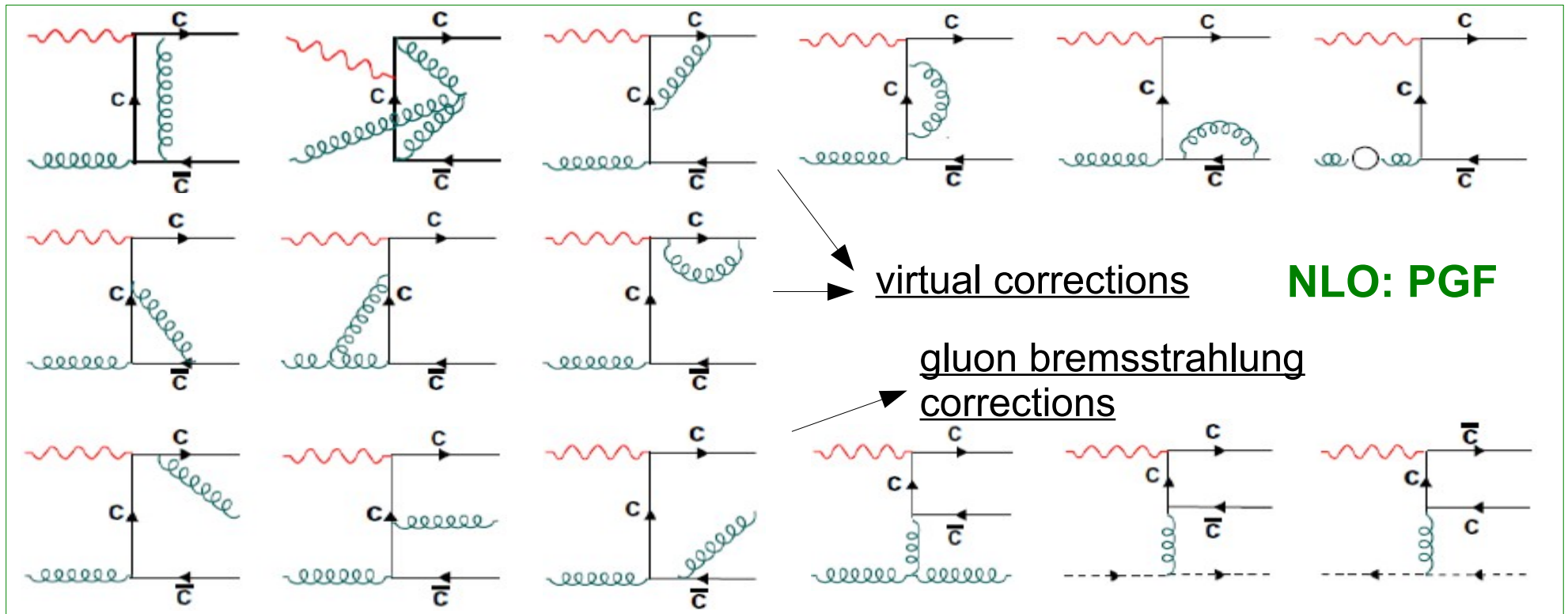
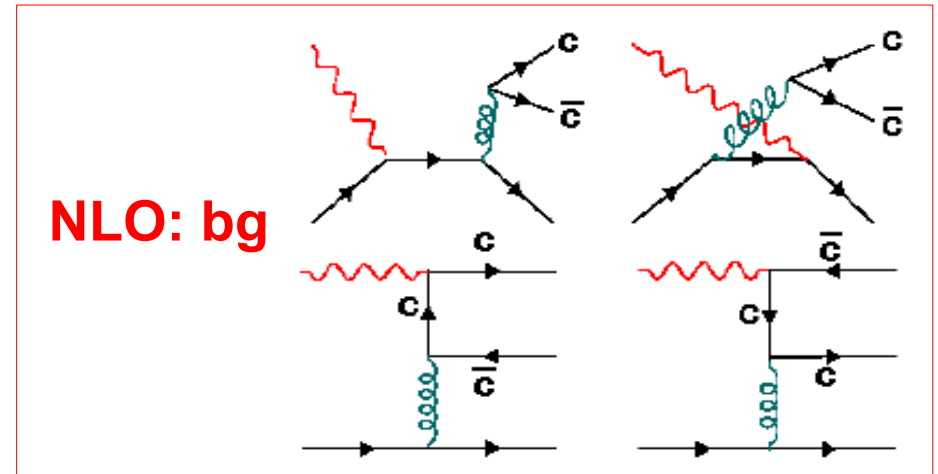
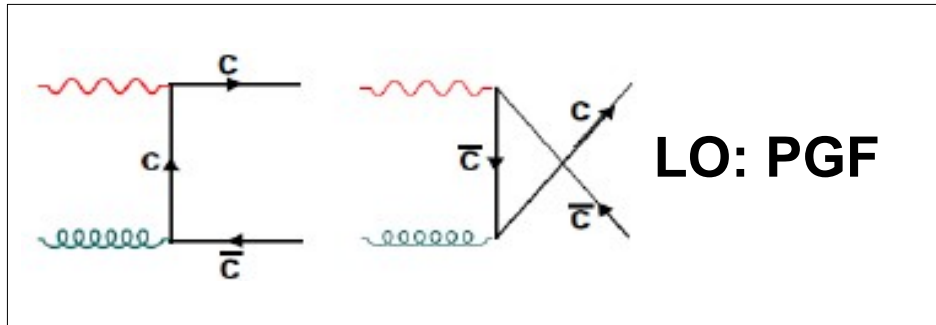
(no  $D^0$  is allowed)

- If the background model is good enough, the Neural Network is able to distinguish the signal from the combinatorial background on a event by event basis (inside gcc).

$D^0$  tagged spectrum in bins of  $\sum = S/(S+B)_{NN}$



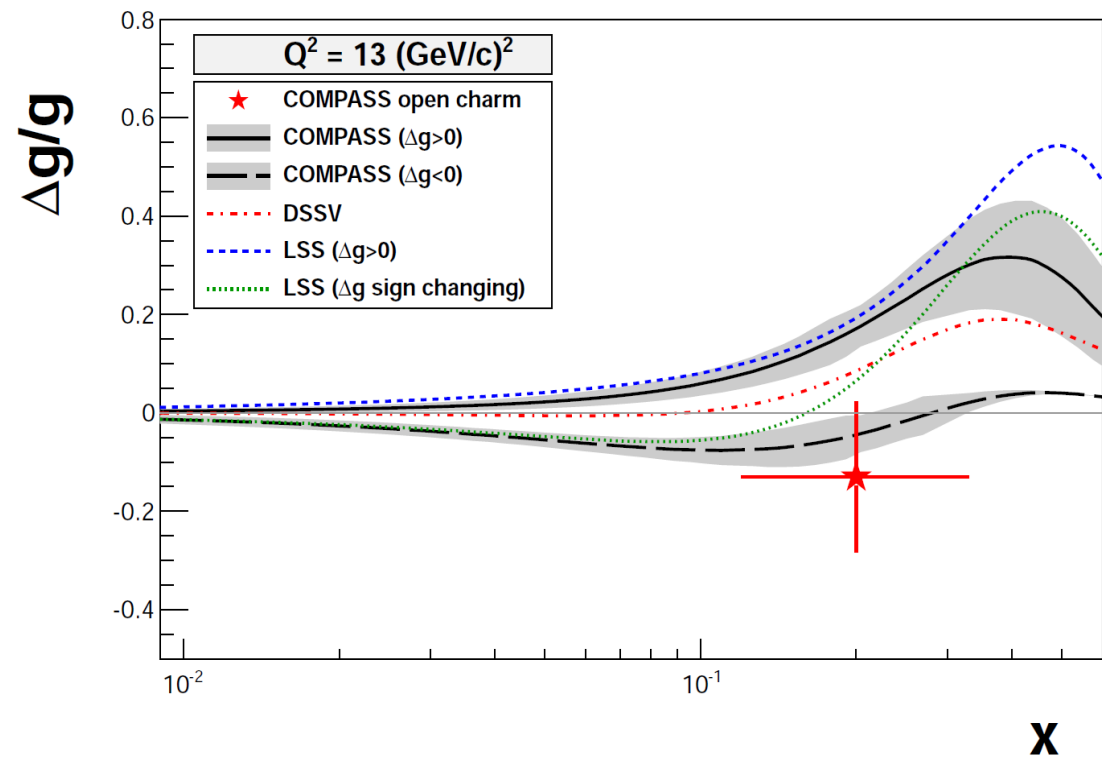
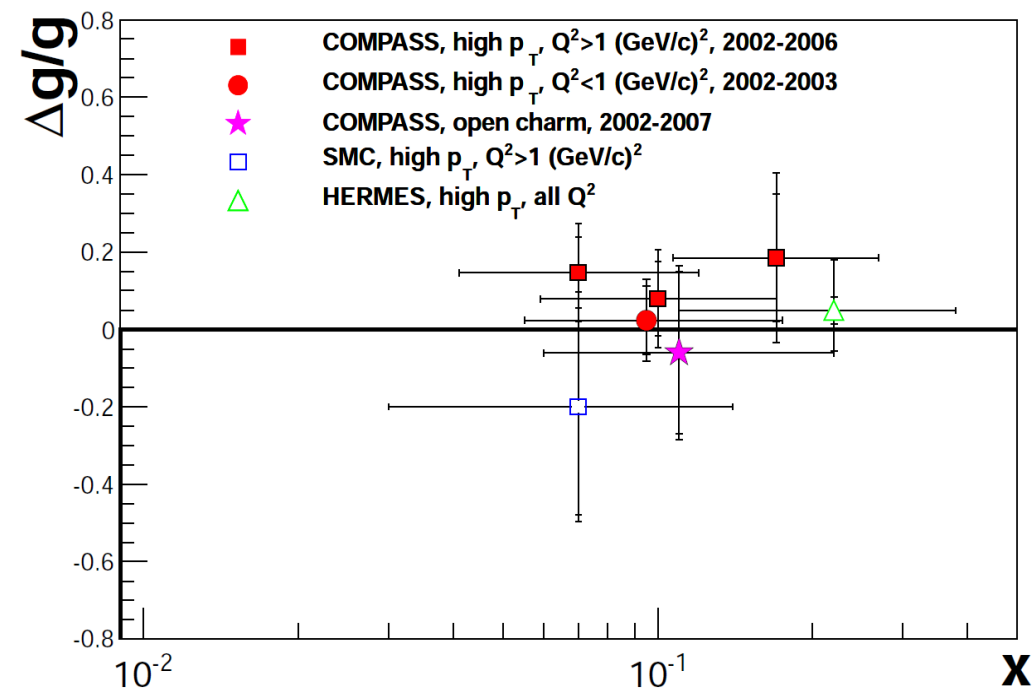
NLO corrections to the analysing power  $a_{LL}$







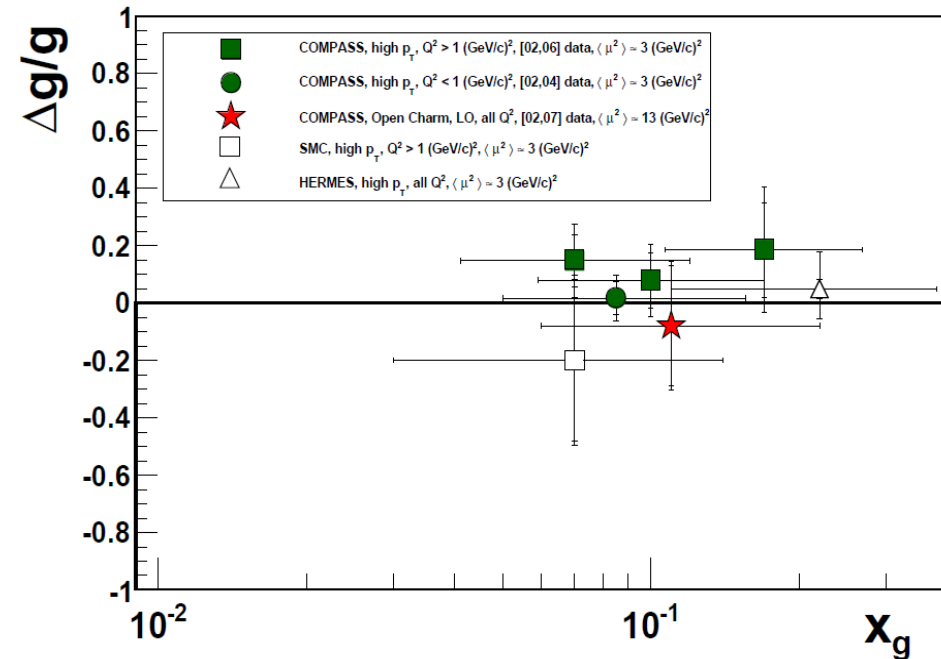
# Open Charm $\Delta G/G$ Results: LO and NLO



LO  $\frac{\Delta G}{G} = -0.06 \pm 0.21_{(stat)} \pm 0.08_{(syst)}$      $\langle x_g \rangle = 0.11^{+0.11}_{-0.05}$  ,     $\langle \mu^2 \rangle = 13 \text{ (GeV/c)}^2$

NLO  $\frac{\Delta G}{G} = -0.13 \pm 0.15_{(stat)} \pm 0.15_{(syst)}$      $\langle x_g \rangle = 0.20^{+0.13}_{-0.08}$  ,     $\langle \mu^2 \rangle = 13 \text{ (GeV/c)}^2$

*Physical Review D 87, 052018 (2013)*

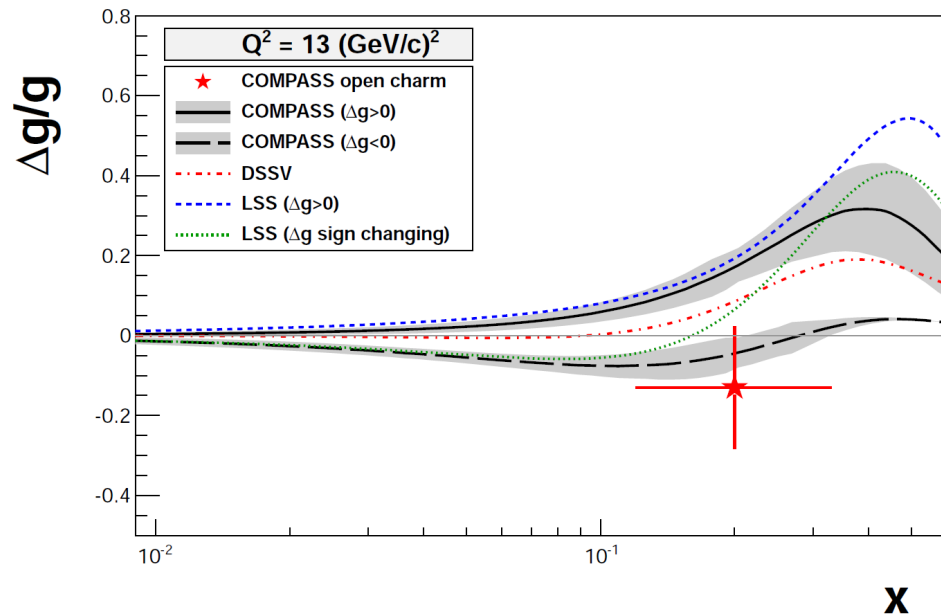


## Summary:

- The importance of the gluon polarisation measurement concerning the nucleon spin structure was emphasised.
- The direct measurement methods were explained.
- The gluon polarisations results are presented.

## Conclusions:

- Around  $X_g \sim 0.1$  all measurements of  $\Delta G/G$  are compatible with zero.
- Still there is the contribution of  $L_{\text{partons}}$  to be taken into account.
- The COMPASS-II program foresees to measure  $L_{\text{partons}}$  via GPDs.



# Spares










5  $p_T$  bins x 5  $x_{Bj}$  bins

$A_{LL}^{2h}/D$  asymmetries as a function of  $x_{Bj}$  and  $\sum p_T^2$ .

$x_{Bj}$	$p_{T1}^2 + p_{T2}^2$ (GeV/c) <sup>2</sup>	$\langle x_{Bj} \rangle$	$\langle Q^2 \rangle$ (GeV/c) <sup>2</sup>	$\langle p_{T1}^2 + p_{T2}^2 \rangle$ (GeV/c) <sup>2</sup>	$A_{LL}^{2h}/D$
0.004–0.01	0.65–1.0	0.007	1.4	0.86	0.002 ± 0.011
0.004–0.01	1.0–2.0	0.007	1.4	1.35	–0.002 ± 0.009
0.004–0.01	2.0–3.0	0.007	1.4	2.39	–0.019 ± 0.020
0.004–0.01	3.0–4.0	0.007	1.4	3.41	–0.075 ± 0.037
0.004–0.01	4.0–	0.007	1.5	5.45	0.001 ± 0.045
0.01–0.02	0.65–1.0	0.014	2.4	0.86	–0.009 ± 0.012
0.01–0.02	1.0–2.0	0.014	2.4	1.35	–0.016 ± 0.010
0.01–0.02	2.0–3.0	0.014	2.5	2.39	0.007 ± 0.024
0.01–0.02	3.0–4.0	0.014	2.6	3.41	0.054 ± 0.045
0.01–0.02	4.0–	0.014	2.7	5.48	–0.033 ± 0.056
0.02–0.05	0.65–1.0	0.030	4.8	0.85	0.015 ± 0.016
0.02–0.05	1.0–2.0	0.030	5.0	1.35	0.018 ± 0.013
0.02–0.05	2.0–3.0	0.030	5.3	2.39	0.056 ± 0.031
0.02–0.05	3.0–4.0	0.030	5.5	3.41	0.054 ± 0.057
0.02–0.05	4.0–	0.031	5.9	5.52	0.066 ± 0.068
0.05–0.10	0.65–1.0	0.069	10.9	0.85	0.074 ± 0.029
0.05–0.10	1.0–2.0	0.068	11.4	1.35	0.038 ± 0.025
0.05–0.10	2.0–3.0	0.069	12.2	2.39	0.051 ± 0.057
0.05–0.10	3.0–4.0	0.068	12.9	3.40	–0.079 ± 0.105
0.05–0.10	4.0–	0.069	13.6	5.67	–0.087 ± 0.123
0.10–1.00	0.65–1.0	0.170	28.2	0.85	0.100 ± 0.043
0.10–1.00	1.0–2.0	0.172	29.9	1.35	0.143 ± 0.036
0.10–1.00	2.0–3.0	0.172	31.7	2.39	–0.037 ± 0.083
0.10–1.00	3.0–4.0	0.158	29.0	3.41	–0.191 ± 0.156
0.10–1.00	4.0–	0.168	32.8	5.67	0.593 ± 0.180

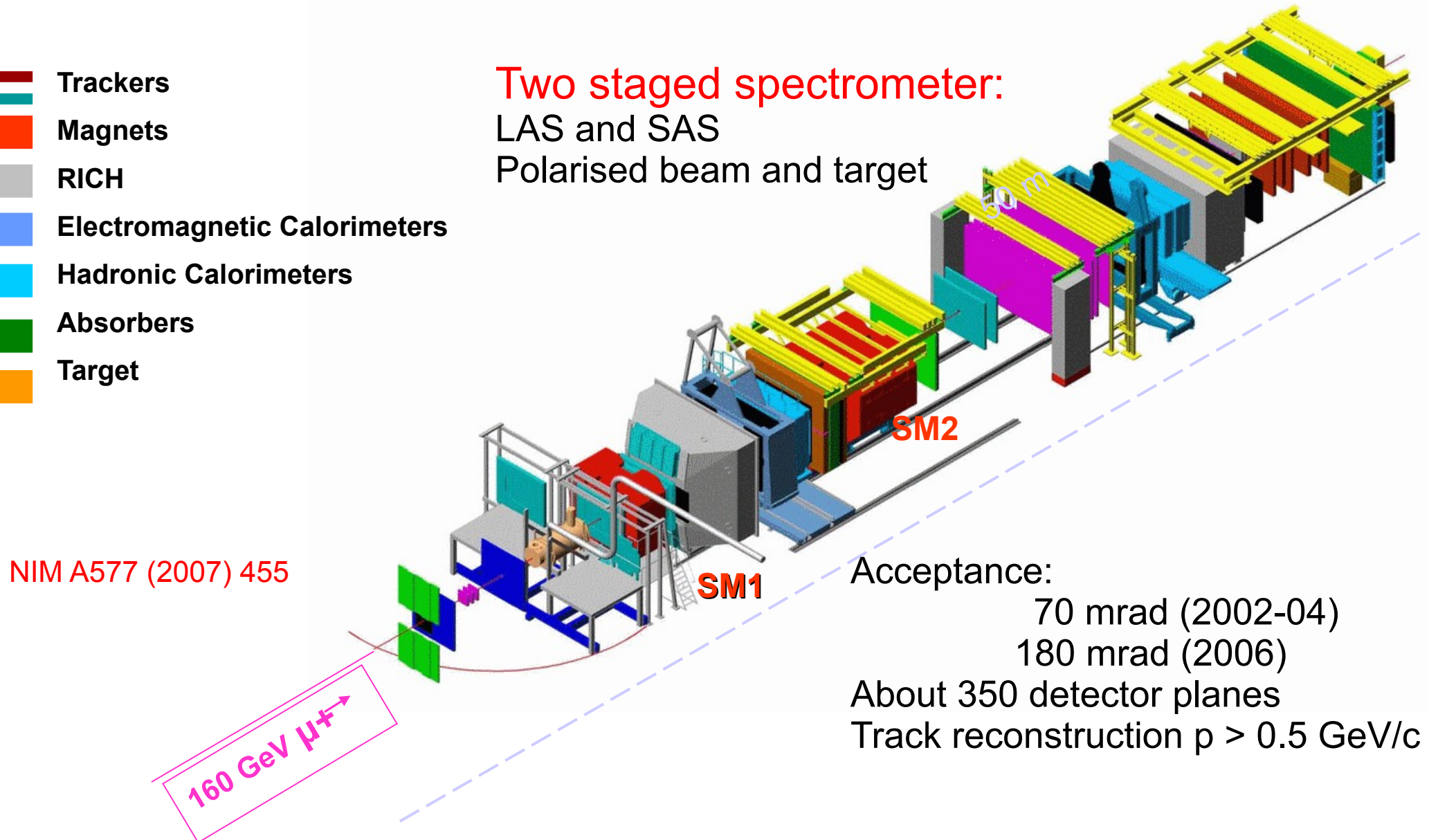
# The COMPASS Spectrometer

Common Muon and Proton Apparatus for Structure and Spectroscopy

-  Trackers
-  Magnets
-  RICH
-  Electromagnetic Calorimeters
-  Hadronic Calorimeters
-  Absorbers
-  Target

Two staged spectrometer:

LAS and SAS  
Polarised beam and target



NIM A577 (2007) 455

Acceptance:

70 mrad (2002-04)

180 mrad (2006)

About 350 detector planes

Track reconstruction  $p > 0.5 \text{ GeV}/c$

The final formula for the gluon polarisation:

$$\frac{\Delta G}{G}(x_g^{av}) = \frac{A_{LL}^{2h}(x_{Bj})}{\beta} - \frac{A_1(x_{Bj})}{\beta} D \frac{R_{LO}}{R_{LO}^{incl}} - \frac{A_1(x_C)}{\beta} \beta_1 + \frac{A_1(x_C')}{\beta} \beta_2$$

$$\beta = a_{LL}^{PGF} R_{PGF} - a_{LL}^{PGF, incl} R_{PGF}^{incl} \frac{R_{LO}}{R_{LO}^{incl}} - a_{LL}^{PGF, incl} \frac{R_C R_{PGF}^{incl}}{R_{LO}^{incl}} \frac{a_{LL}^C}{D} \quad R_i = \frac{\sigma^i}{\sigma^{Tot}}$$

$$\beta_1 = \frac{1}{R_{LO}^{incl}} \left( a_{LL}^C R_C - a_{LL}^{C, incl} R_C^{incl} \frac{R_{LO}}{R_{LO}^{incl}} \right) \quad \beta_2 = a_{LL}^{C, incl} \frac{R_C R_C^{incl}}{(R_{LO}^{incl})^2} \frac{a_{LL}^C}{D}$$

- $A_{LL}^{2h}$  is the measured 2-h asymmetry.
- $a_{LL}$  and  $R$  are estimated using MC.
- The  $A_1$  are taken using a parametrisation on inclusive data. (EPJ C52 (2007)255)

This analysis uses **information** from the **MC**, thus a **strong effort** and **care** to ensure that the MC simulation describes as **good** as possible the **data** was undertaken.

Two **MC samples** were used in the analysis: **high  $p_T$**  and **inclusive** samples.

- Full chain of MC has been used:

Generator (LEPTO) + Apparatus Simulation (GEANT) +  
Reconstruction Program.

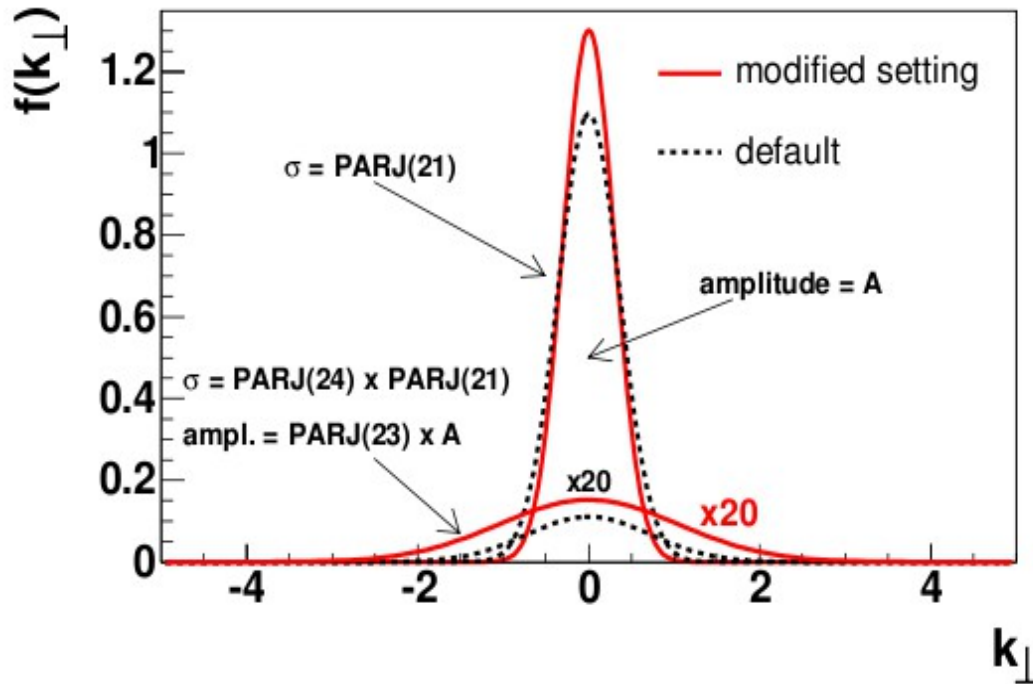
- PDF: MSTW2008LO.
- High  $p_T$  sample:
  - MC with **parton shower ON** has been used in the analysis.
  - A **new tuning** was performed to **improve** the hadron description.



- The purpose of the **MC tuning** is to **correct** the shapes of the **hadron variables** (momenta) and **fragmentation** (multiplicity).
- In **LEPTO** this can be **achieved** by changing **JETSET** parameters:

PARJ(21)	PARJ(23)	PARJ(24)	PARJ(41)	PARJ(42)
Transverse momentum of the hadron fragmentation			Fragmentation function	

- These **parameters** can be **divided** into **two sets** regarding the **component** of the **trajectory** of the particles: **transverse** and **longitudinal** variable components.
  - The **sets** can be **tuned independently**.
- ⇒ The tuning improves substantially the Data-MC agreement.



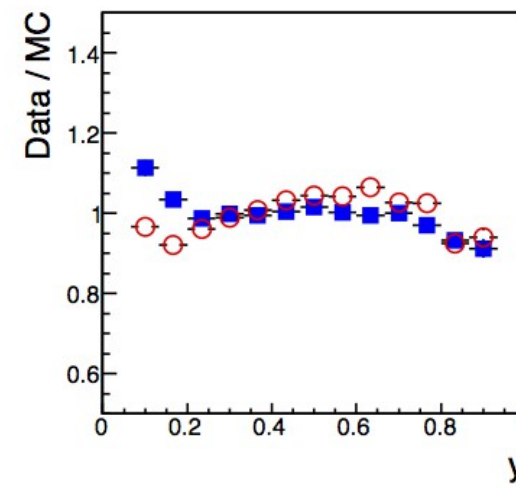
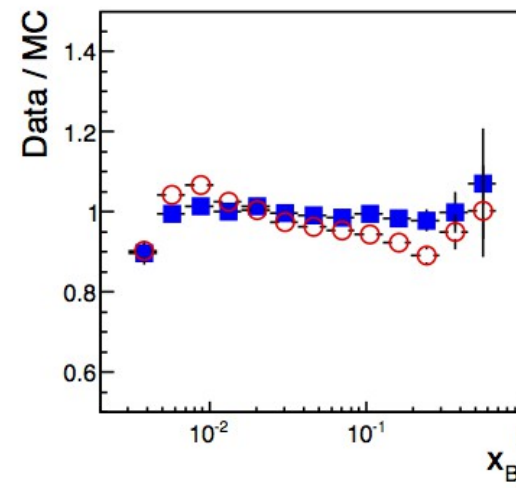
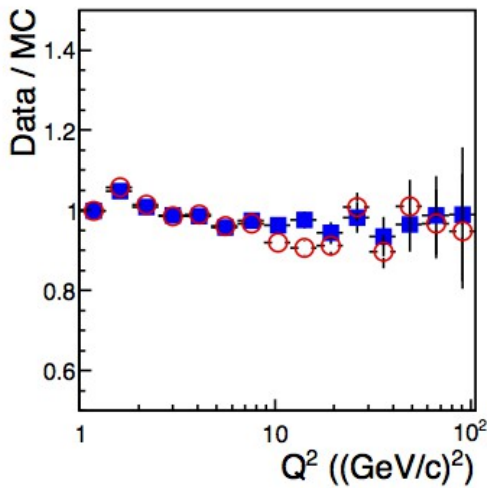
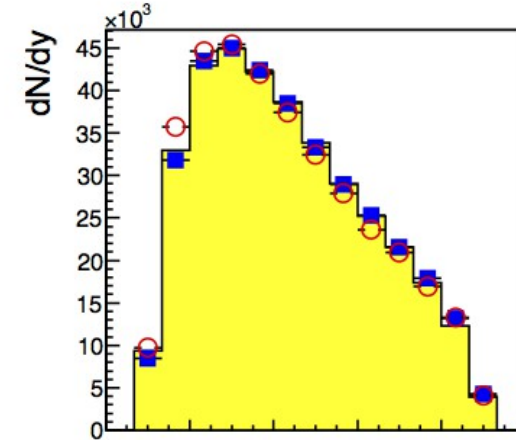
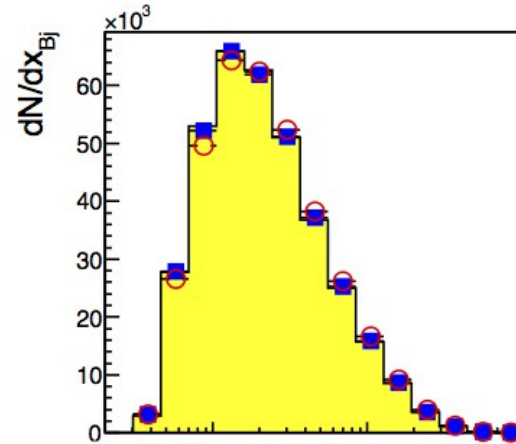
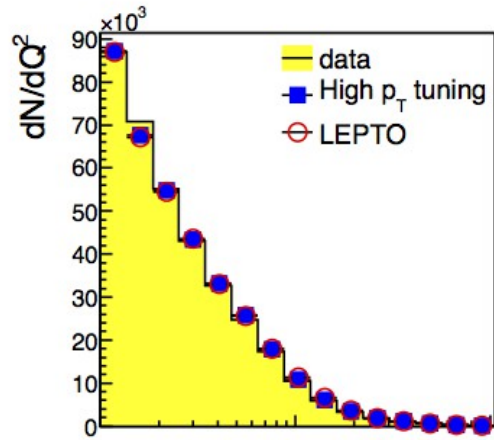
$a = \text{PARJ}(41)$

$b = \text{PARJ}(42)$

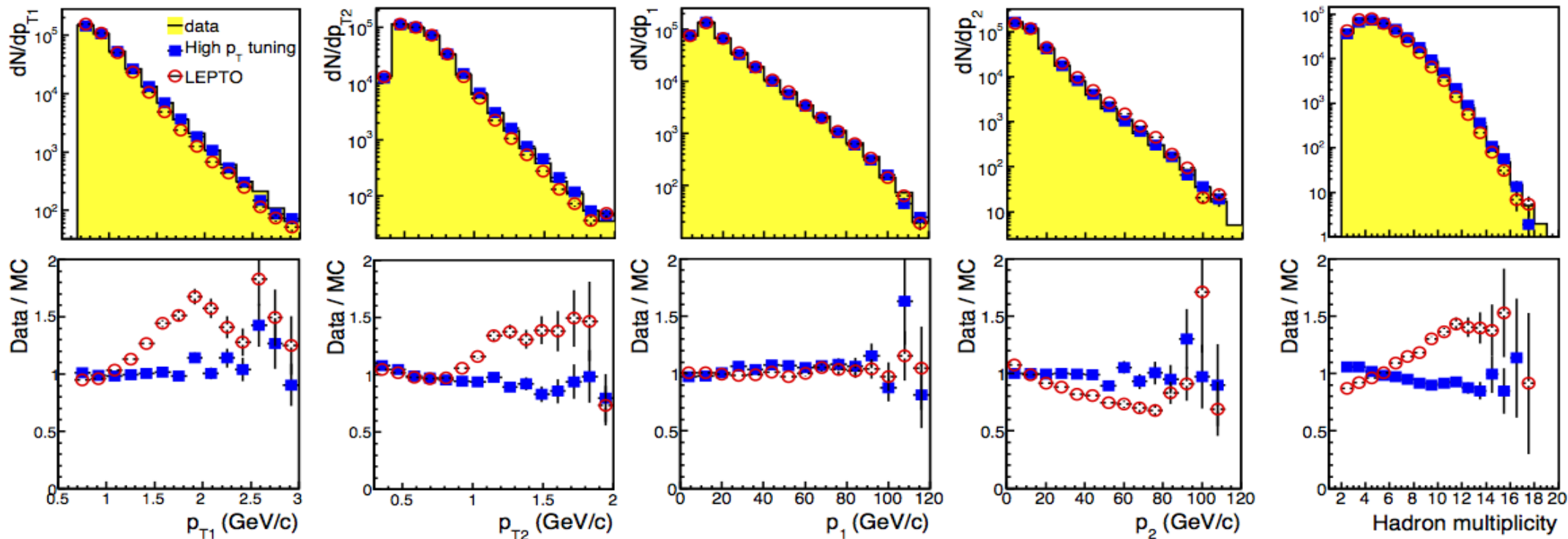
$$f(z) \propto \frac{1}{2} (1-z)^a \exp\left(-\frac{b m_T^2}{z}\right)$$

COMPASS new tuning  
LEPTO default tuning

PARJ(21)	PARJ(23)	PARJ(24)	PARJ(41)	PARJ(42)
0.34	0.04	2.8	0.025	0.075
0.36	0.01	2.0	0.3	0.58
Transverse momentum of the hadron fragmentation			Fragmentation function	



high- $p_T$  sample: hadron variables ( $p_{T1}$ ,  $p_{T2}$ ,  $p_1$ ,  $p_2$ , and hadron multiplicity)





- A weight is applied on event-by-event basis:

$$W = fDP_b\beta \quad , \text{ where } \beta \text{ is a factor depending on } a_{LL}^i \text{ and } R^i$$

- Therefore for every event we have to know:

$$R_{PGF}, R_C, R_{LO}, R_{PGF}^{incl}, R_C^{incl}, R_{LO}^{incl},$$

$$a_{LL}^{PGF}, a_{LL}^C, a_{LL}^{PGF, incl}, a_{LL}^{C, incl},$$

$$X_C, X_G,$$

$$f, D, P_b$$

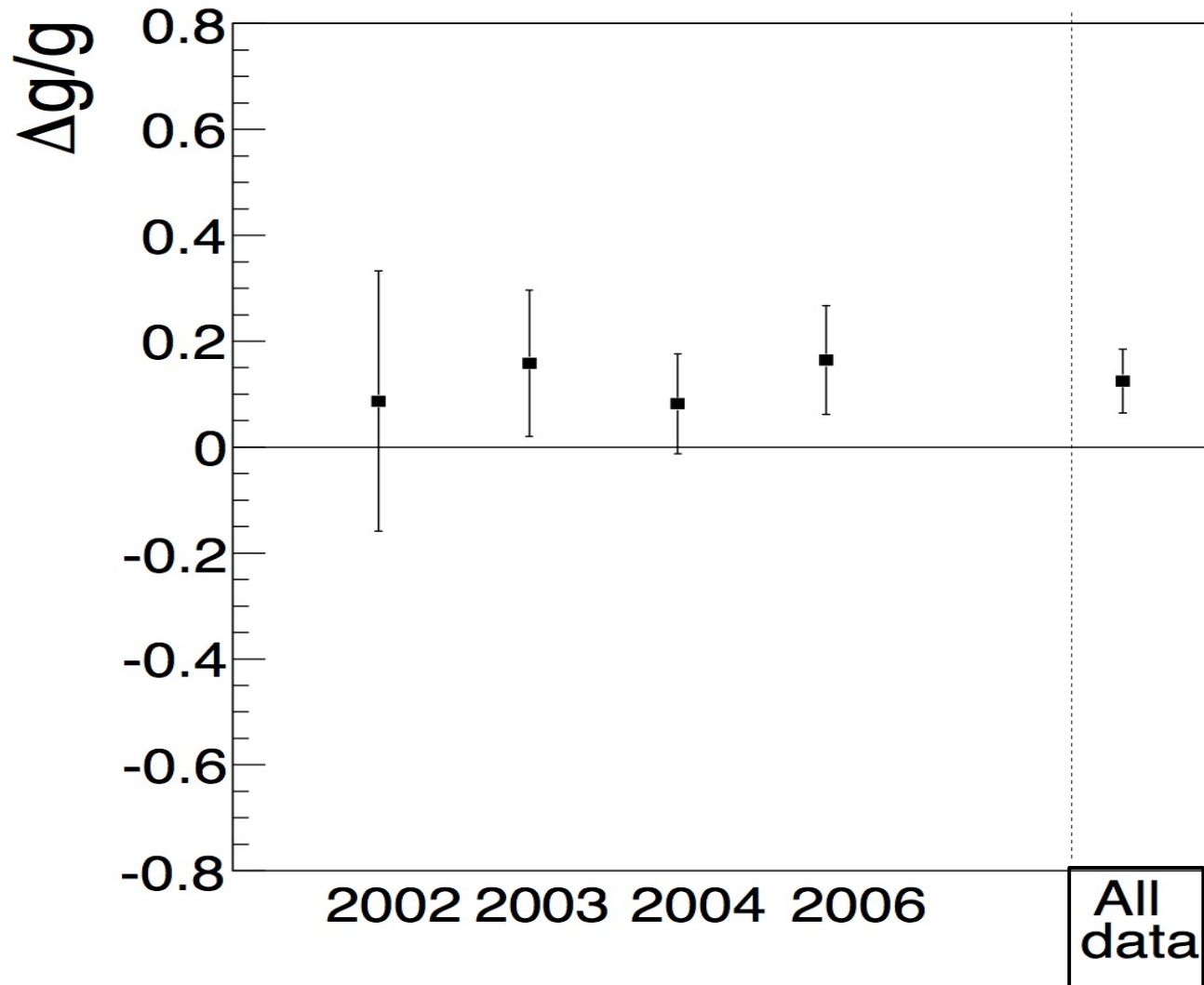
$f, D, P_b$  are directly obtained from data.

The all the others variables have to be estimated/parametrised.

$$\frac{\Delta G}{G} = 0.125 \pm 0.060 \pm 0.063$$

$$x_g = 0.09^{+0.08}_{-0.05}$$

$$\mu^2 = 3 \text{ (GeV/c)}^2$$

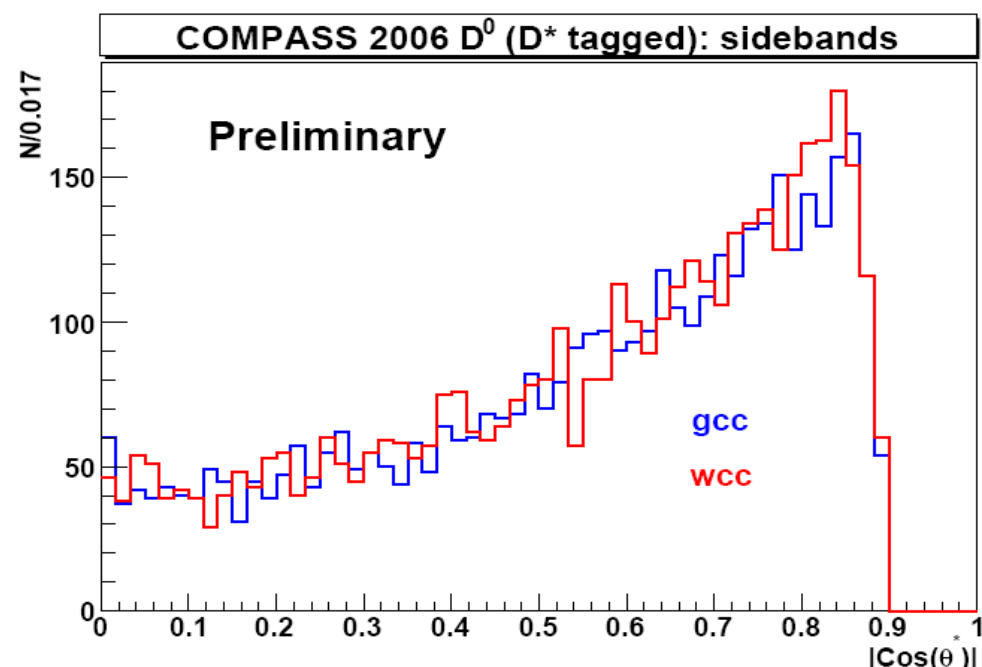
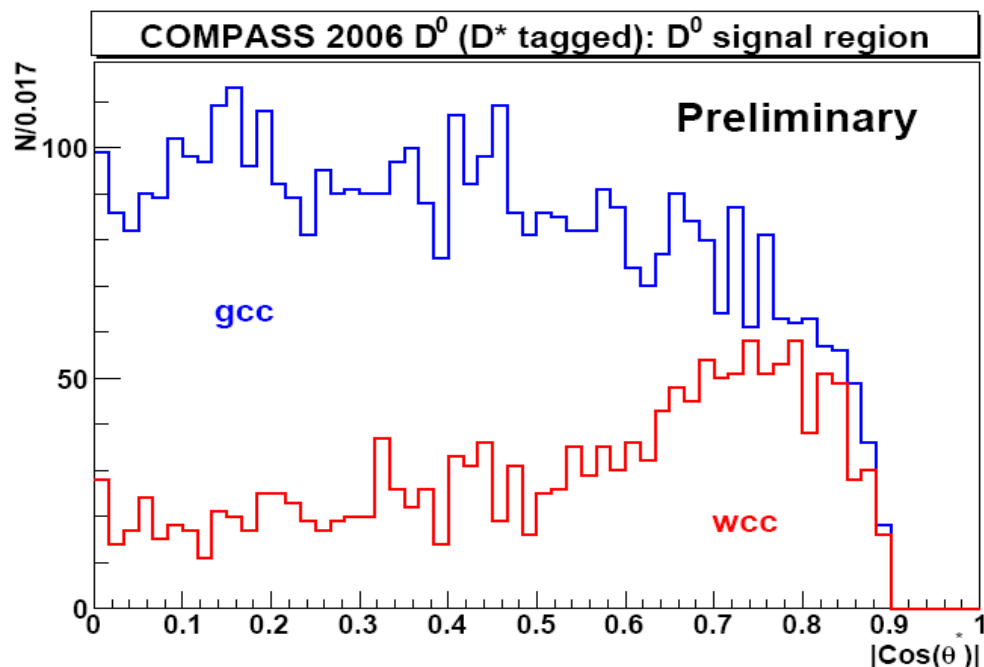


Sources of Systematic Uncertainties	$\delta(\Delta G/G)$	
	High pT	Open Charm
MC Simulation	<b>0.045</b>	
Formula Simplification	<b>0.035</b>	0.025
False Asymmetries	0.019	<b>0.080</b>
$A_1$ Parametrisation	0.015	
NN Parametrisation	0.010	
$P_B, P_T, f$	0,004	0.009
$a_{LL}$		<b>0.119</b>
$s/(s+b)$		0.009
Total	0.063	0.146

# Neural Network qualification of events

- **Two real data samples (with the same cuts applied) are compared by a Neural Network (using some kinematic variables as a learning vector):**
  - **Signal model**  $\rightarrow$   $gcc = K^+ \pi^- \pi_s^- + K^- \pi^+ \pi_s^+$  (*D<sup>0</sup> spectrum: signal + background*)
  - **Background model**  $\rightarrow$   $wcc = K^+ \pi^+ \pi_s^- + K^- \pi^- \pi_s^+$  (*no D<sup>0</sup> is allowed*)
- **If the background model is good enough:** The Neural Network is able to distinguish the signal from the combinatorial background on a event by event basis (inside gcc)

## Example of a good learning variable





# Analysing power (muon-gluon asymmetry $a_{LL}$ )

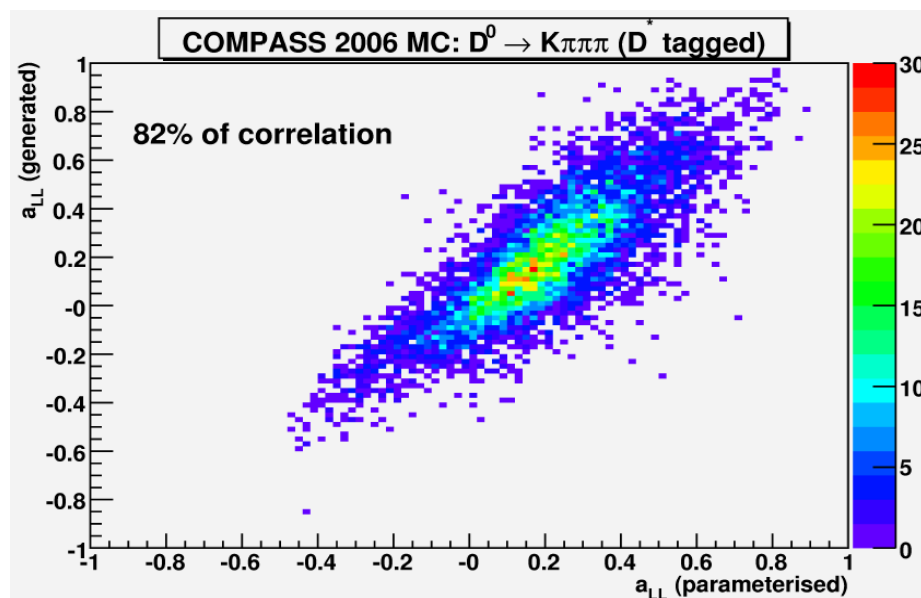
- $a_{LL}$  is dependent on the full knowledge of the partonic kinematics:

$$a_{LL} = \frac{\Delta \sigma^{\text{PGF}}}{\sigma_{\text{PGF}}}(y, Q^2, x_g, z_C, \phi)$$



Can't be experimentally obtained: only one charmed meson is reconstructed

- $a_{LL}$  is obtained from **Monte-Carlo** (in LO), to serve as input for a **Neural Network parameterisation** on some reconstructed kinematical variables:  $y$ ,  $x_{Bj}$ ,  $Q^2$ ,  $z_D$  and  $p_T$



Parameterised  $a_{LL}$ , shows a strong correlation with the generated one (using *AROMA*)

- The AROMA generator is used to simulate the phase space for the **NLO (PS on) / LO (PS off)** calculations of  $a_{LL}$ . The resulting  $D^0$  mesons are reconstructed in the COMPASS spectrometer like real events. The respective  $a_{LL}$  distributions are:

