



Review of COMPASS results on transverse spin effects in SIDIS and fragmentation functions

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Trieste University and INFN

EPS-HEP 2013, Stockholm, 18-24 July 2013



$$\begin{aligned}
 \frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = & \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\
 & + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} \\
 & + S_{\parallel} \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right] \\
 & + |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right. \\
 & + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \\
 & \left. + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right] \\
 & + |S_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right. \\
 & \left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right] \left. \right\},
 \end{aligned}$$

18 structure functions

COMPASS measures all of them

SIDIS cross section: Collins and Sivers SSA

$$\frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} =$$

$$\frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right.$$

$$+ \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h}$$

$$+ S_{\parallel} \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h} \right] + S_{\parallel} \lambda_e \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right]$$

$$+ |S_{\perp}| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) \right.$$

$$+ \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)}$$

$$+ \left. \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$$

$$+ |S_{\perp}| \lambda_e \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_S F_{LT}^{\cos\phi_S} \right.$$

$$\left. + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right\},$$

8 transverse target spin dependent asymmetries

Sivers

Correlation between the **transverse spin of the nucleon** and the **transverse momentum of the quark**

→ **access Sivers PDF**

Collins

Correlation between the **transverse spin of the nucleon** and the **transverse polarisation of the quark**

→ **access transversity PDF (h_1^q)**

The COMPASS spectrometer

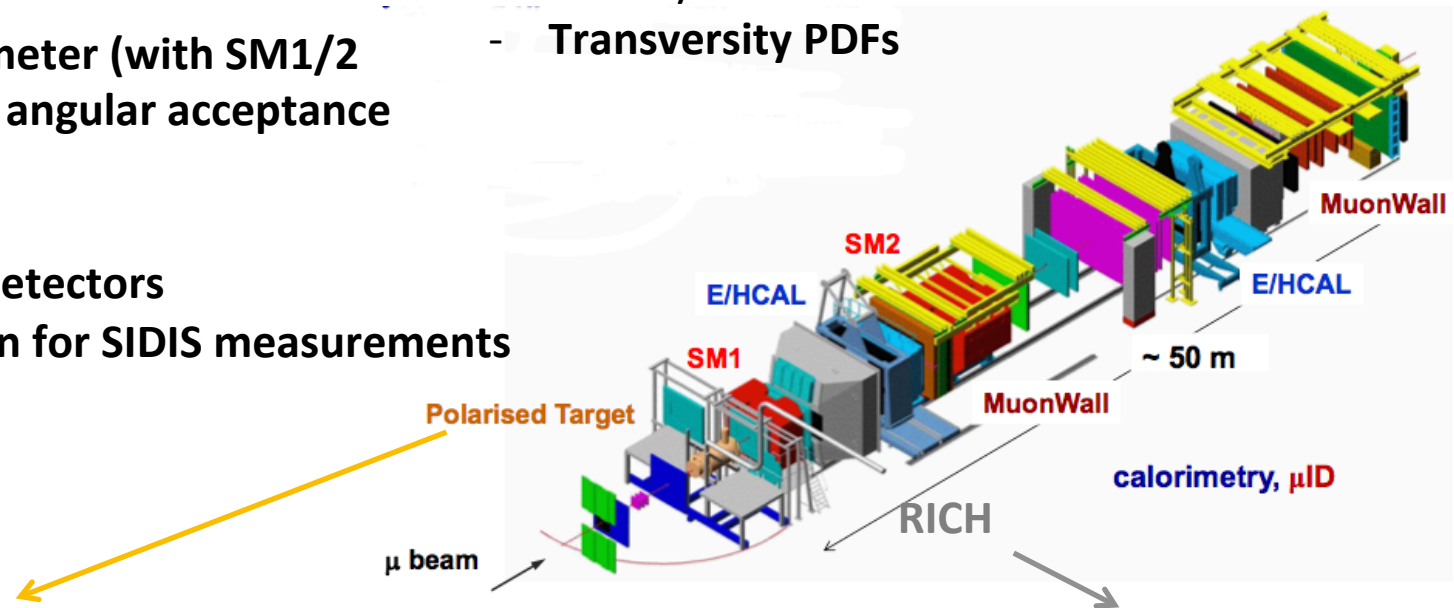
Fixed target experiment @ CERN
 High energy beam: 160 GeV/c
 Beam intensity $2 \cdot 10^8 \mu^+/\text{spill}$ (4.8s)

Two stages spectrometer (with SM1/2 magnets), 180 mrad angular acceptance

Muon identification
 Variety of tracking detectors
 Hadron identification for SIDIS measurements

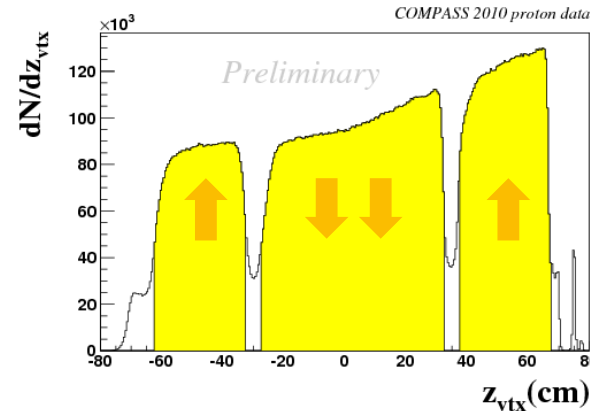
Nucleon spin structure Study with longitudinally and transversely polarized targets:

- Gluon polarisation
- Helicity PDFs
- **Transversity PDFs**



3 target cells: 30-60-30 cm
 Oppositely polarised

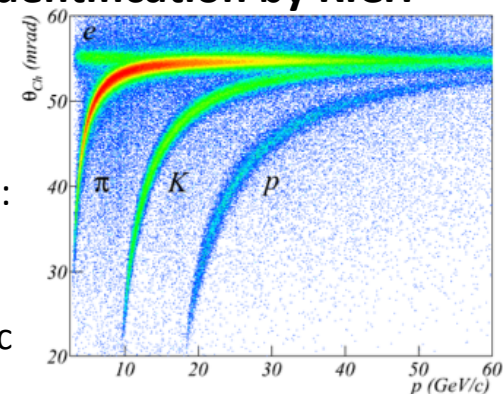
	d(⁶ LiD)	p(NH ₃)
p _T	50%	90%
F	40%	16%



Hadron identification by RICH

π^\pm, K^\pm

Thresholds:
 π : 3 GeV/c
 K: 9 GeV/c
 P: 18 GeV/c



Results

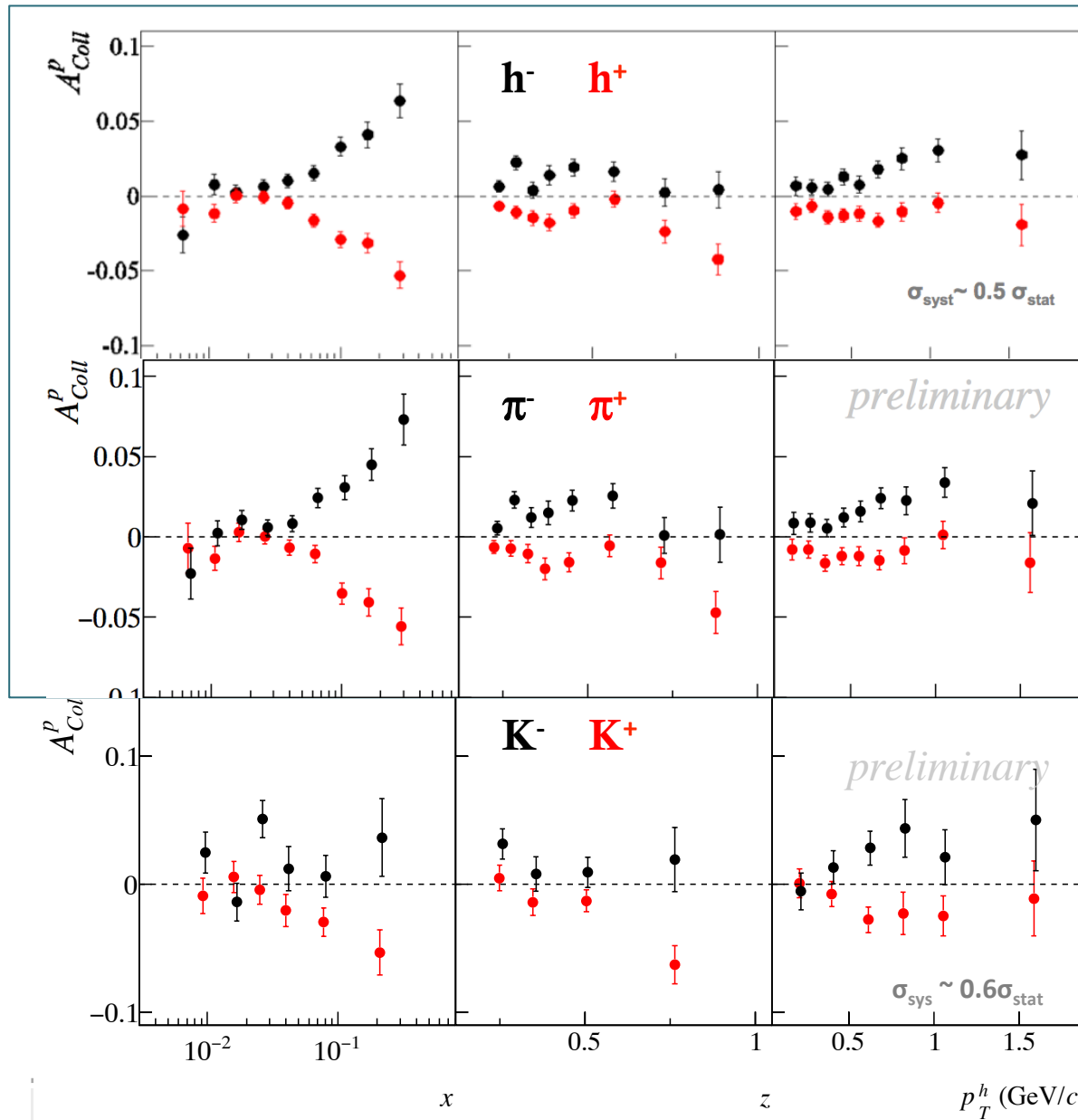
Collins & Sivers asymmetry

proton (NH_3) target, 160 GeV muon-beam
2007, 2010 runs

deuteron (^6LiD) target, 160 GeV muon-beam
2002, 2003, 2004 runs

Collins asymmetries on proton

Combined 2007 & 2010 results: Very good agreement between the 2 independent data sets

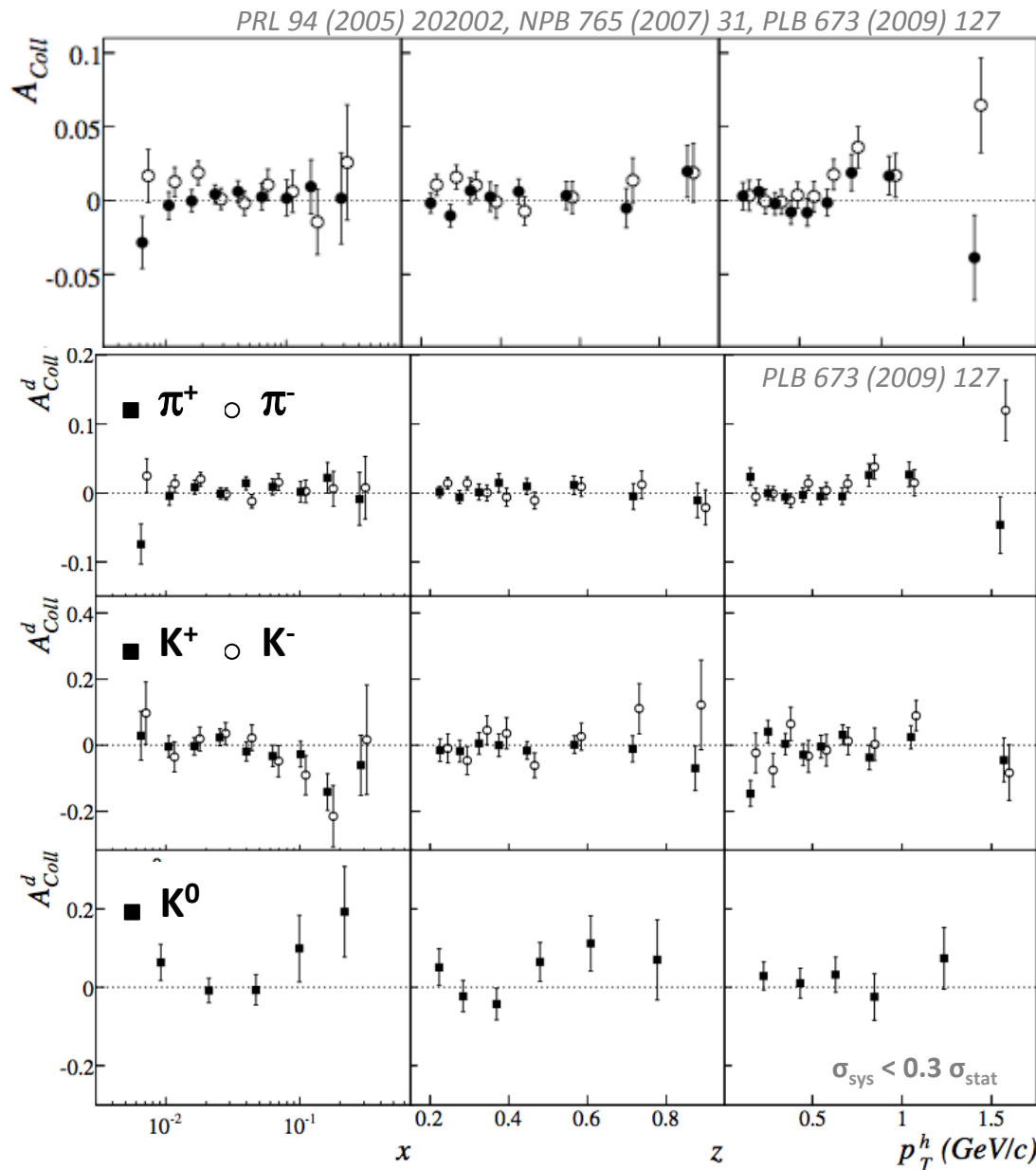


2007 PLB 692 (2010) 240
2010 PLB 717 (2012) 376

- Precise measurement
- Asymmetries compatible with zero at small x
- Significant signal in the valence region
- opposite signs for h^+ & h^- , for π^+ & π^-

K^+ negative trend in the valence region (as for π^+)
 K^- positive in average

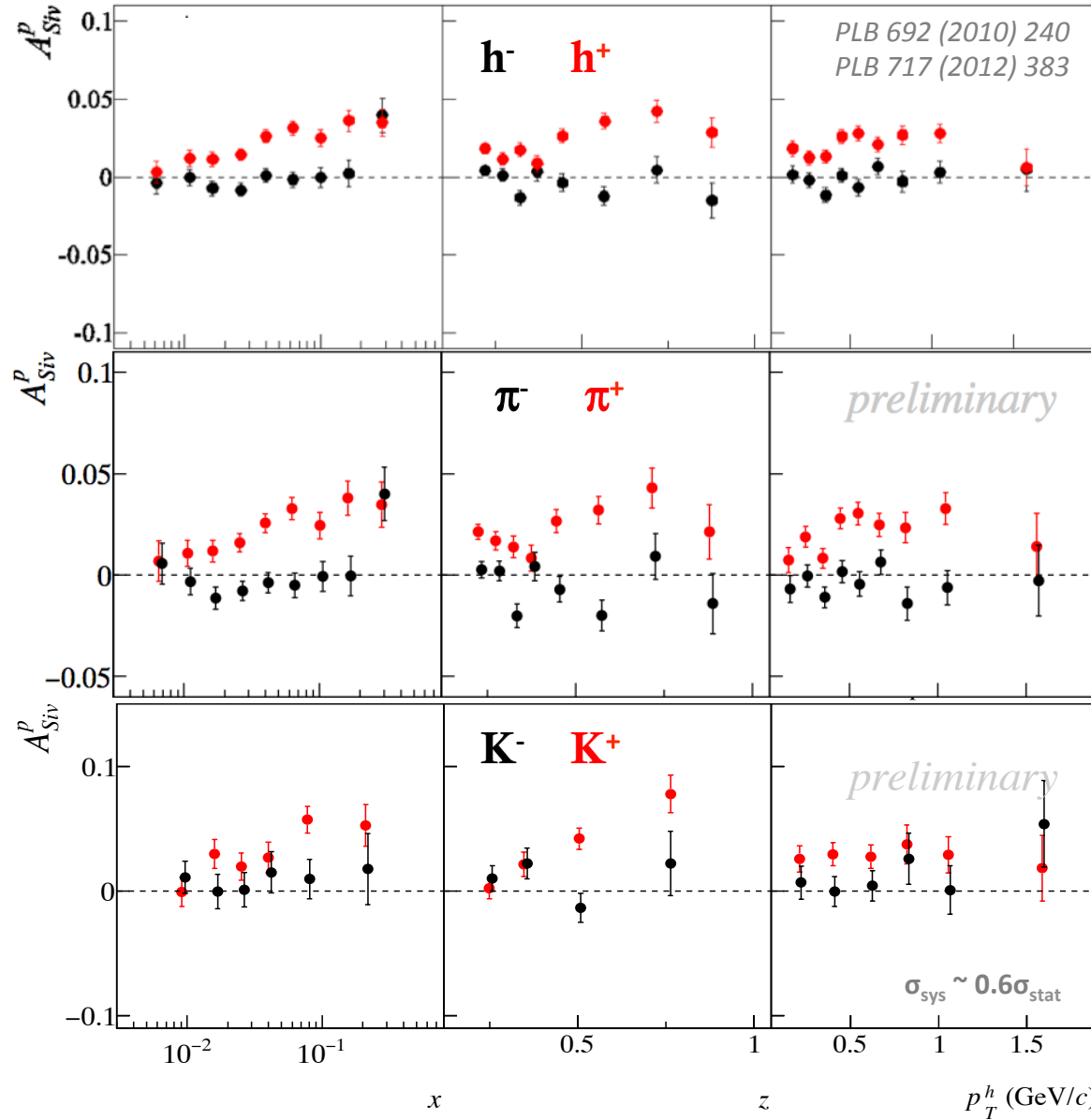
Collins asymmetries on deuterium



Asymmetries compatible with zero
 \rightarrow Cancellation between
 $\Delta_T u(x)$ and $\Delta_T d(x)$

Sivers asymmetries on proton

Combined 2007 & 2010 results: Very good agreement between the 2 independent data sets



- vs x : Large signal for positive hadrons over all the measured range

- vs z : Increasing signal

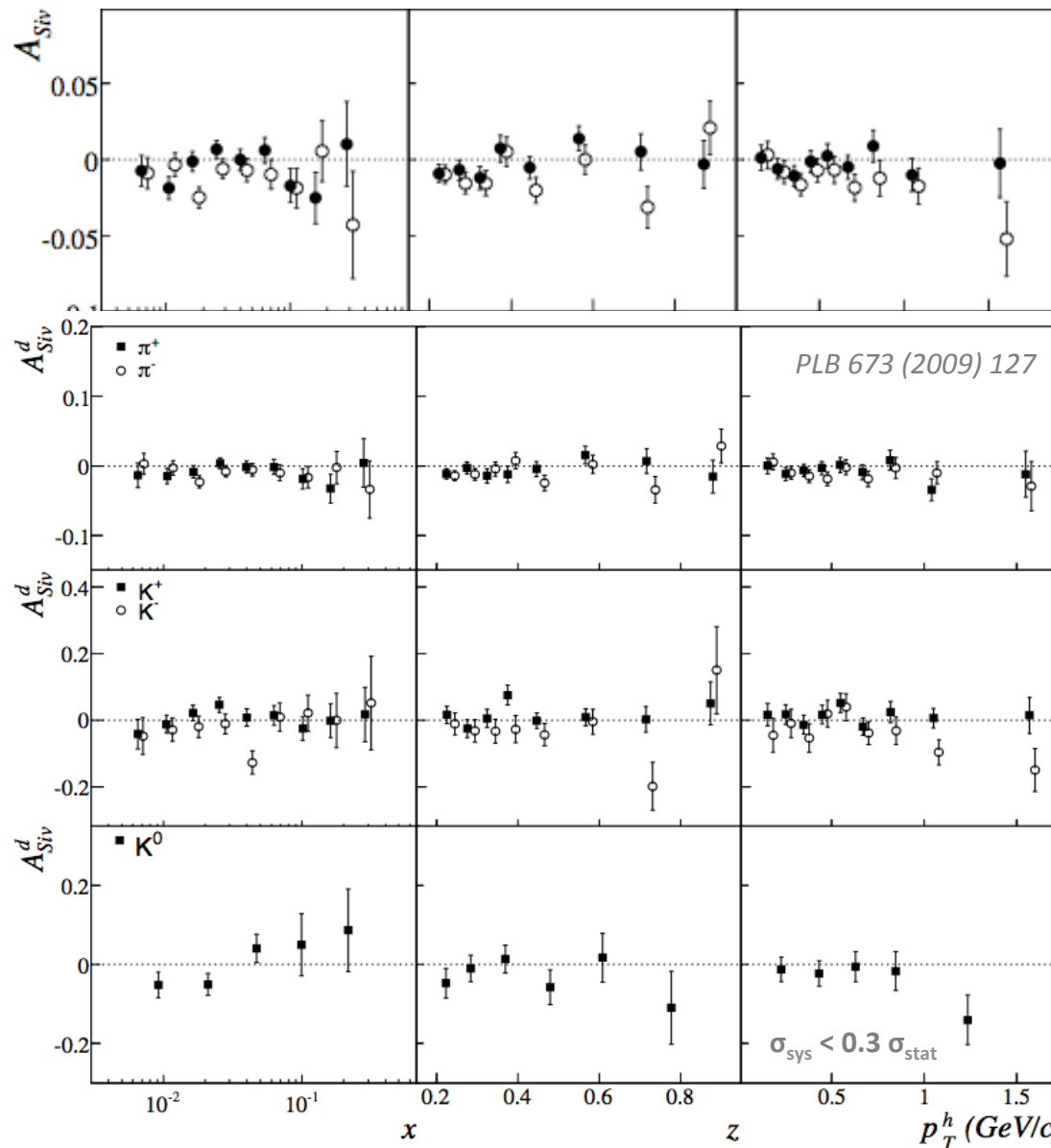
- Linear p_T^h dependence at small p_T^h , constant for large p_T^h

K^+ positive in average
signal larger than π^+
Important role of sea quark ?

K^- compatible with zero

Sivers asymmetries on deuterium

PRL 94 (2005) 202002, NPB 765 (2007) 31, PLB 673 (2009) 127



Charged hadrons
2002-04 data

Charged π , K and K^0
2003-04 data

All asymmetries on deuterium
target compatible with zero

→ Cancellation between
 $\Delta_T u(x)$ and $\Delta_T d(x)$

Results

hadron pair asymmetry

an alternative way to access poorly known transversity

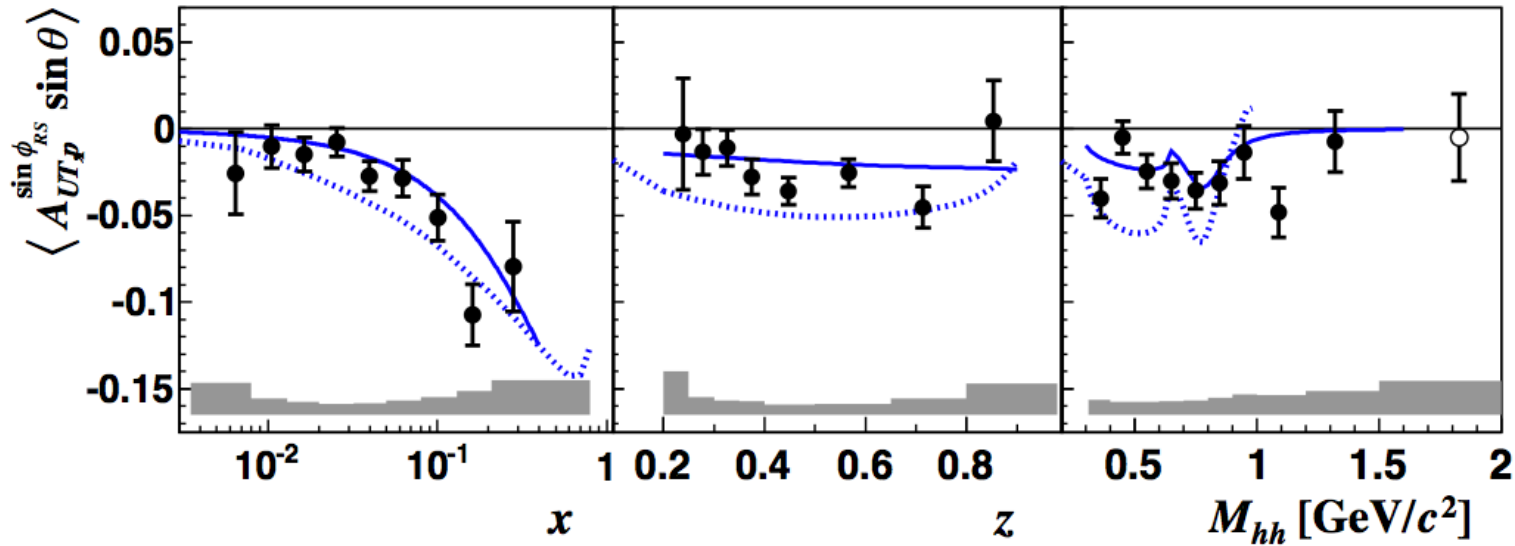
deuteron (${}^6\text{LiD}$) target, 160 GeV muon-beam
2002, 2003, 2004 runs

proton (NH_3) target, 160 GeV muon-beam
2007, 2010 runs

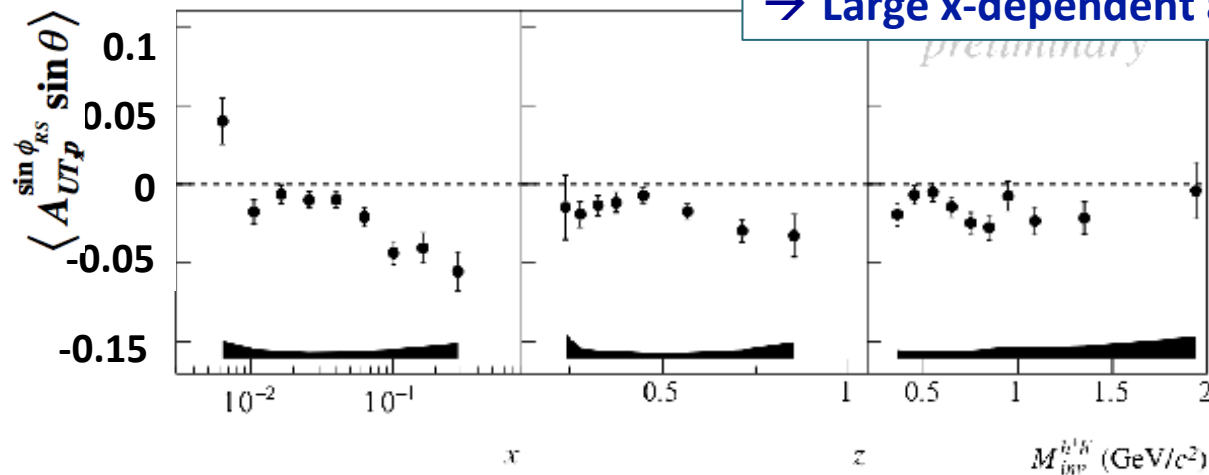
Hadron pair asymmetries on proton – h^+h^-

2007

COMPASS PLB 713 (2012) 10,
Bachetta *et al.* PRD 74 (2006) 114007, Ma B.-Q. *et al.* PRD 77 (2008) 014035

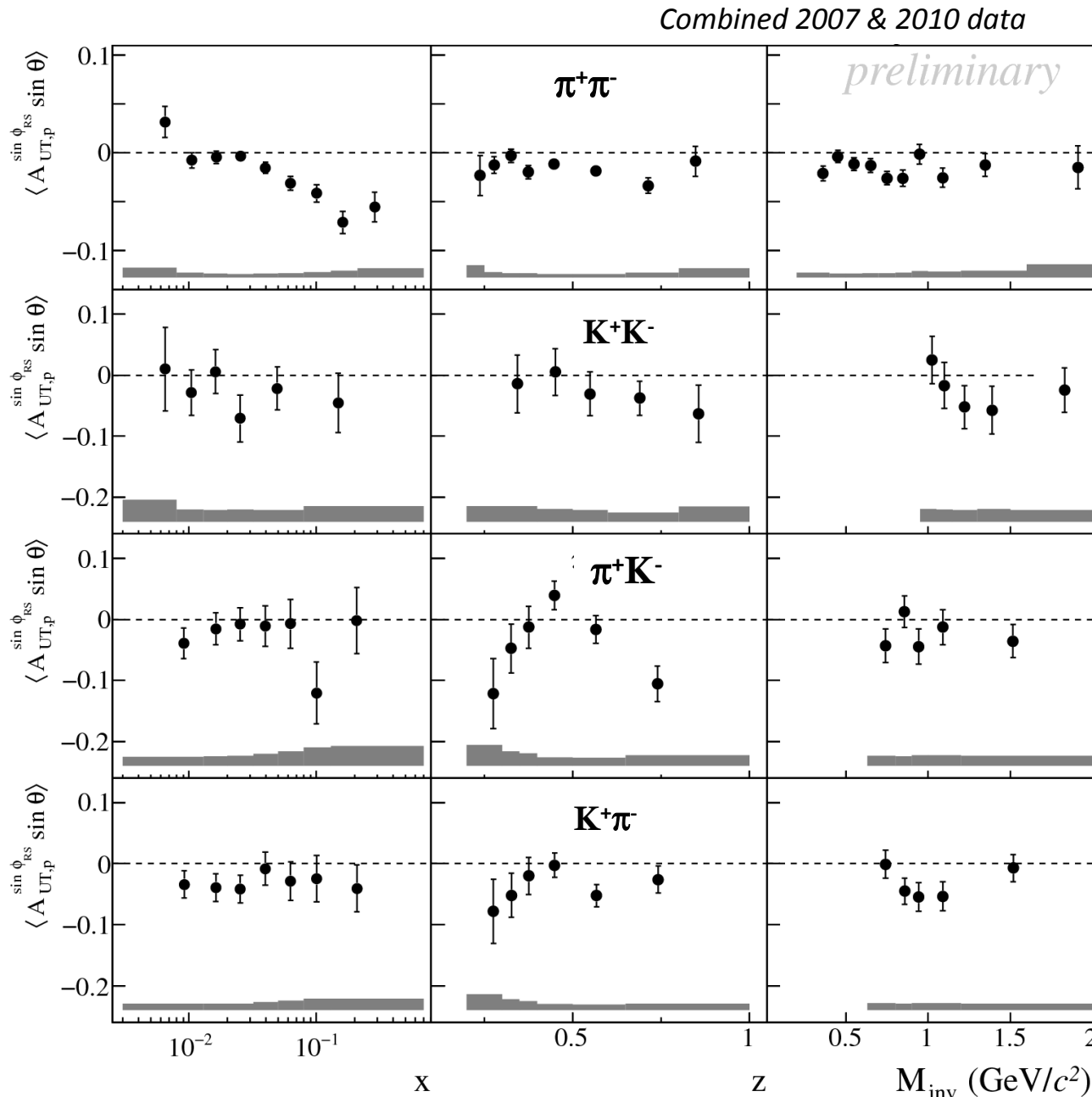


2010



→ Large x-dependent asymmetry, up to 10%

Hadron pair asymmetries on proton



Recent results from all data on proton target (2007 & 2010) for all pairs

$\pi^+\pi^-$ pairs: trend very similar to the Collins asymmetries

- compatible with zero asymmetries at small x ,
- large signal in the valence region
- clear dip around ρ^0 mass

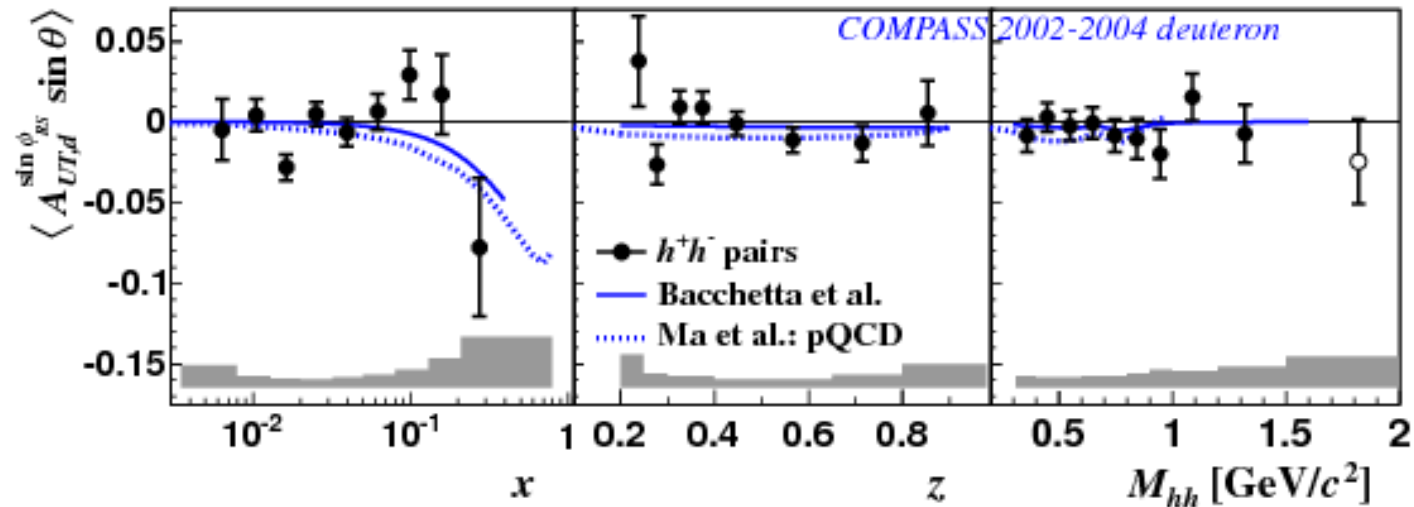
Other π, K pair combinations

K^+K^- , π^+K^- , $K^+\pi^-$

No significant signal/clear trend observed

Hadron pair asymmetries on deuteron

2002-04 COMPASS PLB 713 (2012) 10,
Bacchetta *et al.* PRD 74 (2006) 114007, Ma B.-Q. *et al.* PRD 77 (2008) 014035



Asymmetries compatible with zero
→ cancellation of the u and d quark transversity

For identified pairs $\pi^+\pi^-$, K^+K^- , π^+K^- , $K^+\pi^-$
all measured asymmetries compatible with zero

Results on unpolarised data

deuteron (${}^6\text{LiD}$) target, 160 GeV muon-beam

Single-hadron multiplicities (2006)

hadron-pair multiplicities (2004)

**and unpolarized azimuthal asymmetries (from 2004
(will not be shown here))**

Hadron pair (h^+h^-) multiplicities

motivation:

transversity h_1^q from hadron pair transverse spin asymmetry

$$A_{UT}^{\sin(\Phi_R+\Phi_S)\sin\theta}(x, z, M_h; Q^2) = -C_y \frac{|R| \sum_q e_q^2 h_1^q(x; Q^2) H_{1,sp}^q(z, M_h; Q^2)}{M_h \sum_q e_q^2 f_1^q(x; Q^2) D_1^q(z, M_h; Q^2)}$$

But **di-hadron fragmentation functions** unknown

→ **Extract them via hadron pair multiplicities**

Hadron pair (h^+h^-) multiplicities

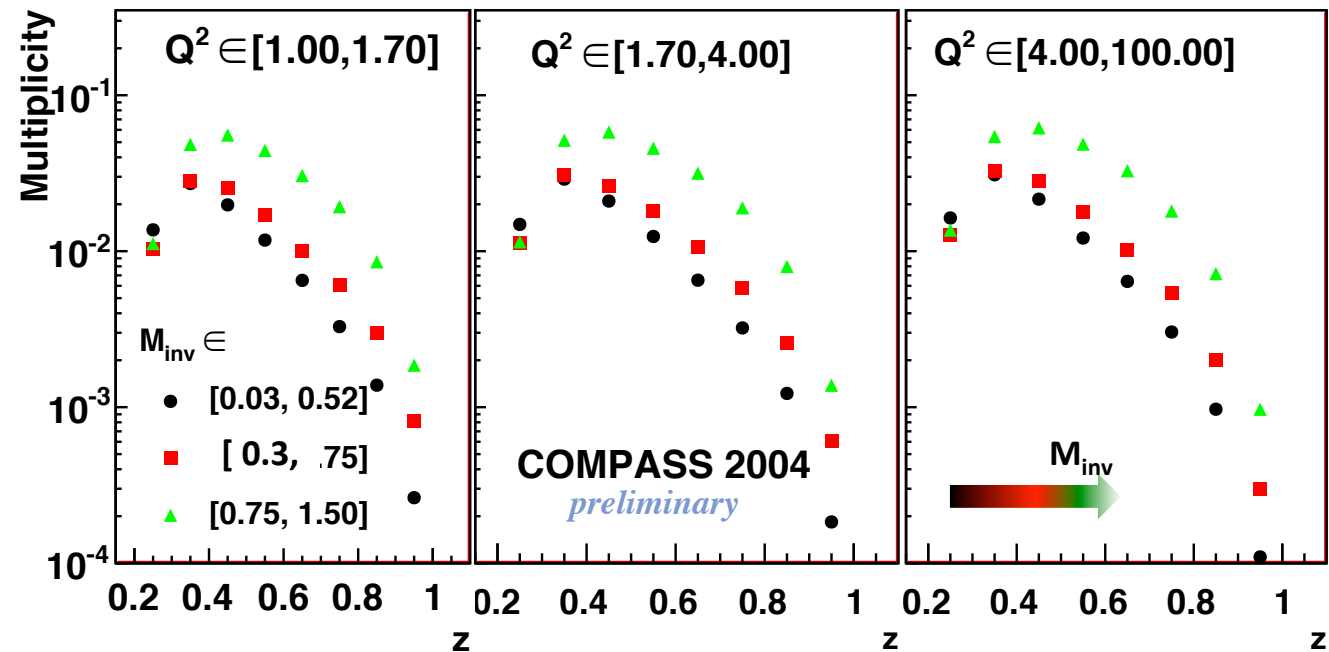
motivation:

transversity from hadron pair transverse spin asymmetry

$$A_{UT}^{\sin(\Phi_R+\Phi_S)\sin\theta}(x, z, M_h; Q^2) = -C_y \frac{|R| \sum_q e_q^2 h_1^q(x; Q^2) H_{1,sp}^q(z, M_h; Q^2)}{M_h \sum_q e_q^2 f_1^q(x; Q^2) D_1^q(z, M_h; Q^2)}$$

Hadron pair multiplicities to extract di-hadron fragmentation functions

First measurement in $M_{inv} z=z_1+z_2, Q^2$ bins



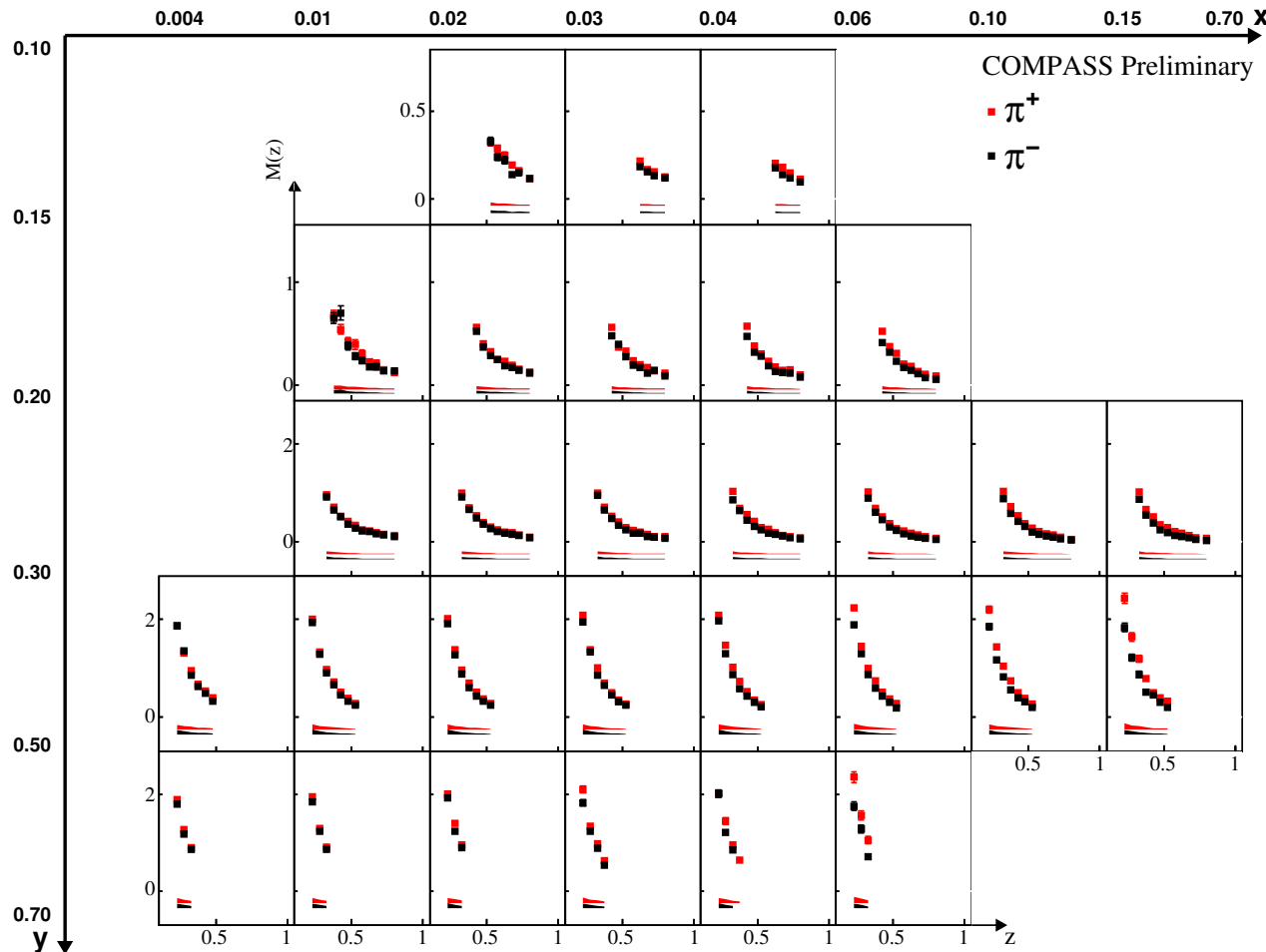
Significant z, M_{inv} dependence
in agreement with Lepto

Charged pion multiplicities vs (x,y,z)

main motivation:

fragmentation functions via single hadron multiplicities (at LO)

$$M^h = \frac{\sum_q e_q^2 (q(x, Q^2) D_q^h(z) + \bar{q}(x, Q^2) D_{\bar{q}}^h(z))}{\sum_q e_q^2 (q(x, Q^2) + \bar{q}(x, Q^2))}$$



Kinematic domain

$$Q^2 > 1 \text{ (GeV/c)}^2$$

$$0.1 < y < 0.7$$

$$W > 5 \text{ GeV/c}$$

$$0.004 < x_B < 0.7$$

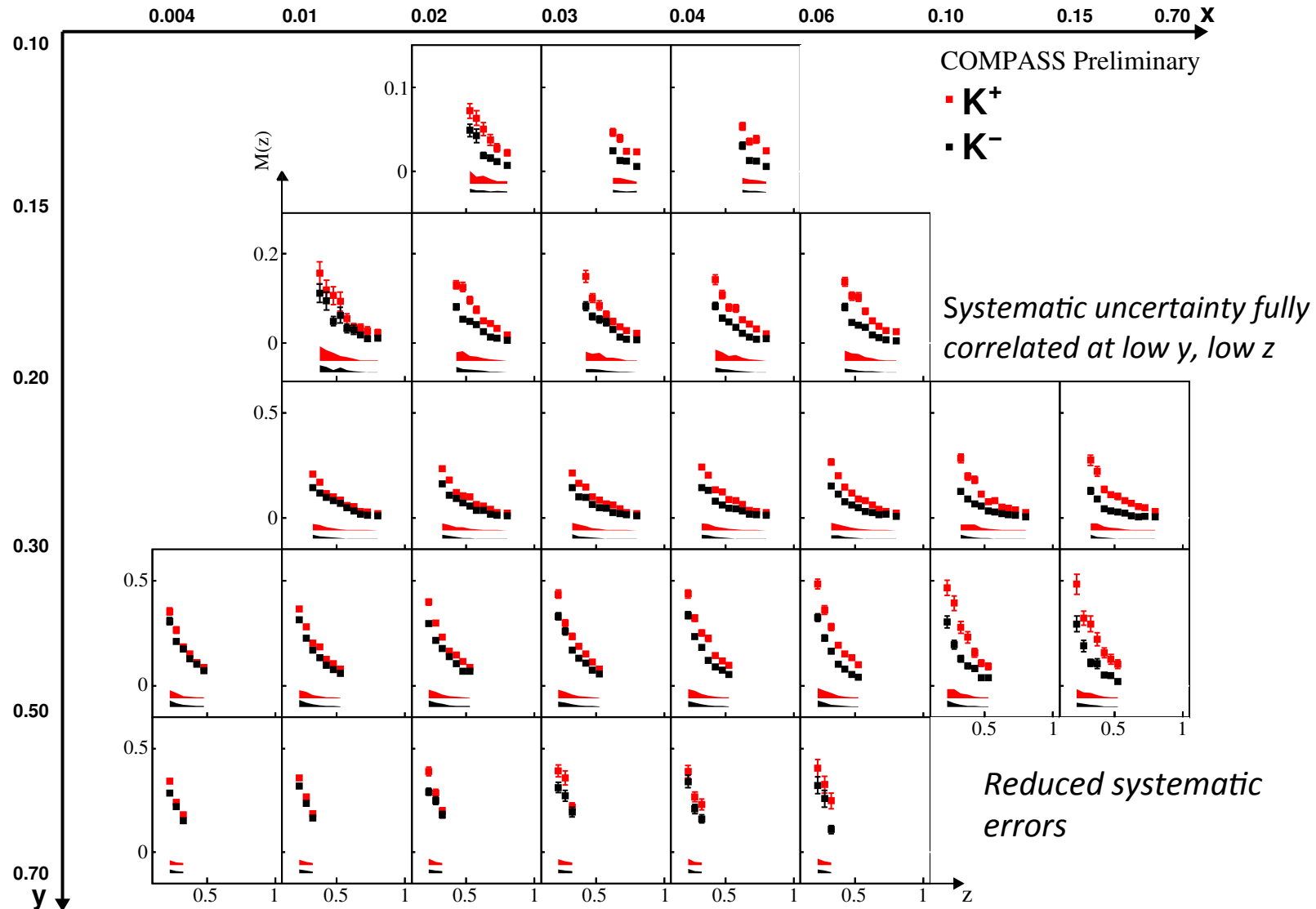
$$0.2 < z_h < 0.85$$

2006 data on deuterium
(${}^6\text{LiD}$) target

- Precise measurement
- Wide kinematic coverage
- Input for global QCD fit

Charged kaon multiplicities vs (x,y,z)

Play a key role in the strange quark polarization puzzle



SIDIS cross section: azimuthal asymmetries

$$\frac{d\sigma}{dx dy d\psi dz d\phi_h dP_{h\perp}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} \right. \\ \left. + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} \right.$$

$$A_{\cos\phi_h}^{UU} = \frac{1}{Q} \text{Cahn} + \frac{1}{Q} \text{BM}$$

Cahn effect + Boer-Mulders DF

$$A_{\cos 2\phi_h}^{UU} = \text{BM} + \frac{1}{Q^2} \text{Cahn}$$

Boer-Mulders x Collins FF + Cahn effect

Cahn effect

kinematical effect due to quark transverse momentum

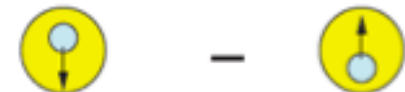
$$\frac{d\sigma}{d\phi_h} \propto 1 - 4 \frac{\langle k_t^2 \rangle z P_t}{Q \langle P_t^2 \rangle} D_{\cos\phi_h}(y) \cos\phi_h + \dots$$

Boer-Mulders PDF

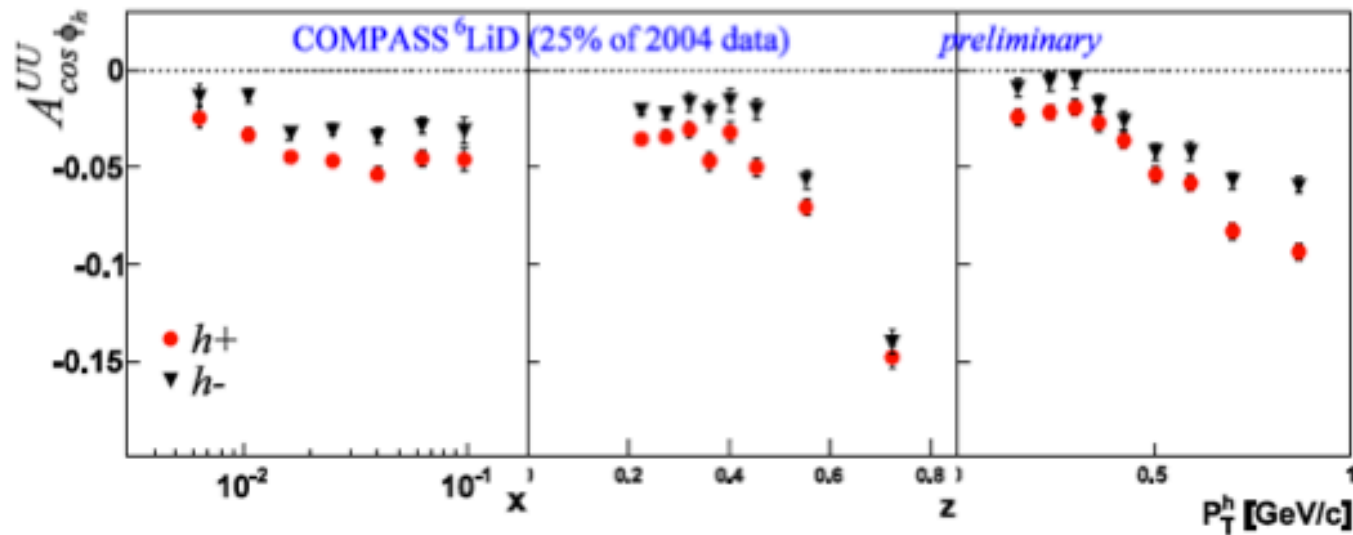
Correlation between the quark transverse momentum

and the quark spin

in an unpolarized nucleon quark

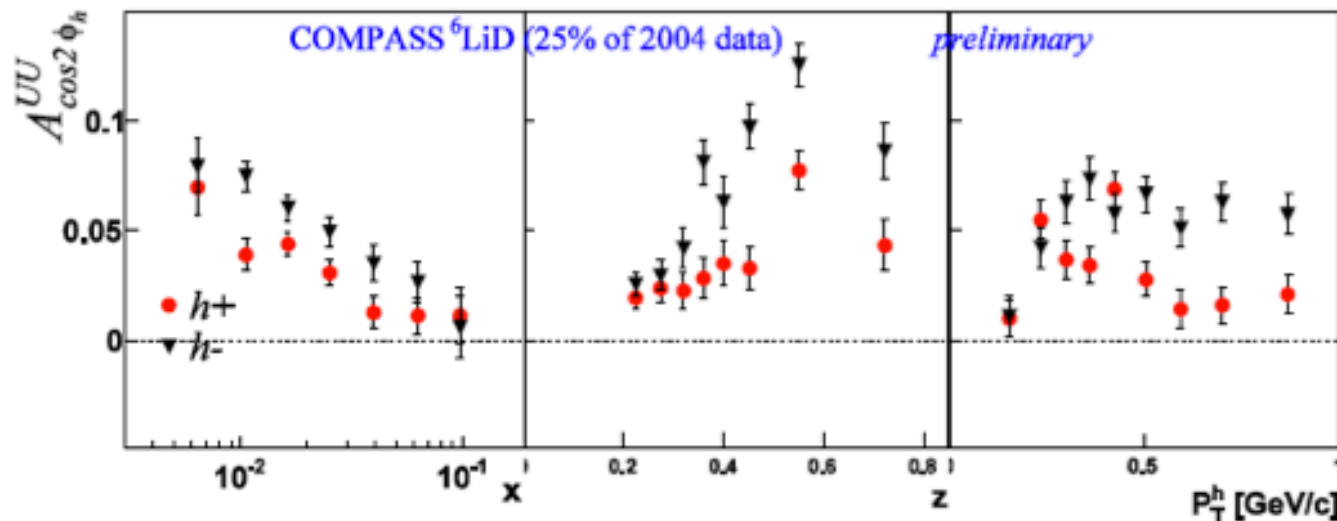


SIDIS cross section: azimuthal asymmetries



$\cos \Phi$

- Large signal in the whole x range
- Strong z dependence



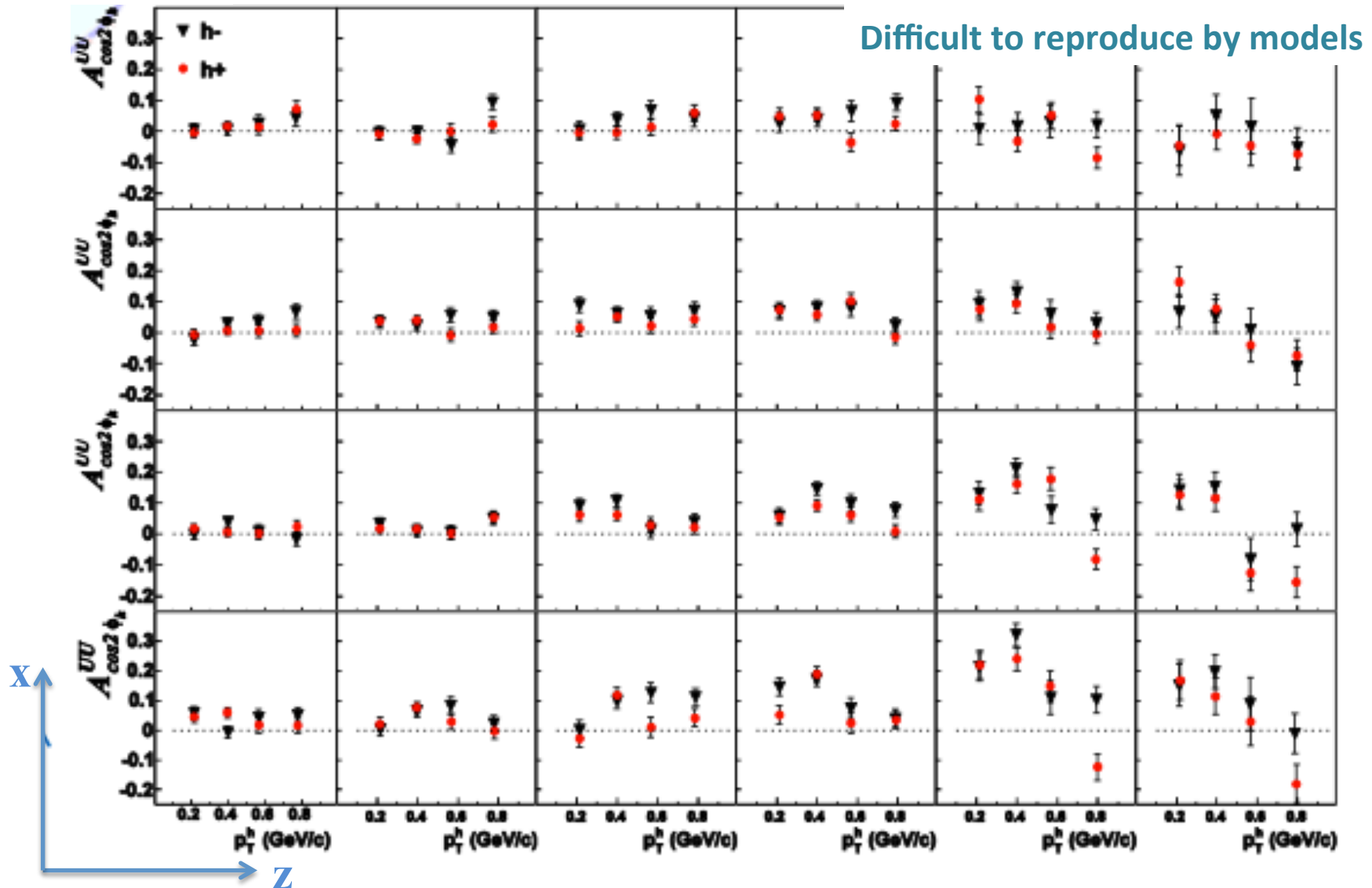
$\cos 2\Phi$

- different for h^+ & h^-
- Significant signal at small x
- Strong dependence upon x, z, p_T

Investigate unexpected kinematic dependences observed via a multi-dimensional analysis

SIDIS cross section: azimuthal asymmetries

COMPASS⁶LiD (25% of 2004 data) preliminary



COMPASS has investigated transverse spin effect via SIDIS off deuterium and proton targets

Results on **Collins and Sivers** asymmetries for charged and identified hadrons
Clear signals on p to be deeply investigated via multidimensional analysis (x, Q^2, z, p_T) of the p data

Possible measurements on p and d with different beam energies on a longer time scale

Results on **hadron pair transverse spin asymmetries** off p and d
very similar trend for $\pi^+\pi^-$ than for the Collins asymmetries

COMPASS has produced interesting results on SIDIS off unpolarised deuterium

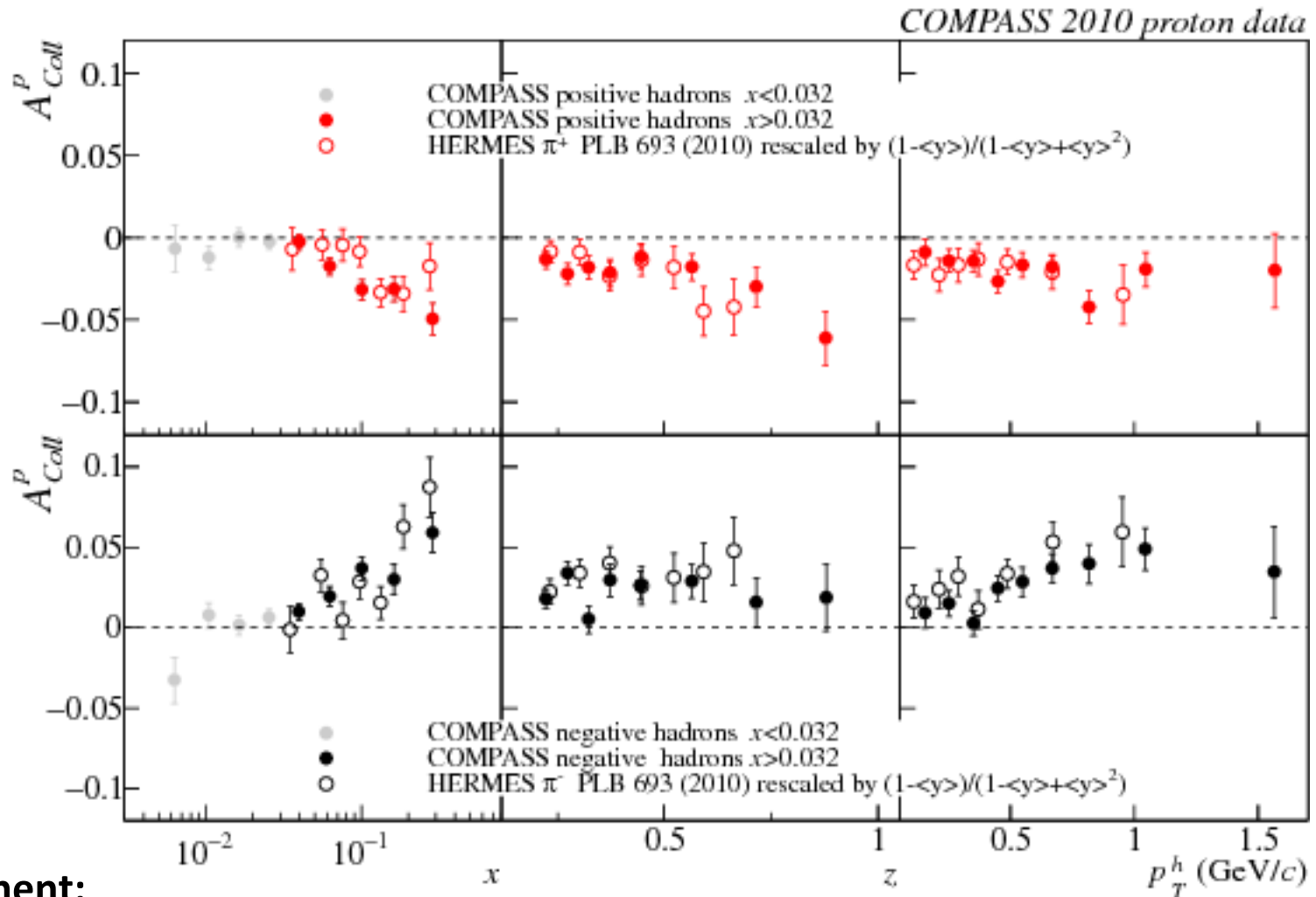
- **Single hadron multiplicities** vs (x, y, z) and p_T^2
- **Hadron pair multiplicities** for the first time in (z, Q^2, M_{inv})

Unpolarized azimuthal asymmetries & multiplicities on p from the SIDIS data which will be collected in parallel with DVCS after 2015

backup

Collins asymmetries on protons: COMPASS vs HERMES

COMPASS x range limited to $x > 0.032$ region (overlap with HERMES)



Good agreement:

Non trivial result, COMPASS Q^2 larger by a factor 2-3 than HERMES's Q^2 in the last 3 x bins \rightarrow Weak Q^2 dependence of the Collins effect

Sivers asymmetries on protons: COMPASS vs HERMES

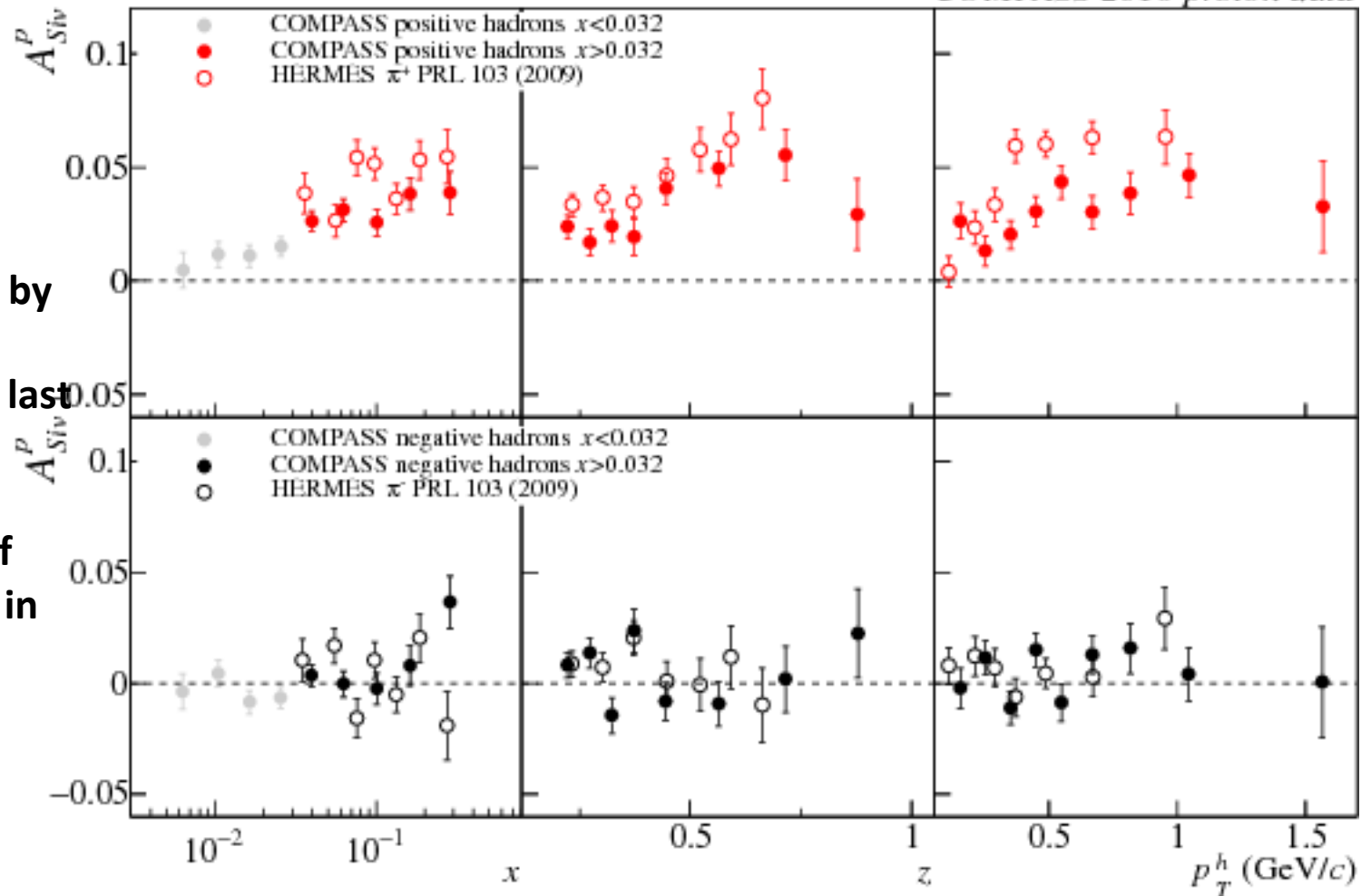
COMPASS x range limited to $x > 0.032$ region (overlap with HERMES)

COMPASS 2010 proton data

HERMES π^+ and K^+ asymmetries larger than COMPASS

COMPASS Q^2 larger by a factor 2-3 than HERMES's Q^2 in the last 3 x bins

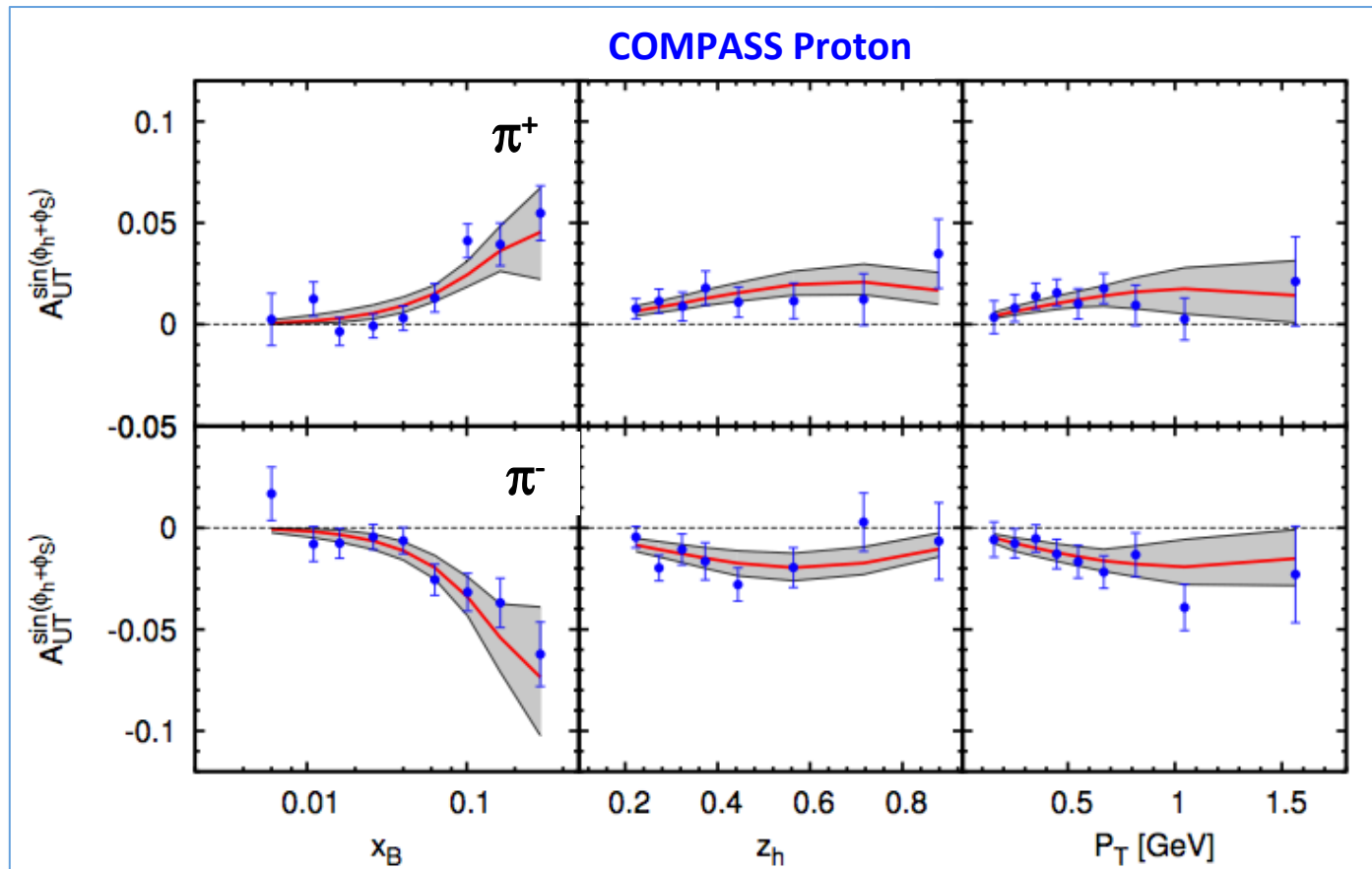
→ Important role of the Q^2 dependence in Sivers case



Collins asymmetries ν s model predictions

Comparison with fit to HERMES p, COMPASS p & d, BELLE e+e- data by

M. Anselmino et al., arXiv:1303.3822

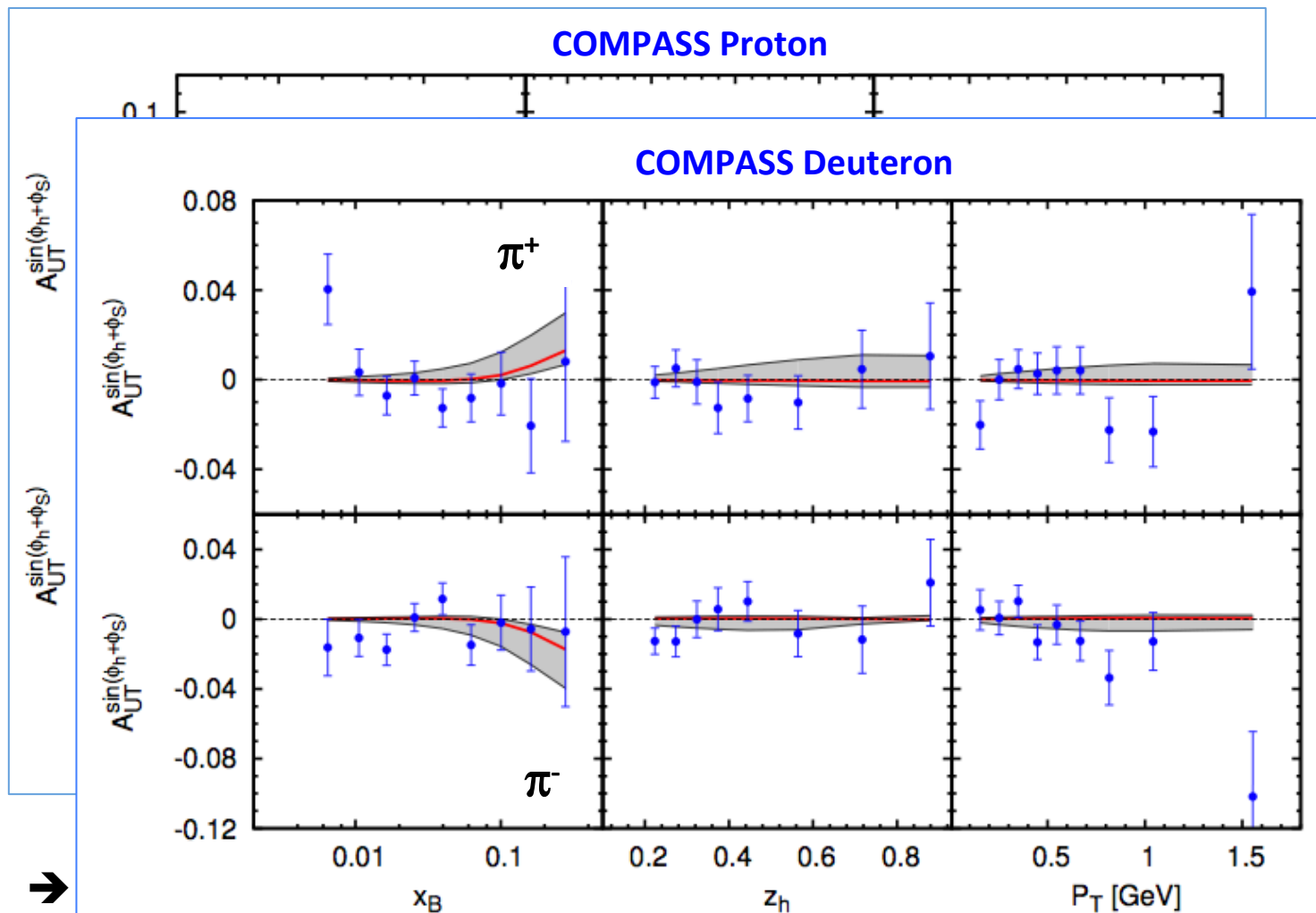


→ Good agreement for x , z , p_T^h within the uncertainties

Collins asymmetries vs model predictions

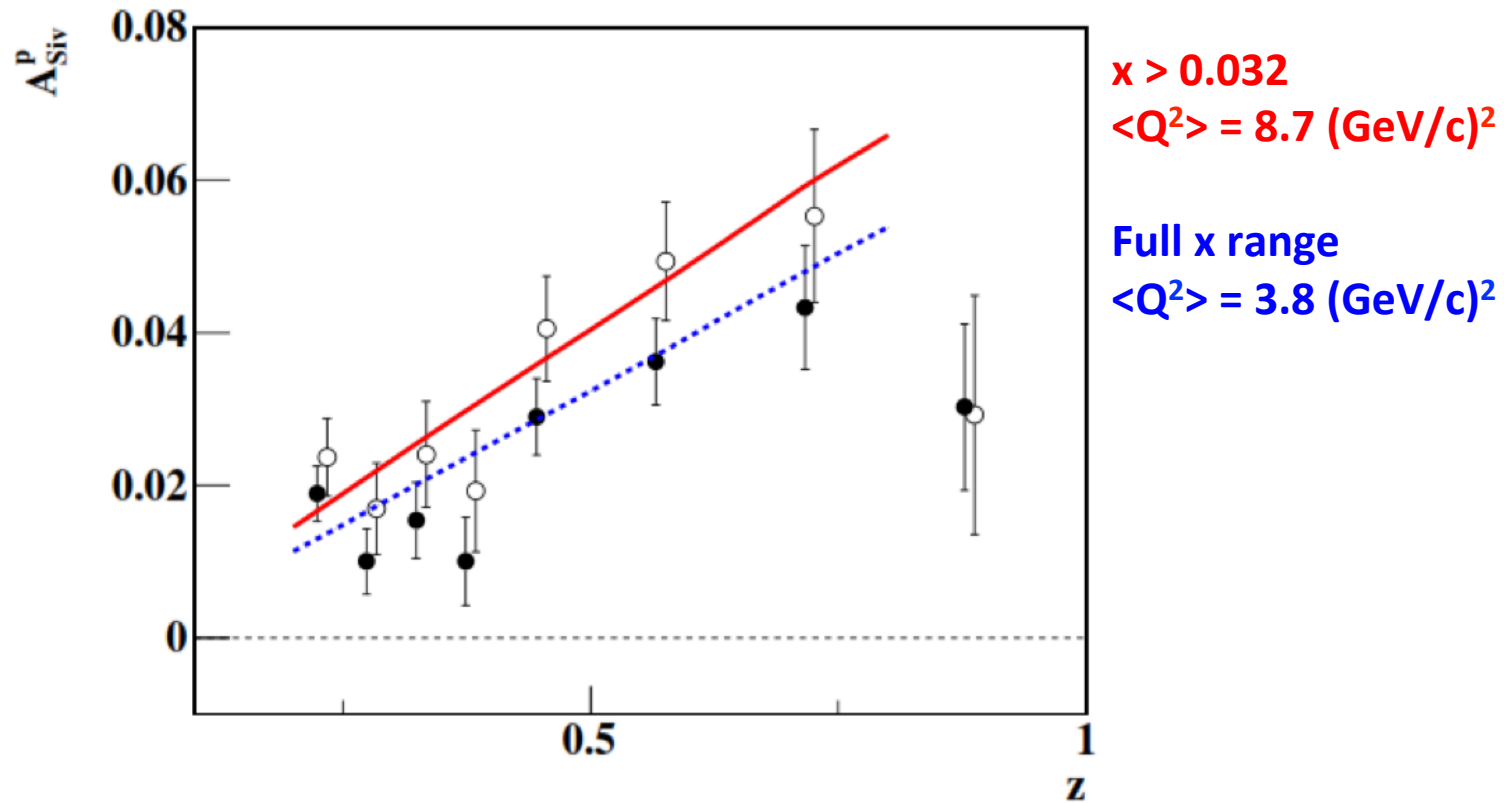
Comparison with fit to HERMES p, COMPASS p & d, BELLE e+e- data by

M. Anselmino et al., arXiv:1303.3822



Sivers asymmetries on proton – Q^2 evolution

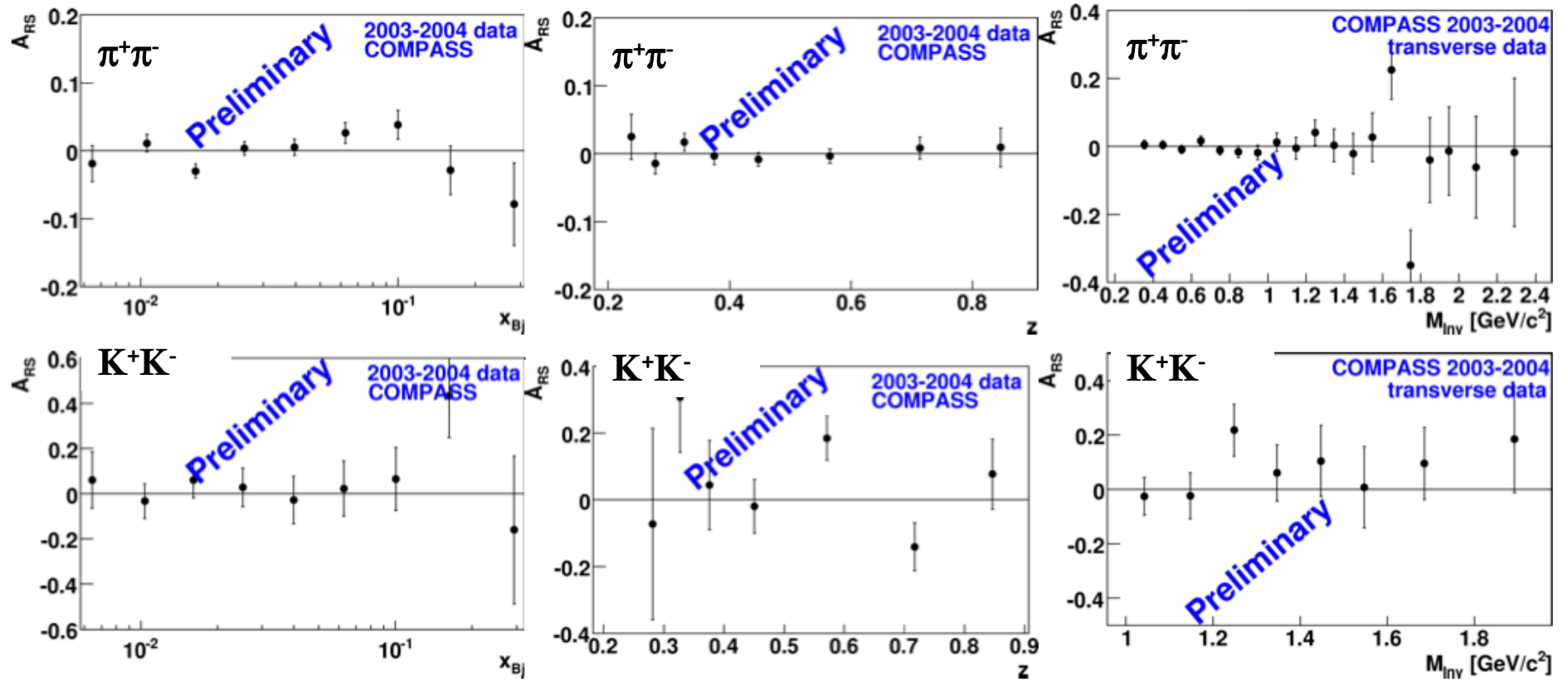
Charged hadrons , 2010 data compared with model calculation by
S.M.Aybat, A. Prokudin and T. C. Rogers, PRL 108 (2012) 242003



Good agreement between data and model calculations

Dihadron asymmetry on deuteron – $\pi^+\pi^-$ and K^+K^-

2002-04

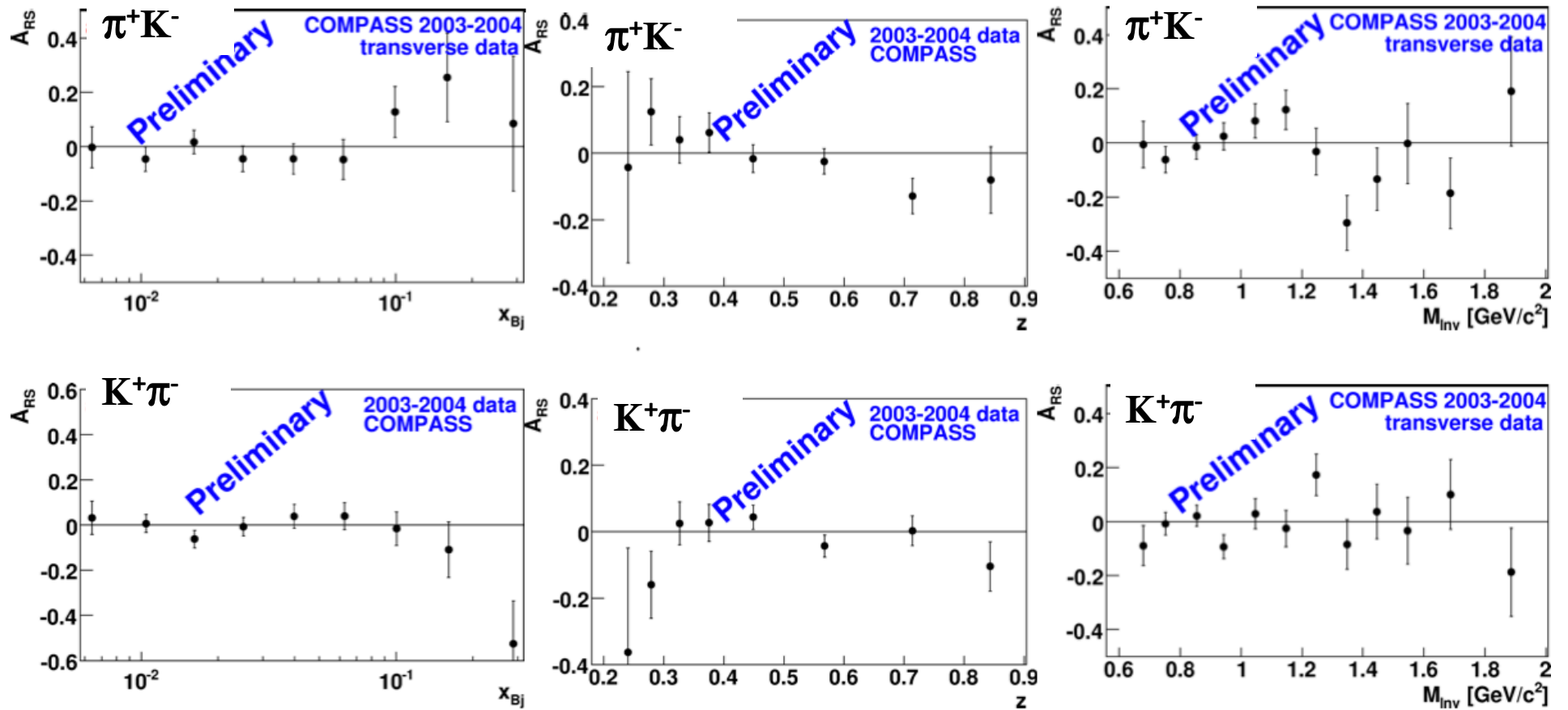


$\pi^+\pi^-$: Compatible with zero asymmetries

K^+K^- : Low statistics

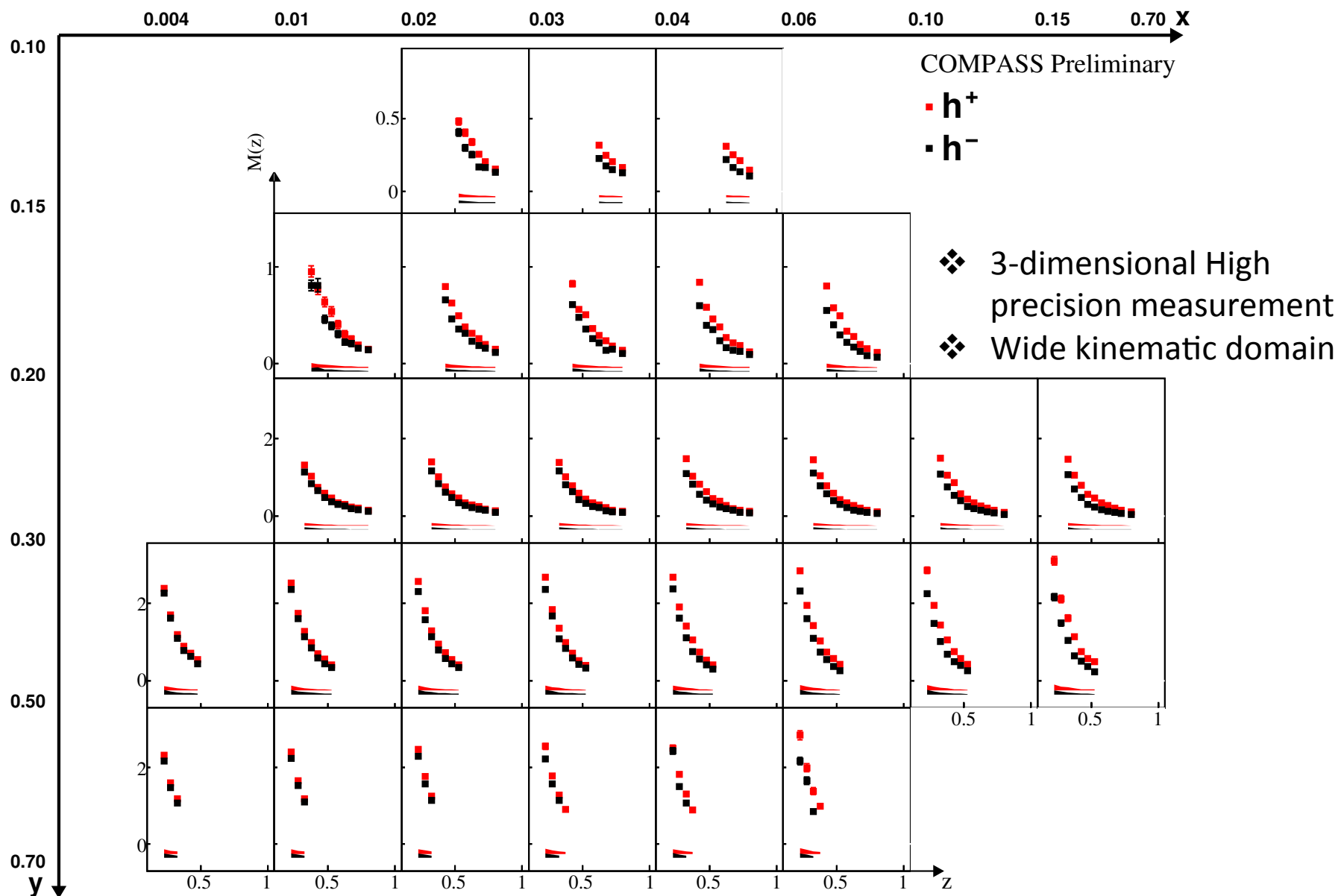
Dihadron asymmetry on deuteron – π^+K^- & $K^+\pi^-$

2002-04



No clear signal

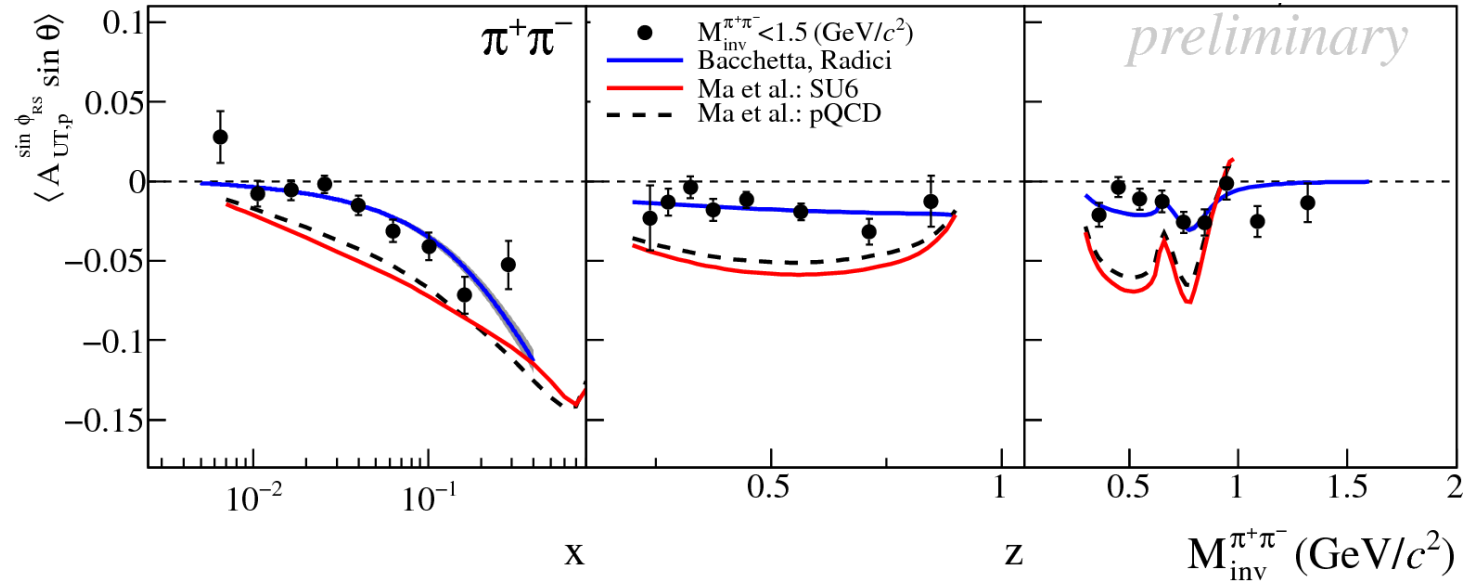
Unidentified hadron multiplicities vs (x,y,z)



Dihadron asymmetry on proton: $\pi^+\pi^-$ vs model

Combined 2007 & 2010 data

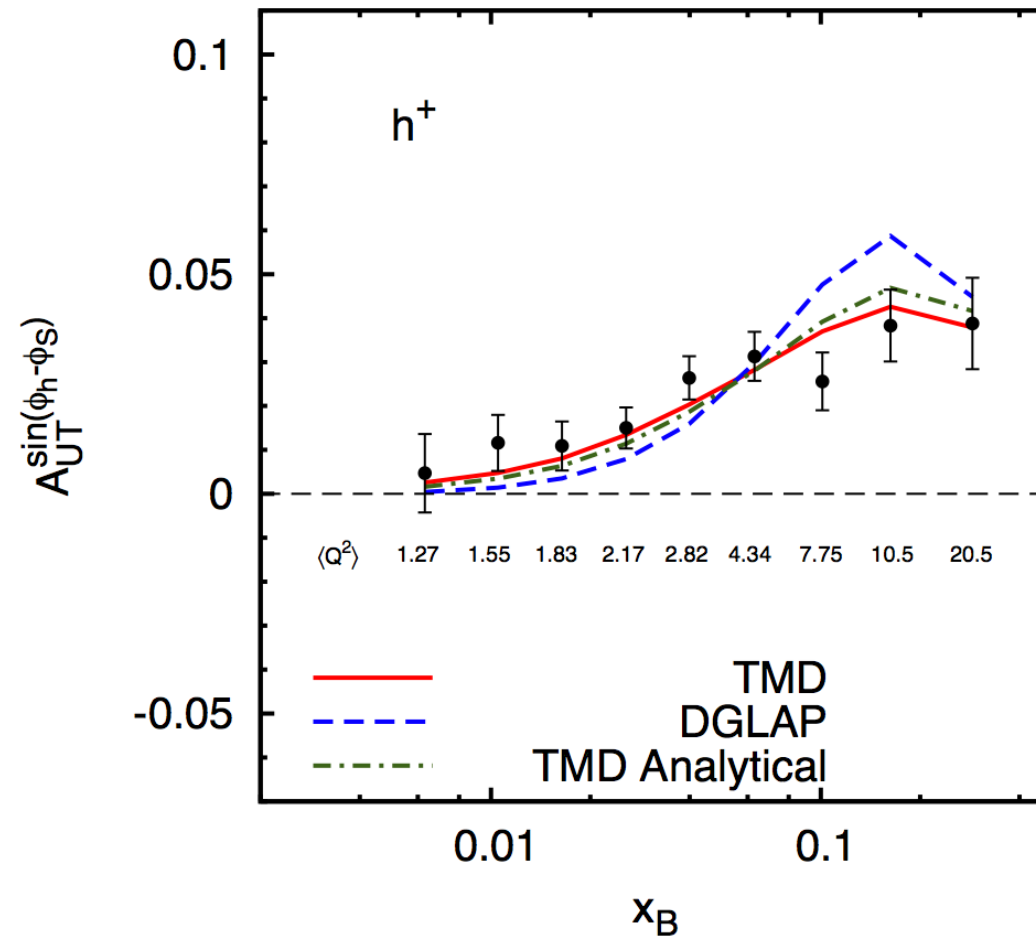
Bachetta *et al.* PRD 74 (2006) 114007, Ma B.-Q. *et al.* PRD 77 (2008) 014035



good agreement with Bachetta's calculation

Sivers asymmetry on proton – Q^2 evolution

Charged hadrons , 2010 data compared with model calculation by
M. Anselmino, M. Boglione, S. Melis arXiv:1204.1239



Good agreement between data and model calculations