

# Spin dependent structure functions, TMDs and GPDs in COMPASS



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## LOW $x$

Rehovot/Eilat, May 30 – June 4, 2013

# Outline

- 1 COMPASS: experiment, acceptance
- 2 Introduction: nucleon spin structure
- 3 (Semi-) inclusive longitudinal asymmetries and flavour separation
- 4 Direct determination of  $\Delta g$  in the nucleon
- 5 Charged hadron multiplicities
- 6 Measurements on a transversely polarised target
- 7 Generalised Parton Distributions
- 8 Summary

# COmmon MUon and P roton Apparatus for S tructure and S pectroscopy



NA58, at the CERN SPS  
 ~ 250 physicists  
 ~ 30 institutes



| Muon programme  | Hadron programme  |
|---|---|
| Spin dependent structure function $g_1$<br>Gluon polarisation in the nucleon<br>Quark polarisation distributions<br>Transversity<br>Vector meson production<br>$\Lambda$ polarisation | Primakoff effect, $\pi$ and $K$ polarisabilities<br>Exotic states, glueballs<br>(Double) charmed baryons<br>Multiquark states |
| <b>Future:</b> Drell–Yan on a polarised target and DVCS   |   |

# Acceptance of high energy electroproduction experiments

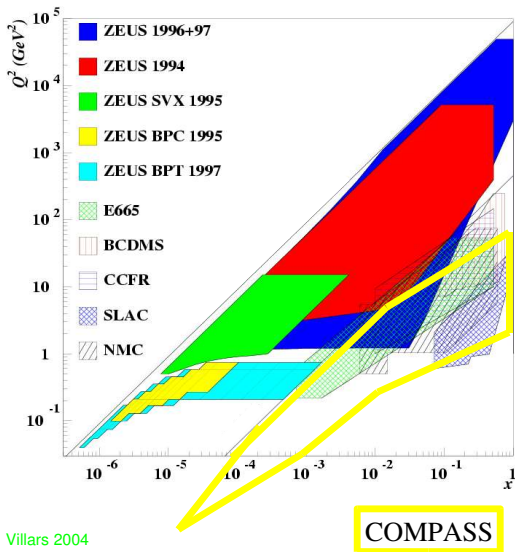
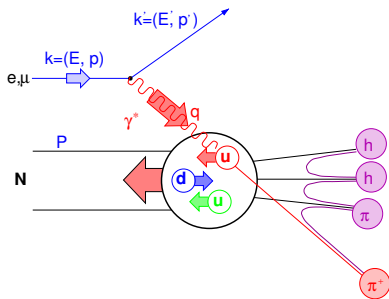


Figure from: N. D'Hose, Villars 2004

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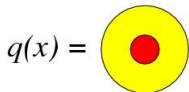
# Nucleon spin structure in the electroproduction



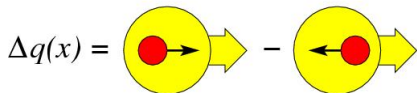
- $\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2Mq^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$
- Symmetric part of  $W^{\mu\nu}$  – unpol. DIS, antisymmetric – polarised DIS
- Nominally  $F_{1,2}$ ,  $q(x) \rightarrow g_{1,2}$ ,  $\Delta q(x)$  but...
  - ...anomalous gluon contribution to  $g_1(x)$
  - ... $g_2(x)$  has no interpretation in terms of partons.

# Partonic structure of the nucleon; distribution functions

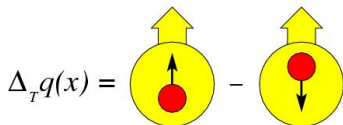
Three **twist-two** quark distributions in QCD (after integrating over the quark intrinsic  $k_t$ )



Quark momentum DF;  
**well known** (unpolarised DIS  $\rightarrow F_{1,2}(x)$ ).



Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin;  
**known** (polarised DIS  $\rightarrow g_1(x)$ ).



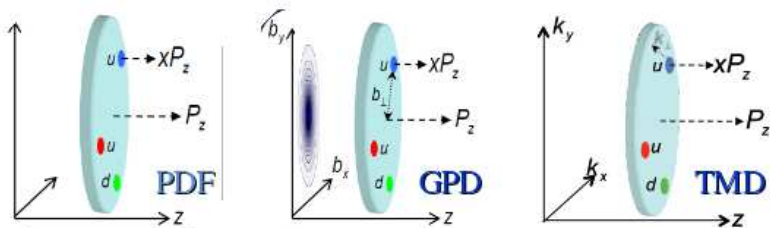
Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin in a transversely polarised nucleon;  
**unknown** (polarised DIS  $\rightarrow h_1(x)$ ).

Nonrelativistically:  $\Delta_T q(x) \equiv \Delta q(x)$ . **OBS.!**  $\Delta_T q(x)$  are **C-odd and chiral-odd**; may only be measured with another chiral-odd partner, e.g. fragmentation function.

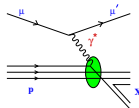
If the  $k_t$  taken into account  $\implies$  8 TMD distr.; e.g.  $f_{1T}^\perp$  (accessible through "Sivers asymmetry")

All determined in SIDIS by COMPASS.

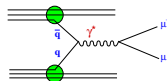
# Transverse Momentum Dependent (TMD) distributions



- parton intrinsic  $k_T$  taken into account
- related to quark angular momentum,  $L$ !
- at COMPASS studied in 2 ways:
  - semi-inclusive DIS (polarised muons on unpolarised/transversely polarised target)
  - **In the future:** Drell-Yan process ( $\pi$  beam on unpolarised/transversely polarised tgt.)



SIDIS

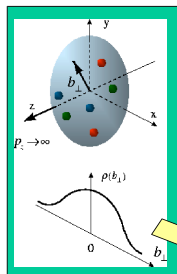


DY

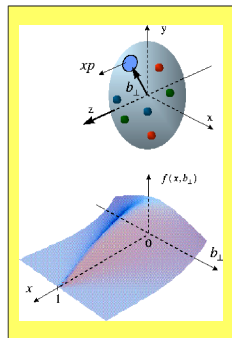


3D picturing of the proton *via* GPD

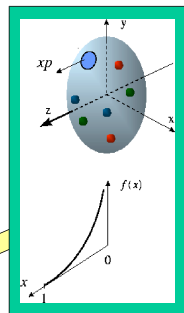
D. Mueller, X. Ji, A. Radyushkin, A. Belitsky, ...  
M. Burkardt, ... Interpretation in impact parameter space



Proton form factors,  
**transverse** charge &  
current densities



**Correlated** quark momentum  
and helicity distributions in  
transverse space - **GPDs**



Structure functions,  
quark **longitudinal**  
momentum & helicity  
distributions

Nucleon spin structure: observables in  $\vec{\mu}\vec{N}$  scattering

- Inclusive asymmetry,  $A_{meas}$ :

$$A_{meas} = \frac{1}{fP_T P_B} \left( \frac{N^{\leftrightarrow} - N^{\nabla}}{N^{\leftrightarrow} + N^{\nabla}} \right) \approx DA_1 = D \frac{g_1(x, Q^2)}{F_1(x, Q^2)} = D \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)}$$

$$\Delta q = q^+ - q^-, \quad q = q^+ + q^-, \quad g_1^d = g_1^N \left(1 - \frac{3}{2}\omega_D\right) = \frac{g_1^p + g_1^n}{2} \left(1 - \frac{3}{2}\omega_D\right);$$

$$\omega_D = 0.05 \pm 0.01$$

- At LO, semi-inclusive asymmetry,  $A_1^h$ :

$$A_1^h(x, z, Q^2) \approx \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q^h(z, Q^2)} \quad z = \frac{E_h}{\nu} \quad D_q^h \neq D_{\bar{q}}^h$$

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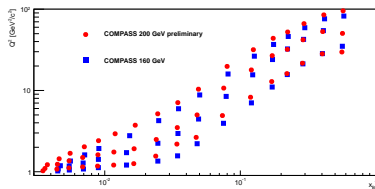
# New, 2011 muon-proton data,

Taken at 200 GeV (160 GeV until then) to balance the amount of deuteron target data and thus:

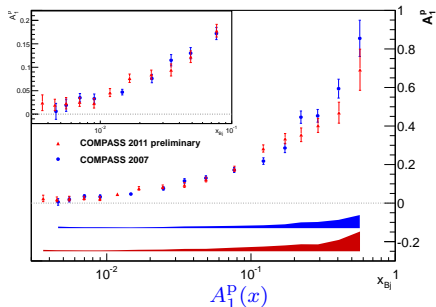
- to increase precision of the Bjorken sum determination, i.e. a precision of:

$$\int_{0.004}^{0.7} g_1^{\text{NS}}(x) dx, \quad g_1^{\text{NS}} = g_1^{\text{p}} - g_1^{\text{n}} = 2g_1^{\text{p}} - \frac{g_1^{\text{d}}}{1 - \frac{3}{2}\omega_{\text{D}}}, \quad \omega_{\text{D}} \approx 0.05$$

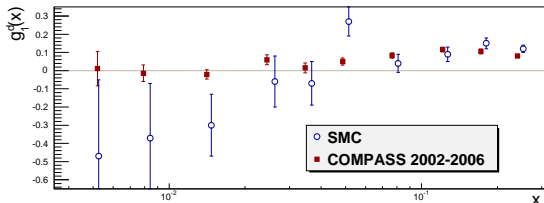
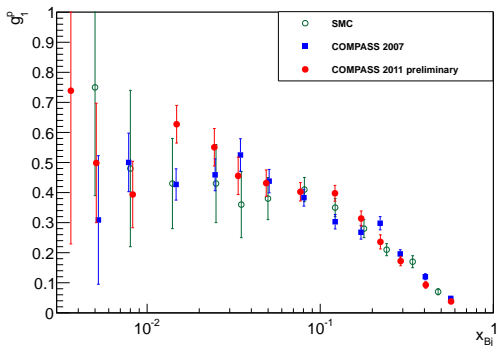
- to extend the range and increase precision of  $g_1^{\text{p}}$  measurements at low  $x$
- better constrain the strange quark polarisation,  $\Delta s$



$Q^2$  vs  $x$

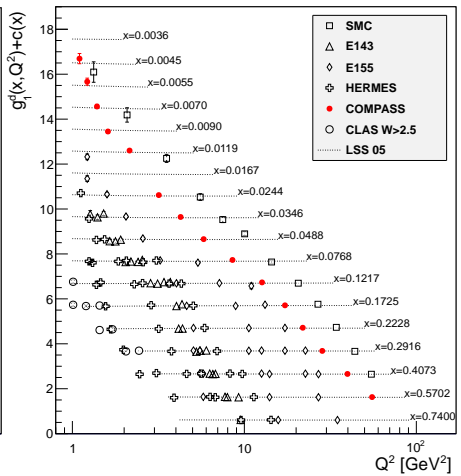
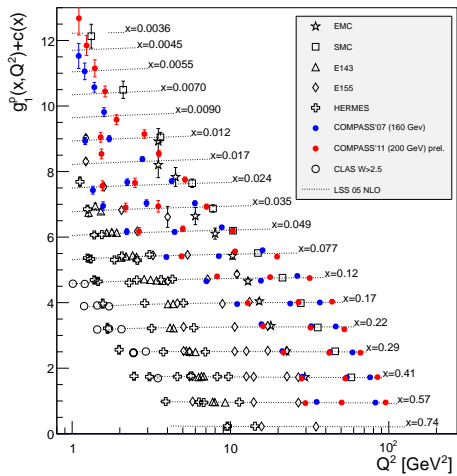


# Structure functions $g_1^p$ and $g_1^d$ at low $x$



# $g_1(x)$ for proton and deuteron, $Q^2 > 1$ (GeV/c)<sup>2</sup>

## NEW: proton data 2011 (preliminary); full deuteron statistics



COMPASS measurements at high  $Q^2$  important for the QCD analysis! but little sensitive to  $\Delta g$

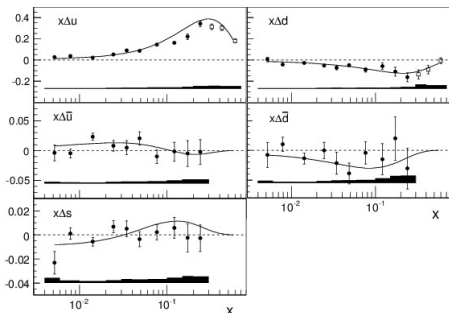
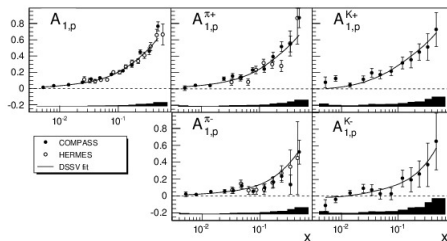


# Semi-inclusive asymmetries and parton distributions

- Measured on both proton and deuteron targets
- for identified, positive and negative pions and (for the first time) kaons

COMPASS, Phys. Lett. B **680** (2009) 217

DSSV, Phys. Rev. D **80** (2009) 034030



- LO DSS fragmentation functions and LO unpolarised MRST pdf assumed here.
- **NLO parameterisation of DSSV describes the data well.**

# Polarisation of quark sea

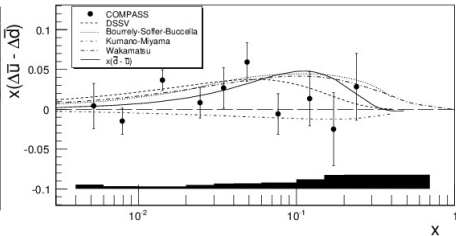
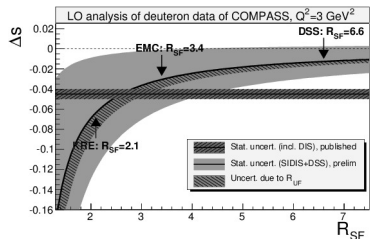
- **$\Delta s$  puzzle.** Strange quark polarisation:

$$2\Delta S = \int_0^1 (\Delta s(x) + \Delta \bar{s}(x)) dx = -0.09 \pm 0.01 \pm 0.02 \text{ from incl. asymmetries} + \text{SU}_3,$$

while from semi-inclusive asymmetries it is compatible with zero

but depends upon chosen fragmentation functions. **Most critical:**  $R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$

$\implies$  plan to extract it from COMPASS data on multiplicities.



- **The sea is not unsymmetric:** COMPASS, Phys. Lett. B, **680** (2009) 217; *ibid.*, **693** (2010) 227.

$$\int_{0.004}^{0.3} [\Delta \bar{u}(x, Q^2) - \Delta \bar{d}(x, Q^2)] dx = 0.06 \pm 0.04 \pm 0.02 \text{ @ } Q^2 = 3 \text{ (GeV/c)}^2$$

Thus the data disfavour models predicting  $\Delta \bar{u} - \Delta \bar{d} \gg \bar{d} - \bar{u}$

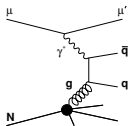


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# Direct measurement of $\Delta g(x)$

Direct measurements – via the cross section asymmetry  
for the **photon–gluon fusion (PGF)** with subsequent fragmentation into:

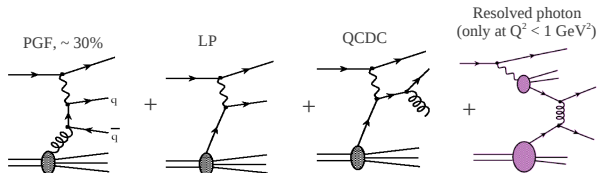


- **charm mesons,  $q \equiv c$** , (max. @ low  $Q^2$ , perturbative scale: e.g.  $m_c$ ): low statistics, few theoretical assumptions;

$$A_{meas} = p_B p_T f a_{LL} \frac{\sigma_{PGF}}{\sigma_{PGF} + \sigma_{BGD}} \frac{\Delta g}{g} + A_{BGD}$$

- **a pair of hadrons of large  $p_T$ ,  $q \equiv u, d, s$** , separately for low- and high  $Q^2$  (perturbative scale: e.g.  $p_T$ ): high statistics, several **quantities from MC**. At LO, for both 2-hadron and inclusive samples:

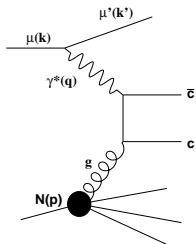
$$A_{meas} = p_B p_T f \left[ R_{PGF} \cdot a_{LL}^{PGF} \cdot \frac{\Delta g}{g} + R_{LP} \cdot D \cdot A_1^{LP} + R_{QCDC} \cdot a_{LL}^{QCDC} \cdot A_1^{LP} \right]$$



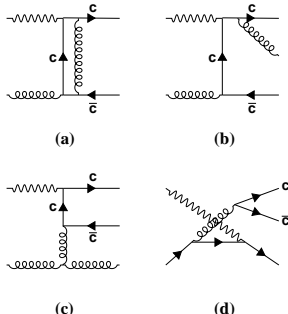
# COMPASS NLO analysis of gluon polarisation

Based on I. Bojak and M. Stratmann, PL B433 (1998) 411; NP B 540 (1999) 345; I. Bojak, PhD, hep-ph/0005120.

LO



Examples of NLO



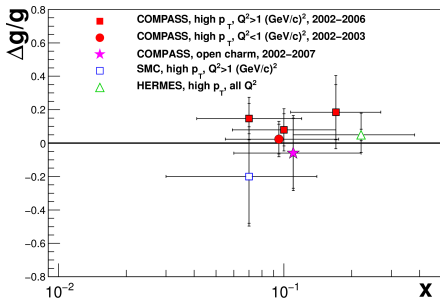
- AROMA with parton showers ON used for (event-by-event) simulation of PhSp for NLO
- Background NLO processes (e.g. diagram (d)) corrected for ( $A_{\text{corr}}$ )
- $a_{LL}^{\text{NLO}}$  calculated event-by-event

$$A^{\gamma N} = \frac{a_{LL}}{D} \frac{\Delta g}{g} + A_{\text{corr}}$$

# Summary of $\langle \Delta g/g \rangle$ from COMPASS

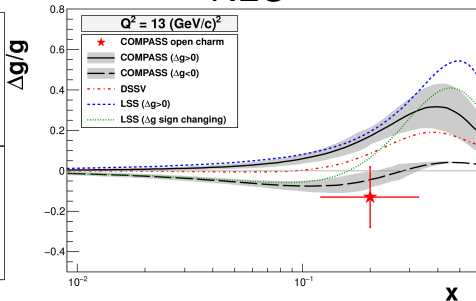
- All LO QCD data consistent and point toward small  $\langle \Delta g/g \rangle$ .  $\Delta G$  also small ?
- Data do not permit to determine a sign of  $\Delta g/g$ .
- NLO QCD result of COMPASS, at  $\langle x \rangle \approx 0.20$ , influences a  $\Delta g(x) > 0$  fit, reducing  $\Delta G = 0.39 \pm 0.07$  (stat.) to  $0.24 \pm 0.09$  (stat.) at  $Q^2 = 3$  (GeV/c)<sup>2</sup>.
- $\langle x \rangle^{\text{NLO}} > \langle x \rangle^{\text{LO}}$

## LO



COMPASS, Phys. Lett. B 718 (2013) 922;

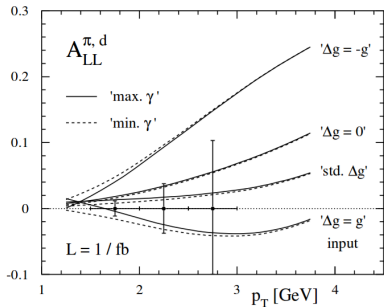
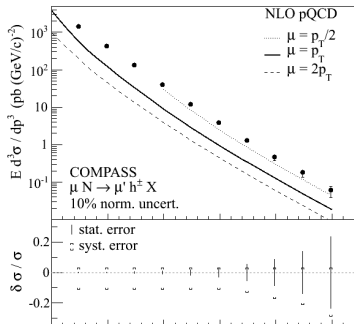
## NLO



Phys.Rev. D 87 (2013) 052018

# High- $p_T$ hadron photoproduction

- Measured cross-section COMPASS hep-ex/1207.2022:  
 $Q^2 < 0.1 \text{ (GeV}/c)^2$ ,  $-0.1 < \eta_{\text{CMS}} < 2.4$ ,  $p_T < 3.6 \text{ GeV}/c$ .
- Photoproduction of inclusive hadrons at NLO QCD for the COMPASS kinematics  
B. Jäger, M. Stratmann and W. Vogelsang, EPJ C44 (2005) 533.
- **In perspective:** constraining the  $\Delta g$  by the QCD calculations of the single high- $p_T$  hadron asymmetries



NLO QCD calculations and  
perspectives for COMPASS for 1/4 of its luminosity.

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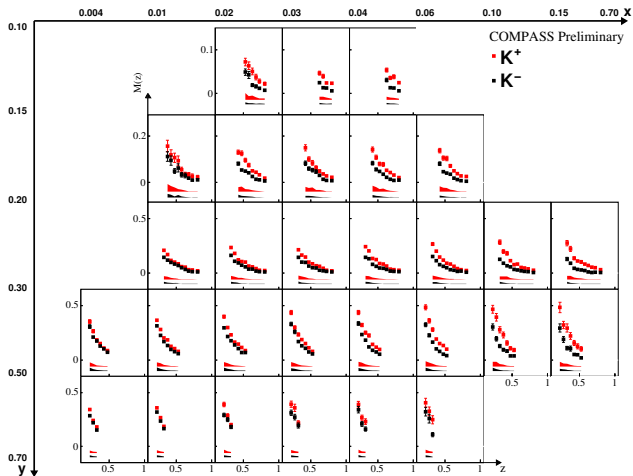
# Charged (single-) hadron multiplicities,

- Studied to measure fragmentation functions (FF),  $D_q^h(z, Q^2)$  ( $\implies$  cf.  $\Delta s$ ).  
At LO:

$$M^h(x, z) = \frac{\frac{d\sigma_{\text{SIDIS}}}{dx dz}}{\frac{d\sigma_{\text{DIS}}}{dx dz}} = \frac{\sum_q e_q^2 [q(x)D_q^h(z) + \bar{q}(x)D_{\bar{q}}^h(z)]}{\sum_q e_q^2 [q(x) + \bar{q}(x)]}$$

- Until now:
  - High precision Single Inclusive  $e^+e^-$  Annihilation data do not separate  $q$  and  $\bar{q}$  and only access charge sum of FF for a hadron  $h$ .
  - Measurements at a fixed, large ( $\sim M_Z$ ), scale, except BELLE ( $Q^2 \sim 10 \text{ GeV}^2$ ).
  - Inclusive single hadron production by RHIC  $\implies$  improve constraints on gluon FF.
  - Lepton–nucleon DIS: lower values and wide range of scales, sensitivity to parton flavour and hadron charge ( $\implies$  new data of HERMES).
  - Global NLO analyses, e.g.: [DSS, Phys. Rev. D 75 \(2007\) 114010](#).
- New COMPASS results** obtained on an isoscalar (**d in  ${}^6\text{LiD}$** ) target (nuclear effects in  ${}^6\text{LiD}$  small)...
- ...with **K and  $\pi$  identification** and measured  $x, y, z$  dependence.

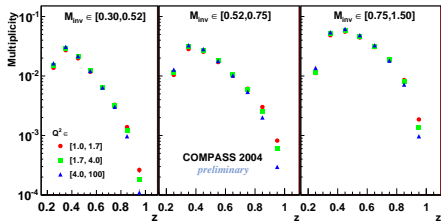
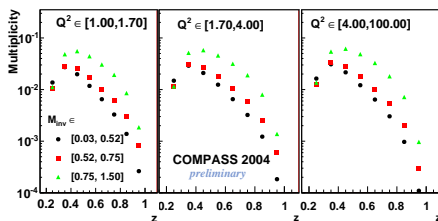
## Charged (single-) hadron multiplicities; identified kaons





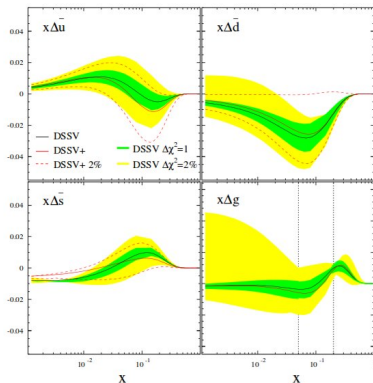
# Charged (double-) hadron multiplicities

- Studied to measure  $D_q^{h^+,h^-}(z^+,z^-,Q^2) = D_q^h(z, M_h^2, Q^2)$
- Needed in extracting asymmetries in SIDIS, e.g.:  $A_{UT}^{\sin(\phi_R+\phi_S)}(z, M_h^2, Q^2)$
- Measured by COMPASS on  $d$  from LiD in bins of  $(z, M_h^2, Q^2)$ .

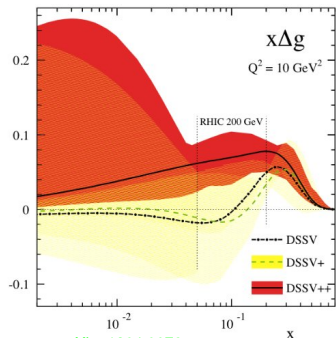


# Status of helicity-dependent PDFs

- Global fits (DSSV/DSSV+/DSSV++) include: spin-dependent DIS data, SIDIS data with identified  $\pi$  and K, and proton-proton data  $\Rightarrow$  extracting PDFs at NLO.  $L_q$  and  $L_g$  decouple from this procedure  $\Rightarrow$  TMDs and GPDs ?
  - Limited  $(x, Q^2)$  range  $\Rightarrow$  hard to get  $\Delta g$  from DIS
  - Separation of  $q(x)$  and  $\bar{q}(x)$  exclusively from SIDIS  $\Rightarrow$  FF needed!  $\Rightarrow$  COMPASS data crucial ( $x_{\min} \approx 5 \cdot 10^{-3}$ ).

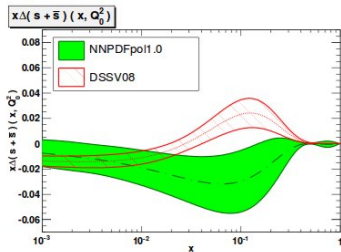
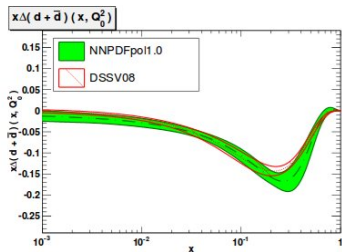


arXiv:1108.1713



arXiv: 1304.0079

# Status of helicity-dependent PDFs,...cont'd



NNPDF, R.D. Ball et al., arXiv: 1303.7236

DSSV: DIS + SIDIS data; NNPDF: only DIS

- $\Delta s(x)$  conundrum: negative from DIS but zero (slightly positive ?) from all data  
 $\implies$  strong dependence on FF? Measurements coming from COMPASS, B-factories, LHC  
 '3F-D' rule:  $\int_0^1 dx [\Delta s(x) + \Delta \bar{s}(x)] \approx -0.1$  Validity ???  
 Lattice QCD:  $-0.020 \pm 0.010 \pm 0.001$ .
- The PDF status not likely to change before the advent of EIC!

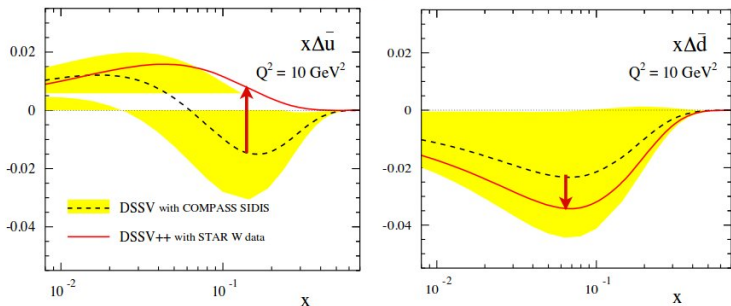
# Status of helicity-dependent PDFs,...cont'd

Transparency from M. Stratmann, DIS2013

impact in terms of  $\Delta\bar{u}(x)$  and  $\Delta d(x)$

$\Delta\bar{u}$   
 $\Delta\bar{d}$

still very preliminary!



☑ starts to test of what we know about sea quarks from SIDIS with pions

☑ new fit points towards rather sizable  $\Delta\bar{u}(x) - \Delta\bar{d}(x)$  of interest for models

looming (mild ?) tension with SIDIS data

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# Measurements on a transversely polarised target,

## Collins asymmetry, TMD: Sivers asymmetry

### Properties of $\Delta_T q(x)$ :

- is chiral-odd  $\implies$  hadron(s) in final state needed to be observed
- simple QCD evolution since no gluons involved
- related to GPD
- sum rule for transverse spin
- first moment gives “tensor charge” (now being studied on the lattice)

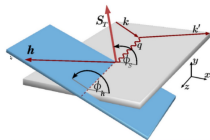
**Transversity measured** e.g. via the Collins asymmetry:  $\perp$  polarised  $q \implies$  unpolarised  $h$  (asymmetry in the distribution of hadrons):

$$N_h^\pm(\phi_c) = N_h^0 [1 \pm p_T D_{NN} A_{Coll} \sin \phi_c]$$

$$\phi_C = \phi_h + \phi_S$$

which in turn gives at LO:

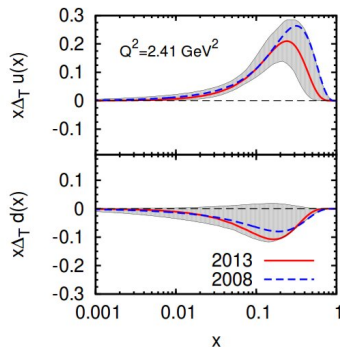
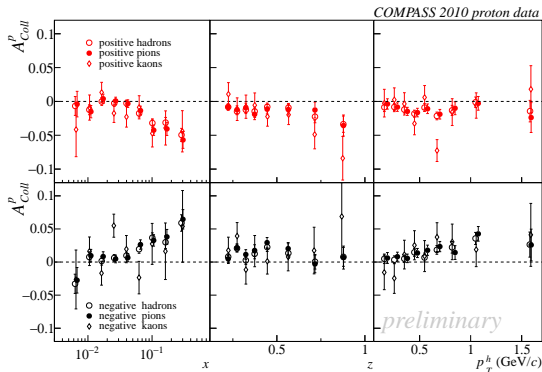
$$A_{Coll} \sim \frac{\sum_q e_q^2 \cdot \Delta_T q \cdot \Delta_T^0 D_q^h}{\sum_q e_q^2 \cdot q \cdot D_q^h}$$



But **transverse fragmentation functions  $\Delta_T^0 D_q^h$  (universal!)** needed to extract  $\Delta_T q(x)$  from the Collins asymmetry! Recently those FF measured by BELLE and BaBar.

**Sivers process** ( $\phi_S = \phi_h - \phi_S$ , correlation of  $\perp$  nucleon spin with  $k_T$  of unpolarised  $q$ ): related to  $L_q$  in the proton. **Fundamental !**

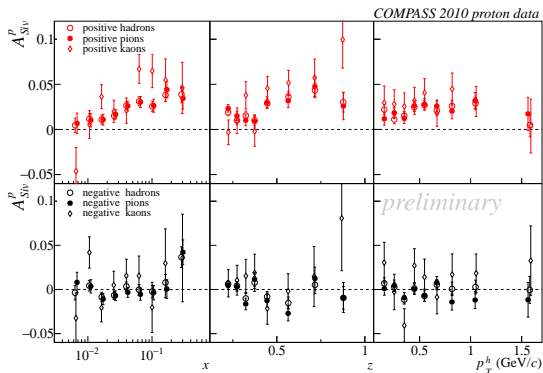
# Results for the Collins asymmetry for protons



M. Anselmino et al., 1303.3822

- Collins asymmetries for proton measured for +/- unidentified and identified hadrons...
- ...are large at  $x \gtrsim 0.1$  and consistent with HERMES (in spite of different  $Q^2$ !)
- but negligible for the deuteron
- These data + HERMES + BELLE:  $\Rightarrow \Delta_T u + \Delta_T d \sim 0$
- Transversity also obtained from 2-hadron asymmetries (and "Interference Fragmentation Function")

# Results for the Sivers asymmetry for protons



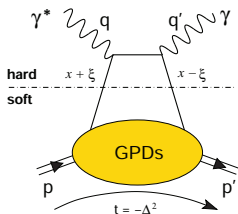
- Sivers asymmetries for proton measured for +/- unidentified and identified hadrons...
- ...are larger at larger  $Q^2$  (HERMES)
- COMPASS deuteron data show very small asymmetry
- Sivers functions ( $f_{1T}^\perp$ ) for d and u quarks have opposite signs



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# Access GPD through the DVCS/DVMP mechanism



$$Q^2 \rightarrow \infty,$$

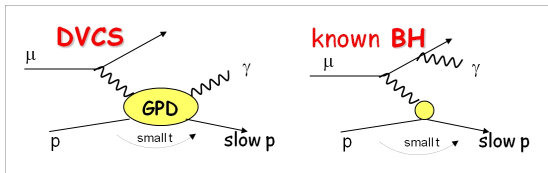
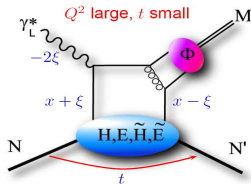
$$\text{fixed } x_B, t \implies |t|/Q^2 \text{ small}$$

- 4 GDPs ( $H, E, \tilde{H}, \tilde{E}$ ) for each flavour and for gluons
- Factorisation proven for  $\sigma_L$  only
- All depend on 4 variables:  $x, \xi, t, Q^2$ ; DIS @  $\xi = t = 0$ ; Later  $Q^2$  dependence omitted. **Careful! Here  $x \neq x_B$ !**
- $H, \tilde{H}$  conserve nucleon helicity  
 $E, \tilde{E}$  flip nucleon helicity
- $\underline{H}, \underline{E}$  refer to unpolarised distributions  
 $\tilde{H}, \tilde{E}$  refer to polarised distributions
- $H^q(x, 0, 0) = q(x), \tilde{H}^q(x, 0, 0) = \Delta q(x)$

- $\underline{H}, \underline{E}$  accessed in vector meson production *via*  $A_{UT}$  asymmetries
- $\tilde{H}, \tilde{E}$  accessed in pseudoscalar meson production *via*  $A_{UT}$  asymmetries
- All 4 accessed in DVCS ( $\gamma$  production) in  $A_C, A_{LU}, A_{UT}, A_{UL}$
- Integrals of  $H, E, \tilde{H}, \tilde{E}$  over  $x$  give Dirac-, Pauli-, axial vector- and pseudoscalar vector form factors respectively.

- **Important:**  $J_z^q = \frac{1}{2} \int dx x [H^q(x, \xi, t=0) + E^q(x, \xi, t=0)] = \frac{1}{2} \Delta \Sigma + L_z^q$  (X. Ji)

# DVCS/DVMP: $\mu p \rightarrow \mu p \gamma(M)$ ; what do we measure?



$$d\sigma^{\mu p \rightarrow \mu p \gamma} = d\sigma^{\text{BH}} + (d\sigma_{\text{unpol}}^{\text{DVCS}} + P_\mu d\sigma_{\text{pol}}^{\text{DVCS}}) + e_\mu (\text{Re}I + P_\mu \text{Im}I)$$

Observables (Phase 1):

$$\bullet S_{\text{CS,U}} \equiv \mu^{+\leftarrow} + \mu^{-\rightarrow} = 2 \left( d\sigma^{\text{BH}} + d\sigma_{\text{unpol}}^{\text{DVCS}} + e_\mu P_\mu \text{Im}I \right)$$

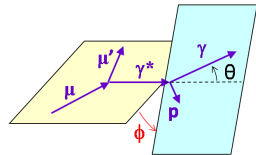
$$\bullet D_{\text{CS,U}} \equiv \mu^{+\leftarrow} - \mu^{-\rightarrow} = 2 \left( P_\mu d\sigma_{\text{pol}}^{\text{DVCS}} + e_\mu \text{Re}I \right)$$

$$\bullet A_{\text{CS,U}} \equiv \frac{\mu^{+\leftarrow} - \mu^{-\rightarrow}}{\mu^{+\leftarrow} + \mu^{-\rightarrow}} = \frac{D_{\text{CS,U}}}{S_{\text{CS,U}}}$$

- Each term  $\phi$ -modulated

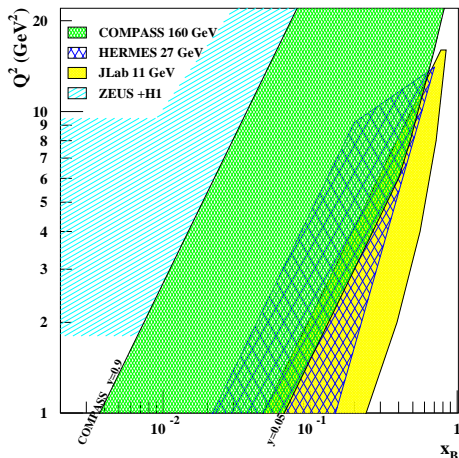
If  $\phi$ -dependence integrated over  $\Rightarrow$  twist-2 DVCS contribution;

if  $\phi$ -dependence analysed:  $\Rightarrow \text{Im}(F_1 H)$  and  $\text{Re}(F_1 H)$



Analogously for transversely polarised target (Phase 2):  $S_{\text{CS,T}}, D_{\text{CS,T}}, A_{\text{CS,T}} \Rightarrow E$

# Why GPD at COMPASS ?



- CERN high energy muon beam
  - 100 - 190 GeV
  - 80% polarisation
  - $\mu^+ \leftarrow$  and  $\mu^- \rightarrow$  beams
- Kinematic range
  - between HERA and HERMES/JLab12
  - intermediate  $x$  (sea and valence)
- Separation
  - pure B-H @ low  $x_B$
  - predominant DVCS @ high  $x_B$
- Plans
  - DVCS
  - DVMP
- Goals
  - from unpolarised target:  $H$  (Phase 1)
  - from  $\perp$  polarised target:  $E$  (Phase 2)

Test runs: 2008-9 and 2012; DVCS signal seen, full setup evaluated

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# Summary: nucleon structure @ COMPASS, now and in the future

- It is the only high-energy polarised lepton – nucleon experiment taking data
- longitudinally polarised muon beam of 160 (200) GeV/ $c$   
off longitudinally and transversely polarised targets:  ${}^6\text{LiD}$  (d),  $\text{NH}_3$  (p)
- with hadron identification
- All three leading twist pdf ( $F_1$ ,  $g_1$ ,  $h_1$ ) and TMD investigated
  - **New proton (2011) data** extend measurements of  $g_1^{\text{p}}$  to low  $x$  and will permit a more accurate extraction of polarised pdf
  - **extraction of FF ratios** from hadron multiplicities on the way
  - will help to solve the “ $\Delta_s$  puzzle”
  - gluon polarisation,  **$\Delta g$  updated in LO and (new) NLO** suggest a small  $\Delta G$  at the measured  $x$  with all world measurements compatible
  - **In the transverse (and TMD) sector**, clear signals on the proton and evidence of a strong  $Q^2$  dependence of TMD observed
  - Expecting a new global analysis of HERMES and COMPASS data (with BELLE FF)
- **In the future ( $\geq 2014$ )** a focus on transverse structure of the nucleon:
  - **GPD**, transverse size and parton orbital angular momentum
  - **T-odd TMD** (Sivers, Boer-Mulders distributions)
  - **Drell-Yan** process and TMD sign change SIDIS  $\iff$  DY
- Lots of data awaiting analysis!