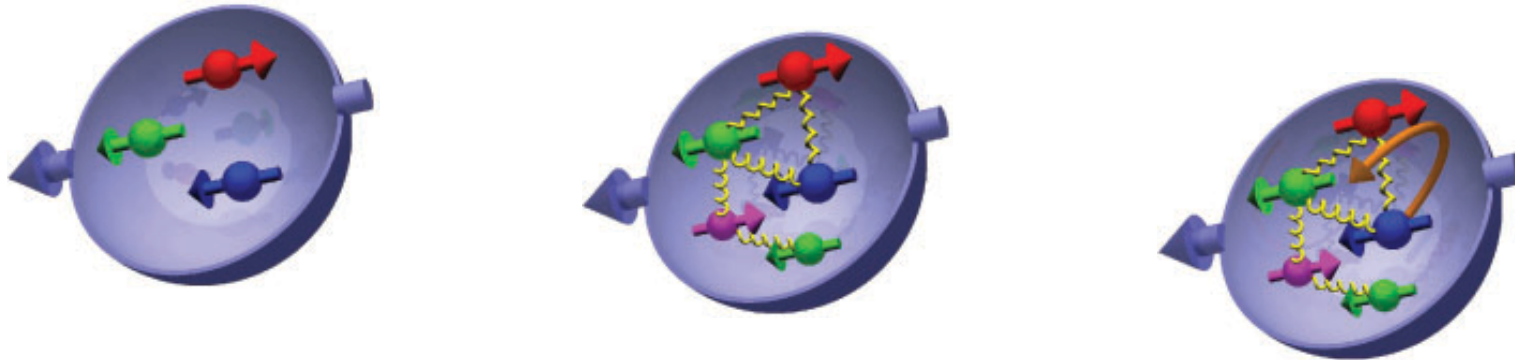


# Status of polarized structure functions

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C. Marchand, CEA Saclay

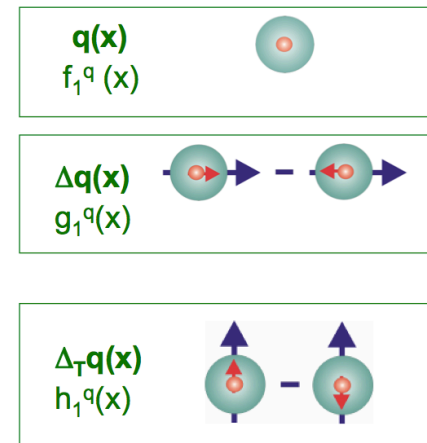
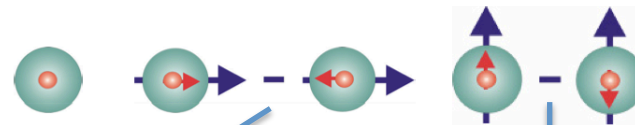
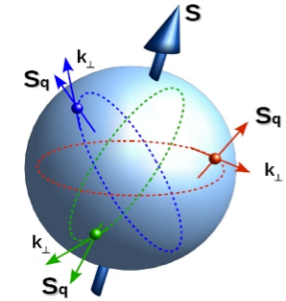


- Polarized structure functions in longitudinal momentum space: quark and gluon helicities from inclusive, semi-inclusive DIS and pp
- Transverse momentum dependent (TMD) distribution functions and relation to OAM from SIDIS,  $e^+e^-$  and pp

# The spin of the nucleon

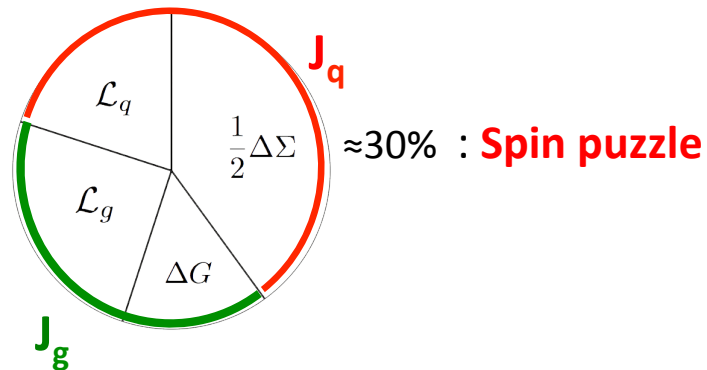
## Three twist-2 quark DF's in collinear approximation ( $\int dk_{\perp}$ )

$$\Phi_{Coll}^{Tw-2}(x) = \frac{1}{2} \left\{ q(x) + S_L \gamma_5 \Delta q(x) + S_T \gamma_5 \gamma^1 \Delta_T q(x) \right\} n^+$$



$$\frac{S_z^N}{\hbar} = \frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_z^q + L_z^g$$

NR limit  
[boost, rotat.]=0

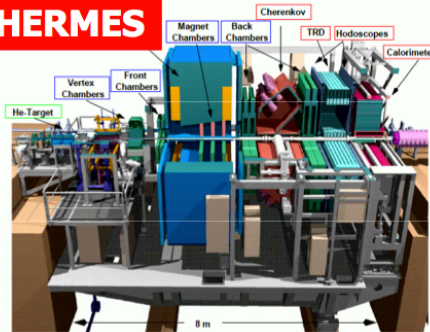


$$\Rightarrow \Delta_T q(x, Q^2) = \Delta q(x, Q^2)$$

$\Rightarrow$  Expect non small  $\Delta_T q$

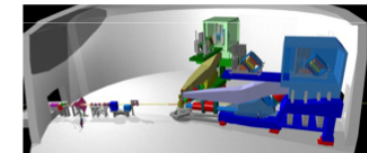
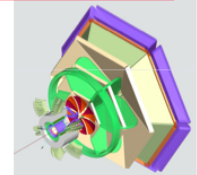
# Experiments: e( $\mu$ ) fixed target, pp collisions

**HERMES**

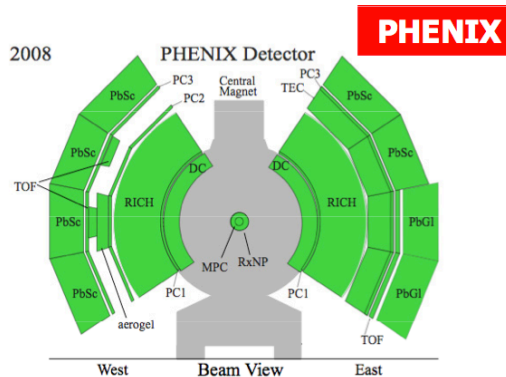


	1970	1980	1990	2000
SLAC		E80		E130
CERN			EMC	SMC
DESY				HERMES
JLab				CLAS/HALL-A
RHIC				Phenix/Star

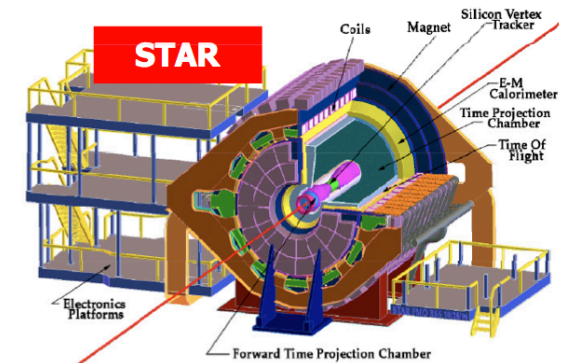
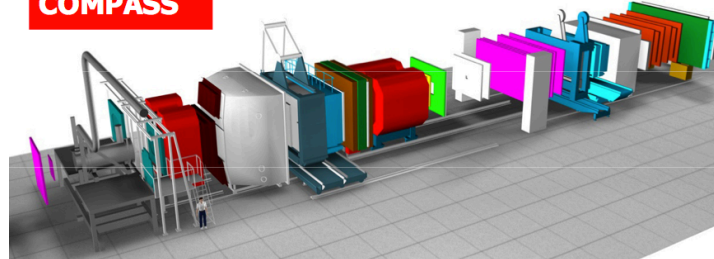
**JLab - CLAS, Hall A**



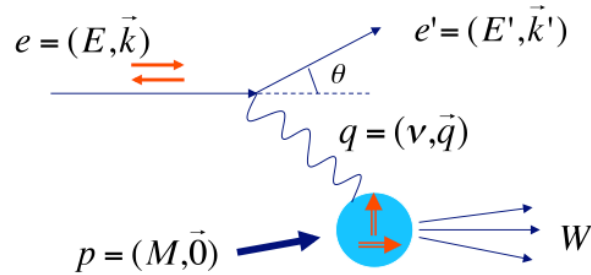
◆ A worldwide effort since decades



**COMPASS**



# Inclusive DIS polarized structure functions



$$A_{\parallel} = A_{LL} = \frac{1}{P_b P_T f} \frac{\bar{N} - \bar{N}}{\bar{N} + \bar{N}}$$

$$A_{\perp} = A_{LT} = \frac{1}{P_b P_T f} \frac{N^{\uparrow} - N^{\downarrow}}{N^{\uparrow} + N^{\downarrow}}$$

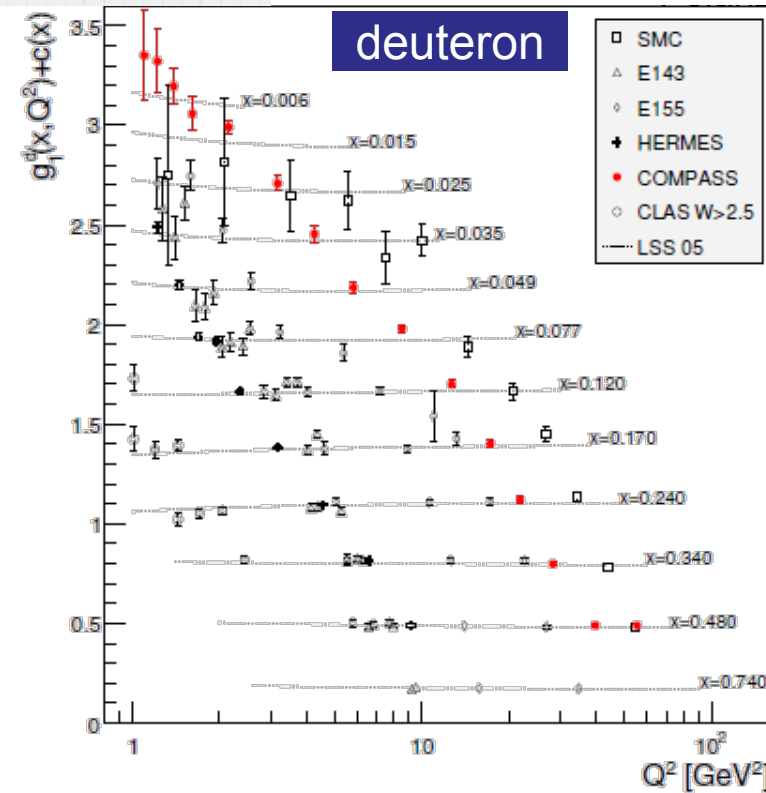
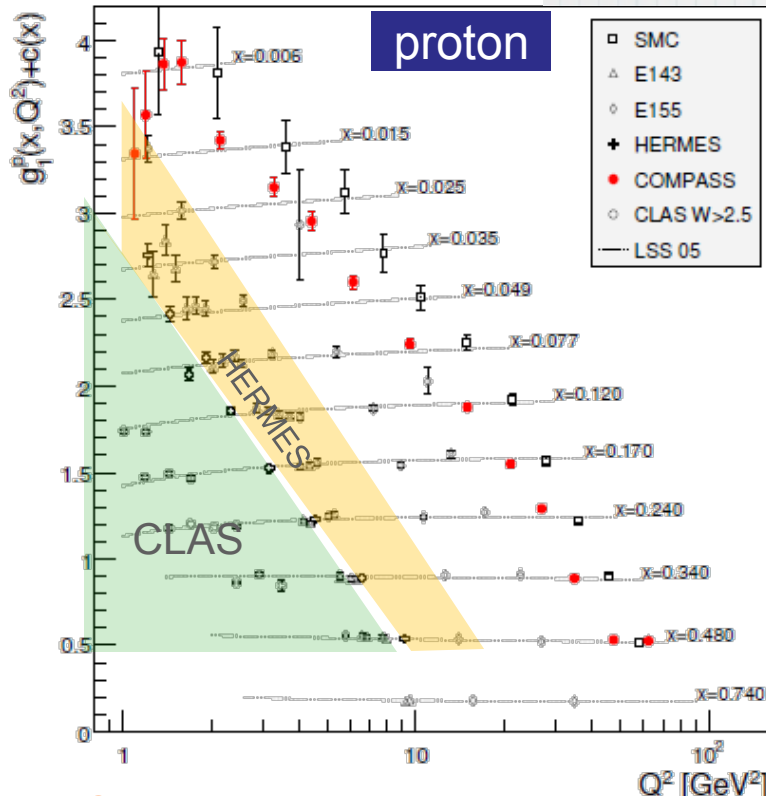
$$A_{\parallel} = D(A_1 + \eta A_2)$$

$$A_{\perp} = d(A_2 - \xi A_1)$$

$$A_1 = \frac{\sigma_{1/2}^T - \sigma_{3/2}^T}{\sigma_{1/2}^T + \sigma_{3/2}^T} = \frac{g_1 - \gamma^2 g_2}{F_1}$$

$$A_2 = \frac{2\sigma^{LT}}{\sigma_{1/2}^T + \sigma_{3/2}^T} = \gamma \frac{g_1 + g_2}{F_1}$$

$$g_1(x, Q^2) = \frac{1}{2} \sum_q e_q^2 \Delta q(x, Q^2)$$



# Inclusive DIS: extraction of quark and gluon helicities

$$g_1^p = \frac{1}{2} \left[ \frac{4}{9} (\Delta u + \Delta \bar{u}) + \frac{1}{9} (\Delta d + \Delta \bar{d}) + \frac{1}{9} (\Delta s + \Delta \bar{s}) \right]$$

$$g_1^d = \frac{1}{2} \left[ \frac{1}{9} (\Delta u + \Delta \bar{u}) + \frac{4}{9} (\Delta d + \Delta \bar{d}) + \frac{1}{9} (\Delta s + \Delta \bar{s}) \right]$$

$$\text{Singlet: } \Delta \Sigma = [(\Delta u + \Delta \bar{u}) + (\Delta d + \Delta \bar{d}) + (\Delta s + \Delta \bar{s})]$$

$$\text{NS: } \Delta q_3 = [(\Delta u + \Delta \bar{u}) - (\Delta d + \Delta \bar{d})]$$

$$\text{NS: } \Delta q_8 = [(\Delta u + \Delta \bar{u}) + (\Delta d + \Delta \bar{d}) - 2(\Delta s + \Delta \bar{s})]$$

$$\int g_1 dx \quad \Gamma_1^p = \int_0^1 g_1^p(x) dx; \quad \Gamma_1^d = \int_0^1 g_1^d(x) dx$$

$$\rightarrow \text{Moments } \Gamma_1^p - \Gamma_1^d = \frac{a_3}{6} (1 + \alpha^2 \text{corr}) \quad (\text{Bjorken SR})$$

$$+ \quad a_3 = \Delta \Sigma_u - \Delta \Sigma_d = F + D = 1.267,$$

$$a_8 = \Delta \Sigma_u + \Delta \Sigma_d - 2\Delta \Sigma_s = 3F - D \approx 0.58$$

from neutron and hyperon decays

$$6(\Gamma_1^p - \Gamma_1^d) / (1 + \alpha^2 \text{corr}) = 1.28 \pm 0.07 \pm 0.10$$

$$\Delta \Sigma = a_0 = 0.33 \pm 0.03 \pm 0.05 \quad (\text{evol. to } Q^2 = \infty)$$

$$(\Delta s + \Delta \bar{s}) = 1/3(a_0 - a_8) = -0.08 \pm 0.01 \pm 0.02$$

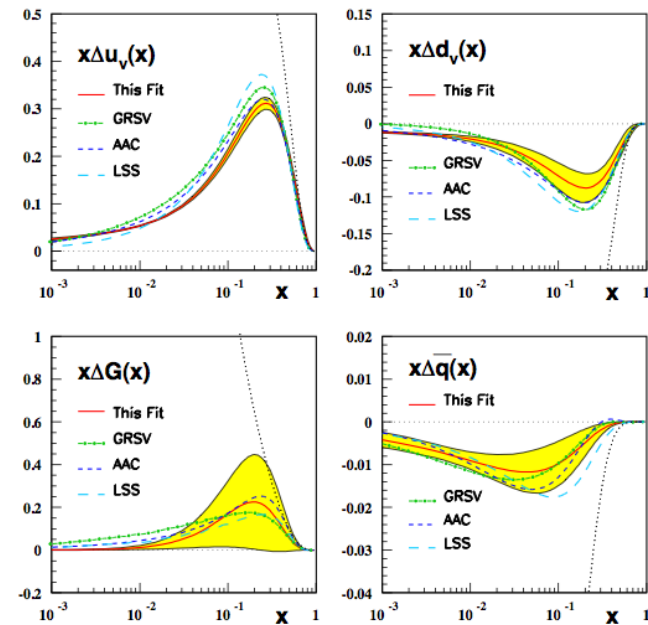
Compass

NLO: DGLAP links q and g

$$\frac{d}{d \ln Q^2} \Delta q_{NS}(x, Q^2) = \frac{\alpha_S(Q^2)}{2\pi} P_{qq}^{NS} \otimes \Delta q_{NS}$$

$$\frac{d}{d \ln Q^2} \begin{pmatrix} \Delta \Sigma \\ \Delta G \end{pmatrix} = \frac{\alpha_S(Q^2)}{2\pi} \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \otimes \begin{pmatrix} \Delta \Sigma \\ \Delta G \end{pmatrix}$$

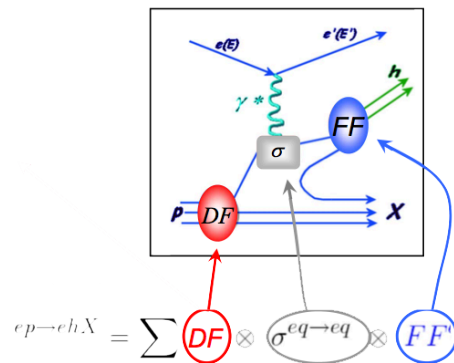
Assume SU(3) flavor symmetry:  $\Delta \bar{u} = \Delta \bar{d} = \Delta \bar{s} = \Delta s$



Blümlein, Böttcher arXiv 1101.0052

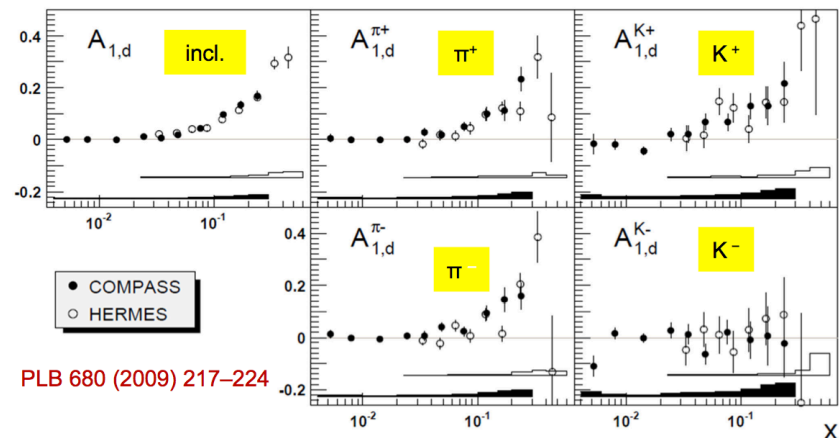
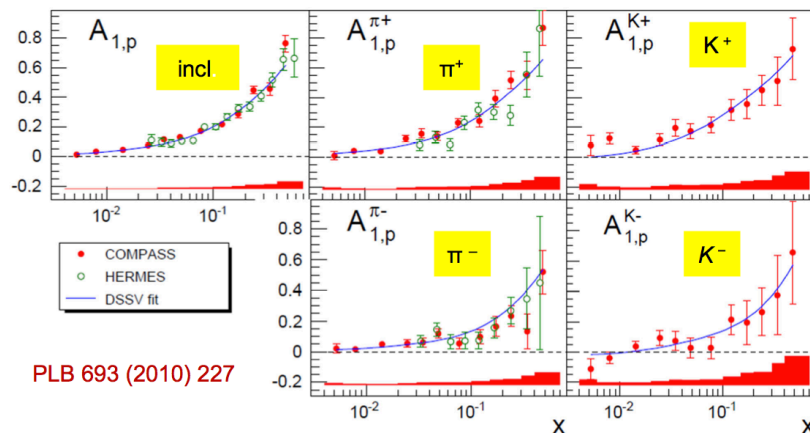
WG6: E. Nocera, A.L. Kataev

# Semi-Inclusive DIS: extraction of quark helicities



$$A_1^{h(p/d)}(x, z, Q^2) \approx \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q^h(z, Q^2)}$$

- **Inputs needed for the extraction of  $\Delta q(x, Q^2)$ :**
  - Unpolarised PDFs ( $q(x, Q^2)$ ) → [MRST04](#)
  - $D_q^h(z, Q^2)$  → [DSS parameterisation](#)



Leading Order (LO) fit of the 10 asymmetries (5d+5p)

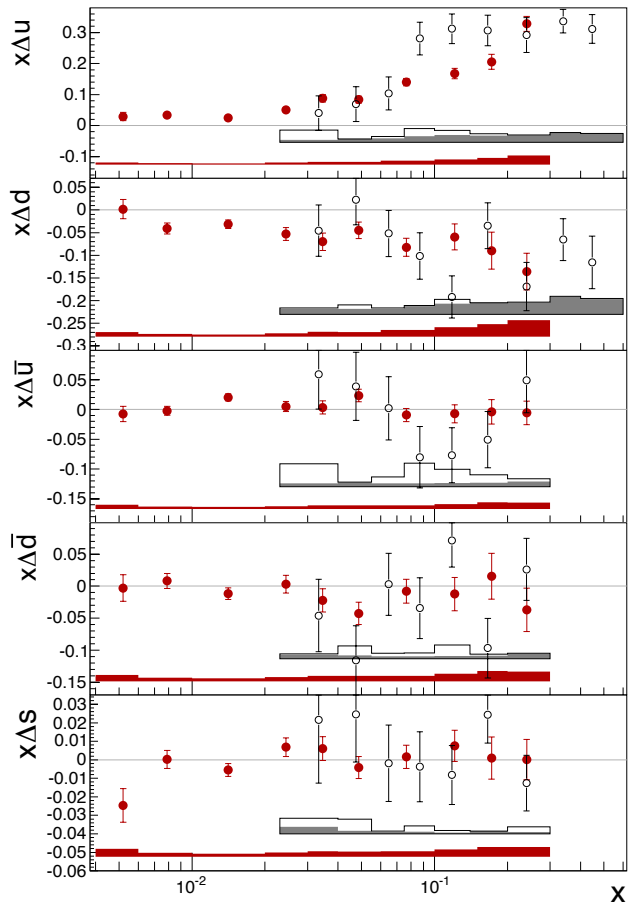
Determine 6 flavor separated PDFs

$$\Delta u, \Delta d, \Delta \bar{u}, \Delta \bar{d}, \Delta s \text{ and } \Delta \bar{s}$$

**WG6: M. Stolarski**

# SIDIS: direct extraction of quark helicity at LO

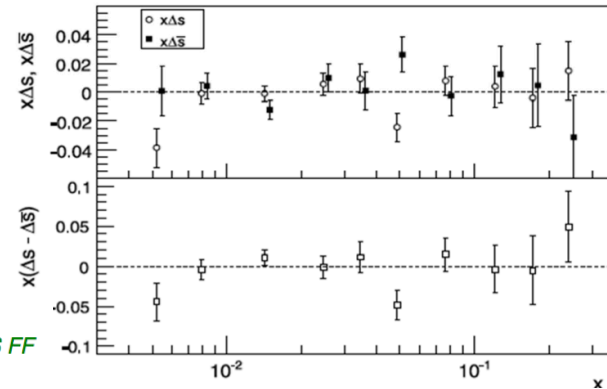
Check the assumption  $\Delta s = \bar{\Delta s}$  (a 6 flavors fit)



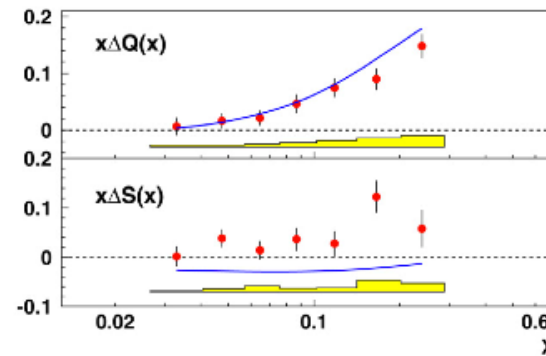
• COMPASS  
 PLB693(2010)227, using DSS FF  
 ○ HERMES  
 PRD71(2005)012003

$$Q^2 = 3 \text{ GeV}^2$$

COMPASS Collaboration / Physics Letters B 693 (2010) 227–235



HERMES: PLB666(2008)446

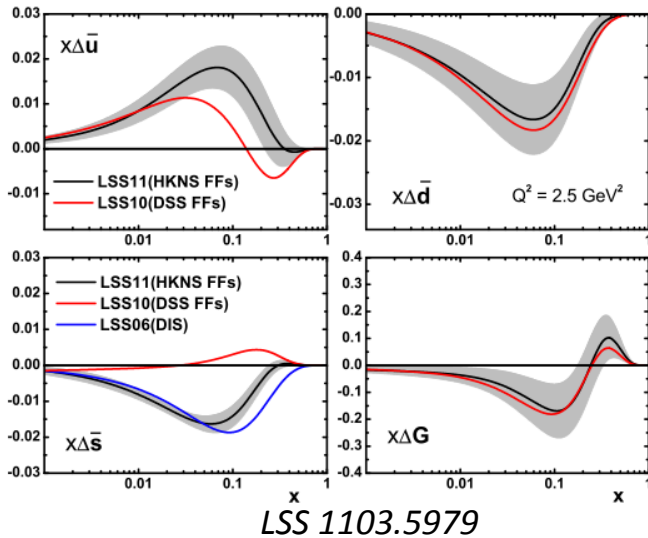
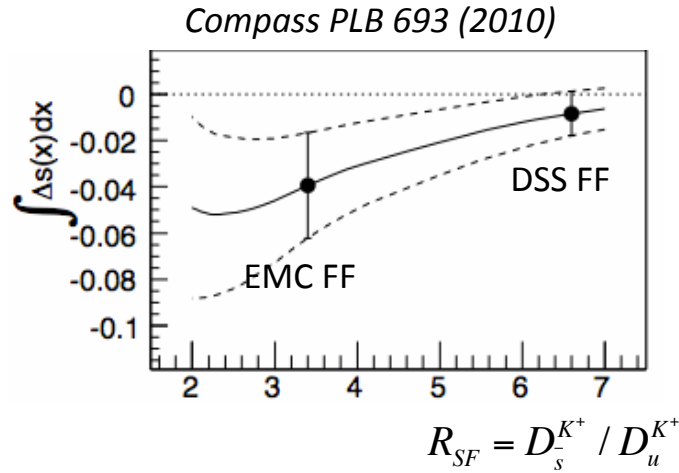


HERMES  $\Delta s + \bar{\Delta s} = 0.037 \pm 0.019$  (stat)  $\pm 0.027$  (syst)

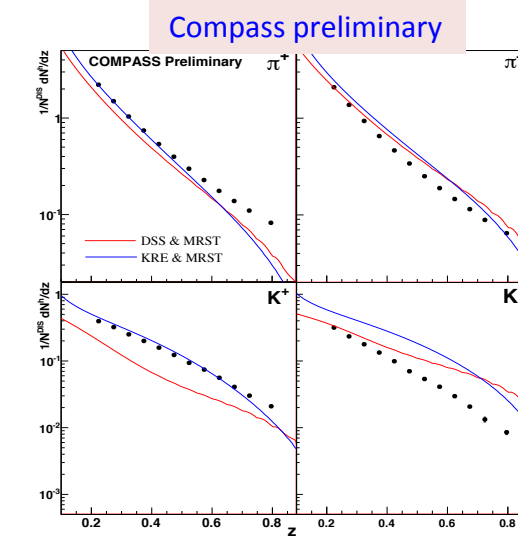
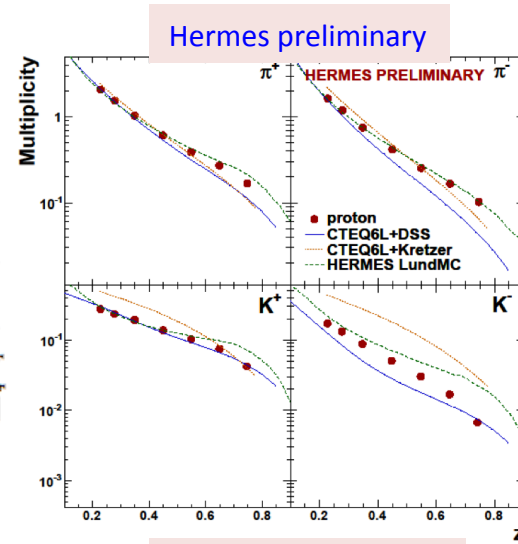
COMPASS  $\Delta s = -0.01 \pm 0.01$  (stat)  $\pm 0.01$  (syst),  $0.003 < x < 0.3$

DIS:  $(\Delta s + \bar{\Delta s}) = -0.08 \pm 0.01 \pm 0.02$

# Sensitivity of strange quark helicity to FF



$$\frac{1}{\sigma^{\text{incl}}(x, Q^2)} \frac{d\sigma^h(x, Q^2, z)}{dx dz} = \frac{\sum_q e_q^2(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2(x, Q^2)}$$



LO interpretation

- OK with CTEQ6 pdfs + DSS FF for  $\pi^+$  and  $K^+$

- OK with CTEQ6 pdfs + Kretzer FF for  $\pi^+$  and  $\pi^-$

- poor agreement for  $K^-$

- Role of unfavored FF

- Role of NLO term for negative particles

WG4: N. Makke



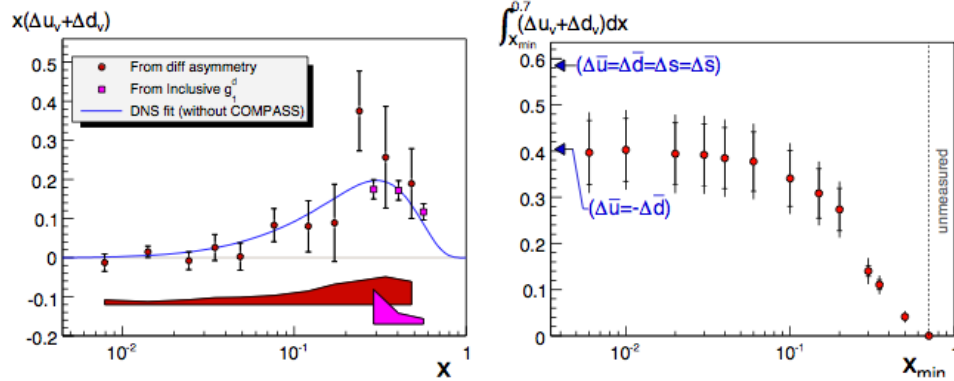
# Polarized valence: difference asymmetries SIDIS

With charge conjugation symmetry in fragmentation

$$D_{1,q}^{h^+} = D_{1,\bar{q}}^{h^-}$$

$$A_{1d}^{h^+-h^-} = \frac{\Delta u_v + \Delta d_v}{u_v + d_v}(x)$$

Compass d, PLB 660 (2008) 458

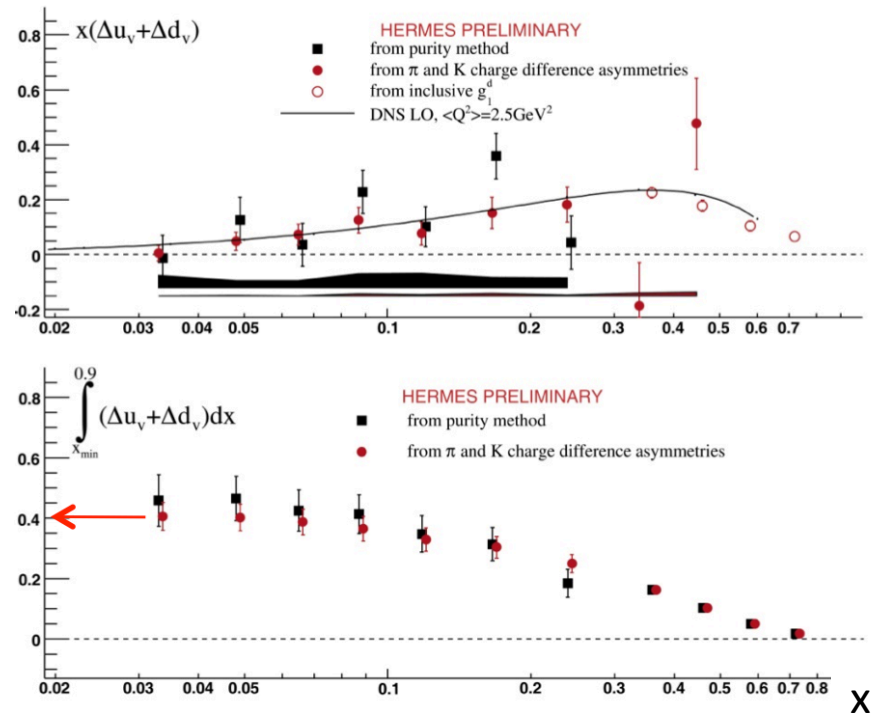


$$\Delta q_v = \Delta q - \Delta \bar{q}$$

$$\Gamma_v = \int_0^1 (\Delta u_v + \Delta d_v) dx = \Sigma_u + \Sigma_v - 2(\Delta \bar{u} + \Delta \bar{d})$$

$$a_8 = \Sigma_u + \Sigma_v - 2(\Delta s + \Delta \bar{s})$$

K. Rith, Hera Symposium'11

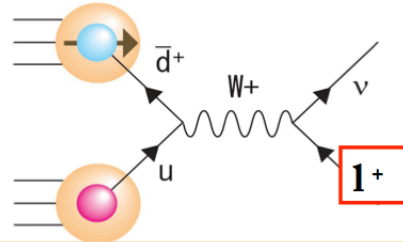


WG6: P. Kravchenko

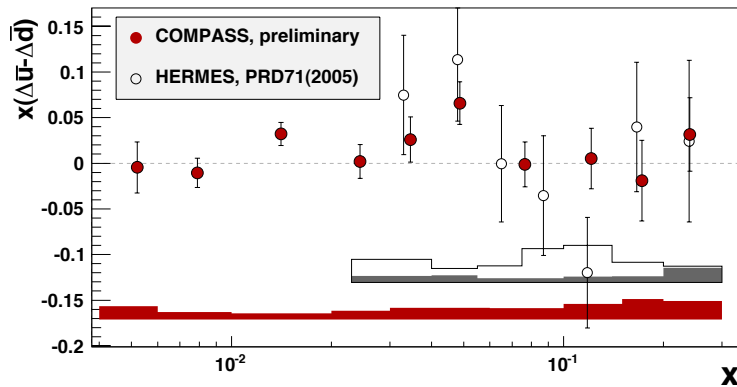
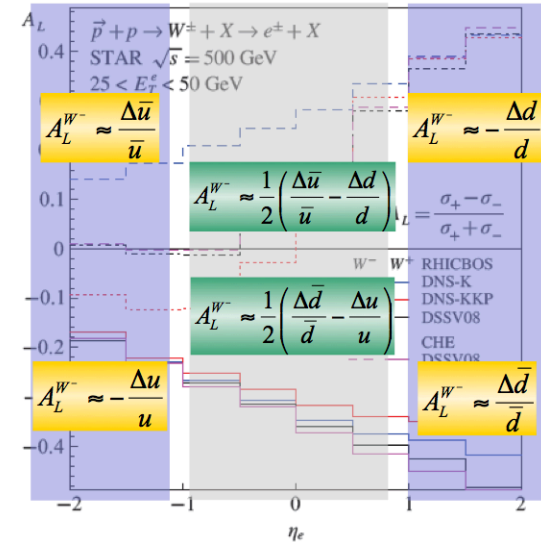
# Polarized sea: parity violating W decay

$$p+p \rightarrow W^\pm \rightarrow e^\pm/\mu^\pm + \nu$$

- Parity violating W production:
  - Fixes quark helicity and flavor
- No fragmentation involved
- High  $Q^2$  (set by W mass)



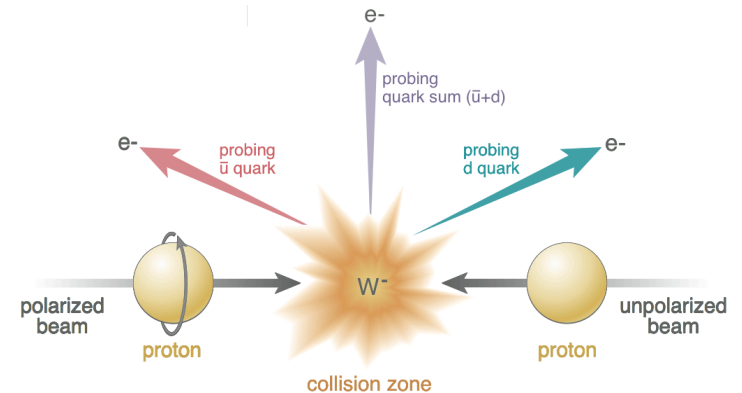
$$A_L^{W^+} = \frac{-\Delta u(x_a)\bar{d}(x_b) + \Delta\bar{d}(x_a)u(x_b)}{u(x_a)\bar{d}(x_b) + \bar{d}(x_a)u(x_b)}$$



COMPASS @  $Q^2=3(\text{GeV}/c)^2$  :  $\int_{0.004}^{0.3} (\Delta\bar{u} - \Delta\bar{d})dx = 0.052 \pm 0.035(\text{stat}) \pm 0.013(\text{syst})$

HERMES @  $Q^2=2.5(\text{GeV}/c)^2$  :  $\int_{0.023}^{0.6} (\Delta\bar{u} - \Delta\bar{d})dx = 0.048 \pm 0.057(\text{stat}) \pm 0.028(\text{syst})$

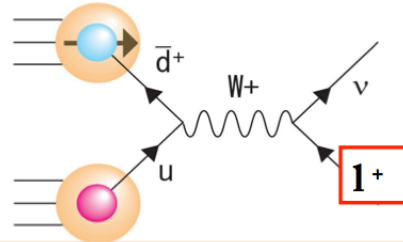
Unpolarized:  $\int (\bar{u} - \bar{d})dx = -0.118 \pm 0.012$



# Polarized sea: parity violating W decay

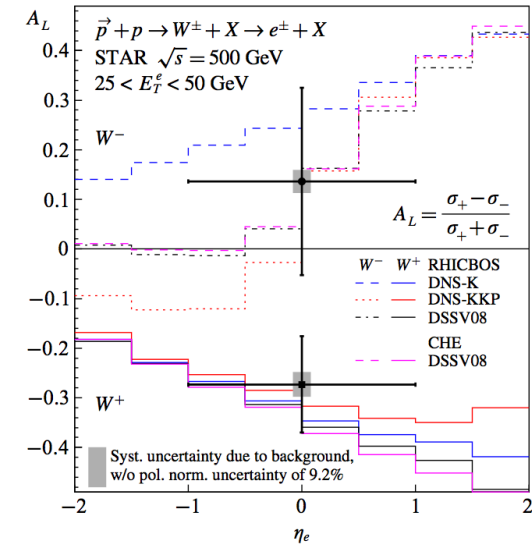
$$p+p \rightarrow W^\pm \rightarrow e^\pm/\mu^\pm + \nu$$

- Parity violating W production:
  - Fixes quark helicity and flavor
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- High  $Q^2$  (set by W mass)

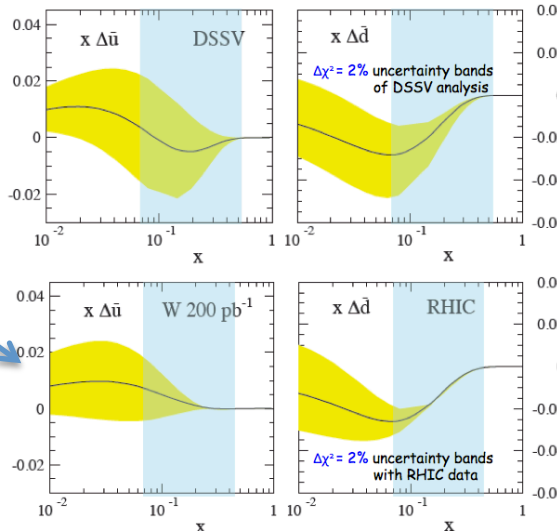
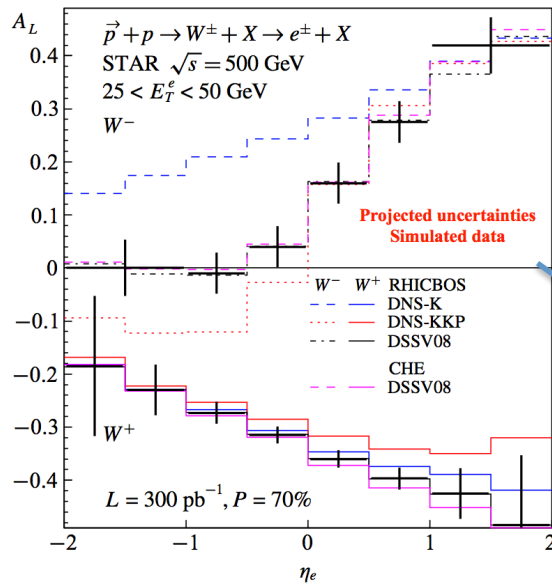


$$A_L^{W^+} = \frac{-\Delta u(x_a)\bar{d}(x_b) + \Delta\bar{d}(x_a)u(x_b)}{u(x_a)\bar{d}(x_b) + \bar{d}(x_a)u(x_b)}$$

## Present status



## Projected errors for future



## STAR Run 9 Result

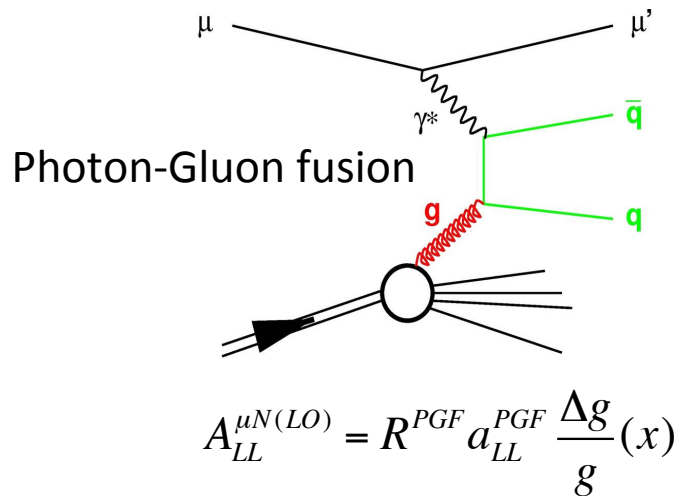
$$A_L(W^+) = -0.27 \pm 0.10(stat) \pm 0.02(syst)$$

$$A_L(W^-) = 0.14 \pm 0.19(stat) \pm 0.02(syst)$$

PRL **106**, 062002 (2011)

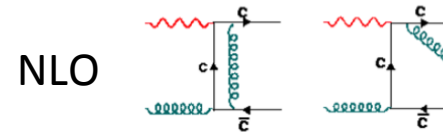
WG6: B. Surrow, Y.J. Kim

# Direct measurements of DeltaG/G: Open charm (NLO)



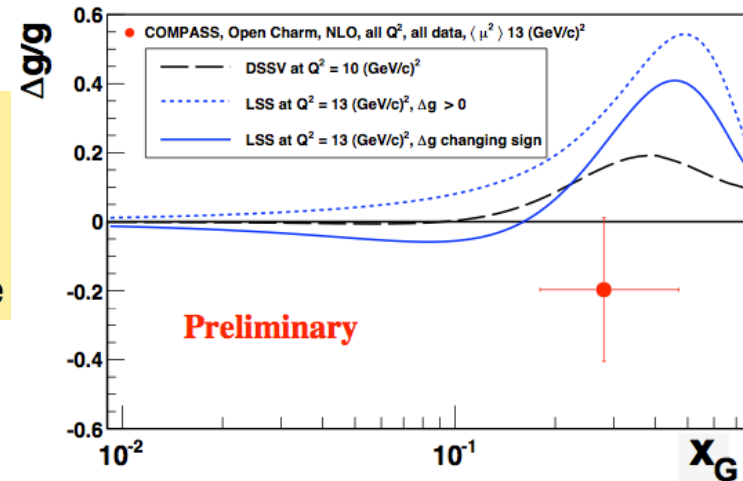
## Open Charm production

- $\gamma^* g \rightarrow c\bar{c} \Rightarrow$  reconstruct  $D^0$  mesons
- **Hard scale:  $M_c^2$**
- **No intrinsic charm in COMPASS kinematics**
- **No physical background**
- **Weakly Monte Carlo dependent**
- **Low statistics**

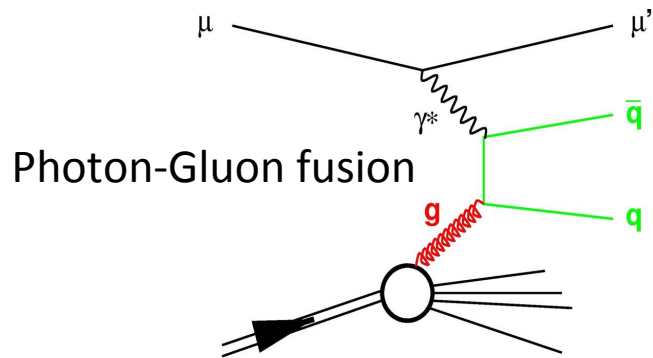


- **First extraction of  $\Delta G$  at NLO**
- **Constrains  $\Delta G$  at larger  $x$**
- **Charm result can be included in global NLO fits:**  
model independent asymmetries  $A_{LL}(p_T, E_D)$  available

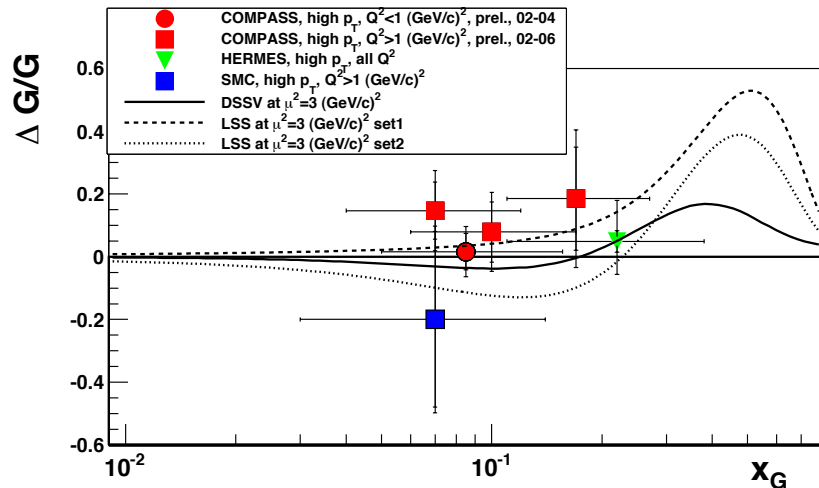
**WG6: M. Stolarski**



# Direct measurements of DeltaG/G: High pT (LO)



$$A_{LL}^{\mu N(LO)} = R^{PGF} a_{LL}^{PGF} \frac{\Delta g}{g}(x) + \dots$$

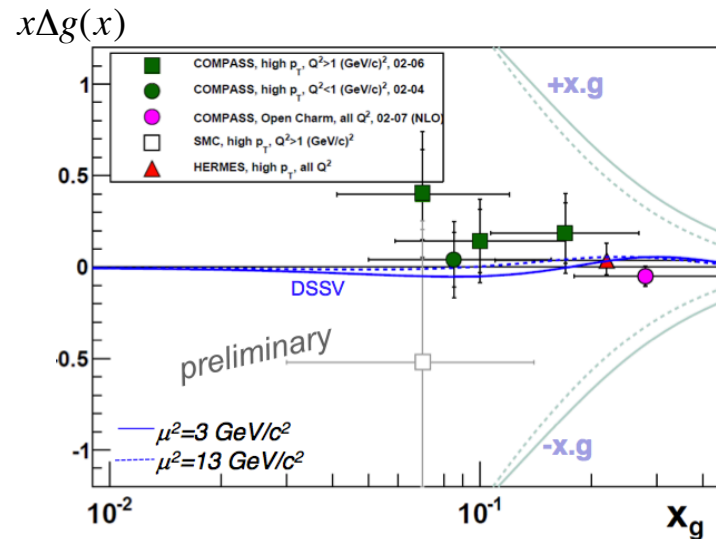
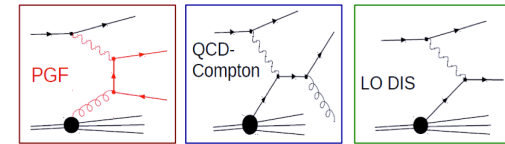


DSSV: D. de Florian et al., Phys. Rev. D80(2009)034030

LSS: E. Leader, A.V. Sidorov, D.B. Stamenov, arXiv 1010.5742(2010)

- **High- $p_T$  hadron pairs**

- $\gamma^* g \rightarrow q\bar{q} \Rightarrow$  reconstruct 2 jets or  $h^+h^-$
- **Hard scale:  $Q^2$  or  $\Sigma p_T^2$**  [ $Q^2 > 1$  or  $Q^2 < 1$  (GeV/c)<sup>2</sup>]
- **High statistics**
- **Physical background**
- **Strongly Monte Carlo dependent**

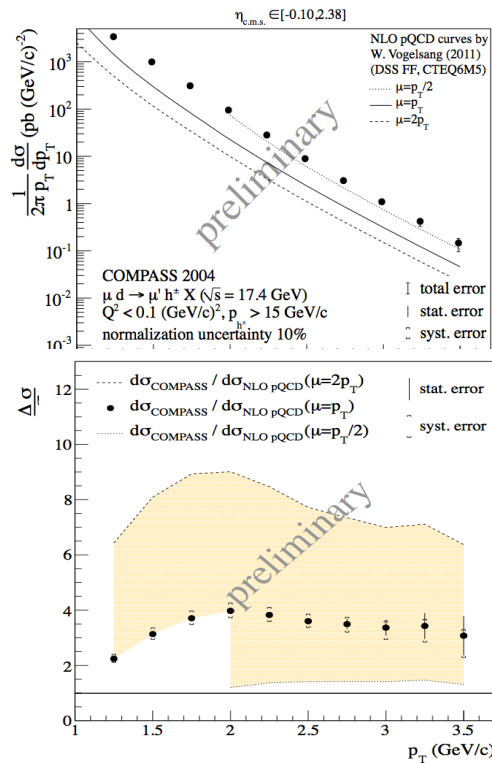


**Charm at NLO, all other points at LO**

# DeltaG/G from hig pT: validity of NLO

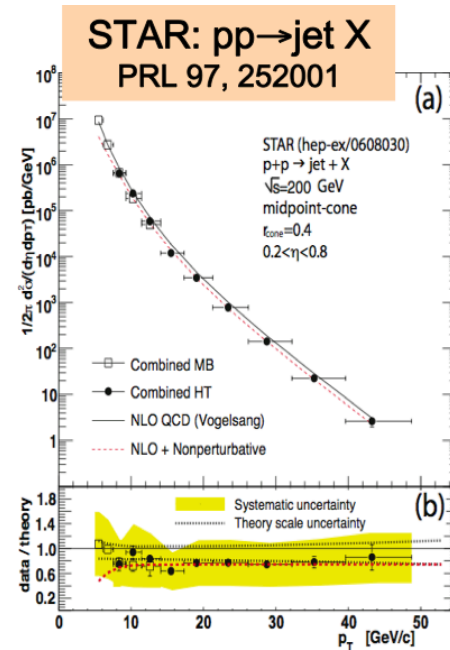
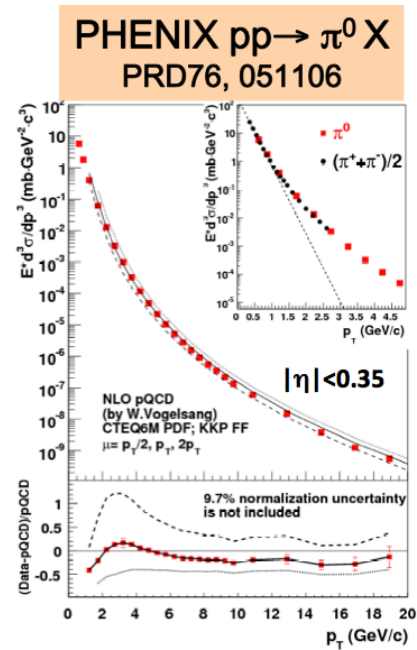
## Unpolarized X-section as function of pT

COMPASS  $\langle \sqrt{s} \rangle = 17$  GeV

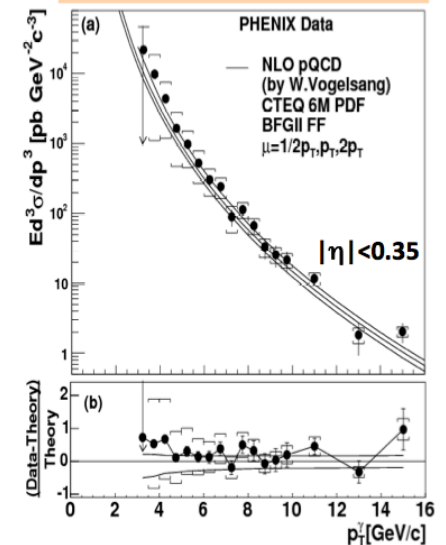


WG6: C. Hoepfner  
 M. Pfeuffer

RHIC  $\sqrt{s} = 200$  GeV



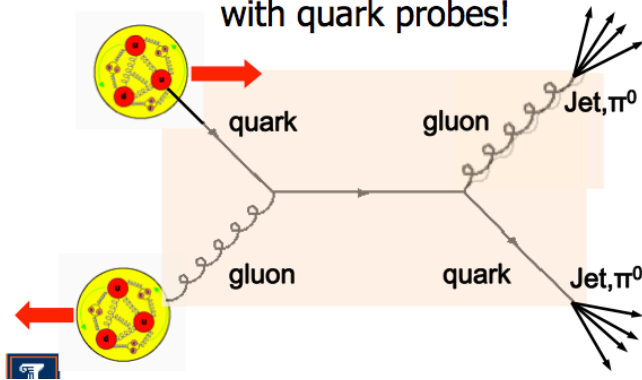
PHENIX  $pp \rightarrow \gamma X$   
 PRL 98, 012002



- CERN, HERMES data not included in NLO global fits yet
- RHIC CM energies OK for applicability of NLO to  $A_{LL}(p_T)$

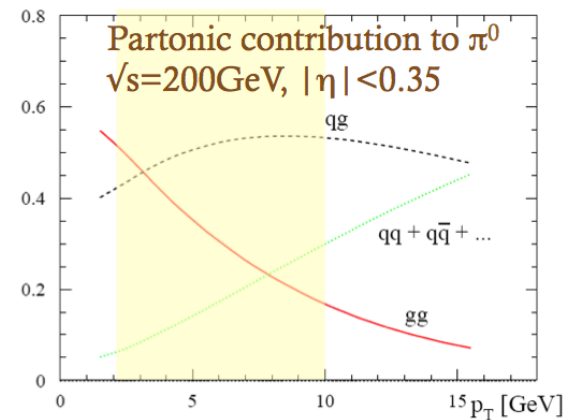
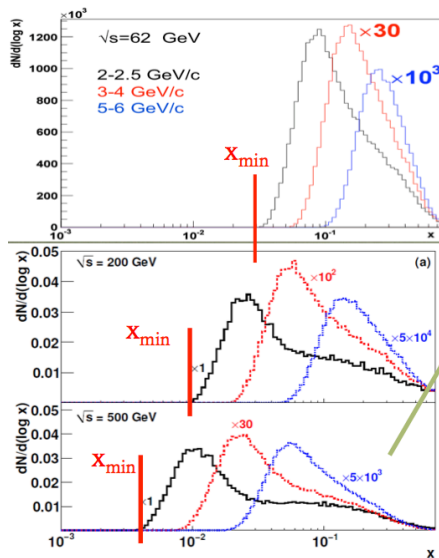
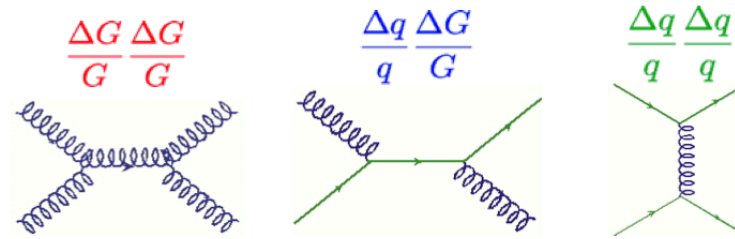
# Direct measurements of $\Delta G/G$ with $pp \rightarrow \text{jets}$

Example: Production of neutral pions  
 $\sim$  probe gluon content with  
 with quark probes!

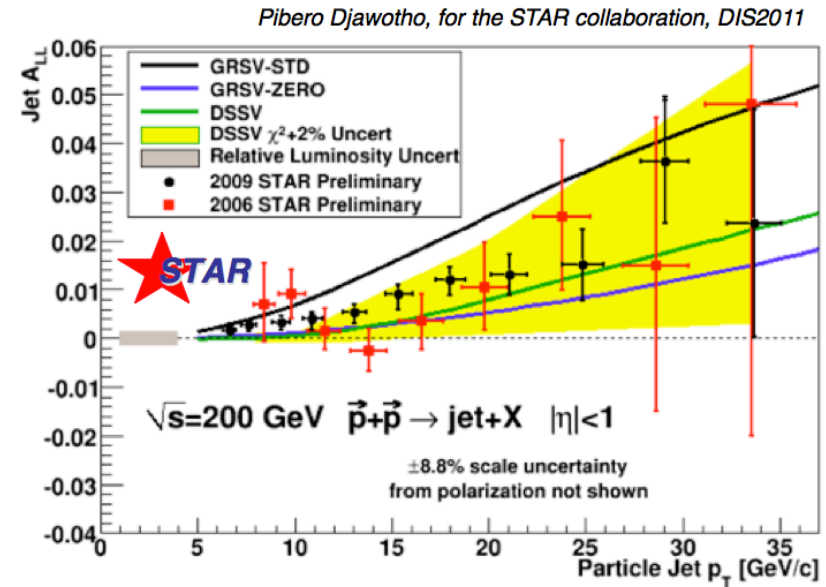
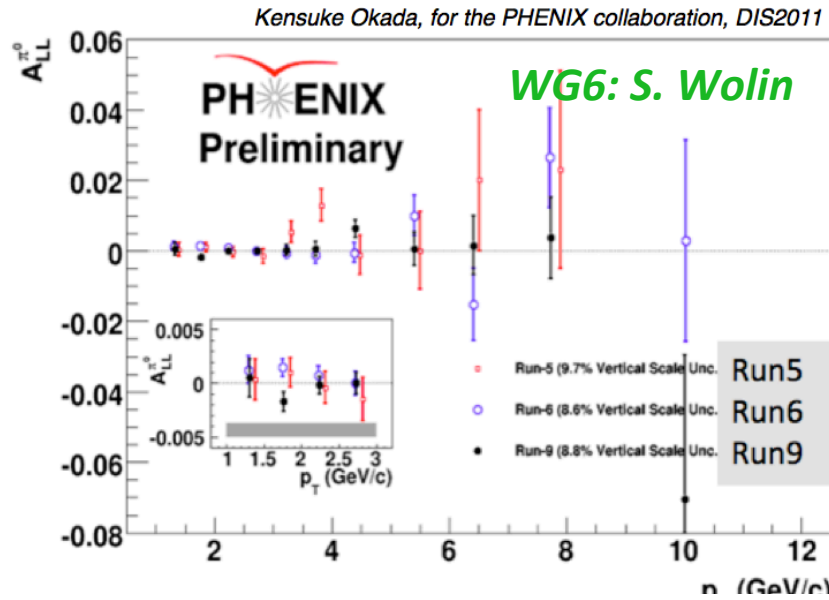


$$A_{LL} = \frac{\sigma^{++} - \sigma^{+-}}{\sigma^{++} + \sigma^{+-}} \propto \frac{\Delta f_a \Delta f_b}{f_a f_b} \hat{a}_{LL}$$

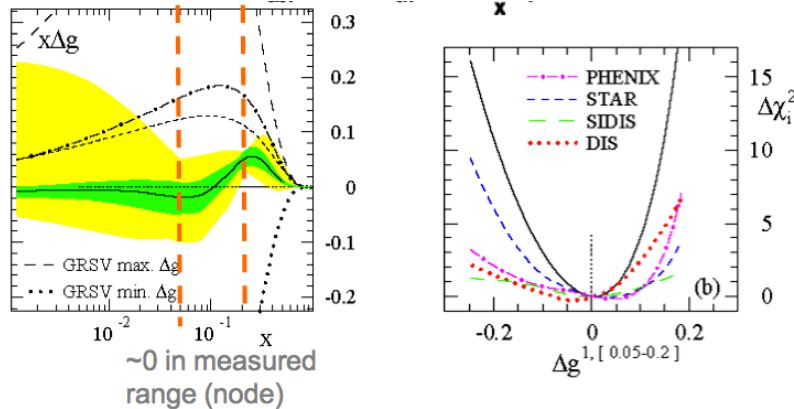
$\Delta f$ : polarized parton distribution functions



# Direct measurements of DeltaG/G with pp-> jets

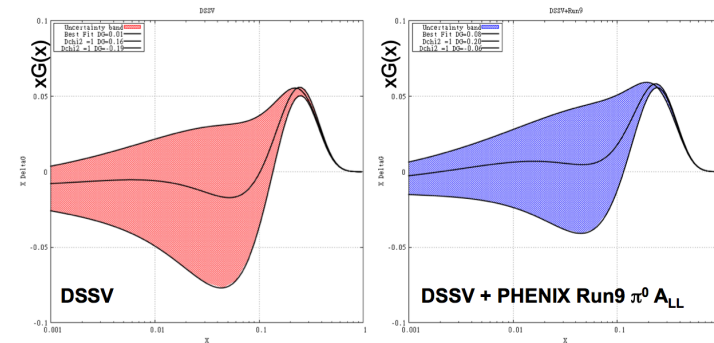


DSSV Phys. Lett. 101 (2008)



Global Fit Including PHENIX Run9  $\pi^0 A_{LL}$

By S.Taneja et al (DIS2011) based on code from DSSV

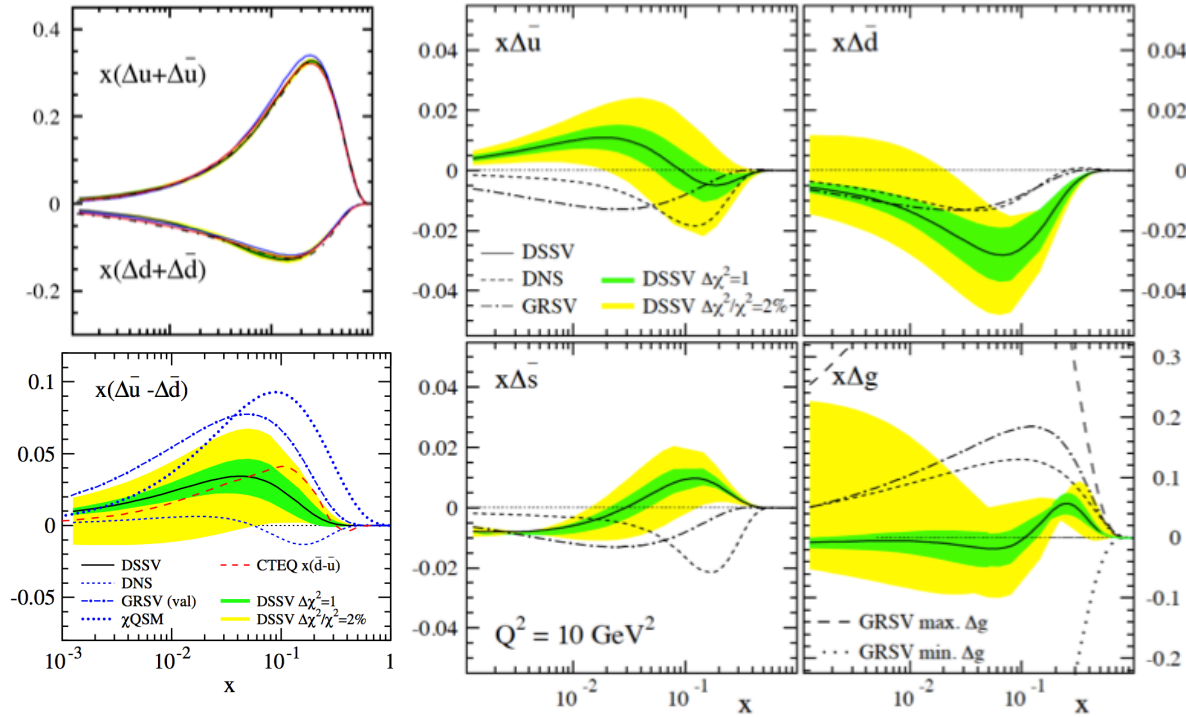


$$\int_{0.05}^{0.2} \Delta g(x) dx = 0.005^{+0.051}_{-0.058} (\Delta\chi^2 = 1); \int_{0.05}^{0.2} \Delta g(x) dx = 0.005^{+0.129}_{-0.164} (\Delta\chi^2 / \chi^2 = 2\%)$$



# DIS+SIDIS+pp: global fit to extract q and g helicity

DSSV Phys. Lett. 101 (2008)



Experiment	Process	$N_{\text{data}}$	$\chi^2$
EMC [2]	DIS (p)	10	3.9
SMC [3]	DIS (p)	12	3.4
SMC [3]	DIS (d)	12	18.4
COMPASS [4]	DIS (d)	15	8.1
E142 [5]	DIS (n)	8	5.6
E143 [6]	DIS (p)	28	19.3
E143 [6]	DIS (d)	28	40.8
E154 [7]	DIS (n)	11	4.5
E155 [8]	DIS (p)	24	22.6
E155 [9]	DIS (d)	24	17.1
HERMES [10]	DIS (He)	9	6.3
HERMES [11]	DIS (p)	15	10.5
HERMES [11]	DIS (d)	15	16.9
HALL A [12]	DIS (n)	3	0.2
CLAS [13]	DIS (p)	10	5.9
CLAS [13]	DIS (d)	10	2.5
SMC [14]	SIDIS (p, $h^+$ )	12	18.7
SMC [14]	SIDIS (p, $h^-$ )	12	10.6
SMC [14]	SIDIS (d, $h^+$ )	12	7.3
SMC [14]	SIDIS (d, $h^-$ )	12	14.1
HERMES [15]	SIDIS (p, $h^+$ )	9	6.4
HERMES [15]	SIDIS (p, $h^-$ )	9	4.9
HERMES [15]	SIDIS (d, $h^+$ )	9	11.4
HERMES [15]	SIDIS (d, $h^-$ )	9	4.5
HERMES [10]	SIDIS (He, $h^+$ )	9	4.7
HERMES [10]	SIDIS (He, $h^-$ )	9	6.9
HERMES [15]	SIDIS (p, $\pi^+$ )	9	9.6
HERMES [15]	SIDIS (p, $\pi^-$ )	9	4.9
HERMES [15]	SIDIS (d, $\pi^+$ )	9	9.4
HERMES [15]	SIDIS (d, $\pi^-$ )	9	19.5
HERMES [15]	SIDIS (d, $K^+$ )	9	6.2
HERMES [15]	SIDIS (d, $K^-$ )	9	5.8
HERMES [15]	SIDIS (d, $K^+ + K^-$ )	9	3.4
COMPASS [16]	SIDIS (d, $h^+$ )	12	6.2
COMPASS [16]	SIDIS (d, $h^-$ )	12	12.0
PHENIX [22]	pp (200 GeV, $\pi^0$ )	10	14.2
PHENIX [23]	pp (200 GeV, $\pi^0$ )	10	7.1 [13.8] <sup>a</sup>
PHENIX [24]	pp (62 GeV, $\pi^0$ )	5	3.1 [2.8] <sup>a</sup>
STAR [25]	pp (200 GeV, jet)	10	8.8
STAR (prel.) [26]	pp (200 GeV, jet)	9	6.9
TOTAL:		467	392.6

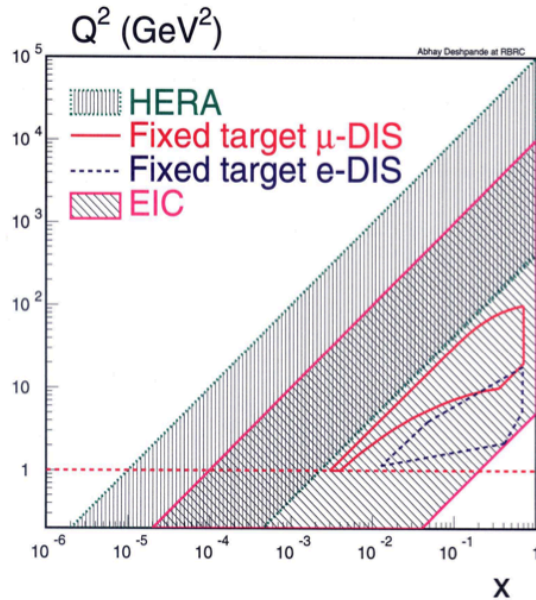
$$\int_{0.05}^{0.2} \Delta g(x) dx = 0.005^{+0.051}_{-0.058} (\Delta\chi^2 = 1); \int_{0.05}^{0.2} \Delta g(x) dx = 0.005^{+0.129}_{-0.164} (\Delta\chi^2 / \chi^2 = 2\%)$$

$$\int_0^1 \Delta g(x) dx = 0.013^{+0.106}_{-0.120} (\Delta\chi^2 = 1); \int_0^1 \Delta g(x) dx = 0.013^{+0.702}_{-0.314} (\Delta\chi^2 / \chi^2 = 2\%)$$

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_z^q + L_z^g = 0.16 + \Delta G + L_z^q + L_z^g$$

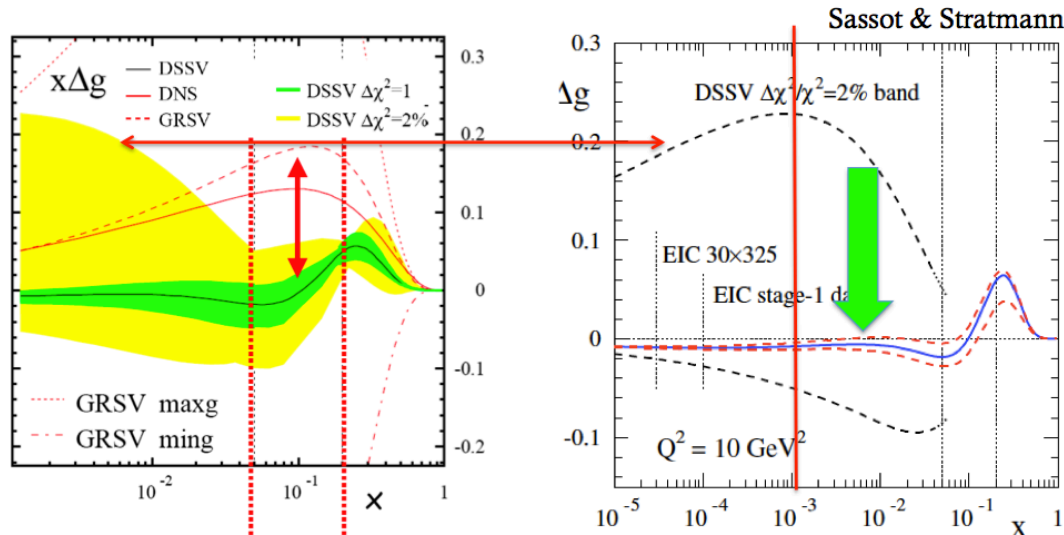
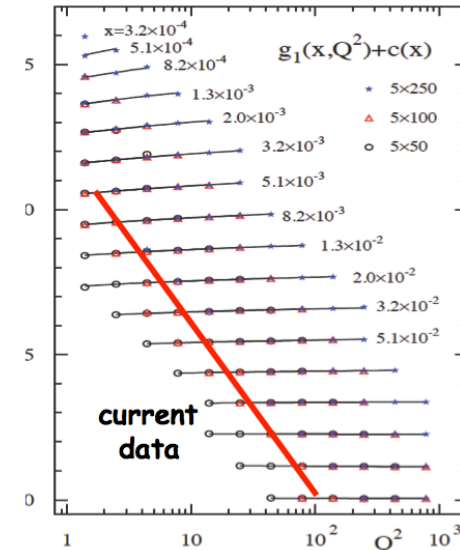
WG6: W. Vogelsang

# Constrain gluon helicity at future EIC



- $E_e$ : 5-30 GeV
- $E_p$ : 50-325 GeV
- $\nu_s$ : 30-200 GeV
- $x_{\min} = 10^{-4}$ ;  $Q^2_{\max} = 10^4$  GeV<sup>2</sup>
- Polar:  $\sim 70\%$  (e,p,3He,D)
- Lumi:  $> 10^{33}$  cm<sup>-2</sup>s<sup>-1</sup>

$$\frac{dg_1(x, Q^2)}{d \ln Q^2} \propto -\Delta g(x, Q^2)$$



WG6+7: M. Stratmann

# g2 structure function

$$A_2 = \frac{2\sigma^{LT}}{\sigma_{1/2}^T + \sigma_{3/2}^T} = \gamma \frac{g_1 + g_2}{F_1}$$

$$g_2(x, Q^2) = g_2^{WW}(x, Q^2) + \bar{g}_2(x, Q^2)$$

$$g_2^{WW}(x, Q^2) = -g_1(x, Q^2) + \int_x^1 g_1(y, Q^2) \frac{dy}{y}$$

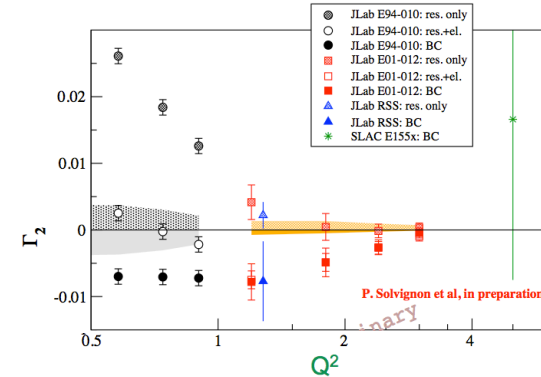
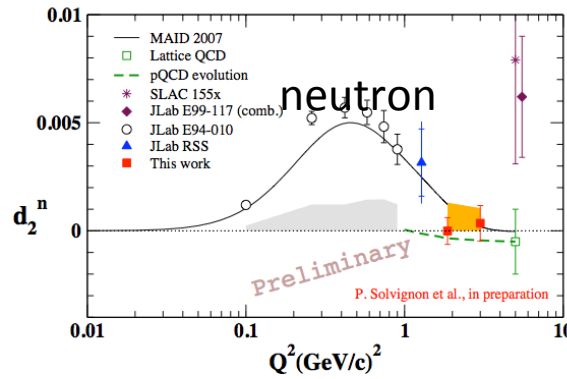
Higher TW: quark-gluon correlations

$$d_2(Q^2) = 3 \int_0^1 x^2 \bar{g}_2(x, Q^2) dx$$

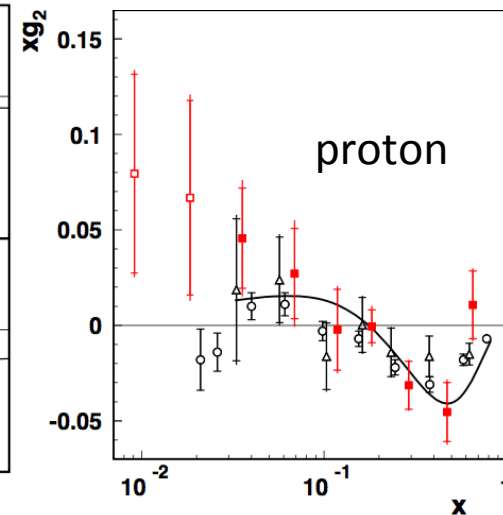
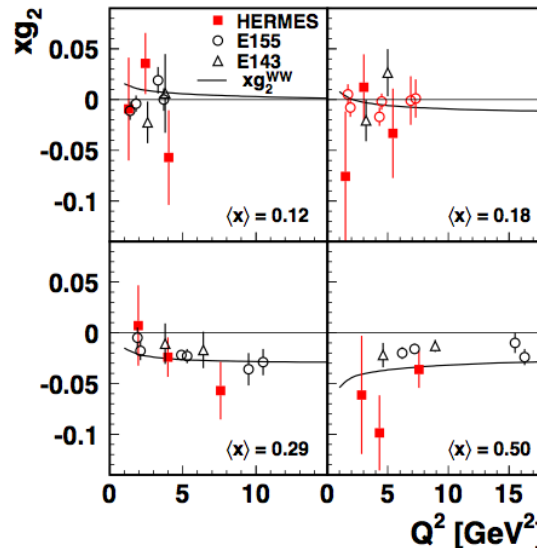
Burkhardt-Cottingham Sum Rule

$$\int_0^1 g_2(x, Q^2) dx = 0$$

JLAB Hall A



Hermes arXiv 1112.5584



$$d_2^p(Q^2 = 5\text{GeV}^2) = 0.0148 \pm 0.0096(\text{stat}) \pm 0.0048(\text{syst})$$

$$\int_{0.023}^{0.9} g_2^p(x, Q^2 = 5\text{GeV}^2) dx = 0.006 \pm 0.024(\text{stat}) \pm 0.017(\text{syst})$$

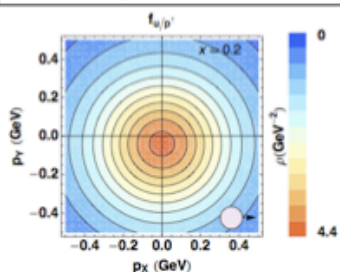
# Quark distributions in nuclei: 3D picture

## Quantum phase-space distributions of quarks

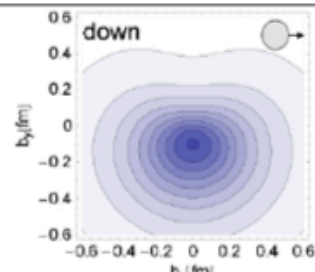
$W_p^q(x, k_T, r)$  "Mother" Wigner distributions

Probability to find a quark  $q$  in a nucleon  $P$  with a certain polarization in a position  $r$  & momentum  $k$

Bacchetta et al.  
PRD78(2008)



Göckeler et al.  
PRL98(2007)



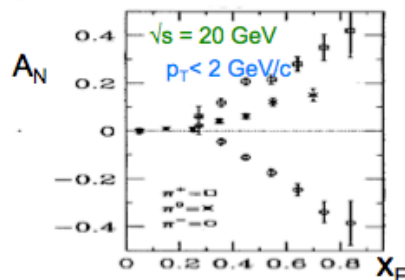
Sivers TMD ← model dependent relation → GPD  $E$   
--in transverse momentum coordinates-- --in impact parameter coordinates--

TMD  $(x, k_T)$   
spin-orbit  
correlations

TMD PDFs:  $f_p^u(x, k_T), \dots$

Semi-inclusive measurements  
Momentum transfer to quark  
Direct info about momentum distribution

May explain SSA & Lam-Tung



GPDs:  $H_p^u(x, \xi, t), \dots$

Exclusive Measurements  
Momentum transfer to target  
Direct info about spatial distribution

GPD  $(x, \xi, t)$   
2+1D imaging;  
access to OAM

PDFs  $f_p^u(x), \dots$

May solve  
proton spin puzzle

$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H(x, \xi, t) + E(x, \xi, t)]$$

# Transverse Momentum Dependent (TMD) DF

	N/q	U	L	T
Twist-2 DF	U	$f_1$ Number Density		$h_1^\perp$ Boer-Mulders
	L		$g_1$ Helicity	$h_{1L}^\perp$ Worm-gear
	T	$f_{1T}^\perp$ Sivers	$g_{1T}^\perp$ Worm-gear	$h_1$ Transversity $h_{1T}^\perp$ Pretzelosity

$h_1 \neq g_1$  : relativistic effects and no mix with gluons in spin  $\frac{1}{2}$  nucleon

Survive  $k_T$  integration

Twist-3  
DF

N/q	U	L	T
U	$f^\perp$	$g^\perp$	$h, e$
L	$f_L^\perp$	$g_L^\perp$	$h_L, e_L$
T	$f_T, f_T^\perp$	$g_T, g_T^\perp$	$h_T, e_T, h_T^\perp, e_T^\perp$

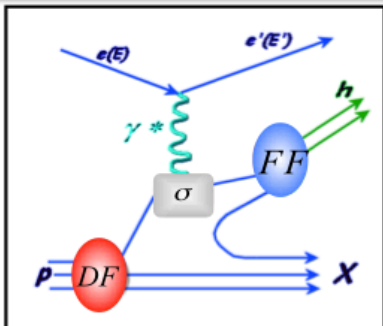
Sivers & BM: Naive T-odd elements:  
-contain information about OAM  
-sign change between SIDIS and DY

Fragmentation Functions (FF)				
		quark		
		U	L	T
h a d.	U	$D_1$ Unpol. FF		$H_1^\perp$ Collins FF

Chiral-Odd TMD ( $\gamma_5 \gamma^1$ )

# Possible ways to access TMD's

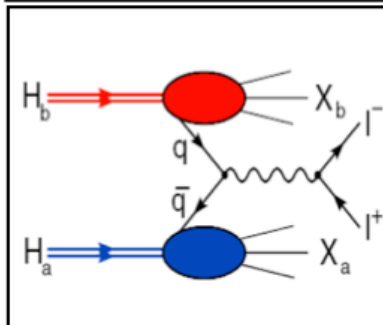
## Physics reactions



SIDIS: rich phenomenology, the most explored so far

SIDIS

$$\sigma^{ep \rightarrow ehX} = \sum_q \text{DF} \otimes \sigma^{eq \rightarrow eq} \otimes \text{FF}$$



e<sup>+</sup>e<sup>-</sup>: B-factories as powerful fragmentation laboratories

e<sup>+</sup>e<sup>-</sup>

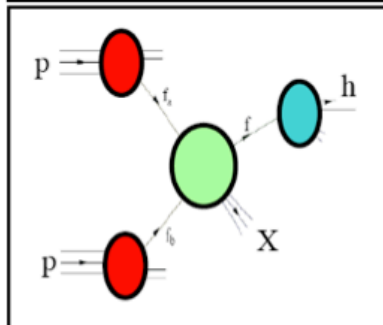
$$\sigma^{ee \rightarrow hhX} = \sum_q \sigma^{qq \rightarrow ee} \otimes \text{FF} \otimes \text{FF}$$



DY: challenging for experiments (only unpolarized so far)

DY

$$\sigma^{pp \rightarrow eeX} = \sum_q \text{DF} \otimes \text{DF} \otimes \sigma^{qq \rightarrow ee}$$



Hadron reactions: challenging for theory (ISI + FSI)

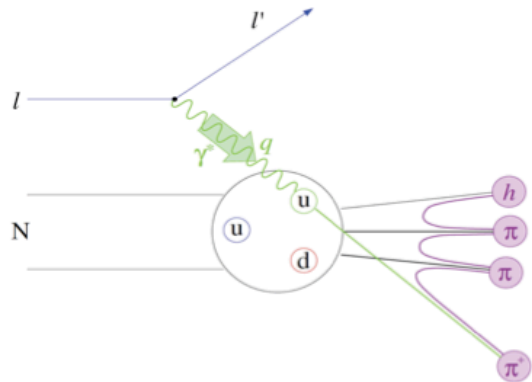
pp

$$\sigma^{pp \rightarrow hX} = \sum_q \text{DF} \otimes \text{DF} \otimes \sigma^{qq \rightarrow qq} \otimes \text{FF}$$

WG6: Y. Makdisi



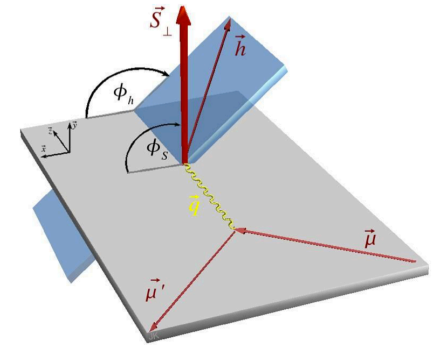
# TMD formalism in SIDIS



WG6: P. Mulders, J.W. Qui

Courtesy: Jiang, Wehai'11

$$\frac{d\sigma}{dx dy d\phi_S dz d\phi_h dP_{h\perp}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\epsilon)}$$



	$f_1 = \odot$	$\{F_{UU,T} + \dots$	<b>Unpolarized</b>
Boer-Mulders	$h_1^\perp = \odot - \ominus$	$+ \epsilon \cos(2\phi_h) \cdot F_{UU}^{\cos(2\phi_h)} + \dots$	
Worm-Gear	$h_{1L}^\perp = \odot \rightarrow - \ominus$	$+ S_L [\epsilon \sin(2\phi_h) \cdot F_{UL}^{\sin(2\phi_h)} + \dots]$	<b>SSA</b>
Transv/Collins	$h_{1T}^\perp = \odot \uparrow - \ominus \downarrow$	$+ S_T [\epsilon \sin(\phi_h + \phi_S) \cdot F_{UT}^{\sin(\phi_h + \phi_S)}$	
Sivers	$\tilde{f}_{1T}^\perp = \odot \uparrow - \ominus \downarrow$	$+ \sin(\phi_h - \phi_S) \cdot (F_{UL}^{\sin(\phi_h - \phi_S)} + \dots)$	
Pretzelosity	$h_{1T}^\perp = \odot \uparrow - \ominus \downarrow$	$+ \epsilon \sin(3\phi_h - \phi_S) \cdot F_{UT}^{\sin(3\phi_h - \phi_S)} + \dots]$	
Helicity	$g_{1L} = \odot \rightarrow - \ominus$	$+ S_L \lambda_e [\sqrt{1 - \epsilon^2} \cdot F_{LL} + \dots]$	<b>DSA</b>
Worm-Gear	$g_{1T} = \odot \uparrow - \ominus \downarrow$	$+ S_T \lambda_e [\sqrt{1 - \epsilon^2} \cos(\phi_h - \phi_S) \cdot F_{LT}^{\cos(\phi_h - \phi_S)} + \dots]$	

$S_L, S_T$ : Target Polarization;  $\lambda_e$ : Beam Polarization

# Collins and Sivers TMD's

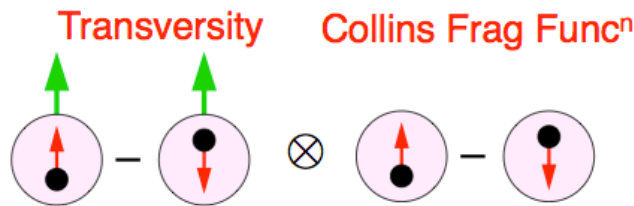
Courtesy: N. Makins, PANIC'11

## The "Collins Effect"

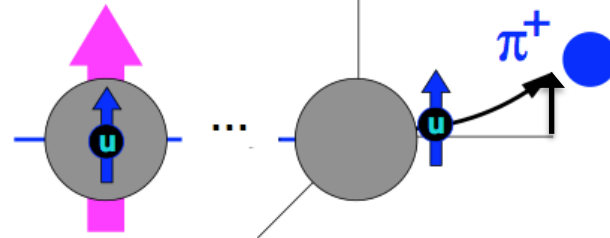
$$\sin(\Phi_h + \Phi_S)$$

$$h_1(x) \otimes H_1^\perp(z, p_T)$$

Angle of hadron /  
initial quark spin



sensitive to **transversity** and  
**spin-orbit** effects in **fragmentation**

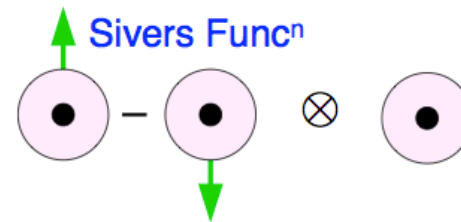


## The "Sivers Effect"

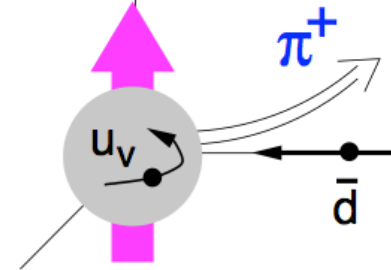
$$f_{1T}^\perp(x, k_T) \otimes D_1(z)$$

$$\sin(\Phi_h - \Phi_S)$$

Angle of hadron /  
final quark spin



sensitive to **quark orbital motion**



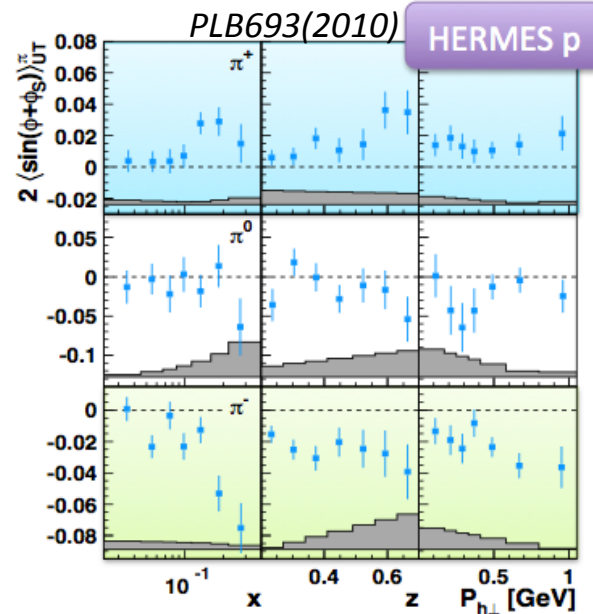
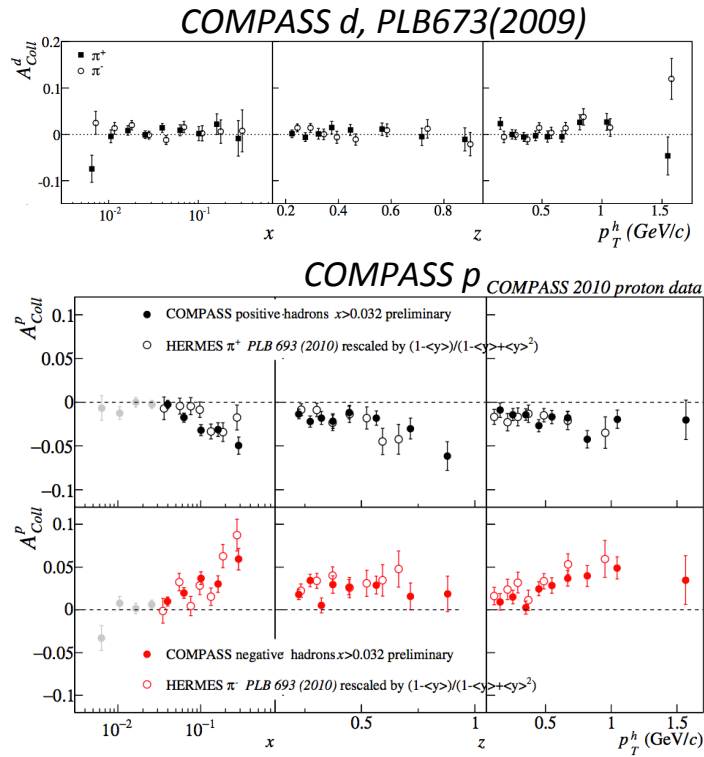
⊗ denotes **convolution** over intrinsic quark  $k_T$  & fragmentation  $p_T$

- correlation between parton transverse polarization in a transversely polarized nucleon and transverse momentum of the produced hadron

- correlation between parton transverse momentum and nucleon transverse polarization
- requires orbital angular momentum



# TMD: Collins SIDIS & pp SSA for $\pi$



- positive amplitude for  $\pi^+$
- compatible with zero amplitude for  $\pi^0$
- large negative amplitude for  $\pi^-$
- increase in magnitude with  $x$
- transversity mainly receives contribution from valence quarks
- increase with  $z$
- in qualitative agreement with BELLE results
- positive for  $\pi^+$  and negative for  $\pi^-$

role of disfavored Collins FF:

$$H_1^{\perp, \text{disfav}} \approx -H_1^{\perp, \text{fav}}$$

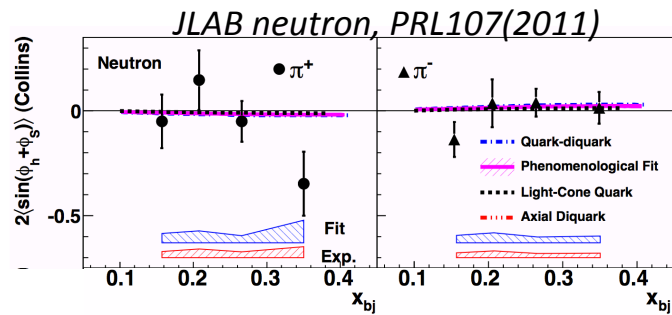
$$u \Rightarrow \pi^+; \quad d \Rightarrow \pi^- (\text{fav})$$

$$u \Rightarrow \pi^-; \quad d \Rightarrow \pi^+ (\text{disfav})$$

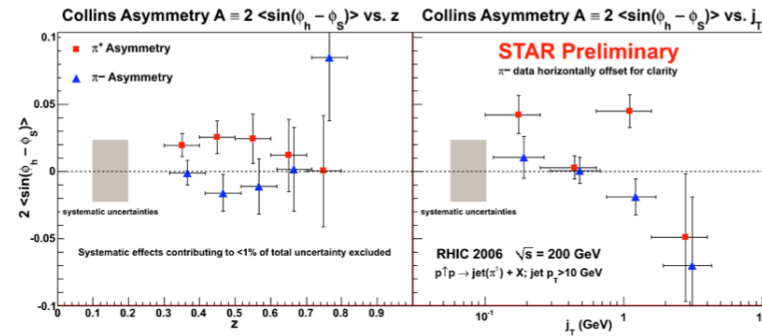
$$h_1^u > 0$$

$$h_1^d < 0$$

WG6: C. Adolf

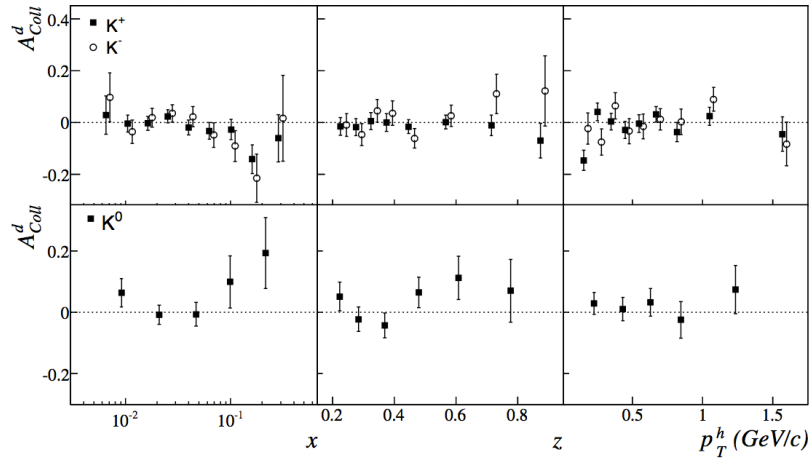


STAR pp, mid rapidity

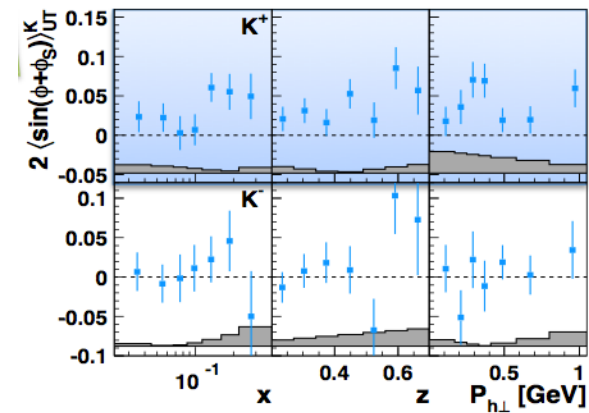


# TMD: Collins SIDIS SSA for K

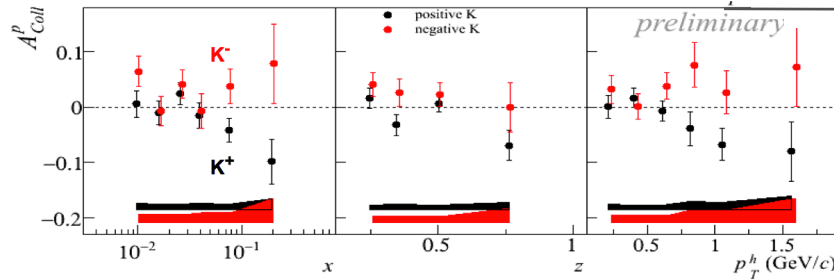
COMPASS d, PLB673(2009)



Hermes p, PLB693(2010)



COMPASS p, 2007 data



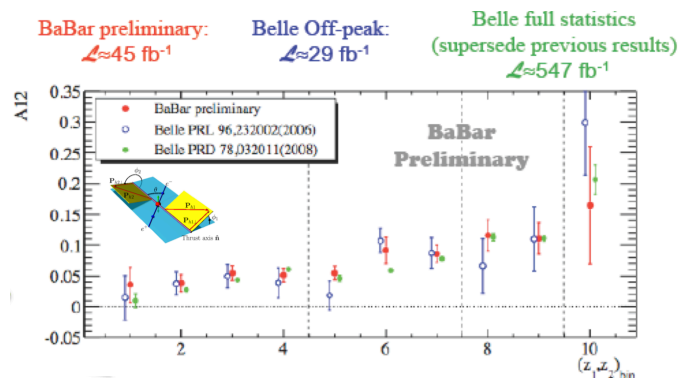
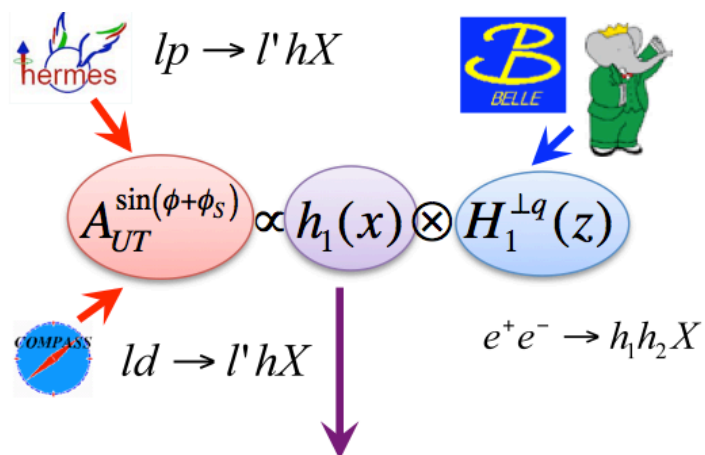
$K^+$

- $K^+$  amplitudes are similar to  $\pi^+$  as expected from the u-quark dominance
- $K^+$  are larger than  $\pi^+$

$K^-$

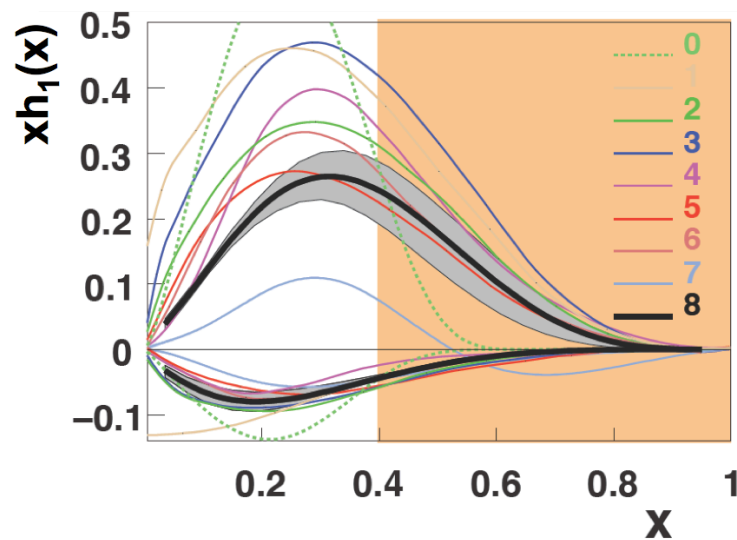
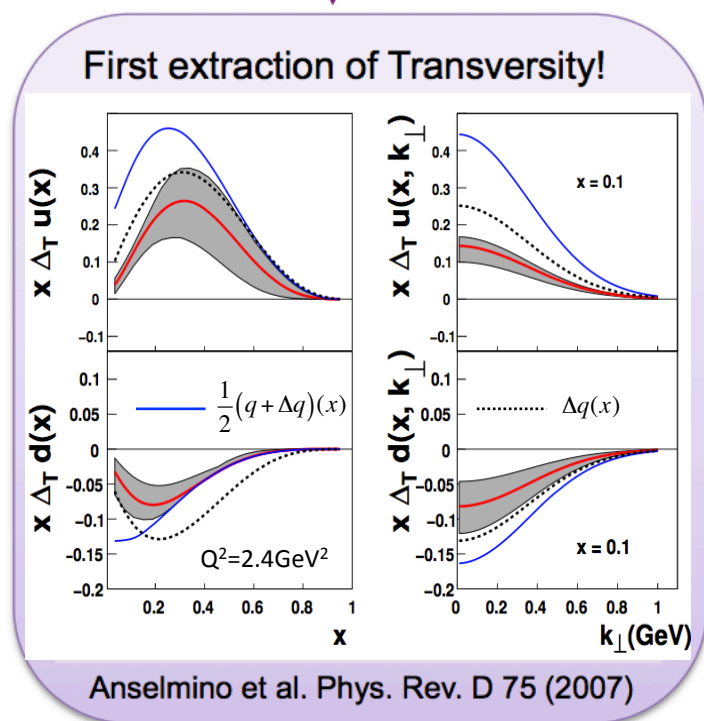
- consistent with zero amplitudes
- $K^-$  ( $\bar{u}s$ ) is all sea object

# TMD: Global fits to Collins 1h

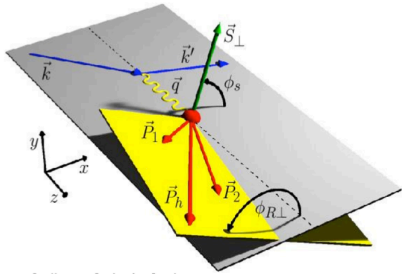


WG6: M. Leitgab

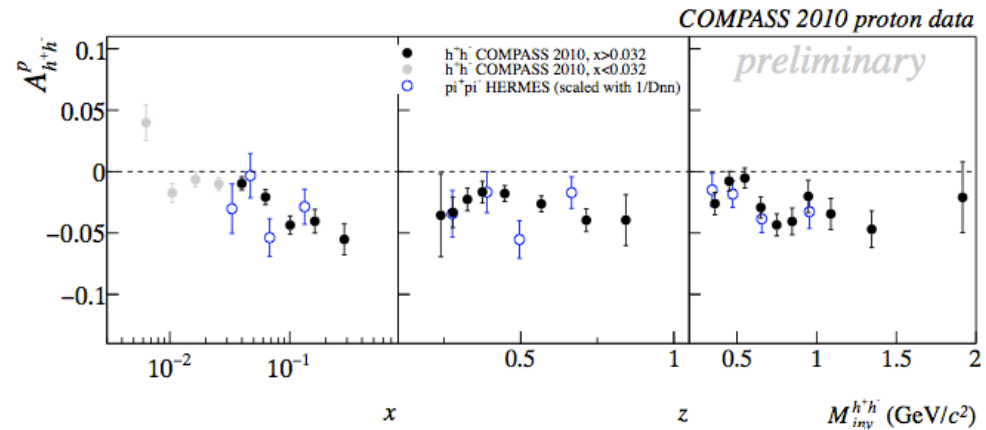
- Fit to Compass d + Hermes p (no Compass p in fit yet)
- $h_1^{u,d}$  slightly smaller than  $g_1^{u,d}$  ( $\Delta u, \Delta d$ )



# TMD: Collins asymmetry 2 h SIDIS



$$A_{UT}^{\sin(\phi_R+\phi_S)\sin\theta} \propto \frac{\sum_q e_q^2 h_1(x, Q^2) H_1^{\leftarrow}(z, M_h^2, Q^2)}{\sum_q e_q^2 f_1(x, Q^2) D_1^{\leftarrow}(z, M_h^2, Q^2)}$$



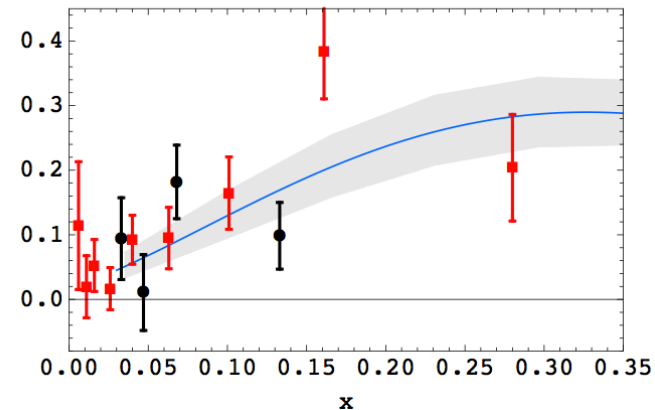
$lp \rightarrow l' \pi^+ \pi^- X$



WG6: C. Braun

$$A_{UT}^{\sin(\phi_{R\perp}+\phi_S)} \propto \sin\vartheta h_1(x) \otimes H_1^{\leftarrow q}(z)$$

$$x h_1^{u_v}(x) - \frac{1}{4} h_1^{d_v}(x)$$



$x h_1^{u_v}(x, Q^2) - \frac{1}{4} x h_1^{d_v}(x, Q^2) \approx - \frac{A_{UT}^{\sin(\phi_R+\phi_S)\sin\theta}(x, Q^2)}{C_y} \frac{n_u(Q^2)}{n_d(Q^2)} \sum_{q=u,d,s} \frac{e_q^2 N_q}{e_u^2} x f_1^{q+\bar{q}}(x, Q^2)$

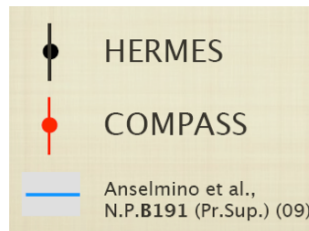
data (+ COMPASS)  $0.2 \leq z \leq 1$   
 $0.5 \leq M_h \leq 1$   $0.28 \leq M_h \leq 1.2$  [GeV]  
 $Q^2(\langle x \rangle) \sim 2.5$  [GeV<sup>2</sup>]

fitting

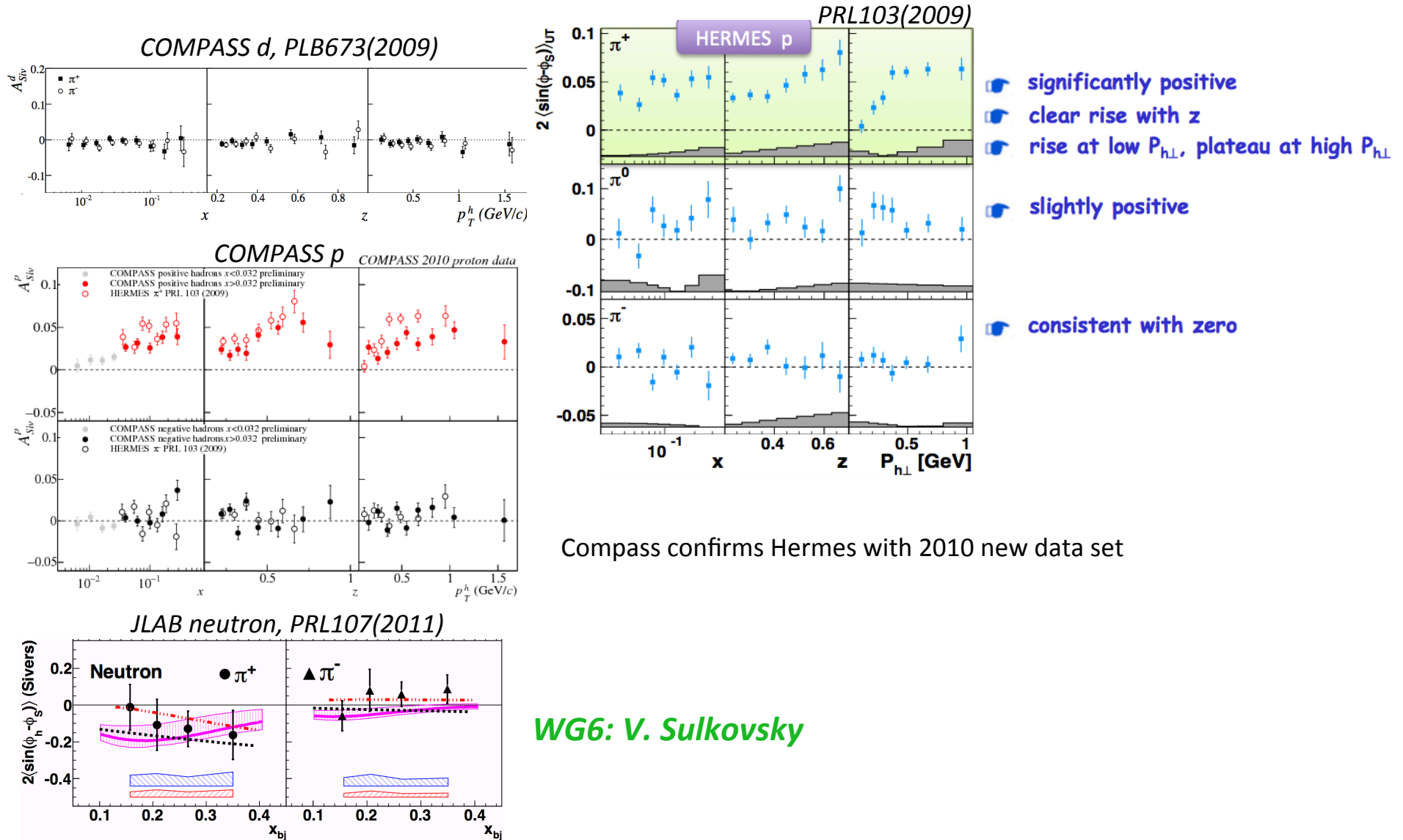
from a PDF param. ex: MSTW08LO  
not sensitive to other choices

WG6: A. Courtoy

- Independent access to  $h_1^q$
- Combining p+d  $\rightarrow h_1^u, h_1^d$   
(not shown here)



# TMD: Sivers SIDIS SSA for $\pi$

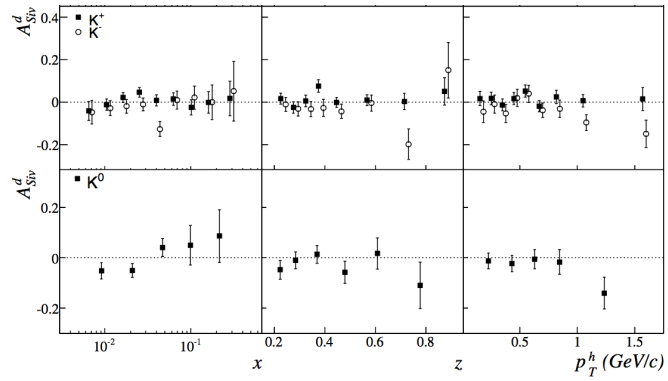


Compass confirms Hermes with 2010 new data set

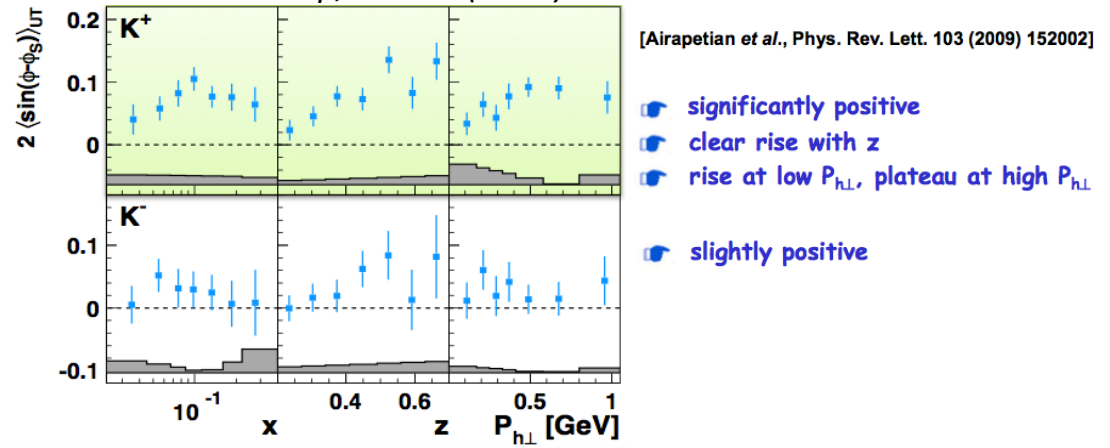
WG6: V. Sulkovsky

# TMD: Sivers SIDIS SSA for K

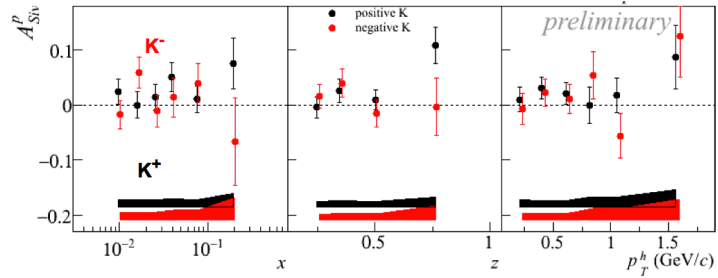
COMPASS d, PLB673(2009)



Hermes p, PRL103(2009)



COMPASS p, 2007 data



# Sivers asymmetry SIDIS and OAM

*Final-state interaction (lensing function)*

*Distortion in transverse momentum (related to Sivers function)*

*Sivers TMD*      *Lensing function*

$$f_{1T}^{\perp(0)a}(x; Q_L^2) = -L(x) E^a(x, 0, 0; Q_L^2)$$

*Use SIDIS Sivers asymmetry data to constrain shape*

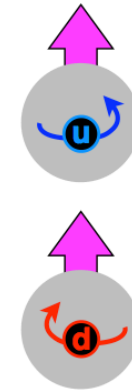
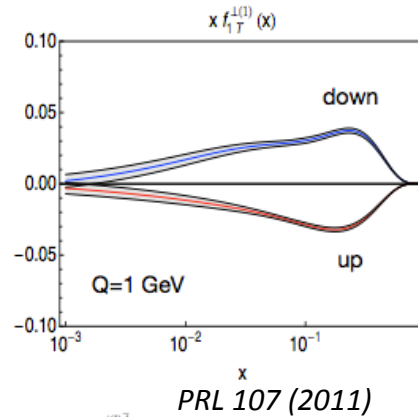
$$\kappa^p = \int_0^1 \frac{dx}{3} \left[ 2E^{u_v}(x, 0, 0) - E^{d_v}(x, 0, 0) - E^{s_v}(x, 0, 0) \right]$$

$$\kappa^n = \int_0^1 \frac{dx}{3} \left[ 2E^{d_v}(x, 0, 0) - E^{u_v}(x, 0, 0) - E^{s_v}(x, 0, 0) \right]$$

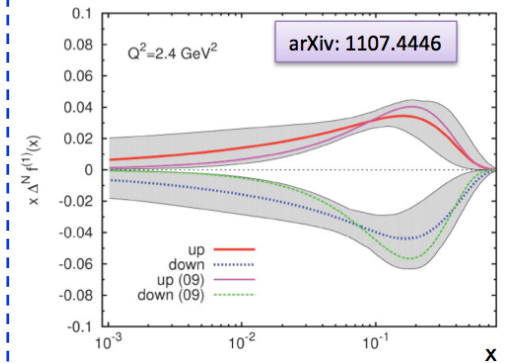
*Use anomalous magnetic moments to constrain integral*

*Burkardt, PRD66 (02)*

Pavia



Torino



$$A_{UT}^{\sin(\Phi-\Phi_s)} \propto f_{1T}^{\perp}(x) \otimes D_1^q(z)$$

*Fit to Compass d, Hermes p + unpol FF*

**WG6: A. Bacchetta  
M. Boglione**

Courtesy Bacchetta, PINAN'11

# Sivers asymmetry SIDIS and quark OAM

Courtesy Bacchetta, PINAN'11

$$J^u = 0.233 \pm 0.002^{+0.008}_{-0.012},$$

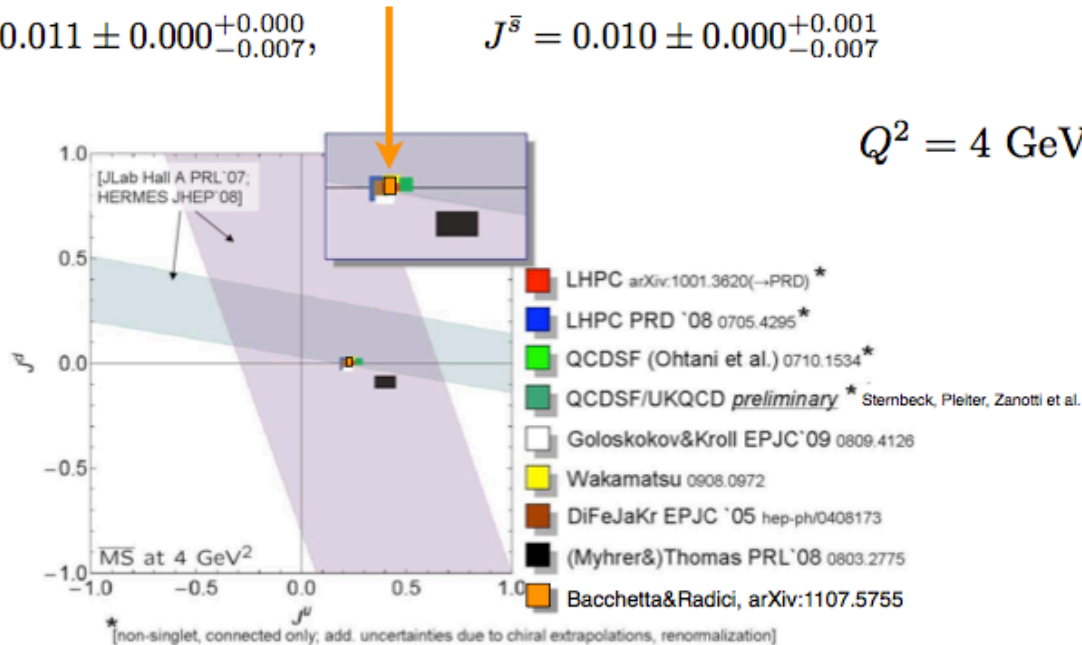
$$J^{\bar{u}} = -0.019 \pm 0.003^{+0.002}_{-0.001},$$

$$J^d = -0.003 \pm 0.002^{+0.020}_{-0.005},$$

$$J^{\bar{d}} = 0.026 \pm 0.003^{+0.002}_{-0.001},$$

$$J^s = 0.011 \pm 0.000^{+0.000}_{-0.007},$$

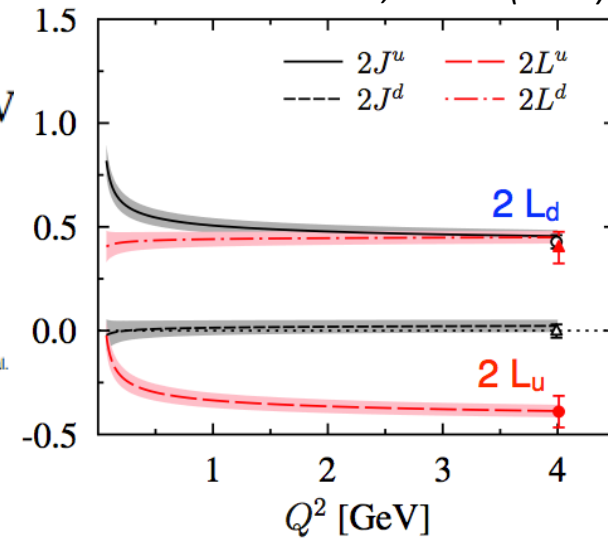
$$J^{\bar{s}} = 0.010 \pm 0.000^{+0.001}_{-0.007}$$



$Q^2 = 4 \text{ GeV}$

WG6: S. Collins

Lattice: Wakamatsu, EPJA44(2010)



INT writeup, Boer et al., arXiv:1108.1713 (fig. 4.3)

$$J_q = \frac{1}{2} \Delta\Sigma + L_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H(x, \zeta, t) + E(x, \zeta, t)]$$

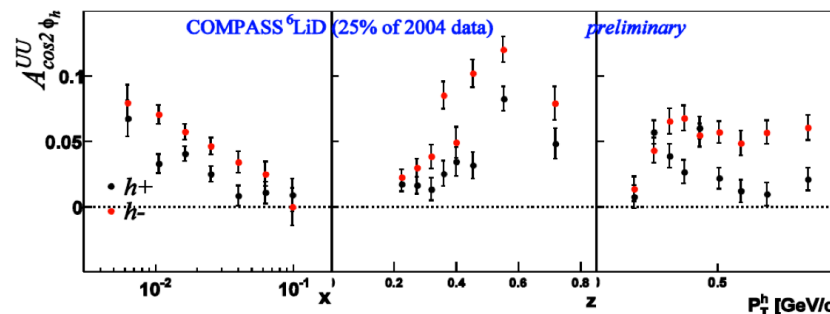
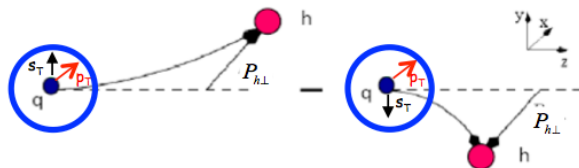
WG6: F. Yuan



# TMD: Boer-Mulders in SIDIS



$$h_1^\perp \otimes H_1^\perp$$



transversely polarised quarks  
with  $p_T$  in unpolarised nucleon

WG6: F. Giordano



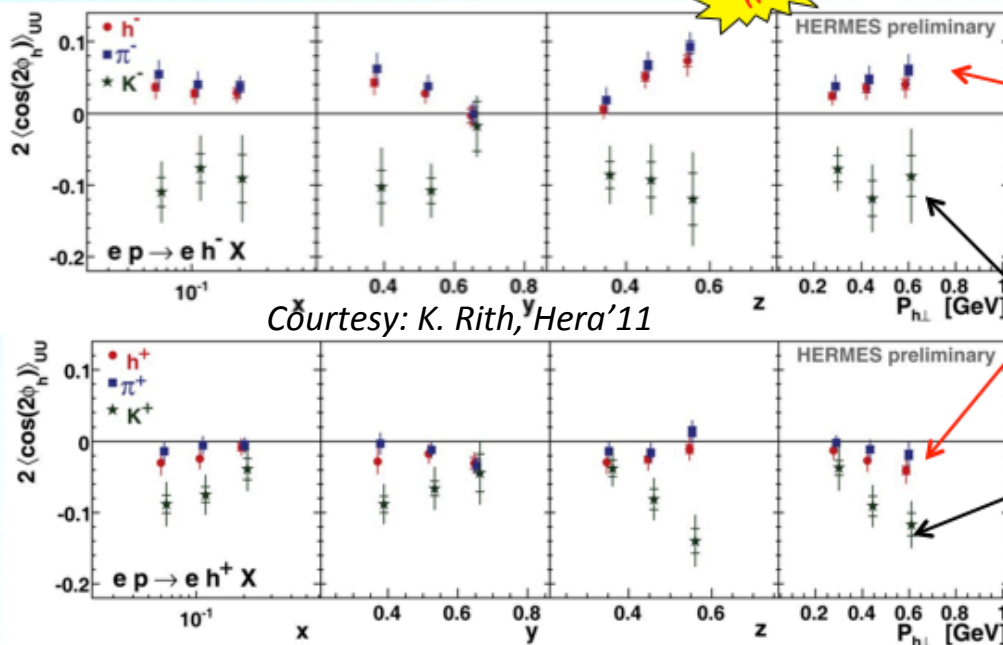
$h_1^\perp$  is chiral-odd and naive T-odd (like  $f_{1T^\perp}$ ) requires FSI/ISI

Opposite sign for  $\pi^+$  and  $\pi^-$ , larger magnitude for  $\pi^-$

$h_1^{\perp,u}$  and  $h_1^{\perp,d}$  have same sign

Large signal with same sign for  $K^\pm$

sea fragmentation important



Courtesy: K. Rith, Hera'11

# TMD: Worm-Gear in SIDIS

**Worm-gear** 

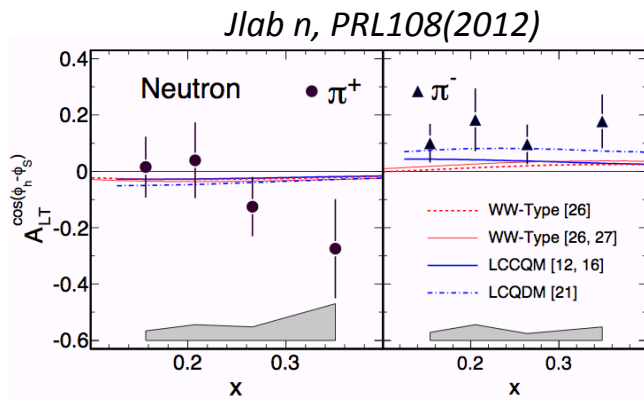
$\propto g_{1T}^\perp(x, p_T^2) \otimes D_1(z, k_T^2)$

- describes the probability to find longitudinally polarized quarks in a transversely polarized nucleon ( $\rightarrow$  "trans-helicity")
- accessible in LT DSAs through the leading-twist  $\cos(\phi-\phi_S)$  Fourier component

29

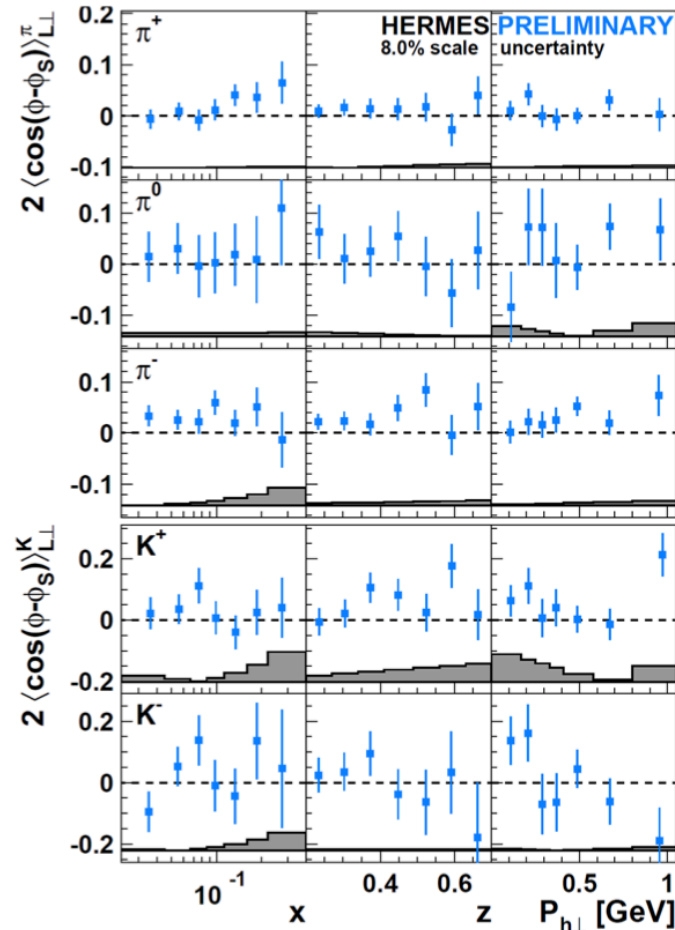
➤ Many models support simple relations among  $g_{1T}^\perp$  and other TMDs:

- $g_{1T}^q = -h_{1L}^{\perp q}$  (also supported by Lattice QCD and first data)
- $g_{1T}^{q(1)}(x) \stackrel{WW\text{-type}}{\approx} x \int_x^1 \frac{dy}{y} g_1^q(y)$  (Wandzura-Wilczek appr.)



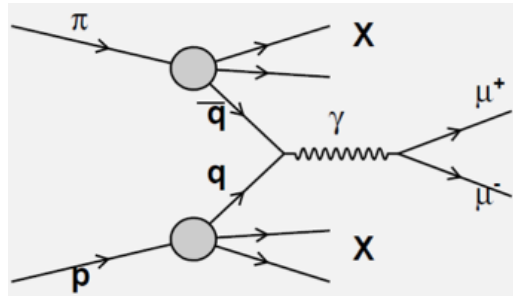
The only TMD that is both **chiral-even** and **naïve-T-even**

requires interference between wave funct. components that differ by 1 unit of OAM



consistent positive signal for  $\pi^+$  on p,n

# TMD: Sivers (&BM) asymmetry DY (future)

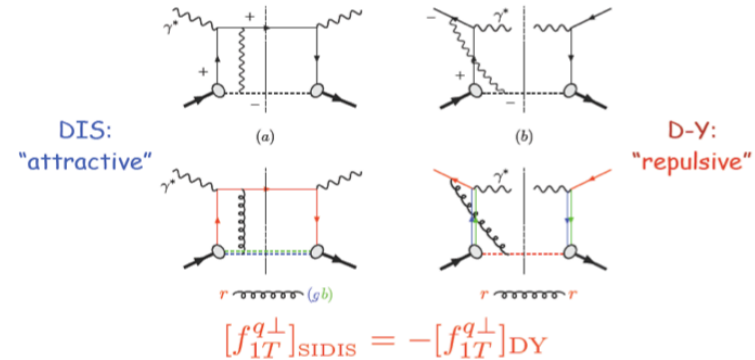


$$\sigma^{pp \rightarrow eeX} = \sum_q \text{DF} \otimes \text{DF} \otimes \sigma^{qq \rightarrow ee}$$

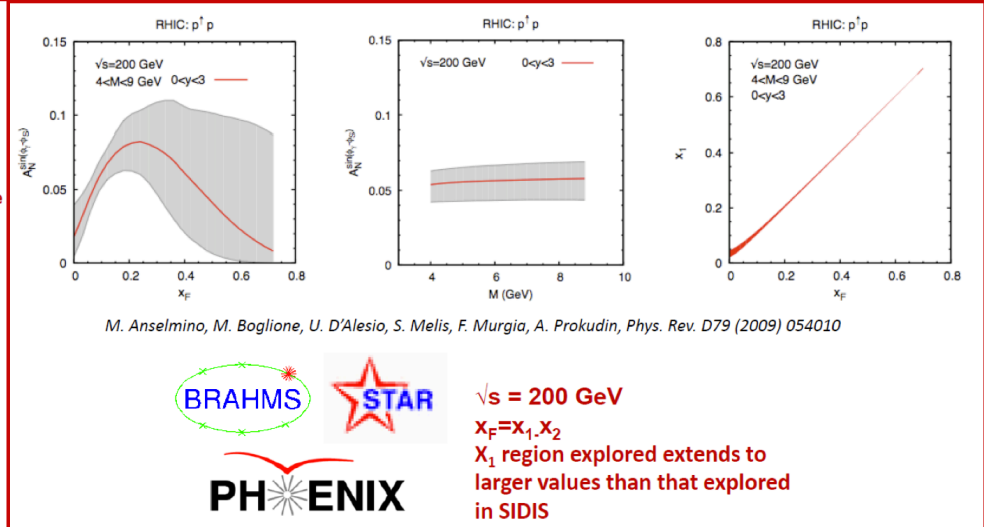
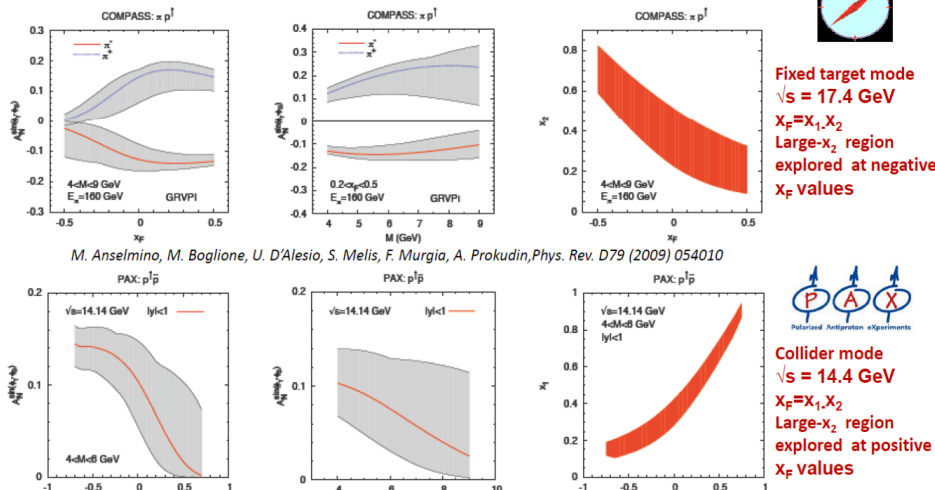
Crucial role of gauge-links in TMDs

Brodsky, Hwang, Schmidt;  
Collins; Belitsky, Ji, Yuan;  
Boer, Mulders, Pijlman

process-dependence of Sivers functions



Test of TMD factorization at QCD level



M. Anselmino et al., Phys.Rev.D79:054010,2009

WG7: G. Mallot, K. Boyle, M. Lamont

# Summary & Outlook

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## □ Helicity distributions for quark and gluons:

- Valence  $\Delta u_v, \Delta d_v$  quite well known, but  $\Sigma(\Delta q)$  only 33% of nucleon spin
- Separated  $\Delta q, \Delta \bar{q}$  obtained, but still issues with sea polarization
- $\Delta G/G(x)$  from direct measurements, but still limited in x range

## □ Transverse momentum dependent DF for quarks:

- Transversity (Collins): clean opposite signals for  $\pi^+, \pi^-$ ,  $\Delta_T q \leq \Delta q$
- T-odd Sivers: confirmed signal  $\neq 0$  for  $\pi^+$ ,  $=0$  for  $\pi^-$  on p, relation to OAM
- Many other SSA and SSA measured, more to come

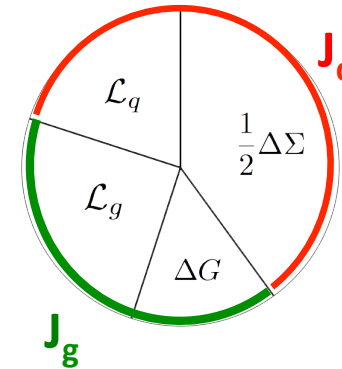
## □ Expected improvements for future:

- Gluon sector: RHIC, EIC
- Quark sector (helicity, TMD-DY, GPD): COMPASS, JLAB12, RHIC, EIC, ....

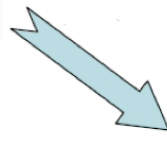
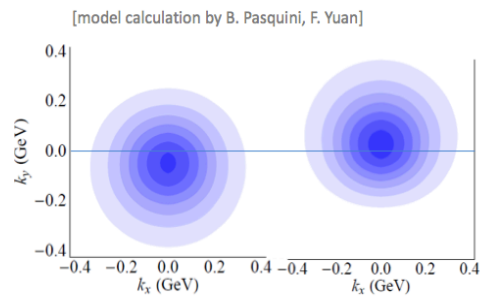
**WG7:** *G. Mallot, H. Wollny (COMPASS)*  
*K. Griffioen, K. Allada (JLAB12)*  
*K. Boyle, M. Lamont (RHIC)*  
*A. Bazilewski (EIC)*  
**WG6+7:** *F. Yuan, M. Stratmann (EIC)*

# Stay tuned for further « surprises »

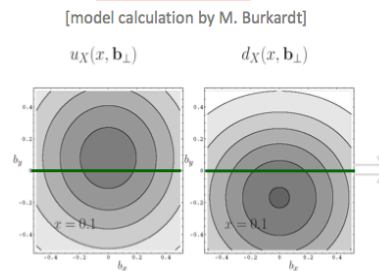
## 3D imaging of nucleon and OAM



TMDs



GPDs



Theory:

WG6: F. Yuan, S. Liuti, J. Wagner

WG2+6: M. Diehl

WG6+7: D. Mueller

Experimental:

WG6+7: S. Fazio

WG2+6: H. Moutarde, M. Murray

D. Sokhan