

Tatranská Štrba, June 27th - July 1st, 2011

## **COMPASS results on the nucleon spin structure** I.A. Savin, JINR, Dubna

## OUTLINE

- 1. Introduction
- 2. DIS from longitudinally polarised targets
- 3. DIS from transversely polarised targets
- 4. Summary



# 1. INTRODUCTION

- 1.1. What is COMPASS?
- 1.2. COMPASS physics goals.



## 1.1. COMPASS: <u>THE</u> new fixed target facility at CERN !

## COMPASS - History

- 1996 COMPASS proposal
- 1997 conditional approval
  - 1998 MoU
- 1999 2001 construction
   & installation

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- 2001 technical run
- 2002 2011 data taking
   COMPASS-II @CERN at least until 2015







The COMPASS Collaboration (230 Physicists from 12 Countries)





## The COMPASS spectrometer and target



With muon beam:

nucleon spin structure

• Gluon Polarization  $\Delta G/G$ 

Transverse spin structure function  $h_1(x)$ 

Flavor dependent polarized quark

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helicity densities  $\Delta q(x)$ 

- Spin dependent fragmentation functions
  - Diffractive VM-Production

With hadron beam:

hadron spectroscopy

- **Primakoff-Reactions** -polarizability of  $\pi$  and K
- Glueballs and hybrids
- Charmed mesons and baryons

   -semi-leptonic decays
   -double-charmed baryons





Smallness (<30%) of  $\Delta\Sigma$  confirmed by SMC!

"Where, oh where is the proton spin?"

Elliot Leader



## **Theory Input 1988**

#### CHIRAL SYMMETRY AND THE SPIN OF THE PROTON \*

Stanley J. BRODSKY \*, John ELLIS \*. b1 and Marek KARLINER \*

\* Stanford Linear Accelerator Center, Stanford University, Stanford, CA 94305, USA

\* CERN, CH-1211 Geneva 23, Switzerland

Received 22 February 1988

#### PLB 206 (1988) 309

LSavin@HS'11

#### A crisis in the parton model: where, oh where is the proton's spin?

E. Leader<sup>1</sup> and M. Anselmino<sup>2</sup>
 Birkback College, University of London, London, UR
 Dipartimento di Fisica Teorica, Università di Terine, I-10125 Terine, Italy

Received 18 March 1988

### ZPC 41 (1988) 239

A.V.Efremov, O.V.Teryaev\*

SPIN STRUCTURE OF THE NUCLEON AND TRIANGLE ANOMALY

#### THE ANOMALOUS GLUON CONTRIBUTION TO POLARIZED LEPTOPRODUCTION

G. ALTARELLI and G.G. ROSS ' CERN, CH-1211 Genera 23, Suitzerbuid

Received 29 June 1988

PLB 212 (1988) 391

Large gluon contributions have been advocated and COMPASS planned to see it.



E2-88-287

## 2. DIS from longitudinally polarised targets

- 2.1. DIS x-sections & asymmetries
- 2.2. Inclusive asymmetries and spin structure functions
- 2.3. Semi-inclusive asymmetries and helicity PDF



## 2.1. DIS x-section for Inclusive & Semi-Inclusive Deep Inelastic Scattering



Incusive x-section : product of contributions from the lepton vertex, which is described in QED, and nucleon vertex, which is expressed via nucleon Structure Functions (SF). In QPM structure functions are expressed via Parton Distribution Functions (PDF) – factorisation theorem.

Semi-Inclusive x-section: product of contributions from lepton and nucleon vertexes and from the Fragmentation Function (FF) of quarks into hadrons.



## **Structure functions & Parton Distribution Functions**

Three twist-2 (LO) SF & PDFs, equally important for description of the nucleon structure:



unpolarised PDF quark with momentum xP in a nucleon ( well known – unpolarized DIS)

helicity PDF quark with spin parallel to the nucleon spin in a longitudinally polarised nucleon ( known – polarized DIS)



transversity PDF quark with spin parallel to the nucleon spin in a transversely polarised nucleon (chiral odd, poorly known)



## **PDF AND STRUCTURE FUNCTIONS**



2.2. Inclusive asymmetries and spin structure functions

2.2.1. World data on A1(x)

2.2.2. g1(x,Q2)

2.2.3. Test of the Bjorken sum rule

2.2.4. QCD fits and preliminary data on gluon polarisation



# X-section asymmetries are measurable values for studies of polarised SF and PDF







## Interpretation of $A_1$ in terms of structure functions



- $\mathbf{g}_1$  (polarised structure function) is obtained from the asymmetry  $\mathbf{A}_1$  using:
- $F_2 \rightarrow \underline{SMC \text{ parameterisation}}$  and  $R = \sigma^L / \sigma^T \rightarrow \underline{SLAC \text{ parameterisation}}$



## 2.2.1. Inclusive asymmetries $A_1^{d/p}$ : $Q^2 > 1$ (GeV/c)<sup>2</sup>



- Good agreement between all experimental points
- <u>Significant improvement of precision in the low x region</u>: compatible with zero for x < 0.01</li>
- No negative trend for A<sup>d</sup>

#### $contrast results for g_1$

#### and more moments of $g_1$



$$\Gamma_{1}^{N}(\mathbf{Q}_{0}^{2}=3(\mathbf{GeV/c})^{2}) = \int_{0}^{1} \mathbf{g}_{1}(\mathbf{x}) d\mathbf{x} = 0.0502 \pm 0.0028(\mathbf{stat}) \pm 0.0020(\mathbf{evol}) \pm 0.0051(\mathbf{syst})$$
$$= \frac{1}{9} \left( 1 - \frac{\alpha_{s}(\mathbf{Q}^{2})}{\pi} + \mathbf{O}(\alpha_{s}^{2}) \right) \left( \mathbf{a}_{0}(\mathbf{Q}^{2}) + \frac{1}{4}\mathbf{a}_{s} \right) \implies \mathbf{a}_{0} = 0.35 \pm 0.03(\mathbf{stat}) \pm 0.05(\mathbf{syst})$$

$$\Delta \Sigma^{\overline{\text{MS}}} = 0.33 \pm 0.03 (\text{stat}) \pm 0.05 (\text{syst}) \quad (\Delta \Sigma^{\overline{\text{MS}}} = \mathbf{a}_0 \ @\ \mathbf{Q}^2 \to \infty)$$
$$(\Delta \mathbf{s} + \Delta \overline{\mathbf{s}}) = \frac{1}{3} (\Delta \Sigma^{\overline{\text{MS}}} - \mathbf{a}_8) = -0.08 \pm 0.01 (\text{stat}) \pm 0.02 (\text{syst})$$



## 2.2.2. $Q^2$ evolution of $g_1(x, Q^2)$

- $Q^2$  dependence of  $g_1$  data related to gluon polarization (DGLAP)
- Limited kinematic range (c.f. unpol. HERA)
- Proton data will be updated in 2011







 $|g_A/g_V| = 1.28 \pm 0.07 (\text{stat.}) \pm 0.10 (\text{syst.})$ 

 $|g_A/g_V| = 1.269$  from neutron  $\beta$  decay



OMPASS

# 2.2.4. QCD fits and preliminary data on the gluon polarisation $g_1 @ NLO$

In QPM g<sub>1</sub> is related to the polarized parton distribution functions (PDF):

$$g_1^{p(n)}(x,Q^2) = \frac{1}{9} \left( C_{NS} \otimes \left[ \pm \frac{3}{4} \varDelta q_3 + \frac{1}{4} \varDelta q_8 \right] + C_S \otimes \varDelta \Sigma + C_G \otimes \varDelta G \right)$$

Where  $C_{NS}$ ,  $C_S$  and  $C_G$  are Wilson coefficients,  $\Delta q_3$ ,  $\Delta q_8$  - non-singlet polarized quark DF,

- Δ Σ singlet polarized quark DF,
- △ G polarized gluon DF,

 $\otimes$  - convolution:  $a(x) \otimes b(x) = \int_{x}^{1} \frac{dy}{y} a\left(\frac{x}{y}\right) \cdot b(y)$ 

In the 3 quark limits:

 $\Delta \Sigma = \Delta \mathbf{u} + \Delta \mathbf{d} + \Delta \mathbf{s},$  $\Delta \mathbf{q}_3 = \Delta \mathbf{u} - \Delta \mathbf{d},$  $\Delta \mathbf{q}_8 = \Delta \mathbf{u} + \Delta \mathbf{d} - \mathbf{2} \Delta \mathbf{s}$ 

## FITTING PROGRAMS

PROGRAM 1[SMC, P.R. D58 (1998) 112002]numerical solutions of the DGLAP evolution equations for PDF's.

PROGRAM 2 [Refered to in P.R. D70 (2004) 074032].

Works in two steps:

- 1. Analytical solution of the evolutions equations for the PDF moments,
- 2. Inverse Mellin transformation of moments for PDF's reconstruction (similar to one developed for the QCD analysis of F,

(x, Q<sup>2</sup>), [Krivokhizhin et al., Z.Phys. C36 (1987) 51])

Both programs work in the MS renormalization and factorization scheme in next-to-leading (NLO) approximation and require input parametrizations of PDF's



## FITTED xg1 & WORLD DATA

The world data on  $xg_1(x)$  at  $Q_0^2=3$  GeV<sup>2</sup> are shown in this slide together with the QCD fit for  $\Delta G \leq 0$  (blue lines).



The fit reproduce trends of data rather well. But precisions of present measurements, especially for  $g_1^d$  and  $g_1^n$ , are still poor.



Preliminary data on gluon polarisation and the QCD fits of the world data on g1(x,Q2): two solutions, positive and negative values of gluon polarisation are possible.



2.3. Semi-inclusive asymmetries and helicity PDF

2.3.1. Proton & deuteron asymmetries

2.3.2. Helicity PDF

2.3.3. Gluon polarisation, direct measurements



## 2.3.1. Proton asymmetries and.....



*COMP*ASS

- incl. & semi-incl. asymmetries,
- similar data for deuteron



## **Deuteron asymmetries**





## 2.3.2. Helicity PDF and the role of quark flavours







## Comparison of $\Delta s$ with $\Delta \overline{s}$



 $\Delta s - \Delta \overline{s}$  is compatible with  $0 \rightarrow \Delta s = \Delta \overline{s}$  is assumed in the subsequent analysis



**Quark helicities from SIDIS** ( $Q^2 = 3 (GeV/c)^2$  and x < 0.3)



 $\Delta s(SIDIS) = -0.01 \pm 0.01(stat) \pm 0.01(syst)$  @ 0.003 < x < 0.3



## $\Delta \overline{u} - \Delta \overline{d}$ : Flavour asymmetry?

- The considerable asymmetry observed for (u d) is not verified in the polarised case :
  - $\Delta \overline{u} \Delta \overline{d}$  is slightly positive but compatible with zero!





# 2.3.2. Gluon polarisation

2.3.2.1. Direct measurements

2.3.2.2. Open charm analysis

2.3.2.3. High-pT analysis

2.3.2.4. World data



## 2.3.2.1. Direct measurement of $\Delta G/G$ in LO





There are two methods to tag this process:

- Open Charm production
  - $\gamma^* g \rightarrow c\overline{c} \implies \underline{reconstruct D^0 mesons}$
  - Hard scale: M<sub>c</sub><sup>2</sup>
  - No intrinsic charm in COMPASS kinematics
  - No physical background
  - Weakly Monte Carlo dependent
  - Low statistics
- High-p<sub>T</sub> hadron pairs
  - $\gamma^* g \rightarrow q \overline{q} \implies \underline{reconstruct \ 2 \ jets \ or \ h^+h^-}$
  - Hard scale:  $Q^2 \, \text{or} \, \Sigma p_T^2 \, \left[ Q^2 > 1 \text{ or} \, Q^2 < 1 \, (\text{GeV/c})^2 \right.$
  - High statistics
  - Physical background
  - Strongly Monte Carlo dependent



## 2.3.2.2. Open Charm analysis: Simultaneous extraction of ΔG/G and A<sup>bg</sup>

 The relation between the number of reconstructed D<sup>0</sup> (for each target cell configuration) and ΔG/G is given by:

$$\mathbf{N}_{t} = \mathbf{a} \phi \mathbf{n} (\mathbf{S} + \mathbf{B}) \left( 1 + \mathbf{f} \mathbf{P}_{T} \mathbf{P}_{\mu} \left[ \mathbf{a}_{LL} \frac{\mathbf{S}}{\mathbf{S} + \mathbf{B}} \frac{\Delta \mathbf{G}}{\mathbf{G}} + \mathbf{D} \frac{\mathbf{B}}{\mathbf{S} + \mathbf{B}} \mathbf{A}^{bg} \right] \right), \quad \mathbf{t} = (\mathbf{u}, \mathbf{d}, \mathbf{u}', \mathbf{d}')$$
  
acceptance, muon flux, number of target nucleons Open Charm event probability

• Each equation is weighted with a signal weight  $\omega_s = f P_{\mu} a_{LL} S/(S+B)$  and also with a background weight  $\omega_B = f P_{\mu} D B/(S+B)$ :

<u>8 equations with 7 unknowns</u>:  $\Delta G/G$ ,  $A^{bg} + 5$  independent  $\alpha = (a\phi n)$  factors

### The system is solved by a $\chi^2$ minimisation



## **D**<sup>0</sup> invariant mass spectra: All samples (2002-2007 data)





## **Open Charm results in LO**





## 2.3.2.3. High- $p_{T}$ asymmetries (2002-2006): Q<sup>2</sup> > 1 (GeV/c)<sup>2</sup>

• Two samples are considered:

$$\mathbf{A}_{1}^{\mathbf{d}}(\mathbf{x}) = \frac{\Delta \mathbf{G}}{\mathbf{G}}(\mathbf{x}_{g}) \left( \mathbf{a}_{LL}^{PGF,inc} \frac{\sigma^{PGF,inc}}{\sigma^{Tot,inc}} \right) + \mathbf{A}_{1}^{LO}(\mathbf{x}_{C}) \left( \mathbf{a}_{LL}^{C,inc} \frac{\sigma^{C,inc}}{\sigma^{Tot,inc}} \right) + \mathbf{A}_{1}^{LO}(\mathbf{x}_{Bj}) \left( \mathbf{D} \frac{\sigma^{LO,inc}}{\sigma^{Tot,inc}} \right) \\ \mathbf{A}_{LL}^{2h}(\mathbf{x}) = \left( \frac{\mathbf{A}^{exp}}{\mathbf{f} \mathbf{P}_{\mu} \mathbf{P}_{T}} \right) = \frac{\Delta \mathbf{G}}{\mathbf{G}}(\mathbf{x}_{g}) \left( \mathbf{a}_{LL}^{PGF} \frac{\sigma^{PGF}}{\sigma^{Tot}} \right) + \mathbf{A}_{1}^{LO}(\mathbf{x}_{C}) \left( \mathbf{a}_{LL}^{C} \frac{\sigma^{C}}{\sigma^{Tot}} \right) + \mathbf{A}_{1}^{LO}(\mathbf{x}_{Bj}) \left( \mathbf{D} \frac{\sigma^{LO,inc}}{\sigma^{Tot,inc}} \right)$$

Inclusive asymmetry

high- $p_{T}$  hadron pairs  $(p_{T1} / p_{T2} > 0.7 / 0.4 \text{ GeV/c}) \Rightarrow \text{enhancement of the PGF contribution}$ 




### **Extraction of \Delta G/G from high-p\_T: Q^2 > 1 (GeV/c)^2**

 <u>The gluon polarisation is determined from two asymmetry samples</u>: the two highp<sub>T</sub> hadrons and the inclusive data samples. The final formula is:

$$\frac{\Delta g}{g}(\mathbf{x}_{g}) = \frac{1}{\beta} \Big[ \mathbf{A}_{LL}^{2h}(\mathbf{x}) + \mathbf{A}_{corr} \Big] \qquad \mathbf{A}_{corr} = - \left( \mathbf{A}_{1}(\mathbf{x}_{Bj}) \mathbf{D} \frac{\mathbf{R}_{LO}}{\mathbf{R}_{LO}^{inc}} - \mathbf{A}_{1}(\mathbf{x}_{C}) \beta_{1} + \mathbf{A}_{1}(\mathbf{x}_{C}') \beta_{2} \right)$$
$$\beta = \mathbf{a}_{LL}^{PGF} \mathbf{R}_{PGF} - \mathbf{a}_{LL}^{PGF,inc} \mathbf{R}_{PGF}^{incl} \frac{\mathbf{R}_{LO}}{\mathbf{R}_{LO}^{inc}} - \mathbf{a}_{LL}^{PGF,incl} \frac{\mathbf{R}_{C} \mathbf{R}_{PGF}^{inc}}{\mathbf{R}_{LO}^{inc}} \frac{\mathbf{A}_{C}^{C}}{\mathbf{R}_{LO}^{inc}} \Big]$$

- $\beta_1$  and  $\beta_2$  are factors depending on  $a_{LL}^{i}$  and  $R_i$
- Each event is weighted with  $\omega = f D P_{\mu} \beta \rightarrow \underline{statistical improvement}!$
- The following parameters are obtained from Monte Carlo, and then they are
  parameterised event-by-event by a Neural Network (to allow for their use in data):

$$R_{pGF} \ , \ R_C \ , \ R_{LO} \ , \ R_{PGF}^{inc} \ , \ R_C^{inc} \ , \ R_{LO}^{inc} \ , \ a_{LL}^{PGF} \ , \ a_{LL}^{C} \ , \ a_{LL}^{LO} \ , \ a_{LL}^{PGF, inc} \ , \ a_{LL}^{C, inc} \ and \ a_{LL}^{LO, inc}$$



### **High-** $p_{T}$ **results:** $Q^2 > 1 (GeV/c)^2$







### High- $p_{T}$ analysis: $Q^2 < 1 (GeV/c)^2$



2002-2004 Preliminary:

 $\Delta G/G = 0.016 \pm 0.058 \text{ (stat)} \pm 0.055 \text{ (syst)}$ 

2002-2003 Published:

 $\Delta G/G = 0.024 \pm 0.089 \text{ (stat)} \pm 0.057 \text{ (syst)} \rightarrow Phys. Lett. B 633 (2006) 25 - 32$ 



### 2.3.2.4. World measurements on ΔG/G in LO

### Gluon contributions to the nucleon spin are small.



3. DIS from transversely polarised targets. Transverse Spin and Transverse Momentum effects in SIDIS.

**3.1.Transverse Spin & Momentum structure of nucleons** 

**3.2. Results on the Azimuthal modulations (AM) with T-targets** 

**3.3. Results on the AM with L-targets** 



### **3.1.Transverse Spin and Momentum structure of the Nucleon**

Three distribution functions are necessary to describe the quark structure of the nucleon at Twist-2 (LO) in the **collinear case**:  $f_1$ ,  $g_1$  and  $h_1$ 

**Transversity PDF,**  $h_1$  or  $\Delta_T q$ , describes correlation between the transverse spin of the nucleon and the transverse spin of the quark.



#### nucleon polarisation

### ....additional to the "colinear" are PDFs:

Sivers function  $f_{IT}^{\perp}$ , correlation between the transverse spin of the nucleon and the transverse momentum of the quark in T-polarised nucleons,

**Boer-Mulders function**  $\boldsymbol{h}_{I}^{\perp}$  - correlation between the transverse spin and the transverse momentum of the quark in unpolarised nucleons,

 $\boldsymbol{h}_{1L}^{\perp}$  ( $\boldsymbol{h}_{1T}^{\perp}$ ) - similar to Boer-Mulders, but in L (T) polarised nucleons,



The azimuthal distributions of hadrons in SIDIS of leptons off T-, L- and Un-polarised targets are sources of information on new PDFs and PFFs, characterizing the longitudinal and transverse spin structure of nucleons, e.g.:



$$\ell + \overline{N} \to \ell' + X + h$$



A number of PDF's and PFF's enter in total SIDIS cross section. They are characterizing by modulations vs. various azimuthal angles, particulary vs. Collins or Sivers angles.



### **Azimuthal modulations**

### Collins and Sivers angles

φ<sub>h</sub>



 $\phi_{S'}$  azimuthal angle of spin vector of fragmenting quark ( $\phi_{S'}$  =  $\pi$  -  $\phi_S$ )

azimuthal angle of hadron momentum



### The amplitudes of modulations have forms:

$$F_{BT}^{mod} \propto x \sum_{q} e_{q}^{2} f^{q} \otimes D_{q}^{h}$$
  
any TMD PDF  
corresponding FF

The measurements of the modulation amplitudes using different targets with different polarizations and looking at different final state hadrons give information on the different TMD PDFs for the different quark flavors q

### **General expression for the SIDIS cross section**

$$\frac{d\sigma}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h\perp}^2} = \frac{14 \text{ azimuthal modulations}}{\phi_{h(S)} \text{ hadron (nucleon spin)} \text{ azimuthal angle in GNS}}$$

$$\frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h}} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h}} \right\} + \left\{ S_{\parallel} \right\} \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_h F_{UL}^{\sin \phi_h} + \varepsilon \sin(2\phi_h) F_{UL}^{\sin 2\phi_h}} + \left\{ S_{\parallel} \right\} \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{LL}^{\cos \phi_h} - \frac{3}{(5)} \text{ with } L \text{ polarised target}} \right\}$$

$$+ \left\{ S_{\perp} \right\} \left[ \sin(\phi_h - \phi_S) \left( F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \right]$$

$$+ \left\{ s \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \right\}$$

$$+ \left\{ \sqrt{2\varepsilon(1+\varepsilon)} \sin \phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$$

$$+ \left\{ S_{\perp} \right\} \left\{ \sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \cos \phi_S F_{LT}^{\cos\phi_S} + \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right\} \right\}$$

$$+ \left\{ \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right\}$$

$$+ \left\{ \sqrt{2\varepsilon(1-\varepsilon)} \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right\}$$

# 3.2.Results on azimuthal modulations with transverse target polarization:

### 3.2.1. "Collins asymmetry"

 $\frac{d\sigma}{dx\,dy\,d\psi\,dz\,d\phi_h\,dP_{h\perp}^2} = \dots$ "Collins asymmetry":  $+ \left( |\mathbf{S}_{\perp} \right) \left| \sin(\phi_h - \phi_S) \left( F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) \left( F_{UT}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT}^{\sin(3\phi_h - \phi_S)} \right) \right)$  $+\sqrt{2\varepsilon(1+\varepsilon)}\sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)}\sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)}$  $+ \left| \mathbf{S}_{\perp} \right| \lambda_{e} \left[ \sqrt{1 - \varepsilon^{2}} \cos(\phi_{h} - \phi_{S}) F_{LT}^{\cos(\phi_{h} - \phi_{S})} + \sqrt{2 \varepsilon (1 - \varepsilon)} \cos \phi_{S} F_{LT}^{\cos \phi_{S}} \right]$  $+\sqrt{2\varepsilon(1-\varepsilon)}\cos(2\phi_h-\phi_S)F_{LT}^{\cos(2\phi_h-\phi_S)}\bigg|\bigg\}$ 

### "Collins" asymmetry is characterised by the

amplitude  $F_{UT}^{\sin(\phi_h + \phi_S)}$  of the  $\sin(\phi_h + \phi_S - \pi)$  modulation in the azimuthal distribution of the final state hadrons

"Collins FF" transversity  $\boldsymbol{A_{Coll}} \approx \frac{\sum_{q} e_q^2 \left( \boldsymbol{A}_T \boldsymbol{q} \right) \otimes \boldsymbol{\Delta}_T^0 \boldsymbol{D}_q^h}{\sum_{q} e_q^2 \boldsymbol{q} \otimes \boldsymbol{D}_q^h}$ 

It can be measured from one hadron, two hadron azimuthal modulations and Lambda polarisation in SIDIS and from the data on  $e^+e^- \rightarrow \pi^+\pi^-X$  at Belle,where pions are from two differend jets.



### "Collins" @ Belle Asymmetries are proportional to the product of two Collins FFs





### "Collins" asymmetry

2005

• first strong signals seen by HERMES on protons: MILESTONE!

no signal seen by COMPASS on deuterons

the COMPASS d, HERMES p, and BELLE data are well described in global fits  $\rightarrow$  first extractions of the Collins FFs and the transversity PDFs





### "Collins" asymmetry - proton

COMPASS results from 2007 data for Kaons and pions: compatible with zet at small x, large signal in valence region of opposite signs for pos and neg hadrons



OMPA

### "Collins" asymmetry – proton: Hermes vs. COMPASS



#### same sign and strength: a very important, not obvious result!

*indication for: not a higher twist effect, weak* Q<sup>2</sup> *dependence of the Collins FF* 



### **Two Hadron Asymmetry**



### Results on azimuthal modulations with transverse target polarization 3.2.4. "Sivers" asymmetry

### "Sivers" asymmetry

 $F_{UT,T}^{\sin(\phi_h - \phi_S)}$ 

2005

- first strong signal seen by HERMES for  $\pi^+$  on protons
- no signal seen by COMPASS for  $\mathbf{h}^+$  and  $\mathbf{h}^-$  on deuterons

→ first extractions of the Sivers function from HERMES p (and COMPASS d) data good description of the experimental results





### "Sivers" asymmetry - proton

# OMPA

### **COMPASS** results from 2007 data





### **Sivers asymmetry - proton**



# Results on azimuthal modulations with transverse target polarisation.



### Results on azimuthal modulations with longitudinal target polarisation







### The cross section and L-asymmetry of the h production in SIDIS:

$$d\sigma = d\sigma_{00} + P_{\mu}d\sigma_{L0} + P_{L}(d\sigma_{0L} + P_{\mu}d\sigma_{LL}) + |P_{T}|(d\sigma_{0T} + P_{\mu}d\sigma_{LT}),$$

$$a(\phi) = \frac{d\sigma^{\leftarrow \Rightarrow} - d\sigma^{\leftarrow \Rightarrow}}{d\sigma^{\leftarrow \Rightarrow} + d\sigma^{\leftarrow =}} \sim |P_L| (d\sigma_{0L} + P_\mu d\sigma_{LL}) + |P_L| tg(\theta_\gamma) (d\sigma_{0T} + P_\mu d\sigma_{LT}) ,$$

### where contributions to $\sigma_{ij}$ (i=beam, j= target polarizations) from each quark and antiquark (up to the order of (M/Q)) have forms:

Twist 3



Method of the analysis



$$R_{f}(\phi) = \frac{N_{+f}^{U}(\phi)}{N_{-f}^{D}(\phi)} \cdot \frac{N_{+f}^{D}(\phi)}{N_{-f}^{U}(\phi)} = \frac{C_{f}^{U}(\phi)L_{+f}^{U}\sigma_{+}(\phi)}{C_{f}^{D}(\phi)L_{-f}^{D}\sigma_{-}(\phi)} \cdot \frac{C_{f}^{D}(\phi)L_{+f}^{D}\sigma_{+}(\phi)}{C_{f}^{U}(\phi)L_{-f}^{U}\sigma_{-}(\phi)} = \frac{\sigma_{+}(\phi)^{2}}{\sigma_{-}(\phi)^{2}},$$

$$R_{f}(\phi) = \frac{\left(1 + P_{+,f}^{U} a_{f}(\phi)\right)\left(1 + P_{+,f}^{D} a_{f}(\phi)\right)}{\left(1 - P_{-,f}^{D} a_{f}(\phi)\right)\left(1 - P_{-,f}^{U} a_{f}(\phi)\right)},$$

$$a_{f}(\phi) \approx \frac{R_{f}(\phi) - 1}{P_{+,f}^{U} + P_{+,f}^{D} + P_{-,f}^{U} + P_{-,f}^{D}}$$



 $a(\phi) = a_{+}(\phi) \otimes a_{-}(\phi) \rightarrow final \ results$ 



# The weighted sum of azimuthal asymmetries $a(\phi)=a_+(\phi) \otimes a_-(\phi)$ for h<sup>-</sup> and h<sup>+</sup> averaged over all kinematical variables :



 $a(\phi) = a^{const} + a^{\sin\phi}\sin(\phi) + a^{\sin2\phi}\sin(2\phi) + a^{\sin3\phi}\sin(3\phi) + a^{\cos\phi}\cos(\phi) \quad or \quad a(\phi) = a^{const}$ 

#### Fit parameters in units 10<sup>-4</sup>:

	$h^{-}$	$h^+$	$h^{-}$	$h^+$
$a^{\text{const}}$	$23\pm11$	$35 \pm 11$	$27 \pm 11$	$30 \pm 11$
$a^{\sin\phi}$	$\textbf{-1} \pm \textbf{16}$	$-13\pm15$	0	0
$a^{\sin 2\phi}$	$20\pm16$	$-15 \pm 15$	0	0
$a^{\sin 3\phi}$	$6 \pm 16$	$3 \pm 15$	0	0
$a^{\cos\phi}$	$10\pm16$	$24 \pm 15$	0	0
$\zeta^2/n.d.f.$	3.42/5	5.18/5	4.82/9	8.03/9
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MP

### Modulations from L-target. Amplitudes vs . x, z and p7



Agree with data on inclusive asymmetry

Compatible with HERMES

Consistent with zero within statistics

Consistent with zero within statistics

Consistent with zero within statistics

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These are data from deuterium. Data from proton will follow.



COMPA

### Azimuthal asymmetries from the unpolarised target

#### 3 independent azimuthal modulations :

$$\frac{d\sigma}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h\perp}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} + \varepsilon \cos(2\phi_h) F_{UU}^{\cos 2\phi_h} + \langle \lambda_e \rangle \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h} + \dots \right\}$$
twist-3
$$Preliminary results on sin$$

$$Prelim$$



### Azimuthal asymmetries from the unpolarised target

# 3 independent azimuthal modulations $\frac{d\sigma}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h\perp}^2} = \sum_{\substack{\alpha^2 \\ xy Q^2}} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} + \varepsilon \cos(2\phi_h) + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h} + \ldots \right\}$ $\frac{\alpha h_1^\perp \otimes H_1^\perp + \frac{1}{Q^2} f_1 \otimes D_1}{\sum_{\substack{\alpha \in A_1^\perp \otimes A_1^\perp \oplus A_2^\perp \\ x \in Collins FF}}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}} \sum_{\substack{\alpha \in A_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}} \sum_{\substack{\alpha \in C_1^\perp \otimes B_1^\perp \\ \alpha \in Collins FF}}} \sum_{\substack{\alpha \in C_1^\perp \otimes B_1^\perp \\ \alpha \in C_1^\perp \\ \alpha \in C_1^\perp \otimes B_1^\perp \\ \alpha \in C_1^\perp \otimes B_1^\perp \\ \alpha \in C_1^\perp \\ \alpha \in$

Preliminary results On cos (phi) and cos (2phi) modulations are available



### $\cos \phi$ and $\cos 2\phi$ modulations

first results for h+ and h- from COMPASS in 2008





cos φ
large signals over
 all the x range
strong dependence
 on x, z, P<sub>T</sub><sup>h</sup>
surprising,
 different for h<sup>+</sup> and h<sup>-</sup>
Boer-Mulders contribution?

 $\cos 2\phi$ 

large signals at small x strong dependence on  $x, z, P_T^{h}$ different for h<sup>+</sup> and h<sup>-</sup>





different contributions of the Boer-Mulders term at HERMES and COMPASS?

- from first fits to extract the B-M function (Barone et al.)
- difficult to fit all the data
- Cahn contribution not negligible

### $\cos \phi$ and $\cos 2\phi$ modulations:



о Х

10<sup>-1</sup>

Х

### Summary

- A lot of SIDIS results have been produced by COMPASS and other experiments since 2005 from L-, T-, and Unpolarised targets, with some surprises.
  - L: QCD fits describe rather well the structure functions g1(x,Q2) but produce ambiguous results for parton distributions. Bjorken sum rule is OK up to NNNLO.
    - "Spin crises" is not over, qurks and gluons do not account for spin of of nucleons. Next step GPDs.
  - T : solid evidence for transversity PDF to be different from zero and Sivers function to be different from zero.
    - Still, important points to be clarified concerning other TMD PDFs.

to know more: Transverity 2011 http://www.ecsac.ictp.it

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Un : data on azimuthal modulations and corresponding PDFs are still preliminary.



### Back up slides



### **DGLAP EVOLUTION EQUATIONS**

$$rac{d}{dt}\Delta q_{NS} = rac{lpha_s(t)}{2\pi} P_{qq}^{NS} \otimes \Delta q_{NS}$$
 (non - singlet),

$$\frac{d}{dt} \begin{pmatrix} \Delta \Sigma \\ \Delta G \end{pmatrix} = \frac{\alpha_s(t)}{2\pi} \begin{pmatrix} P_{qq}^S & 2n_f P_{qG}^S \\ P_{Gq}^S & P_{GG}^S \end{pmatrix} \otimes \begin{pmatrix} \Delta \Sigma \\ \Delta G \end{pmatrix}$$

(singlet & gluon),

where  $t=\log\left(Q^2\,/\,\Lambda^2\right)$  and  $~P_{qq},P_{qG},P_{Gq}~$  are polarized splitting functions.



### **EVOLUTION OF MOMENTS**

$$\begin{array}{ll} & \quad \frac{d}{dt}\Delta q^{(n)}_{3(8)}(Q^2) = \frac{\alpha_s(t)}{2\pi}\gamma_{NS}\Delta q^{(n)}_{3(8)}(Q^2) & (\textit{non-singlet sector}), \\ & \quad \frac{d}{dt} \begin{pmatrix} \Delta \Sigma^{(n)}(Q^2) \\ \Delta G^{(n)}(Q^2) \end{pmatrix} = \frac{\alpha_s(t)}{2\pi} \begin{pmatrix} \gamma_{qq} & \gamma_{qg} \\ \gamma_{gq} & \gamma_{gg} \end{pmatrix} \times \begin{pmatrix} \Delta \Sigma^{(n)}(Q^2) \\ \Delta G^{(n)}(Q^2) \end{pmatrix} (\textit{singlet \& gluon sector}), \\ & \quad \text{where} & \quad \Delta q^{(n)}(Q^2) = \int_0^1 dx x^n \Delta q(x, Q^2), \end{array}$$

 $\gamma_{ij}$  -anomalous dimensions.

2. 
$$\Delta q(x,Q^2) = \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} dn x^{-n} \Delta q^{(n)}$$


#### INPUT PARAMETRIZATIONS

-The PDF  $\Delta \Sigma_{\Delta} \Delta q_3$ ,  $\Delta q_8$  and  $\Delta G$  at  $Q_0^2 = 3 \text{ GeV}^2$  are parametrized as:

$$\Delta F_{k}(x) = \eta_{k} \frac{x^{\alpha_{k}} (1-x)^{\beta_{k}} (1+\gamma_{k} x)}{\int_{0}^{1} x^{\alpha_{k}} (1-x)^{\beta_{k}} (1+\gamma_{k} x) dx}, \qquad \eta_{k} = \int \Delta F_{k}(x) dx$$

- $\eta_3$ ,  $\eta_8$  are fixed by the barion octet constants F&D assuming SU(3)<sub>f</sub> flavor symmetry:

$$\eta_3 = F+D, \ \eta_8 = 3F-D.$$

-The linear term  $\gamma_k \mathbf{x}$  used for  $\Delta \Sigma$  only.

- -Positivity limits  $|\Delta s(x)| \le s(x) \& |\Delta G(x)| \le G(x)$  imposed at each step.
- -Unpolarized PDF's are taken from MRST parametrizations

(Martin et al., Eur.Phys. J.C4(1998) 463).

- Finally, there are 10 free parameters determined by minimizations of the sum (MINUIT):

$$\chi^{2} = \sum_{i=1}^{230} \frac{\left[g_{1}^{fit}\left(x_{i}, Q_{i}^{2}\right) - g_{1}^{\exp}\left(x_{i}, Q_{i}^{2}\right)\right]^{2}}{\left[\sigma\left(x_{i}, Q_{i}^{2}\right)\right]^{2}}.$$



### unpolarised target SIDIS differential cross-section



## unpolarised target SIDIS differential cross-section



deuteron



as well as the  $\cos \phi_h$  asymmetry, these data can be used to extract the intrinsic transverse momentum hadron multiplicity vs transverse momentum of the final state hadrons



## unpolarised target SIDIS differential cross-section





## **Transverse Spin and Momentum Structure of the Nucleon is studied from:**

- the hard polarised pp scattering RHIC / BNL,





- SIDIS off transversely and longitudinally polarised targets: DESY (HERMES) CERN (COMPASS) JLab ,

- and several (future) projects for (polarised) Drell-Yan: CERN (COMPASS) FNAL, JParc, RHIC, JINR(NICA), IHEP, GSI.





# Leading DIS experiments





- polarized (<60%) e<sup>+</sup>/e<sup>-</sup> beam of 27 GeV, both helicity states
- pure gas targets with T (p) and L (p,d) polarization, fast spin-flip of target
- RICH PID K: 2-15 GeV



data taking with T polarised target: 2002-2004 (d) 2007 (p) 2010 (p)

- polarized (~-80%) μ<sup>+</sup>
   of 160 GeV
- NH<sub>3</sub> (p) and <sup>6</sup>LiD (d) targets with T and L polarization, 2 (3) cells with opposite P, polarisation reversal every ~8h
- RICH PID K: 9-50 GeV

#### Jefferson Lab E06-010 Collaboration

- 6 GeV electron beam
- transversely polarised <sup>3</sup>He target
- identified final state hadrons



#### kinematical regions





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## **Collins asymmetry - proton**

PLB 693 (2010) 11

#### **HERMES** results:

- clear signal for  $\pi^+$  and  $\pi^-$  at x>0.1, opposite sign
- K<sup>+</sup> signal larger than π<sup>+</sup>: role of sea quarks?

 higher twist effects?
 limited statistics and range to study the Q<sup>2</sup> dependence





 $\mu \, \mathrm{N}^{\uparrow} \!\rightarrow\! \mu' \Lambda \, \mathrm{X}$ 



# **Two Hadron Asymmetry**



azimuthal asymmetry in  $\phi_{RS} = \phi_{R^{\perp}} - \phi_{s'}$ 

 $\phi_{R^{\perp}}$  is the azimuthal angle of the plane defined by the two hadrons

 $R = (z_1 p_2 - z_2 p_1)/(z_1 + z_2)$ 



final hermes results

- clear signal for  $\pi^+$  and K<sup>+</sup> over all the measured x range
- saturation for  $P_T^h > 0.4$  GeV/c
- difference between K<sup>+</sup> and π<sup>+</sup>: role of sea quarks?
   larger at lower Q<sup>2</sup> higher twist effects in K production?



## **Sivers asymmetry**



New fit valence ÷ sea

2010





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#### final COMPASS results from 2007 data





evidence for a positive signal for h<sup>+</sup>, which extends to small x, in the region not measured before

systematic errors

h⁻ ~ 0.5 σ<sub>stat</sub>

 $h^+ \sim 0.8 \sigma_{stat}$  plus a scale (abs) uncertainty of ± 0.01



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#### azimuthal asymmetries unpolarised target

#### 3 independent azimuthal modulations

$$\frac{d\sigma}{dx\,dy\,d\psi\,dz\,d\phi_h\,dP_{h\perp}^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2\left(1-\varepsilon\right)} \left(1+\frac{\gamma^2}{2x}\right) \left\{F_{UU,T}+\varepsilon F_{UU,L}+\sqrt{2\varepsilon(1+\varepsilon)}\cos\phi_h F_{UU}^{\cos\phi_h}\right. \\ \left.+\varepsilon\cos(2\phi_h) F_{UU}^{\cos2\phi_h}+\left(\lambda_e\right)\sqrt{2\varepsilon(1-\varepsilon)}\sin\phi_h F_{LU}^{\sin\phi_h}\right. + \dots\right\}$$
twist-3

*COMP* 

preliminary results

positive for positive hadrons (d)

CLAS: positive for positive pions (p)

positive for



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positive pions (p,d)

#### azimuthal asymmetries unpolarised target

#### 3 independent azimuthal modulations

$$\frac{d\sigma}{dx \, dy \, d\psi \, dz \, d\phi_h \, dP_{h\perp}^2} = \left( \frac{1}{Q} \left( f_1 \otimes D_1 + h_1^{\perp} \otimes H_1^{\perp} \right) \right) \right)$$

$$\frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left( 1 + \frac{\gamma^2}{2x} \right) \left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos \phi_h F_{UU}^{\cos \phi_h} + \varepsilon \cos(2\phi_h) \left( F_{UU}^{\cos 2\phi_h} + \lambda_e \sqrt{2\varepsilon(1-\varepsilon)} \sin \phi_h F_{LU}^{\sin \phi_h} + \dots \right) \right\}$$

$$\propto h_1^{\perp} \otimes H_1^{\perp} + \frac{1}{Q^2} f_1 \otimes D_1$$
Boer - Mulders Cahn effect × Collins FF



**Boer - Mulders** 

**x** Collins FF

Cahn effect





#### large effects for h<sup>+</sup> + h<sup>-</sup> asymmetries

used to extract  $\langle k_T^2 \rangle$ 

M. Anselmino et al., PRD 71 (2005) 074006

**EMC 1991** 





first results for h+ and h- from COMPASS in 2008





cos φ
large signals over
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strong dependence
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surprising,
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Boer-Mulders contribution?

 $\cos 2\phi$ 

large signals at small x strong dependence on  $x, z, P_T^{h}$ different for h<sup>+</sup> and h<sup>-</sup>







different values for  $\pi$ + and  $\pi$ -

deuteron (very similar for proton)





#### surprising ...!





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different values for  $\pi$ + and  $\pi$ -

deuteron (very similar for proton)









different values for  $\pi$ + and  $\pi$ -

deuteron (very similar for proton)

