

NLO QCD result for the gluon polarization from open-charm D^0 meson production @COMPASS

on behalf of the COMPASS Collaboration

Krzysztof Kurek,
Andrzej Sołtan Institute for
Nuclear Studies, Warsaw

SPIN2010

Forschungszentrum Jülich (Germany)
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COMPASS Collaboration at CERN

Common Muon and Proton Apparatus for Structure and Spectroscopy

**Czech Rep., France, Germany, India, Israel, Italy,
Japan, Poland, Portugal, Russia and CERN**

Bielefeld, Bochum, Bonn, Burdwan and Calcutta, CERN, Dubna, Erlangen,
Freiburg, Lisbon, Mainz, Moscow, Munich, Prague, Protvino, Saclay,
Tel Aviv, Torino, Trieste, Warsaw, Yamagata

~240 physicists, 30 institutes

Beam: $2 \cdot 10^8 \mu^+$ / spill (4.8s / 16.2s)

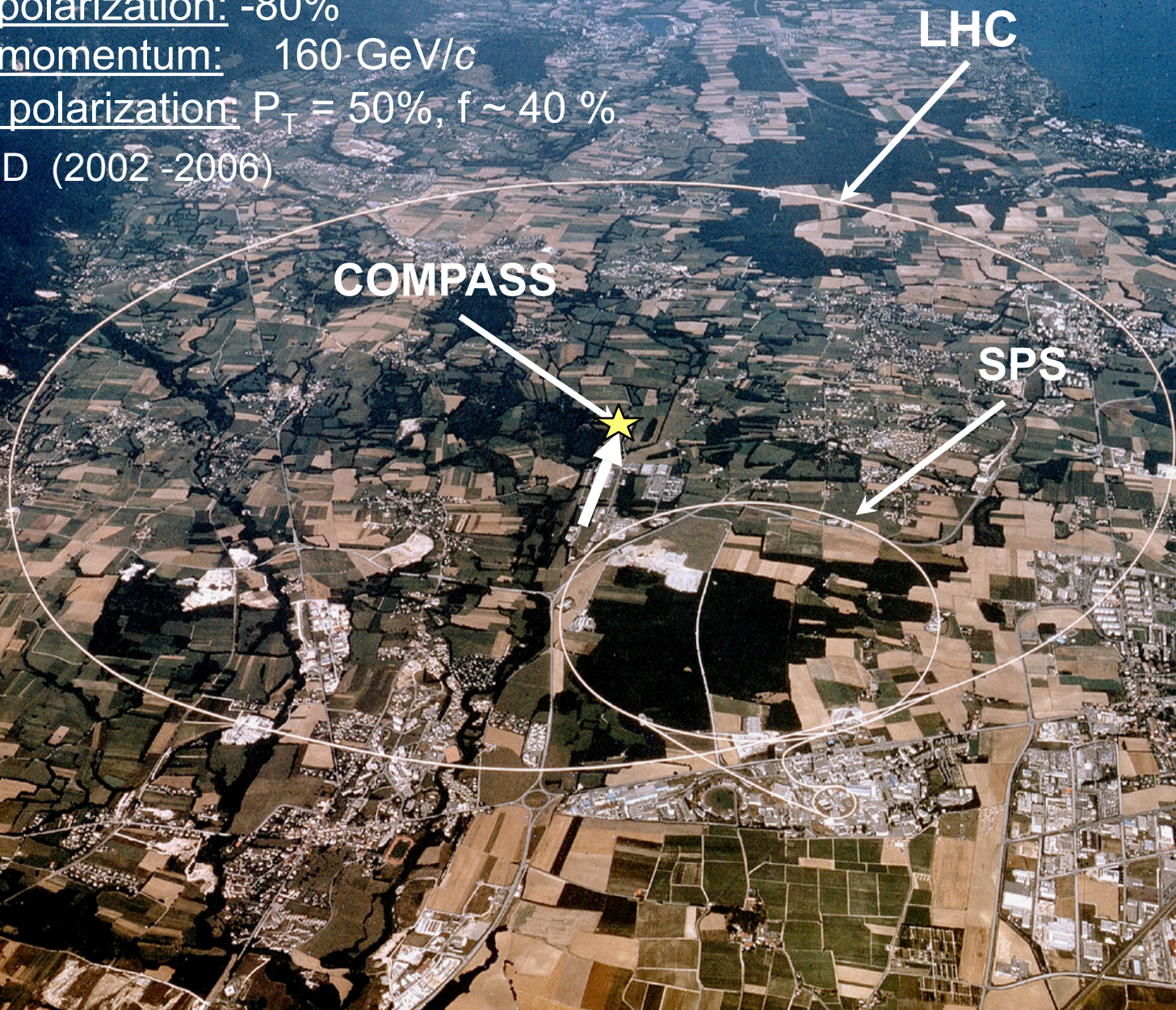
Luminosity $\sim 5 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

Beam polarization: -80%

Beam momentum: 160 GeV/c

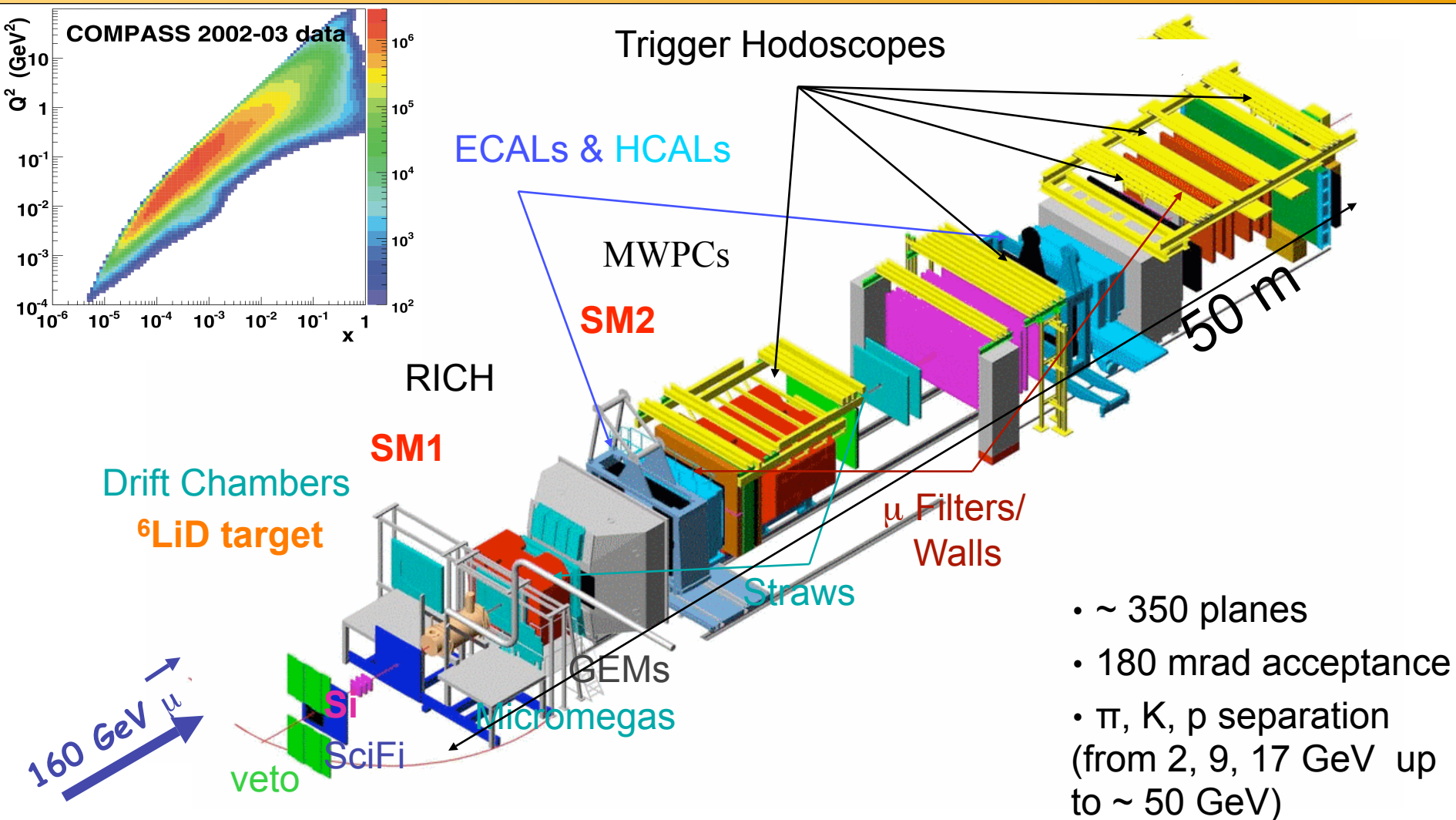
Target polarization: $P_T = 50\%$, $f \sim 40\%$

for ${}^6\text{LiD}$ (2002 - 2006)

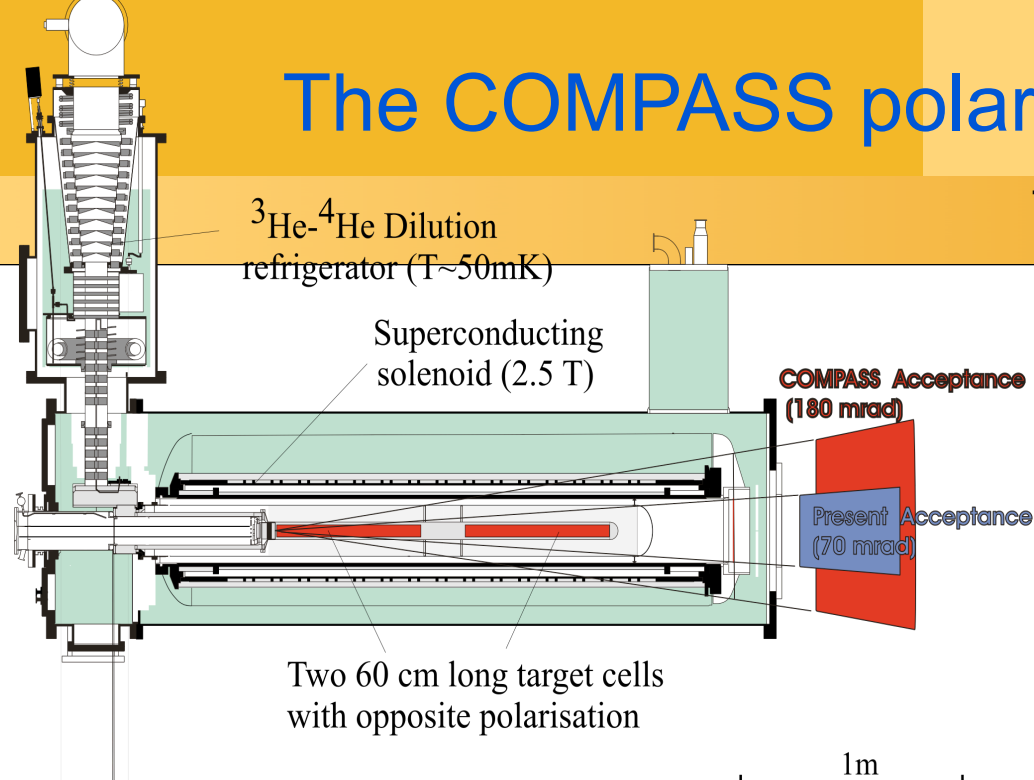


The COMPASS spectrometer

COMPASS in muon run
NIM A 577(2007) 455



The COMPASS polarized target and PID

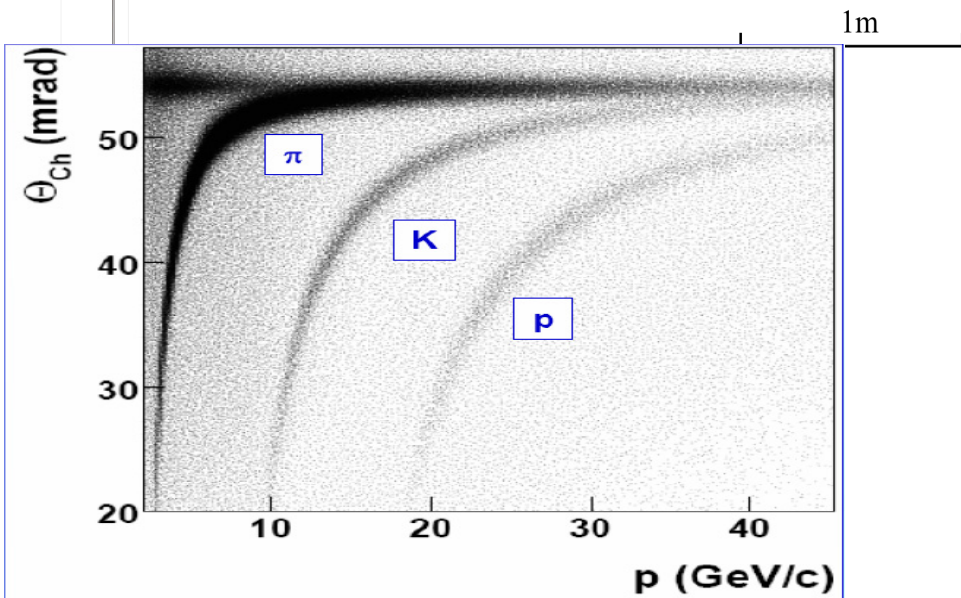


Target material: ^6LiD

Polarisation: $>50\%$

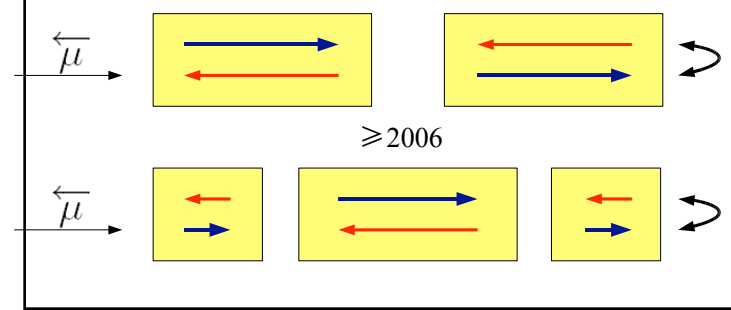
Dilution factor: ~ 0.4

Dynamic Nuclear Polarization



2006 - new solenoid with acceptance 180 mrad
3 target cells
(reduce false asymmetries)

2002 - 2004



RICH 2006 upgrade : better PID

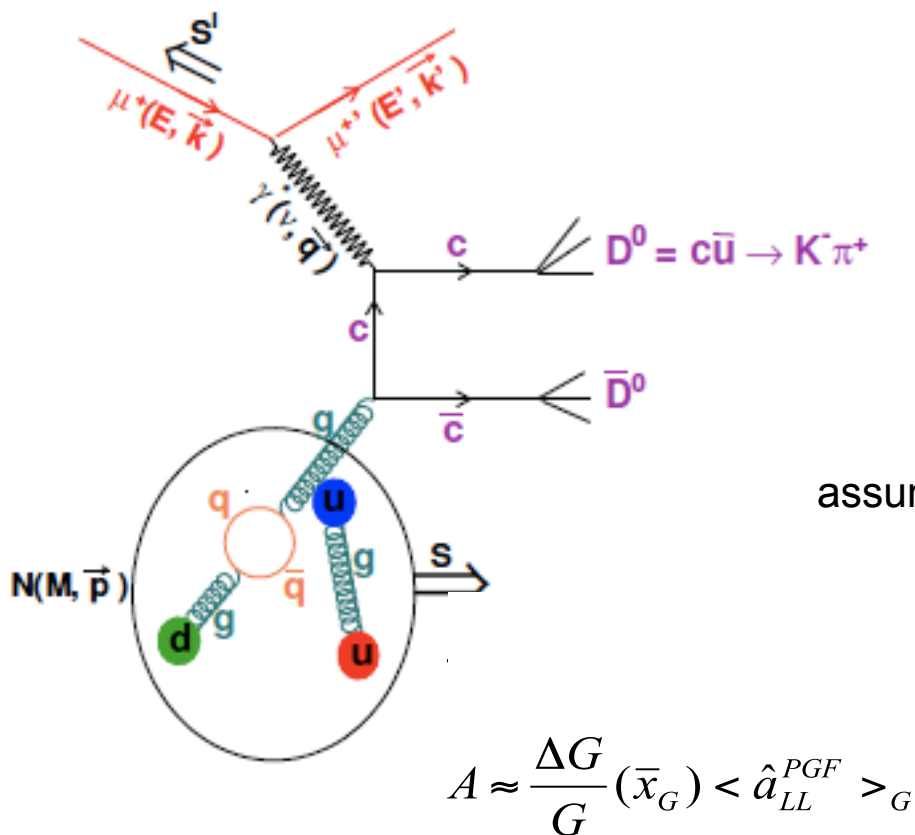
MAPMTs in central region

APV electronics in periphery

Contents

- Introduction: open-charm and gluon polarization
- Gluon polarization measurement @ COMPASS: the method
- Final LO QCD result from COMPASS open-charm data
- NLO QCD corrections and prediction for gluon polarization
- Future plans

Open-charm production@COMPASS -
 Photon-Gluon Fusion (PGF) - the only process in LO QCD.



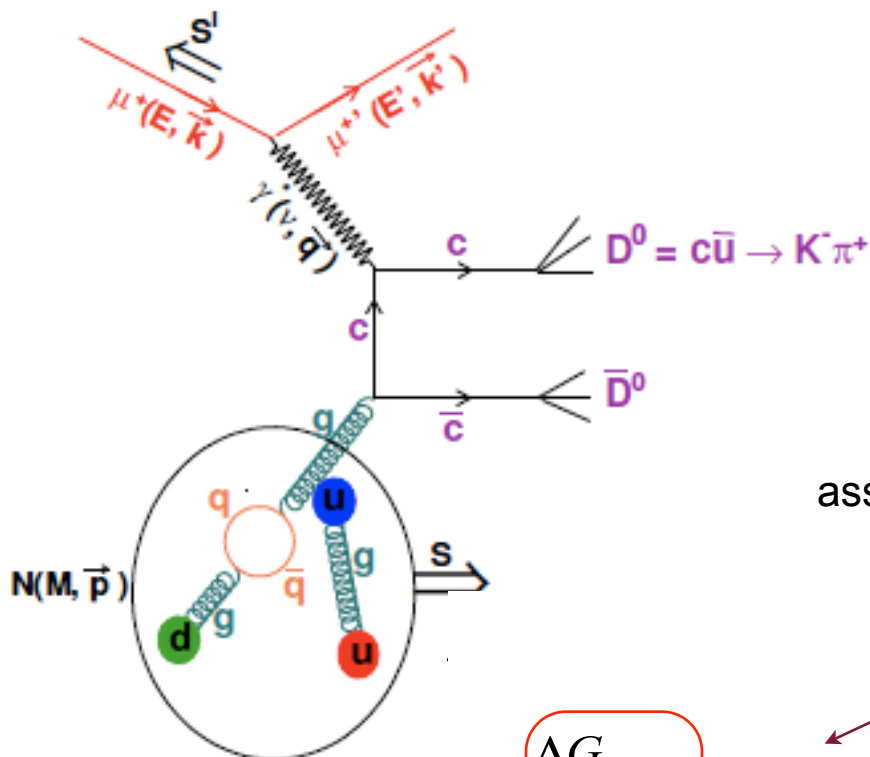
$$\sigma^{PGF} = G \otimes \hat{\sigma}^{PGF} \otimes H$$

$$\Delta\sigma^{PGF} = \Delta G \otimes \Delta\hat{\sigma}^{PGF} \otimes H$$

$$\frac{\Delta G}{G}(x) \approx a(x - \bar{x}) + b$$

Low statistics! Huge combinatorial background to fight with! *Phys.Lett.B 676 (2009)31*

Open-charm production@COMPASS -
 Photon-Gluon Fusion (PGF) - the only process in LO QCD.



$$\sigma^{PGF} = G \otimes \hat{\sigma}^{PGF} \otimes H$$

$$\Delta\sigma^{PGF} = \Delta G \otimes \Delta\hat{\sigma}^{PGF} \otimes H$$

assumption: $\frac{\Delta G}{G}(x) \approx a(x - \bar{x}) + b$

from MC

$$A \approx \frac{\Delta G}{G}(\bar{x}_G) < \hat{a}_{LL}^{PGF} >_G$$

notice:

$$A^{measured} = f P_T P_b \left(\frac{S}{S+B} A^{signal} + \frac{B}{S+B} A^B \right)$$

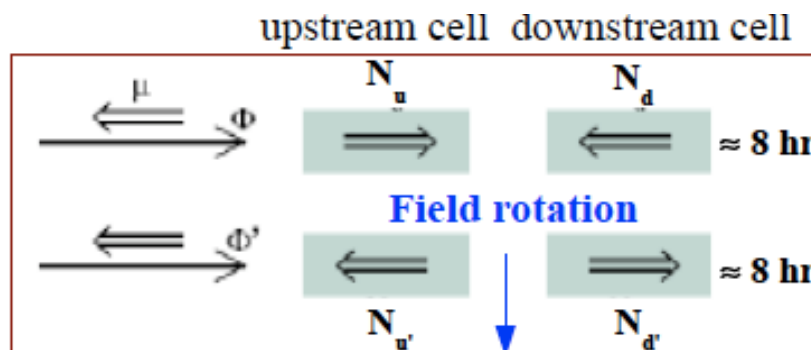
signal asymmetry from data

The number of reconstructed D^0 ($N^{u,d}$) is used to measure an open-charm asymmetry for the PGF process

$$A^{\text{exp}} = \frac{1}{2} \left(\frac{N^u - N^d}{N^u + N^d} + \frac{N^{d'} - N^{u'}}{N^{u'} + N^{d'}} \right)$$

$$= f \cdot P_\mu \cdot P_T \cdot \frac{s}{s+b} \cdot A^{\mu, T}$$

Open-Charm event probability



equal acceptance for both cells

Weighting each event with the weight

$$\omega = (f \cdot P_\mu \cdot \frac{s}{s+b} \cdot a_{LL})$$

needed for every event

$$\frac{\Delta G}{G} = \frac{1}{2P_T} \times \left(\frac{\omega_u - \omega_d}{\omega_u^2 + \omega_d^2} + \frac{\omega_{u'} - \omega_{d'}}{\omega_{u'}^2 + \omega_{d'}^2} \right) \text{ with a statistical gain: } \frac{\langle \omega^2 \rangle}{\langle \omega \rangle^2}$$

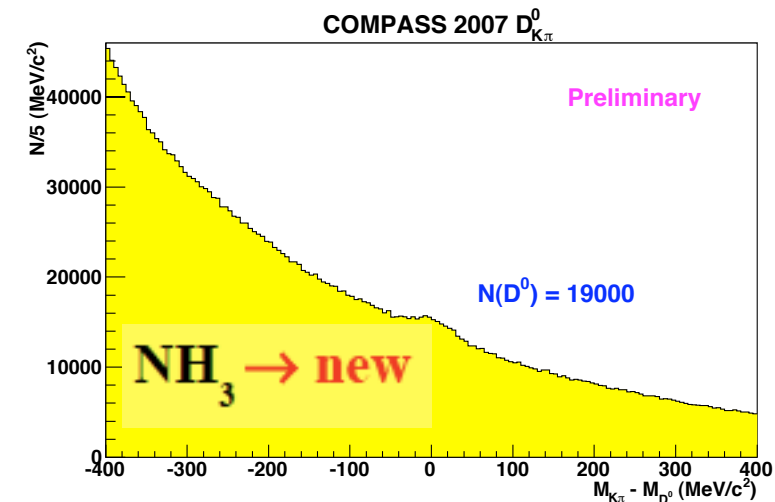
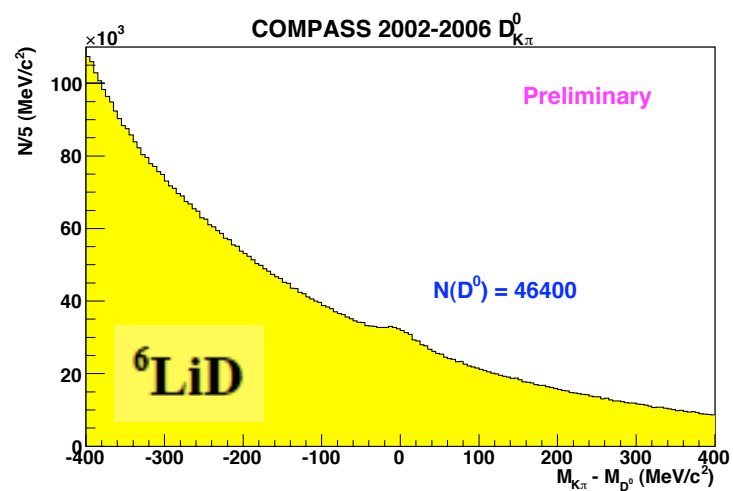
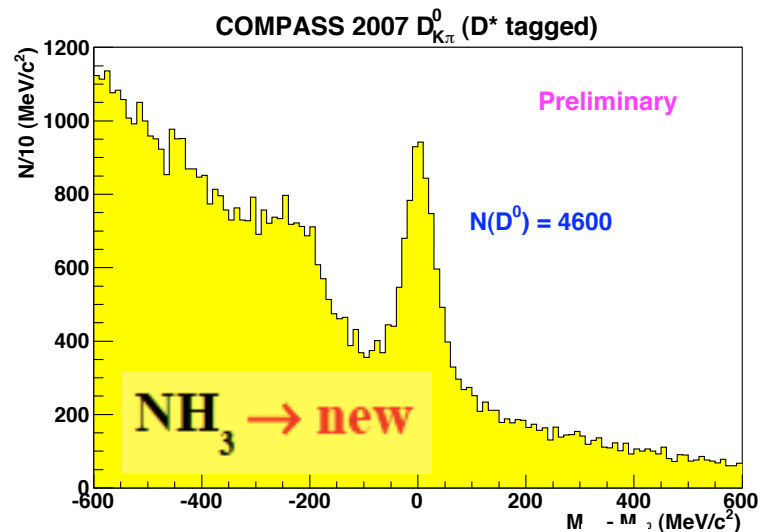
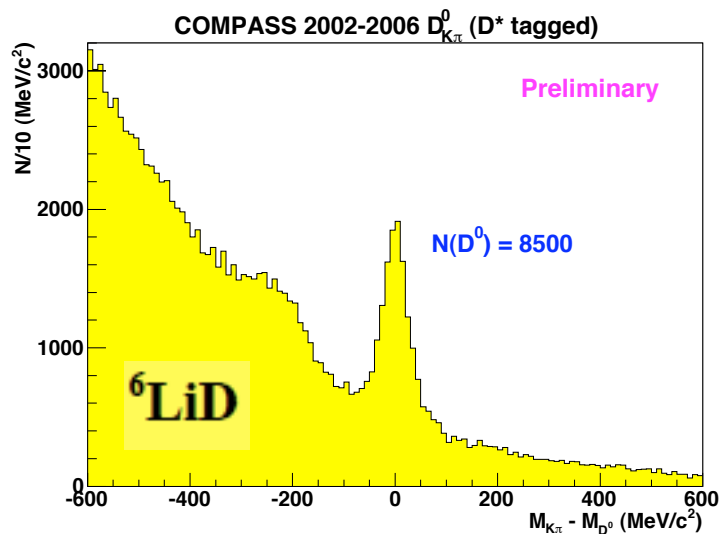
Considered events:

- $D^0 \rightarrow K\pi$ (BR: 4%)
- $D^* \rightarrow D^0\pi_s$ (30% D^0 *tagged with a D^**)
 - $D^0 \rightarrow K\pi$
 - $D^0 \rightarrow K\pi\pi^0$ (BR: 13%) \rightarrow **not directly reconstructed** π^0
 - $D^0 \rightarrow K\pi\pi\pi$ (BR: 7.5%)
 - $D^0 \rightarrow \text{sub}(K)\pi$ \longrightarrow **no RICH ID for Kaons** ($p < 9 \text{ GeV}/c$)

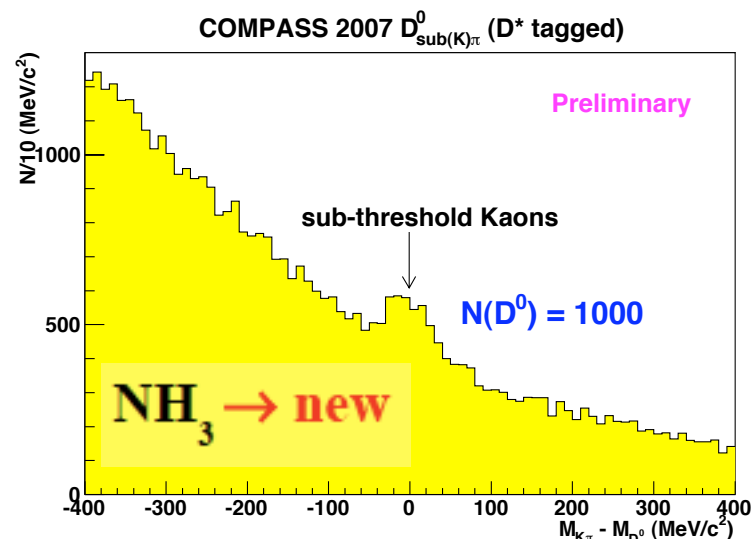
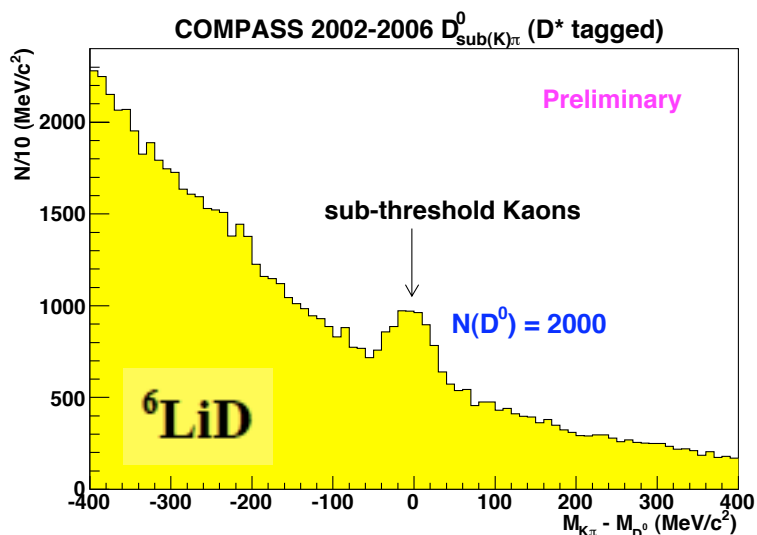
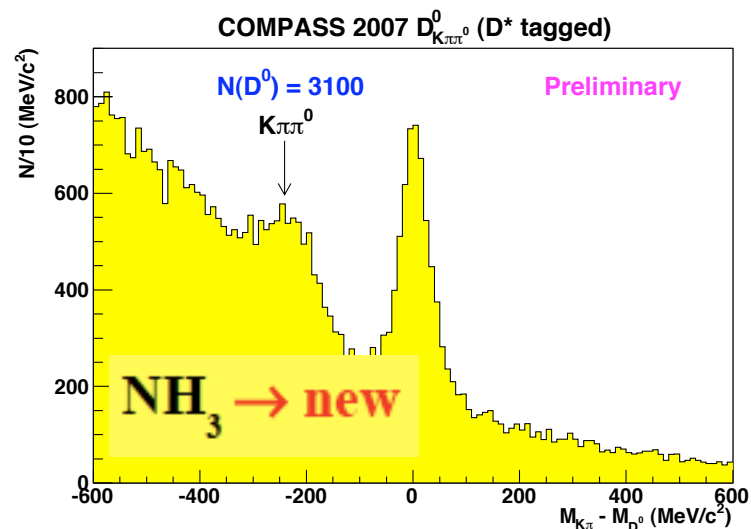
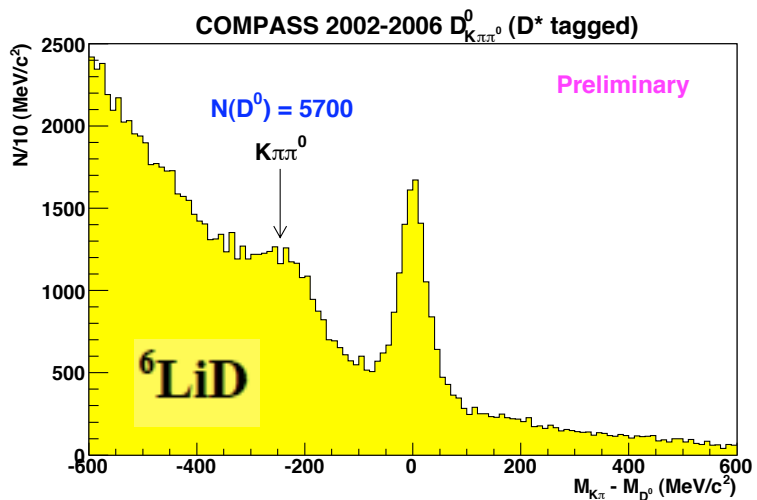
Selection to reduce the combinatorial background

- **Kinematical cuts:** z_D and D^0 decay angle (to reject colinear events with γ^* coming from the nucleon fragmentation), K and π momentum
- **RICH identification:** K and π ID + electrons rejected from the π_s sample
- Mass cut for the D^* tagged channels ($M[K\pi\pi_s] - M[K\pi] - M[\pi]$)
- Neural Network qualification of events

Invariant mass spectrum D⁰ mesons reconstruction

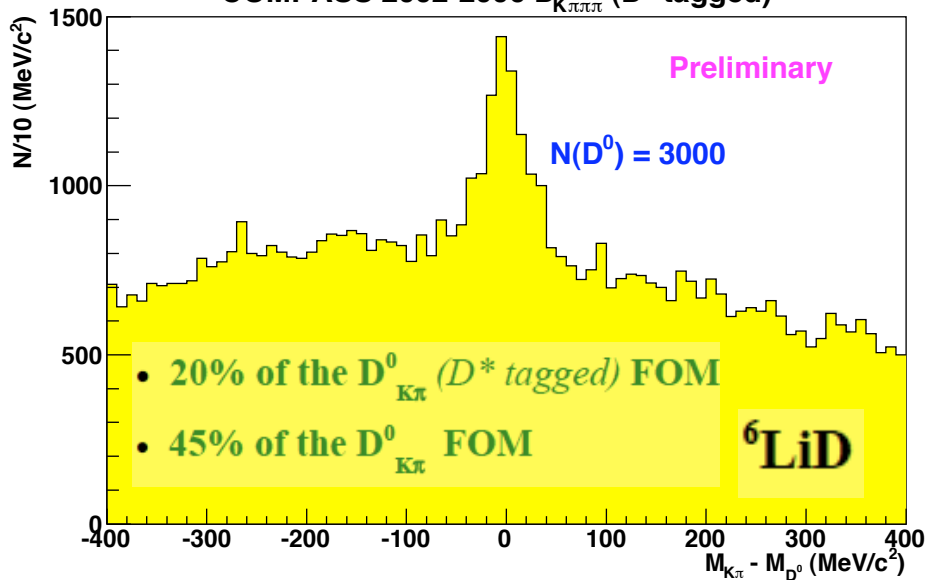


Invariant mass spectrum D⁰ mesons reconstruction

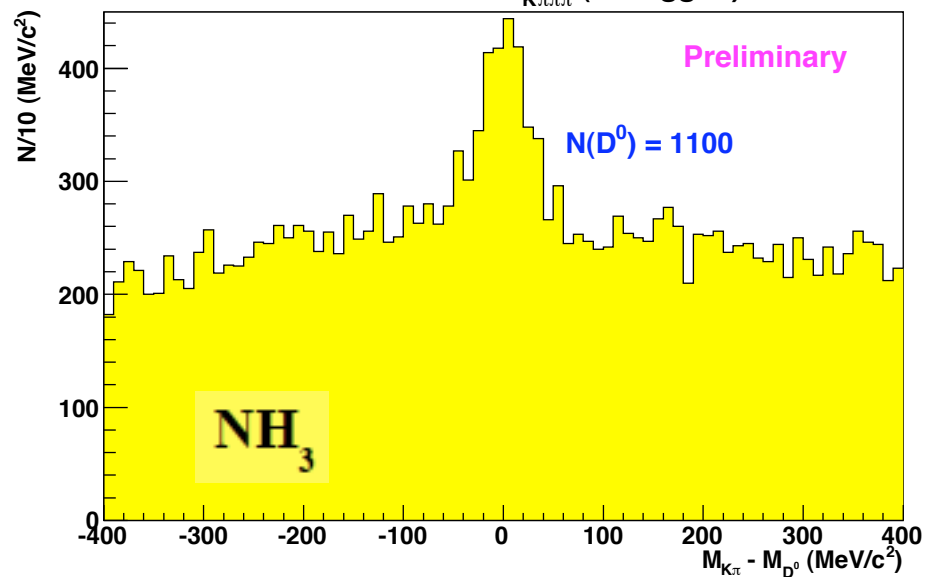


Invariant mass spectrum D⁰ mesons reconstruction

COMPASS 2002-2006 D⁰_{K $\pi\pi\pi$} (D* tagged)



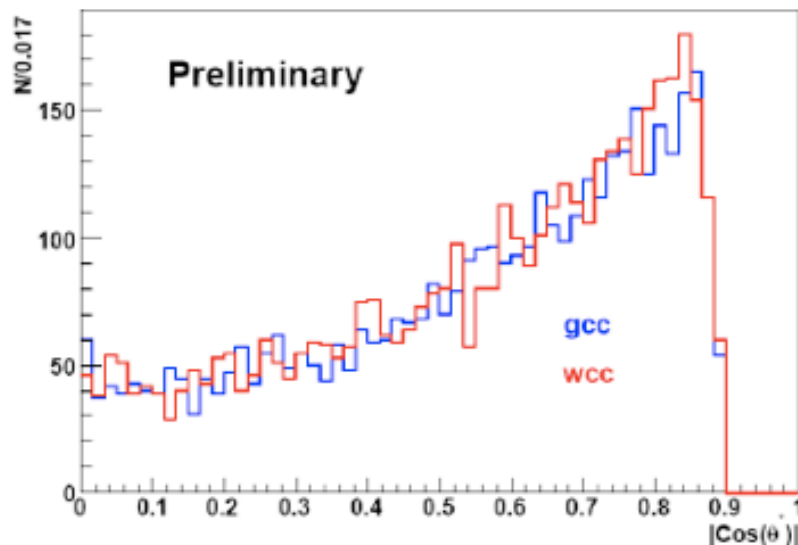
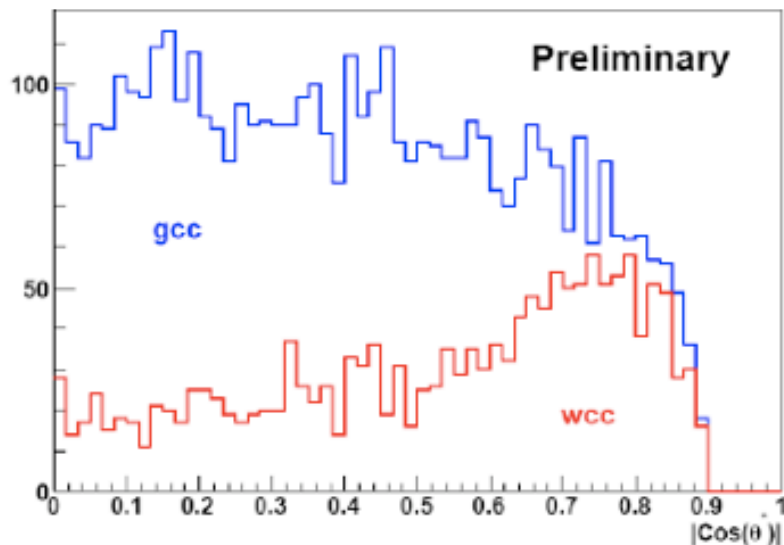
COMPASS 2007 D⁰_{K $\pi\pi\pi$} (D* tagged)



Neural Network qualification of events

- **Signal model** \rightarrow $gcc = K^+ \pi^- \pi_s^- + K^- \pi^+ \pi_s^+$ (D^0 spectrum: signal + background)
- **Background model** \rightarrow $wcc = K^+ \pi^+ \pi_s^- + K^- \pi^- \pi_s^+$ (no D^0 is allowed)

- Assuming background model to be good enough *Neural Network (NN)* is able to find some differences between samples: $S+B$ and B .
- This way the signal probability $S/(S+B)$ is constructed event-by-event



example of “good” training variable mass window where signal is expected (left) and for sidebands (right)

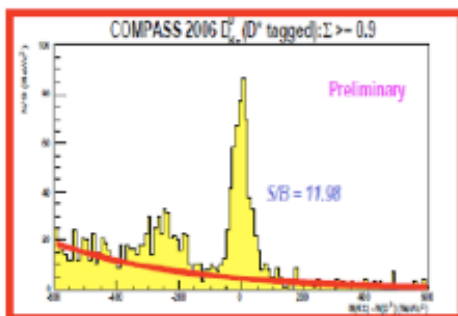
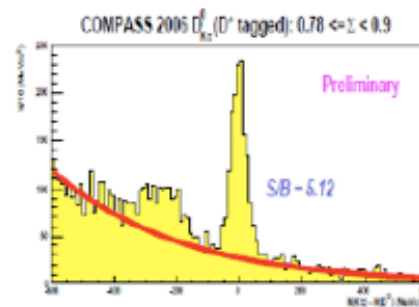
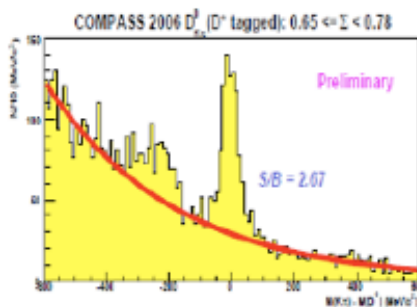
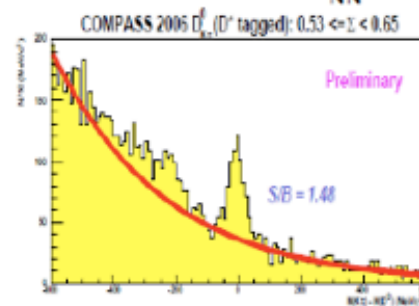
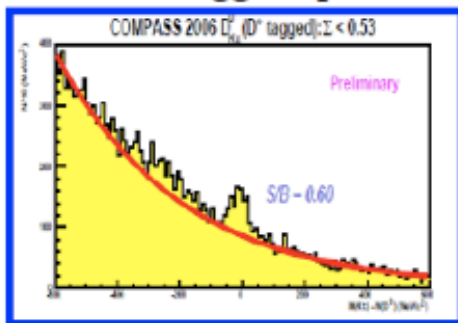
$s/(s+b)$: obtaining final probabilities for D^0 candidate

- Events with small $s/(s+b)_{NN}$
 - Mostly combinatorial background is selected

$s/(s+b)$ is obtained from a fit inside this bins (correcting with the NN parameterisation)

- Events with large $s/(s+b)_{NN}$
 - Mostly Open-Charm events are selected

D^0 tagged spectrum in bins of $\Sigma = s/(s+b)_{NN}$



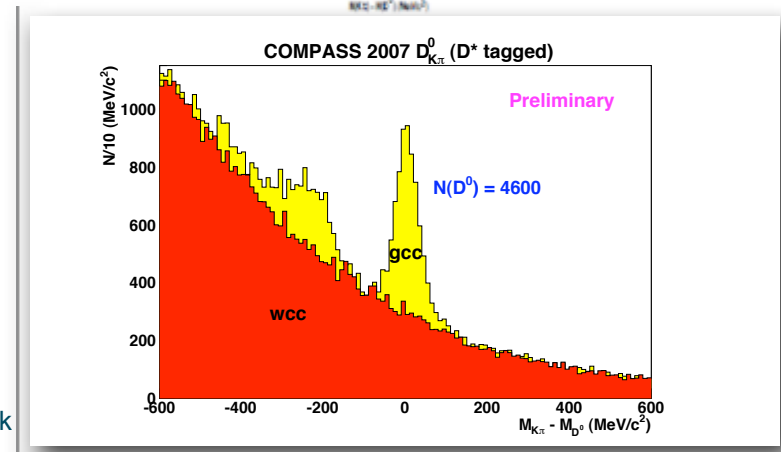
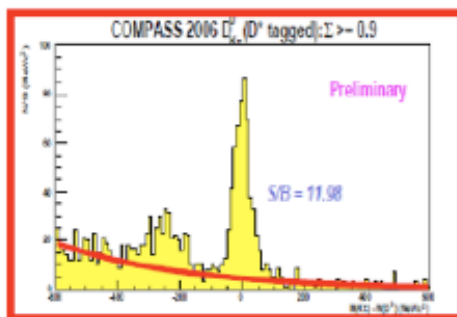
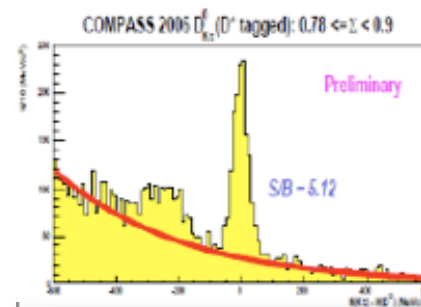
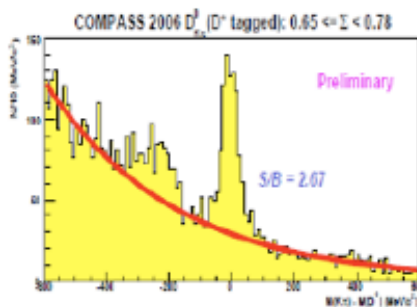
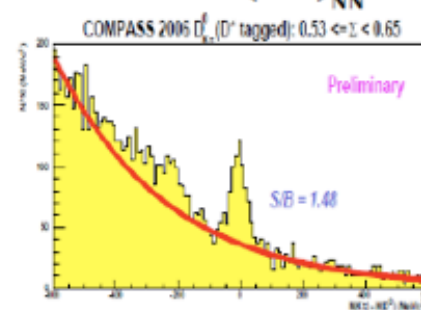
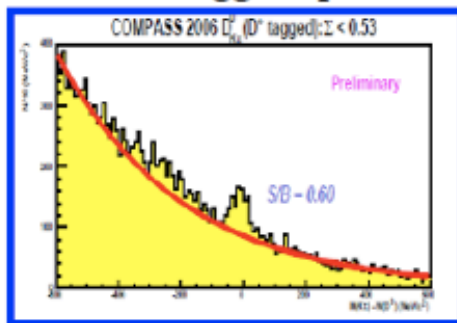
$s/(s+b)$: comparison of wcc and gcc background

- Events with small $s/(s+b)_{NN}$
 - Mostly combinatorial background is selected

$s/(s+b)$ is obtained from a fit inside this bins (correcting with the NN parameterisation)

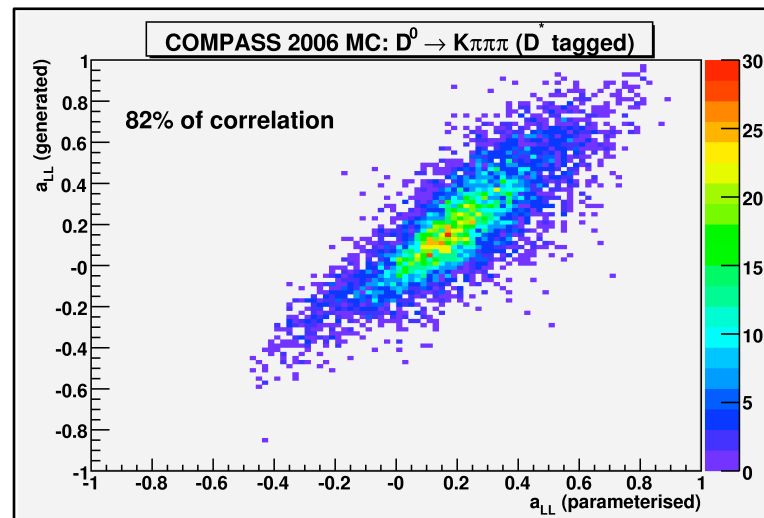
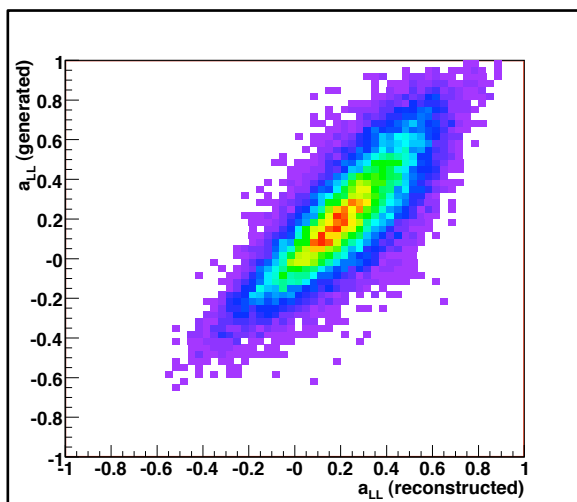
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D^0 tagged spectrum in bins of $\Sigma = s/(s+b)_{NN}$

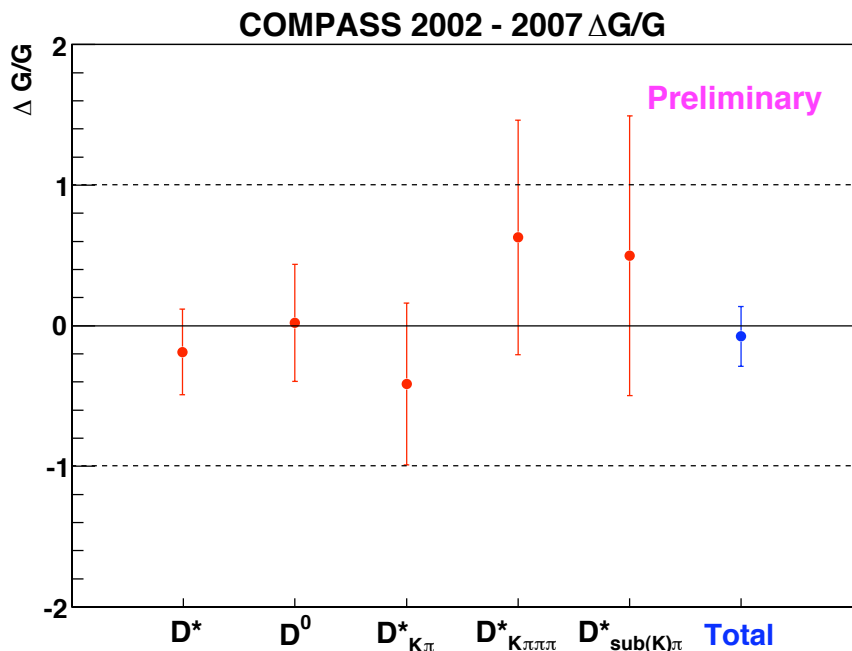


Partonic muon-gluon asymmetry and NN parameterization

- a_{LL} is dependent on the knowledge of the partonic kinematics and **can not be experimentally obtained** - only one charmed meson is reconstructed
- a_{LL} is calculated with the help of MC (in LO QCD) and **parameterized** by measured quantities using NN approach
- As a **training vector** kinematical variables: y , $x_{Bj,k}$, Q^2 , z_{D^0} , p_{T,D^0} are used



Final gluon polarization from open-charm in LO QCD

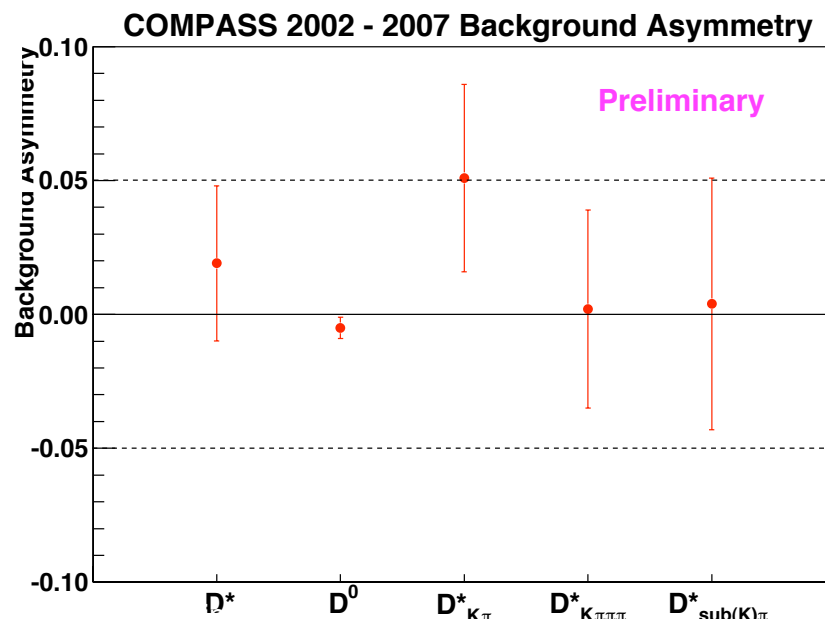


Notice: signal and background asymmetries are extracted in the same time

$$A^{measured} = fP_T P_b \left(\frac{S}{S+B} A^{signal} + \frac{B}{S+B} A^B \right)$$

$$\frac{\Delta G}{G} = -0.08 \pm 0.21 \pm (0.11)$$

$$\langle x_G \rangle \approx 0.11 \quad \mu^2 = 13 \frac{GeV^2}{c^2}$$



- Model independent asymmetries were extracted from data only

$$A_{\text{exp}} = P_B P_T f \left[R_{PGF} DA^{\gamma^N \rightarrow DX} + (1 - R_{PGF}) A_{bkg} \right]$$

- $\frac{\Delta g}{g}$ can be extracted using a_{LL}^{PGF} calculated at LO :

$$A_{\text{exp}} = P_B P_T f \left[R_{PGF} a_{LL}^{PGF} \frac{\Delta g}{g} + (1 - R_{PGF}) A_{bkg} \right]$$

- Similar analysis, but with weight $w = f P_B \frac{S}{S+B} a_{LL}$
 instead of $w = f P_B \frac{S}{S+B} D$

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Asymmetries in bins allow to perform independent analysis

Presented NLO QCD result is based on published asymmetries in bins

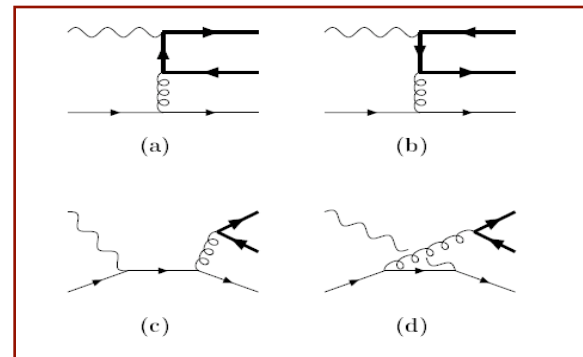
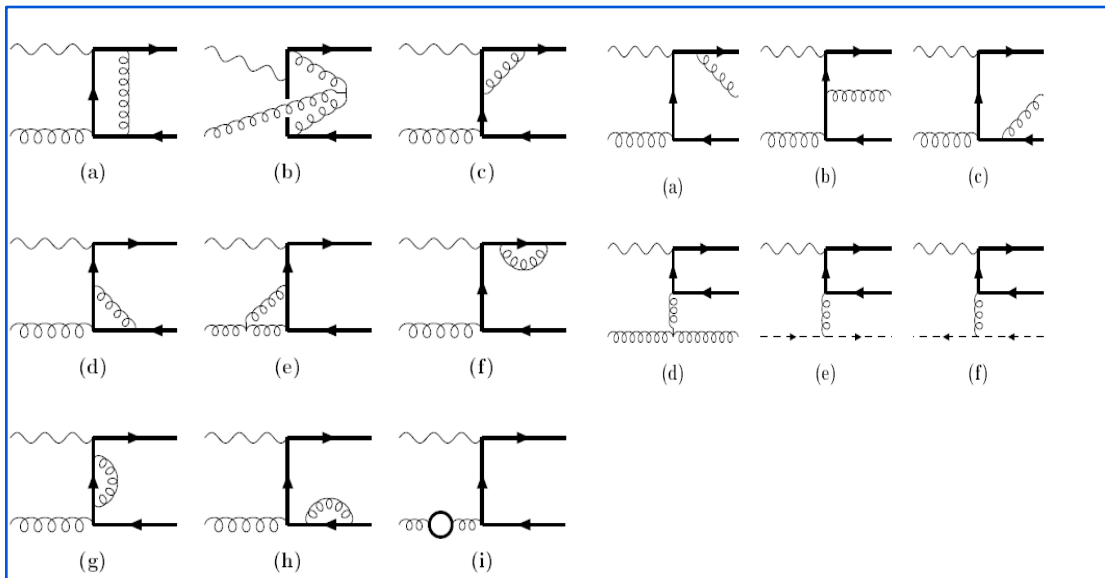
- Similar analysis, but with weight

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*J.Smith, W.L.Neerven, Nucl.Phys.B 374 (1992)36, W.Beenakker, H.Kuijf, W.L.Neerven,,J.Smith, Phys.Rev.D40(1989)54
 I.Bojak, M.Stratmann, Nucl.Phys.B 540 (1999) 345, I.Bojak, PhD thesis*



$$A_{\text{signal}} = \left\langle \left(\frac{\Delta G}{G} a_{LL} + A_1^{d,c} a_{LL}^q \right) \right\rangle = \left\langle \frac{\Delta G}{G} \right\rangle_{a_{LL}} \langle a_{LL} \rangle + \left\langle A_1^{d,c} a_{LL}^q \right\rangle = \left\langle \frac{\Delta G}{G} + A_1^{d,c} \frac{a_{LL}^q}{a_{LL}} \right\rangle_{a_{LL}} \langle a_{LL} \rangle$$

$$a_{LL} = \frac{G \Delta \hat{\sigma}^{Gluon}}{G \hat{\sigma}^{Gluon} + \sum_q q \hat{\sigma}^{quark}}$$

$$a_{LL}^q = \frac{\sum_q q \Delta \hat{\sigma}^{quark}}{G \hat{\sigma}^{Gluon} + \sum_q q \hat{\sigma}^{quark}}$$

Gluon polarization in NLO QCD - MC approach for simulating Phase Space

Procedure for NLO calculations:

1. *Aroma* MC generator with PS-on describes COMPASS data very well
2. PS simulate phase space for NLO correction - a_{LL} can be calculated on a event-by-event basis from theoretical formulas (like in LO case)
3. **Warning:** MC with PS is not fully equivalent to NLO. Micky-Mouse MC generated with flat kinematical distributions and re-weighted by correct NLO QCD cross section was also used to “crosscheck” the result
4. In this case BELLE FF was used for D^0 (independent fragmentation)
5. A_1 taken directly from data

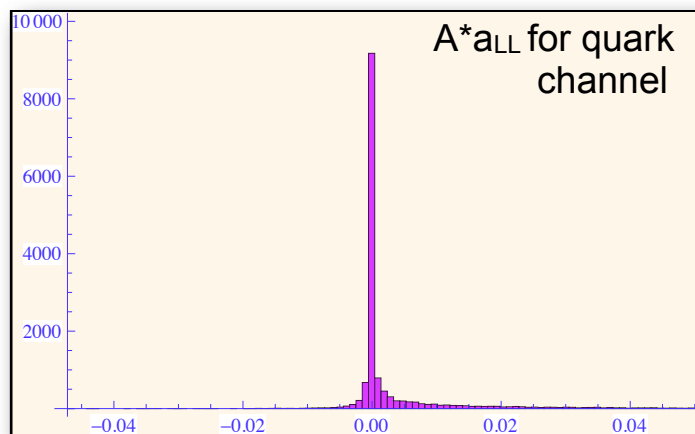
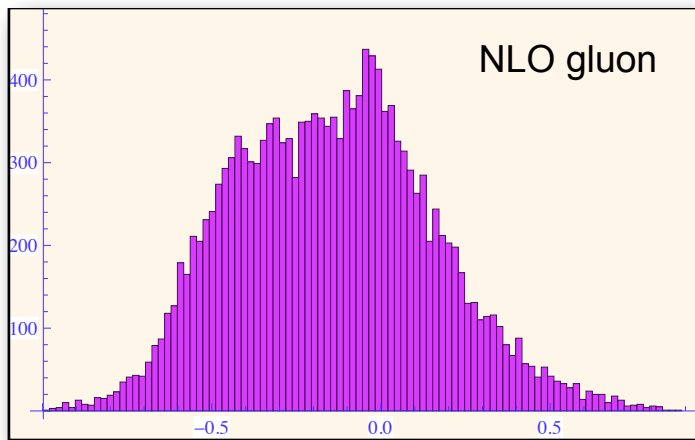
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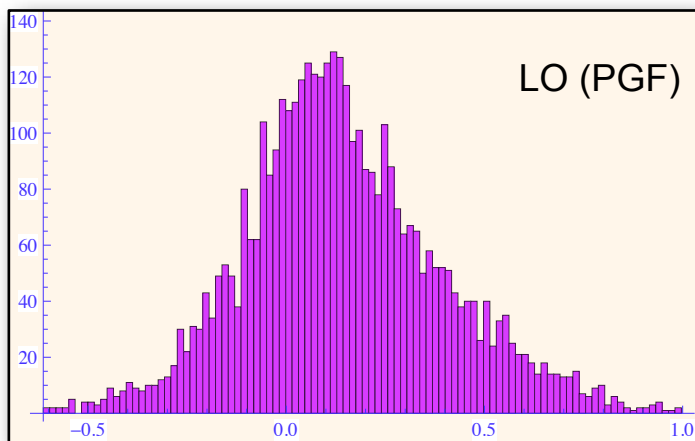
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Light quark contribution are very small - no strong dependence on unpolarized PDFs

MC calculations: a_{LL}



effect of light quarks small
 - dependence of unpolarized PDFs in a_{LL} calculations small



Averaged value of a_{LL} is shifted comparing LO and NLO

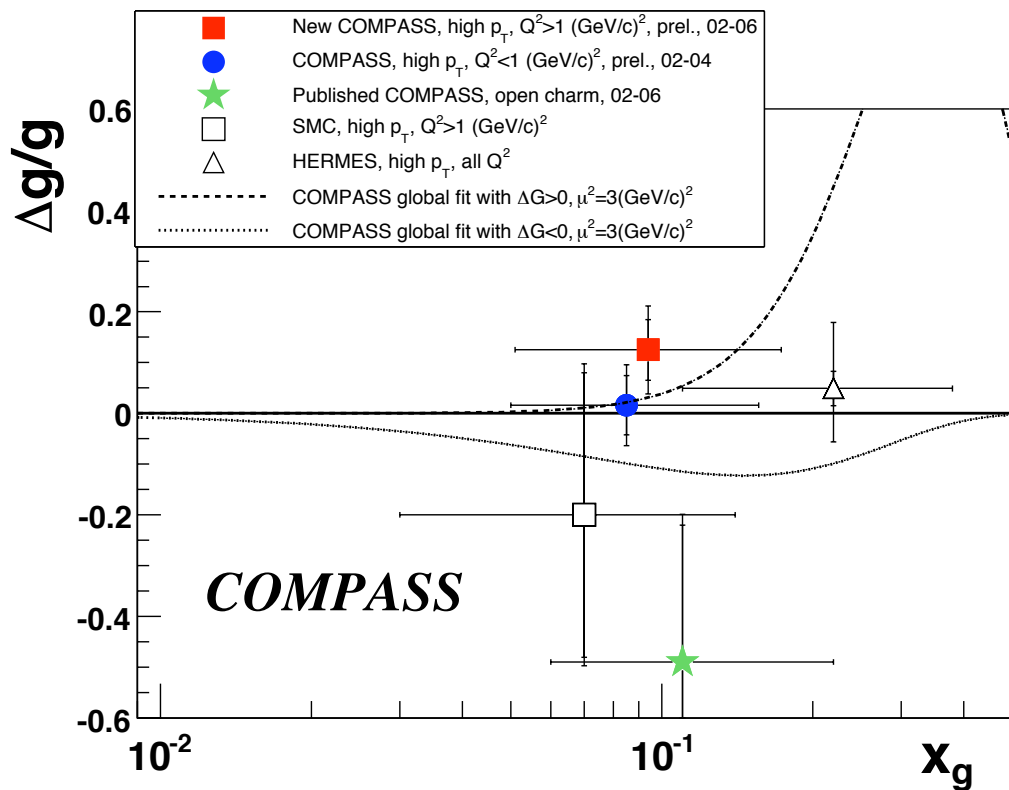
$\langle x_G \rangle$ is also changed!

The effective average x_G depends on the a_{LL}

The x_G region where a_{LL} is close to 0 does not contribute to average x_G

Gluon polarization from open-charm in LO QCD - published result *COMPASS Phys. Lett. B 676 (2009) 31*

LO published: $\Delta G/G = -0.49 \pm 0.27$



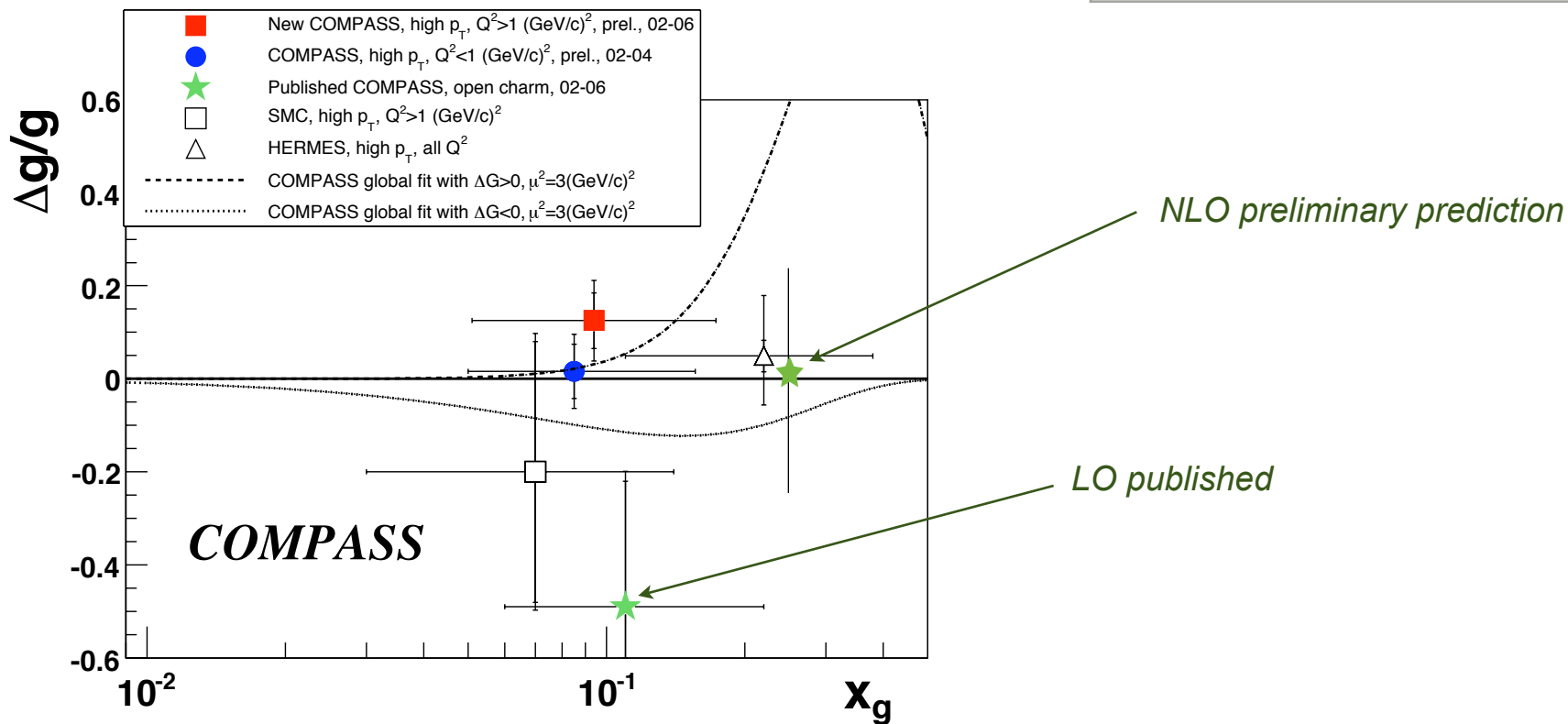
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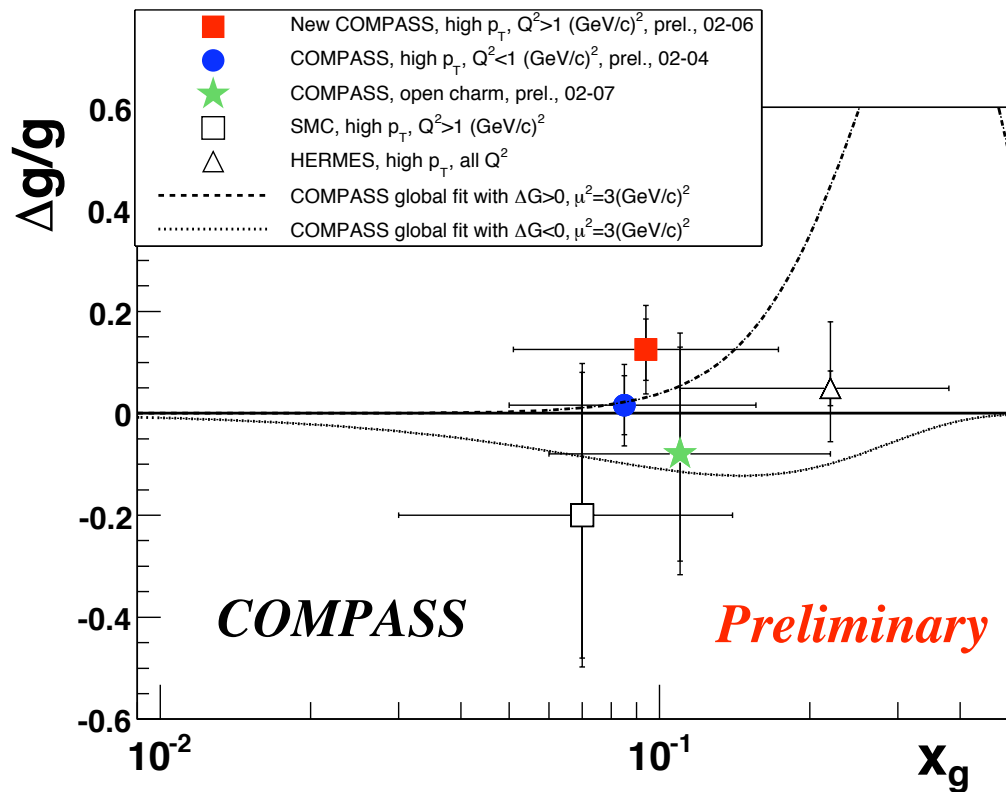
NLO (based on published asymmetries): $\Delta G/G = +0.008 \pm 0.25$

test: NLO M-M MC: $\Delta G/G = +0.005 \pm 0.22$

Significant effect on published data;
 New LO result smaller and the
 effect of NLO corrections will be
 smaller



Final gluon polarization from open-charm in LO QCD



$$\frac{\Delta G}{G} = -0.08 \pm 0.21 \pm (0.11)$$

$$\langle x_G \rangle \approx 0.11 \quad \mu^2 = 13 \frac{\text{GeV}^2}{c^2}$$

Final gluon polarization from open-charm in LO QCD vs NLO result from published asymmetries set

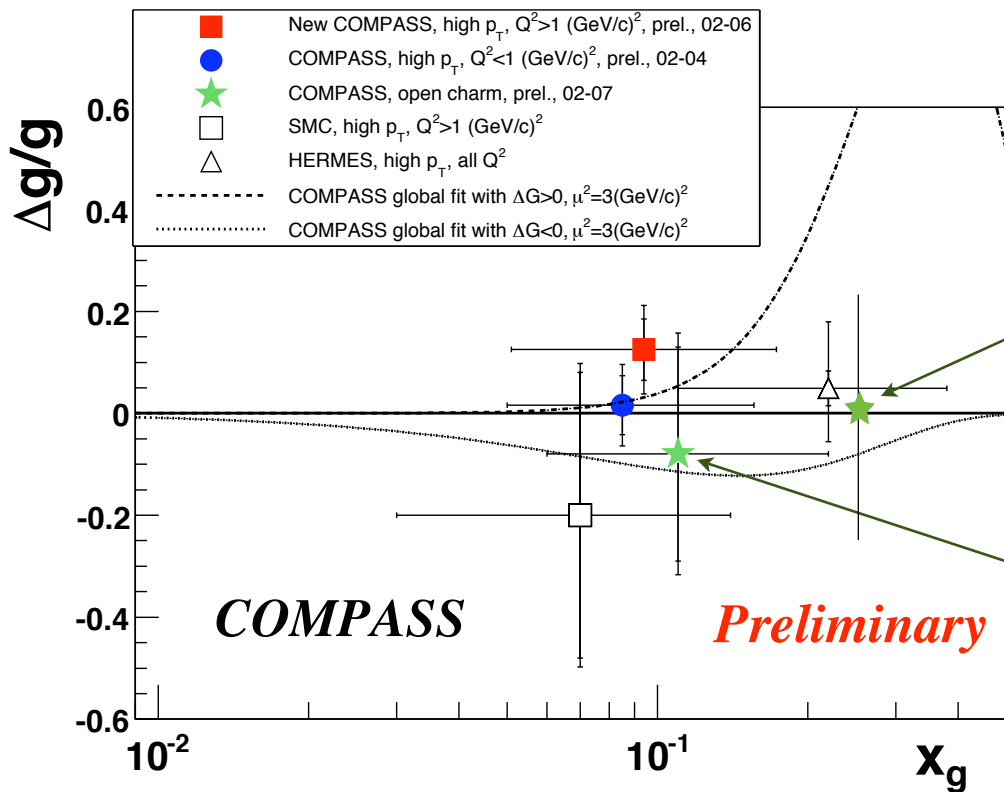
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NLO preliminary prediction

LO final

Summary:

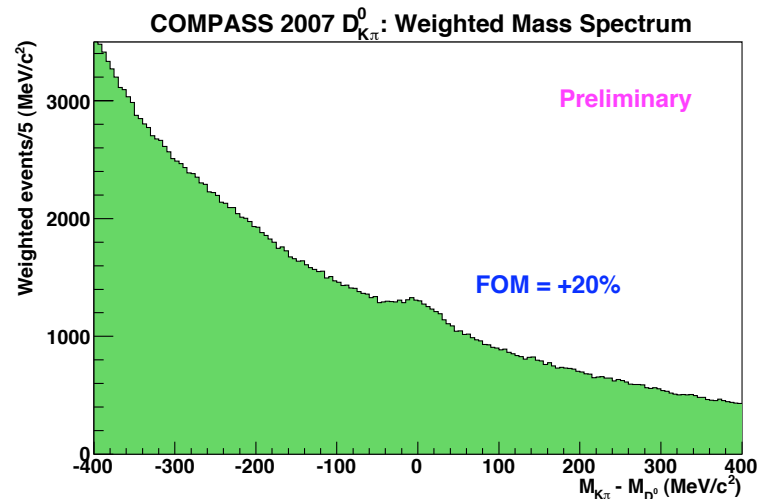
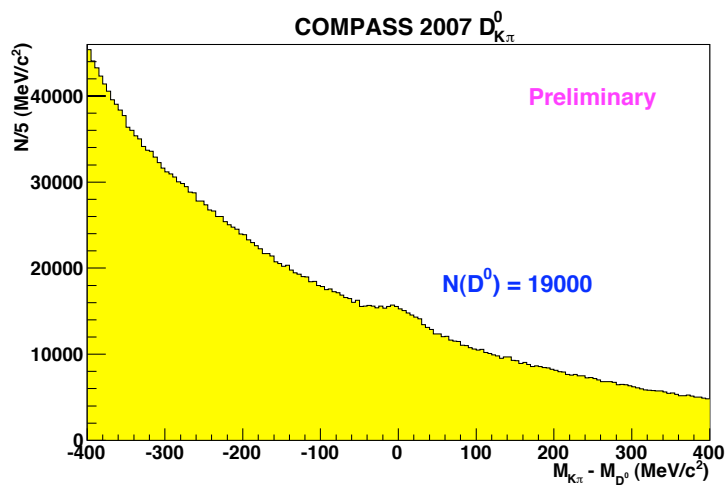
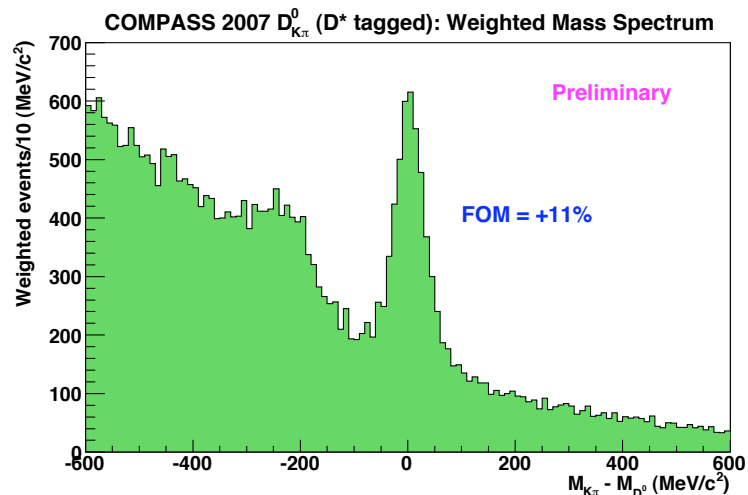
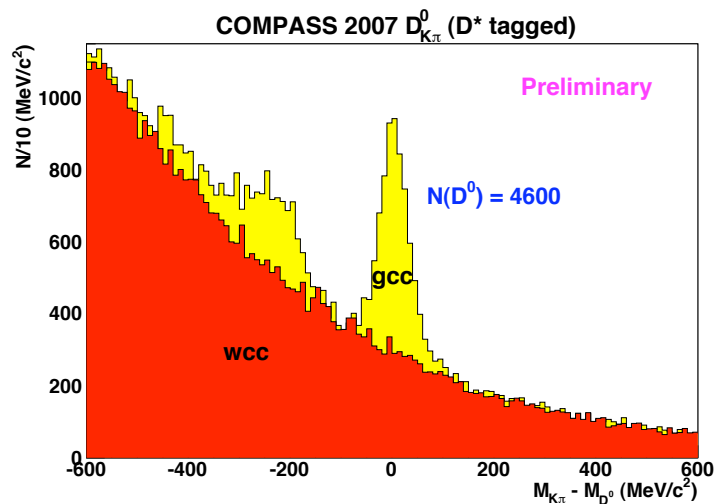
- New result on gluon polarization (in LO QCD) from open-charm analysis @ COMPASS has been presented.
- The preliminary NLO QCD result based on the published set of asymmetries in bins in p_{T,D^0} and E_{D^0} has been obtained.
- LO MC generator with PS on has been used to simulate NLO Phase Space needed for calculating analyzing powers in NLO approximation.

Plans:

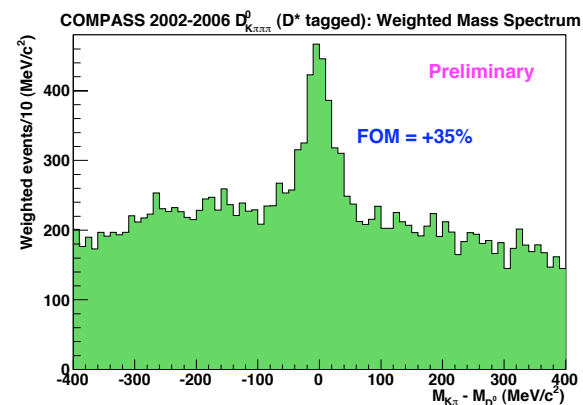
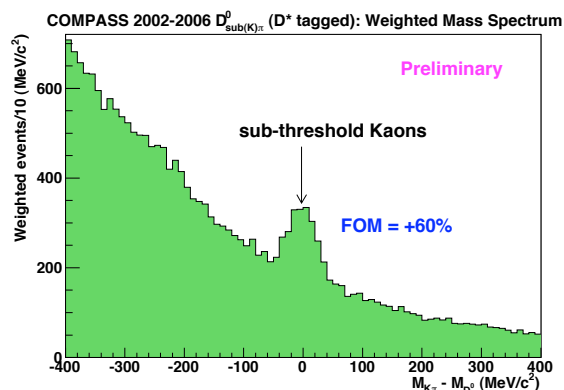
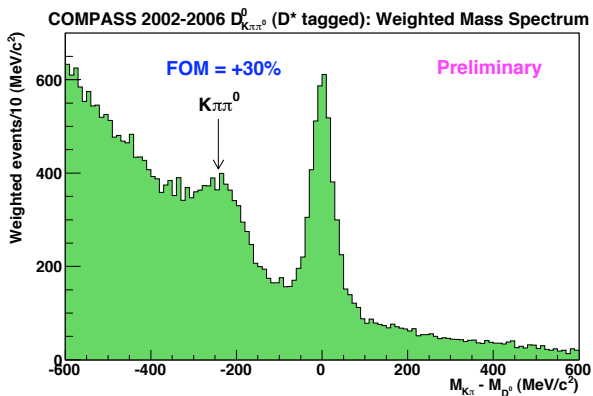
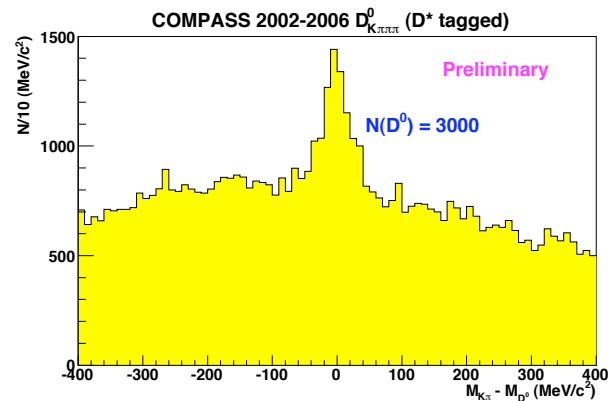
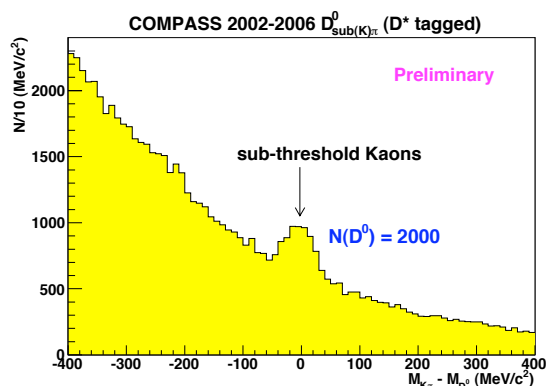
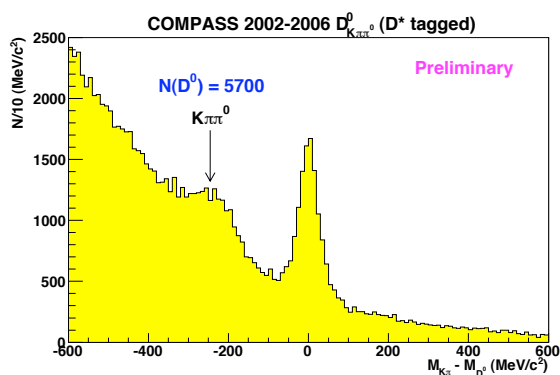
- Unpolarized D^0 cross section, data/MC comparison and D^0 production characteristic (new COMPASS paper).
- New tool - NLO MC for heavy quark photo-production available now for ALL calculations (*PhD, Tobias Töll, based on HERWIG generator, full NLO MC, PS+subtraction to avoid double counting, soft + virtual correctios as well*)
- New set of asymmetries in bins corresponding to the presented new LO result.
- Final NLO result based on these asymmetries with systematics error.

Spares

$s/(s+b)$: FOM improvement for main channels



$s/(s+b)$: FOM improvement for low purity channels



- $c\bar{c}$ production is dominated by the PGF process, and free from physical background (ideal for probing gluon polarisation)

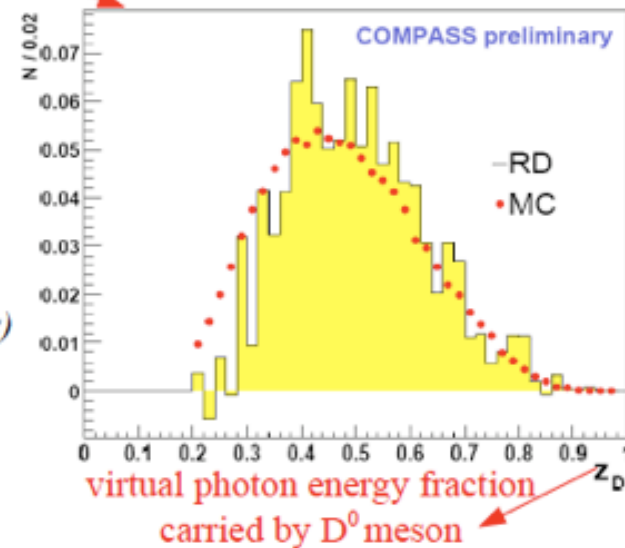
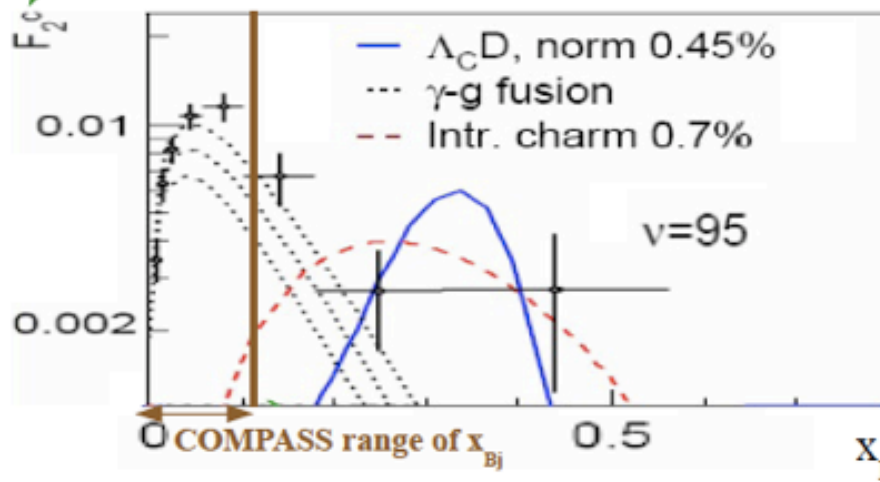
- In our center of mass energy, the contribution from intrinsic charm (*c quarks not coming from hard gluons*) in the nucleon is negligible

- Perturbative scale set by charm mass $4m_c^2$

- Nonperturbative sea models predict at most 0.7% for intrinsic charm contribution

- Expected at high x_{Bj} (compass $x_{Bj} < 0.1$)

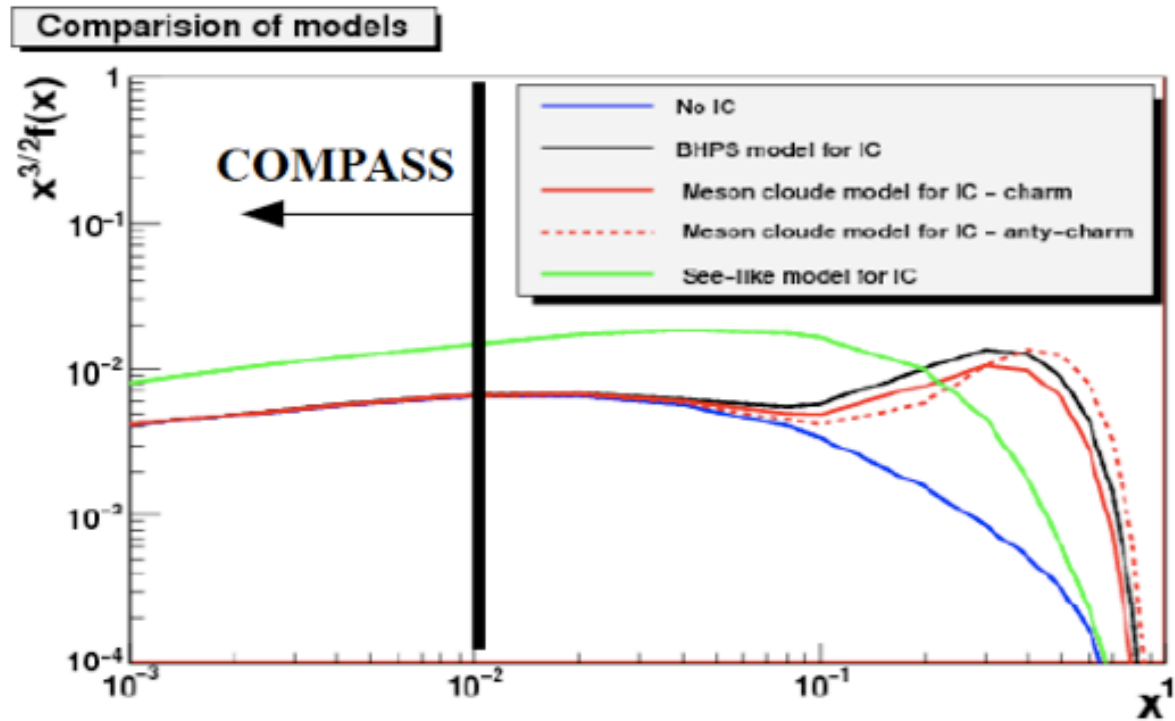
- $c\bar{c}$ suppressed during fragmentation (at our energies)



Ref. Hep-ph/0508126 and hep-ph/9508403
 Phys. Lett. B93 (1980) 451
 Data from EMC: Nucl. Phys. B213, 31 (1983)

Final Comments on intrinsic charm

- **No intrinsic charm contamination is predicted by the theory driven results**
- **Only the more phenomenological “See-like” scenario should be taken into account (under study)**



$$N = \alpha \left(1 + fPD \frac{s}{s+b} \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right) \right)$$

$$N' = \alpha \left(1 - fPD \frac{s}{s+b} \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right) \right)$$

$$A = \frac{N - N'}{N + N'} = fPD \frac{s}{s+b} \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right)$$

$$v \equiv fPD \frac{s}{s+b}$$

$$\langle v \rangle - \langle v' \rangle = 2\alpha fPD v \frac{s}{s+b} \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right) = 2\alpha v^2 \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right)$$

$$A \equiv \frac{\langle v \rangle - \langle v' \rangle}{\langle v^2 \rangle + \langle v'^2 \rangle} = \frac{\langle v^2 \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right) \rangle}{\langle v^2 \rangle}$$

$$\frac{\Delta G}{G} = a(x - \bar{x}) + b$$

$$A \equiv \frac{\langle v \rangle - \langle v' \rangle}{\langle v^2 \rangle + \langle v'^2 \rangle} = \frac{\langle v^2 \left(\hat{a}_{LL} \frac{\Delta G}{G} + \hat{a}_{LL}^q A_1^d \right) \rangle}{\langle v^2 \rangle} =$$

$$\frac{\langle v^2 a(x - \bar{x}) \hat{a}_{LL} \rangle}{\langle v^2 \rangle} + b \frac{\langle v^2 \hat{a}_{LL} \rangle}{\langle v^2 \rangle} + \frac{\langle v^2 \hat{a}_{LL}^q A_1^d \rangle}{\langle v^2 \rangle}$$

$$A = \frac{\Delta G}{G}(\bar{x}) \langle \hat{a}_{LL} \rangle_{v^2} + \langle \hat{a}_{LL}^q A_1^d \rangle_{v^2}$$

$$\langle v^2 a(x - \bar{x}) \hat{a}_{LL} \rangle = 0 \Rightarrow \bar{x} = \frac{\langle v^2 \hat{a}_{LL} x \rangle}{\langle v^2 \hat{a}_{LL} \rangle} = \frac{\langle \hat{a}_{LL} x \rangle_{v^2}}{\langle \hat{a}_{LL} \rangle_{v^2}}$$

Remark on D^0 anti- D^0 asymmetry LO :

$$A^{\bar{D}^0/D^0} \approx -\frac{1}{4} \frac{\sigma^1 \otimes \frac{\Delta H^{\bar{D}^0}}{H^{\bar{D}^0}} H}{\sigma^0 \otimes H} = -\frac{1}{4} \frac{\int \frac{\Delta G}{G} \frac{\Delta H^{\bar{D}^0}}{H^{\bar{D}^0}} G \hat{\sigma}^{PGF,LO} H}{\int G \hat{\sigma}^{PGF,LO} H}$$

$$A^{signal} \approx \frac{\Delta \sigma^0 \otimes H + \frac{1}{2} \Delta \sigma^1 \otimes \Delta H^{\bar{D}^0}}{\sigma^0 \otimes H} = \frac{\int \left(\frac{\Delta G}{G} + \frac{1}{4} \frac{\Delta H^{\bar{D}^0}}{H^{\bar{D}^0}} \right) a_{LL}^{PGF,LO} G \hat{\sigma}^{PGF,LO} H}{\int G \hat{\sigma}^{PGF,LO} H}$$

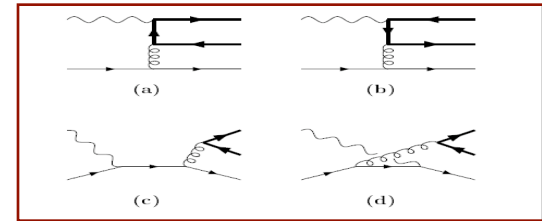
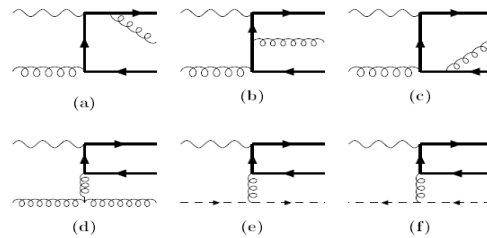
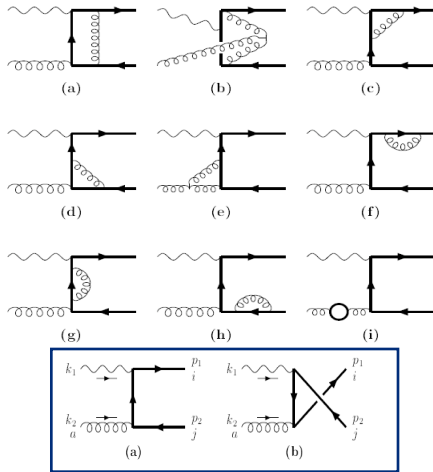
Remark on D^0 anti- D^0 asymmetry

LO:

$$A^{\bar{D}^0/D^0} \approx -\frac{1}{4} \frac{\sigma^1 \otimes \frac{\Delta H^{\bar{D}^0}}{H^{\bar{D}^0}} H}{\sigma^0 \otimes H} = -\frac{1}{4} \frac{\int \frac{\Delta G}{G} \frac{\Delta H^{\bar{D}^0}}{H^{\bar{D}^0}} G \hat{\sigma}^{PGF,LO} H}{\int G \hat{\sigma}^{PGF,LO} H}$$

$$A^{signal} \approx \frac{\Delta \sigma^0 \otimes H + \frac{1}{2} \Delta \sigma^1 \otimes \Delta H^{\bar{D}^0}}{\sigma^0 \otimes H} = \frac{\int \left(\frac{\Delta G}{G} + \frac{1}{4} \frac{\Delta H^{\bar{D}^0}}{H^{\bar{D}^0}} \right) a_{LL}^{PGF,LO} G \hat{\sigma}^{PGF,LO} H}{\int G \hat{\sigma}^{PGF,LO} H}$$

Gluon polarization in NLO QCD



I.Bojak, M.Stratmann, hep-ph/9807405,

Nucl.Phys.B 540 (1999) 345, I.Bojak, PhD thesis

J.Smith, W.L.Neerven, Nucl.Phys.B 374 (1992)36

W.Beenakker, H.Kuijf, W.L.Neerven,,J.Smith, Phys.Rev.D40(1989)54

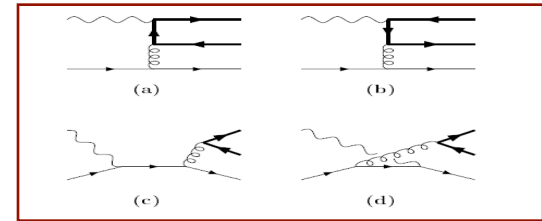
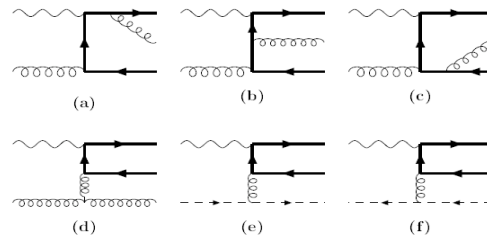
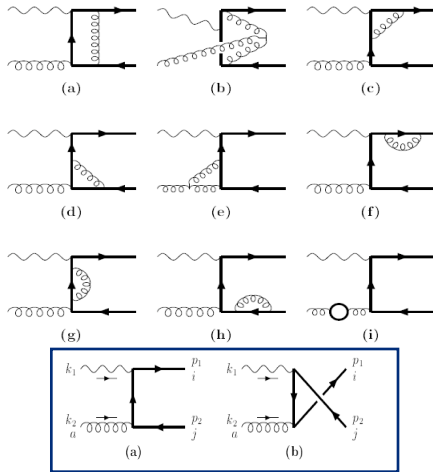
NLO corrections available only for photo-production limit. $Q^2 = 0$
 No problem for COMPASS: D – depolarization factor

$$a_{LL}^{LO} = D a_{LL}^{LO,\gamma g}$$

$$a_{LL}^{NLO} = D a_{LL}^{NLO,\gamma g}$$

$\leftarrow Q^2$ neglected in this parts - very good approximation

Gluon polarization in NLO QCD



I.Bojak, M.Stratmann, hep-ph/9807405,

Nucl.Phys.B 540 (1999) 245, JHEP 01 (2002) 017

W.Bec

NLO corrections available only for photo-
 No problem for COMPASS: D – depolarization

