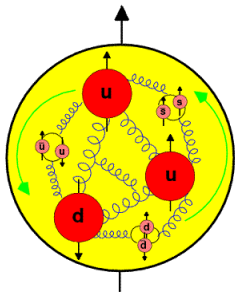


# Experimental Studies of the Nucleon Spin Structure: from the Past to the Future



Barbara Badelek  
University of Warsaw

International Nuclear Physics Conference 2010

Vancouver, July 4–9, 2010

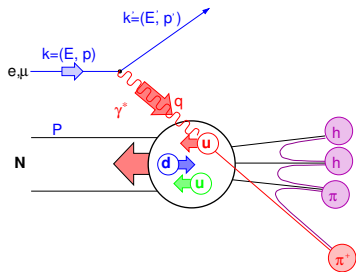
# A Beautiful Spin (after X. Ji)

- Born with troubles (Stern & Gerlach (1922) vs Goudsmit & Uhlenbeck (1925))
- Is due to space–time symmetry
- Fundamental concept
- Laboratory to explore physics beyond the SM, e.g.:
  - Muon “ $g - 2$ ” experiment @ BNL
  - Proton weak charge (Qweak exp @ JLAB)
  - Neutron EDM measurement ...
- Tool to measure observables hard to obtain otherwise, e.g.:
  - Strangeness content of the nucleon from polarised parity–violating e–p scattering
  - Electromagnetic form factors of the nucleon from the recoil polarisation
  - Neutron density in large nuclei from parity–violating electron scattering
- and...

# A Beautiful Spin (after X. Ji)...cont'd

- Probe to unravel the nonperturbative QCD dynamics, e.g.:
  - Nucleon spin-dependent structure functions,  $g_1$  and  $g_2$
  - Quark helicity ( $\Delta q(x)$ ) and transversity ( $\Delta_T q(x)$ ) distributions
  - Gluon polarisation,  $\Delta g(x)$
  - Generalised Parton Distributions, GPD
  - Semi-Inclusive Deep Inelastic Scattering, SIDIS
  - (Generalised) Drell-Hearn-Gerasimov-... sum rule
  - Single spin asymmetries

# Nucleon spin structure in the electroproduction



- $Q^2 = -q^2 = -(k - k')^2 \stackrel{lab}{=} EE' \sin^2 \frac{\theta}{2}$   
Partons "visible" at  $Q^2 \gtrsim 1 \text{ GeV}^2$
- $Pq \stackrel{lab}{=} M(E - E') = M\nu$
- $x = \frac{-q^2}{2Pq} \stackrel{lab}{=} \frac{Q^2}{2M\nu}$ ;  $y = \frac{Pq}{Pk} \stackrel{lab}{=} \frac{\nu}{E}$
- $0 \leq x, y \leq 1$

■ 
$$\frac{d^2\sigma}{d\Omega dE'} = \frac{\alpha^2}{2Mq^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

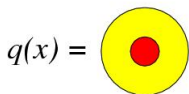
■ Symmetric part of  $W^{\mu\nu}$  – unpol. DIS, antisymmetric – polarised DIS

■ Nominally  $F_{1,2}$ ,  $q(x) \implies g_{1,2}$ ,  $\Delta q(x)$  but...

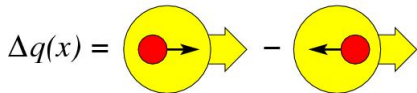
■ ...anomalous gluon contribution to  $g_1(x)$  and  $g_2(x)$  has no parton interpretation.

# Partonic structure of the nucleon; distribution functions

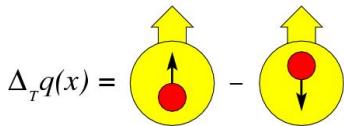
Three **twist-two** quark distributions in QCD (after integrating over the quark intrinsic  $k_t$ )



Quark momentum DF;  
**well known** (unpolarised DIS  $\rightarrow F_{1,2}(x)$ ).



Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin;  
**known** (polarised DIS  $\rightarrow g_1(x)$ ).

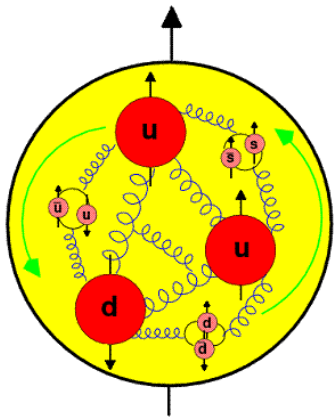


Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin in the transversely polarised nucleon;  
**unknown** (polarised DIS  $\rightarrow h_1(x)$ ).

Nonrelativistically:  $\Delta_T q(x) \equiv \Delta q(x)$ . **OBS.!**  $\Delta_T q(x)$  are **C-odd and chiral-odd**; may only be measured with another chiral-odd partner, e.g. fragmentation function.

If the  $k_t$  taken into account  $\implies$  8 TMD distr.; one,  $f_{1T}^\perp$  accessible through "Sivers asymmetry".

# Partonic structure of the nucleon: where does its spin come from?



$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L$$

The European Muon Collaboration, EMC, @ CERN, 1988:  
 J. Ashman *et al.*, *Phys. Lett. B* **206** (1988)364

$$a_0 = \Delta\Sigma = 0.12 \pm 0.09 \pm 0.14 \text{ (expected: } \sim 0.6 \text{ if } \Delta s = 0\text{)}.$$

Here:  $\Delta\Sigma = \Delta u + \Delta d + \Delta s$  and

$$\Delta q = \int \Delta q(x) dx, \quad \Delta G = \int \Delta g(x) dx$$

# Nucleon spin structure: observables in $\vec{l}\vec{N} \rightarrow l'X$

## ■ Inclusive asymmetry, $A_{meas}$ :

$$A_{meas} = \frac{1}{fP_T P_B} \left( \frac{N^{\leftrightarrow} - N^{\leftarrow}}{N^{\leftrightarrow} + N^{\leftarrow}} \right) \approx DA_1 = D \frac{g_1(x, Q^2)}{F_1(x, Q^2)} = D \frac{\sum_q e_q^2 \Delta q(x, Q^2)}{\sum_q e_q^2 q(x, Q^2)}$$

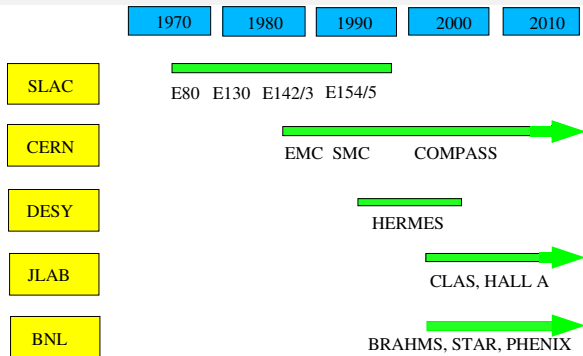
$$\Delta q = q^+ - q^-, \quad q = q^+ + q^-, \quad g_1^d = g_1^N \left(1 - \frac{3}{2}\omega_D\right) = \frac{g_1^p + g_1^n}{2} \left(1 - \frac{3}{2}\omega_D\right);$$

$$\omega_D = 0.05 \pm 0.01$$

## ■ Semi-inclusive asymmetry, $A_{meas}^h$ :

$$A_{meas}^h(x, z, Q^2) \approx D \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\sum_q e_q^2 q(x, Q^2) D_q^h(z, Q^2)} \quad z = \frac{E_h}{\nu} \quad D_q^h \neq D_{\bar{q}}^h$$

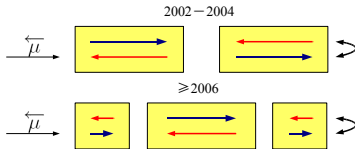
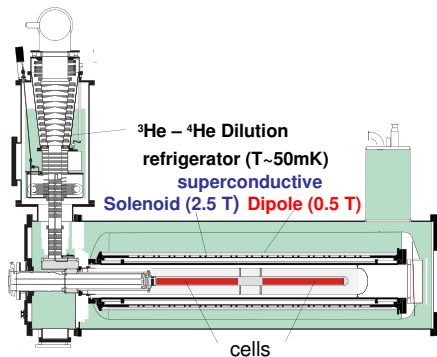
# Experiments



Experiment	Polarised beam	Polarised target	Energy (GeV)
SLAC	e	p, n, d	$\lesssim 50$
EMC	$\mu$	p	100–200
SMC	$\mu$	p, d	100, 190
HERMES	e	p, n, d	27.5
COMPASS	$\mu$	p, d	160
JLAB	e	p, n, d	$\lesssim 6$
BNL	p	p	$\lesssim 250 + \lesssim 250$

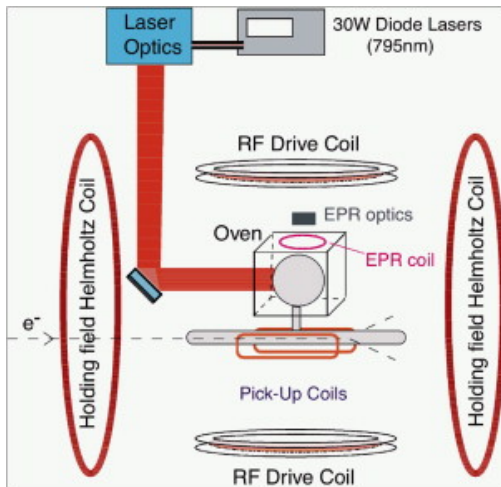


# COMPASS polarised targets



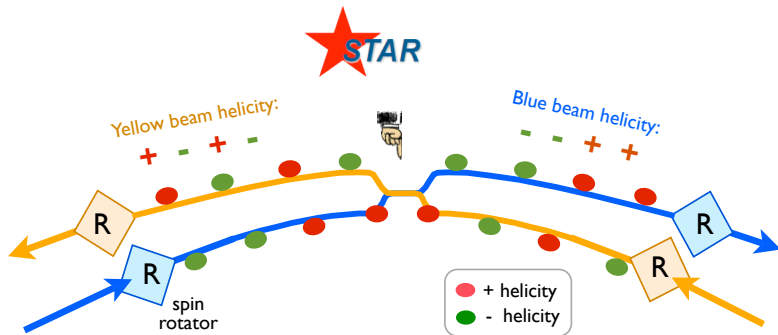
- \* Two (three in 2006, 2007) target cells, oppositely polarised
- \* Polarisation reversed every 8 h (less frequent in 2006, 2007) by field rotation
- \* Material: solid  ${}^6\text{LiD}$  ( $\text{NH}_3$  in 2007)
- \* Polarisation:  $\sim 50\%$  ( $\sim 90\%$  in 2007), by the Dynamical Nuclear Polarisation
- \* Dilution:  $f \sim 0.4$  ( $\sim 0.15$  in 2007)
- \* Polar acceptance:  $\sim 70$  mrad ( $\sim 180$  mrad in 2006, 2007)

# Polarised $^3\text{He}$ gas target at JLAB



From Kuhn, Chen and Leader, *Prog. Part. Nucl. Phys.* **63** (2009) 1

# $\vec{p}\vec{p}$ scattering at RHIC



STAR sees 4 helicity configurations  
STAR runs 4 parallel measurements

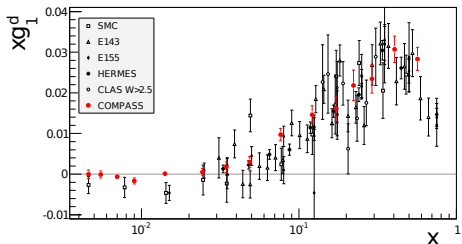
RHIC measured polarization  
Run 9 @ 2x250 GeV

**Pol yellow 0.40**

**Pol blue 0.38**

syst. pol (blue+yellow)=9.2%

# $g_1(x)$ for proton and deuteron, $Q^2 > 1 \text{ (GeV}/c)^2$



Very precise data  
especially at  $x \lesssim 0.01$

From  $\Gamma_1^d$  at  $Q^2 \rightarrow \infty$ :

HERMES:

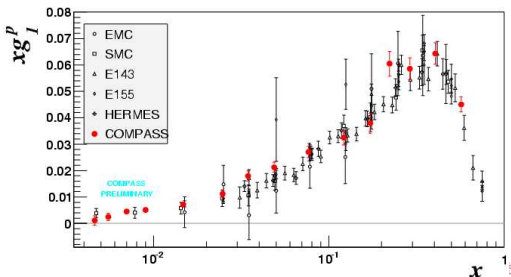
$$a_0 = 0.330 \pm 0.025 \pm 0.011 \pm 0.028$$

COMPASS:

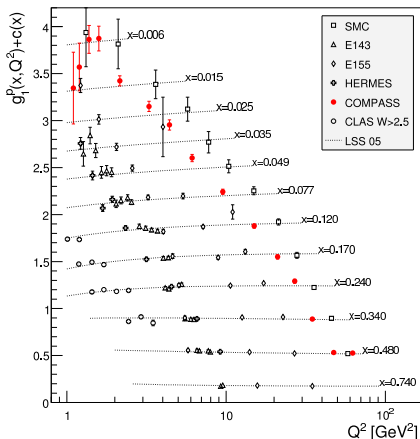
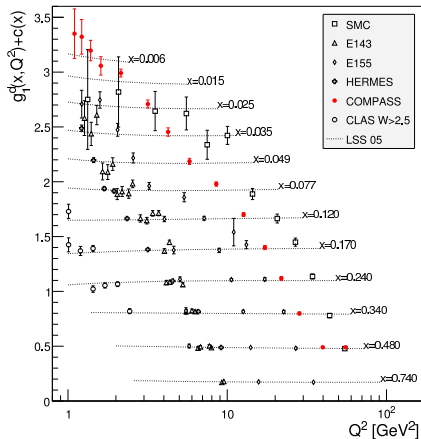
$$a_0 = 0.33 \pm 0.03 \pm 0.05$$

$$\Delta s + \Delta \bar{s} = -0.08 \pm 0.01 \pm 0.02$$

Good agreement  
between the experiments



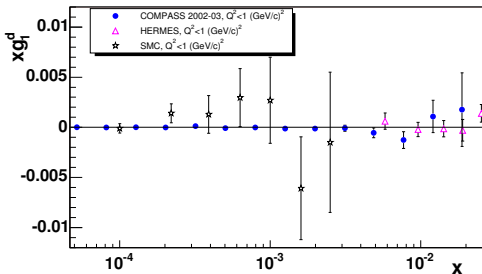
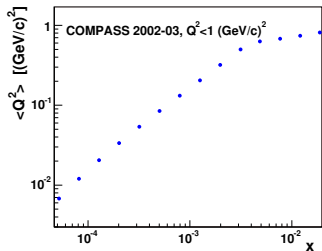
# $g_1(x)$ for proton and deuteron, $Q^2 > 1$ (GeV/c)<sup>2</sup>...cont'd



More data from JLAB/EG1a @ low  $Q^2$ .

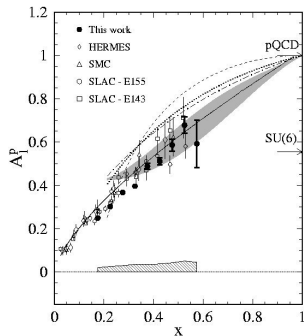
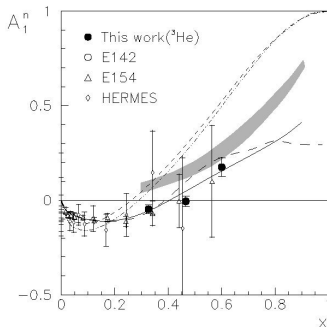
# $g_1^d(x)$ in the nonperturbative ( $Q^2 < 1$ (GeV/c) $^2$ region)

V.Yu. Alexakhin *et al.* (COMPASS) Phys. Lett. B **647** (2007) 330



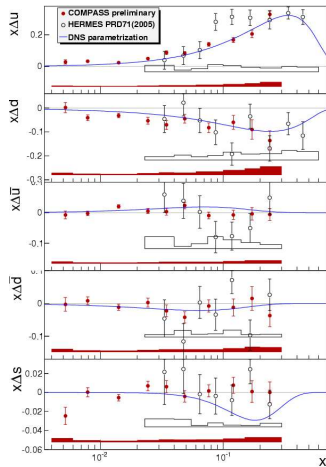
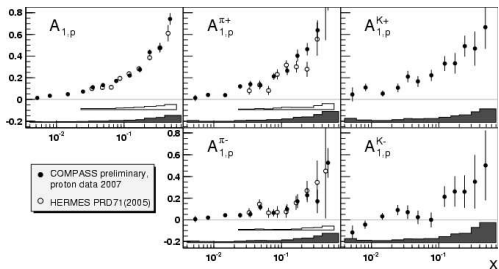
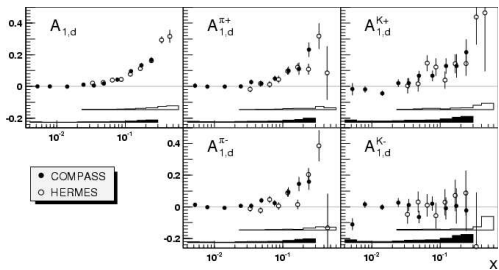
- Order of magnitude improvement over the statistical precision of the SMC.
- Interplay between perturbative and nonperturbative mechanisms.
- Spin effects in  $g_1^d$  at low  $x$  and  $Q^2$  absent ?

# $A_1^n$ and $A_1^p$ at high $x$ , $Q^2 > 1 \text{ GeV}^2$



$A_1^n \neq 1 @ x \rightarrow 1, \implies \text{SU}(6) \text{ symmetry breaking??}$

# Flavour separation of helicity distributions (@ LO)

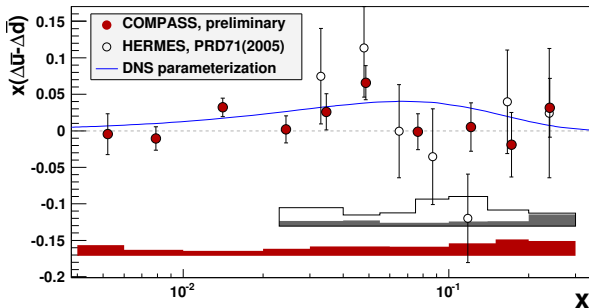


$$Q^2 = 3 \text{ (GeV/c)}^2$$

Fragmentation functions!



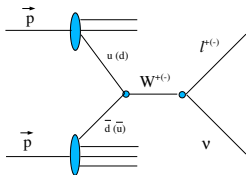
# Is the polarised sea flavour symmetric? $\Delta\bar{u} - \Delta\bar{d} = ?$



- COMPASS:  $\int_{0.004}^{0.3} (\Delta\bar{u} - \Delta\bar{d}) dx = 0.052 \pm 0.035 \pm 0.013 @ Q^2 = 3 \text{ GeV}^2$ .
- HERMES:  $\int_{0.023}^{0.6} (\Delta\bar{u} - \Delta\bar{d}) dx = 0.048 \pm 0.057 \pm 0.028 @ Q^2 = 2.5 \text{ GeV}^2$ .
- Presently accessible only in SIDIS.
- Unpolarised sea:  $\int_0^1 (\bar{u} - \bar{d}) dx = -0.118 \pm 0.012 @ Q^2 = 54 \text{ GeV}^2$   
FNAL E866, Phys. Rev. D64 (2001) 052002

# $W^\pm$ production in $\vec{p}\vec{p}$ scattering @ RHIC

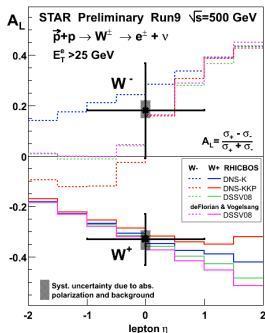
$\vec{p}\vec{p} \rightarrow W^{+(-)} \rightarrow l^{+(-)}\nu X$  run 9 @  $\sqrt{s} = 500$  GeV, preliminary



- $\sigma(W)$  agrees reasonably with theory
- Hard scale set by the lepton  $p_T$
- No FF uncertainties

## LO interpretation:

- $A_L^{W^-} = \frac{1}{2} \left( \frac{\Delta\bar{u}}{\bar{u}} - \frac{\Delta d}{d} \right) = 0.18 \pm 0.19^{+0.04}_{-0.03}$  (STAR)
- $A_L^{W^+} = \frac{1}{2} \left( \frac{\Delta\bar{d}}{\bar{d}} - \frac{\Delta u}{u} \right) = -0.33 \pm 0.10 \pm 0.04$  (STAR)  
 $= -0.83 \pm 0.31$  (PHENIX)
- $x(\Delta\bar{u} - \Delta\bar{d}) > 0$  ?



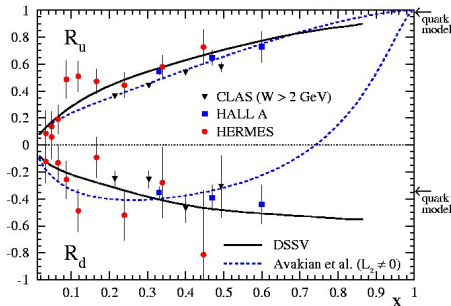
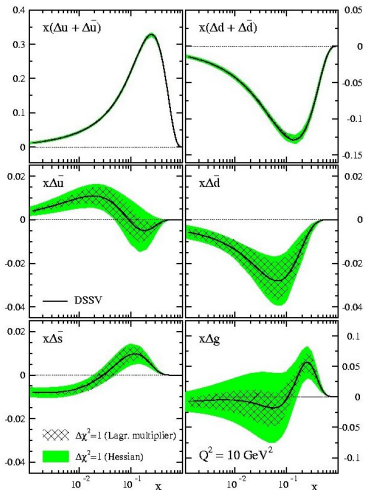
After J. Balewski, DIS2010

# Global parton analyses

Example: DSSV (D. de Florian, R. Sassot, M. Stratmann, V. Vogelsang),  
cf. Phys. Rev. D **80** (2009) 034030.

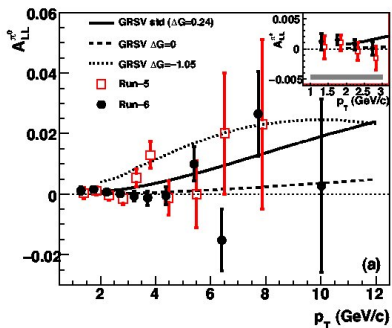
$$R_q = \frac{\Delta u + \Delta \bar{u}}{u + \bar{u}}$$

CLAS EG1b Phys. Lett. B641 (2006) 11

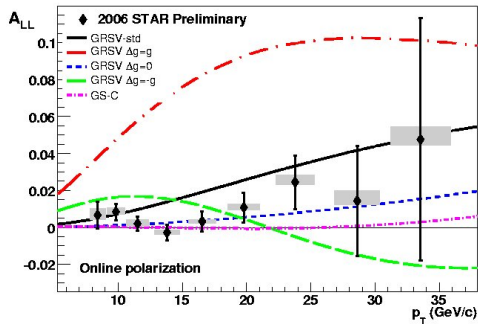


- $\Delta g$  poorly known but small
- ... may still contribute to nucleon spin
- has a node at  $x \sim 0.1$  ?
- $\Delta s > 0$  from SIDIS  
but  $< 0$  required by incl. data (+  $SU_3$ )
- ... thus node in  $\Delta s$  fits.

# $\Delta G$ from the $\vec{p}\vec{p}$ scattering @ RHIC



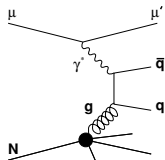
PHENIX [PRL, 103 \(2009\) 012003](#)  
(high  $p_T$   $\pi^0$  production)



STAR [PRL, 100 \(2008\) 23 2003](#)  
(mid-rapidity inclusive jets)

- Confirmed the electroproduction results
- Included in the DSSV2009.

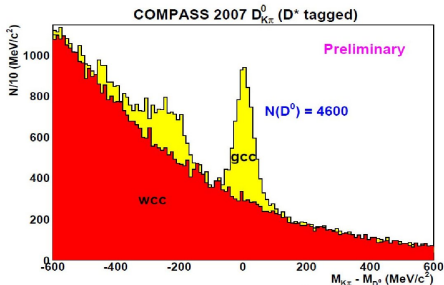
# $\Delta g$ from the PGF in electroproduction



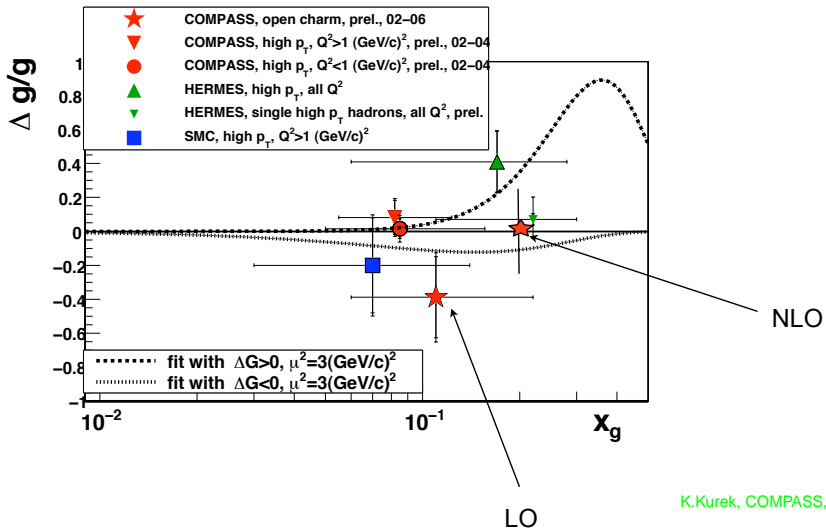
if  $q, \bar{q} \equiv c, \bar{c}$  then  $A_{meas} \sim \langle a_{pgf} \rangle \left\langle \frac{\Delta g}{g} \right\rangle + A_{bckg}$  AROMA  
 if  $q, \bar{q} \equiv \text{light quarks}$  then more MC info needed LEPTO/PYTHIA.

To suppress background in  $c\bar{c}$ :

- only one charm meson candidate
- quasi-real photons
- A weighting method used to optimise the  $\Delta g(x)$  extraction
- Combinatorial background significantly reduced for the  $D^* \rightarrow D^0 + \pi_s \rightarrow K + \pi + \pi_s$ .

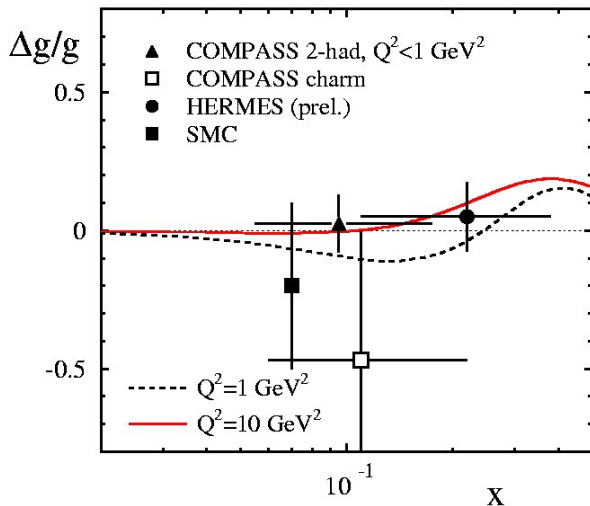


# $\Delta g$ from the PGF in electroproduction, ...cont'd



K.Kurek, COMPASS, DIS2010

# $\Delta g$ from the PGF in electroproduction, ...cont'd



DSSV, arXiv:0904.3821

# Nucleon spin decomposition – “22 years later”

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L$$

Are we approaching the solution of the “proton spin puzzle”?

- Restoration of  $\Delta\Sigma=0.6$  via the axial anomaly improbable.

As a consequence of the “axial anomaly” the measured quantity is:

$$a_0(Q^2) = \Delta\Sigma^{AB} - \left(\frac{3\alpha_s}{2\pi}\right)\Delta G(Q^2)$$

where COMPASS @ 3 GeV<sup>2</sup> gives:  $a_0 = 0.35 \pm 0.03 \pm 0.05$

and the “spin crisis” can be solved ( $\Delta\Sigma \sim 0.6$ ) if  $\Delta G \sim 2.2$  (and  $L \sim -2$ ) at  $Q^2 = 3 \text{ GeV}^2$ .

- Global, consistent NLO analysis of  $\Delta G$  needed.
- Independent measurement of  $L$  necessary ( $\implies$  DVCS, lattice QCD?).
- All candidates are contributing about equally to the nucleon spin?

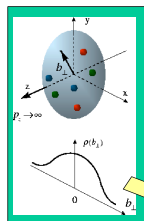


# Ways of constraining the orbital angular momentum

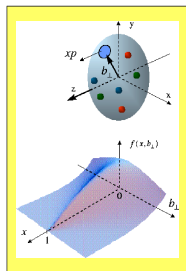
- Measurement of asymmetries sensitive to e.g. the  $k_T$  of the partons (Sivers asymmetry)
- QCD calculations on the lattice  $\implies L \approx 0$  ?
- X. Ji: evolution equations for  $J_q$  and  $J_g$  give the asymptotic ( $\mu^2 \rightarrow \infty$ ) solutions  $\implies L$  cannot be negligible ?
- Extract from the full, 3D description of the proton  $\implies$  GPDs

# 3D picturing of the proton *via* GPD

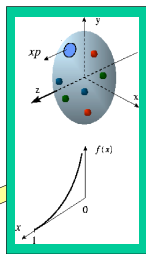
D. Mueller, X. Ji, A. Radyushkin, A. Belitsky, ...  
M. Burkardt, ... Interpretation in impact parameter space



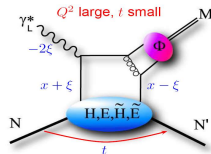
Proton form factors,  
transverse charge &  
current densities



Correlated quark momentum  
and helicity distributions in  
transverse space - GPDs



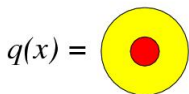
Structure functions,  
quark longitudinal  
momentum & helicity  
distributions



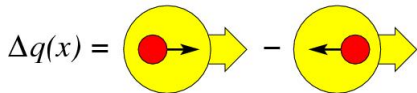
- Four GDPs ( $H, E, \tilde{H}, \tilde{E}$ ) for each flavour and for gluons
- All depend on 3 variables:  $x, \xi, t$ ; DIS @  $\xi = t = 0$
- $H, \tilde{H}$  conserve nucleon helicity;  $E, \tilde{E}$  flip nucleon helicity
- $H, E$  refer to unpolarised distributions;  $\tilde{H}, \tilde{E}$  refer to polarised distr.

# Partonic structure of the nucleon; distribution functions

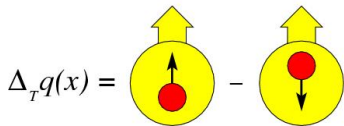
Three **twist-two** quark distributions in QCD (after integrating over the quark intrinsic  $k_t$ )



Quark momentum DF;  
**well known** (unpolarised DIS  $\rightarrow F_{1,2}(x)$ ).



Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin;  
**known** (polarised DIS  $\rightarrow g_1(x)$ ).



Difference in DF of quarks with spin parallel or antiparallel to the nucleon's spin in the transversely polarised nucleon;  
**unknown** (polarised DIS  $\rightarrow h_1(x)$ ).

Nonrelativistically:  $\Delta_T q(x) \equiv \Delta q(x)$ . **OBS.!**  $\Delta_T q(x)$  are **C-odd and chiral-odd**; may only be measured with another chiral-odd partner, e.g. fragmentation function.

If the  $k_t$  taken into account  $\implies$  8 TMD distr.; one,  $f_{1T}^\perp$  accessible through "Sivers asymmetry".

# Measurements on a transversely polarised target

Properties of  $\Delta_T q(x)$ :

- is chiral-odd  $\implies$  hadron(s) in final state needed to be observed
- simple QCD evolution since no gluons involved
- related to GPD
- sum rule for transverse spin
- first moment gives “tensor charge” (now being studied on the lattice)

Transversity measured e.g. via the Collins asymmetry (asymmetry in the distribution of hadrons):

$$N_h^\pm(\phi_c) = N_h^0 [1 \pm p_T D_{NN} A_{Coll} \sin \phi_c]$$

which in turn gives at LO:

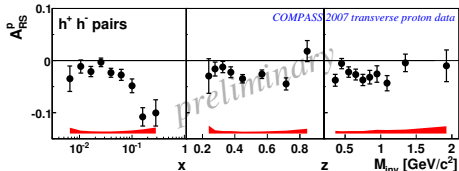
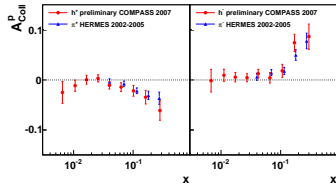
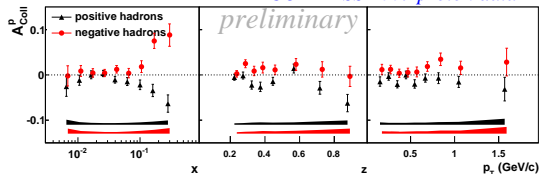
$$A_{Coll} \sim \frac{\sum_q e_q^2 \cdot \Delta_T q \cdot \Delta_T^0 D_q^h}{\sum_q e_q^2 \cdot q \cdot D_q^h}$$

But **transverse fragmentation functions  $\Delta_T^0 D_q^h$  needed to extract  $\Delta_T q(x)$**  from the Collins asymmetry! Recently those FF measured by BELLE.

Properties of the Sivers process: it is related to  $L_q$  in the proton. **Fundamental !**

# Results for the transverse asymmetries

COMPASS 2007 proton data



- Collins 1-h asymmetries for proton large at  $x \gtrsim 0.1$ , consistent with HERMES
- 2-h asymmetry for proton large in the valence region; HERMES sees less.
- Indication of Sivers 1-h asymmetries for  $\pi^+$  on proton, @  $x > 0.032$ ; similar to HERMES; needs to be cleared.

● COMPASS deuteron data: both Collins and Sivers asymmetries very small.

These data + Hermes + Belle:  $\implies \Delta_{T^+u} + \Delta_{T^+d} \sim 0$

● First  $\Delta_{T^+q}$  global analyses performed. (cf. Anselmino et al., arXiv:0807.0173)

# Outlook

## Goals:

- Determination of  $\Delta G$ .
- Measurements of GPD via DVCS  $\implies L_z$ .

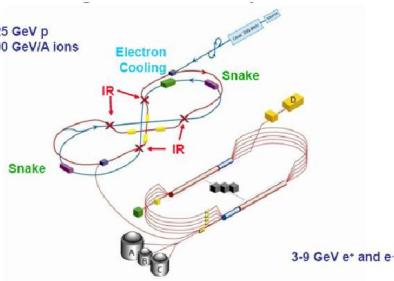
## Experimental prospects:

- Short term: more lepton data from COMPASS and JLAB  
more hadron data from RHIC (500 GeV!)
- 5-10 years: COMPASS DVCS and DY programme  
RHIC upgrade  
JLAB upgrade 12 GeV.
- ??? years: electron-ion colliders: eRHIC, ELIC, ENC, LHeC

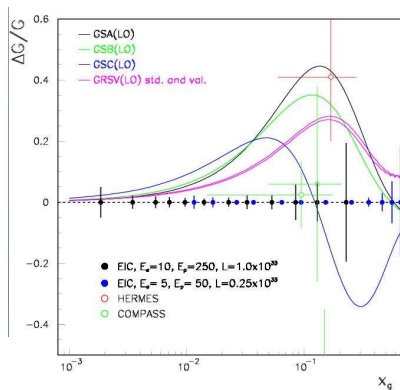
# Future: Electron-Ion Collider, EIC

- LHeC @ CERN
- eRHIC @ BNL
- ELIC @ JLAB
- MANUEL @ FAIR (GSI)

30-225 GeV p  
30-100 GeV/A ions



## ELIC



[www.eic.bnl.gov/docs/EIC-WhitePaper2007.pdf](http://www.eic.bnl.gov/docs/EIC-WhitePaper2007.pdf)

