

# Measurements of the gluon polarisation in the nucleon at COMPASS

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on behalf of the COMPASS Collaboration

Pacific-Spin 2009, Yamagata 17.09.2009



# COMPASS

## Muon programme

### Beam:

- Momentum: 160 GeV/c
- Polarisation  $\mu^+$ : -80%

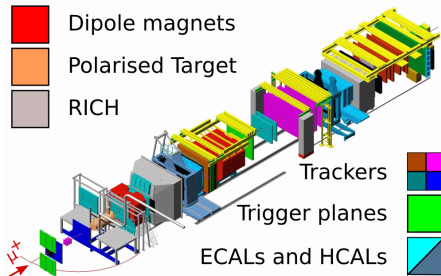
### Target:

- Polarised both longitudinally and transversely
- Material:  ${}^6\text{LiD}$ , ( $\text{NH}_3$ )
- Polarisation:  $\sim 50\%$ , (90%)
- Two (three) target cells

### Data taking

- 2002-2004, 2006-2007,  
(2008-2009 Hadron programme)

...



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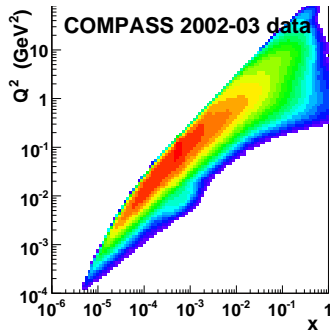
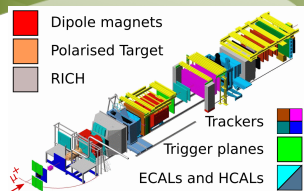
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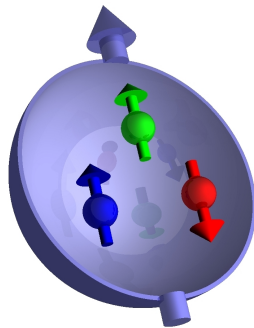
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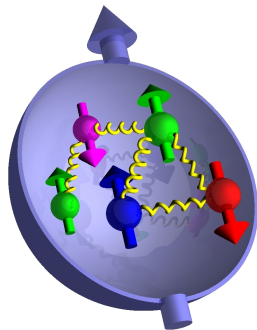
# Physics motivation

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma$$



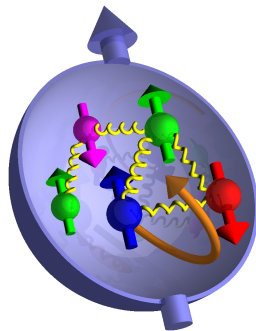
# Physics motivation

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G$$



# Physics motivation

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$



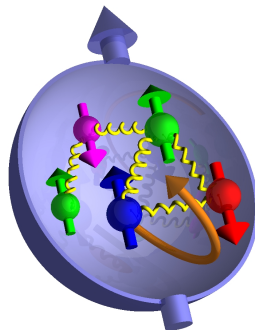
## Physics motivation

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$

### "Spin Crisis"

- In a naive Quark Parton Model we expect:  
 $\Delta\Sigma = 1$
- Taking into account relativistic effects:  
 $\Delta\Sigma \approx 0.6$
- The EMC first measured quark contribution:  
 $\Delta\Sigma = 0.12 \pm 0.17$  [1]
- COMPASS NLO QCD fit to the world data:  
 $\Delta\Sigma = 0.30 \pm 0.01(\text{stat.}) \pm 0.02(\text{evol.})$  [2]  
@  $Q^2 = 3 \text{ GeV}^2$
- Direct measurements can answer what is the contribution of gluons and orbital momentum

...



[1] Nucl. Phys. B 328 (1989) 1

[2] PLB 647 (2007) 8-17

1 Asymmetry

2 Open Charm

3 High  $p_T$  pairs

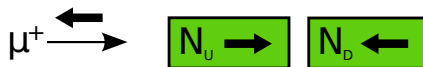
4 Summary



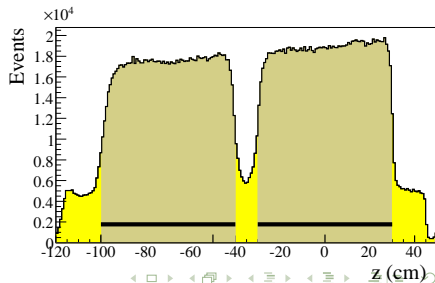
## Experimental asymmetry

Asymmetries are a sensitive tool for measuring spin effects

$$A_{exp} = \frac{N_U - N_D}{N_U + N_D}$$



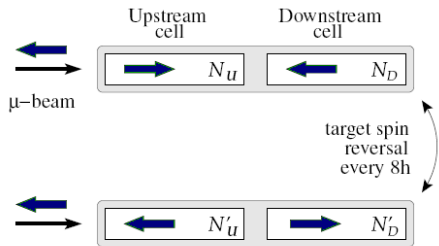
- One cell polarized parallel and one cell polarized antiparallel to the beam.
- Both cells exposed to same beam flux.
- Spectrometer acceptance is not the same for both cells.



# Experimental asymmetry

**Solution:** reverse polarization every 8 hours.

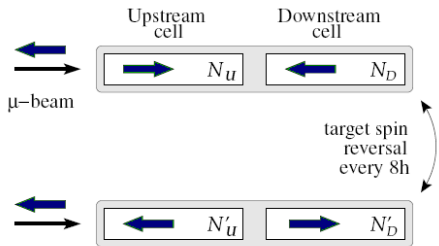
$$A_{exp} = 1/2 \left( \frac{N_U - N_D}{N_U + N_D} + \frac{N'_D - N'_U}{N'_D + N'_U} \right)$$



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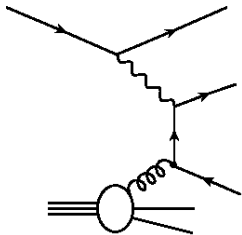
Experimental asymmetry is related to cross-section asymmetry:

$$A_{exp} = P_T P_B f A_{||}$$

Where:

- $P_T$  - Target polarization
- $P_B$  - Beam polarization
- $f$  - dilution factor

# Access to gluons



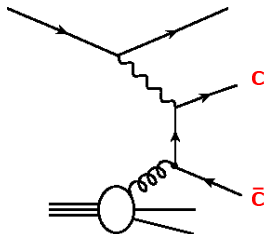
**PGF**

Photon Gluon  
Fusion

## Access to gluons

- **Open Charm**

- Search for  $D^0$  meson in the final state
- No charm in the nucleon in COMPASS kinematics
- Charm is produced only via PGF (LO)
- Perturbative region ensured by charm mass
- Weakly depends on MC simulations
- **Low statistics**



**PGF**

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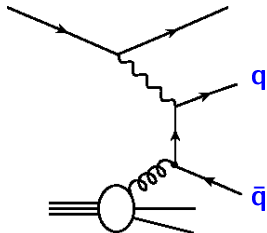
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- **High  $p_T$  hadron pairs ( $Q^2 > 1 \text{ (GeV/c)}^2$ )**

- Search for two hadrons with high transverse momenta in the final state
- **Large statistics**
- **Perturbative region -  $Q^2 > 1 \text{ (GeV/c)}^2$**
- **Background processes**
- **MC simulations essential**

- **High  $p_T$  hadron pairs ( $Q^2 < 1 \text{ (GeV/c)}^2$ )**

- 2002-2003 result published [PLB 633 (2006) 25-32]
- 2002-2004 presented on several conferences



**PGF**

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## Asymmetry calculation (LO)

$$A_{exp} = \frac{\Delta G}{G} P_t P_b a_{LL} f \frac{S}{S+B} + A_{BG}$$

$\Delta G$  – polarised  
 $G$  – unpolarised

gluon distribution functions in nucleon

$a_{LL}$  - partonic cross-section asymmetry - taken from Aroma MC

$S$  - signal events

(reconstructed  $D^0$ )

$B$  - background events

$\Rightarrow$  both obtained from fits to  $D$  mass spectra

**Note:**  $A_{BG} = \frac{1}{P_t P_b f D \frac{B}{S+B}} A_{exp}$  is determined simultaneously and is found to be compatible with zero.

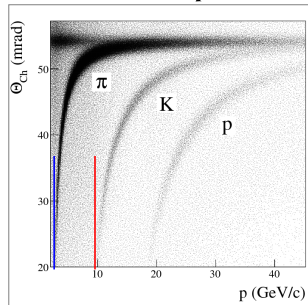
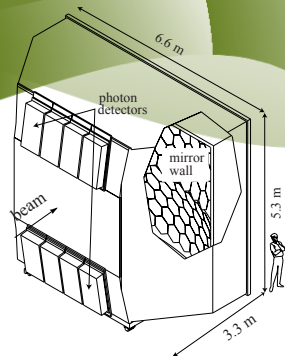


## Selection

## Channels

- $D^0 \rightarrow K\pi$
- $D^* \rightarrow D^0 \pi_{soft} \rightarrow K\pi\pi_{soft}$
- $\text{BR}(D \rightarrow K\pi) \sim 3.8\%$
- 30% of  $D^0$  tagged with  $D^*$

- Selection to reduce combinatorial background
  - Kinematical cuts:  $z_D$ ,  $D^0$  decay angle,  $K$  and  $\pi$  momentum
  - RICH identification:  $K$ ,  $\pi$  ID +  $e$  rejection from the  $\pi_{soft}$  sample



# Statistical weights and $\frac{S}{S+B}$ parametrisation

## Statistical weights

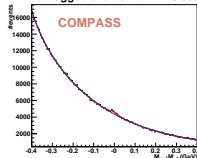
To increase the statistical gain a weighted estimator is used. Each event is assigned a weight:

$$w = P_b f_{aLL} \frac{S}{S+B}$$

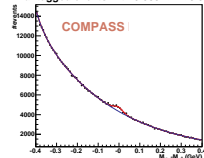
The  $\frac{S}{S+B}$  is parametrised as a function of kinematic variables and RICH response:

- available on event-by-event basis
- built from Data (fits to  $D$  spectra in bins of input variables)

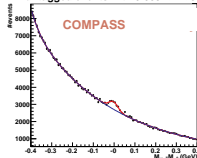
$D^0$ -untagged events with  $\Sigma < 0.055$



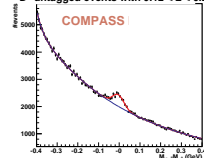
$D^0$ -untagged events with  $0.055 < \Sigma < 0.085$



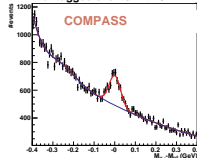
$D^0$ -untagged events with  $0.085 < \Sigma < 0.12$



$D^0$ -untagged events with  $0.12 < \Sigma < 0.2$



$D^0$ -untagged events with  $0.2 < \Sigma$

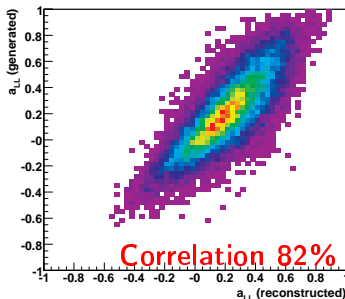


$$\Sigma = \frac{S}{S+B}$$



## $a_{LL}$ parametrisation

- $a_{LL} = \frac{\Delta\sigma^{PGF}}{\sigma^{PGF}}$  depends on the full knowledge of partonic kinematics
- **Cannot** be obtained experimentally - only one  $D$  meson observed
- $a_{LL}$  is obtained from MC (in LO), to serve as an input to Neural Network parametrisation as a function of measured observables:  $y, x, Q^2, z_D, p_T$

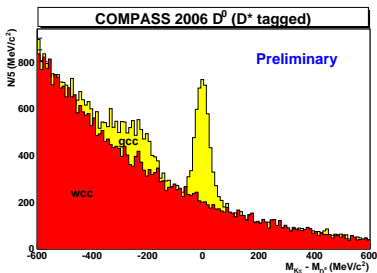


## More contributions from the $D^*$ channel [NEW]

- The  $D^*$  channel is very clean from background contamination (*due to a 3-body mass cut*)
  - ⇒ the following contributions can be added:
    - $\pi^0$  reflection “bump”:  $D^0 \rightarrow K\pi\pi^0$
    - RICH sub-threshold Kaons events:
      - Candidates with  $p < 9 \text{ GeV}/c$  (no RICH ID for Kaon mass)
      - Recover  $D^0$  if there is no positive pion or electron ID (for the Kaon candidate)
- Signal strength parameterization ( $\Sigma = \frac{S}{S+B}$ ):
  - Problem:** Low purity samples with low statistics ⇒ Very difficult to build  $\Sigma$  in several bins of several variables
  - Solution:** Multi-dimensional parameterization using a **Neural Network** (all kinematic and RICH dependences are taken into account at same time)

## Neural Network qualification of events

- **Two real data samples** (with same cuts) are compared by the Neural Network (based on selected kinematic variables):
  - **Signal model**  $\rightarrow gcc = K^+ \pi^- \pi_{soft}^- + K^- \pi^+ \pi_{soft}^+$   
( $D^0$  spectrum: signal + bg.)
  - **Background model**  $\rightarrow wcc = K^+ \pi^+ \pi_{soft}^- + K^- \pi^- \pi_{soft}^+$   
(no  $D^0$  is allowed)
- If the background model is good enough: Net is able to distinguish the signal from the combinatorial background on a event by event basis (inside gcc)



$\Sigma$  is built in the similar way as for main channels

- Instead of set of kinematic variables **only 1 variable is used**:  
- Neural Network output

# Preliminary results including all channels

## Final result

(including the main channels:  $D^0$  and  $D^0$  tagged with a  $D^*$ )

$$\Delta G/G = -0.39 \pm 0.24(stat) \pm 0.11(sys)$$

$$@ \langle x_g \rangle = 0.11, \langle \mu^2 \rangle = 13 \text{ GeV}^2$$

10% statistical improvement with regards to published result:

$$\frac{\Delta G}{G} = -0.49 \pm 0.27(stat.) \pm 0.11(sys.)$$

[PLB 676 (2009) 31-38]

- 1 Asymmetry
- 2 Open Charm
- 3 High  $p_T$  pairs**
- 4 Summary





## $\Delta G/G$ extraction

Solving the set of equations we obtain the expression for  $\Delta G/G$ :

$$\frac{\Delta G}{G}(x_G^{avg}) = \frac{A_{||} + A_{corr}}{\beta}; \quad \beta \sim a_{LL}^{PGF} R_{PGF} + \dots$$

- $\Delta G/G$  extraction depends on knowledge of “Inclusive” and “High  $p_T$ ” samples:  $R$ ,  $R^{inc}$  and  $a_{LL}$ ,  $a_{LL}^{inc}$
- $R$  fractions,  $a_{LL}$ ,  $x_{QCDC}$  and  $x_G$  are parametrised using MC simulation

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### To improve statistical sensitivity a weighted method is used

- For each event a weight is constructed based on:  $f$ ,  $D$ ,  $P_b$

$$R_{PGF}, R_{QCDC}, R_{LP}, R_{PGF}^{inc}, R_{QCDC}^{inc}, R_{LP}^{inc}$$

$$a_{LL}^{PGF}, a_{LL}^{QCDC}, a_{LL}^{LP}, a_{LL}^{PGF,inc}, a_{LL}^{QCDC,inc}, a_{LL}^{LP,inc}$$

- Parametrisation: Neural Network
  - Obtained event-by-event
  - Input (Inclusive case):  $x_{Bj}$  and  $Q^2$
  - Input (High  $p_T$  case):  $x_{Bj}$ ,  $Q^2$ ,  $p_{L1,2}$  and  $p_{T1,2}$

# Data sample selection

## Kinematic cuts

- $Q^2 > 1 \text{ (GeV/c)}^2$   
events are in perturbative region
- $p_{T1} > 0.7 \text{ GeV/c}$ ;  $p_{T2} > 0.7 \text{ GeV/c}$   
enhance fraction of PGF events

## Collected statistics

2002-2004:  $\sim 500\text{k}$  events

# Data sample selection

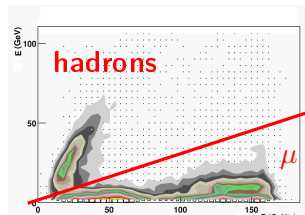
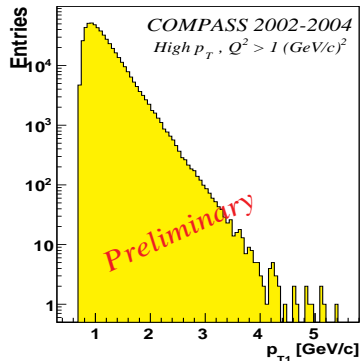
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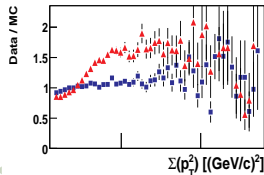
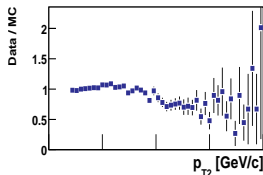
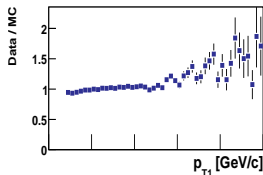
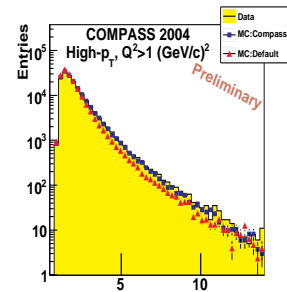
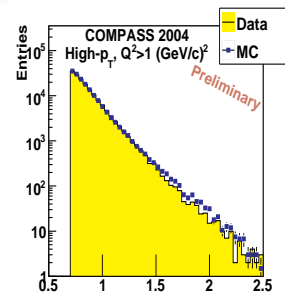
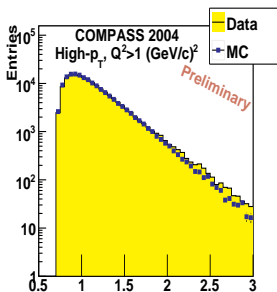
2002-2004:  $\sim 500\text{k}$  events

Hadron identification based on hadronic calorimeters and  $\mu$  rejection in muon filters

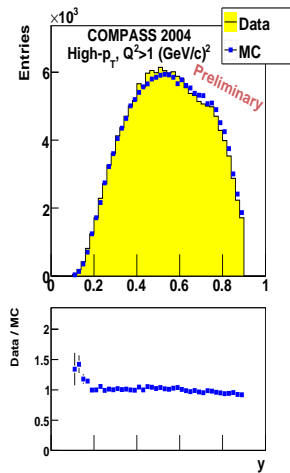
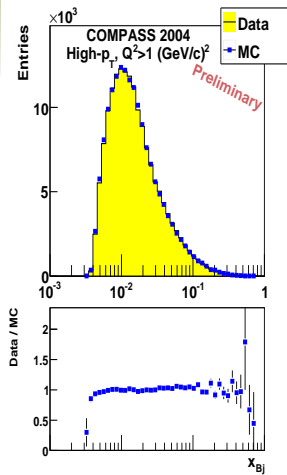
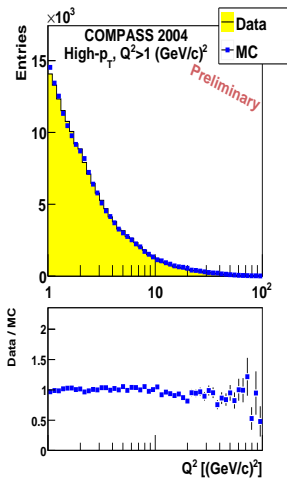


# Monte Carlo

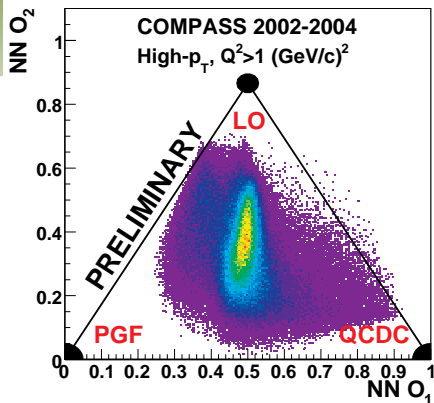
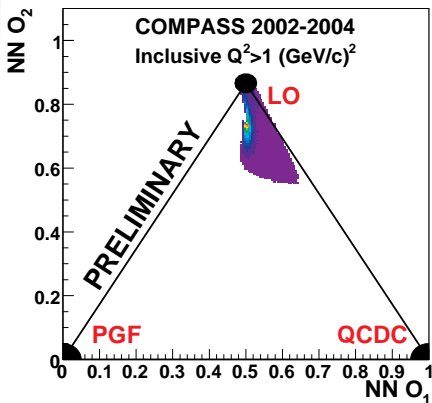
- LEPTO with JETSET fragmentation
- Parton Shower - part of NLO corrections
- PDFs: MRST2004 LO
- Tuning of JETSET fragmentation



# High $p_T$ Data/MC



## Parametrisation of $R_s$



$$R_{PGF} + R_{QCDC} + R_{LP} = 1$$

$$R_{PGF} = 1 - o_1 - \frac{1}{\sqrt{3}}o_2; \quad R_{QCDC} = o_1 - \frac{1}{\sqrt{3}}o_2; \quad R_{LP} = \frac{2}{\sqrt{3}}o_2$$



# Systematics studies

- false asymmetries
- NN stability
- systematic errors due to MC
- $\delta f$ ,  $\delta P_B$ ,  $\delta P_T$
- $A_1^d$  parametrisation
- assumptions in formula extraction

$\delta(\Delta G/G)_{NN}$	0.006
$\delta(\Delta G/G)_{MC}$	<b>0.040</b>
$\delta(\Delta G/G)_{f,P_B,P_T}$	0.006
$\delta(\Delta G/G)_{false}$	0.011
$\delta(\Delta G/G)_{A_1}$	0.008
$\delta(\Delta G/G)_{formula}$	0.013
Total	0.045

## MC systematics

- 4 different MC have been used
  - COMPASS tuning PS on
  - COMPASS tuning PS off
  - Default tuning PS on
  - Default tuning PS off
- For each sample  $\Delta G/G$  was calculated

# Preliminary result for High $p_T$ pairs $Q^2 > 1(\text{GeV}/c)^2$

$$\frac{\Delta G}{G} = 0.08 \pm 0.10(\text{stat.}) \pm 0.05(\text{syst.})$$

$$x_G = 0.082^{+0.041}_{-0.027} \quad @ \quad \mu^2 \approx 3(\text{GeV}/c)^2$$

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$$x_G = 0.082^{+0.041}_{-0.027} \quad @ \quad \mu^2 \approx 3(\text{GeV}/c)^2$$

## $Q^2 < 1(\text{GeV}/c)^2$ results

2002-2003 [PLB 633 (2006) 25-32]:

$$\frac{\Delta G}{G} = 0.024 \pm 0.089(\text{stat.}) \pm 0.057(\text{syst.})$$

2002-2004 (preliminary):

$$\frac{\Delta G}{G} = 0.016 \pm 0.058(\text{stat.}) \pm 0.054(\text{syst.})$$

- Additional background processes (e.g. resolved photon)
- Different MC  $\rightarrow$  PYTHIA
- Hard scale ensured by  $p_T$  ( $\Sigma p_T^2 > 2.5(\text{GeV}/c)^2$ )

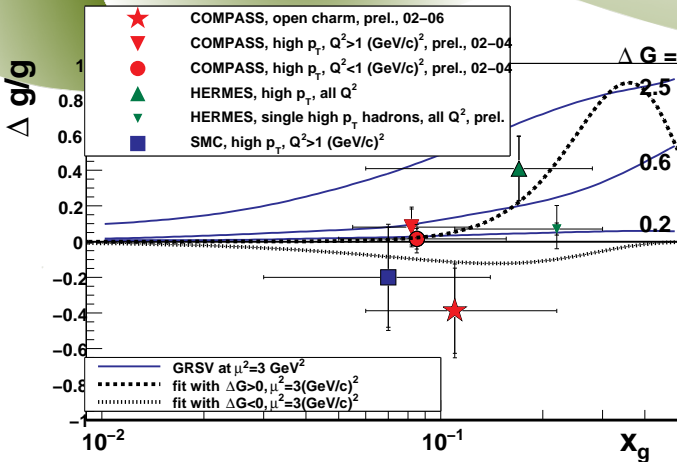
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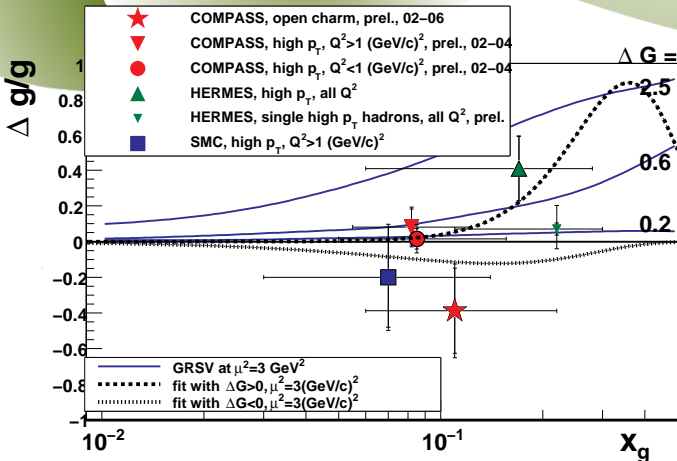
3 High  $p_T$  pairs

4 Summary

# Direct measurements summary



## Direct measurements summary



Results from RHIC experiments are compatible with small  $\Delta G/G$  in the region covered by fixed target experiments

# Summary

- Summary
  - Recent results of  $\Delta G/G$  from COMPASS were presented
  - Errors of the measurements were significantly reduced due to usage of additional data and new methods of analysis
  - Present measurements indicate that  $\Delta G/G$  is consistent with zero at  $x_g \approx 0.1$
- Outlook Open Charm
  - Add 2007 data
  - Full neural networks analysis
  - NLO analysis (ongoing)
- Outlook High  $p_T$  pairs
  - Add 2006 and 2007 data ( $Q^2 > 1 \text{ (GeV/c)}^2$ ) (ongoing)
  - Explore  $0.4 < p_T < 0.7 \text{ GeV/c}$  region ( $Q^2 > 1 \text{ (GeV/c)}^2$ )
  - 1-hadron analysis
  - Add remaining data for  $Q^2 < 1 \text{ (GeV/c)}^2$

A decorative graphic at the top of the slide consisting of several overlapping, semi-transparent green shapes in various shades, creating a layered, organic effect.

# Backup Slides



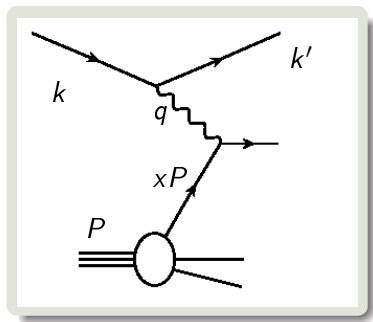
# Deep Inelastic Scattering - DIS

## Variables

$$Q^2 = -q^2 = -(k - k')^2$$

$$y = \frac{P \cdot q}{P \cdot k} \stackrel{lab}{=} \frac{E - E'}{E} = \frac{\nu}{E}$$

$$x = \frac{Q^2}{2P \cdot q} \stackrel{lab}{=} \frac{Q^2}{2M\nu}$$



# Selection

## Open Charm

### $D^*$ event selection

$$0.1 < y < 0.9$$

$$z_{D^0} > 0.2$$

$$|\cos(\theta^*)| < 0.9$$

$$3.2\text{MeV} < m(K\pi\pi_{\text{soft}}) - m(D^0) - m(\pi) < 8.9\text{MeV}$$

$\pi$ ,  $K$ ,  $e$  identification based on RICH

$$p < 50 \text{ GeV}/c$$

No other  $D^*$  in the same event

### $D^0$ event selection

$$0.1 < y < 0.9$$

$$z_{D^0} > 0.2$$

$$|\cos(\theta^*)| < 0.65$$

$\pi$ ,  $K$ ,  $e$  identification based on RICH

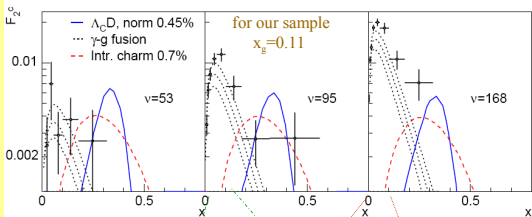
$> 7 \text{ GeV}/c$  for pions and for both  $< 50 \text{ GeV}/c$

No other  $D^*$  or  $D^0$  in the same event

## High $p_T$

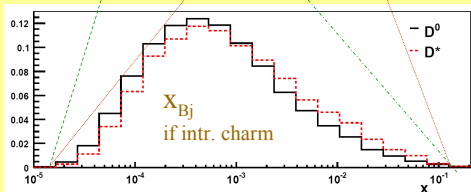
- $Q^2 > 1 \text{ GeV}^2$
- $p_T > 0.7 \text{ GeV}$  (for both hadrons)
- $0.1 < y < 0.9$
- $x_F, z > 0.0$
- $m(h_1, h_2) > 1.5 \text{ GeV}$ :  
remove  $\rho$  resonance
- $\Sigma z < 0.95$ : remove exclusive events

# Intrinsic Charm



Ref. hep-ph/0508126 and hep-ph/9508403  
 Data from EMC: Nucl. Phys. B213, 31 (1983)

For our data: average  $\nu$  (70-120 GeV),



## Preliminary results including all channels

New channels contributions to  $\Delta G/G$

- $\pi^0$  reflection “bump”  
 $\Delta G/G = -0.15 \pm 0.63$   
 $A_{BG} = 0.02 \pm 0.03$
- RICH sub-threshold Kaons  
 $\Delta G/G = 0.57 \pm 1.02$   
 $A_{BG} = -0.04 \pm 0.05$

### Final result

(including the main channels:  $D^0$  and  $D^0$  tagged with a  $D^*$ )

$$\Delta G/G = -0.39 \pm 0.24(\text{stat}) \pm 0.11(\text{sys})$$

$$@ \langle x_g \rangle = 0.11, \langle \mu^2 \rangle = 13 \text{ GeV}^2$$

10% statistical improvement with regards to published result:

$$\frac{\Delta G}{G} = -0.49 \pm 0.27(\text{stat.}) \pm 0.11(\text{sys.})$$

[PLB 676 (2009) 31-38]

## $\Delta G/G$ High $p_T$ formula

Solving this set of two equations  
we obtain expression for  $\Delta G/G$ :

$$\frac{\Delta G}{G}(x_G^{avg}) = \frac{A_{||} + A_{corr}}{\beta}; \quad \beta = a_{LL}^{PGF} R_{PGF} - a_{LL}^{PGF, incl} \frac{R_{PGF}^{incl}}{R_{LP}^{incl}} \left( R_{LP} + a_{LL}^{QCDC} R_{QCDC} \right)$$

$$A_{corr} = -A_1(x_{Bjk}) \frac{R_{LP}}{R_{LP}^{incl}} - A_1(x_{QCDC})\alpha_1 + A_1(x'_{QCDC})\alpha_2$$

$$\alpha_1 = \frac{1}{R_{LP}^{incl}} \left( a_{LL}^{QCDC} R_{QCDC} - a_{LL}^{QCDC, incl} R_{QCDC}^{incl} \frac{R_{LP}}{R_{LP}^{incl}} \right)$$

$$\alpha_2 = a_{LL}^{QCDC, incl} \frac{R_{QCDC}^{incl}}{R_{LP}^{incl}} \frac{R_{QCDC}}{R_{LP}^{incl}} a_{LL}^{QCDC}$$

## $\Delta G/G$ High $p_T$ formula

Solving this set of two equations we obtain expression for  $\Delta G/G$ :

$$\frac{\Delta G}{G}(x_G^{avg}) = \frac{A_{||} + A_{corr}}{\beta}; \quad \beta = a_{LL}^{PGF} R_{PGF} - a_{LL}^{PGF, incl} \frac{R_{PGF}^{incl}}{R_{LP}^{incl}} \left( R_{LP} + a_{LL}^{QCDC} R_{QCDC} \right)$$

$$A_{corr} = -A_1(x_{Bjk}) \frac{R_{LP}}{R_{LP}^{incl}} - A_1(x_{QCDC})\alpha_1 + A_1(x'_{QCDC})\alpha_2$$

$$\alpha_1 = \frac{1}{R_{LP}^{incl}} \left( a_{LL}^{QCDC} R_{QCDC} - a_{LL}^{QCDC, incl} R_{QCDC}^{incl} \frac{R_{LP}}{R_{LP}^{incl}} \right)$$

$$\alpha_2 = a_{LL}^{QCDC, incl} \frac{R_{QCDC}^{incl}}{R_{LP}^{incl}} \frac{R_{QCDC}}{R_{LP}^{incl}} a_{LL}^{QCDC}$$

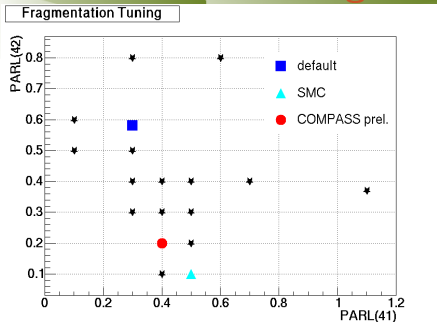
- $a_{LL}^n$ ,  $R_n$  - depend on partonic kinematics (not accessible in experiment)
- $a_{LL}^n$ ,  $R_n$ ,  $x_C$ ,  $x_G$  are parametrised using MC simulation
- In order to maximise the statistical efficiency a weighted estimator is used
- For each event a statistical weight is constructed
- Weights are constructed from:  $f$ ,  $D$ ,  $P_B$ ,  $\beta$ ,  $\alpha_1$ ,  $\alpha_2$

## High $p_T$ Monte Carlo

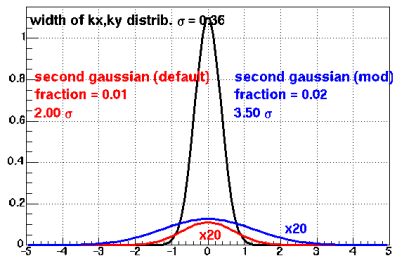
Avarage values of $a_{LL}$ s and $R_s$	Final MC
$\langle a_{LL}^{LP} \rangle$	0.63
$\langle a_{LL}^{QCDC} \rangle$	0.50
$\langle a_{LL}^{PGF} \rangle$	-0.36
$R_{LP}$	0.40
$R_{QCDC}$	0.29
$R_{PGF}$	0.31

JETSET parameters	Default	COMPASS
PARJ(41)	0.3	0.6
PARJ(42)	0.58	0.1
PARJ(21)	0.36	0.3
PARJ(23)	0.01	0.02
PARJ(24)	2.0	3.5

# Tuning of fragmentation



Parametrization of non gaussian tails



- LUND fragmentation
 
$$f(z) = z(1-z)^a e^{-bm_T^2/z}$$

$$m_T^2 = m^2 + p_T^2$$
- with variable parameters a (PARJ(41)) and b (PARJ(42))

- String between two outgoing quarks
- $q\bar{q}$  pairs created with transverse momentum  $k_T$
- width of the gaussian  $k_{x,y}$ 

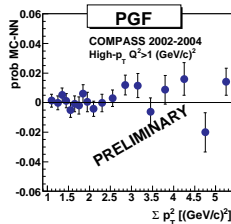
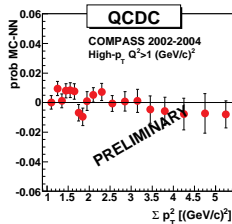
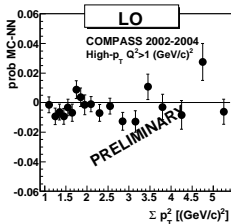
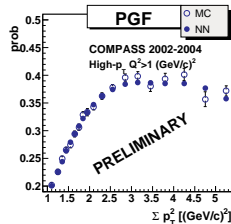
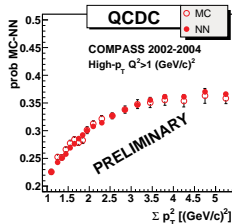
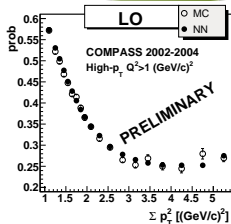
$$\text{PARJ}(21) = 0.36$$
- non gaussian tails modelled by second broader gaussian
  - width =  $\text{PARJ}(24) \times \text{PARJ}(21)$
  - fraction  $\text{PARJ}(23) = 0.01$  of first gaussian



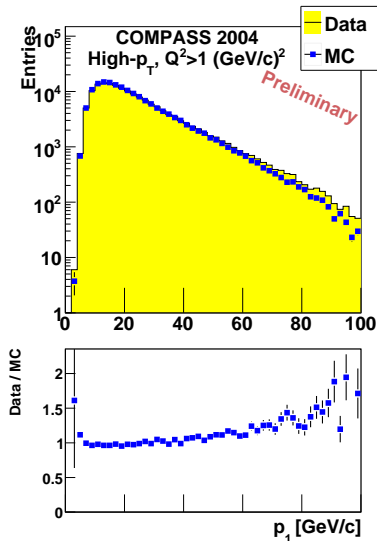
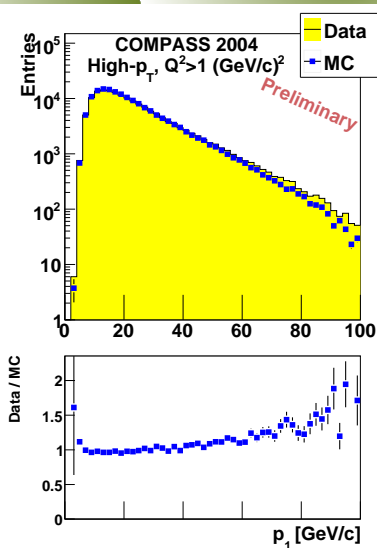
## NN validity

Fractions of the three processes as a function of  $\Sigma p_T^2$

- Empty points - directly taken from MC
- Full points - estimated using NN



# High $p_T$ Data/MC



# High $p_T$ Data/MC

