

# Polarised DIS Experiment

**1st Summer School on QCD Spin Physics**

The school will give a pedagogic introduction to the field within the existing research program underway at BNL. It is intended for graduate students and beginning postdoctoral researchers in theory and experiment. Full and partial scholarships are available.

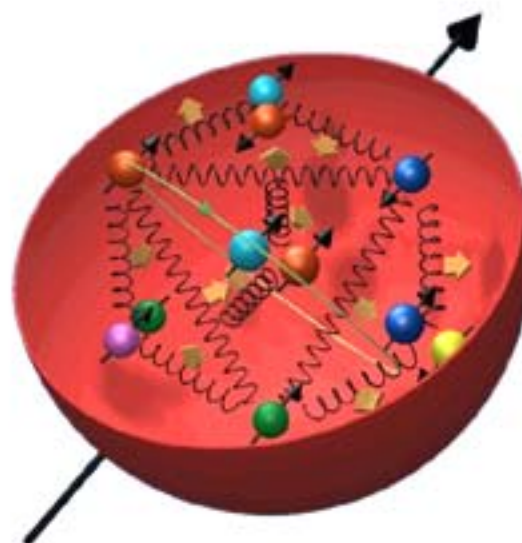
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Apply on-line at  
<http://www.bnl.gov/ssp>  
**June 5-12, 2004**  
BROOKHAVEN NATIONAL LABORATORY, UPTON, NEW YORK



Gerhard Mallot



# Plan

- **Lecture I**
  - introduction
  - kinematics
  - the cross section
  - structure functions & PDFs
  - sum rules
  - the experiments



# Introduction

- the nucleon is made up from partons
  - valence quarks
  - sea quarks
  - gluons
- quarks and gluons carry about 50 % of longitudinal momentum, respectively.
- What about spin?



# Spin Contributions

- naive QPM: only valence quarks

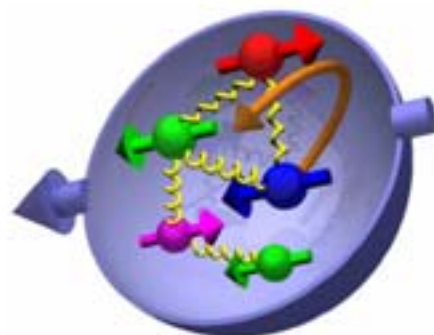
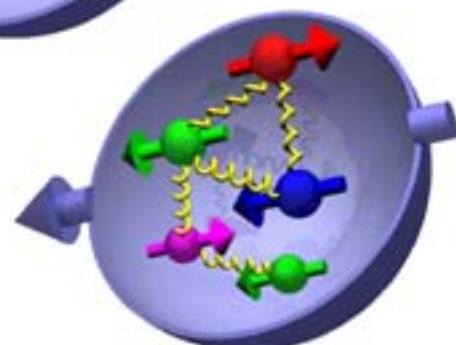
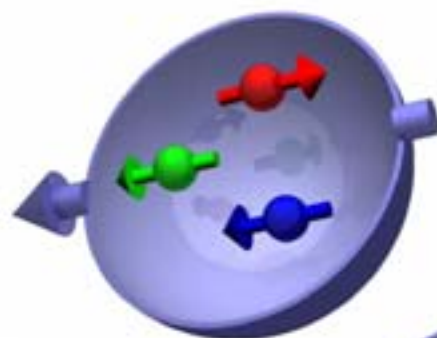
$$\Delta q_v$$

- QCD: sea quarks and gluons

$$\Delta q_s, \Delta G$$

- orbital angular momentum:

$$L_q, L_g$$



# Nucleon Spin

- define:  $\Delta q = q^+ - q^-$
- with:  $q^+, q^-$  probabilities to find a quark or antiquark, with spin parallel or antiparallel to the spin of the nucleon,
- then the quarks naively contribute

$$\Delta\Sigma = \Delta u + \Delta d + \Delta s$$

- and

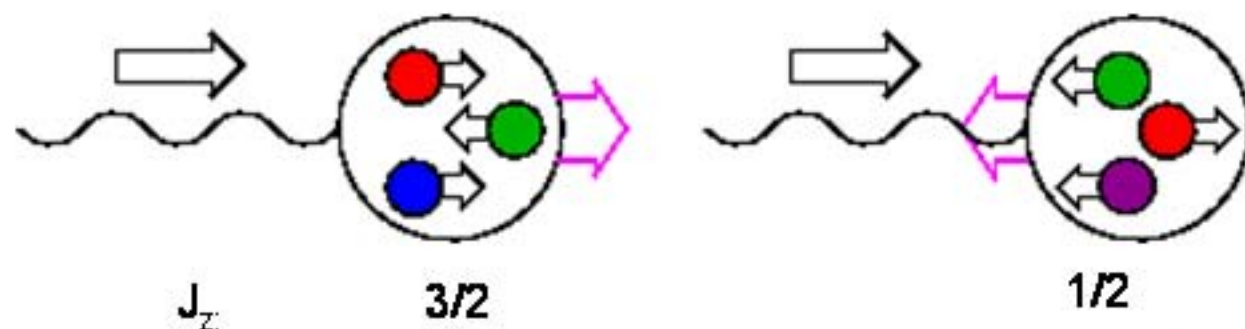
$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + \langle L_z \rangle$$



# How to measure $\Delta\Sigma$ ?

- Photoabsorption:

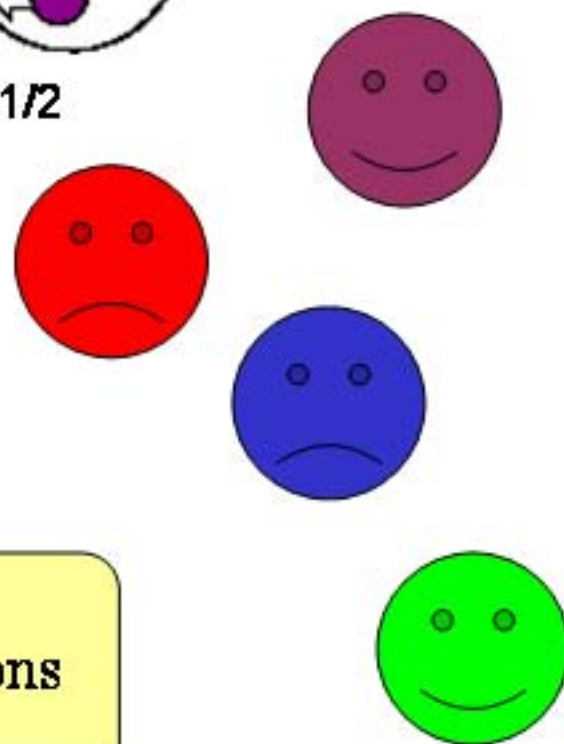
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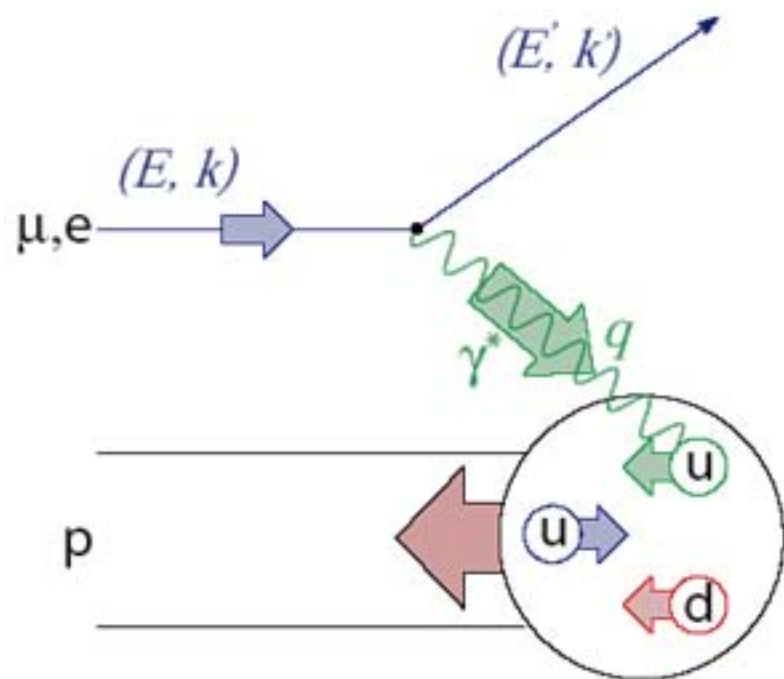
- only quarks with opposite helicity can absorb the polarised photon via spin-flip
- # quarks in direction of nucleon  $\frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}}$



need polarised photons & nucleons



# Pol. Deep Inelastic Scattering



**Bjorken-x**: fraction of longitudinal momentum carried by struck quark in infinite-momentum frame (Breit)

$$Q^2 = -(k - k')^2 \stackrel{lab}{=} 4EE' \sin^2 \frac{\vartheta}{2}$$

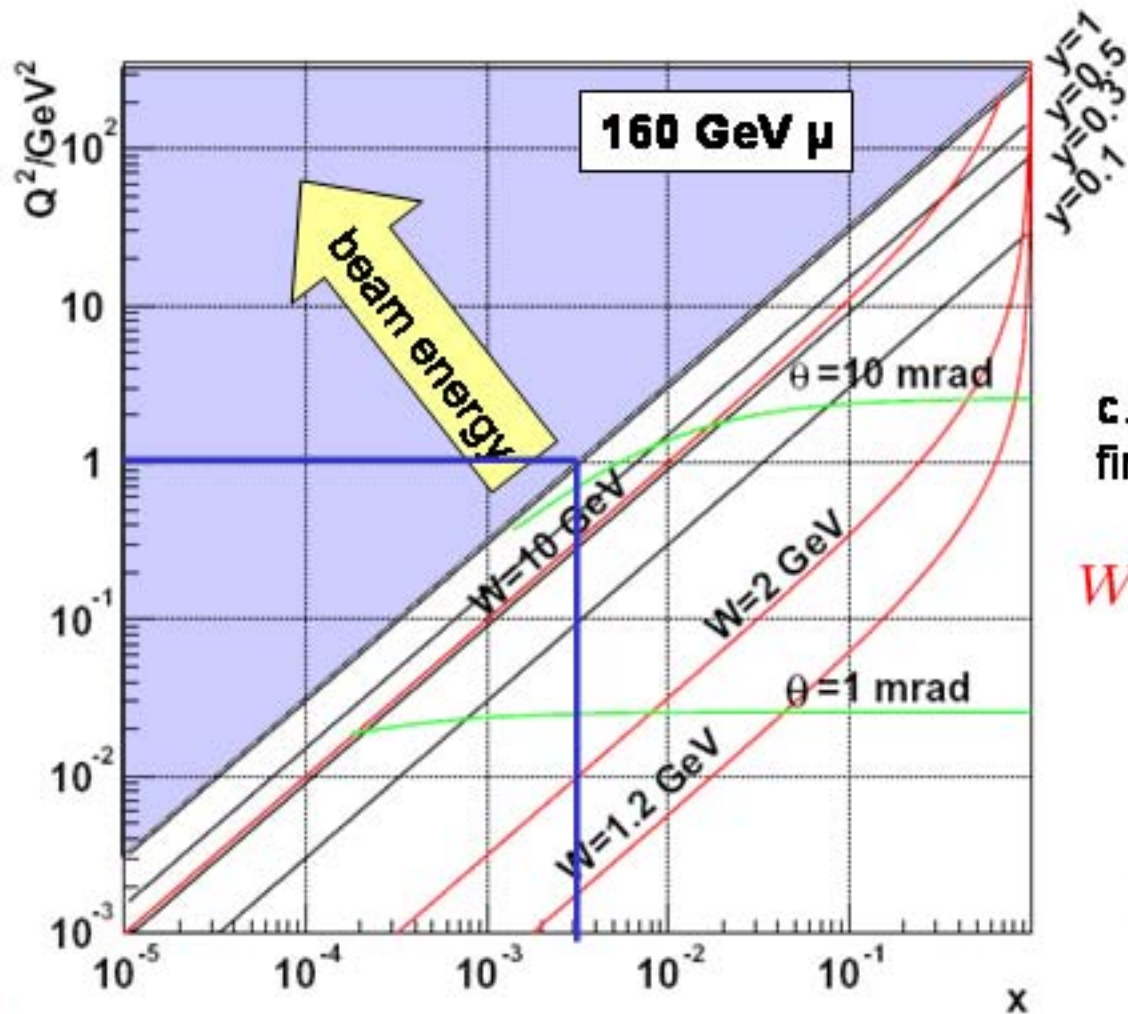
$$P \cdot q \stackrel{lab}{=} M\nu = M(E - E')$$

$$P \cdot k \stackrel{lab}{=} ME$$

$$x \stackrel{lab}{=} \frac{Q^2}{2M\nu} = \frac{-q^2}{2P \cdot q}$$

$$y \stackrel{lab}{=} \frac{\nu}{E} = \frac{P \cdot q}{P \cdot k}$$

# Kinematics



$$y = \frac{\nu}{E}$$

$$x_{min} = \frac{Q^2}{2ME}$$

c.m. energy of hadronic final state,  $W$ :

$$\begin{aligned} W^2 &= (q + P)^2 \\ &= \frac{1-x}{x} Q^2 + M^2 \end{aligned}$$

DIS:  $Q^2, W^2 \rightarrow \infty, x$  fix



# Pol. DIS cross section

cross section:

$$\frac{d^3\sigma}{dx dy d\phi} = \frac{\alpha^2 y}{Q^4 2} L_{\mu\nu}(k, q, s) W^{\mu\nu}(P, q, S)$$

lepton

spin

nucleon

leptonic tensor  $L_{\mu\nu}$  : kinematics (QED)

hadronic tensor  $W^{\mu\nu}$  : nucleon structure

$$W^{\mu\nu} = - \left( g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \left( P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left( P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) \frac{1}{P \cdot q} F_2(x, Q^2) \\ - i \epsilon^{\mu\nu\lambda\sigma} q_\lambda \left( \frac{M S_\sigma}{P \cdot q} (g_1(x, Q^2) + g_2(x, Q^2)) - \frac{M(S \cdot q) P_\sigma}{P \cdot q} g_2(x, Q^2) \right)$$

# Structure Functions & PDF

$F_1, F_2$

unpolarised structure functions: momentum distributions

$g_1, g_2$

polarised structure functions: spin distributions

QPM:  $F_2(x) = 2xF_1$

Callan Gross relation

$g_2 = 0$

twist-3 quark-gluon correlations

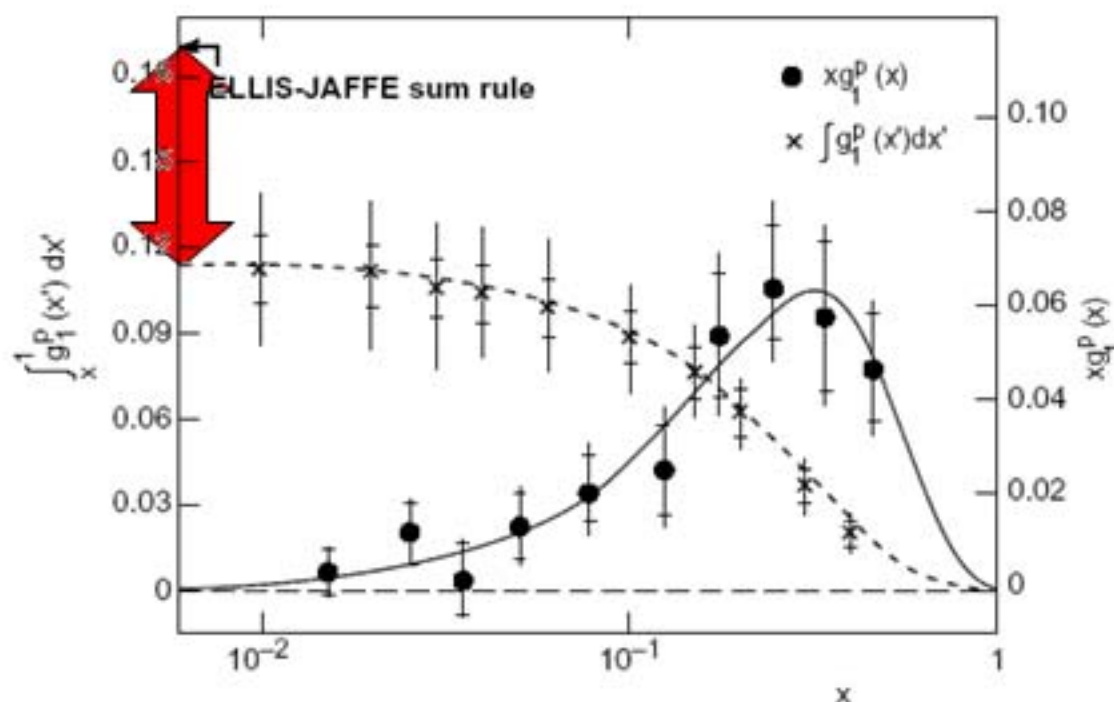
$$F_1(x) = \frac{1}{2} \sum_f e_f^2 \{q_f^+(x) + q_f^-(x)\} = \frac{1}{2} \sum_f e_f^2 q_f(x)$$

$$g_1(x) = \frac{1}{2} \sum_f e_f^2 \{q_f^+(x) - q_f^-(x)\} = \frac{1}{2} \sum_f e_f^2 \Delta q_f(x)$$



# The First Moment of $g_1$

- first moment of  $g_1$   $\Gamma_1 = \int_0^1 g_1(x) dx$



"Spin crisis"  
EMC 1987

# Sum Rules

- with  $\Delta q = \int \Delta q(x) dx$  we get for the proton

$$\begin{aligned} \Gamma_1^p &= \frac{1}{2} \left\{ \frac{4}{9} \Delta u + \frac{1}{9} \Delta d + \frac{1}{9} \Delta s \right\} \\ &= \frac{1}{12} \underbrace{(\Delta u - \Delta d)}_{a_3} + \frac{1}{36} \underbrace{(\Delta u + \Delta d - 2\Delta s)}_{\sqrt{3}a_8} + \frac{1}{9} \underbrace{(\Delta u + \Delta d + \Delta s)}_{a_0} \end{aligned}$$

$$\Gamma_1^{p,n} = \frac{1}{12} \left( \pm a_3 + \frac{1}{\sqrt{3}} a_8 \right) + \frac{1}{9} a_0$$

Neutron decay  
 $a_3 = g_a$

Hyperon decay  
 $(3F-D)/3$

$\Delta\Sigma$

# Sum Rules

Bjorken  
sum rule

PR 148 (1966) 1467

$$\Gamma_1^p - \Gamma_1^n = \frac{1}{6}g_a$$

if wrong  $\Rightarrow$  QCD wrong,  
"worthless equation", needs  
neutron measurement

Ellis-Jaffe  
sum rule

PR D9 (1974) 1444

$$\Gamma_1^p = \frac{1}{12}g_a + \frac{5}{36}\sqrt{3}a_8 \Rightarrow$$

$$\Delta\Sigma \simeq 0.6$$

formulated for  $\Delta s=0$ ,  
unpolarised strange quarks

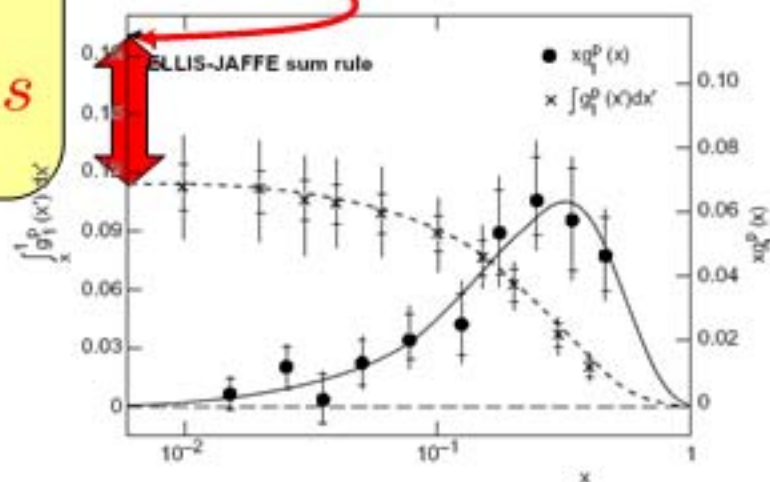
$$+ \frac{1}{3}\Delta s$$

Consequences of violation:

$$\Delta s = -0.19 \pm 0.06$$

$$\Delta\Sigma = 0.12 \pm 0.17$$

EMC 1987



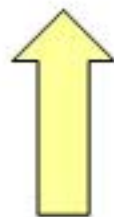


# Where is the proton spin?

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + \langle L_z \rangle$$



**small**

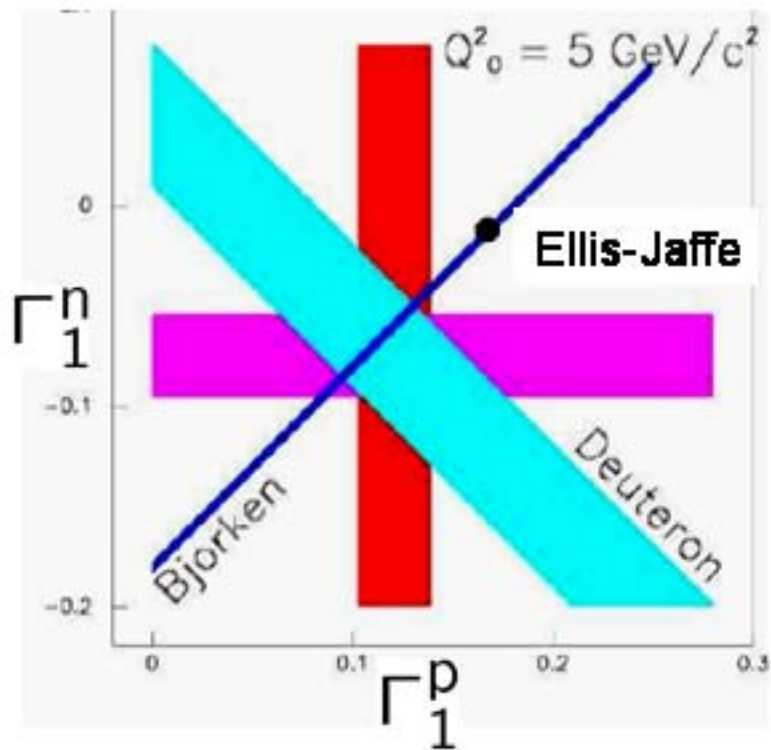


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next challenge**

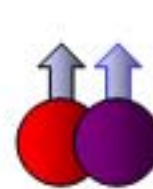


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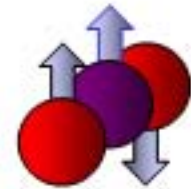
# Bjorken Sum Rule



- Need **proton** AND **neutron** data
- neutron targets: **deuteron**,  $^3\text{He}$



**d**



**$^3\text{He}$**

Bjorken Sum Rule verified to about 10 %

# Spin Experiments are puzzling



**Wolfgang Pauli and Niels Bohr, 1955**

**wondering about a tippe top toy**

# Cross Section Asymmetries

unpolarised:

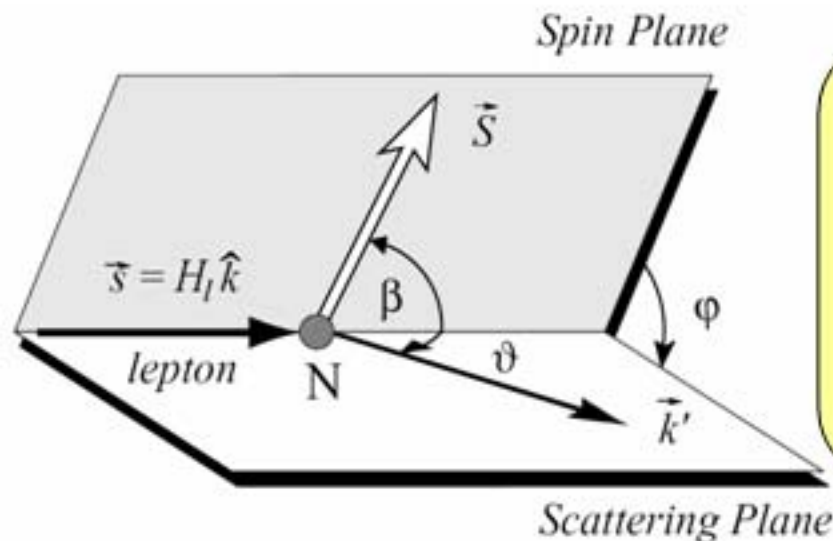
$$\frac{d^3\bar{\sigma}}{dx dy d\varphi} = \frac{4\alpha^2}{Q^2} \left\{ \frac{y}{2} F_1 + \frac{1}{2xy} \left( 1 - y - \frac{y^2\gamma^2}{4} \right) F_2 \right\}$$

longitudinally polarised nucleon:  $\beta=0,\pi$

$$\frac{d^3\Delta_{\parallel\sigma}}{dx dy d\varphi} = \frac{4\alpha^2}{Q^2} \left\{ \left( 1 - \frac{y}{2} - \frac{y^2\gamma^2}{4} \right) g_1 - \frac{y}{2} \gamma^2 g_2 \right\}$$

transversely polarised nucleon:  $\beta=\pm\pi/2$

$$\frac{d^3\Delta_{\perp\sigma}}{dx dy d\varphi} = \frac{4\alpha^2}{Q^2} \left\{ \gamma \sqrt{1 - y - \frac{y^2\gamma^2}{4}} \left( \frac{y}{2} g_1 + g_2 \right) \right\}$$



Measure **asymmetries**:

$$A_{\parallel}(x, Q^2; E) = \frac{\Delta_{\parallel\sigma}}{\bar{\sigma}} = \frac{\sigma^{\leftarrow} - \sigma^{\rightarrow}}{\sigma^{\leftarrow} + \sigma^{\rightarrow}},$$

$$A_{\perp}(x, Q^2; E) = \frac{\Delta_{\perp\sigma}}{\bar{\sigma}} = \frac{\mathcal{H}_l}{\cos \varphi} \cdot \frac{\sigma(\varphi) - \sigma(\pi \pm \varphi)}{\sigma(\varphi) + \sigma(\pi \pm \varphi)}$$

# Experimental Essentials

- up to now only fixed-target pol. DIS experiments
- need **polarised targets** and **beams**
- need detection of **scattered lepton**, energy, direction, identification
- need to know energy and direction of **incoming lepton**
  - detection or given by machine

gets grid of normalisation, measurable asymmetries sometimes very small

- need excellent control of **fake asymmetries**, e.g. time variations of detector efficiencies





# Experiment Essentials

- Beams & targets:

|                 | target       | beam pol           | $x_{min}(1 \text{ GeV}^2)$ |
|-----------------|--------------|--------------------|----------------------------|
| ▪ SLAC 48 GeV,  | solid/gas    | e, pol. source     | 0.01                       |
| ▪ DESY 28 GeV,  | gas internal | e, Sokolov-Ternov  | 0.02                       |
| ▪ CERN 200 GeV, | solid        | $\mu$ , pion decay | 0.0025                     |

- fake asymmetries:

- rapid variation of beam polarisation (SLAC)
- rapid variation of target polarisation (HERMES)
- simultaneous measurement of two oppositely polarised targets in same beam (CERN)



# Measurable asymmetries

$$A_{meas} = P_t P_b f A$$

$P_b$   $P_t$  beam and target polarisations,

$f$  target dilution factor = polarisable N/total N

note: linear in error:  $f=1/2 \Rightarrow$  requires 4 times statistics

$$g_1 \simeq \frac{A_{\parallel}}{D} F_1 \simeq \frac{A_{\parallel}}{D} \frac{F_2}{2x} \quad \text{huge rise of } F_2/2x \text{ at small } x$$

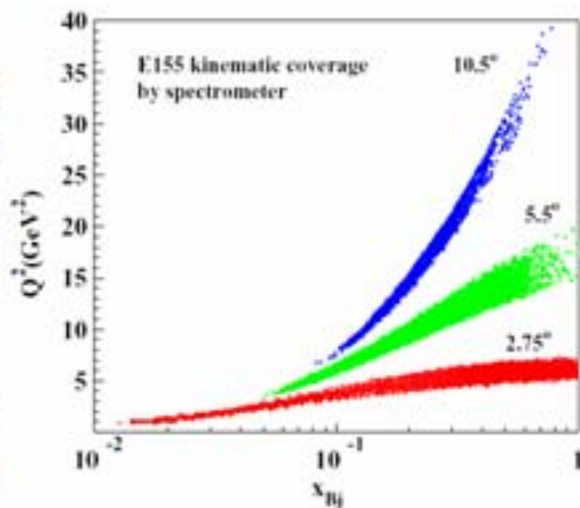
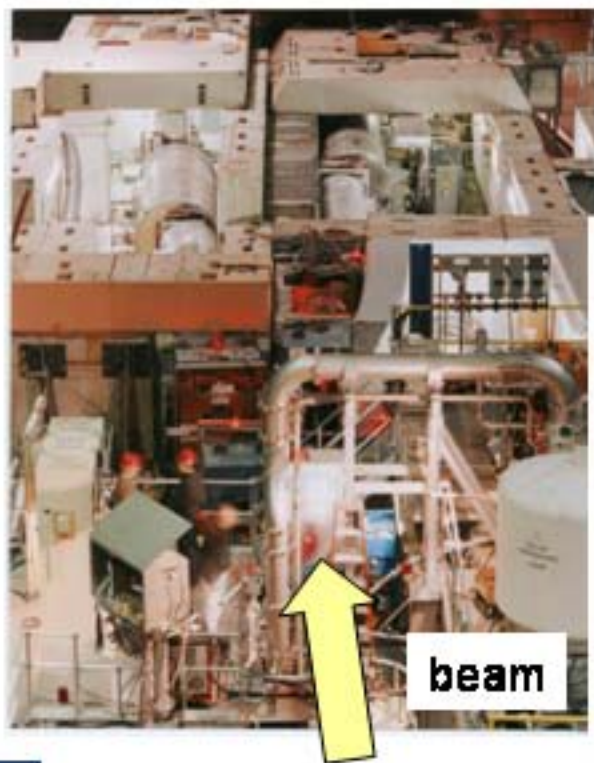
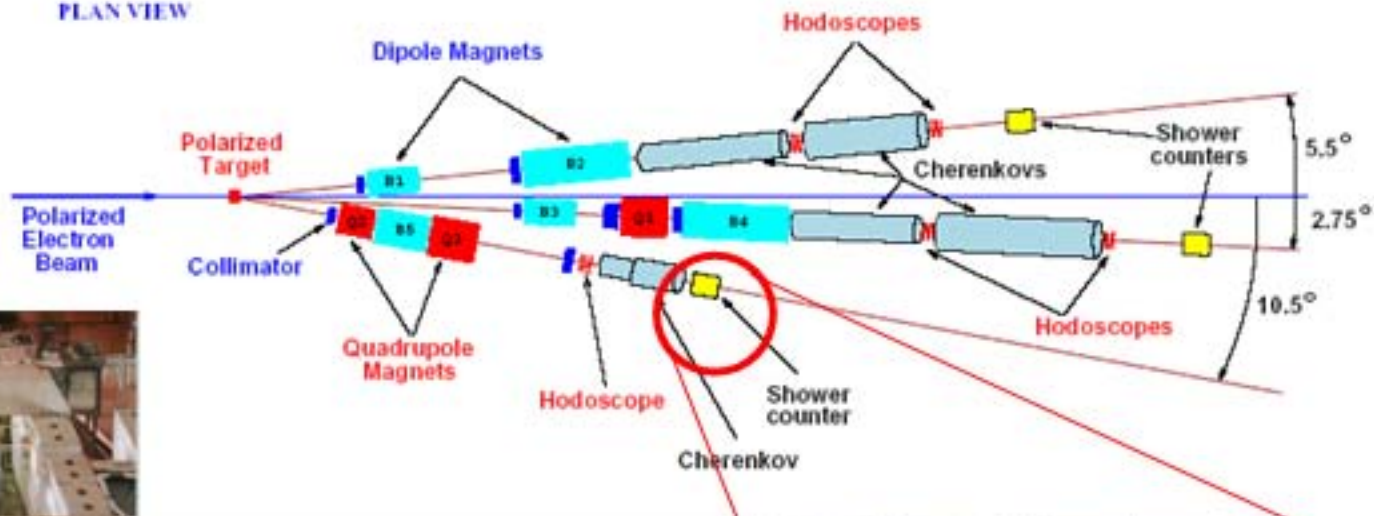
$D$  depolarisation factor, kinematics, polarisation transfer from polarised lepton to photon,  $D \approx y$

Even big  $g_1$  at small  $x$  means small asymmetries

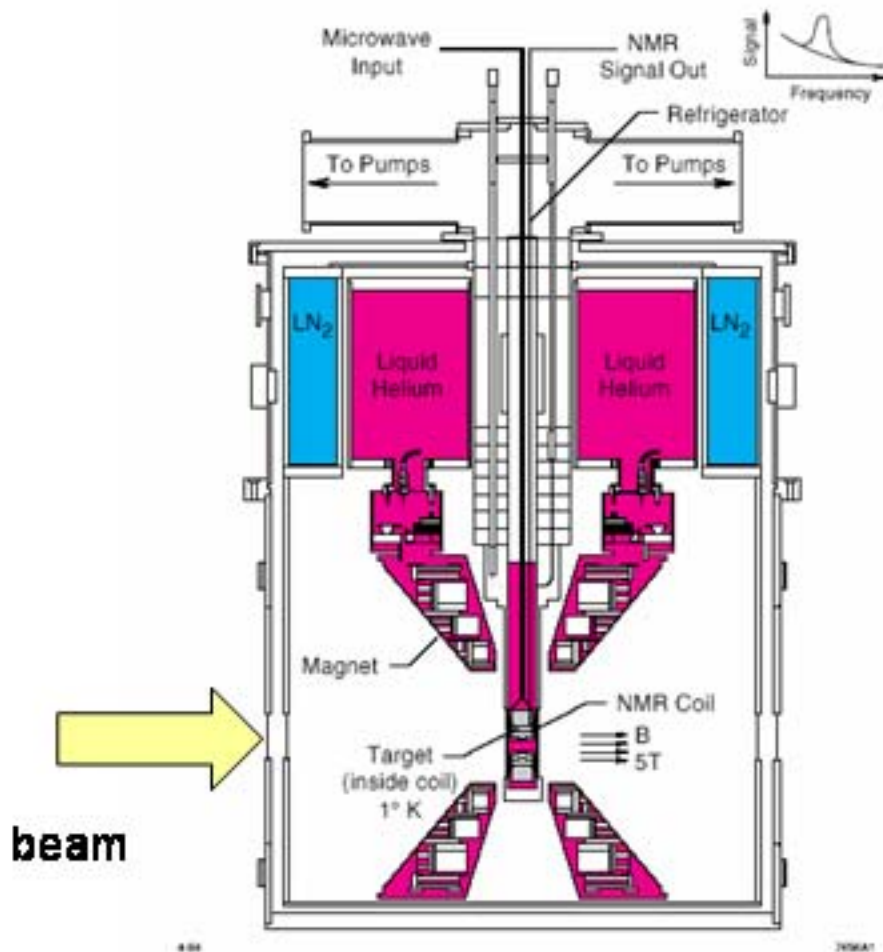


# SLAC E155 Spectrometer

PLAN VIEW



# E155 Target



**cryogenic target**

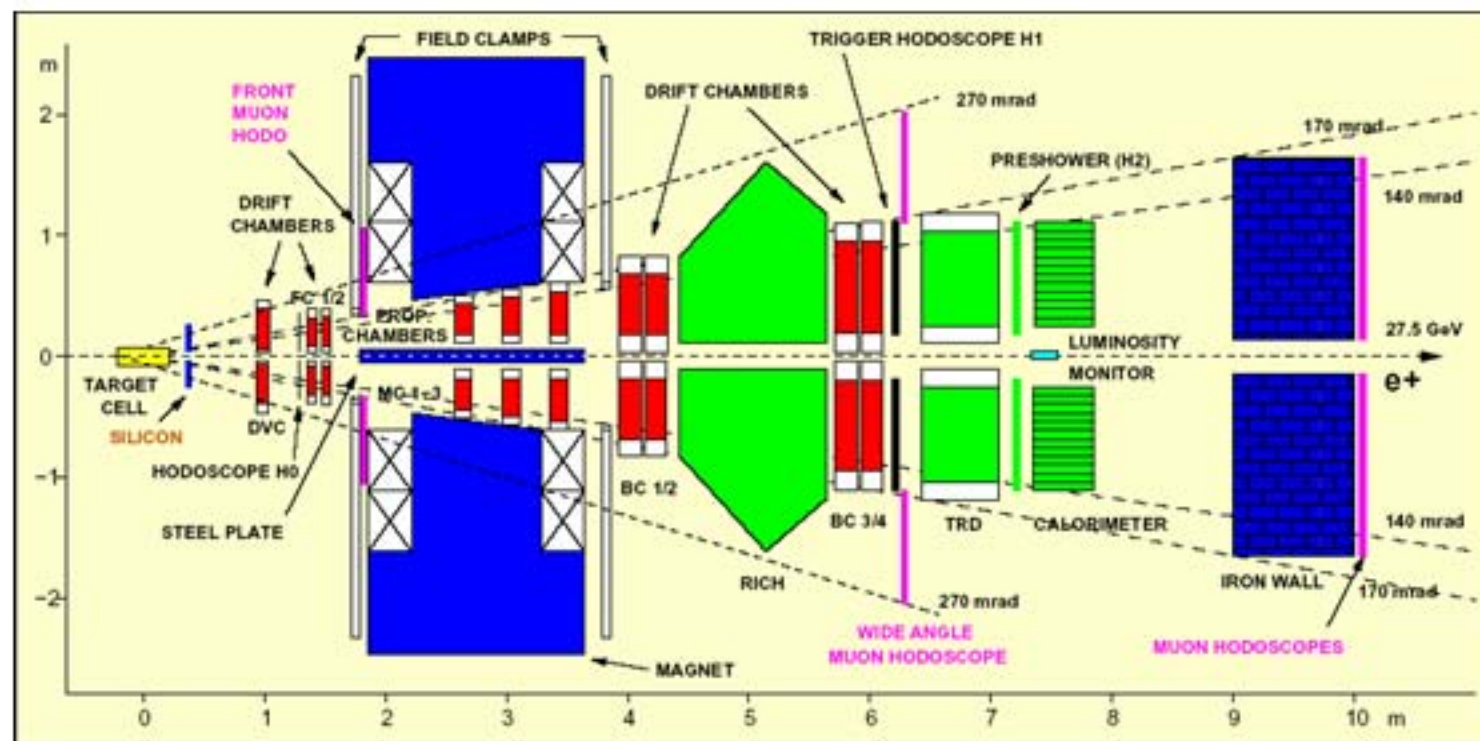
**${}^6\text{LiD}$ ,  $\text{NH}_3$**

**1K evaporator fridge**

**5 T magnetic field**



# HERMES

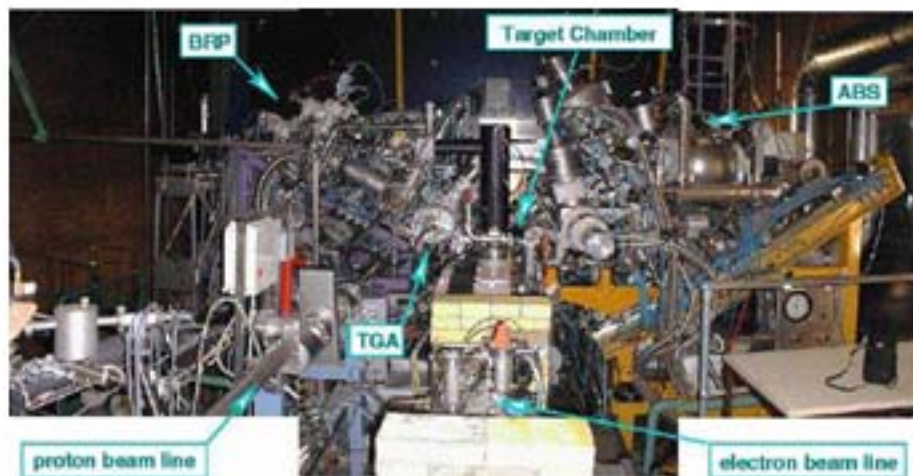
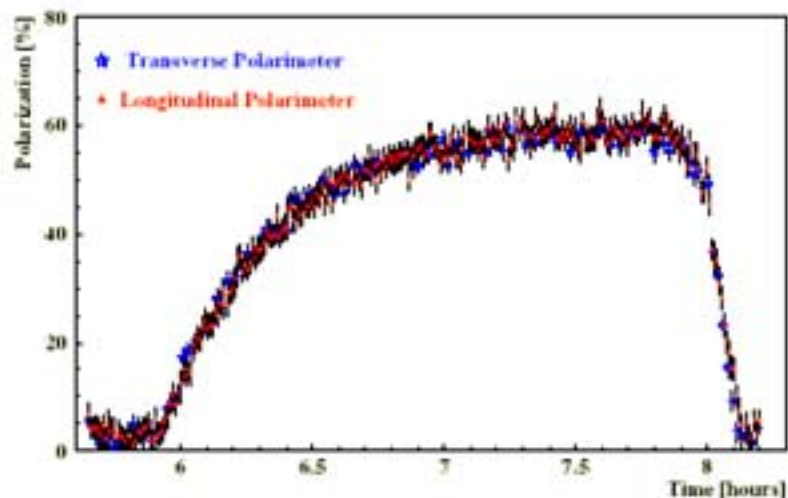
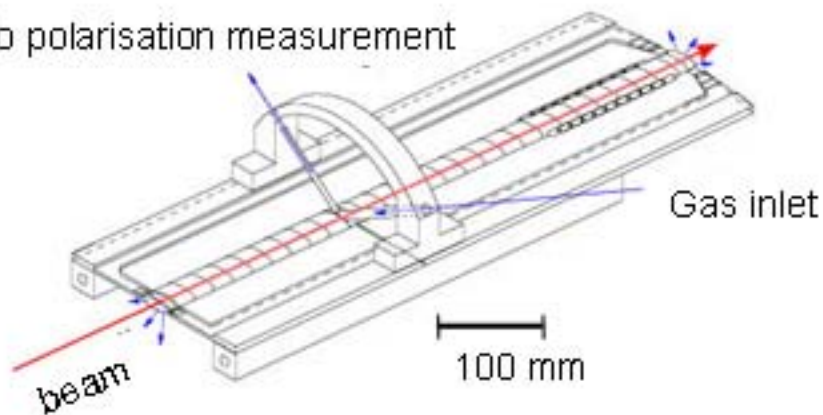




# HERMES

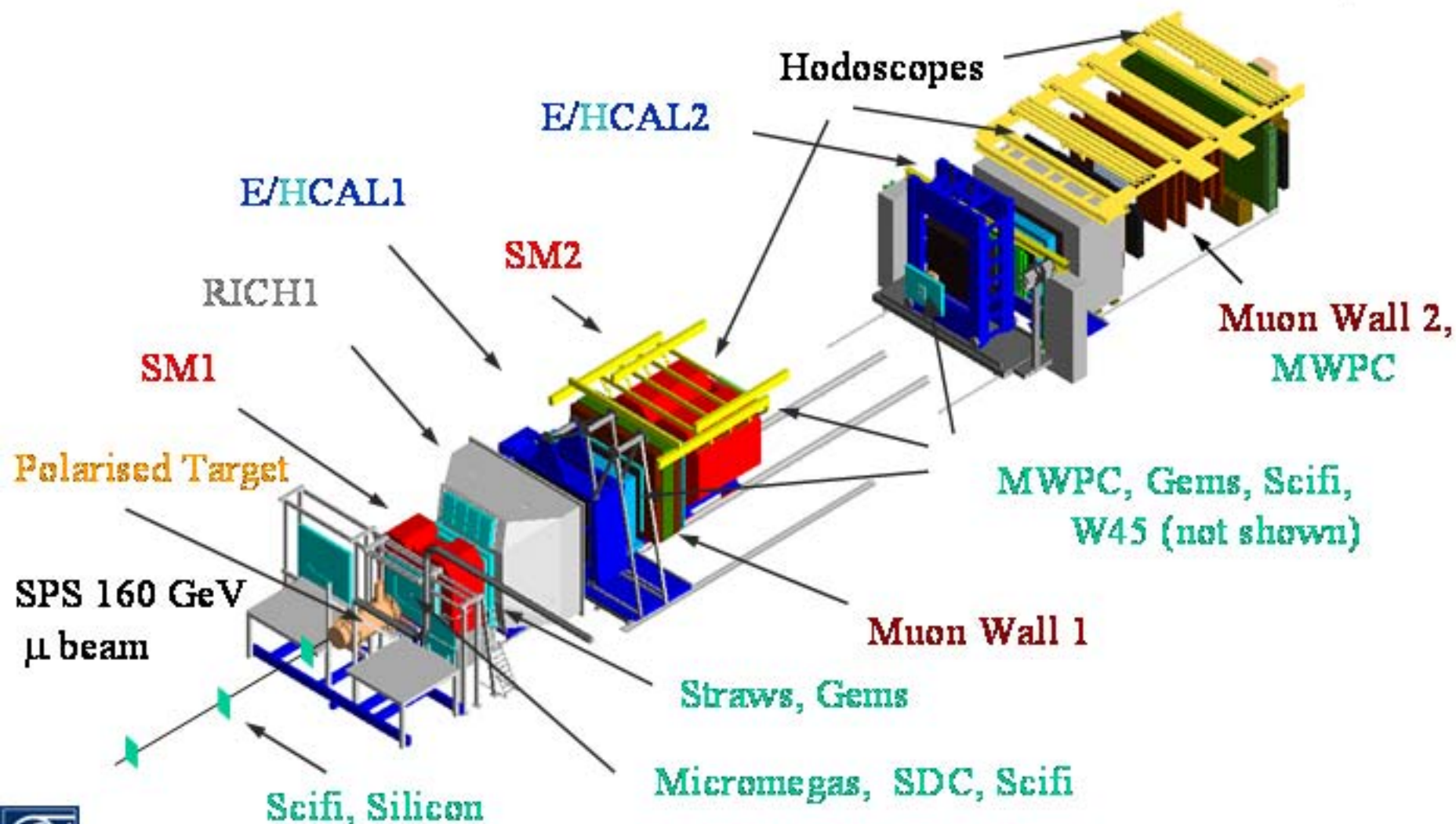
## Target cell

Gas to polarisation measurement

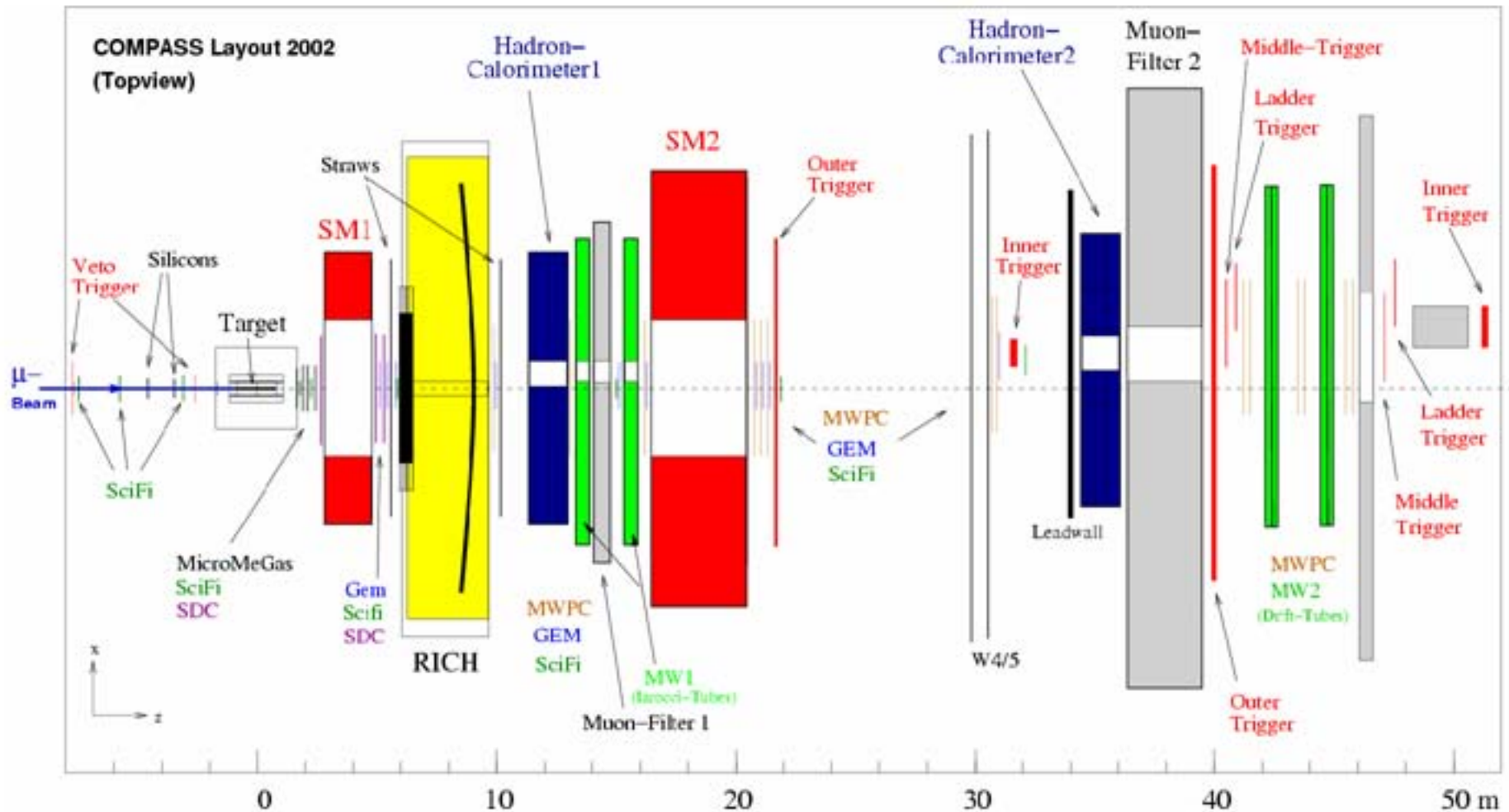


**beam polarisation  
built-up**

# The COMPASS Spectrometer

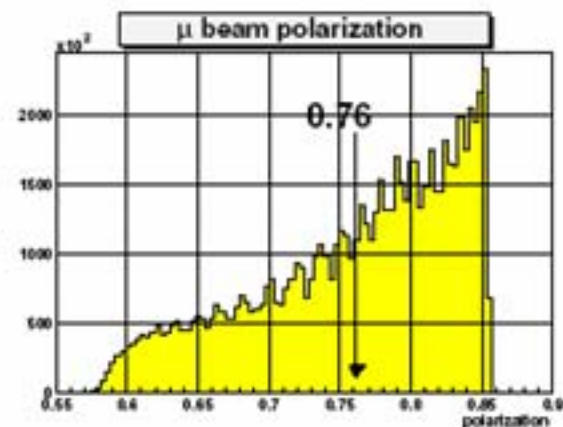
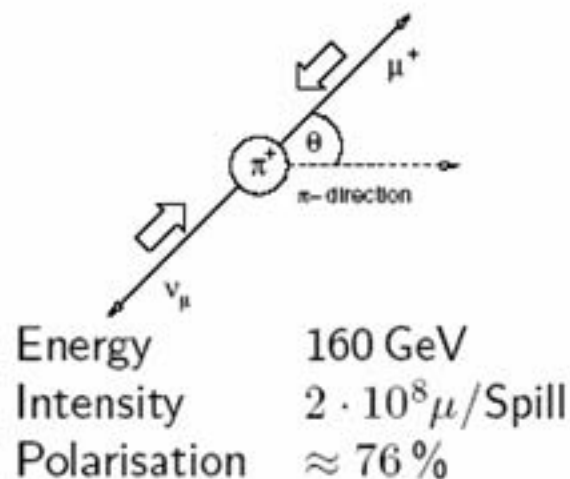
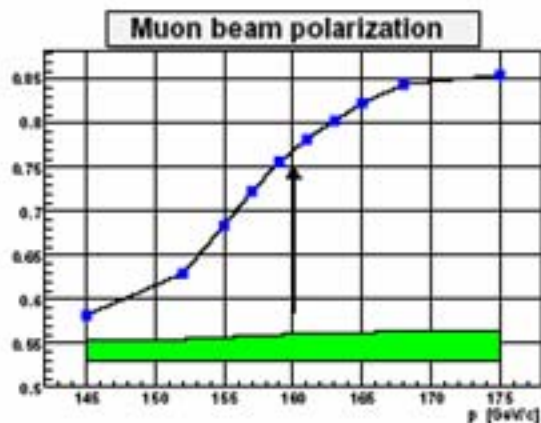
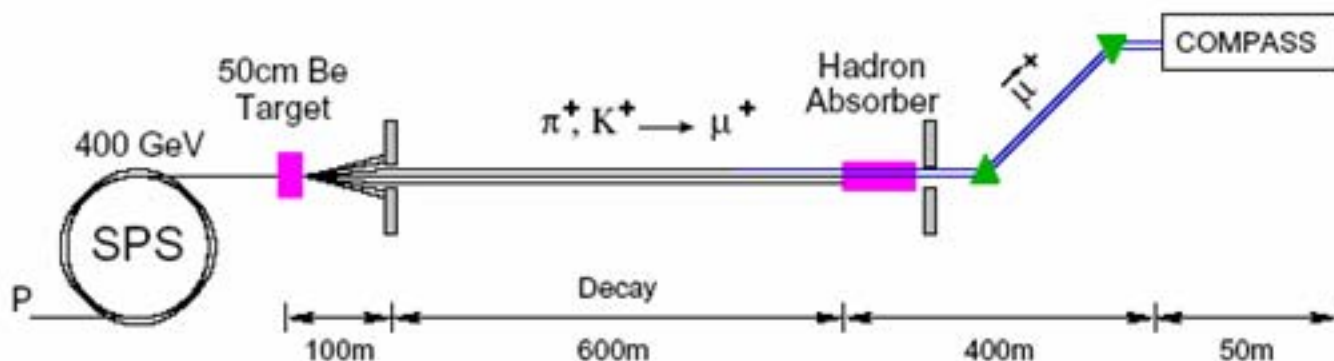


# Spectrometer 2002





# The CERN Muon Beam



# Polarised target

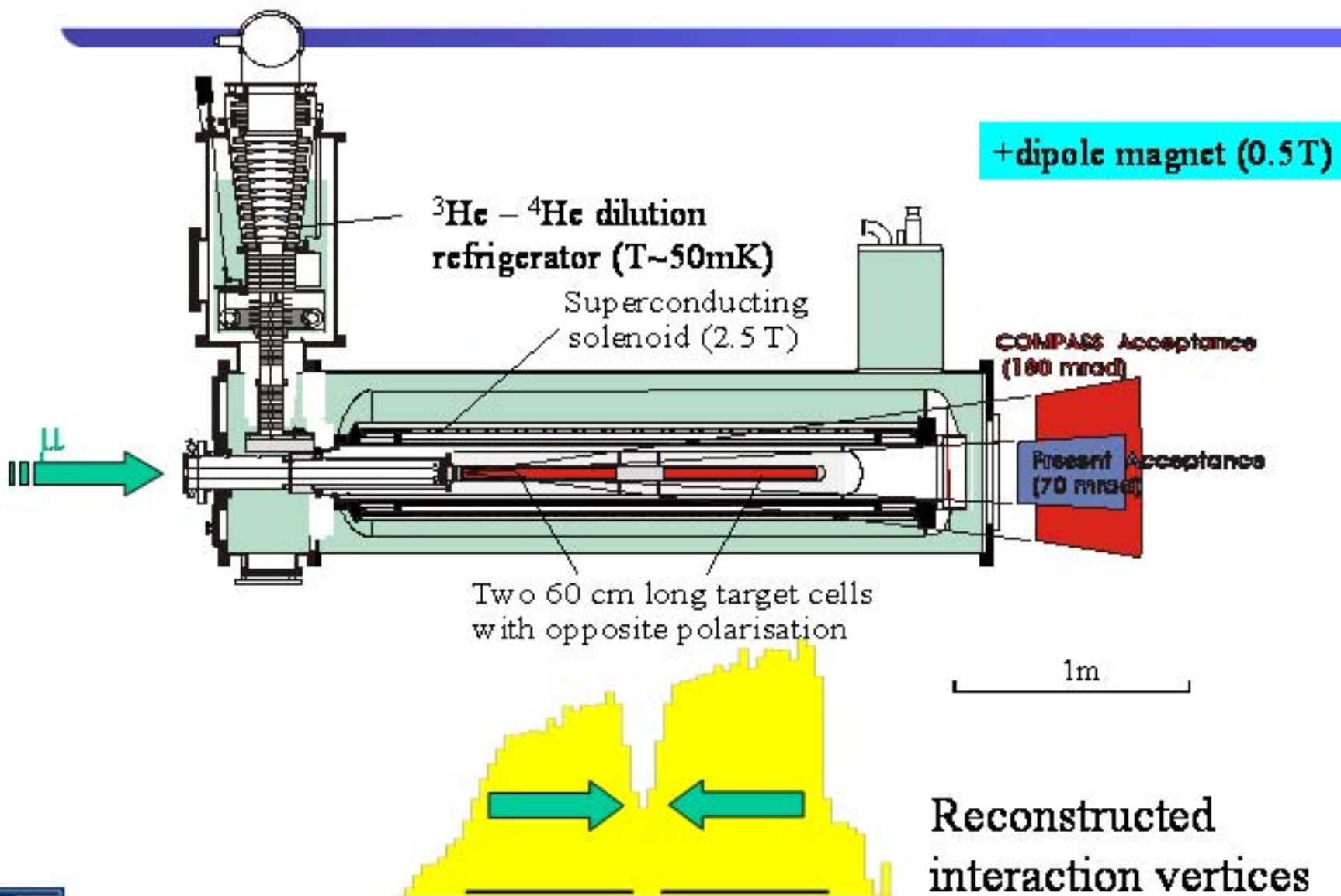


- ${}^6\text{LiD}$
- $\pm 50\%$  polarisation
- 50 % dilution factor
- 2.5 T
- 50 mK

$\mu$



# Target system



# Pol. DIS experiments

Spin Crisis



| Lab  | Exp     | Year  | Energy    | Beam    | $P_b$ | target           | $P_t$ | $f$  | result         |
|------|---------|-------|-----------|---------|-------|------------------|-------|------|----------------|
| SLAC | E80     | 75    | 10–16 GeV | $e^-$   | 0.85  | H-butanol        | 0.50  | 0.13 | $A_1^p$        |
| SLAC | E130    | 80    | 16–23 GeV | $e^-$   | 0.81  | H-butanol        | 0.58  | 0.15 | $A_1^p$        |
| CERN | EMC     | 85    | 200 GeV   | $\mu^+$ | 0.79  | NH <sub>3</sub>  | 0.78  | 0.16 | $g_1^p$        |
| CERN | SMC     | 92    | 100 GeV   | $\mu^+$ | 0.81  | D-butanol        | 0.40  | 0.19 | $g_1^d$        |
| SLAC | E142    | 92    | 19–26 GeV | $e^-$   | 0.39  | <sup>3</sup> He  | 0.35  | 0.12 | $g_1^n$        |
| CERN | SMC     | 93    | 190 GeV   | $\mu^+$ | 0.80  | H-butanol        | 0.86  | 0.12 | $g_1^p, g_2^p$ |
| SLAC | E143    | 93    | 10–29 GeV | $e^-$   | 0.85  | NH <sub>3</sub>  | 0.70  | 0.15 | $g_1^p$        |
| SLAC | E143    | 93    | 10–29 GeV | $e^-$   | 0.85  | ND <sub>3</sub>  | 0.25  | 0.24 | $g_1^d$        |
| CERN | SMC     | 94/5  | 190 GeV   | $\mu^+$ | 0.80  | D-butanol        | 0.50  | 0.20 | $g_1^d, g_2^d$ |
| SLAC | E154    | 95    | 48 GeV    | $e^-$   | 0.83  | <sup>3</sup> He  | 0.38  | 0.18 | $g_1^n$        |
| DESY | HERMES  | 95    | 28 GeV    | $e^+$   | 0.55  | <sup>3</sup> He  | 0.46  | 0.33 | $g_1^n$        |
| CERN | SMC     | 96    | 190 GeV   | $\mu^+$ | 0.80  | NH <sub>3</sub>  | 0.89  | 0.16 | $g_1^p$        |
| DESY | HERMES  | 96/97 | 28 GeV    | $e^+$   | 0.55  | H                | 0.88  | 1.00 | $g_1^p$        |
| SLAC | E155    | 97    | 48 GeV    | $e^-$   | 0.81  | NH <sub>3</sub>  | 0.80  | 0.15 | $g_1^p$        |
| SLAC | E155    | 97    | 48 GeV    | $e^-$   | 0.81  | <sup>6</sup> LiD | 0.22  | 0.36 | $g_1^d$        |
| DESY | HERMES  | 98–00 | 28 GeV    | $e^\pm$ | 0.55  | D                | 0.85  | 1.00 | $g_1^d, b_1^d$ |
| SLAC | E155X   | 99    | 29/32 GeV | $e^-$   | 0.81  | NH <sub>3</sub>  | 0.70  | 0.16 | $g_2^p$        |
| SLAC | E155X   | 99    | 29/32 GeV | $e^-$   | 0.81  | <sup>6</sup> LiD | 0.22  | 0.36 | $g_2^d$        |
| DESY | HERMES  | ≥ 01  | 28 GeV    | $e^\pm$ | 0.55  | H                | 0.85  | 1.00 |                |
| CERN | COMPASS | ≥ 01  | 160 GeV   | $\mu^+$ | 0.80  | <sup>6</sup> LiD | 0.50  | 0.40 |                |
| BNL  | RHIC    | > 01  | coll.     | p       |       | p                |       | 1.00 |                |

running

