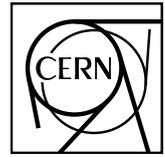


EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH

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March 29, 2018

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Letter of Intent: Fixed-Target Experiment at M2 Beamline beyond 2020

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71 **1 Executive Summary**

72 **2 Introduction**

73 We present for your consideration the Letter of Intent (LoI) for CERN SPS-based universal QCD facility
74 by using which different research programs in QCD will be carried out.

75 We underline that we will discuss in the LoI a number of experiments (or research programs) which will
76 share the experimental hall EHN2, SPS M2 extracted beam line and some general-purpose parts of a
77 universal spectrometer which will be constructed in EHN2 area.

78 The uniqueness of this QCD facility is granted by the unique parameters of the secondary SPS beams
79 (muons, hadrons, electrons) produced in the collision of the primary SPS beam (450 GeV protons) with
80 secondary beam production target.

81 Secondary muon and hadron beams existing already now would allow to run unique experiments dedi-
82 cated to the:

- 83 – measurement of the proton radius in μ -scattering experiment;
- 84 – study of 3-dimensional proton structure study via Deep Virtual Compton Scattering (DVCS) pro-
85 cess;
- 86 – study light meson structure study using Drell-Yan process;
- 87 – search for heavy XYZ exotic states produced in proton-antiproton collisions;
- 88 – measurement of the absolute cross section of various anti-particle production in proton He³ inter-
89 actions.

90 Even wider opportunities would be open once the Radio-Frequency (RF) separated high intensity and
91 high energy kaon and antiproton beam will become available. Such a beam would allow to perform:

- 92 – high statistics study of strange meson sector using kaon beam diffractive scattering on a liquid
93 hydrogen target;
- 94 – unique measurements of kaon structure using Drell-Yan process and Direct Photon Production
95 (DPP);
- 96 – model independent access to 3-dimensional structure of nucleon (TMDs);
- 97 – high precision measurement of kaon polarisability.

98 This LoI structured in the following way:

- 99 – it is opened with executive summary;
- 100 – after short Introduction Physics Case is discussed experiment by experiment;
- 101 – first block of experiments can be carried out with currently available M2 secondary beams;
- 102 – second block of experiments require newly designed RF-separated kaon and antiproton beam;
- 103 – the last part of the LoI is dedicated to the Instrumentation, i.e. it contain the list of upgrades which;
104 has to be performed on order to fulfil experimental requirements for all discussed measurements.

3 Hadron Physics with Standard Muon Beams

3.1 Proton radius measurement using $\mu - p$ elastic scattering

The physics of the proton as the charged nuclear building block of matter is at the core of interest in the quest for understanding nature. As consequence of its inner structure, the electromagnetic form factors G_E and G_M encode the response of the proton to outer electric and magnetic fields, respectively. As worked out in the following chapter, the squares G_E^2 and G_M^2 can be measured in non-polarized elastic lepton scattering off the proton, which has been done extensively since the 1950's with the pioneering work of R. Hofstadter [7]. The gross feature of the form factors is a dependence on the squared momentum transfer Q^2 given by

$$G_E(Q^2) = G_M(Q^2)/\mu_p = \frac{1}{(1 + Q^2/a^2)^2} \quad (1)$$

called the dipole approximation, which can be motivated by a substructure of the proton consisting of three constituent quarks. The constant a has been determined in electron scattering to be about $a^2 \approx 0.71 \text{ GeV}^2/c^2$. The functional behavior with $a^2 = 0.71 \text{ GeV}^2/c^2$ is used as the standard reference dipole form $G_D(Q^2)$.

The respective charge and magnetic moment distributions in space are obtained by Fourier transformation of the form factors, and specifically the electric mean-square charge radius is related to form factor by

$$\langle r_E^2 \rangle = -6\hbar^2 \left. \frac{dG_E(Q^2)}{dQ^2} \right|_{Q^2 \rightarrow 0} \stackrel{\text{dipole}}{=} \frac{12}{a^2} \approx (0.81 \text{ fm})^2 \quad (2)$$

More refined fits to the measured shape of the form factors are often given as polynomials or other analytic functions of Q^2 multiplying the dipole approximation of 1. The so far most elaborate measurement of the proton form factors by elastic electron scattering have been carried out at the Mainz university accelerator MAMI [8, 9], and a parameterization of the results at small values $Q^2 < 0.2 \text{ GeV}^2/c^2$ is shown in the upper plot of Fig. 1. Compared to earlier electron scattering data, the G_M^2 shows a positive deviation with respect to G_D^2 , while G_E^2 starts with a steeper slope, corresponding to a charge radius, with the systematic uncertainties summed up linearly, $r_E^{rms} = \sqrt{\langle r_E^2 \rangle} = (0.879 \pm 0.011) \text{ fm}$. It is at variance with the value found in laser spectroscopy of muonic hydrogen, which is a different way to measure the proton radius. The result is $r_{E,\mu H}^{rms} = (0.841 \pm 0.001) \text{ fm}$ [10, 11], and this discrepancy of more than three standard deviations triggered many efforts to clarify its origin [12–18].

3.1.1 Experiments targeting the proton radius puzzle: the M2 beamline case

It is suggested here to measure **elastic muon-proton scattering** with a high-energetic muon beam on a hydrogen gas target over a momentum transfer range particularly sensitive to the proton charge radius. This means, on the one hand, to measure the cross-section to come as close as possible to $Q^2=0$ as required by 2, and on the other hand, to cover a sufficient range in momentum transfer in order to constrain the slope of the cross-section on the desired level of precision. As illustrated in Fig. 1, this range is approximately $0.001 < Q^2/(\text{GeV}^2/c^2) < 0.02$: At smaller values of Q^2 , the deviation from a point-like proton is on the 10^{-3} level and thus smaller than unavoidable systematic effects, as the variation of the detector efficiencies with Q^2 that cannot be controlled more accurately with the currently available methods. At higher $Q^2 > 0.02 \text{ GeV}^2/c^2$, the non-linearity of the Q^2 dependence becomes the predominant source of uncertainty, and cannot be used to determine the proton radius, unless more elaborate theory input is assumed.

For reaching the required precision at small momentum transfers, it is relevant to observe the recoil protons. Due to their small energy, this implies the target to be the detector volume at the same time. This can be realized by a Time Projection Chamber (TPC) operated with pure hydrogen gas. Such a

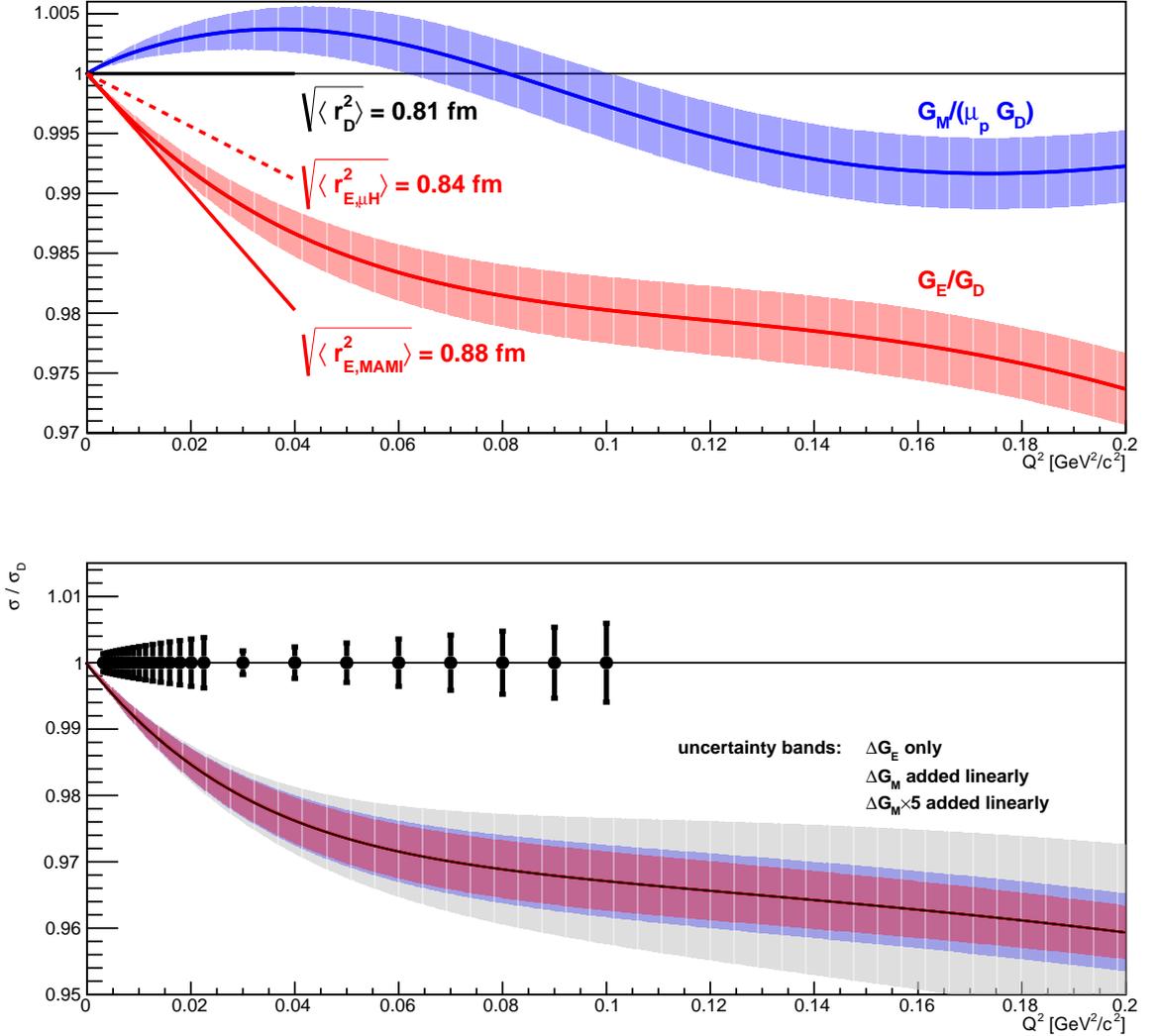


Figure 1: Upper plot: proton form factors G_E and G_M as measured at MAMI, presented relative to the dipole form G_D as given in the text. Lower plot: corresponding cross-section behavior, relative to the standard dipole form. The innermost uncertainty band corresponds to the effect of the uncertainty of G_E only, while for the (blue) middle band the uncertainty from G_M has been added linearly, and for the outer (gray) band the contribution from ΔG_M has been increased by a factor of five. The dots with error bars, arbitrarily placed at 1, represent the achievable statistical precision of the proposed measurement, down to $Q^2=0.003 \text{ GeV}^2/c^2$, where the statistical uncertainties are expected to dominate the systematic point-to-point uncertainty. There will be data from the proposed experiment down to $Q^2 \leq 0.001$, with the statistical uncertainty further shrinking according to the increasing cross-section with $Q^2 \rightarrow 0$, cf. Eq. 3, which are omitted here for conciseness. For a discussion of the uncertainty contributions at different Q^2 regions, see the text.

145 target has been developed by PNPI [19, 20] and is in the testing phase for an analogous experiment using
146 electron scattering at Mainz.

147 Several experiments are currently ongoing or proposed for refining the knowledge on **electron-proton**
148 elastic scattering [12, 13, 21, 22]. This includes the mentioned TPC experiment at MAMI [21], but also
149 the initial-state radiation experiment of the A1 collaboration [22]. All experiments of electron scattering
150 are challenged by the required QED radiative corrections, which are as large as 20% due to the small
151 electron mass. Currently, it is unclear how the precision of those corrections can be controlled on the
152 desired below-1% level. Hence, independent of the outcome of any measurements done with electrons,
153 those with muons will test systematic effects related to radiative corrections, since they are substantially
154 smaller for muons due to their much larger mass.

155 Despite this obvious benefit, there are still significant systematic effects expected for measurements for
156 **muon-proton** elastic scattering at **low muon beam energies**, *e.g.* discussed for the proposed MUSE
157 experiment [16]. Apart from corrections for the pion component in the beam and muon decays, there is
158 a substantial correction for the Coulomb distortion of the low-velocity muon wave function. The latter is
159 estimated to be on the level of one percent for larger scattering angles, however with an unclear relation
160 to the other radiative corrections, which introduces a systematic uncertainty for which an experimental
161 test is most convincing. Such a test is best realized with scattering at very high energies, where the
162 Feshbach correction reduces to a negligible level.

163 The highest precision on the proton radius is claimed by the the investigation of atomic level split-
164 tings [10, 11, 14, 15] that are very accurately measured by laser spectroscopy. From 1S transitions in
165 **muonic hydrogen**, the above-mentioned value 0.841 fm has been determined, by correcting the mea-
166 sured frequency for all known QED effects and attributing the remaining effect to the proton finite size.
167 By starting with the measurement of the single number, this approach is clearly less detailed than a mea-
168 surement of the form factor behaviour over an extended range in Q^2 , which allows for checking *e.g.* the
169 assumption made for the linear behaviour of the form factor in the studied Q^2 range.

170 In summary, the proposed muon-proton scattering using a high-energy muon beam for the determination
171 of the proton radius we regard as an important and unique cornerstone in the quest for solving the proton
172 radius puzzle. It is seen very timely in view of the highly competitive and dynamic ongoing research
173 in the field, to realize the measurement at the CERN M2 beamline as soon as the scheduling and the
174 required preparatory steps will allow.

175 3.1.2 Elastic lepton-proton scattering

176 The cross-section for elastic muon-proton scattering to first order is

$$\frac{d\sigma}{dQ^2} = \frac{\pi\alpha^2}{Q^4 m_p^2 \vec{p}_\mu^2} \cdot \left[(G_E^2 + \tau G_M^2) \frac{4E_\mu^2 m_p^2 - Q^2(s - m_\mu^2)}{1 + \tau} - G_M^2 \frac{2m_\mu^2 Q^2 - Q^4}{2} \right] \quad (3)$$

177 where $Q^2 = -t = -(p_\mu - p_{\mu'})^2$, $\tau = Q^2/(4m_p^2)$ and $s = (p_\mu + p_p)^2$. The squared centre-of-momentum
178 energy s is given, in the laboratory system, by $s = 2E_\mu m_p + m_p^2 + m_\mu^2$ with E_μ the energy, and \vec{p}_μ the
179 three-momentum of the incoming muon colliding with a proton at rest.

180 The different dependence on the beam energy E_μ of the two terms in 3 that are proportional to G_M^2
181 allows, in principle, for the ‘‘Rosenbluth separation’’ of the two form factor contributions G_E^2 and G_M^2 , by
182 measuring the cross-section at constant Q^2 and, at least, two different beam energies (or correspondingly
183 at different muon scattering angles). For small $Q^2 < m_\mu^2$, the relative contribution of the second term is
184 approximately m_μ^2/E_μ^2 , and for beam energies $E_\mu > 50$ GeV it is an effect of less than 10^{-5} , which is
185 unmeasurably small and thus can be neglected.

186 Consequently, with the proposed high-energy muon beam, one effectively determines the combination
 187 $(G_E + \tau G_M)$, and at small Q^2 (*i.e.* small τ) this amounts to a measurement of G_E when the small expected
 188 contribution from G_M is corrected for. Even with a conservative estimate of the uncertainty from G_M , a
 189 factor of five larger than the one claimed in the MAMI analysis, the uncertainty on G_E and thus on the
 190 charge radius stay well below 0.1%, which is about a factor of 10 smaller than the precision of 1% that
 191 the measurement aims at.

192 3.1.3 Measurement at CERN M2 beamline

193 We propose to measure elastic muon-proton scattering employing a 100 GeV muon beam on a pressur-
 194 ized hydrogen gas target. For the core of the measurement aiming at a precise measurement of the proton
 195 radius, the relevant momentum transfers $0.001 < Q^2/(\text{GeV}^2/c^2) < 0.02$ are measured by operating the
 196 target as a TPC for detecting the proton recoil tracks. The pressure of the gas is optimized for having
 197 on the one hand sufficiently low stopping power such that the proton recoil tracks are detectable, and on
 198 the other hand they still fit in the TPC volume. The pressure ranges from 4 to 20 bar. The respective
 199 gas system has been developed and is in the test phase at MAMI. The details of the readout are to be
 200 adapted to the COMPASS environment and are currently under study. For higher recoil energies and thus
 201 the possibility to access a broader range of the form factor evolution in Q^2 a similar hydrogen cell is
 202 envisaged, with a cylindric array of scintillating fiber (SciFi) rings surrounding the interaction region.

203 The muon scattering kinematics are measured with the COMPASS spectrometer in its standard muon
 204 setup. To allow for the detection of the elastic, *i.e.* almost unscattered, tracks the beam killer components
 205 are excluded from the trigger. The central parts of the tracking detectors are activated, and the silicon
 206 telescopes surrounding the TPC are used for measuring with high accuracy the muon scattering angle.
 207 In addition, the electromagnetic calorimeters serve to control the (rare) radiative events.

208 Since triggering solely on the proton recoil implies Q^2 -dependent efficiency variations that cannot be
 209 controlled from the data themselves, a trigger component from the muon trajectory is foreseen. The
 210 beam rate is too high to record all events. Therefore, the beam trigger is extended by a new component
 211 that allows to veto muons with a scattering angle below about $5 \mu\text{rad}$. This suppresses muons that have
 212 experienced multiple (small-angle) scattering only, which amounts to 99% of the incoming muons. In
 213 contrast muons are efficiently selected with a scattering angle in the target larger than $100 \mu\text{rad}$, corre-
 214 sponding to momentum transfers larger than $10^{-4} \text{GeV}^2/c^2$. A scenario could be realized with SciFi
 215 components sandwiching the silicon detectors, however solutions with thinner detectors, such as silicon
 216 pixel detectors with a readout sufficiently fast for the trigger would be desirable for minimizing the mul-
 217 tiple scattering as a source of systematic uncertainty. The respective topological trigger component is
 218 referred to as “kink trigger”. For the longer-range future, a triggerless readout is aimed for (Sec. 6.1.1),
 219 which can solve current issues of rate capability and allows for realizing the described event selection in
 220 an elegant and efficient manner for the proposed measurement. Regarding the higher- Q^2 region, the full
 221 beam rate has to be used, in order to compensate for the $1/Q^4$ behaviour of the Mott cross-section.

222 The statistical uncertainties that can be achieved in the sketched experiment are shown in Fig. 1 in a
 223 suitable segmentation of the data in Q^2 bins. The data set is sufficient to constrain the proton radius to
 224 better than 0.01 fm precision.

225 The experimental set-up uses the standard muon beam set-up of COMPASS but the target region will
 226 be modified as to accommodate an active hydrogen target, possibly an active SciFi target, and two sili-
 227 con telescopes. Proton recoil measurement, muon measurement, and the trigger of this experiment are
 228 detailed in Sec. 6.2.2.

229 3.2 Exclusive reactions with muon beams and transversely polarized target

230 3.2.1 Motivations for the GPD E measurement

231 One of the major goals of the forthcoming worldwide GPD physics programs will be the precise mapping
232 of the GPDs H and E , which enter in the ‘‘Ji sum rule’’ and provide access to the total parton angular
233 momentum:

$$J^f(Q^2) = \frac{1}{2} \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H^f(x, \xi, t) + E^f(x, \xi, t)], \quad (4)$$

234 where

$$\frac{1}{2} = \sum_{q=u,d,s} J^q(Q^2) + J^g(Q^2). \quad (5)$$

235 While some information on the GPD H is already provided by the existing data, the GPD E is basically
236 unknown. The most promising DVCS observables that are sensitive to E are the transverse target spin
237 asymmetry in the case of proton targets, and the longitudinal beam spin asymmetry with neutron targets.
238 Such measurements are currently either planned or being performed at Jlab, and represent a flagship goal
239 of the Jlab physics program after the 12 GeV upgrade of the accelerator complex.

240 The Compass experiment is currently undertaking a measurement of exclusive photon and meson pro-
241 duction with unpolarized proton targets and high-energy polarized muon beams, mainly covering the
242 kinematic domain of sea quarks and complementing the measurements at larger x_B performed or planned
243 at lower energies. In this configuration, Compass is mostly sensitive to the GPD H , and will provide
244 a separate measurement of the real and imaginary parts of the \mathcal{H} CFF by combining cross-sections
245 measured with beams of opposite charge and polarization.

246 By employing a transversely polarized proton target, COMPASS has the possibility to access the GPD
247 E through the measurement of the transverse target spin dependent DVCS cross-sections. Such a mea-
248 surement would be complementary to the CLAS12 data, and would provide a crucial extension of the
249 kinematical coverage to the small x_B domain (**how can we show that this is crucial?**).

250 3.2.2 Worldwide Competition

251 The wealth of new accurate measurements that will become available in the next decade will provide
252 the experimental ground for validating and improving GPD models through global fits, possibly beyond
253 the leading approximations and including higher twist and higher order contributions, which seem to be
254 needed to describe the existing data [?].

255 The recent 12 GeV energy upgrade of the CEBAF accelerator at Jefferson Lab [1] will allow high-
256 precision measurement of observables related to the partonic structure of nucleons in the valence quark
257 domain, significantly extending the kinematic coverage of previous measurements at lower energies. The
258 high luminosity, high-precision measurements performed in Hall A [?] and C [?] will be complemented
259 by the large acceptance of the CLAS12 [? ? ? ? ?] experiment in Hall B. In the longer term, the
260 Electron-Ion Collider [?] will further extend the kinematic coverage to the gluon sector and provide
261 polarized data of unprecedented precision for GPD and TMD studies. The kinematic domain covered by
262 past, running and planned DVCS experiments is summarized in fig. 2.

263 3.2.3 Theoretical predictions for COMPASS

264 Since at COMPASS both beam and target are polarized, the relevant observables for accessing the GPD
265 E are represented by the transverse beam charge & spin difference and sum of the $\mu p^\uparrow \rightarrow \mu \gamma p$ cross-
266 section, respectively defined as follows:

$$\mathcal{D}_{CS,T} \equiv \left(d\sigma^{\pm}(\phi, \phi_S) - d\sigma^{\pm}(\phi, \phi_S + \pi) \right) - \left(d\sigma^{\bar{\pm}}(\phi, \phi_S) - d\sigma^{\bar{\pm}}(\phi, \phi_S + \pi) \right), \quad (6)$$

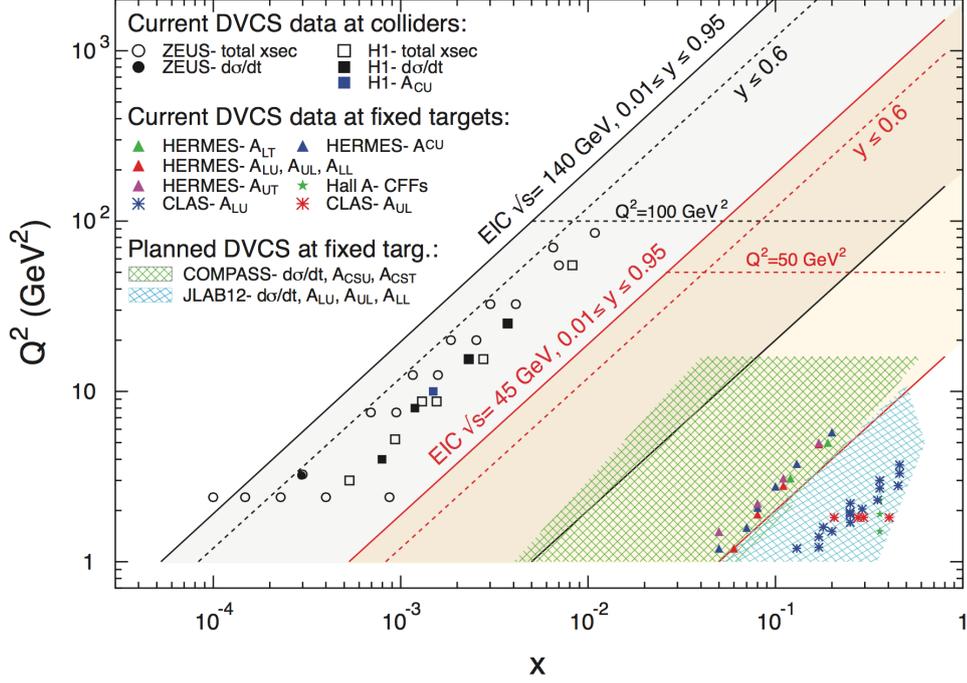


Figure 2: Overview of the existing and planned measurements of DVCS in both fixed-target and collider mode.

267

$$\mathcal{I}_{CS,T} \equiv \left(d\sigma^{\pm}(\phi, \phi_S) - d\sigma^{\pm}(\phi, \phi_S + \pi) \right) + \left(d\sigma^{\mp}(\phi, \phi_S) - d\sigma^{\mp}(\phi, \phi_S + \pi) \right). \quad (7)$$

268 Two experimental asymmetries can also be derived from these expressions:

$$\mathcal{A}_{CS,T}^D = \frac{\mathcal{D}_{CS,T}}{\Sigma_{unpol}} \quad \text{and} \quad \mathcal{A}_{CS,T}^S = \frac{\mathcal{I}_{CS,T}}{\Sigma_{unpol}}, \quad (8)$$

269 where Σ_{unpol} represents the lepton-charge-average unpolarized cross section.

270 The quantities between parenthesis represent the differences of cross sections with the two opposite target
 271 spin orientations (denoted by ϕ_S and $\phi_S + \pi$, see fig. 3). The difference and sum of cross-sections defined
 272 above can be decomposed in angular harmonics of the type $[\sin(\phi - \phi_S) \sin(n\phi)]$, $[\sin(\phi - \phi_S) \cos(n\phi)]$,
 273 $[\cos(\phi - \phi_S) \sin(n\phi)]$ and
 274 $[\cos(\phi - \phi_S) \cos(n\phi)]$, whose coefficients are expressed as linear or bi-linear combinations of \mathcal{H} , $\widetilde{\mathcal{H}}$ and
 275 \mathcal{E} CFFs. As an example, the leading twist-2 coefficient in $\mathcal{D}_{CS,T}$ is associated to the $[\sin(\phi - \phi_S) \cos(\phi)]$
 276 modulation in the interference term, and receives contributions from the imaginary parts of \mathcal{H} and \mathcal{E} at
 277 the same level [2]:

$$c_{1T-}^I \propto \frac{t}{4M^2} \text{Im} \left[(2 - x_B) F_1 \mathcal{E} - 4 \frac{1 - x_B}{2 - x_B} F_2 \mathcal{H} \right]. \quad (9)$$

278 The various coefficients can be extracted from a Fourier analysis of the measured cross-sections or asym-
 279 metries. The size of the asymmetry associated to the c_{1T-}^I term has been recently estimated by P. Sznajder
 280 in the context of the PARTONS framework [?], comparing the VGG [3] and GK [4] model predictions as
 281 function of x_B , Q^2 and $-t$ in the typical kinematic domain of COMPASS. As shown in fig. 4, the expected
 282 asymmetries are sizable and, in the case of the GK model, show a clear sensitivity to the contribution of
 283 the GPD E .

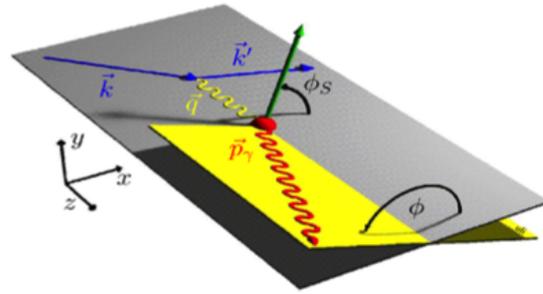


Figure 3: Definition of the relevant angles in the DVCS on a transversely polarized target.

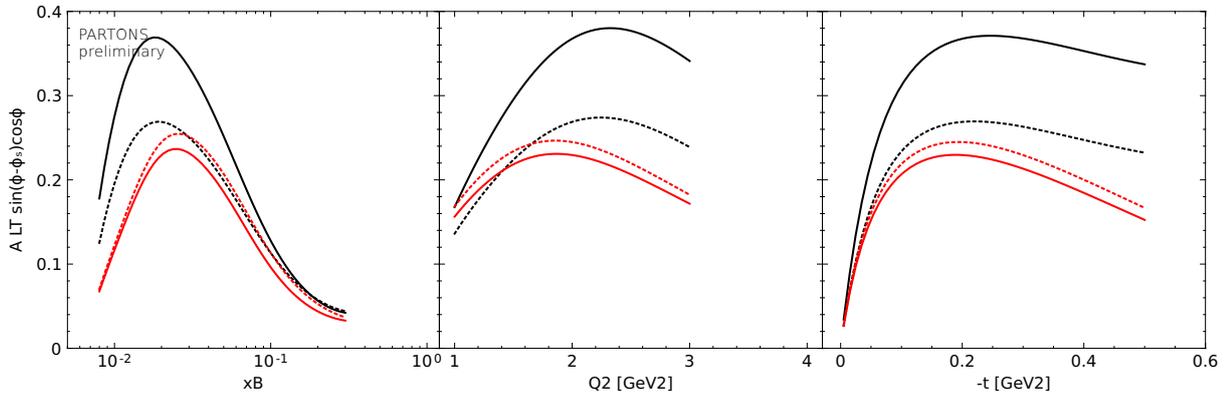


Figure 4: Estimation of the amplitude of the $[\sin(\phi - \phi_S) \cos(\phi)]$ modulation in the COMPASS kinematics, based on predictions from the VGG [3] (red) and GK [4] (black) models at leading order (solid lines) and with the additional assumption of $E = 0$ (dashed lines). The estimates have been obtained in the context of the PARTONS [?] framework.

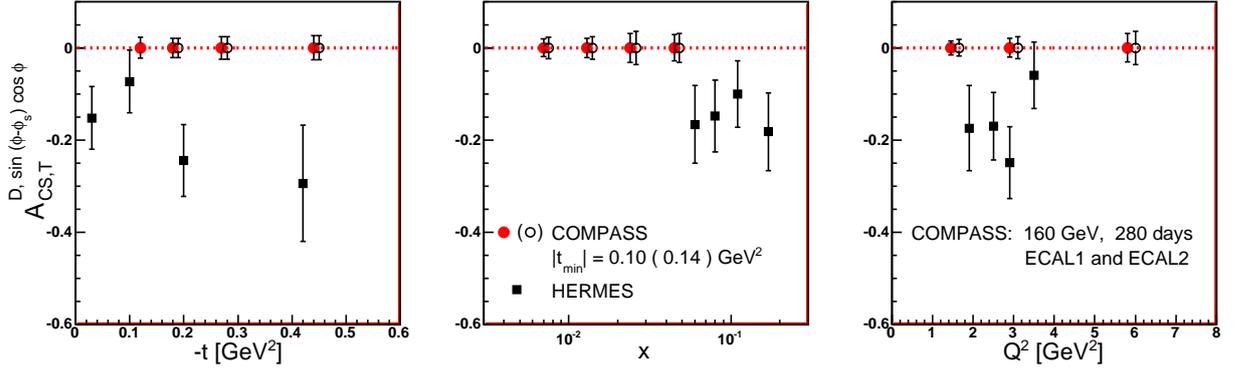


Figure 5: Expected statistical accuracy of $A_{CS,T}^{D, \sin(\phi - \phi_S) \cos \phi}$ as a function of $-t$, x_B and Q^2 from a measurement in 140 days with the COMPASS spectrometer, using a 160 GeV muon beam and a transversely polarized NH_3 target. Solid and open circles correspond to a minimum detectable $|t|$ of 0.10 GeV^2 and 0.14 GeV^2 , respectively. Also shown is the asymmetry $A_{U,T}^{\sin(\phi - \phi_S) \cos \phi}$ measured at HERMES [5] with its statistical errors. Figure from ref. [6].

284 3.2.4 Projections and Accuracy for COMPASS

285 The expected statistical accuracy for a COMPASS measurement of the $[\sin(\phi - \phi_S) \cos(\phi)]$ modulation
 286 using a transversely polarized NH_3 target is shown in fig. 5. The red points and the open circles in the
 287 plots represent the projections for a measurement in 140 days at the nominal muon beams intensity, and
 288 for a minimum detectable $|t|$ of 0.10 GeV^2 and 0.14 GeV^2 , respectively. For comparison, the black
 289 squares show the asymmetry $A_{U,T}^{\sin(\phi - \phi_S) \cos \phi}$ measured at HERMES [5] with its statistical errors. The
 290 COMPASS data could therefore provide a measurement of the $[\sin(\phi - \phi_S) \cos(\phi)]$ modulation with a
 291 statistical accuracy of approximately 2.5% in the so far uncharted region of $5 \cdot 10^{-3} \lesssim x_B \lesssim 5 \cdot 10^{-2}$.

292 The technical realisation of detecting recoil particles with polarized solid-state targets is detailed in Sec-
 293 tion 6.2.3.

4 Hadron Physics with Standard Hadron Beams

4.1 DY and charmonium production with conventional hadron beams

4.1.1 Introduction: Meson Structure and the Origin of Nuclear Mass

The quark-gluon structure of light mesons and the physical origin of their small masses remains largely unknown. Strong interaction dynamics generate a striking mass difference between heavy 3-quark nucleon bound states and light 2-quark pion bound states. Pion-mediated Yukawa interactions bind nucleons to nuclei and the resulting large nuclear masses govern the gravitational forces that have formed our solar system. While there are ample data available on the proton, the experimental determination of meson structure remains the long-awaited and critical input to theoretical efforts that seek to explain the emergence of massive composite hadrons, including the large mass difference between pions and protons.

Two Standard Model mechanisms contribute to the generation of mass. Spontaneous electroweak symmetry breaking gives rise to the Higgs mechanism providing fundamental particles with their current masses. For example, the masses of the up and down quarks are 2.2 MeV and 4.7 MeV respectively. Second, strong-interaction chiral symmetry breaking leads to the large masses of composite light-quark states. For the proton, the sum of the current quark masses from the Higgs mechanism is about $m_{uud} = 9$ MeV while the observed proton mass is 100 times larger, $m_p = 938$ MeV. For the pion the current quark mass is $m_{ud} = 7$ MeV, while measurements yield a physical mass of $m_\pi = 139.6$ MeV. Consequently, present quark models do not allow a consistent description of the pion and proton bound masses: the mass of a constituent quark in the proton will be about 300 MeV compared to 70 MeV for the pion.

In chiral QCD with massless quarks, hadron masses in the Lagrangian emerge through the trace anomaly of the energy momentum tensor. For the proton, the binding energy and the mass of dressed quarks add to about $m_p = 1$ GeV. Very differently for the pion, the Goldstone Boson of the interaction, the binding energy and the dressed quark mass cancel to $m_\pi = 0$ GeV [24]. In lattice QCD, Large Momentum Effective Theory (LaMET) [25] will make it possible to calculate hadron quark and gluon distribution functions quantitatively, see for example [26] and [27]. Such calculations greatly benefit from the arrival of Peta-scale supercomputers. Recently, there has been increasing interest in theoretical calculations of meson parton structure, including the Nambu-Jona-Lasinio model [28, 29], the chiral constituent quark model [30], the light-front constituent model [31], and from QCD Dyson-Schwinger equations [32, 33].

Detailed experimental information for the proton quark and gluon structure is available from the analysis of numerous lepton-nucleon deep inelastic scattering experiments combined with several data sets of jet, hadron, and Drell-Yan cross sections observed in proton-proton and proton-anti-proton collider experiments over a broad range of the scattering kinematics. Global analyses have been carried out using NNLO in perturbative QCD and have resulted in precise knowledge of quark and gluon distribution functions of the proton.

In contrast, the quark and gluon structure of mesons is only poorly constrained from early Drell-Yan cross section measurements for pions [34, 35][36, 37] [38, 39] and completely unconstrained for kaons [40]. The sparse experimental information on meson structure limits the ability to test theoretical progress directed at determining quark and gluon distributions from ab initio lattice-QCD. Further, it limits testing advances in understanding the dynamical generation of hadron masses in QCD. Important experimental activities are underway to study the pion structure through final state neutron-tagged DIS at Jefferson Laboratory. The feasibility of pion structure measurements at a future Electron-Ion Collider is being evaluated. But the need to relate the experimental neutron tagged DIS to the physics of DIS off-pions will translate into new theoretical model uncertainties that still need to be assessed.

The current high intensity pion-dominated hadron beam available from the M2 beamline at CERN provide a unique opportunity for measurements of pion and nucleon structure through pion induced Drell-

340 Yan on polarized and unpolarized proton, deuteron and nuclear targets. A significant improvement in
 341 the statistical precision can be achieved using modern analysis methods that access the Drell-Yan signal
 342 also at lower invariant masses. Compared to previous extractions of parton distributions, future analysis
 343 of Drell-Yan data will be based on the modern description of the Drell-Yan process at NNLO pQCD,
 344 reducing theoretical uncertainties. At a later stage, future RF separated kaon beams at CERN will lead to
 345 the first measurement of kaon structure, and RF separated antiproton beam will provide precision mea-
 346 surements on the spin dependent transverse momentum PDFs of the nucleon. These will be described in
 347 section 5.3.

348 In summary, in this section we propose a detailed study of the pion structure from additional data taking
 349 with the CERN M2 existing hadron beams. The following physics goals should be reached:

- 350 – determine pion valence and sea quark distributions;
- 351 – study charmonium production mechanism, in order to infer on the pion gluon distributions;
- 352 – study flavour-dependent nuclear effects.

353 The first two topics aim at a full, detailed picture of the pion structure, while in the last one we propose
 354 to contribute significantly to the precision of nuclear PDFs in the large x_2 region and check the flavour-
 355 (in)dependence of the EMC effect, mostly. In parallel, we propose to perform precise measurements of
 356 the Drell-Yan and J/ψ angular distributions produced from an isoscalar target, which shall complement
 357 those presently being performed at the COMPASS experiment with an ammonia target. As will be
 358 shown, these goals can be achieved simultaneously with two years of a dedicated run using both positive
 359 and negative pion-tagged beams of 190 GeV. A target system consisting of a long light isoscalar target
 360 followed by a shorter and heavier nuclear target is proposed.

361 4.1.2 Valence and sea separation in the pion

362 Pion induced Drell-Yan data were collected by experiments NA3[34], NA10 [35], WA39 [41] and now
 363 by COMPASS at CERN, and by experiment E615[36] at Fermilab. The experiments NA3 and WA39
 364 studied pion induced Drell-Yan production for both beam charges. NA3 published an extraction of the
 365 pion distributions, based on their data alone. For different reasons none of these data sets have been
 366 included in the available extractions from global fits. Figure 6 shows the pion valence from two of the
 367 global analyses, SMRS [42] and GRV/S[43, 44]. These extractions rely on the π^- Drell-Yan data from
 368 E615 and NA10, and do not include uncertainty estimates. In the analysis of GRV/S the sea content
 369 is derived from momentum conservation, the gluon contribution being constrained by the direct photon
 370 measurements of WA70 [45] and NA24 [46]. Sutton et al.[42] provide their own parametrisation for
 371 the sea, considering three hypotheses for the amount of sea contribution (10%, 15% and 20%), which
 372 then also leads to three different results for the gluon contribution. The valence and sea distributions
 373 from NA3 are also shown, together with the respective error bands, propagated from their published fit
 374 coefficients and correlation matrix.

375 We propose to determine the shape of the sea quarks for x values larger than 0.1 and better constrain this
 376 contribution by collecting data with positive and negative pion beam on an isoscalar target, as proposed
 377 by [47]. Assuming charge conjugation, $SU(2)_f$ symmetry for valence quarks and $SU(3)_f$ symmetry for
 378 sea quarks, it is possible to build the two linear combinations 10 and 11:

$$\Sigma_{val}^{\pi D} = -\sigma^{\pi^+ D} + \sigma^{\pi^- D} \propto \frac{1}{3} u_v^\pi (u_v^p + d_v^p) \quad (10)$$

$$\Sigma_{sea}^{\pi D} = 4\sigma^{\pi^+ D} - \sigma^{\pi^- D} \quad (11)$$

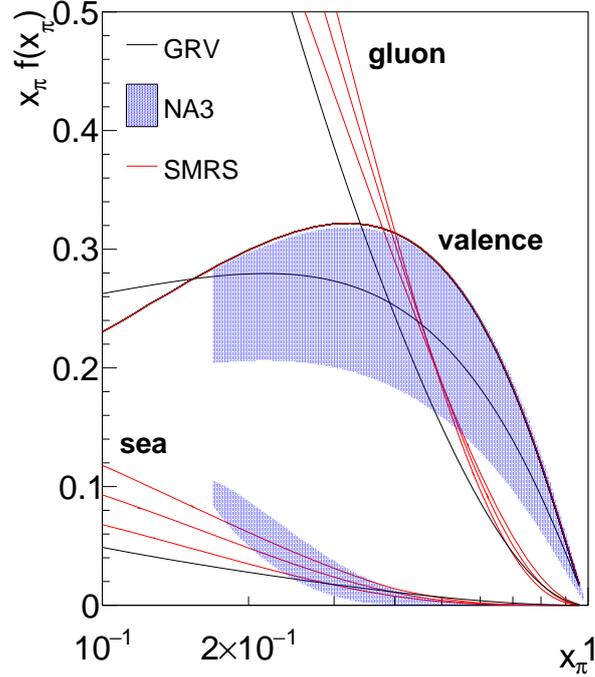


Figure 6: Pion distributions from global fits of SMRS and GRV/S, shown together with the NA3 extraction [34]. The three sea curves labelled SMRS correspond to three different hypotheses for the sea content. As a result, there are also three curves for the gluon contribution.

380 The first combination contains only valence-valence terms, while in the second no valence-valence term
 381 remains. Assuming small nuclear effects to this ratio, $\Sigma_{sea}/\Sigma_{val}$ can be computed for any of the measured
 382 x_N values. The use of a light isoscalar carbon target instead of the non-isoscalar platinum and tungsten
 383 targets used by NA3 and NA10 respectively, reduces nuclear effects.

384 Evaluating the ratio $\Sigma_{sea}/\Sigma_{val}$ requires precise cross-sections determination. We aim at an absolute cross-
 385 section determination at the level of 3% systematic error. A cross-check of the relative normalisation
 386 can be performed by comparing the J/ψ cross sections taken with the two beam charges. The cross-
 387 section ratio for π^- and π^+ -induced J/ψ production on a Pt target was measured to be 1.016 ± 0.006 by
 388 NA3 [48].

389 The relative contribution of the sea quarks increases as x decreases. Therefore, a good separation with
 390 the valence quarks requires x values as low as possible, and incident pion momentum as high as possible.
 391 For a reasonable geometrical acceptance down to $x_F = -0.2$ and incident momentum of 190 GeV, values
 392 of $x_\pi = 0.10$ can be reached.

393 The negative hadron beam of 190 GeV momentum contains mainly pions, with a small contamination ($<$
 394 4%) from kaons and antiprotons, while the positive hadron beam contains $\approx 80\%$ protons. The percentage
 395 of pions in the positive beam can be increased from 20% to $\approx 40\%$, by the use of a differential absorber,
 396 as was done in the past by the NA3 experiment. This option is being considered for the new experiment.
 397 A better shielding from environmental radiation would also allow to double the beam intensity, thus
 398 shortening the required data collection time. This possibility is also being studied.

399 Figure 7 left-top shows the achievable cross-sections accuracy of the proposed experiment, as simulated
 400 from Pythia at leading order, with a K-factor of $K = 2$ consistent with what was obtained by past ex-
 401 periments. Represented is the option of 255 days of π^+ beam data taking and 25 days with π^- beam of
 402 190 GeV momentum on a carbon target of 4×25 cm. The difference in data collection time between

403 the two beam polarities is explained by the Drell-Yan cross-section difference itself and by the positive
 404 versus negative hadron beams composition, that together lead to a share 10:1 of π^+ to π^- running time.
 405 Beam intensities of 7×10^7 particles per second (as used in COMPASS Drell-Yan data-taking), with two
 406 pulses of 4.8 s in each SPS super-cycle of 52 s are assumed. The fraction of pions in the positive hadron
 407 beam is 24%. CEDAR detectors provide beam particle identification with 90% efficiency. The product
 408 of other efficiencies, acceptance and livetime is estimated as 0.13. The carbon target is followed by a
 409 tungsten target placed 40 cm downstream of it.

410 The right-hand side of Fig. 7 shows accuracy estimates of the pion $\Sigma_{sea}/\Sigma_{val}$ as a function of x_π , in
 411 three possible dimuon mass ranges. The top panel presents the background-free Drell-Yan mass range,
 412 while the two below use the assumption that machine learning techniques will succeed in isolating the
 413 Drell-Yan contribution from competing processes. The curves labelled SMRS represent three different
 414 contributions of the sea quarks to the pion momentum, ranging from 10% to 20%. Below $x_\pi = 0.5$ the
 415 ratio is strongly dependent on the amount of pion sea. This strong variation at low x shows that SMRS
 416 is unconstrained in this region, since no sea-sensitive data were included in the global fits. The top
 417 panel shows also the sea distribution extracted by NA3 based solely on their own data together with its
 418 uncertainty band.

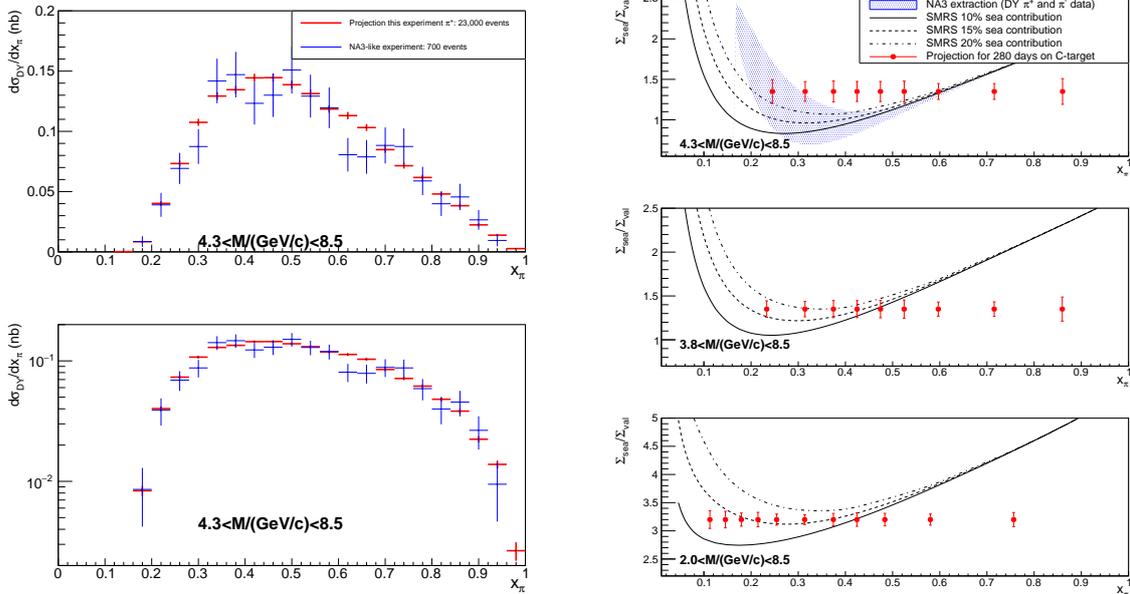


Figure 7: a) Drell-Yan cross-section as a function of x_π , with the expected statistical accuracy from the proposed experiment using a carbon target with the π^+ beam. The accuracy of an NA3-like experiment is also shown for comparison. b) Σ_S/Σ_V as a function of x_π : extraction from SMRS together with the derived result from NA3.

419 Recently developed techniques of data analysis are planned to be employed in order to separate the
 420 different physics contributions to the dimuon mass spectrum. The so-called machine learning algorithms
 421 allow to clusterize real data according to identical behaviors in terms of a set of physics variables. Models
 422 are then used to attribute a physics origin to each given set. The clustered data are used to train neural
 423 networks at classifying each event according to its probability to be from a given physics origin. The
 424 whole method can be validated using Monte-Carlo samples. It is presently being developed for use in the
 425 COMPASS experiment, to treat the collected Drell-Yan data. Such approach shall allow for the analysis
 426 of Drell-Yan events not only in the traditionally considered "safe range" (free from contaminations)
 427 $4.3 < M < 8.5$ GeV, but also to analyse it in the extended region $3.8 < M < 8.5$ (dominated by Drell-
 428 Yan, and even to add the very challenging lower mass range $2.0 < M < 3.8$, where charmonia is the

429 dominant contribution, together with an important fraction of semi-leptonic open-charm decays into
 430 pairs of muons, that come mixed with the Drell-Yan contribution.

431 In Table 1 the achievable statistics of the proposed experiment for a running period of two CERN years
 432 (2×140 days) is compared to the Drell-Yan statistics of past experiments. In the experimental conditions
 433 assumed, the sea contribution to the pion momentum could be evaluated with an accuracy of 5% or better.

Experiment	Target type	Beam energy (GeV)	Beam type	Beam intensity (part/sec)	DY mass (GeV/c ²)	DY events
E615	20cm W	252	π^+	17.6×10^7	4.05 – 8.55	5000
			π^-	18.6×10^7		30000
NA3	30cm H ₂	200	π^+	2.0×10^7	4.1 – 8.5	40
			π^-	3.0×10^7		121
	6cm Pt	200	π^+	2.0×10^7	4.2 – 8.5	1767
			π^-	3.0×10^7		4961
NA10	120cm D ₂	286	π^-	65×10^7	4.2 – 8.5	7800
		140			4.35 – 8.5	3200
	12cm W	286	π^-	65×10^7	4.2 – 8.5	49600
		194			4.07 – 8.5	155000
		140			4.35 – 8.5	29300
COMPASS 2015 COMPASS 2018	110cm NH ₃	190	π^-	7.0×10^7	4.3 – 8.5	35000 52000
		190	π^+	1.7×10^7	4.3 – 8.5	23000
	100cm C				3.8 – 8.5	37000
					2.0 – 8.5	170000
This exp		190	π^-	6.8×10^7	4.3 – 8.5	22000
					3.8 – 8.5	34000
	24cm W				2.0 – 8.5	161000
					4.3 – 8.5	7000
		190	π^+	0.2×10^7	3.8 – 8.5	11000
					2.0 – 8.5	51000
		190	π^-	1.0×10^7	4.3 – 8.5	6000
					3.8 – 8.5	9000
					2.0 – 8.5	48000

Table 1: Statistics collected by the past experiments, compared with the achievable statistics of the new experiment.

434 4.1.3 J/ψ production mechanism and the pion gluon distribution

435 Charmonium production provides a particularly attractive alternative for accessing the badly known me-
 436 son structure. The cross sections are large, typically a factor of 20 to 30 higher in comparison with
 437 the Drell-Yan process. While at collider energies they mainly come from gluon-gluon interaction, at
 438 the relatively low fixed-target energies they are sensitive to both quark and gluon momentum densities.
 439 The different quark and gluon distributions of the interaction partons result in different x_F dependences.
 440 Separating the two contributions should, within some model uncertainties, allow an access to the parton
 441 distributions in the beam particle.

442 Analyses aiming at a determination of the gluon distribution of the pion, $g_\pi(x)$, were performed by some
 443 of the pioneering dimuon production experiments, NA3[48] and WA11[49] at CERN. Assuming that the
 444 J/ψ production cross section at sufficiently low x_F proceeds through gluon-gluon fusion, the authors
 445 provide phenomenological fits to the data, using the simple parametrisation:

$$g_\pi(x) = A(1-x)^\beta, \quad (12)$$

446 where the parameter β describes the slope of the $g_\pi(x)$ distribution as a function of the Bjorken x and A
 447 is a normalization factor. A similar analysis was later done by the E537 collaboration at Fermilab [50],

448 using Be and W targets. In all these experiments the determination of $g_\pi(x)$ depends on the assumptions
 449 for the fraction of the J/ψ (or Υ) produced by gluon-gluon fusion and on the knowledge of the nucleon
 450 or nuclear gluon distributions. Not surprisingly, the corresponding uncertainties results in a large spread
 451 of the derived β values.

452 The large number of J/ψ dimuon events, collected simultaneously with the proposed pion-induced Drell-
 453 Yan data should greatly help in improving the situation. About one million events could be expected for
 454 the combined π^+ and π^- data on a ^{12}C target and about half of this number on a ^{184}W target, allowing
 455 for a precise determination of the corresponding x_F and p_T distributions. The present better knowledge
 456 of the gluon distribution in the nucleon, combined with the important progress achieved in understanding
 457 the J/ψ production mechanism should also contribute for a better determination of the gluon distribution
 458 in the pion.

459 The extraction of $g_\pi(x)$ from the data still relies on a good understanding of the J/ψ production mecha-
 460 nism. Two basic models are used to describe the J/ψ production. The simpler Color Evaporation Model
 461 (CEM), has enjoyed considerable success in the past, as it has been shown[51] to successfully describe
 462 cross sections and momentum distributions. It treats identically quarkonium and open-charm production,
 463 the former one being restricted to invariant masses below the $D\bar{D}$ production threshold. The more recent
 464 and more rigorous Non-Relativistic QCD model[52] (NRQCD) explicitly uses color and spin to calcu-
 465 late the various charmonium states. It separates (using factorization) the short-distance perturbative and
 466 the long-distance non-perturbative effects. The non-perturbative factors in NRQCD are treated as matrix
 elements that are calculated or determined from the experimental data.

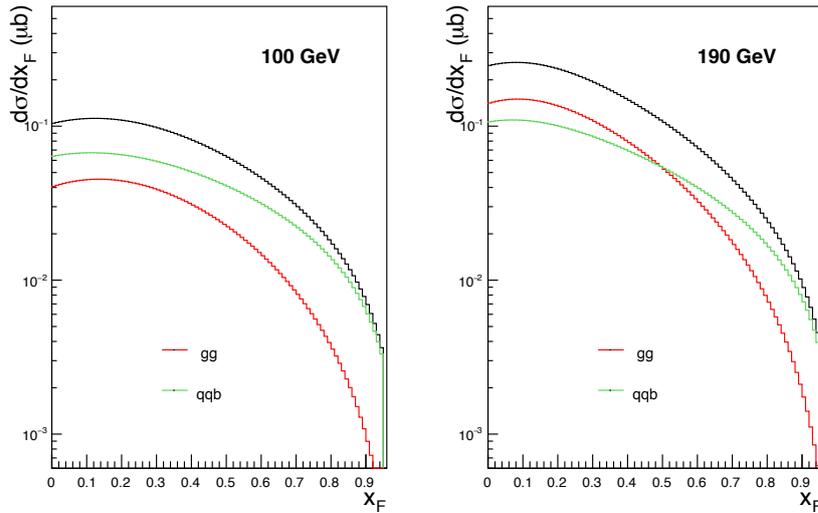


Figure 8: Cross sections for the pion-induced J/ψ x_F distribution at 100 GeV (left) and 190 GeV (right) in the CEM for a ^{12}C target. The red, green and black curves show the gg , $q\bar{q}$, and total cross sections, respectively.

467

468 In both models the cross section is a sum of two main contributions: $q\bar{q}$ annihilation and gg fusion.
 469 A detailed study of their x_F dependence[53] shows that, in spite of some quantitative differences, both
 470 models qualitatively agree: the gg term dominates at low x_F , whereas the $q\bar{q}$ term becomes important
 471 at large x_F . In addition, the relative gg fraction decreases when lowering the incident pion energy. This
 472 is illustrated in Fig.8, for the CEM at LO of QCD for two energies: 100 GeV and 190 GeV. For both
 473 energies the same scaling factor of 0.389, as fitted to the J/ψ production data[48] on a Pt target, is used.
 474 At 100 GeV the $q\bar{q}$ contribution dominates the cross section over the entire range of x_F , whereas at 190
 475 GeV it is larger for $x_F > 0.5$ only.

476 The different relative fractions of gg and $q\bar{q}$ as a function of the energy can be further constrained by

477 comparing J/ψ production data at different energies. The proposed DY data should be taken at 190
 478 GeV. Additional pion data could be collected at lower incident energies either in a dedicated run, or
 479 simultaneously with the kaon structure studies using the RF-separated beams. The data from two energies
 480 could then be combined for a separate extraction of the the gg and $q\bar{q}$ x_F dcontributions.

481 Such study could allow for a further constrains on the available J/ψ production models at low centre-
 482 of-mass energies. A good understanding of the production mechanism is a mandatory condition for a
 483 reliable extraction of the pion quark and gluon densities.

484 4.1.4 Nuclear Dependence Studies: Flavour-dependent valence quark

485 The distributions of partons in a bound nucleon differ from those in a free nucleon. More than thirty years
 486 ago, a measurement made by the European Muon Collaboration (EMC) showed [54] that medium mod-
 487 ifications can play a significant role for nuclear observables. Since then, an impressive amount of deep-
 488 inelastic scattering (DIS) data taken in several laboratories around the world has been accumulated [55].
 489 One of the main findings of these studies is that quarks play an important role in the determination of
 490 the properties of nuclei. On the theoretical side, many models have been proposed, but a satisfactory
 491 explanation of the EMC effect is still missing [56]. The situation has recently become more perplexing,
 492 after a JLab experiment on light nuclei[57] provided evidence that the nuclear dependence is not always
 493 a function of the atomic number or the mean nuclear density.

494 DIS experiments are only sensitive to the charge-weighted sum of the quark and antiquark distributions.
 495 However, nuclear effects could be different for up and down quarks. Here Drell-Yan experiments can play
 496 a major role, as with different pion beam charges one or the other valence distributions are preferentially
 497 accessed.

498 The possibility of flavor dependent quark modifications in nuclei was raised by several authors, namely
 499 recently by [58]. The inclusion of pion-induced Drell-Yan data, together with the independent constraints
 500 on up and down quarks may have strong impact in the nuclear PDF global fits. This is illustrated in
 501 figure 9, where the results for the valence distribution modification on tungsten found by the nCTEQ15
 502 group releases such quark flavor constraints, but includes no data effectively constraining it. Thus the
 503 over-estimated green error bar. On the contrary, the EPS09 extraction shown by the blue band in the
 504 figure, that imposes same nuclear modifications for up and down quarks, underestimates severely the
 505 error bars. The potential impact of pion induced Drell-Yan data becomes evident.

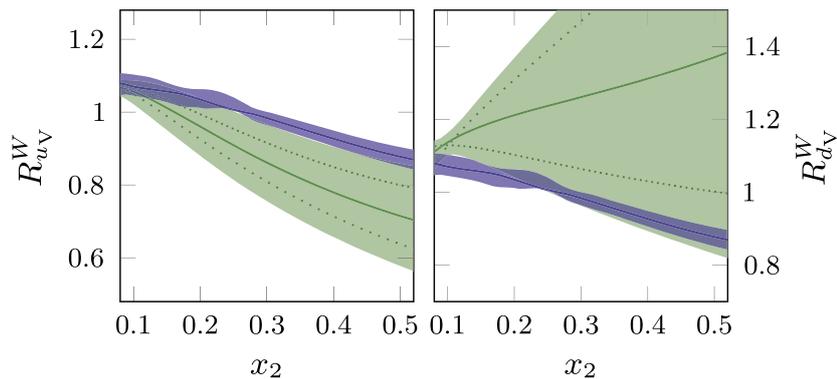


Figure 9: From Paakinen et al. [58]

506 A new measurement would aim at a precise pion-induced DY measurement in order to evaluate the EMC
 507 effect on the valence quarks. A comparison between the Drell-Yan data collected with both positive and
 508 negative pion beams should allow for a flavour-dependent study of the nuclear effects.

509 A recent calculation[59], based on the Nambu-Jona-Lasinio (NJL) model, was used to evaluate the nu-

510 clear quark distributions inside a large-A nucleus. A remarkable success of the NJL model is that it
511 accounts [59] for a large fraction of the so-called NuTeV anomaly of the weak mixing angle. An im-
512 portant feature of this calculation is that for nuclei with $N > Z$, the isovector mean field affects differently
513 the light quarks, leading to the prediction of different nuclear modifications for u and d quarks. This is
514 shown in Figure ?? . [Explanation for the figure]

515 Using the CBT model, Dutta et al. [60] have explored the sensitivity of a future Drell-Yan experiment
516 to the flavour-dependent EMC effect. The data available from the NA10 experiment seem to be in
517 agreement with the flavour-dependent PDFs, although a better accuracy is necessary to confirm the effect.
518 This is illustrated in Fig. 10-top, where on the right-hand side the expected accuracy of the proposed
519 measurement is also shown.

520 The same experimental conditions as described in 4.1.2 are considered. Pythia simulations at leading
521 order with a K-factor of $K=2$ are performed for a proton and a neutron target separately. Then the results
522 are combined accordingly to the nuclear composition of the physical targets. The projected statistical
523 uncertainties on the Drell-Yan cross-section ratio for a positive π^+ beam to a π^- beam on tungsten are
524 represented in Fig. 10-middle. The results are compared to the previous measurement performed by E615
525 and to a leading order calculation using two recent nuclear PDFs. Figure 10-bottom represents another
526 observable introduced by [61], where the sensitivity to the nuclear valence asymmetry is enhanced, as
527 it can be inferred from the larger error bands. This new observable makes full usage of the statistics
528 collected by the proposed experiment.

529 In parallel to the Drell-Yan events, the proposed new measurement will also lead to the collection of
530 large statistics of J/ψ events. The comparison of pion-induced J/ψ production for a heavy target to an
531 isoscalar target could therefore be used to attempt an access to the nuclear gluon distribution, assuming
532 that a separation of the $q\bar{q}$ and gg fusion processes can be performed.

533 **4.1.5 Drell-Yan and J/ψ angular distributions**

534 In parallel to the main measurements, aiming at the pion structure characterization, the study of Drell-
535 Yan and J/ψ angular distributions with both polarities pion beam will be performed. The use of a light
536 isoscalar target, like deuterium or carbon, will provide results complementary to those obtained by COM-
537 PASS with an ammonia target.

538 **4.1.6 Run plan: physics goals and required beam time**

539 CERN is presently the only place in the world where high-energy and high-intensity hadron beams
540 of both polarities are available. The beam intensity in the target region is presently limited by radio-
541 protection constraints. An improved radiation shielding in the target region would allow for wider open-
542 ing of the beam line collimators, thus increasing the beam intensity of the proposed measurements. For
543 conservative reasons, the estimates presented above do not take into account these possible improvements
544 yet. Once implemented these modifications would reduce the two years of data-taking to one year.

545 The present COMPASS apparatus efficiently complements the uniqueness of the CERN M2 beam line.
546 Built around two large spectrometers, the setup has a large geometrical acceptance, of nearly 40% .
547 This acceptance compares extremely favourably to the acceptances of previous Drell-Yan experiments,
548 usually limited to less than 10%. In addition, the azimuthal acceptance is quite uniform.

549 Presently, the Drell-Yan data analysis concentrates on the high mass region, $4.3 \text{ GeV} < M_{\mu^+\mu^-} < 8.5 \text{ GeV}$,
550 avoiding the backgrounds from dimuon decays of heavy vector mesons and, at low mass, also avoiding
551 combinatorial backgrounds. However, new analysis tools based on machine learning techniques are
552 being developed. They are being tested in the COMPASS Drell-Yan data. It is expected that this will

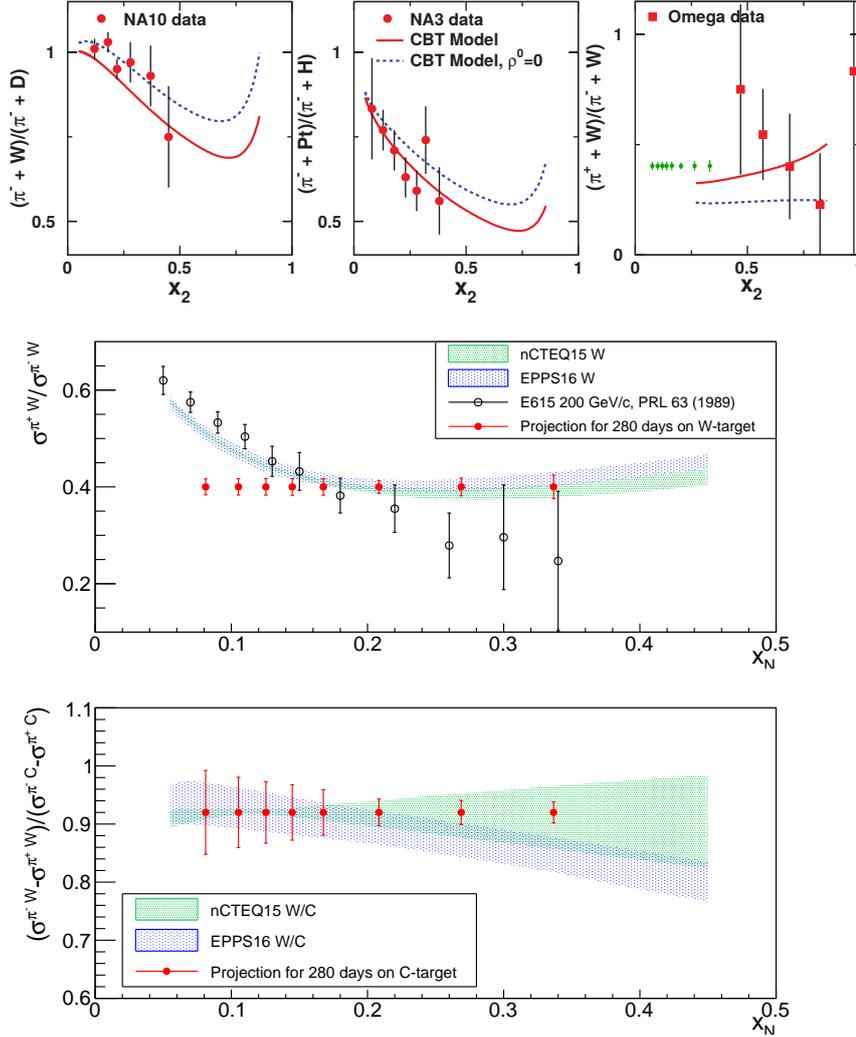


Figure 10: Top row: From Dutta et al. CBT model compared to NA10, NA3 and Omega ratios, the projected statistical uncertainties from the proposed experiment are also shown in green together with Omega data. Middle row: Ratio of Drell-Yan cross-section induced by positive pion beam to that by negative pion beam *vs* x_N . The expected statistical uncertainties from the proposed experiment are compared to E615 results and two sets of nuclear PDFs. Bottom row: Ratio of Drell-Yan cross-section beam charge difference in Tungsten target to that in Carbone target *vs* x_N . The expected accuracy of the proposed experiment is shown together with two set of nuclear PDFs.

553 increase the available Drell-Yan statistics by a very large factor in the future Drell-Yan running, as shown
 554 in table 1.

555 In addition to novel machine learning techniques for background rejection in the Drell-Yan data anal-
 556 ysis, the proposed physics program depends on the development of new beam instrumentation: high-
 557 performance particle ID for pion identification in the incoming hadron beam and advanced vertex detec-
 558 tors to improve dimuon resolutions.

559 In order to maximise the data taking efficiency and precision, we would need to:

- 560 – improve the read-out of the two CEDARs (beam PID efficiency $>90\%$, and high purity)
- 561 – foresee a dedicated detector for precise luminosity measurement (precision in the order of 3%)
- 562 – install beam trackers to achieve a precise beam reconstruction

- 563 – build a dedicated vertex detection system for improved vertex resolution
- 564 – design a high purity and efficiency dimuon trigger, with target pointing capability

565 Once these instrumentation upgrades will be in place it is proposed to run for 2 equivalent years with
566 both negative and positive hadron beams (sharing 10:1, as explained previously), using a target setup
567 that includes from upstream to downstream (1) a segmented carbon target (2) a tungsten small target
568 (3) the tungsten beam plug, whose first centimeters are also used as target. New vertex detectors and
569 beam counters will be placed downstream of each sub-target. The requirement of good statistics with a
570 positive pion beam puts an additional constraint to the choice of incident beam momentum. For nominal
571 momentum of 190 GeV/c, the fraction of the positive pions in the beam is 24%. The fraction of pions
572 could be further increased by installing a passive polyethylene absorber along the beam path. Due to
573 the different interaction lengths, the protons in the beam are more absorbed than the pions. With a 2 m
574 long absorber, the NA3 experiment could reach a π^+ fraction of 36% at 200 GeV/c. For an incident
575 momentum of 190 GeV/c this translates into a pion fraction of about 40%. If necessary from counting
576 rate considerations, this fraction could be further increased (by up to 50% more) by choosing a slightly
577 lower incident momentum, of 160 GeV/c.

578 The alternatives to use the COMPASS polarised ${}^6\text{LiD}$ target or an unpolarised liquid deuterium target,
579 were also studied, but were shown to lead to too low statistics. The unpolarized target choice makes the
580 physics scope of the measurement more limited though, restricting it to the separate access to valence
581 and sea distributions of the pion.

582 In all the proposed measurements a good separation between pions and kaons is mandatory in what
583 concerns negative hadron beams. In the case of the positive hadron beam, the challenge to identify the
584 24% pions out of the most abundant protons is even more pressing. An excellent beam particle tagging
585 system, with an efficiency at the level of 90% or higher, is mandatory for the success of the program.
586 This may be achieved by the ongoing upgrade of the present CEDARs (differential Cherenkov counters)
587 used in the COMPASS experiment, or by means of new threshold Cherenkov detectors, as done by NA3,
588 for example.

589 Assuming a 10:1 share for Drell-Yan between the positive and negative beams respectively, the Drell-
590 Yan events that could be collected in two "years" (two times 140 effective physics data-taking days),
591 represent a statistical accuracy better by an order of magnitude than that of NA3.

592 **Worldwide competition**

593 High-energy pion beams are exclusively available at CERN. Secondary meson beam lines are also under
594 construction at the J-PARC facility in Japan. However, the energy planned, of up to 15 GeV, remains too
595 low for extensive pion structure studies.

596 The only alternative way of accessing either the form factors or distribution functions of the pion relies
597 on the validity of the pion-cloud model. Investigation of the pion structure through leading neutron
598 DIS electro-production were performed[39] at HERA. While these experiments cover the x region below
599 $x = 0.01$, the resulting extraction of the amount of pion sea suffers from large model uncertainties, mainly
600 coming from the unknown normalisation of the pion flux. An experiment at JLab[62] proposes to make
601 similar measurements in the large x region and to normalise the pion flux to the available Drell-Yan data.

602 In what concerns the J/ψ studies, there are currently no other laboratories where pion-induced charmo-
603 nium production can be investigated.

604 An exploration of the nuclear sea quark distribution has just been completed by the SeaQuest experi-
605 ment [63] at Fermilab. Using the proton-induced Drell-Yan process at incident momentum of 120 GeV/c,
606 this experiment probes the antiquark distributions in nuclei. If combined with future Drell-Yan data for
607 the valence quark, as detailed in this proposal, the two experiments are complementary to each other.

608 The JLab EMC PVDIS experiment [64] proposes to investigate possible flavour-dependent nuclear medium
 609 modification effects using parity-violating deep inelastic scattering on a ^{48}Ca target, as suggested in
 610 Ref. [65].

611 4.2 Spectroscopy with Low-Energy Antiprotons

612 4.2.1 Physics Case

613 Although conceptually rather simple, the strong interaction between quarks and gluons is still far from
 614 being understood. At distance scales much smaller than the size of a nucleon, perturbative methods are
 615 routinely being used to make precision calculations of strong interaction effects. The perturbative ap-
 616 proach, however, fails dramatically at distances approaching the nucleon size, when the coupling constant
 617 α_s is of order unity and where pions and other light hadrons become the relevant degrees of freedom.
 618 The spectroscopy of hadrons is a powerful tool towards a better understanding of the strong interaction
 619 between quarks and gluons in this regime.

620 The observation of many charmonium- and bottomonium-like X , Y , Z states which do not match the
 621 scheme expected from model calculations, has triggered a tremendous interest in this exciting field of
 622 physics in recent years (see e.g. [66] for a recent review). COMPASS has observed a similar resonance-
 623 like signal in the light-quark sector, the $a_1(1420)$ [67]. Figure 11 summarizes the current status of the
 624 charmonium-like spectrum [66]. All states indicated by blue and magenta horizontal lines are candidates
 625 for states beyond the $q\bar{q}$ configuration of mesons, which have been sought-after for many years.

626 QCD allows for and predicts full multiplets of such states, in contrast to very few (or even none) which
 627 have been unambiguously established experimentally. Recently, Lattice Gauge Theory started to make
 628 predictions for non-exotic and exotic charmonium-like states, albeit with an unphysical pion mass and
 629 still ignoring decays. Nevertheless, such calculations are useful as a guidance towards a future under-
 630 standing of the spectrum. Figure 12, e.g., shows the spectrum of hybrid candidates obtained by the
 631 Hadron Spectrum Collaboration for a pion mass of 400 MeV. The pattern of quantum numbers follows
 632 the same structure as in the light-meson sector [68], with a low-lying supermultiplet of hybrid mesons
 633 with quantum numbers 0^{-+} , 1^{--} , 2^{-+} and 1^{-+} , the latter being a spin-exotic multiplet.

634 In recent years, COMPASS has studied the spectrum of light-quark mesons with unprecedented statistical
 635 precision, requiring the development of novel analysis techniques in order to minimize the model bias
 636 when interpreting the data. Using a high-energy pion or proton beam scattering off a liquid hydrogen or
 637 solid nuclear targets, excited states were produced in diffractive reactions which are dominated by the
 638 exchange of a Pomeron in the t -channel (Fig. 13a).

639 Whereas diffractive reactions of beam pions or kaons dominantly produce final states containing light
 640 quarks, experiments employing the annihilation of antiprotons of comparatively low energy between
 641 12 GeV and 20 GeV provide a different and complementary access to excited states of mesons and
 642 baryons, covering not only the light and strange quark sector, but also the charmonium and possi-
 643 bly the bottomonium region. In the past, a wealth of data was collected by experiments employing
 644 antiproton-proton annihilation, e.g. Crystal Barrel at LEAR [70] and experiments E760 and E835 at Fer-
 645 milab [71, 72]. The PANDA experiment at FAIR will use a dedicated antiproton storage ring to study,
 646 among other physics topics, the spectrum of mesons in the charmonium region [73].

647 Antiproton annihilation (13b) can proceed either in flight or at rest. For annihilation in flight, also high-
 648 spin states can be populated (up to $L \sim 15$ at $\sqrt{s} \sim 6$ GeV). New states are generated either resonantly in
 649 s -channel formation or in associated production together with a recoiling particle. The quantum numbers
 650 of the multi-meson system are restricted only by conservation laws of the reaction. The final state will
 651 contain contributions from all possible intermediate states with different quantum numbers. A partial
 652 wave analysis is usually required to disentangle the different contributions. The formation of states pro-
 653 vides access to all states with non-exotic quantum numbers, in contrast to e.g. e^+e^- annihilation, where

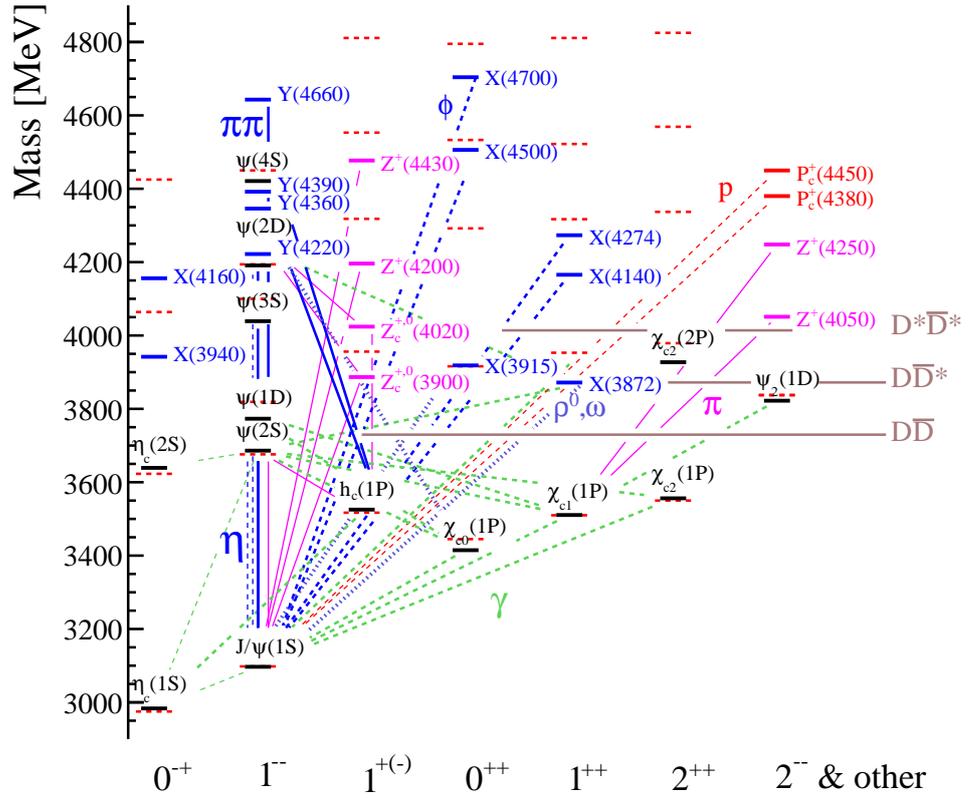


Figure 11: Current status of the charmonium-like spectrum [66]. Horizontal lines indicate (red) expected states, (black) experimentally established states, (brown) open flavor thresholds, (blue, magenta) candidates for charmonium-like states. The lines connecting the states denote known transitions.

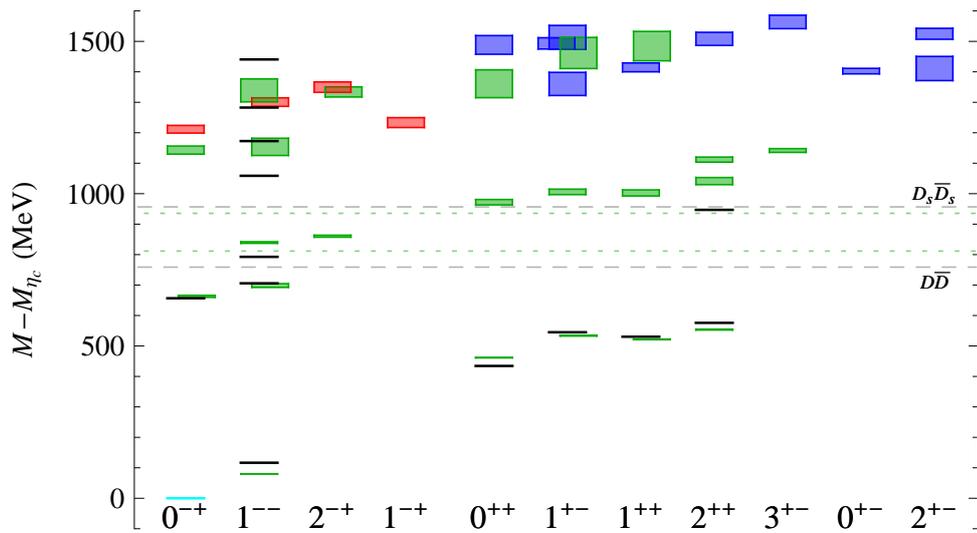


Figure 12: Lattice QCD spectrum for charmonium hybrid candidates [69]. Red (dark blue) boxes are states suggested to be members of the lightest (first excited) hybrid supermultiplet.



Figure 13: Production mechanisms of mesons. (a) Diffractive production in peripheral scattering of high-energy hadrons off a proton or nuclear target. (b) Proton-Antiproton annihilation of a low-energy antiproton beam on a proton target. X can be a $q\bar{q}$ state, a hybrid with gluonic degrees of freedom or a glueball without valence quark content.

654 only states with quantum numbers 1^{--} are directly formed. Especially the production in association with
 655 a recoil particle in addition allows states with spin-exotic exotic quantum numbers to be produced. This
 656 is the mode with the highest discovery potential for new states, including states with explicit gluonic
 657 degrees of freedom, i.e. hybrids or glueballs in the charmonium sector. Consequently, this is where an
 658 experiment at the M2 beamline of the SPS can make important contributions, long before the start of
 659 PANDA, which is currently envisaged for 2025, albeit still with large uncertainties and at low luminosity
 660 at best.

661 The M2 beam line can provide, with minimal modifications compared to the present setup, a rather
 662 clean beam of antiprotons with momenta around 12 to 20 GeV. According to preliminary calculations,
 663 the intensity of antiprotons at the target is between $1.1 \cdot 10^7$ and $1.8 \cdot 10^7$ per pulse of 10^{13} protons on
 664 the production target at momenta of 12 and 20 GeV, respectively, and is limited by radiation protection
 665 issues. Employing a 40 cm long liquid hydrogen target, as for the measurements with a pion beam, a
 666 luminosity of the order of $10^{30} \text{ cm}^{-2} \text{ s}^{-1}$ can be achieved.

667 This opens the possibility to use antiproton annihilations as a tool to study the spectrum of quarkonia and
 668 possibly exotic states. According to model and lattice calculations, the lightest charmonium hybrid is pre-
 669 dicted at a mass around 4.3 GeV with spin-exotic quantum numbers. Also the lowest-mass glueball with
 670 spin-exotic quantum numbers, predicted at a mass between 4 and 5 GeV is within the kinematic reach
 671 of this experiment. A production survey of these states could thus be performed at the SPS of CERN,
 672 including the production of high-spin states. Other possible measurements include the measurements of
 673 $\bar{p}p$ production cross sections for X, Y, Z states. The production cross sections of exotic charmonia are
 674 largely unknown and one of the major uncertainties for the simulation of signal-to-background ratios
 675 in PANDA. Thus, besides improving our general understanding of the production mechanisms, a bet-
 676 ter knowledge of these quantities would pave the way for PANDA to strengthen and focus its physics
 677 perspectives on precision studies.

678 The setup for these measurements will make use of the existing forward spectrometer, augmented by a
 679 powerful target spectrometer to maximize the acceptance for exclusive measurements of multi-particle
 680 final states. We are currently investigating several options in this direction, including e.g. the use of parts
 681 of the WASA spectrometer.

682 4.2.2 Beam Line

683 Starting from the current layout of the M2 beam line, a study in the framework of the Physics Beyond
 684 Colliders Initiative has been launched by EN-EA in order to check principal limitations and feasibility
 685 of low-energy antiproton beams.

686
 687 In a first step, the production of antiprotons at several desired energies has been estimated with the
 688 help of the so-called Atherton parametrisation [74], based on production measurements on Beryllium

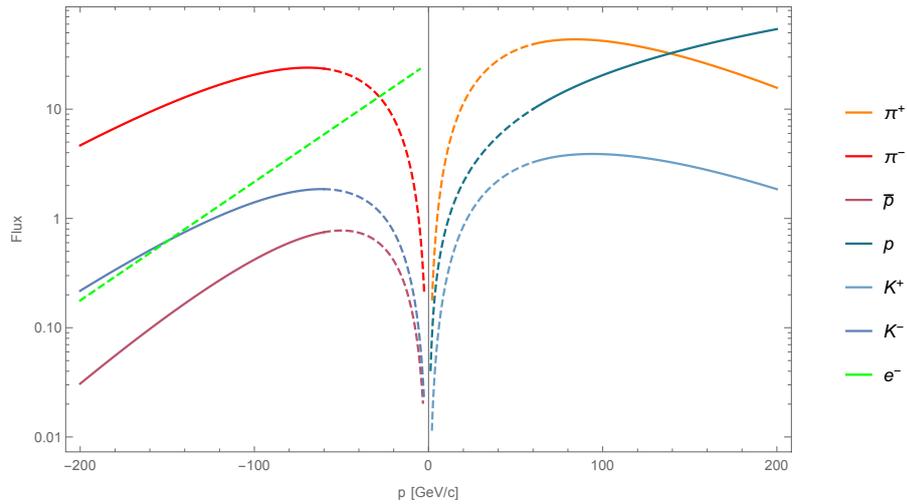


Figure 14: Atherton parametrisation for production of different particle species given in flux per solid angle [steradian], per interacting proton, and per dp [GeV/c] as a function of secondary momenta for a 0 mrad production angle [74].

689 targets in the North Area. In Fig. 14, the flux of secondary particles at 0 mrad production angle is plotted
 690 versus the secondary momentum. For the two study cases at 12 (20) GeV/c, the flux is about 0.41 (0.20)
 691 antiprotons per interacting proton per steradian per GeV/c momentum bite. This corresponds to about
 692 4.4% to 4.8% of the total negative hadron flux. Based on the experience of operating the West Area in
 693 the 1990s, the main background contribution of the beam has been identified as electrons. As depicted
 694 in Fig. 14, the electrons at lower energies have a contribution of over 90% to the total flux. Hence, a
 695 suppression of the electron background has to be included, most probably by the insertion of a thin lead
 696 sheet at a focal position in the beam optics in order to keep the contribution by multiple scattering to the
 697 beam divergence at the CEDAR counters low.

698 Given a 99% suppression of electrons and including the decay of hadrons along the M2 line, this would
 699 result in a fraction of 18.2% (11.3%) of antiprotons at the Compass target location for 12 (20) GeV/c
 700 beams. With a typical solid angle of $\pi 10^{-5}$, a target efficiency of 40% for the 500 mm T6 target head, a
 701 flux of 10^{13} protons on T6, and assuming a 2% momentum bite for new low-energy optics, the resulting
 702 antiproton flux would be 10^8 ($5 \cdot 10^7$) for 12 (20) GeV/c beams. As the intensity in EHN2 is limited by
 703 radiation protection to about 10^8 particles per 4.8 s spill, the total antiproton flux thus is limited by the
 704 purity of the beam. Hence an upper limit of the antiproton flux at the Compass target is estimated to be
 705 $1.8(1.1) \cdot 10^7$ antiprotons per spill.

706 For an efficient transport of low-energy antiprotons, several optimisations of the M2 beam line could be
 707 envisaged. Besides a study of dedicated low-energy optics, a completion of the vacuum in the line would
 708 be highly desirable. So far, the M2 beam line is optimised for muon transport, which means several
 709 elements specific to muon beams were not designed for operation in vacuum, such as the magnetic col-
 710 limators (“scrapers”), collimator 5, and 9.9 m of Beryllium absorbers inside bend 4. As a consequence,
 711 a total of about 80 m of beam line remain without vacuum. Depending on the operation conditions, two
 712 solutions would be preferred. For a full year of operation without muon beams, the above mentioned
 713 elements could be removed from the beam line and/or be exchanged by standard magnets and absorbers,
 714 which are compatible with the vacuum requirements. In this case, the removal of scrapers will have the
 715 consequence of a large muon component in the beam in the order of 3-5 % and a increased muon halo
 716 due to the M2 geometry. In case this background cannot be tolerated or an intermediate operation of
 717 muon beams is envisaged, another solution could be a fitting of vacuum tanks inside the scrapers. In ad-

dition to the optics change and vacuum optimisation, the CEDAR counters would have to be exchanged for so-called West Area CEDARs that are optimised for beams below 100 GeV/c. In this configuration, other optical elements and another gas (N₂) are used.

4.2.3 Measurements

Using an antiproton beam with a momentum between 12 GeV/c and 20 GeV/c, we plan to perform spectroscopy of heavy-quark mesons by measuring exclusive reactions into multi-particle final states. With the available centre-of-mass energies at the M2 beam line, we cover the full range of charmonium-like states up to masses of ~ 6 GeV. In principle, higher beam momenta would allow us even to touch the bottomonium region, although then the intensity of antiprotons will be smaller.

With a production survey at fixed antiproton beam momentum, we plan to study high-spin charmonia and charmonium-like states as well as exotics like hybrids and glueballs. Of particular interest at present is the study of the Z_c multiplets (charged and neutral), which until now have only been observed in e^+e^- reactions.

The cross sections for the production of charmonium-like states in antiproton annihilations are largely unknown. Experimental results on inclusive J/ψ production in $p\bar{p}$ annihilation have been obtained e.g. at CERN SPS at a \bar{p} momentum of 39.5 GeV/c and a cross section of (12 ± 5) nb has been extracted [?]. Theoretical estimates range from 0.1 nb to 10 nb (see e.g. an estimate of $p\bar{p} \rightarrow \pi^0 J/\psi$ in [75]). It is thus important to measure these cross sections, firstly in order to test production models and secondly to provide input for simulations of the physics performance which can be achieved with future precision experiments like PANDA.

Based on the luminosity estimated above and using the inclusive J/ψ cross section of 12 nb [?], we will produce of the order of 120,000 inclusive J/ψ per year of running, corresponding to $\sim 7,000 J/\psi$ decaying to $\mu^+\mu^-$. This number may be increased by a factor of 5 by including e.g. the e^+e^- decay channel and by increasing the target length to 100 cm.

4.2.4 Experimental Requirements

Since the beam at the M2 beamline of the SPS will contain not only antiprotons, but also pions and electrons, it is important that each incoming beam particle is identified and tagged by CEDAR Cherenkov detectors. Since we need to push the intensity to the limit allowed by radioprotection, these detectors have to work efficiently at intensities of 10^8 particles per spill.

As target we envisage a 40 – 100 cm long cylinder containing liquid hydrogen, similar to what was used for the pion beam measurements at COMPASS in the years 2008-2012. In addition, the use of foils or wires could be envisaged as nuclear targets.

In order to study the required energy and angular acceptance, we performed phase-space simulations of the reactions

1. $p\bar{p} \rightarrow \pi^- Z_c^+(4430)$, with $Z_c^+ \rightarrow \pi^+ J/\psi$,
2. $p\bar{p} \rightarrow \pi^0 Z_c^0(4430)$, with $Z_c^0 \rightarrow \pi^0 J/\psi$,
3. $p\bar{p} \rightarrow \eta h(4300)$, with $h \rightarrow \pi^0 \pi^0 J/\psi$ (fictitious $c\bar{c}$ hybrid at 4.3 GeV) and $\eta \rightarrow \gamma\gamma$,

all with $J/\psi \rightarrow \mu^+\mu^-$, at an antiproton beam momentum of 12 GeV/c. Figure 15 shows the distributions of momenta or energies of charged pions, muons, and photons versus polar angle from the production of Z_c (reactions 1 and 2) in the laboratory frame.

The corresponding phase-space distributions in the laboratory frame for reaction 3 are shown in Fig. 16.

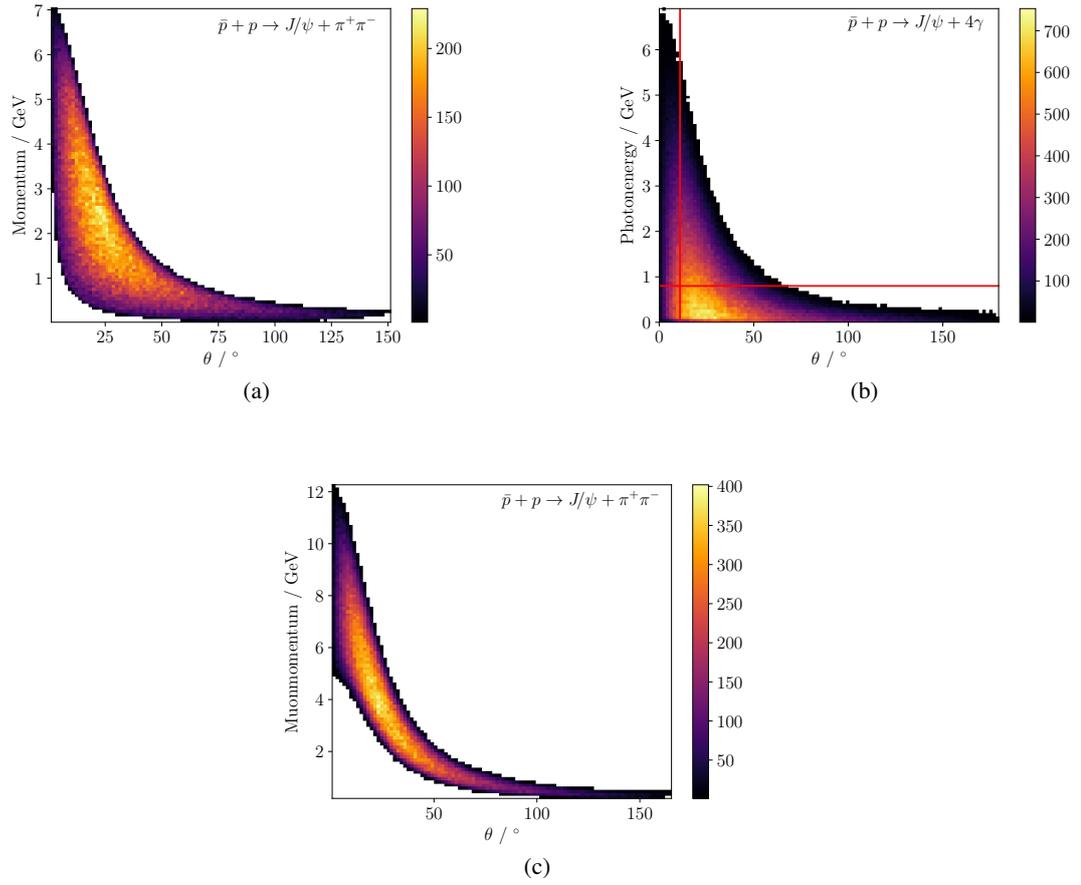


Figure 15: Kinematic distributions from phase-space simulations of $\bar{p}p$ annihilation at an antiproton beam momentum of $12 \text{ GeV}/c$. (a) Charged-pion momentum vs polar angle from the reaction $p\bar{p} \rightarrow \pi^- Z_c^+(4430)$, with $Z_c^+ \rightarrow \pi^+ J/\psi$, (b) Photon energy vs polar angle from the reaction $p\bar{p} \rightarrow \pi^0 Z_c^0(4430)$, with $Z_c^0 \rightarrow \pi^0 J/\psi$ and (c) muon momentum vs polar angle from the decay of J/ψ .

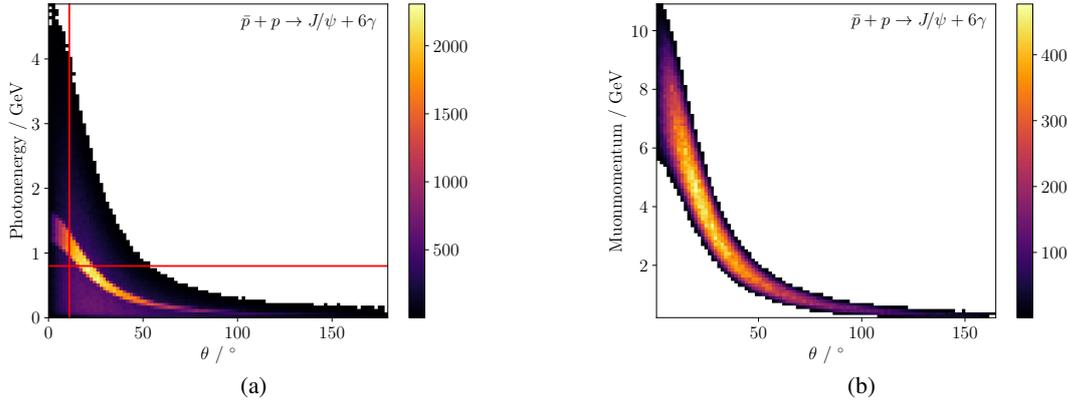


Figure 16: Kinematic distributions from phase-space simulations of $\bar{p}p$ annihilation at an antiproton beam momentum of $12\text{ GeV}/c$. (a) Photon energy vs polar angle from the reaction $p\bar{p} \rightarrow \eta h(4300)$, with $h(4300) \rightarrow \pi^0\pi^0 J/\psi$ and $\eta \rightarrow \gamma\gamma$. The red lines indicate the acceptance of the WASA calorimeter discussed in section 6.2.4. (b) Muon momenta vs polar angle from the decay of J/ψ .

759 Because of the reduced beam energy compared to the earlier measurements performed by COMPASS,
 760 which used beam energies above 100 GeV with a correspondingly larger boost of final-state particles in
 761 forward direction, it is clear that in order to perform exclusive measurements, an additional coverage with
 762 charged-particle tracking and calorimetry surrounding the target is needed. In particular, the detection of
 763 photons from the decay of π^0 and η will be of importance for the reduction of combinatorial background
 764 and thus the identification of states.

765 A trigger should include dimuon and possibly dielectron production from the decay of J/ψ . While muon
 766 identification requires dedicated muon chambers, electron identification could be achieved by a transition
 767 radiation tracker.

768 The considerations about the experimental setup are detailed in Sec. 6.2.4.

769 4.3 Measurement of antimatter production cross sections for Dark Matter Search

770 4.3.1 Physics Case

771 Multiple and concurring evidences indicate that the vast majority of the matter content of the universe
 772 is non barionic and electrically neutral. This constituent of the universe is usually called Dark Matter
 773 (DM), for its lack of electromagnetic interactions.

774 The DM surrounds the galaxies and the universe large structures, being the major constituent of the
 775 gravitational fabric of the universe. The Dark Matter origin and the nature is one of the most intriguing
 776 puzzle still unresolved, the most appealing hypothesis is that it would consist of weakly interacting
 777 massive particles (WIMPs), supposed to be cold thermal relics of the Big-Bang.

778 The indirect detection of DM is based on the search of the products of DM annihilation or decay. They
 779 should appear as distortions in the gamma rays spectra or as anomalies in the rare Cosmic Ray compo-
 780 nents. In particular cosmic rays antimatter components, like antiprotons, antideuterons and positrons,
 781 promise to provide sensitivity to DM annihilation on the top of the standard astrophysical production.

$$\chi + \chi \rightarrow q\bar{q}, W^-W^+, \dots \rightarrow \bar{p}, \bar{D}, e^+, \gamma, \nu$$

782 The search for DM annihilation products motivated the development of new challenging experiments, ei-
 783 ther ground-based or in space, which produced spectacular results; among them, the AMS-02 experiment
 784 on the International Space Station. In the following, we will briefly discuss how the measurements of
 785 antimatter production cross sections, namely antiprotons and antideuterons, performed by experiments
 786 at the accelerators, is crucial for the the DM indirect search.

787 **4.3.1.1 Antiproton production cross section** The dominant part of the antiprotons in our galaxy
 788 originates by the inelastic scattering of incoming Cosmic Rays (CRs) off Interstellar Medium (ISM)
 789 nuclei at rest and represents the background when searching for small contributions from exotic sources.

790 After the breakthrough from the satellite-borne PAMELA detector, the \bar{p} flux and the \bar{p}/p ratio have been
 791 measured with unprecedented accuracy of a few percent by AMS-02 [?] over an energy range from
 792 below 1 GeV up to a few hundreds of GeV, showing that above $\sim 60\text{GeV}$ that ratio is independent of the
 793 energy.

794 The Cosmic Ray generated antiproton (secondary) component is expected to decrease more rapidly than
 795 the primary proton spectrum, however the predictions are affected by several uncertainties. As depicted
 796 in figure 17 [?], we can identify three sources of uncertainty: the primary slopes, the propagation in the
 797 Galaxy [?], and the antiproton production cross section. While AMS-02 measurements will contribute
 798 to reduce the first two, new dedicated measurement must be performed for the latter.

799 To be able to profit of the AMS-02 high precision data, a similar accuracy in the computation of \bar{p} source
 term for all the production channels has to be achieved. Nuclei heavier than protons and helium give a

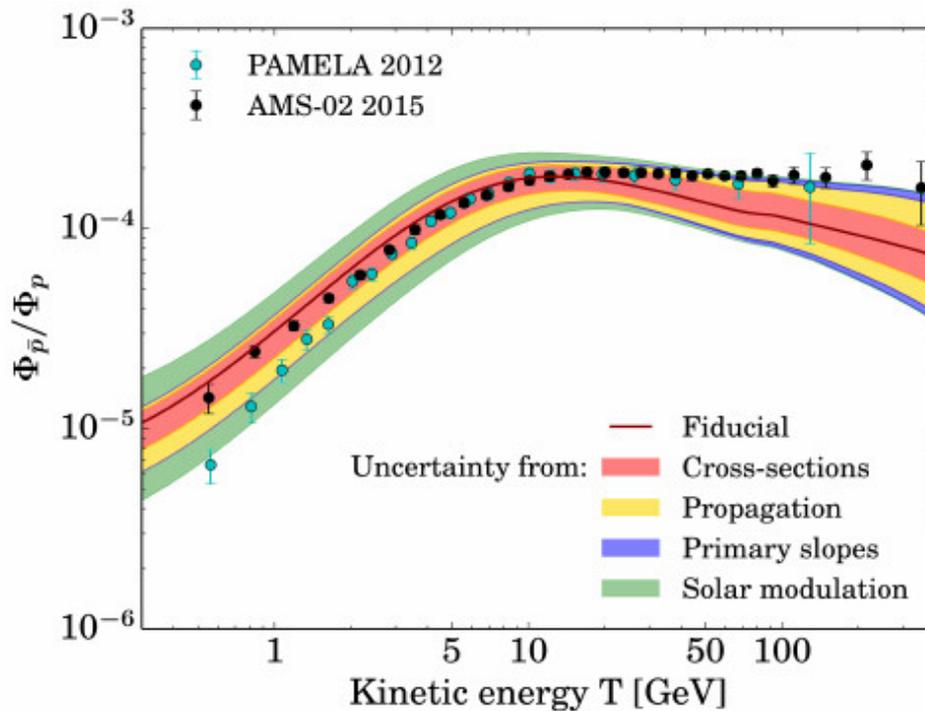


Figure 17: The combined total uncertainty on the predicted secondary \bar{p}/p ratio, superimposed to the PAMELA and the AMS-02 data.

800

801 very small or negligible contribution, thus playing a marginal role, either as projectiles or targets, in the
 802 secondary antiprotons production. The dominant reactions are the ones involving protons and helium
 803 (p-p, p-He, He-p, He-He). Accurate measurements of \bar{p} production cross-section in p-p collisions and
 804 p-He collisions are thus of fundamental importance in a wide energy range from 10 GeV to a few TeV

805 in order to reduce the uncertainty on the secondary \bar{p} production and finally disentangle if there is an
 806 evidence of exotic components coming from DM annihilation or decay in AMS-02.

807 While some experimental datasets on p-p collisions are available, the very first dataset on p-He collision
 808 was collected at the end of 2015 by the LHCb experiment at 4 TeV and 7 TeV. A COMPASS++ fix target
 809 experiment at CERN would contribute to this fundamental DM search, performing a complementary
 810 measurement with proton beam of few hundreds of GeV/c impinging on a liquid He target.

811 **4.3.1.2 Antideuteron production** With respect to the indirect DM search using antiprotons and
 812 positrons, which suffer from relatively high and uncertain standard astrophysical background, search
 813 with low energy antideuterons benefit from strongly suppressed background.

814 The dominant secondary \bar{D} production channel is the one involving p-H collisions, followed by cosmic
 815 proton colliding on IS helium (p-He). The \bar{D} flux from a wide range of DM models exceeds the back-
 816 ground flux by more than two orders of magnitude in the energy range below 0.25 GeV/n, and by more
 817 than an order of magnitude up to 1 GeV/n; thus low energy \bar{D} offer a potential breakthrough in an un-
 818 explored phase space for indirect DM search. And many dark matter models predict antideuteron flux
 819 within the reach of currently operating or planned experiments, like BESS, AMS-02, and GAPS. Nev-
 820 ertheless the largest uncertainties in the flux estimation, both for primary and secondary (background)
 821 \bar{D} are due to the hadronization and coalescence models used to describe antideuteron formation, and to
 822 the propagation models. Understanding antideuteron production is thus one of the crucial point for the
 823 interpretation of the cosmic-ray data, which impacts both the antideuteron background expectation as
 824 well as the formation in the aftermath of dark matter annihilations or decays. The predicted antideuteron
 825 fluxes depend on the only free paramater of the coalescence model, i.e. the coalescence momentum p_0 ,
 826 defined as the radius of the sphere in the momentum space, within which any (anti)nucleons will coalesce
 827 to produce (anti)nucleus. This parameter has to be determined fitting the theoretical model predictions
 828 to the available experimental data on \bar{D} production, collected by ALEPH, CLEO, CERN ISR, ZEUS,
 829 ALICE, BABAR. No a univoque value of p_0 could determined that simultaneously fits all the data. This
 830 uncertainty has quite dramatic implications for the search for cosmic antideuterons, due to the strong
 831 dependence of the antideuteron yield on the coalescence momentum, $N_{\bar{D}} \propto p_0^3$. The antideuteron produc-
 832 tion cross-section is not further discussed in this document in the following sections, but it will be object
 833 of a more detailed feasibility study in a proposal.

834 **4.3.2 Feasibility of the measurement at COMPASS**

835 The production cross section for antiprotons from $p + p$ and $p + He$ collisions is known only with errors
 836 of the order from 20% to 30% depending on the energy.

837 This cross section cannot simply be constrained by measurement on the other products of the interactions,
 838 a direct measurement is then needed. Here we explore the possibility to use a magnetic spectrometer as
 839 the COMPASS detector at CERN to measure the products of the interactions of SPS protons of different
 840 momenta on a target of liquid hydrogen and liquid helium.

841 We simulate $p + p$ and $p + He$ interactions to characterize the features of these events in term of mul-
 842 tiplicity, energy and angular distribution of the produced particles, in particular the antiprotons, and we
 843 study the COMPASS performances for these events topology.

844 Finally we discuss the measurement of the differential antiproton production cross section and the pos-
 845 sible sources of systematic errors.

846 We performed the simulation with two beam/target configurations:

- 847 1. 190 GeV/c protons on liquid H_2

848 2. 190 GeV/c protons on liquid *He*

849 As summarized in table 2, antiproton events represent 7% of the events with an interaction, for 190 GeV/c beam energy. We are interested in understanding the features of these events in terms of the

	p+p	p+He
Beam Mom	190 GeV/c	190 GeV/c
Mult ($Z \neq 0$)	7.7	10.1
\bar{p} ev frac	7.1%	7.7%
$\bar{p} \langle p \rangle$ (GeV/c)	15.3	14.5

Table 2: Simulation: antiproton event fraction with respect the interaction events, and antiproton average energy.

850 number of charged tracks, their forward angle, the number of produced antiprotons and their energy
851 distribution.
852

853 We show some data for $p + p$ events at 190 GeV/c. Figure 18 reports the average particle occurrence,
854 figure 19 reports the distribution of final state multiplicity and charged final state multiplicity and finally
855 figure 20 shows the antiproton energy spectrum.

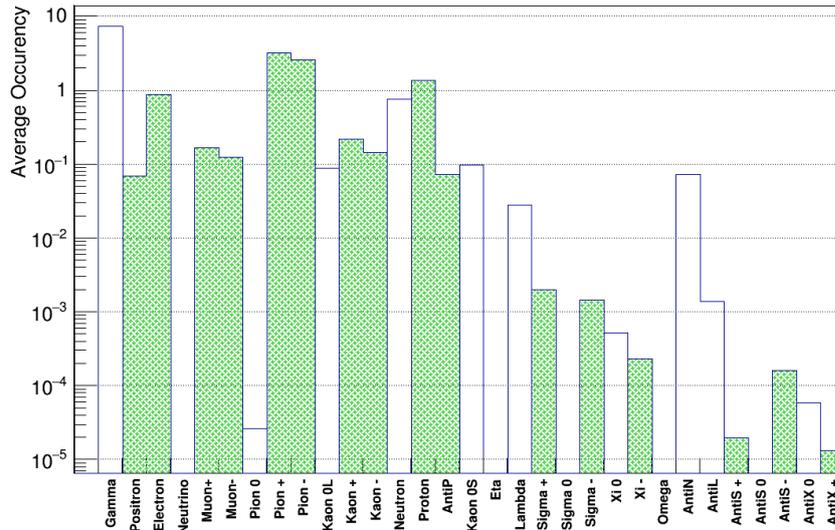


Figure 18: Particle type abundance in $p + p$ 190 GeV/c events.

856 **4.3.2.1 COMPASS performance on measuring interaction events** We studied the COMPASS per-
857 formance in terms of:

- 858 – ability to reconstruct the tracks within its geometric acceptance
- 859 – momentum measurement resolution for each track
- 860 – vertex reconstruction and position resolution
- 861 – particle identification (RICH)

862 The target geometry allows for accepting particles with an angle to the longitudinal COMPASS axis (z)
863 smaller than 180 mrad (~ 10 deg or $\eta > 2.4$), fig 21.

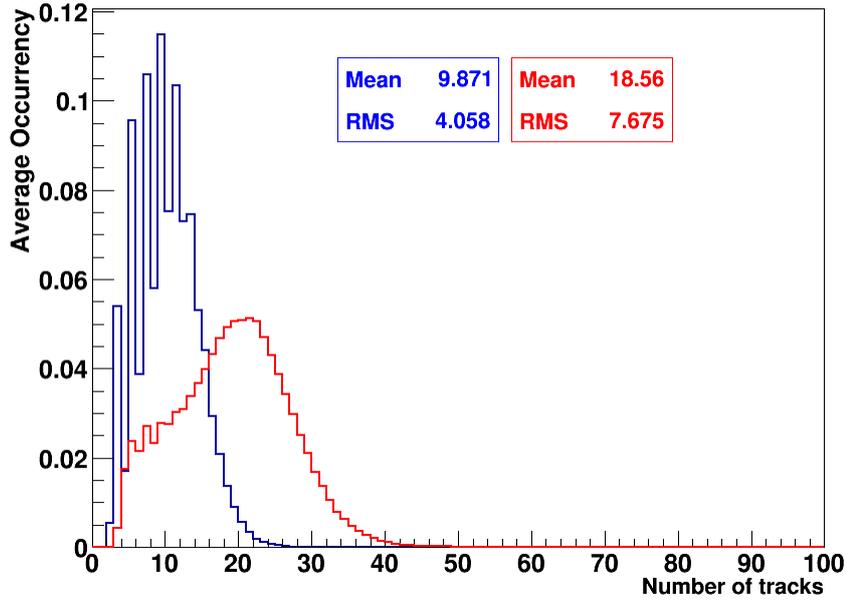


Figure 19: Particle multiplicity in $p + p$ 190 GeV/c events. Blue line all tracks, red line charged tracks.

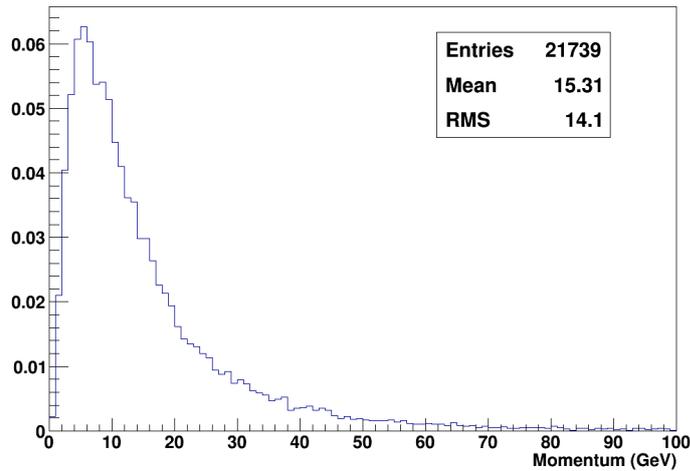
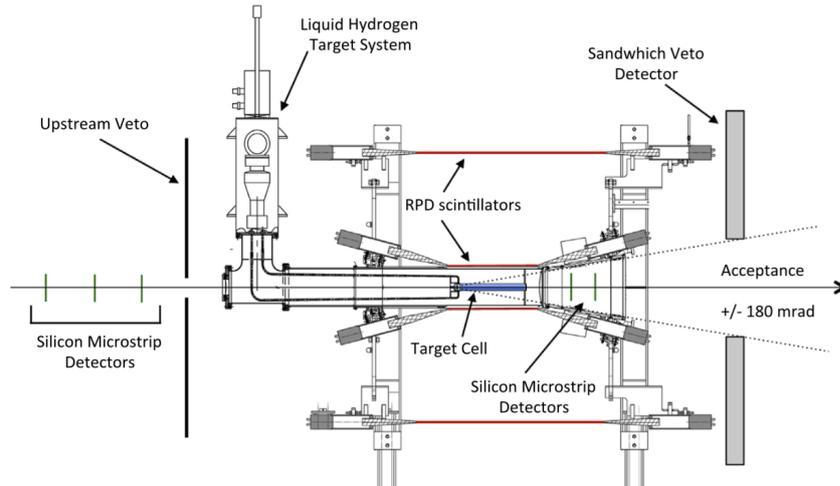
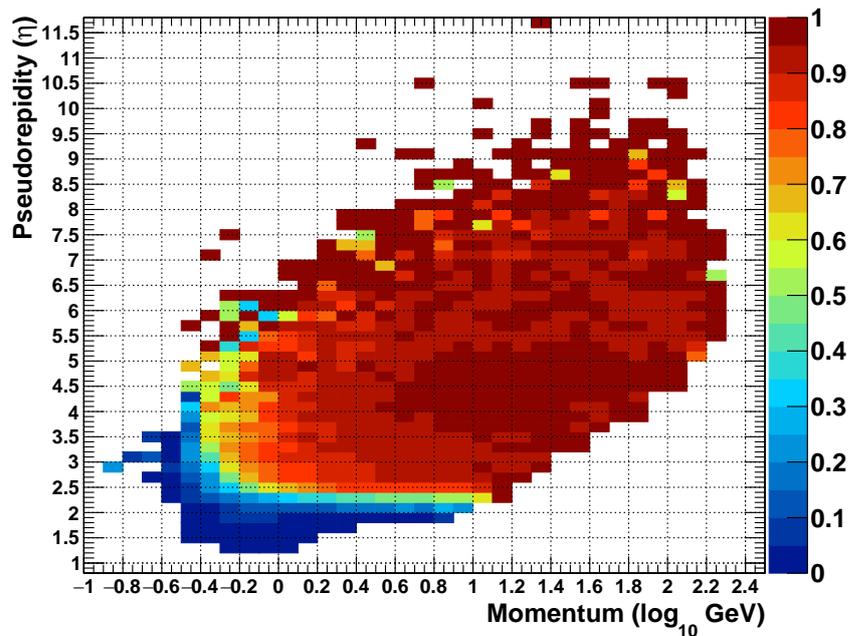


Figure 20: Momentum spectrum of \bar{p} produced in $p + p$ interactions at 190 GeV/c

864 Figure 22 shows the π^- track reconstruction efficiency as a function of momentum and pseudo rapidity.
 865 For momenta above 1 GeV/c and pseudo rapidity above 2.4, the tracking efficiency is greater than 90%
 866 and is mildly dependent on momentum magnitude and direction. Similar efficiency has been observed
 867 for π^+ , p and \bar{p} ; figure 23 shows the momentum dependence of tracking efficiency for these particles.
 868 The smaller \bar{p} statistics make the corresponding efficiency curve affected by large errors. The observed
 869 similarity between the π^+ and π^- efficiencies, suggests the spectrometer behaves equally for positive
 870 and negative tracks, hence it is safe to assume that p and \bar{p} reconstruction efficiencies are the same.

871 The resolution in the momentum magnitude and direction is also very good. When using the large angle
 872 part of the spectrometer (typically $p < 20$ GeV/c) $\sigma_p/p \approx 1\%$, when the small angle spectrometer is
 873 used $\sigma_p/p \approx 0.3\%$. The angular resolution has a typical value of 0.8%, while remaining always better
 874 than 3% in the pseudo rapidity range $2.4 < \eta < 8$.

Figure 21: Longitudinal section of the COMPASS liquid H_2 target.Figure 22: Double differential $(\eta, \log_{10}(p))$ reconstruction efficiency for negative pions from 190 GeV/c p+p interactions.

875 Track association in vertexes is very efficient. Within the spectrometer acceptance ($\eta > 2.4$, $p >$
 876 $1 \text{ GeV}/c$) the ratio of the primary vertex reconstructed track multiplicity to the MC multiplicity is
 877 0.98 ± 0.05 . The vertex position residual in the z direction has a width of $\approx 0.7 \text{ mm}$.

878 In summary COMPASS spectrometer performs very well in reconstructing the event topology and the
 879 track sign and momentum.

880 Signals from the Ring Imaging Cherenkov (RICH) detector allow to measure the speed of the particle.
 881 An estimation of the particle mass is then obtained from the velocity and the rigidity measurement,
 882 providing a mean for particle identification.

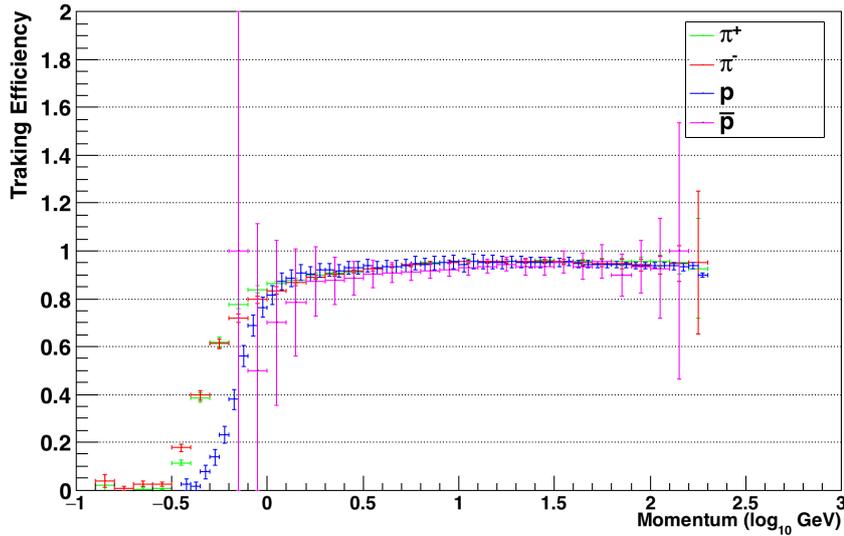


Figure 23: Tracking efficiency as function of the particle momentum, for π^+ (green), π^- (red), p (blue), \bar{p} (purple),

883 Considering the RICH position $\approx 5m$ downstream from the target, we expect to observe the following
 884 particles: e^\pm , μ^\pm , π^\pm , K^\pm , p and \bar{p} ¹. Muons are otherwise identified by their penetration capability, and
 885 can be, for the moment, neglected.

886 The RICH radiator is a buffer of C_4F_{10} gas with refraction index $n = 1.0014$, this correspond to a
 887 threshold in velocity of $\beta = 0.9986$. The corresponding momentum threshold depends on the particle
 888 mass: $p_{min} = 2.6 \text{ GeV}/c$ for pions, $p_{min} = 9.3 \text{ GeV}/c$ for kaons, $p_{min} = 17.7 \text{ GeV}/c$ for protons. RICH
 889 beta resolution $\sigma_\beta/\beta = 0.6\%$ allow for an efficient separation of π , k and p , via mass measurement $m =$
 890 $p/(\beta\gamma)$. Figure 24 shows the reconstructed mass vs the reconstructed momentum for a equal population
 891 of π , k and p . The very good separation of the proton signal from the π and K one allows for an
 892 unambiguous identification of protons, and hence antiprotons in the momentum range 18 to 45 GeV/c .

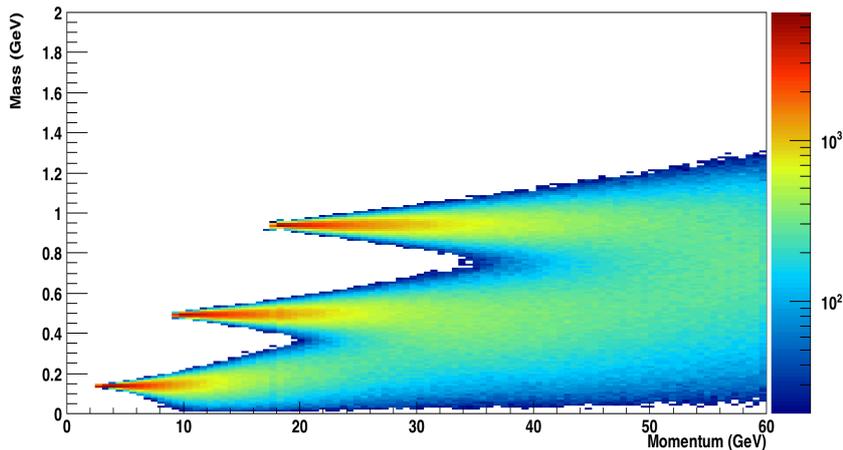


Figure 24: Reconstructed mass from RICH β and p , versus reconstructed momentum. The selected sample has an equal abundance of π , k and p .

893 Below the proton momentum threshold ($\sim 18 \text{ GeV}/c$), and above the Kaon threshold ($\sim 10 \text{ GeV}/c$),
 894 the absence of a RICH signal can be interpreted as an identification of the particle as a proton (or anti-
 895 proton). This identification must be corrected for the RICH efficiency, and a thorough study should be

¹Also few track of Hyperons could trigger the RICH but their expected abundance is negligible

896 implemented to keep this efficiency under control. The use of this additional method would extend the
897 (anti)proton identification range to momenta in the range 10 to 45 GeV/c.

898 **4.3.2.2 Measuring the antiproton cross section** We want to measure the double differential (angle
899 and momentum) antiproton cross production from $p + p$ and $p + He$. Following what discussed in the
900 previous section we are going to identify and count the antiproton track in each event as a function of
901 momentum and pseudo rapidity $N_{\bar{p}}(p, \eta)$.

902 The counting will happen for two separate energy ranges of momentum. The first $p[18, 45]GeV/c$ where
903 we can use the RICH to identify the antiprotons by their mass. The second $p[10, 18]GeV/c$ where we
904 use the absence of the RICH signal (veto mode) to identify the particle as not π or K .

905 In both cases the counting must be corrected for several effects including the track efficiency, the RICH
906 detector efficiency, the particle identification efficiency. These efficiencies can be estimated with the MC
907 simulation and possibly directly from the data.

908 To calculate the antiproton cross section we must divide the (corrected) number of antiproton events, to
909 the total number of interaction events (N_i).

910 This denominator can be obtained from the trigger number including corrections for several effects.

911 COMPASS will be operated with a minimum bias trigger, which includes:

- 912 – Beam trigger + hodoscope veto: ensures that the particle reach COMPASS within the target cross
913 section, it also includes a preselection of protons from the CEDAR beamline Cherenkov detectors
- 914 – Sandwich veto: exclude events with signals outside the COMPASS acceptance after the target
- 915 – Beam killer: remove events where protons keep the beam direction 32 m downstream the target.

916 The beam intensity delivered to the COMPASS beam line (NA M2) will be adjusted to provide \sim
917 $5 \cdot 10^5 p/s$ at the target. With this trigger configuration and beam intensity we expect a trigger rate of
918 $\sim 25 kHz$ well within the performances of the COMPASS DAQ.

919 In this configuration and assuming a total of 10s of beam from SPS for each minute, we are expected to
920 collect and identify $\sim 25 \cdot 10^4$ events per minute. Considering the antiprotons estimated production cross
921 section, a conservative antiproton identification efficiency of 70%, and a double differential cross section
922 with 20 bins in momentum and pseudorapidity, we will reach a statistical error of 1% after ~ 4 hours of
923 beam time. Including a contingency factor we will need 6 hours of beam time for each combination of
924 target and beam settings.

925 Several corrections to the events and trigger counts are needed to obtain an accurate measurement. Each
926 of these corrections bring a source of systematic error. For what concerns the trigger count we must
927 account for the trigger efficiency, the DAQ dead time and the purity in selecting protons in the secondary
928 beam. For what concerns the antiproton events count we need to account for the overall event recon-
929 struction efficiency and the antiproton tracking and particle identification efficiencies which are possibly
930 dependent on momentum and pseudorapidity. Overall we expect to reach a systematic error of $\sim 5\%$.

931 We would like to take data at proton momenta: 50, 100, 190 GeV/c and the maximal momentum achiev-
932 able at SPS M2 beam line.

4.3.2.3 Antihyperons and antineutrons In order to calculate the total amount of antiprotons pro-
duced in our galaxy the contribution from antineutrons and antihyperons decaying into antiprotons has
also to be taken into account. The total cross-section is then obtained re-scaling from the prompt pro-
duction:

$$\sigma_{tot} = \sigma_{prompt} (2 + \Delta_{IS} + 2\Delta_{\Lambda})$$

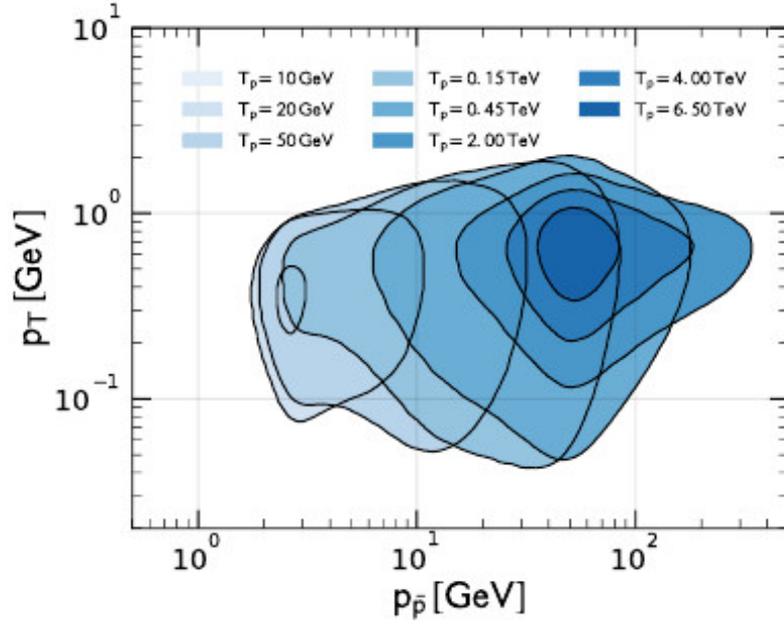


Figure 25: Parameter space for the pHe channel corresponding to an exemplary fixed target experiment. The different Hades areas correspond to different proton beam energies.

933 where Δ_{IS} is the enhancement factor of antineutrons over antiproton production due to isospin effects,
 934 Δ_{Λ} is the hyperon factor, assuming that antiproton and antineutron production from hyperons is equal.
 935 The overall uncertainty arising from antineutron and hyperon-induced production has been evaluated to
 936 be energy dependent and not to exceed 5% [?]. Moreover in the COMPASS spectrometer secondary
 937 vertexes can be reconstructed and distinguished from primary vertexes, thus hyperon-induced antiprotons
 938 production can be well separated from prompt production and measured.

939 4.3.3 Competition and Complementarity

940 As already mentioned in section 4.3.1, the exceptional experimental accuracy of the order of a few %
 941 achieved by AMS-02 on CR \bar{p} flux and \bar{p}/p flux ratio poses the challenge of achieving similar precision in
 942 phenomenological models that describe the CR \bar{p} flux as produced by the interaction of the CR primary
 943 components with the ISM. Such phenomenological prediction is currently spoiled by the large uncer-
 944 tainty on the anti-p production cross-section. In order to cover all the AMS-02 \bar{p} energy range, precise
 945 $p + p \rightarrow \bar{p} + X$ and $p + He \rightarrow \bar{p} + X$ cross-section data are needed with proton beam kinetic energy T_p
 946 from 10 GeV to 6 TeV and a pseudorapidity η ranging from 2 to almost 8. The present collection of data
 947 is still far from the necessary kinematical coverage, which could be fulfilled by fixed target experiment
 948 at CERN, with energies from tens of GeV up to a few TeV. Fig. 25 shows the parameter space that has
 949 to be covered as function of T_p and η , at different kinetic energy $T_{\bar{p}}$ of the antiproton, for the case of
 950 $p + He \rightarrow \bar{p} + X$ cross-section: in this plot a 3 % accuracy is required on the cross-section determination
 951 inside the blue shaded region, and a 30 % accuracy outside the contours, in order to guarantee the AMS-
 952 02 precision level on the \bar{p} source term [?]. The only data available so far from high energy protons
 953 scattering on helium nuclei are the ones collected in May 2016 by LHCb operated in fixed target mode
 954 with the SMOG device [?] at 6.5 TeV ($\sqrt{s_{NN}} = 110 GeV$, in the pseudorapidity range $2 < \eta < 5$, and
 955 in the detected antiproton momentum range $12 < T_{\bar{p}} < 110 GeV/c$). A second sample of data, not yet
 956 published, has been collected by LHCb at $\sqrt{s_{NN}} = 86.6 GeV$ in November 2016.

957 COMPASS++ could perform measurements of antiproton production in pHe collisions at different mo-
 958 menta of the proton beam, from a few tens of GeV/c up to 450 GeV/c, in the pseudorapidity range
 959 $2 < \eta < 8$. Combined with the LHCb measurements at very high energy, the COMPASS++ data could

960 fulfill the necessary kinematical coverage, finally contributing to significantly reduce the uncertainty on
961 the expected amount of secondary antiprotons produced by spallation of primary cosmic rays on the in-
962 terstellar medium, which is currently one of the most limiting factor for the interpretation of the AMS-02
963 data on the \bar{p} flux and the \bar{p}/p flux ratio for the Dark Matter indirect search.

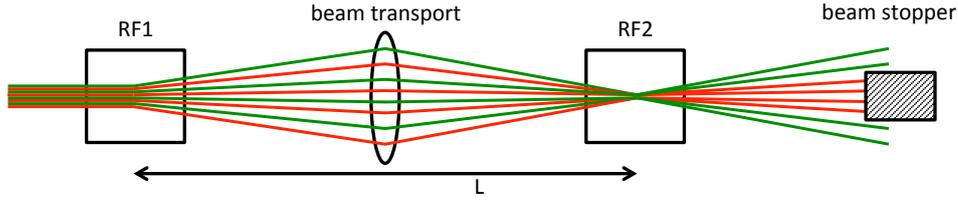


Figure 26: Panofsky-Schnell method for RF-separated beams. The unwanted particles (red) are stopped by a beam stopper while the wanted particles (green) receive a net deflection by the combination of the RF1 and RF2 dipole RF cavities out of the central axis.

964 5 Hadron Physics with RF-Separated Beams

965 5.1 Beam Line

966 For the several proposals of high energy hadron beams, a study of a possible enrichment of desired
 967 particle species in the M2 beam has been launched by EN-EA in context of the Physics Beyond Col-
 968 liders Initiative. Contrary to lower energies as described in Sec. 4.2.2, an enrichment of antiprotons is
 969 not naturally given by the length of the beam line due to the higher lifetimes of particles in the labora-
 970 tory frame. In addition, several proposals prefer a higher content of kaons and positive pions in the beam.

971
 972 Starting again from studying limitations in terms of production of particles, there are several possibilities
 973 to enrich the content of a wanted particle species in the beam, usually by suppression of unwanted par-
 974 ticles. Due to the $1/p^3$ dependence of electro-static separators, this method is not reasonable for use at
 975 beam energies higher than a few GeV. While in principle an enrichment by differential absorption would
 976 be feasible, the very low efficiency, high losses, and small suppression factors for unwanted particles
 977 leave only the possibility of RF-separated beams.

978 The method of RF-separation was first employed at CERN in the 1960s based on ideas of Panofski
 979 and Schnell as for instance described in Ref. [78]. The main idea is based on the different velocities of
 980 particle species in a beam with a defined momentum.

As displayed in Fig. 26, two dipole RF cavities (RF1 + RF2) are implemented at a given distance L . The transverse kick of RF1 is either amplified or compensated by RF2 depending on the phase difference between both. This phase difference is given by the difference of velocities of the several particle species. For two species 1 and 2 with velocities β_1 and β_2 , the phase difference reads $\Delta\Phi = 2\pi(Lf/c)(\beta_1^{-1} - \beta_2^{-1})$. In the limit of large momenta, the phase difference can be expressed as a mass difference between the two species at the beam momentum p :

$$\Delta\Phi = 2\pi(Lf/c) \frac{m_1^2 - m_2^2}{2p^2}$$

981 For kaons as wanted particles, the phase difference could be chosen at $\Delta\Phi_{\pi p} = 2\pi$, which results in
 982 $\Delta\Phi_{\pi K} = 94^\circ$. This means that the kick for both protons and pions would be compensated by RF2 and
 983 they would be absorbed in the beam stopper. The kaons would receive a close to maximum transverse
 984 kick and mostly go around the stopper. For antiproton beams, the phase difference could be chosen at
 985 $\Delta\Phi_{\pi \bar{p}} = \pi$, which results in $\Delta\Phi_{\bar{p}K} = 133^\circ$ and $\Delta\Phi_{\bar{p}e} = 184^\circ$. In this case, the antiprotons would receive an
 986 acceptable deflection while electrons and pions are dumped effectively. Based on a study by J.Doornbos
 987 at Triumph for CKM, we assume a similar input for frequency ($f = 3.9$ GHz) and kick strength of the
 988 RF cavities ($dp_T = 15$ MeV/ c). Given the length of 1.1 km of the M2 beam line, the length L between
 989 cavities cannot be chosen larger. In such a study case, the upper momentum limitation for RF-separated
 990 kaon beams would be around 75 GeV/ c and around 108 GeV/ c for RF-separated antiproton beams, see
 991 Fig. 27. As the phase difference depends quadratically on the chosen momentum, such beams would

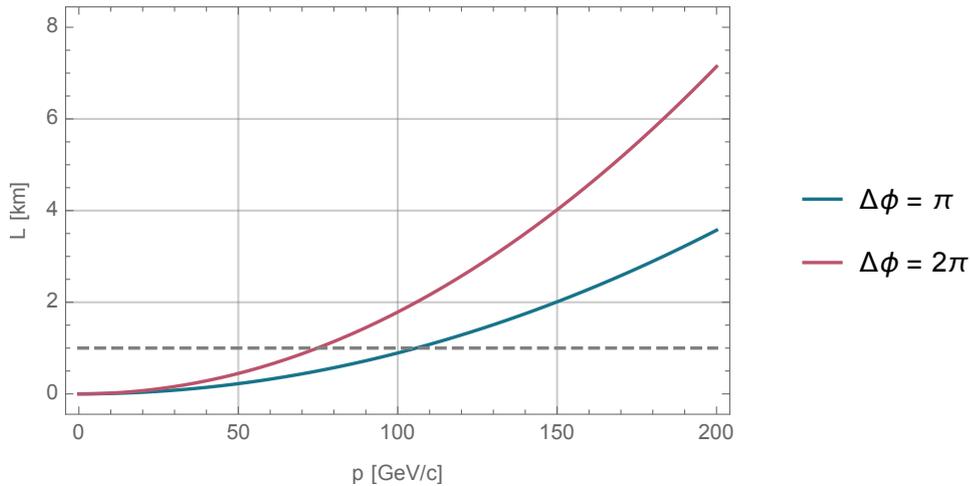


Figure 27: Dependence of the final beam momentum as a function of length L between the RF cavities for two different phase differences. The case of $\Delta\Phi = 2\pi$ corresponds to kaons as wanted particles while $\Delta\Phi = \pi$ would be the choice for antiprotons.

992 deliver acceptable separation only in a small momentum band. In addition, the dispersion of the beam
 993 $\Delta p/p$ needs to be limited to about 1 % in order to prevent a phase shift of $\Delta\Phi_f = \Delta\Phi_i(1 - 2\Delta p/p)$ and
 994 thus a lower separation efficiency.

995 With the given acceptance values and target efficiency as explained in Sec. 4.2.2, an exemplary calculation
 996 was performed for the case of a 100 GeV/c antiproton beam. Assuming that 80 % of antiprotons
 997 would pass the beam stopper and an optimisation of the solid angle to $10\pi\mu\text{sterad}$, one would expect
 998 about $8 \cdot 10^7$ antiprotons in EHN2 for 10^{13} incident protons at the T6 target. Due to the current RP re-
 999 strictions for EHN2 of 10^8 particles per 4.8 s spill, the limit would be given only by the achieved purity
 1000 of the beam. Assuming 50 % purity, this would be about $5 \cdot 10^7$ antiprotons per spill.

1001 5.2 Spectroscopy of Kaons

1002 5.2.1 Physics Case

1003 The Particle Data Group lists 25 strange mesons, which have been measured in the mass range from
 1004 0.5 to 3.1 GeV/c² [79]. Only 12 of them are included in the summary tables. The remaining 13 states
 1005 still need further clarification. For two of them, even their spin-parity quantum numbers J^P are not
 1006 yet determined. Figure 28 shows the masses of the observed strange mesons and compares them to a
 1007 relativistic quark-model calculation from ref. [80]. For some well-known states, like e.g. the K ground
 1008 state, the $K^*(892)$, the $K_1(1270)$, and the $K_1(1400)$, the quark-model prediction agrees well with the
 1009 experimental observations. However, many predicted states have not yet been observed and some of the
 1010 observed states do not fit into the quark-model picture. While the PDG lists e.g. three excited K states
 1011 with $J^{PC} = 0^{-+}$ in the region below 2.5 GeV/c², the quark model predicts only two states, neither of
 1012 those matching with the observed states. another example are the K_0^* states, among which the $K_0^*(1430)$
 1013 is the best established one. There is also some experimental evidence for an excited $K_0^*(1950)$, but the
 1014 observed mass is between the masses of two K_0^* states predicted in ref [80]. However, another quark-
 1015 model calculation in ref. [81] predicts only one excited state in better agreement with the experimental
 1016 observations. The most disputed state is the $K_0^*(800)$ or κ . The quark-model calculations in refs. [80, 81]
 1017 predict no K_0^* state below 1 GeV/c². Also the experimental situation is not clear. In many experiments,
 1018 significant intensity is observed below 1 GeV/c², which is typically parameterized as an “effective-range
 1019 non-resonant” component with a phase shift [82]. However, more advanced analyses, using a K -matrix

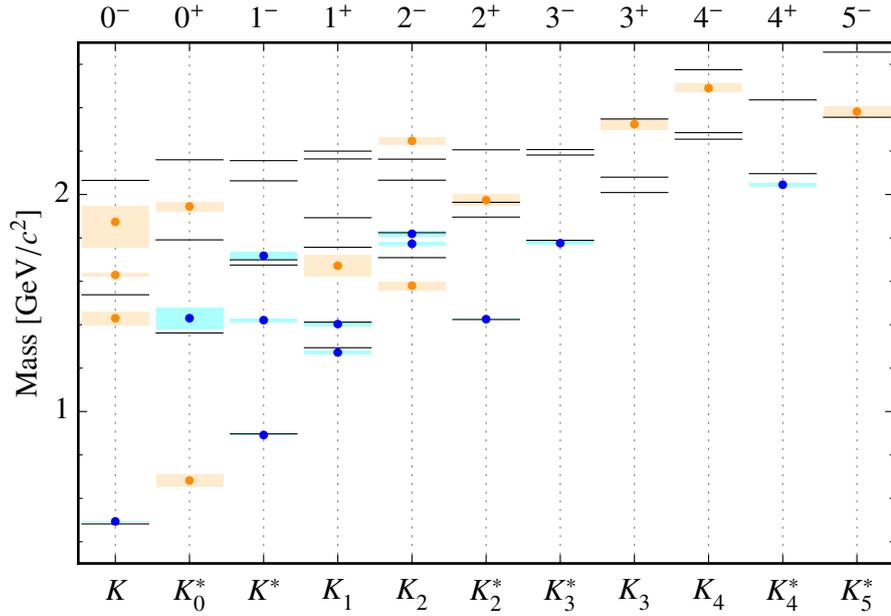


Figure 28: Excitation spectrum of strange mesons from PDG [79] (points and shaded boxes representing the central value and uncertainty of the measurements) compared to a relativistic quark-model prediction from ref. [80] (black lines). States included in the PDG summary table are shown in blue, the remaining states are shown in orange. The states are grouped by their J^P quantum numbers.

1020 approach [83] or Roy-Steiner equations [84] find a pole below $1 \text{ GeV}/c^2$ associated with the $K_0^*(800)$.
 1021 This situation is similar to the challenges in understanding the S -wave in the $\pi\pi$ system. There, only
 1022 high-precision data [85] in combination with advanced models [86] allowed to establish the $f_0(500)$ state
 1023 and to determine its parameters. These examples show that there are still many missing states and open
 1024 questions in the strange-meson sector, which need to be addressed. The final goal is to identify all strange
 1025 and light non-strange mesons in the quark-model multiplets. This allows to single out supernumerous
 1026 states and identify multiplets beyond the quark model, including e.g. gluonic excitations.

1027 Most of the experimental data on strange mesons are based on experiments that were performed more
 1028 than 30 years ago. Since PDG listings 1990 [87], only four additional kaon states have been included
 1029 in the PDG listings and only one state entered the summary tables. On the other hand, strange mesons
 1030 appear in many processes in modern hadron and particle physics. An example are searches for CP vio-
 1031 lation in multi-body heavy-meson decays, e.g. in $B^\pm \rightarrow D^0 K^\pm$ with $D^0 \rightarrow K_S^0 \pi^+ \pi^-$, which are currently
 1032 under study at B -meson factories like BaBar [88], Belle [89], and LHCb [90] and will remain an inter-
 1033 esting topic with the upcoming high-precision measurements at Belle II and LHCb. These CP-violation
 1034 searches are usually Dalitz-plot amplitude analyses [82]. Typically, the isobar model is used, where the
 1035 decay amplitudes are parameterized by intermediate resonances appearing in the various subsystems of
 1036 the final-state particles. To keep pace with the high statistical precision of the data, the models require as
 1037 input an accurate knowledge of these appearing intermediate states, e.g. the strange mesons appearing
 1038 in the $K_S^0 \pi^\pm$ subsystems in the example above. The large datasets allow to directly study strange mesons
 1039 in heavy meson decay, as done e.g. in refs. [88, 89]. However, even with the biggest datasets, in the
 1040 employed isobar models typically the masses and widths of only a few selected states can be fitted to the
 1041 data while the parameter values of most of the kaon states included in the fit need to be taken from other
 1042 measurements.

1043 5.2.2 Previous Measurements

1044 A complementary process to directly study strange mesons is peripheral production (diffractive produc-
 1045 tion or charge-exchange reactions) in scattering reactions of a high-energy kaon beam off a fixed target.
 1046 In the past, this reaction was used by experiments at BNL [91], CERN [92–96], and SLAC [97–100] to
 1047 study strange mesons decaying into various final states. For example, the LASS experiment measured
 1048 100000 events of the $K^- \omega$ final state using an 11 GeV/c K^- beam [100]. The analysis of these data con-
 1049 tributed significantly to establishing the $K_2(1820)$ state. One of the largest datasets was acquired by the
 1050 ACCMOR collaboration using a 63 GeV/c K^- beam [94]. They analyzed 200000 events of the reaction
 1051 $K^- + p \rightarrow K^- \pi^- \pi^+ + p_{\text{recoil}}$. From this dataset, they extracted the parameters of five strange mesons
 1052 and studied the excitation spectrum of the $J^P = 0^- K$ states. However, even these large data samples are
 1053 not sufficient to resolve the details of the kaon spectrum, especially for kaon states at higher masses, e.g.
 1054 the excited $J^P = 0^- K$ states.

1055 Also COMPASS has measured strange mesons in peripheral production in the years 2008 and 2009 using
 1056 the $\approx 2.5\%$ K^- fraction in our secondary hadron beam. In a first analysis of 270000 events of the reaction
 1057 $K^- + p \rightarrow K^- \pi^- \pi^+ + p_{\text{recoil}}$ [101], we find results consistent with previous measurements [94, 100].
 1058 Currently, we are improving this analysis. By major enhancements of the applied PID methods, we are
 1059 expecting to obtain an event sample of 800000 events. This would increase the statistical precision by
 1060 a factor of two, compared to the ACCMOR analysis and would allow us to measure masses and widths
 1061 with an improved precision and possibly give us access to some higher-lying kaon states.

1062 5.2.3 Novel Analysis Techniques

1063 The main goal of the COMPASS physics program in the years 2008 and 2009 was the measurement
 1064 of the spectrum of light non-strange mesons. Analog to the $K^- \pi^- \pi^+$ channel for strange meson spec-
 1065 troscopy, our flagship channel for light non-strange meson spectroscopy is $\pi^- + p \rightarrow \pi^- \pi^- \pi^+ + p_{\text{recoil}}$,
 1066 for which we acquired 50M exclusive events [102]. This huge dataset allows us to apply novel analysis
 1067 methods. It allows us to perform the partial-wave analysis independently in narrow bins of the squared
 1068 four-momentum transfer t' between the beam pion and the target proton. The additional information
 1069 from this t' -resolved analysis helps to better separate the resonant and non-resonant contributions. For
 1070 the first time, we can also extract the t' dependence of individual signals in our data [103, 104]. Especially
 1071 for the spectroscopy of strange mesons, a dataset large enough to perform a t' -resolved analysis would
 1072 be helpful to separate the many overlapping states with same J^P quantum numbers, e.g. the $K_1(1270)$
 1073 and $K_1(1400)$. Furthermore, in our $\pi^- \pi^- \pi^+$ analysis, we are able to study the $\pi^- \pi^+$ subsystem of
 1074 the $\pi^- \pi^- \pi^+$ final state, using a so-called “freed-isobar” approach [102]. Applied to strange-meson
 1075 spectroscopy, this approach would allow us to study e.g. the K_0^* states in the $K^- \pi^+$ subsystem of the
 1076 $K^- \pi^- \pi^+$ final state. However, large data samples are mandatory in order to apply this method. Finally,
 1077 large datasets improve the statistical precision of the measurements and therefore allow us to study much
 1078 weaker signals, like e.g. the $a_1(1420)$ signal discovered in the our 50M $\pi^- \pi^- \pi^+$ events [67]. Although
 1079 it contributes only 0.3% to the total intensity, we observe a clear $a_1(1420)$ signal and we extract its
 1080 parameters with high precision. Also some of the missing strange meson states, which are predicted by
 1081 the quark-model, could have such small signals. These examples clearly show that large data samples
 1082 would not only improve the statistical precision of the measurements, but first and foremost would open
 1083 a whole new field of novel methods and thus would give us new insights into the strange-meson sector.
 1084 To apply the methods discussed above also to strange meson spectroscopy, a dataset of at least 10M to
 1085 20M events of the flagship channel $K^- + p \rightarrow K^- \pi^- \pi^+ + p_{\text{recoil}}$ needs to be acquired, which is a factor
 1086 15 to 25 more than what has been measured so far.

1087 5.2.4 Future Measurements at COMPASS

1088 In order to obtain such a unprecedented dataset for strange-meson spectroscopy, the K^- fraction in the
 1089 beam has to be vastly increased. One possibility is an RF-separated beam. With a kaon-beam intensity
 1090 of 4×10^7 per spill at the experiment target position we could acquire a $K^- \pi^- \pi^+$ sample of about 20M
 1091 events within one year of data taking.² Diffractive production does not depend strongly on the beam
 1092 energy. With a beam momentum of at least 50 GeV/c, diffractive production will be the dominant pro-
 1093 cess and beam excitations can be well separated from target excitations. This is very important in order
 1094 to obtain a clean sample of exclusive events and to keep systematic uncertainties from contributions of
 1095 other processes small. The most important requirement for the experimental setup is a uniform detec-
 1096 tion efficiency over a broad kinematic range. Apart from precise tracking and vertex reconstruction, a
 1097 good particle identification is mandatory. As the RF separation does not lead to a pure kaon beam, an
 1098 efficient beam-particle identification with a low misidentification probability via the CEDAR detectors
 1099 is required. This requires a small beam divergence at the position of the CEDARs. Additionally, kaons
 1100 have to be distinguished from pions in the final-state, e.g. for the $K^- \pi^- \pi^+$ final state. This requires a
 1101 good final state particle identification over most of the momentum range from around 1 GeV/c up to the
 1102 beam momentum, with an high efficiency above 50%. To study also final states with neutral particles,
 1103 like $K^- \pi^0 \pi^0$, the detection of photons over a broad kinematic range by electromagnetic calorimeters is
 1104 important.

1105 5.2.5 Planed or Proposed Measurements at other Facilities

1106 There are also proposals and plans for future measurements of strange mesons at other facilities. In τ
 1107 decays, strange mesons can appear in subsystems, e.g. in $\tau^- \rightarrow K^- \pi^+ \pi^- \nu_\tau$, which will be measured at
 1108 Belle II, BES III and LHCb to study strange mesons. However, the largest possible mass of the strange
 1109 subsystem is limited by the rather low τ mass of $1.8 \text{ GeV}/c^2$, so that many of the observed or predicted
 1110 kaon states are out of reach (see figure 28). Furthermore, the event samples are typically an order of
 1111 magnitude smaller than those of measurements using peripheral production [106, 107]. On the other
 1112 hand, the low-mass tails of the higher-lying kaon states might still play a role in the mass range of the
 1113 τ decays. This means that the analysis of τ decays would benefit from a high-precision measurement
 1114 of those states at COMPASS. The situation is similar for heavy-meson decays. In D decays like e.g.
 1115 $D \rightarrow K \pi \pi$ [108, 109], the mass range is limited by the D mass of $1.86 \text{ GeV}/c^2$. In B decays, the limited
 1116 dataset size restricts the possibility to study strange mesons with high precision.

1117 Another approach to study strange mesons is in photo production. For example, GlueX proposed a mea-
 1118 surement of the $KK\pi\pi$ final state, for which they expect a dataset of 100M events [110]. Using an
 1119 approach similar to our “freed-isobar” method, they could study strange mesons in e.g. the $K\pi$ and $K\pi\pi$
 1120 subsystems. However, it might be challenging to obtain accurate insight into the strange subsystems
 1121 from four-body final states, compared to direct strange meson production at COMPASS. Recently, mea-
 1122 surements with a secondary K_L beam were proposed at GlueX [111]. In their proposal, they focus on
 1123 hyperon spectroscopy. For a strange meson spectroscopy program, they mention only the charged and
 1124 neutral $K\pi$ final state, which gives them access only to K_J^* states.

1125 At J-PARC, a new beam line with a separated kaon beam will be built in the near future [112]. They aim
 1126 for a K^- intensity of 10^7 per spill, similar to our proposal for COMPASS; however, with a much lower
 1127 beam momentum of 2 to 10 GeV/c. At these low momenta, the separation between beam and target
 1128 excitations will become difficult and might lead to larger systematic uncertainties. To our knowledge, no
 1129 strange meson spectroscopy program has been proposed at J-PARC so far and there are no plans for a
 1130 general purpose detector with high-precision tracking and calorimetry, which is needed for spectroscopy

²We acquired 50M $\pi^- \pi^- \pi^+$ events within one year of data taking with a π^- beam intensity of 5×10^7 per spill [105]. Assuming that due to the final state PID the detection efficiency for $K^- \pi^- \pi^+$ is approximately 50% of the one for $\pi^- \pi^- \pi^+$, we expect 20M $K^- \pi^- \pi^+$ events for one year of data taking.

1131 as discussed above.

1132 Most of the planned or proposed measurements of the strange meson sector can either not compete with
1133 the measurement we propose or are complementary to our measurement. Therefore, a spectroscopy
1134 program at COMPASS using an RF-separated kaon beam would be an unique opportunity to study the
1135 excitation spectrum of strange meson in great detail using the advanced methods we have developed
1136 for our $\pi^- \pi^- \pi^+$ sample. This would significantly improve the precision of know states, allow us to
1137 search for new states, which complete the light-meson multiplets, and would clarify some of the open
1138 questions.

1139 **5.3 Drell-Yan physics with high intensity kaon and antiproton beams**

1140 Within the conventional quark model, the properties of the hadrons are mainly determined by their va-
1141 lence quark structure. An exchange of a u quark with a d quark makes the neutron different from the
1142 proton. Similarly, a replacement of the d quark with a s quark makes the kaon different from the pion.
1143 The heavier quark in the kaon leads to a significantly heavier hadron mass, much larger than the differ-
1144 ence between the s and d quark masses. The mass scale in each hadron, generated through dynamical
1145 chiral symmetry breaking, is associated with the gluon propagation; the massless gluons acquire running
1146 mass, which is then transmitted to the quark sector. Exploring the hadron structure, and particularly the
1147 quark and gluon distributions on the lightest mesons, provide a glimpse to the appearance of the hadron
1148 mass and its connection with the colour confinement, as explained in Sec. 4.1.1. At present the valence
1149 kaon distribution is nearly unknown and no information exists neither on the kaon sea, nor on the kaon
1150 gluon distribution. On the theoretical side, the situation is rapidly evolving: a number of theoretical
1151 calculations based on various approaches are now investigating the kaon PDFs, usually as an extension
1152 of pion PDFs studies.

1153 The availability of a kaon beam, such as the one foreseen by radio-frequency separation of charged
1154 hadrons at the SPS, provides a unique opportunity for performing extensive studies of the kaon par-
1155 tonic structure. The high intensity kaon beam will allow for Drell-Yan measurements with unprece-
1156 dented statistics. A detailed comparison between the quark structure of the two lightest hadrons becomes
1157 possible. The Drell-Yan kaon data should be complemented with J/ψ production and prompt photon
1158 measurements, paving the way for a determination of the kaon gluon structure as well.

1159 The RF-separated antiproton beam, on the other hand, makes possible the measurements of nucleon sin-
1160 gular spin asymmetries with reduced systematic uncertainties. Thanks to charge symmetry, the antiproton
1161 induced Drell-Yan process will provide an access to convolutions of valence quark TMD PDFs of the
1162 nucleon only. The M2 beam line with RF-separated beam tuned to have high-energy and high-intensity
1163 antiprotons would provide the only presently foreseen possibility for such measurements in the world, in
1164 a reasonable time scale.

1165 **5.3.1 Nucleon spin structure with antiproton beam**

1166 The Drell-Yan process using an anti-proton beam on a transversely polarized proton target provides
1167 an ideal opportunity to study the transverse momentum dependent PDFs of the nucleon. Compared to
1168 the pion-induced Drell-Yan studies being presently performed at COMPASS the uncertainties related to
1169 the limited knowledge of pion structure will be eliminated. Additionally, thanks to the boost provided
1170 by the high energy collisions on fixed target, an extended x -region is explored, since there is some
1171 complementarity of the u -quark TMD PDF covered from target side and from beam side, and as well
1172 some overlap.

1173 The antiproton induced Drell-Yan on transversely polarized proton target is the most promising way to
1174 access the Boer-Mulders function of the nucleon. In the Drell-Yan cross-section, two transverse spin-
1175 dependent modulations can be measured, that result from convolutions of the valence \bar{u} -Boer-Mulders

1176 function in the antiproton with the valence u -transversity function in the proton ($\cos(2\phi_{CS} + \phi_s)$ modu-
 1177 lation) or with the valence u -pretzelosity function in the proton ($\cos(2\phi_{CS} - \phi_s)$ modulation). Given the
 1178 present knowledge of the u -transversity in the nucleon, extracted from the SIDIS results of the COMPASS
 1179 and HERMES experiments, one can aim at accessing the u -Boer-Mulders of the nucleon.

1180 As compared to the pion induced Drell-Yan cross-section, the antiproton induced process has larger
 1181 cross-section. In spite of the beam RF-separation limitations discussed in Sec. 5.1), for the present esti-
 1182 mates it is assumed that with additional R&D the beam energy could be increased. Figure 29 compares
 1183 the Drell-Yan cross-section dependence on the beam energy, for the pion induced and the anti-proton
 1184 induced cases, emphasising the advantage of larger beam energies.

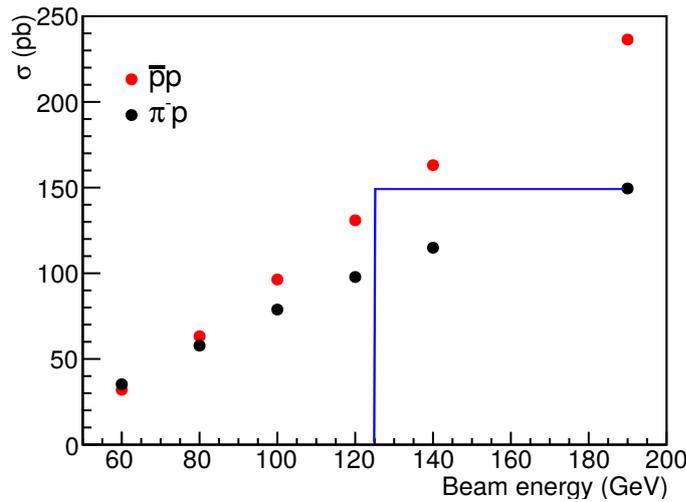


Figure 29: Drell-Yan cross section dependence on the beam energy, for the two cases: negative pion induced and anti-proton induced processes.

1185 For a beam energy of 100 GeV, a Drell-Yan experiment needs to cover angles in the order of 250 mrad
 1186 in order to have a global geometrical acceptance above 40%, as illustrated in right panel of figure 30.

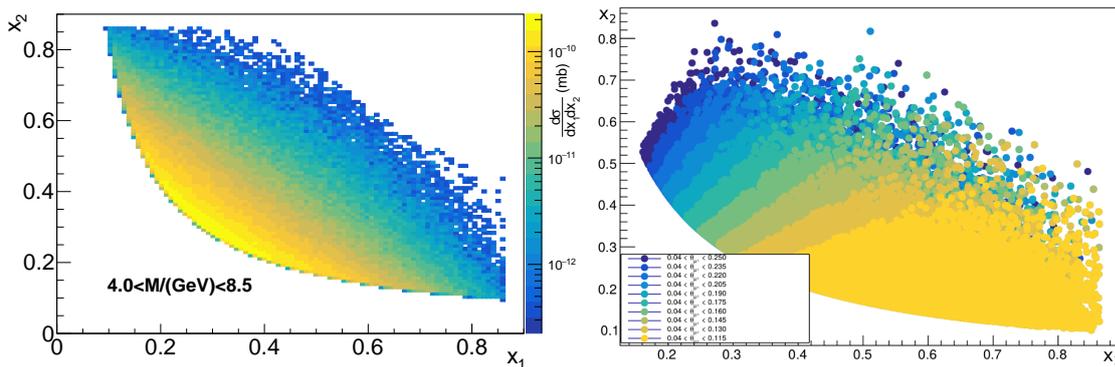


Figure 30: Drell-Yan dimuons cross-section at 100 GeV beam on an NH_3 target of 110 cm. Dimuons with masses 4-8.5 GeV are considered (left). The acceptance of the proposed experiment is also shown.

1187 These simple studies illustrate in an obvious way that a change of paradigm with respect to past Drell-Yan
 1188 experiments is needed to achieve the large statistics mandatory for azimuthal asymmetry studies. Only a
 1189 compressed setup allows to reach a coverage of ± 250 mrad. While past experiments could only achieve
 1190 this by using a hadron absorber, at the cost of dramatically reducing their mass and vertex position
 1191 resolution, there are now technical solutions that may be explored in an innovative way to reach this

1192 purpose. As will be explained in Sec. ??, a highly segmented active absorber, with embedded magnetic
 1193 field, may be the ideal device, providing: dielectron tracking, dimuon vertex pointing power, dilepton
 1194 auto-trigger, and muons momentum measurement all-in-one, for large angle pairs. Layers of magnetised
 1195 iron with tungsten-silicon detectors sandwiched in between them seem a-priori a viable option whose
 1196 feasibility will be further explored. Simple calculations show that a detector with transverse dimensions
 1197 of $1.5 \times 1.5 \text{ m}^2$ and 250 cm long could be distanced by 75 cm from the polarized target, still providing
 1198 $\pm 250 \text{ mrad}$ coverage.

1199 Table 3 gives the achievable statistics for 140 days of beam time on a NH_3 target with the presence of
 the active absorber.

Experiment	Target type	Beam type	Beam intensity (part/sec)	Beam energy (GeV)	DY mass (GeV/c ²)	DY events $\mu^+\mu^-$	DY events e^+e^-
This exp.	110cm NH_3	\bar{p}	3.5×10^7	100	4.0 – 8.5	28,000	21,000
				120	4.0 – 8.5	40,000	27,300
				140	4.0 – 8.5	52,000	32,500

Table 3: Achievable statistics of the new experiment with an active absorber and 140 days of beam time.

1200

1201 5.3.2 Kaon valence distribution

1202 The presence of the valence strange quark significantly alters the properties of the kaon in comparison
 1203 to those of the pion. Being much heavier than the light quarks, it carries a larger fraction of the kaon
 1204 momentum. Accordingly, the valence distribution in the kaon is expected to be significantly different
 1205 from that of the pion. At the same Q^2 scale, the $s(x)$ and $u(x)$ valence quark distributions of the kaon
 1206 are expected to peak to values respectively larger and smaller than that of the pion. The kaon $u(x)$ and
 1207 $s(x)$ distributions, as calculated in the framework of the Dyson-Schwinger Equations[33] are compared
 1208 to the pion $u(x)$ distribution in Fig. 31-left. All three PDFs are evaluated at a small, non-perturbative
 1209 QCD scale and then evolved to 5.2 GeV, a scale typical for fixed-target Drell-Yan experiments.

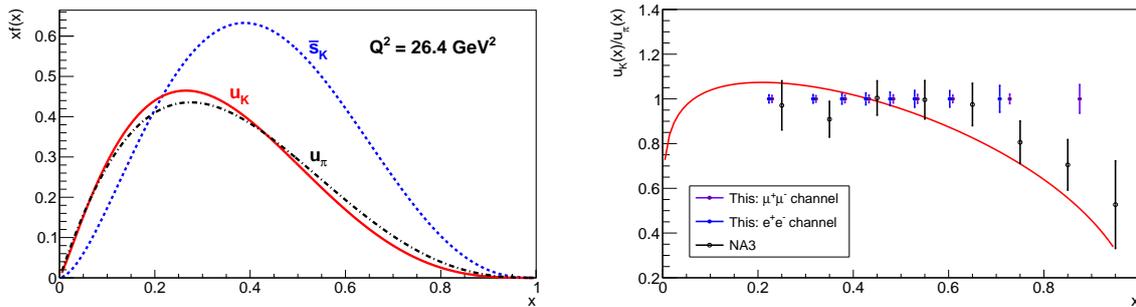


Figure 31: Left: Valence PDFs for the u quark in the pion and u and s quarks in the kaon, following the framework described in Ref. [113]. Right: Projected statistical uncertainties on the kaon to pion Drell-Yan yield ratio in the assumption of a 100 GeV beam and 140 days on a carbon target. The projections are given for two channels and the results are compared to NA3 measurement as well as to the model shown on the left.

1210 Since the u quark valence distribution in the kaon carries a momentum fraction smaller than that of
 1211 the pion, it should show somewhat faster decrease for large x values. This behaviour is qualitatively
 1212 confirmed by the first and only available experimental comparison between K^- and π^- -induced Drell-
 1213 Yan measurements [114] by the NA3 collaboration, as shown in the right-hand side of Fig. 31. The
 1214 NA3 result presented is based on 700 Drell-Yan events produced with kaons in addition to 21000 events
 1215 produced with pions. The ratio is consistent with unity up to $x_\pi = 0.6$ and start dropping beyond $x_\pi = 0.7$.

The kaon $u(x)$ valence distribution can be determined with a much improved accuracy in a dedicated measurement with the planned RF-separated kaon beam as explained in Sec. 5.1. A 100 cm long carbon target (4×25 cm) is assumed, with a new, large-acceptance, active absorber downstream of it. The active absorber, built to extend a COMPASSlike spectrometer acceptance, is considered to be 250 cm thick, with inner radius of 9 cm and outer one of 135 cm. Assuming 100 GeV hadron beams with an intensity of 7×10^7 parts/second, an unpolarized carbon target, and 2×140 days of data-taking, about 65000 kaon induced Drell-Yan events should be collected in total.

The above mentioned beam flux has a kaon purity of about 30%. An efficiency independent of the beam energy is assumed, which therefore gives more favorable fluxes for higher energies. A reconstruction efficiency, similar for dimuons and dielectrons, of 80% is assumed. For electron-positron pairs, this estimate is based on the AnDY measurements ???. Table 4 presents a first estimate of the achievable statistics for kaon induced Drell-Yan, in the assumption of equal time sharing between the two beam charges, chosen for a good kaon valence determination. The best time sharing for minimizing the statistical uncertainties on sea-valence separation should be 210 days of K^+ and 70 days K^- , assuming LO DY cross-section derived from pion induced one, and a K-factor=1.5 to roughly match NA3 observations.

Experiment	Target type	Beam type	Beam intensity (part/sec)	Beam energy (GeV)	DY mass (GeV/c ²)	DY events	
						$\mu^+\mu^-$	e^+e^-
NA3	6cm Pt	K^-	????	200	4.2 – 8.5	700	0
This exp.	100cm C	K^-	2.1×10^7	80	4.0 – 8.5	25,000	13,700
				100	4.0 – 8.5	40,000	17,700
				120	4.0 – 8.5	54,000	20,700
This exp.	100cm C	K^+	2.1×10^7	80	4.0 – 8.5	2,800	1,300
				100	4.0 – 8.5	5,200	2,000
				120	4.0 – 8.5	8,000	2,400
This exp.	100cm C	π^-	4.8×10^7	80	4.0 – 8.5	65,500	29,700
				100	4.0 – 8.5	95,500	36,000
				120	4.0 – 8.5	123,600	39,800

Table 4: Achievable statistics of the new experiment, assuming 2×140 days data-taking with equal time sharing between the two beam charges. For comparison, the collected statistics from NA3 is also shown.

The Drell-Yan production of negative kaons and pions will be measured simultaneously. Taking the ratio of kaon to pion yields will reduce systematical uncertainties. Within small corrections for the sea-valence contributions, the kaon to pion ratio is proportional to the ratio between their respective $u(x)$ distributions. The projected accuracy of this ratio is shown in right panel of Fig. 31.

5.3.3 Kaon valence-sea separation

The kaon sea distribution is presently unknown. It can only be determined through a comparison between positive and negative kaon induced Drell-Yan measurements. In such measurements, the K^+ cross section is sensitive to sea-valence and sea-sea terms only, so the difference between K^- and K^+ beams is sensitive to valence-valence terms only. With an isoscalar light target one can define [115] the sea to valence ratio $R_{s/v}$ as:

$$\Sigma_{val} = \sigma^{K^-A} - \sigma^{K^+A} \quad (13)$$

$$R_{s/v} = \sigma^{K^+A} / \Sigma_{val} \quad (14)$$

Figure 32 shows the computed $R_{s/v}(x)$ ratio using three different assumptions for the amount of kaon sea, and for three possibilities of kaon beam energy. Since kaon sea distributions are not available, the parametrisations of Ref. [42] for the pion have been used with the appropriate changes. The three

1245 distributions were obtained imposing sea quark momentum contributions between 10% and 20%. For
 1246 $x_K = 0.4$ the difference between the two extreme values of the sea contribution reaches about 25%. With
 1247 decreasing x_K the difference increases, by an approximate factor of 1.6 at $x_K = 0.2$. Three different kaon
 1248 beam momenta are represented, the largest one corresponding to the most favorable from the physics
 point of view, but requiring additional R&D.

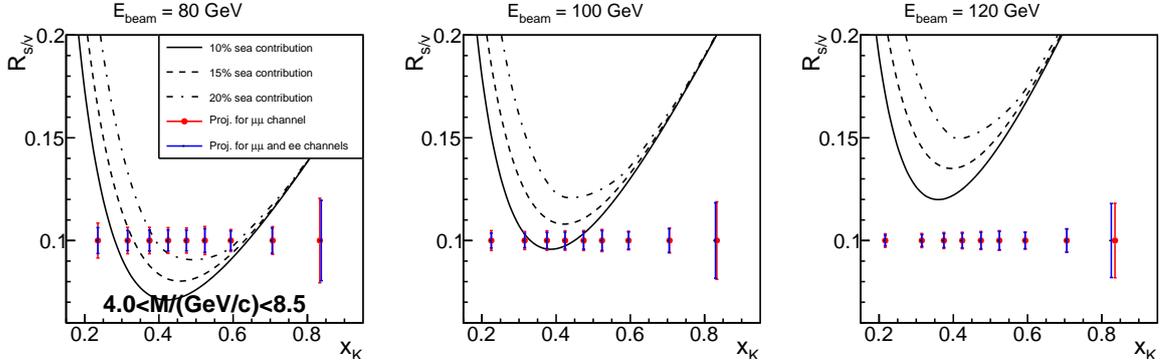


Figure 32: $R_{s/v}$ as a function of x_K is shown for three hypotheses of the kaon beam momentum. The projected statistical uncertainties of the proposed experiment are compared to the sensitivity of $R_{s/v}$ to the kaon sea quark content. The three curves representing 10%, 15%, and 20% of kaon momentum carried by sea quarks are derived from SMRS pion PDFs by interchanging d-quarks with s-quarks.

1249

1250 5.3.4 The J/ψ production mechanism and the gluon distribution in the kaon

1251 The heavier quark in the kaon radiates less gluons than the lighter quarks in the pion. A natural con-
 1252 sequence of this expectation is that the gluons in the kaon carry less momentum than the gluons in the
 1253 pion. Using the Dyson-Schwinger Equation (DSE) approach, the authors of Ref. [33] find that at the
 1254 hadronic scale the gluons contribute to only 5% of the total momentum in the kaon, instead of about 1/3
 1255 for the pion. A stringent check of this prediction requires the measurement of the presently unknown
 1256 kaon gluon distribution.

1257 The gluon distribution in the kaon can in principle be inferred through a measurement of the kaon-
 1258 induced J/ψ production. An important advantage of this process is its large cross section, reaching
 1259 100 nb/nucleon for small values of x_F , as compared to fraction of nb/nucleon for the high-mass Drell-
 1260 Yan region at the fixed target energies available at the CERN SPS. As discussed in Sec. 4.1.3 the J/ψ
 1261 production is not well understood. For fixed-target energies, the simple Color Evaporation Model (CEM)
 1262 does not agree with the more thorough NRQCD approach, and the relative contributions of the gg fusion
 1263 and $q\bar{q}$ annihilation terms depend on the model considered [53]. In both models the gg component
 1264 is larger at small x_F , whereas the $q\bar{q}$ term is dominant at large x_F , although with somewhat different
 1265 intensities.

1266 Here, the availability of the two different kaon beam charges can greatly help. A comparison between
 1267 cross sections measured with the two beam charge signs can be used to both improve our understanding
 1268 of the J/ψ production mechanism and to infer the gluon distribution in the kaon. Indeed, the J/ψ cross
 1269 section for the positive kaon beam is different from the one for the negative kaon beam. The main
 1270 difference comes from the valence \bar{u} quark in the negative kaon, which annihilates the valence u quark in
 1271 the target. In contrast, there are no valence \bar{u} quarks in the positive kaon. Therefore, the $q\bar{q}$ term is solely
 1272 generated from the valence-sea and sea-sea contributions; those terms contribute an order of magnitude
 1273 less to the $q\bar{q}$ annihilation term. The valence strange quark in the kaon is also suppressed, as there are
 1274 no valence strange quarks in the target. A comparison between the positive and negative kaon-induced
 1275 cross sections for J/ψ production on a ^{12}C target, as calculated in LO CEM, is shown in Fig. 33. While
 1276 the gg term is identical for both kaon charges, the $q\bar{q}$ terms differ by more than a factor of three.

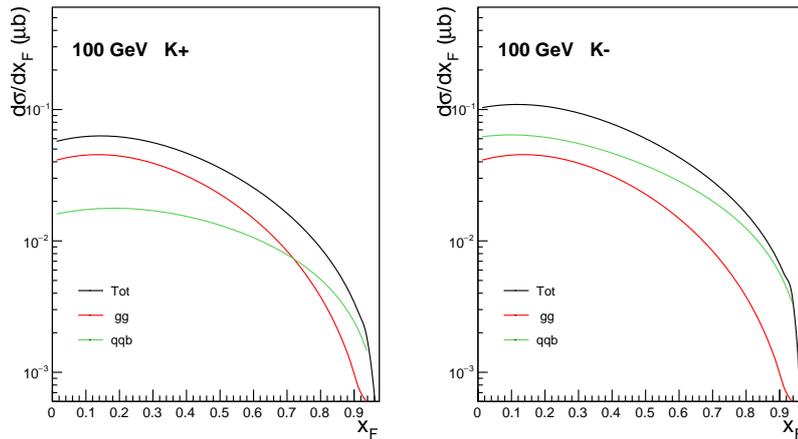


Figure 33: Differential cross section as function of x_F , as obtained in the Color Evaporation Model, on a ^{12}C target for kaon-induced J/ψ production with 100 GeV positive kaon beam (left) or negative kaon beam (right).

1277 Since the gg contributions for both K^- and K^+ beams are the same, the difference between the K^- and
 1278 K^+ -induced J/ψ cross sections is then equal to the $\bar{u}u$ valence-valence term:

$$\sigma_{J/\psi}^{K^-} - \sigma_{J/\psi}^{K^+} \propto \bar{u}^K u^N \quad (15)$$

1279 In the difference, the identical gg contributions from the positive and negative kaon beams cancel. All
 1280 other sea-valence, valence-sea, and sea-sea terms are also identical and cancel as well. The difference
 1281 from the two cross sections thus provides an alternative way for accessing the $u(x)$ -quark valence dis-
 1282 tribution in the kaon, after unfolding the well known $u(x)$ distribution in the nucleon target. This de-
 1283 termination of the kaon valence density can then be compared to the valence density determined using
 1284 the Drell-Yan process. Both Drell-Yan and J/ψ production methods could be used simultaneously to
 1285 minimize any model dependencies in the extraction.

1286 An unambiguous determination of the $q\bar{q}$ annihilation term through a measurements of the K^- vs K^+
 1287 difference also gives access to the remaining gg contribution, within a given model. The gg term is a
 1288 convolution of the well-known gluon distribution of the nucleon and the gluon distribution in the kaon,
 1289 and will open a way to determining the kaon gluon distribution.

1290 5.3.5 Comparison with experimental efforts elsewhere

1291 The interest for an improved understanding of the kaon structure is rapidly rising. Experiments dedicated
 1292 to the measurement of the kaon valence structure are planned [116?], based on the validity of the
 1293 meson-cloud model. The JLab experiment plans to cover the x_K region between 0.4 and 0.95 . The kaon
 1294 structure studies will be extended to lower x_K values at the forthcoming Electron Ion Collider. For both
 1295 these experiments, at JLab and at EIC, the interpretation of their future kaon data strongly relies on a
 1296 model-dependent kaon flux determination. The proposed kaon induced Drell-Yan measurement does not
 1297 suffer from these limitations and is therefore a much more direct way to access kaon structure.

1298 Secondary kaon and antiproton beams are also under preparation at the JPARC facility in Japan. These
 1299 JPARC beams are expected to reach intensities of up to 10^6 particles/second for incident momenta of
 1300 up to a maximum of 15 GeV/c. Because of the lower momenta and intensity, no future experiment at
 1301 JPARC can be competitive with the proposed studies of the meson and nucleon structure.

1302 **5.3.6 Run Plan: physics goals and required beam time**

1303 There is presently no planned facilities in the world providing high energy and high intensity kaon and
1304 antiproton beams. Although the RF-separation project at CERN is unique, the technique it is based on is
1305 known and used since some time.

1306 The possibility to use a RF-separated beam can only be considered after the long CERN shutdown LS3.
1307 With the technologies presently available, kaon and antiproton beams of momenta up to 80 and 100 GeV
1308 respectively could be envisaged. But further R&D might increase those limits, with obvious advantages
1309 to the physics case here presented.

1310 The longer time-scale before the start of this phase allows to envisage an ambitious development at the
1311 level of the spectrometer itself. A detector joining calorimetry and tracking, embedded in a magnetic
1312 field providing momentum measurement, which in itself behaves as active absorber, is being considered.
1313 Such detector would provide the largest geometrical acceptance ever achieved in a fixed target Drell-Yan
1314 experiment.

1315 A nominal kaon beam intensity of $2 \cdot 10^7$ kaons/second and a 100 cm long carbon target about 40 000
1316 negative kaon DY events could be collected in one year of data taking, and a number of J/ψ events above
1317 1 million. An additional year with positive kaon beam would allow for sea-valence separation in the
1318 kaon.

1319 The antiproton Drell-Yan measurement requires one more year of data-taking. Considering a 100 GeV
1320 beam with intensity of 3.5×10^7 antiprotons/second, and a polarized NH_3 target 110 cm long, some
1321 50,000 Drell-Yan events could be collected, allowing for transverse spin asymmetry studies of the nu-
1322 cleon, independent from the knowledge of the pion structure.

1323 **5.4 Study of gluon distribution in kaon via prompt photon production**

1324 **5.4.1 Gluon PDFs for mesons**

1325 Recent progress in theoretical calculations (see Sec. 4.1.1 and 5.3) makes the gluon distributions in
1326 the pion and the kaon especially important. Gluons not only significantly contribute to the internal
1327 structure of the mesons; they also play a major role in the generation of their mass [117]. The available
1328 experimental information is however severely limited. In contrast to the rather well mapped out gluon
1329 distribution in the nucleon, the gluon content of the mesons is essentially unknown. The planned RF-
1330 separated beams facility at CERN provides a unique opportunity for dedicated measurements of the two
1331 lightest meson gluon distributions.

1332 In order to measure the gluon PDFs for the pion the next hard processes were used: i) J/ψ , Υ -states
1333 production; ii) dijet production in gg and qg scattering; and iii) prompt photon production in the gluon
1334 Compton scattering. The first method assumes that quarkonia production mainly proceeds through gluon
1335 fusion into quark-antiquark pairs. It is affected by uncertainties related with the accounting for other
1336 production mechanisms. The second approach requires energetic meson beam, has low sensitivity and
1337 its systematics is defined by the knowledge of fragmentation functions. As for the third method, the cross
1338 section of prompt photons production is known at least up to the NLO [125]. Systematics of this method
1339 is mainly defined by experimental conditions and its dependence on the model assumptions is minimal.

1340 **5.4.2 Prompt photons**

1341 Prompt photons are photons produced in the hard scattering of partons. According to the factorization
1342 theorem the inclusive cross section for production of a prompt photon in a collision of hadrons h_A and
1343 h_B can be written as follows:

$$d\sigma_{AB \rightarrow \gamma X} = d\sigma_{dir} + d\sigma_{frag} = \sum_{a,b=q,\bar{q},g} \int dx_a dx_b f_a^A(x_a, Q^2) f_b^B(x_b, \mu^2) d\sigma_{ab \rightarrow \gamma X}(x_a, x_b, Q^2) + d\sigma_{frag}. \quad (16)$$

1344 Here $d\sigma_{dir}$ is the contribution of photons emitted via direct coupling to a quark (direct photons) and
 1345 $d\sigma_{frag}$ represents the contribution of photons produced from the fragmentation of a final partonic state
 1346 (fragmentation photons). f_a^A (f_b^B) is the parton density for hadron h_A (h_B), x_a (x_b) is the fraction of the
 1347 momentum of hadron h_A (h_B) carried by parton a (b) and Q^2 is the square of the 4-momentum transferred
 1348 in the hard scattering process. $\sigma_{ab \rightarrow \gamma x}(x_a, x_b, Q^2)$ represents the cross section for the hard scattering of
 1349 partons a and b . Contribution of fragmentation photons in the discussed kinematic range does not exceeds
 1350 10 – 20% even for much higher energies [126] and can be taken into account. There are two main
 1351 hard processes causing the production of direct photons: i) gluon Compton scattering $gq(\bar{q}) \rightarrow \gamma q(\bar{q})$
 1352 (dominating) and ii) quark-antiquark annihilation $q\bar{q} \rightarrow \gamma g$. Measurement of the differential cross section
 1353 of the prompt photon production $Ed^3\sigma/dp^3$ in the pion-nucleon collisions was already used by the fixed
 1354 target experiments WA70 [45], E706 [127], etc. for determination of the pion gluon structure.

1355 5.4.3 Prompt photon production at COMPASS

1356 In order to determine the gluon structure of charged kaon we propose to measure the differential cross
 1357 section of the prompt photon production $Ed^3\sigma/dp^3$ in the kinematic range of the transverse momen-
 1358 tum $p_T > p_{T0} = 2.5$ GeV/c and the CMS rapidity $-1.4 < y < 1.8$ using a positive kaon beam of 100
 1359 GeV/c ($\sqrt{s} = 13.7$ GeV). This range corresponds to $x_g > 0.05$ of the kaon beam and $Q^2 \sim p_T^2$. The cor-
 1360 responding kinematic distribution for $x_T = 2p_T/\sqrt{s}$ vs y for the gluon Compton scattering process, the
 1361 kinematic ranges covered by previous low-energy pion beam experiments and possible kinematic region
 1362 for COMPASS are shown in Fig. 34a (according to [128]).

1363 A positive beam is chosen in order to reduce the number of prompt photons produced via $q\bar{q}$ annihilation.
 1364 Auxiliary data sample should be collected with a negative kaon beam in order to separate the gluon
 1365 Compton scattering and quark-antiquark annihilation production mechanisms. The data taking with a
 1366 kaon beam should be preceded by one year of data taking with a pion beam at similar conditions or the
 1367 pion component of the RF-separated beam could be used in the case of its sufficiency. Pion data will be
 1368 used for refinement of the pion gluon structure and for the study of systematic effects.

1369 The contribution of the gluon Compton scattering to the cross section $\sigma_{AB \rightarrow \gamma X}$ calculated under the
 1370 LO approximation (Pythia6) in the kinematic range that is mentioned above for the 100 GeV/c pion
 1371 beam, which interacting with a proton target, is 53 nb. The corresponding contribution of the quark-
 1372 antiquark annihilation process is 6 nb and 42 nb for the positive and negative beams, respectively.
 1373 Similar magnitudes of the cross sections could also be expected for a kaon beam of the same mo-
 1374 mentum. Figure 34b represents energy dependence of the prompt photon production cross section for
 1375 $p_T > p_{T0} = 2.5$ GeV/c for both production mechanisms for positive and negative kaon beams under as-
 1376 sumption $g_\pi(x, Q^2) = g_K(x, Q^2)$.

1377 The main contribution to a systematic uncertainty which dominates over a statistical error is expected
 1378 to originate from the estimation of the number of photons produced from decays of secondary π^0 and
 1379 η mesons (minimum bias photons). This kind of the background is especially important at small p_T
 1380 and defines the lower limit of the accessible p_T range. p_T distributions for gluon Compton scattering
 1381 photons and for minimum bias photons are shown in Fig. 35. Value of p_{T0} was assumed on the ground
 1382 of the experience of previous experiments at similar \sqrt{s} . Limited spatial resolution of electromagnetic
 1383 calorimeters could lead to misidentification of a cluster produced by both photons from decay of an
 1384 energetic π^0 as a single photon cluster. This effect becomes significant at high p_T . Background from 2γ
 1385 decays of π^0 and η can be reduced by reconstruction of such decays. Final subtraction of this background
 1386 is based on the precise Monte-Carlo simulation of the setup. Detection of a photon produced much
 1387 upstream the target and mis-association of such photon with the interaction in the target may also lead to
 1388 significant overestimation of its p_T .

1389 For effective study of prompt photon production the following requirements should be fulfilled.

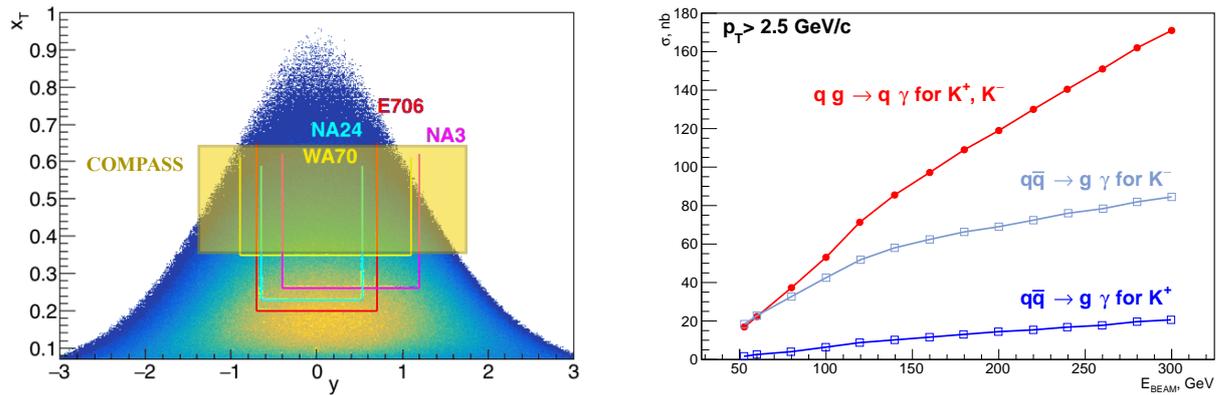


Figure 34: a) Kinematic distribution for $x_T = 2p_T/\sqrt{s}$ vs y for the gluon Compton scattering process for a 100 GeV K^+ beam scattered off a proton target. The kinematic ranges covered by previous low-energy pion beam experiments and possible coverage of COMPASS are also shown in different colors. b) Energy dependence of the prompt photon production cross section for $p_T > 2.5$ GeV/c for both production mechanisms for positive and negative kaon beams under assumption $g_\pi(x, Q^2) = g_K(x, Q^2)$.

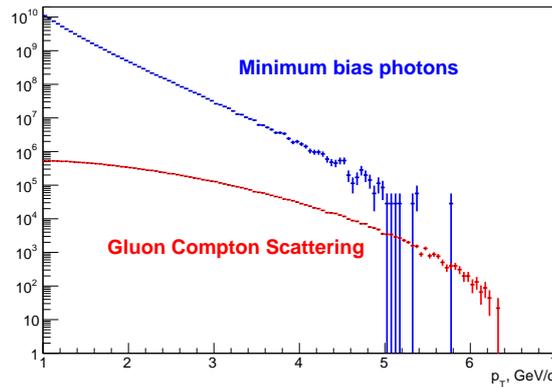


Figure 35: p_T distributions for prompt photons from the gluon Compton scattering (red) and for minimum bias photons (blue) produced in the interaction of a 100 GeV K^+ beam and a proton target (according to Pythia6 and assumed that $g_\pi(x, Q^2) = g_K(x, Q^2)$). Distributions are normalized to one year of data taking. For the prompt photons the K-factor 1.4 is taken into account.

- 1390 – The positive kaon beam of 100 GeV/c or higher momentum and intensity of 2×10^7 kaons per
 1391 second should be delivered to the experimental area.
- 1392 – The CEDAR detectors should be used for rejection of events produced by beam particles different
 1393 from the kaons.
- 1394 – A two meters long liquid hydrogen target ($\sim 0.2 X_0$), transparent for produced photons, should be
 1395 used. A solid target of low-Z material could also be discussed.
- 1396 – The existing electromagnetic calorimeters, ECAL0 and ECAL1, can provide sufficient capability
 1397 for detection of prompt photons in the rapidity range $-1.4 < y < 0.4$ and $-0.2 < y < 1.8$ respec-
 1398 tively (see Fig. 36a). They have to be included into dedicated triggers. The ECAL2 calorimeter
 1399 should play an important role in the π^0 background subtraction.
- 1400 – A stainless steel shielding is required to be installed upstream the target to prevent illumination of

- 1401 the calorimeters by photons produced in the interaction of beam kaons with beam part elements of
 1402 the setup.
- 1403 – A tracking detector (X and Y planes) with aperture of about $2.3 \times 2.3 \text{ m}^2$ and the beam hole 0.5×0.5
 1404 m^2 should be installed in front of the ECAL0 in order to provide capability to identify "charged"
 1405 clusters in the ECAL0 and reject charged particles with high p_T . Spatial resolution of the detector
 1406 is defined by the ECAL0 cell size (3.8 cm) and should be of about 1 cm.
 - 1407 – Transparency of the setup should be increased in order to reduce the number of secondary photons.

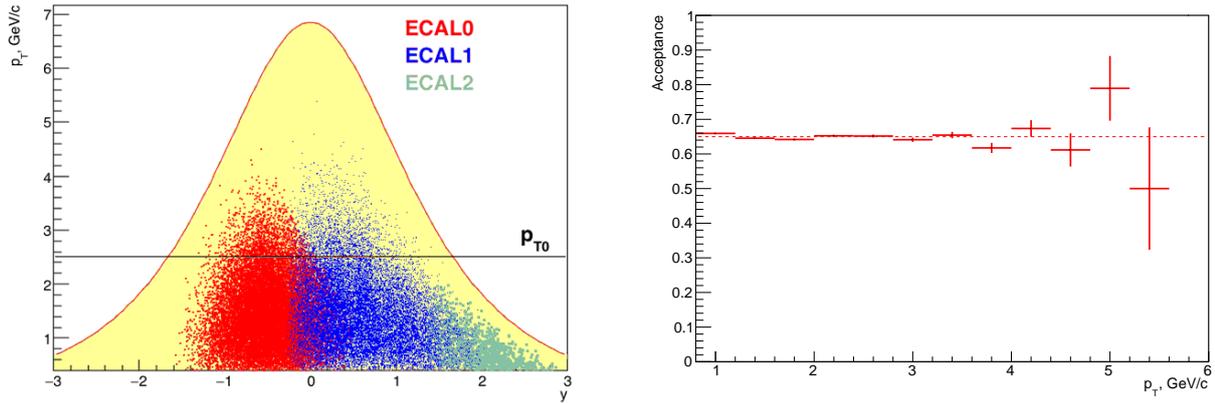


Figure 36: a) Kinematic range in the rapidity y and the transverse momentum for prompt photons produced in the gluon Compton scattering. Regions covered by the electromagnetic calorimeters ECAL0, ECAL1 and ECAL2 are shown in red, blue and green, respectively. The COMPASS setup for GPD run (2017) is used. b) Acceptance of the COMPASS setup used for the GPD run in 2017 for prompt photons as a function of their transverse momentum p_T .

1408 Acceptance of the COMPASS setup used for the GPD run in 2017 for prompt photons as a function
 1409 of transverse momentum p_T is shown in Fig. 36b. The detector geometry, material map and minimal
 1410 thresholds for cluster energy in ECAL0, ECAL1 and ECAL2 on the level 0.5 GeV, 1 GeV and 2 GeV,
 1411 respectively, are taken into account. The acceptance is rather flat up to very high p_T and is about 0.65.

1412 **Prompt photon production rate estimation** is based on the next assumptions: period of data taking
 1413 is 140 days with the accelerator efficiency of 0.8 that corresponds to the integrated flux 2×10^{13} kaons
 1414 delivered to the 2 m long liquid hydrogen target; LO gluon Compton scattering cross section is the
 1415 LO cross section with the K-factor 1.4, is 74 nb (for $p_T > 2.5 \text{ GeV}/c$); duty factor of the detector is
 1416 0.9; general acceptance (including geometry, photon conversion and selection criteria) is 30%. Thus
 1417 the expected statistics of gluon Compton scattering events in the kinematic range $p_T > 2.5 \text{ GeV}/c$ and
 1418 $-1.4 < y < 1.8$ is 3.4×10^6 events.

1419 5.4.4 Worldwide competition

1420 At the moment there are no announced plans to study the gluon structure of charged kaons.

1421 5.5 Primakoff Reactions

1422 5.5.1 Kaon polarizability

1423 The electric (α) and magnetic (β) polarizabilities characterize the meson in terms of its interaction
 1424 as a complex QCD system with an external electromagnetic field and can be probed in the Compton
 1425 scattering.. They are fundamental parameters of meson physics, and provide a possibility to compare

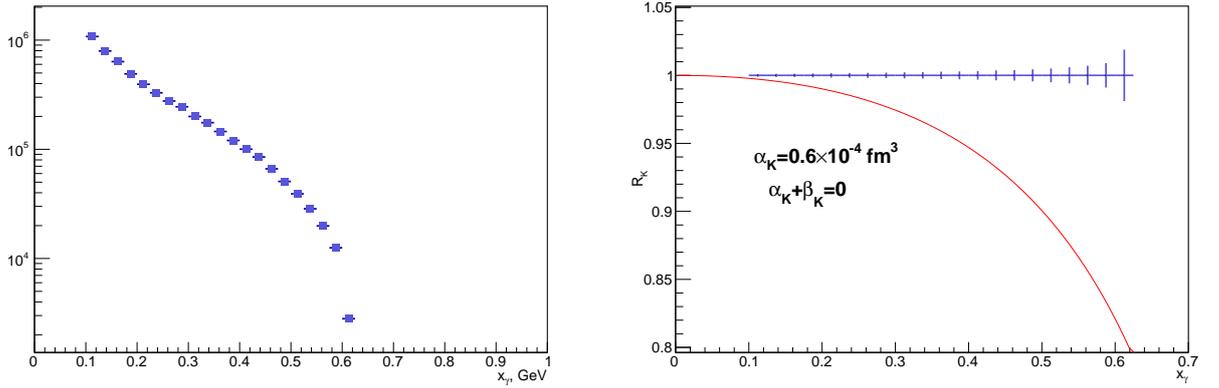


Figure 37: a) The expected x_γ spectrum for $K^- \gamma$ events. b) The statistical accuracy for the measurement of the ratio R_K of the differential cross-section for the real kaon over the expected cross-section for a hypothetical point-like kaon as a function of x_γ . The ratio R_K corresponding to the χ PT prediction is shown in red.

1426 experimental results with theoretical predictions. The polarizabilities of the charged pion predicted by
 1427 chiral perturbation theory (χ PT) in the two-loop approximation are $\alpha_\pi - \beta_\pi = (5.7 \pm 1.0) \times 10^{-4} \text{ fm}^3$
 1428 and $\alpha_\pi + \beta_\pi = 0.16 \times 10^{-4} \text{ fm}^3$ [129]. The currently most precise measurement of the pion polarizability
 1429 is $\alpha_\pi = (2.0 \pm 0.6_{stat} \pm 0.7_{syst}) \times 10^{-4} \text{ fm}^3$, which is in agreement with the predictions of χ PT [129, 132].
 1430 This result was obtained by the COMPASS experiment in the so-called Primakoff reaction $\pi^- Z \rightarrow \pi^- \gamma Z$
 1431 with 190 GeV/c negative pion beam under the assumption $\alpha_\pi + \beta_\pi = 0$ [23].

1432 For the kaon, since it is a more compact and rigid object than the pion, the naive expectation is to observe
 1433 smaller values for the polarizabilities. Indeed, the χ PT prediction for the charged kaon polarizability in
 1434 one-loop approximation is $\alpha_K = (0.64 \pm 0.10) \times 10^{-4} \text{ fm}^3$ under the assumption that $\alpha_K + \beta_K = 0$ [130].
 1435 The quark confinement model predicts values of $\alpha_K = 2.3 \times 10^{-4} \text{ fm}^3$ and $\alpha_K + \beta_K = 1.0 \times 10^{-4} \text{ fm}^3$
 1436 [131]. As for an experimental validation, only an upper limit $\alpha_K < 200 \times 10^{-4} \text{ fm}^3$ (CL=90%) has been
 1437 established from the analysis of X-rays spectra of kaonic atoms [133].

1438 A measurement of the kaon polarizability via the reaction $K^- Z \rightarrow K^- \gamma Z$ — similar to the measurement
 1439 of the pion polarizability performed by COMPASS — is challenging to prepare. The kaon component in
 1440 a conventionally produced hadron beam is too small at high beam energies, to collect the required amount
 1441 of data on a reasonable timescale. Also the identification of the beam particles with a high enough purity
 1442 is challenging. To this end, an RF-separated hadron beam, in which kaons have been enriched, would
 1443 provide an unique opportunity to perform the first measurement of the kaon polarizability. Additional
 1444 difficulties for the kaon polarizability measurement are the small kinematic gap between the threshold
 1445 in the invariant mass $M_{K-\gamma}$ and the first resonance $K^*(892)$ in respect to the pion case (with $\rho(770)$
 1446 resonance) and one order of magnitude smaller Primakoff cross section than the one for the pion.

1447 For the kaon polarizability measurement with a 100 GeV/c RF-separated kaon beam we assume the next
 1448 conditions:

- 1449 – the basic spectrometer configuration as it was used in 2009 and 2012 for the analogous measure-
 1450 ments with the pion beam: the CEDAR detector on the beam line, a $0.3 X_0$ thick nickel target,
 1451 silicon-based telescopes up- and downstream the target, similar dead time of trigger and DAQ);
- 1452 – trigger on the high-energy deposition the ECAL1 and ECAL2 calorimeters;
- 1453 – the new DAQ system with capability to accept trigger rate up to 100 kHz.

1454 Assuming an integrated flux 2×10^{13} kaons after one year of data taking, we estimate the achievable
 1455 statistics to be of about 2.4×10^6 $K^- \gamma$ events in the kinematic range $0.1 < x_\gamma < 0.6$ and $M_{K^- \gamma} <$
 1456 $0.8 \text{ GeV}/c^2$. Here, x_γ is the energy of a produced photon normalized to the beam energy. The trig-
 1457 ger efficiency is supposed to be close to 100% in the whole range of x_γ . The expected x_γ spectrum of
 1458 $K^- \gamma$ events is shown in Fig. 37a. The ratio R_K of the differential cross-section for the kaon over the ex-
 1459 pected cross-section for a hypothetical point-like kaon as a function of x_γ under assumption $\alpha_K + \beta_K = 0$
 1460 can be approximately expressed as

$$R_K = 1 - \frac{3}{2} \cdot \frac{x_\gamma^2}{1 - x_\gamma} \cdot \frac{m_K^3}{\alpha} \cdot \alpha_K^3, \quad (17)$$

1461 where α is the fine structure constant. It is important to emphasize that polarization effects in case of the
 1462 kaon are amplified by the factor of $(m_K/m_\pi)^3 \approx 44$ in respect to the pion. The statistical accuracy for
 1463 the measurement of the ratio R_K of the differential cross-section for the kaon over the expected cross-
 1464 section for a hypothetical point-like kaon as a function of x_γ is presented in Fig. 37b. The expected
 1465 ratio R_K corresponding to the χ PT prediction is also shown. The statistical accuracy of the α_K extraction
 1466 under the assumption $\alpha_K + \beta_K = 0$ is $0.015 \times 10^{-4} \text{ fm}^3$. As for the systematic uncertainty, the main
 1467 contributions are expected from (i) uncertainty of the determination of the tracking detector efficiency
 1468 from the Monte Carlo simulation; (ii) statistical uncertainty of the π^0 background subtraction; (iii) effect
 1469 of the uncertainty on the estimate of strong interaction background and its interference with the Coulomb
 1470 contribution; (iv) uncertainty of $\pi\gamma$ events subtraction due to a pion contamination in the beam. The
 1471 statistical uncertainty is expected to be smaller than the statistical one.

1472 We are not aware of any other plans to measure the charged kaon polarizability.

1473 6 Instrumentation

1474 Many programs introduced in this Letter of Intent are based on the concept of using the basic features
 1475 of the present COMPASS setup [?] , [?] : one or two large-gap dipole magnets with tracking stations
 1476 around them, combined with particle identification detectors. The standard polarized target is described
 1477 in Ref. [?] and the liquid hydrogen (LH2) target in Ref. [?] .

1478 Most future programs require additional specific detectors or other equipment, as explained in the text
 1479 (Sec. 6.1 for general upgrades and Sec. 6.2 for specific upgrades). The CEDARs, located at the beam
 1480 line, are necessary for all hadron programs for beam-particle identification. The RICH is necessary for
 1481 several programs for the separation of produced hadrons.

1482 Some of the programs plan the use of the existing M2 muon or hadron beams, while other programs are
 1483 designed for future RF-separated hadron beams in the M2 beam line with enhanced fractions of kaons
 1484 and antiprotons (Sec. 5.1).

1485 The specific parameters and hardware upgrades for each program are summarized in Tab. 5.

Program	Beam Energy [GeV]	Beam Intensity [1/s]	Trigger Rate [kHz]	Beam Type	Target	S?	Hardware Additions	R?	C?
Proton radius	100	$4 \cdot 10^6$	100	μ^\pm	high-pr. H2	×	active TPC, SciFi trigger, silicon veto		
GPD E	160	10^7	10	μ^\pm	NH3↑	×	recoil silicon, modified PT magnet		
Anti-matter	190	$5 \cdot 10^5$	25	p	LH2, LHe	×	recoil TOF	×	×
Spectroscopy \bar{p}	12, 20	$5 \cdot 10^7$	25	\bar{p}	LH2		target spectrometer: tracking, calorimetry	×	×
Drell-Yan conv	190	$7 \cdot 10^7$	25	π^\pm	C/W	×	vertex detector		×
Drell-Yan RF	~100	10^8	25-50	K^\pm, \bar{p}	6LiD↑, C/W		”active absorber”, vertex detector		×
Primakoff	~100	$5 \cdot 10^6$	> 10	K^-	Ni	×		×	×
Prompt photon	100	$5 \cdot 10^6$	10-100	K^+	LH2	×	hodoscope		×
Spectroscopy K^-	50-100	$4 \cdot 10^6$	25	K^-	LH2	×	recoil TOF	×	×

Table 5: Requirements for the future programs at the M2 beam line after 2021. “[GeV]” indicates the beam energy, “[kHz]” the estimated trigger rate. “Rate” refers to the beam-particle rate on the target. **Standard muon beams** are in blue, **standard hadron beams** in orange, and **RF-separated hadron beams** in red. “S” refers to standard COMPASS spectrometer setup, “R” to RICH-1 and if possible RICH-0, and “C” to CEDARs.

1486 6.1 General upgrades

1487 The following general upgrades of the COMPASS apparatus are considered:

- 1488 – A new type of front-end electronics (FEE) and trigger logic that is compatible with triggerless

- 1489 readout including an FPGA-based TDC with time resolution down to 100 ps and digital trigger,
1490 capable of trigger rates up to 90-200 kHz (Sec. 6.1.1).
- 1491 – New large-size PixelGEMs as replacement and spares for aging large-area GEMs (Sec. 6.1.2).
 - 1492 – New large-area multi-pattern gaseous detectors (MPGD) based on GEMs or MicroMega technol-
1493 ogy to replace aging MWPCs (Sec. 6.1.3).
 - 1494 – High-rate-capable CEDARs (Sec. 6.1.4) for all hadron-beam programs to identify the desired beam
1495 particle.
 - 1496 – The existing RICH-1 will be required by the spectroscopy programs (Secs. 4.2 and 5.2), the anti-
1497 matter cross section measurement (Sec 4.3), and the Primakoff program (Sec. 5.5). A high-aperture
1498 RICH-0 would be desirable for these programs in order to separate hadrons at lower momenta
1499 (Sec. 6.1.5).

1500 **6.1.1 Front-end Electronics and DAQ**

1501 The goal of the front-end electronics and data-acquisition system is the read out detectors with best pre-
1502 cision and minimum loss of efficiency. With particle rates on the target of up to 10^8 /sec, the optimum
1503 solution is the construction of triggered, pipelined front-end electronics with maximum trigger-rate ca-
1504 pability between 100 and 200 kHz and dead time of 2-3 %. These requirements allow the usage of the
1505 well-performing APV25 ASIC for all micro pattern gas and silicon detectors, as well as for the RICH
1506 detector. Since many modern ASICs feature triggerless readout, the desired goal of a triggerless readout
1507 solution exists for every detector type. The newly developed FPGA-based TDC (iFTDC) has a time
1508 resolution down to 100 ps. It is planned to equip all detectors with new modern FEE and to use the same
1509 kind where possible. This will allow a single expert to intervene on various equipments.

1510 The architecture of the readout system is shown in Fig. 38. The number of channels and the data rates
1511 are estimated using the performance of the COMPASS setup and COMPASS DAQ [134]. The front-end
1512 boards including digitizers will be placed near the detectors and will be equipped with two high-speed
1513 serial interfaces. One interface will transmit untriggered hit information and can be connected to the
1514 digital trigger module. The second link will transmit triggered information only to the DAQ. All high-
1515 speed serial interfaces within the DAQ and digital trigger will employ the UCF protocol [135], which
1516 features to transmit trigger and event identification information, slow control messages, and data via
1517 single serial link.

1518 The DAQ will consist of two stages of data processing. At the first stage, the data will be buffered at the
1519 local SDARM and then merged into sub-events. At the second stage, complete events will be assembled
1520 and distributed between online PCs via 10 Gb Ethernet. The maximum data rate expected during a spill
1521 will be 5 GB/s, while the sustained rate will be 2 GB/s. The system is designed to handle sustained-data
1522 rates of 5 GB/s.

1523 **6.1.2 Large-area PixelGEM detectors**

1524 New large-area PixelGEM detectors will be designed and ten such detectors will be built by 2021 as
1525 replacement and spares for the existing large-area GEMs [?] in the COMPASS setup. Each detector will
1526 have 4,096 channels. The periphery will be read out with strip readout from both sides. The center will
1527 consist of hexagonal pads of 1.5 mm outer radius and will be equipped with pixel readout. The active
1528 area of each detector will be between $30.7 \text{ cm} \times 30.7 \text{ cm}$ and up to $40 \text{ cm} \times 40 \text{ cm}$, about a factor of 10
1529 larger than the existing COMPASS PixelGEM detectors [?]. The new PixelGems will be equipped with
1530 new Front-End Electronics allowing for higher rates and self-triggering.

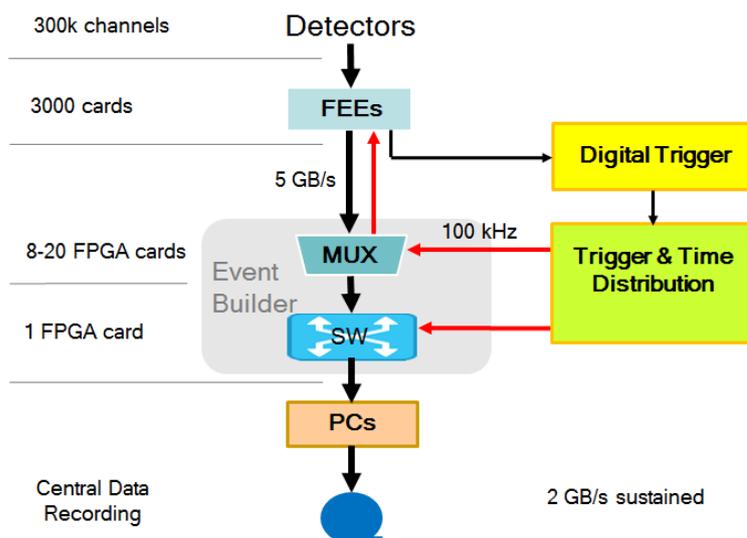


Figure 38: The DAQ architecture of the new experiment.

1531 6.1.3 Large-area multi-pattern gaseous detectors (MPGD)

1532 New MPGDs will be designed and developed to replace the existing ageing 14 MWPC tracking stations
 1533 in the COMPASS setup. The new detectors will be based on large-area GEM or MicroMega technology.
 1534 Each station will have an active area of $\sim 1.5 \text{ m}^2$, with two or three coordinates planes and $\sim 2 \text{ mm}$ pitch.
 1535 The new detectors will be equipped with a new front-end electronics with a rate capability of $\sim 1 \text{ MHz}$
 1536 per channel. The total number of channels will be about 28,000.

1537 6.1.4 CEDARs at high rates

1538 The purpose of the CEDAR is the identification of the beam particle on an event-by-event basis. Two
 1539 6 m-long CEDAR stations are located in the M2 beam line 30 m upstream of the COMPASS target. They
 1540 are filled with helium gas at a pressure of approximately 10.5 bar. The emerging Cherenkov photons are
 1541 focused by a mirror and detected by eight PMTs arranged in a ring around the center. The pion, kaon, or
 1542 proton ring is selected by tuning the diaphragm and the pressure.

1543 The existing CEDARs are in winter 2017/2018 being upgraded for better rate- and thermal stability
 1544 in preparation of the 2018 COMPASS pion-beam run. The project is carried out in collaboration of
 1545 CERN and representatives from COMPASS. New PMTs (fast Hamamatsu R11263-203 with pulses width
 1546 of 2-3 ns), a new gain monitoring system, a new readout system, and a new thermalization system are
 1547 contained in the upgrade package. A conceptual sketch of the upgraded system is shown in Fig. 39.

1548 The decision whether further upgrades of the CEDAR system will be necessary during LS2 for the
 1549 future hadron-beam programs (as described for standard beams in Sec. 4 and for RF-separated beams in
 1550 Sec. 5) will be based on the experience collected with the upgraded CEDARs during the 2018 COMPASS
 1551 Drell-Yan run with high-intensity pion beam ($\sim 8 \cdot 10^7/\text{sec}$). The possibility of a tracking system for the
 1552 CEDARs is considered that would correct the beam-track trajectories. One option could be the XBPF
 1553 upgrade, a new SciFi-based instrumentation developed at the CERN North Area to measure beam profiles
 1554 and momenta [?].

1555 6.1.5 Hadron PID perspectives: RICH

1556 RICH-1 [136] [137] [138] [139] is the backbone for hadron PID in the COMPASS setup. RICH-1 is a large
 1557 acceptance ($\pm 200 \text{ mrad}$ in the vertical plane, $\pm 250 \text{ mrad}$ in the horizontal plane) Cherenkov imaging
 1558 counter using C4F10 as heavy and low-chromaticity radiator gas, where image focalization is provided by

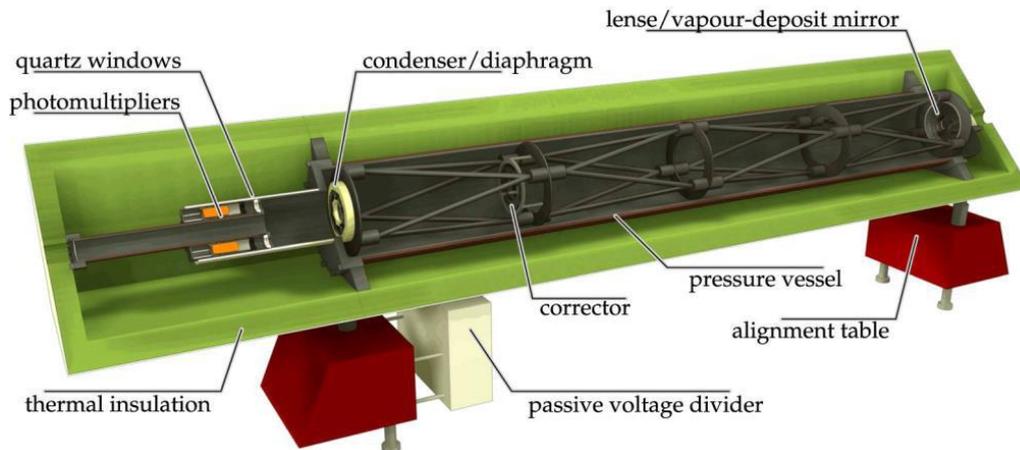


Figure 39: CEDAR 2018 upgrade for better rate- and thermal stability.

1559 a wall of spherical UV mirrors. Presently, the photon detection system is formed by MAPMTs coupled
 1560 to individual fused silica-lens telescopes in the central region, covering 25% of the instrumented surface,
 1561 where the rate is higher, and gaseous detectors in the peripheral region. Two types of gaseous detectors
 1562 are in use, both equipped with CsI photoconverter: MWPCs and novel ones, based on a hybrid MPGD
 1563 architecture with two THick GEM (THGEM) layers followed by a MICROMEAS multiplication stage,
 1564 where the first THGEM also acts as photoconverter substrate. RICH-1 provides hadron PID in the range
 1565 from 3 to 60 GeV/c, where 3 GeV/c is the effective threshold for pion identification and pions-kaons can
 1566 be separated at 90% confidence level at 60 GeV/c [139].

1567 For the future physics program at the M2 beamline, RICH-1 can be complemented by counters that
 1568 enlarge the momentum range for positive hadron identification both at lower and higher momenta. For
 1569 low momenta (referred to as “RICH-0” here, a DIRC counter enriched with a focusing system [140] with
 1570 horizontal radiator bars arranged in a planar configuration can be used in order to separate hadrons in the
 1571 range 0.2 GeV/c up to 5-6 GeV/c. Fused silica bars are the default choice, while the use of Plexiglas bars
 1572 [141] is an alternative option to be analyzed. The default readout sensors are MAPMTs, while other fast,
 1573 pixelized photon detectors as MCP-PMTs can be considered. A relevant feature is the reduced physical
 1574 length of such a detector that can require no more than a 20 cm space-slot along the beam line.

1575 6.2 Specific upgrades

1576 6.2.1 Overview

- 1577 – Proton radius (more in Sec. 6.2.2): high-pressure active TPC target (similar to A2 at MAMI) or
 1578 hydrogen tube surrounded by SciFis; SciFi trigger system on scattered muon; silicon trackers to
 1579 veto on straight tracks (kink trigger).
- 1580 – GPD E in DVCS (more in Sec. 6.2.3): 3-layer silicon detector inside the existing but modified PT
 1581 ($\text{NH}_3 \uparrow$) at very low temperature, for tracking of the recoil proton in DVCS and PID via dE/dx .
 1582 Alternatively: SciFis.
- 1583 – Anti-matter cross section for cosmic ray studies: recoil TOF detector (see Fig. 21, called “RPD”
 1584 there); targets: LH2 and LHe.
- 1585 – Spectroscopy with low-energy antiprotons (more in Sec. 6.2.4): target spectrometer (tracking,
 1586 barrel calorimeter) similar to WASA at COSY [76]; target: LH2, foil, wire.

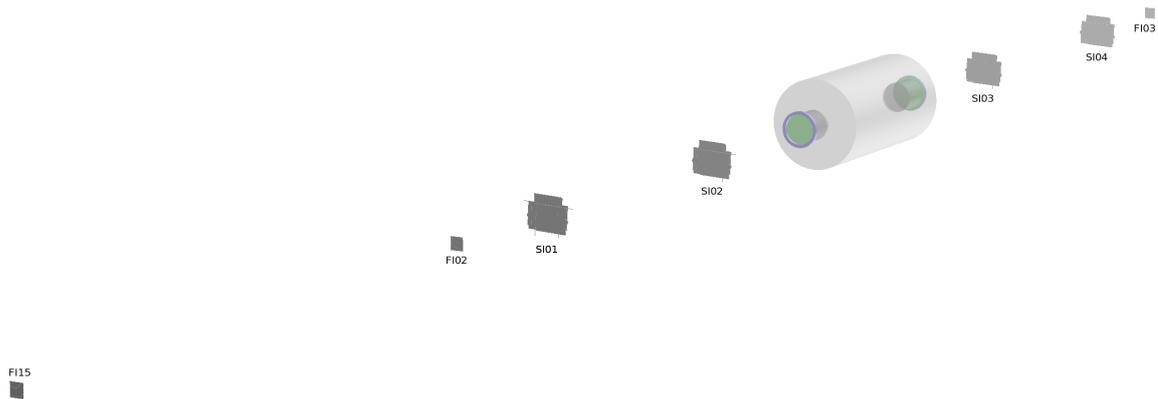


Figure 40: Rendering of the target region of the COMPASS $\mu - p$ set-up.

- 1587 – Drell Yan general: high-purity and -efficiency di-muon trigger; dedicated precise luminosity measurement; dedicated vertex-detection system; beam trackers; targets: ${}^6\text{LiD} \uparrow$, and C/W.
- 1588
- 1589 – Drell-Yan RF-separated beams (more in Sec. 6.2.5): due to the lower beam energy, a wide aperture will be needed (up to ± 300 mrad): a "magnetized spectrometer" (active absorber) is under consideration. It could possibly be similar to Baby MIND at JParc [145] ("3-in-1" detector, spectrometer magnet, absorber).
- 1590
- 1591
- 1592
- 1593 – Prompt Photon Production: 20-30 cm steel absorber upstream of the target; new hodoscope upstream of the existing electromagnetic calorimeter ECal0; transparent setup with as little material as necessary.
- 1594
- 1595
- 1596 – Spectroscopy with K^- : uniform acceptance; existing electromagnetic calorimeters; recoil TOF detector (see Fig. 21, called "RPD" there).
- 1597

1598 RICH-1, RICH-0, and CEDARs are skipped in this list. See Table 5 for this information.

1599 6.2.2 High-pressure hydrogen TPC for proton-radius measurement

1600 The experimental set-up for the proton radius measurement using elastic muon-proton scattering (Sec. 3.1) is depicted in Fig. 40. The active hydrogen target (ICAR [20]) is based on an existing set-up used for an experiment at GSI, which is shown in Fig. 41. Such a system was developed by the Gatchina group (PNPI) and was employed for multiple radius measurements in the past.

1604 **6.2.2.1 Proton recoil measurement** The proton recoil measurement can be achieved using a double target scenario. For small values of Q^2 and proton kinetic energies up to a few MeV, a high-pressure hydrogen TPC, operated as ionisation chamber, can be used. The energy loss for incoming and outgoing muons is about 2 keV/cm and thus small compared to the proton energy loss even for proton kinetic energies of 10 MeV, as long as the path length traversed is smaller than 10 cm. For $Q^2 = 10^{-4} (\text{GeV}/c)^2$, the kinetic energy of recoil protons is 50–60 keV. This value corresponds to the energy resolution obtained by [19] in an experiment measuring πp scattering in the Coulomb-nuclear interference region. This roughly determines the scale for the lowest value of Q^2 in the experiment.

1612 At higher values of Q^2 , when the recoiling protons are no longer stopped inside the hydrogen volume, one may envisage to surround the central part of the active target with a barrel made from scintillating fibres. Consecutive layers are arranged in a relative stereo angle of 6° . A possible set-up is shown in Fig. 42. The scintillation light from the fibres is detected on one side by SiPM of high pixel density (Hamamatsu S13360-3025 or KETEK PM3325) to reduce saturation effects. The backend opposing

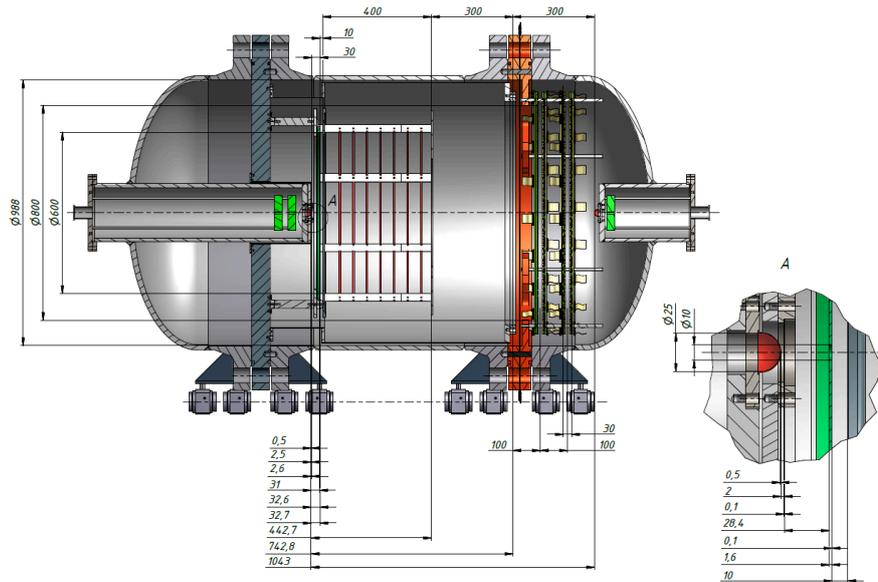


Figure 41: Sketch of the target TPC with pressure vessel as conceived for an elastic e^-p scattering experiment at MAMI. The forward tracker system on the right side of the vessel will not be installed for the M2 measurement.

1617 the SiPM is aluminised. In the model used for simulation we assumed 10 layers of scintillating fibres,
 1618 summing up to 2–3 cm thickness. In order to perform a combined (dE/dx , E) analysis, we intend to
 1619 surround the fibre tracker by 8 plates of scintillator, similar to the proton recoil detector surrounding
 1620 the liquid hydrogen target of COMPASS in 2009. With this, we should be able to stop protons up to
 1621 100 MeV. By reconstructing the Bragg curve we can obtain energy resolutions of the order of a few
 1622 percent (Fig. 43). We have performed test measurements on energy resolution up to energies of about
 1623 50 MeV at PSI using various fibre material and models of SiPM. Results from the analysis are expected
 1624 soon.

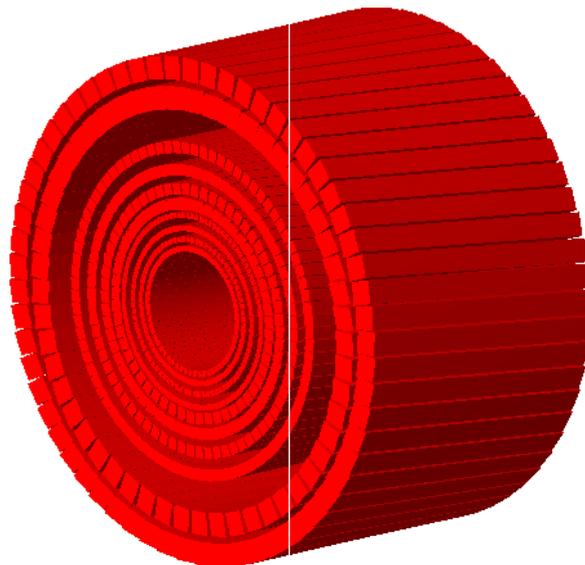


Figure 42: Layout of the recoil proton detector used for the high Q^2 range.

1625 As the range of low energy protons in the SciFi material is low we need to keep the fibre thickness small

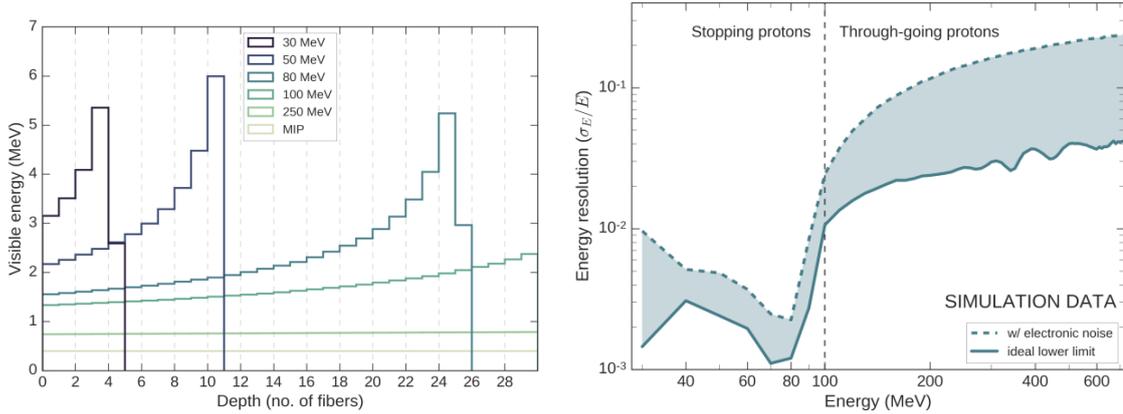


Figure 43: Left: Expected energy loss in individual fibres traversed by recoil protons for different proton energies. Right: energy resolution obtained by Bragg-curve fitting using simulation data. Work in progress and data are still very preliminary.

1626 in the inner layers ($2 \times 2 \text{ mm}^2$ or thinner). A requirement for the recoil proton of crossing at least 2 fibres
 1627 to determine a 3D impact point imposes a lower limit for the kinetic energy of recoil protons of about
 1628 15-20 MeV. This corresponds to a lower value of $Q^2 > 0.03 - 0.04 (\text{GeV}/c)^2$. The fibre cross-section
 1629 for the outer layers may grow to $4 \times 4 \text{ mm}^2$ and $8 \times 8 \text{ mm}^2$.

1630 The geometry of the scintillator barrel has not yet been optimised in terms of geometry, fibre cross
 1631 sections and number of channels. However, the arrangement sketched up is feasible and has a reasonably
 1632 flat acceptance across Q^2 . Optimisation should allow to further reduce an unwanted Q^2 dependence of
 1633 the acceptance and allow to obtain an effective threshold of $Q^2 > 0.3 (\text{GeV}/c)^2$.

1634 **6.2.2.2 Muon measurement** The scattered muon can be identified using the COMPASS setup includ-
 1635 ing the present muon identification system. The energy transfer in the reaction is very small and falls
 1636 within the energy resolution of the spectrometer. However, COMPASS has demonstrated excellent angu-
 1637 lar resolution in the context of a measurement scattering pions of 190 GeV energy off the electromagnetic
 1638 field of heavy nuclei like Pb or Ni [23]. Despite the presence of a solid target of thickness $d = 20\%X_0$,
 1639 COMPASS obtained a Q^2 -resolution of $\Delta Q^2 = 2 \cdot 10^{-4} (\text{GeV}/c)^2$. This was achieved by means of two
 1640 silicon telescopes placed upstream and downstream of the solid target. The position resolution of each
 1641 silicon station was about $\Delta x \approx 4 \mu\text{m}$. In the future set-up, it is intended to position the silicon stations
 1642 within a telescope much further apart (1 m providing a longer lever arm). It is assumed that the angular
 1643 resolution can be improved such as to achieve a resolution of $\Delta Q^2 = 1.4 \cdot 10^{-4} (\text{GeV}/c)^2$ by:

- 1644 1. replacing the thick solid target with a pressurised gaseous hydrogen target;
- 1645 2. increasing the spacing of the layers of the silicon telescope in order to roughly match multiple
 1646 scattering effects in the silicon itself.

1647 **6.2.2.3 Trigger** One of the challenges of this experiment will be the trigger. A trigger on the proton
 1648 signal inside the TPC will require a trigger latency of the order of $20 \mu\text{s}$ owing the the drift time. This
 1649 is not compatible with the current COMPASS readout scheme. We envisage two different approaches to
 1650 circumvent this limitation.

1651 The approach compatible with current front-ends is the development of a trigger on a kink of the muon
 1652 track. Two scintillating fiber detectors upstream of the target (labelled *F115* and *F102* in Fig. 40) predict
 1653 a straight track, a deviation from this straight track observed in a third scintillating fiber detector down-
 1654 stream of the target (labelled *F103* in Fig. 40) is a sign for an interaction inside the target. To suppress

1655 deviations from the straight line caused by multiple scattering, the distance between the predicted and
 1656 measured position should be adjustable for this trigger.

1657 The more advance approach is based on the development of a triggerless readout scheme requiring the
 1658 development or integration of new front-end electronics for the silicon strip detectors and the TPC.

1659 6.2.3 Recoil detector with polarized target

1660 The major technical challenge for the measurement of the $\mu p^\uparrow \rightarrow \mu \gamma p$ reaction (Sec. 3.2) is the detection
 1661 of the recoil particles ejected from a solid-state transversely polarized target. The detection of the recoil
 1662 particles, whose momentum needs to be determined with a precision of 10% or better, is key to ensuring
 1663 the exclusivity of the reaction. Missing-mass techniques cannot be employed in the COMPASS case
 1664 due to the experimental resolution, which is not better than 2 GeV. Two solutions can be envisaged to
 1665 minimize the amount of material crossed by the recoil particles before being detected, and therefore to
 1666 optimize the minimum value of $|t|$ accessible by the experiment:

- 1667 1. The recoil particle detector is placed outside of low-mass polarized target system, with a thin
 1668 super-conducting dipole located close to the target cells and enclosed inside a thin-walled cryostat.
- 1669 2. The recoil particle detector is inserted in the cryogenic vacuum volume surrounding the target cell
 1670 and inside a large dipole magnet.

1671 The first solution is technically very challenging, particularly from the point of view of the construction
 1672 of a super-conducting dipole magnet with a low material budget. Moreover, it would require an additional
 1673 external high-field solenoid magnet to re-polarize the target material every few days.

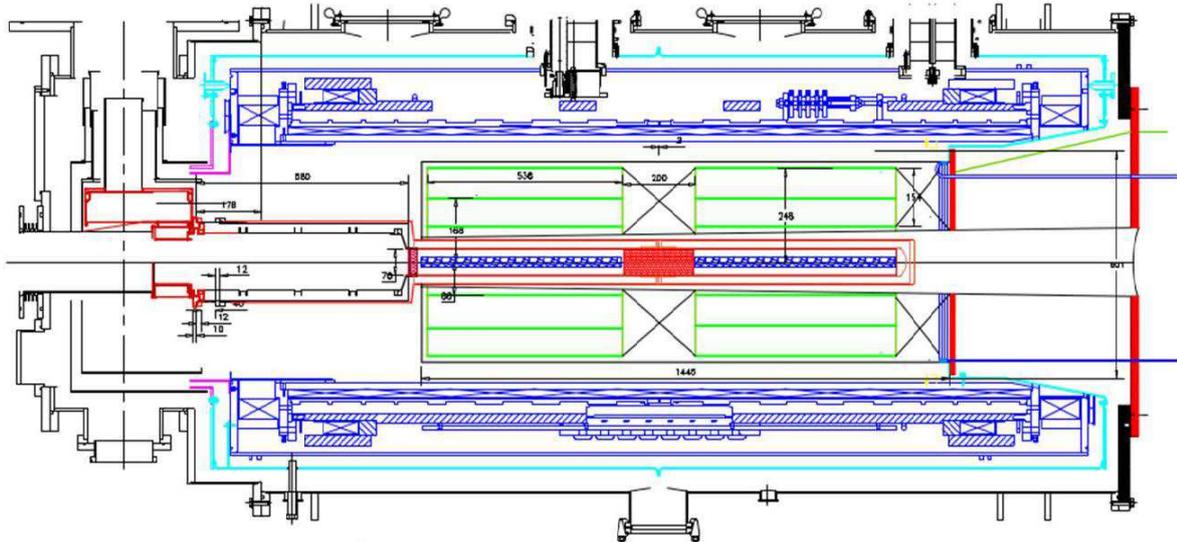


Figure 44: Conceptual view of the COMPASS polarized target coupled with silicon detectors for tracking and identification of recoil particles.

1674 The feasibility of the second solution is currently under study, re-using the existing COMPASS polarized-
 1675 target system, see Fig. 44. In this scenario, the shape of the micro-wave (MW) cavity is modified and
 1676 decoupled from the remainder of the inner target magnet volume, while sharing the same vacuum. The
 1677 cylindrical part of the cavity consists of a 0.2-0.4 mm thick copper foil to avoid distortion of the MW field
 1678 by the presence of silicon detectors. Recoil-particle detection is based on two or three concentric barrels
 1679 of silicon pixel detectors (Fig. 45) in the empty space between the target cell and the superconducting

1680 magnets to measure particle trajectories and energy loss (dE/dx). Alternatively it is considered to use
 1681 scintillating fiber detectors instead of silicon detectors. SciFi detectors can be accommodated more
 1682 easily in the target magnet with less challenging signal transport out of the magnet.

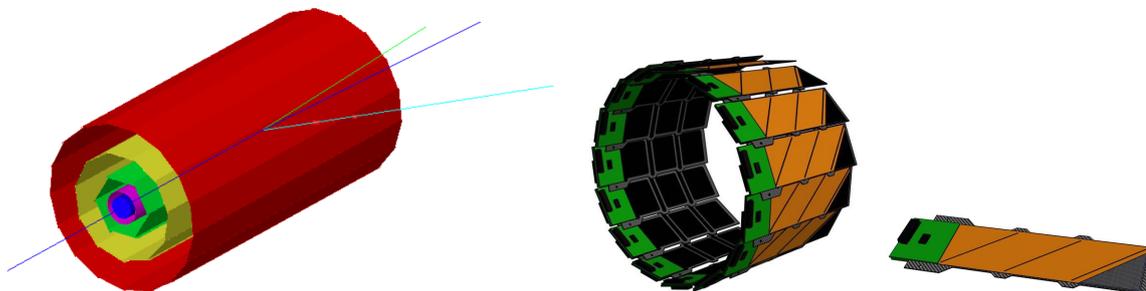


Figure 45: Left: 3-layer silicon detector (SD) surrounding the polarized target, with trajectories of particles emerging from an exclusive DVCS event: proton (light blue), photon (green), muon (blue). From inside to outside: target, MV cavity, inner SD, middle SD, outer SD. Each layer is $300\ \mu\text{m}$ thick. Middle: conceptual design of outer SD layer with 300 mm diameter, right: SD ladder design.

1683 **Performance of silicon detectors at low temperatures.** Silicon detectors are capable of working (i)
 1684 in a magnetic field (longitudinal or transversal) of about 0.5-2 T, (ii) in low temperatures of about 5-
 1685 10 K [146], (iii) in presence of a MW field, and (iv) in a vacuum of about 10^{-8} bar. Modifications of
 1686 the inner volume of the existing target magnet are necessary in order to minimize the influence of the
 1687 MW radiation on the silicon performance and to provide space for input/output connections. The MW
 1688 cavity is cooled by circulation of liquid ^4He . Part of this flow also cools a mesh surrounding the silicon-
 1689 detector volume, keeping it at uniform temperature. This prevents decrepitation of the silicon wafers and
 1690 dissipates the heat from their readout electronics.

1691 Double-sided Si-microstrip detectors developed at the Laboratory of High Energy Physics (LHEP) at the
 1692 Joint Institute for Nuclear Research (JINR) meet the main requirements to serve as recoil detector inside
 1693 a COMPASSlike polarized-target magnet. The LHEP JINR silicon detector is comparatively inexpensive.
 1694 It has been tested in an experimental environment close to that of the present COMPASS polarized-
 1695 target system. Tests with multi-layered flexible boards are under preparation with participation of LED
 1696 Technologies of Ukraine (LTU / Kharkiv) [147].

1697 **PID and momentum reconstruction of recoil particles.** Simulations based on the silicon geometry
 1698 in Fig. 45 were carried out with the GEANT 4.6.10 package using the HepGen generator for DVCS
 1699 protons, and Pythia for SIDIS protons and pions. The dE/dx technique for Particle IDentification (PID)
 1700 distinguishing protons, kaons, and pions requires detectors that are capable of measuring: (i) space
 1701 coordinates of the recoil particles with a precision of about 1 mm at least in 3 space points, (ii) momentum
 1702 reconstruction in the range ~ 100 -1000 MeV with a precision of about 5-10%, and (iii) dE/dx for each
 1703 recoil particle with precision of $\sim 10\%$. The particle momentum is determined from the reconstruction of
 1704 its trajectory in the magnetic field, which requires at least 3 space points. Figure 46 shows the expected
 1705 momentum distributions and resolutions of recoil protons and the PID performance.

1706 6.2.4 Target spectrometer for spectroscopy with low-E antiprotons

1707 The exclusive measurements in spectroscopy with low-energy antiprotons (Sec. 4.2) require additional
 1708 coverage of charged-particle tracking and calorimetry around the target. Figure 47 shows as an example
 1709 the setup of experiment E836 at Fermilab [71], split into a barrel part and a forward part. The PANDA
 1710 experiment was designed in a similar way, with improved calorimetry and charged-particle tracking also

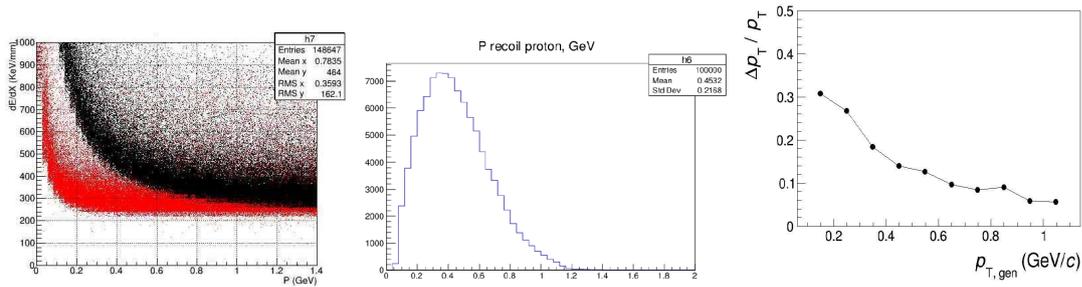


Figure 46: Simulations with silicons around the polarized target. Left: energy loss versus momentum in silicon pions (red) and protons (black), middle: momentum distribution for DVCS recoil protons, right: momentum resolution for protons.

E835 EQUIPMENT LAYOUT (Y2K)

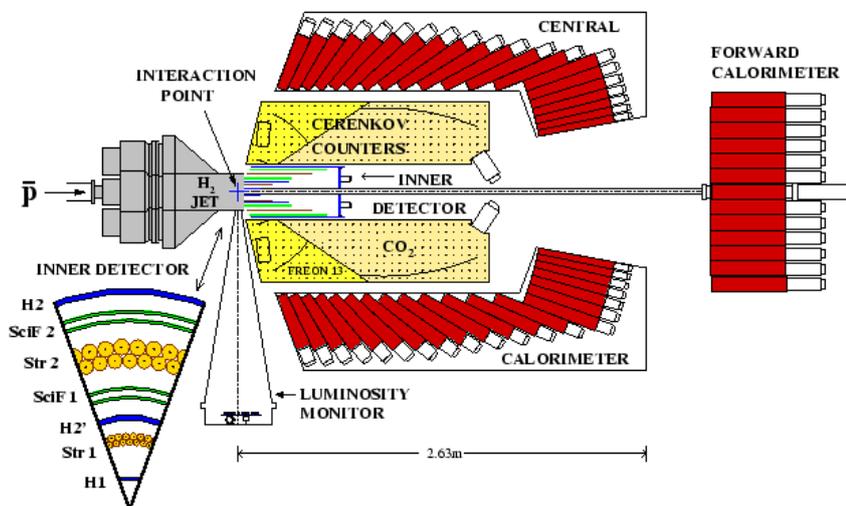


Figure 47: Schematic view of the E835 setup at Fermilab [71].

1711 in the forward detector. With components for PANDA not yet being fully available, a possible option
 1712 which we are investigating at the moment is to re-use parts of the barrel spectrometer of the WASA
 1713 detector [76]. It consists of an electromagnetic calorimeter made up of 1012 CsI(Na) scintillating crystals
 1714 with a thickness corresponding to $16X_0$. It can measure photons, electrons, and positrons with energies
 1715 up to 800 MeV at a very low threshold of 2 MeV. In its original shape, it covers scattering angles from
 1716 20° up to 169° . Figure 48 shows the geometry and angular acceptance of the WASA calorimeter.

1717 In order to maximize the acceptance for antiproton annihilations at the M2 beamline, it could be envis-
 1718 aged to rotate it by 180° , such that the coverage in forward direction increases and matches the acceptance
 1719 of the first forward calorimeter ECal0 (up to $\sim 17^\circ$). Charged-particle tracking is performed in a 1.3 T
 1720 solenoid magnetic field provided by a superconducting coil located inside the calorimeter. The originally
 1721 used Straw tubes for charged-particle tracking will have to be replaced by a new tracking detector be-
 1722 cause of ageing. One option could be a continuously operating GEM-TPC as originally developed for
 1723 PANDA and built and tested in FOPI [77].

1724 The forward-going particles will be detected by the existing COMPASS detectors, including ECal0. With
 1725 this scenario, a high and uniform acceptance for charged and neutral particles will be achieved even at
 1726 the low momenta foreseen for the antiproton beam.

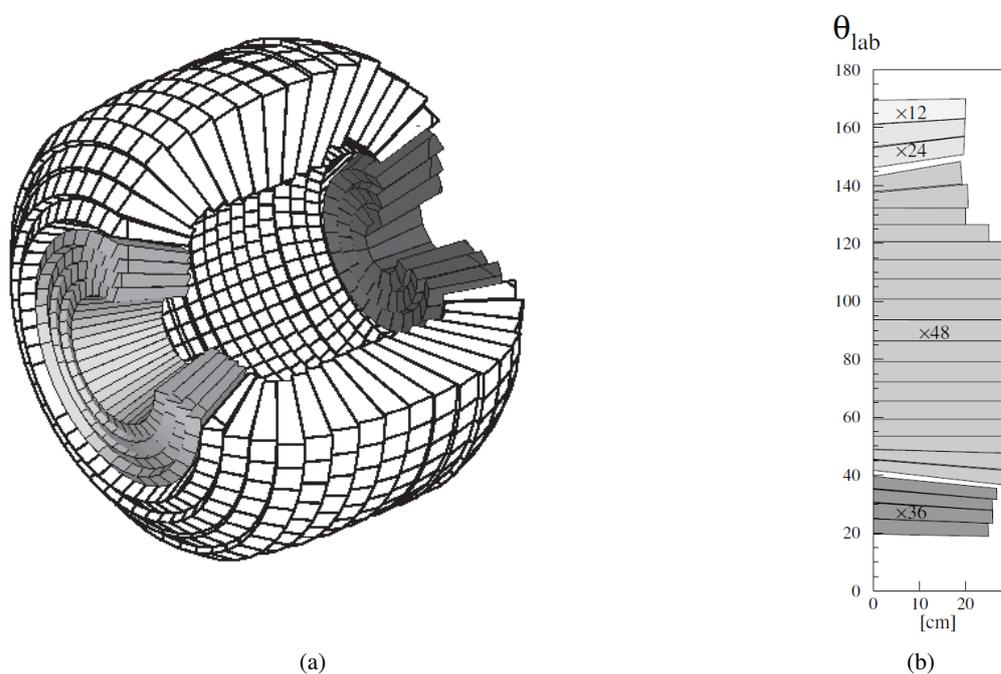


Figure 48: The scintillating electromagnetic calorimeter (SEC) of the WASA detector [76]. (a) Geometry of the crystals. In the WASA at COSY setup, the beam was coming from the right side, while at the M2 beamline, we envisage to rotate the detector by 180° such that it would come from the left side. (b) Angular coverage in the laboratory system (the curves on the right hand side correspond to WASA at COSY and are not relevant here).

1727 **6.2.5 Active absorber for Drell-Yan with RF-separated hadron beams**

1728 For Drell-Yan physics with high-intensity kaon and antiproton beams (Sec. 5.3), *text to be added, work*
1729 *in progress.*

1730 **7 Schedule**

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